

A black and white portrait of David Hilbert, a German mathematician, is the background of the slide. He is shown from the chest up, wearing a dark suit, a white shirt, and a dark tie. He has a high forehead with receding hair, a prominent nose, and a slight smile. His hands are clasped in front of him.

MTAT.07.024

# Quantum Crypto

Lecture #0a

## Hilbert Space Basics

# Example #1: $\mathbb{C}^n$

## Set:

- $n$  tuples of complex numbers  
(usually displayed vertically to make the operations easy to visualize)

## Operations:

- Vector addition:
- Zero vector:
- Vector subtraction & additive inverses:  
binary minus-operator:  
unary minus-operator:
- Scalar multiplication:
- Inner product:
- Norm (length,  $\|\dots\|$ ):

```
typedef  $\mathbb{C}^{<<42>>}$   $\mathcal{H}$ ;
```

```
 $\mathcal{H}$  operator +( $\mathcal{H}$   $\phi$ ,  $\mathcal{H}$   $\psi$ );
```

```
 $0_{\mathbb{C}^n} := (0, \dots, 0)$ 
```

```
 $\mathcal{H}$  operator -( $\mathcal{H}$   $\psi$ );
```

```
 $\mathcal{H}$  operator +( $\mathcal{H}$   $\phi$ ,  $\mathcal{H}$   $\psi$ );
```

```
 $\mathcal{H}$  operator *( $\mathbb{C}$   $\alpha$ ,  $\mathcal{H}$   $\psi$ );
```

```
complex operator |( $\mathcal{H}$   $\phi$ ,  $\mathcal{H}$   $\psi$ );
```

```
double norm( $\mathcal{H}$   $\phi$ );
```

## Example #1: $\mathbb{C}^n$

Schaum 1.8

$$w = (w_1, \dots, w_n) \in \mathbb{C}^n, \quad z = (z_1, \dots, z_n) \in \mathbb{C}^n, \quad \alpha \in \mathbb{C}$$

$$w + z := (w_1 + z_1, \dots, w_n + z_n)$$

$$w - z := (w_1 - z_1, \dots, w_n - z_n)$$

$$-z := (-z_1, \dots, -z_n)$$

$$\alpha \cdot z := (\alpha \cdot z_1, \dots, \alpha \cdot z_n)$$

$$(w|z) := w_1^* \cdot z_1 + \dots + w_n^* \cdot z_n$$

$$\|z\| := \sqrt{(z|z)}$$

# Rules & Laws

## 1. Vector addition is...

- associative & commutative
- zero works, additive inverse/subtraction work

## 2. Scalar-multiplication is...

- associative:  $\forall \alpha, \beta \in \mathbb{C} \forall z \in \mathbb{C}^n: (\alpha \cdot \beta) \cdot z = \alpha \cdot (\beta \cdot z)$
- distributive over vector addition:  $\forall \alpha \in \mathbb{C} \forall w, z \in \mathbb{C}^n: \alpha \cdot (w + z) = \alpha \cdot w + \alpha \cdot z$
- distributive over scalar addition:  $\forall \alpha, \beta \in \mathbb{C} \forall z \in \mathbb{C}^n: (\alpha + \beta) \cdot z = \alpha \cdot z + \beta \cdot z$
- Don't Bullshit-Me Rule:  $1 \cdot z = z$

## 3. Inner product is...

- $\mathbb{C}$ -anti-linear in the left side:  $\forall z: w \mapsto (w|z)$  anti- $\mathbb{C}$ -linear
- $\mathbb{C}$ -linear in the right side  $\forall w: z \mapsto (w|z)$   $\mathbb{C}$ -linear
- anti-symmetric:  $\forall w, z: (w|z) = (z|w)^*$
- positive:  $\forall z \neq 0_{\mathbb{C}^n}: (z|z) > 0.$

# Hilbert Space

## Definition.

*A (complex) Hilbert space is a set ("type") for which the operations*

- *vector addition (w/ zero, subtraction, unary minus etc)*
- *scalar multiplication with elements of  $\mathbb{C}$*
- *inner product*

*are defined and satisfy the laws.*

## Notes

- inner product is *conjugate-symmetric* and *"sesqui"-linear*
- $\text{norm}(z) = \|z\| := \sqrt{(z|z)}$  — no need to mention it

# Consequences of the Rules & Laws

**Theorem** (Cauchy-Schwarz).

*For all  $x, y$  we have  $|(x \mid y)| \leq \|x\| \cdot \|y\|$ .*

*Equality holds if, and only if,  $x, y$  are collinear*

*(i.e., there exist  $\alpha, \beta \in \mathbb{C}$ , at least one of them non-zero, with  $\alpha x = \beta y$ ).*

**Theorem** (Properties of a "Norm").

1. Triangle leq: For all  $x, y$  we have  $\|x + y\| \leq \|x\| + \|y\|$ .
2. Positive homogeneous: For all  $x$ , if  $\alpha \in \mathbb{C}$ , we have  $\|\alpha \cdot x\| = |\alpha| \cdot \|x\|$ .
3. Separation: For all  $x$ , if  $\|x\| = 0$ , then  $x = 0$ .

# WARNING

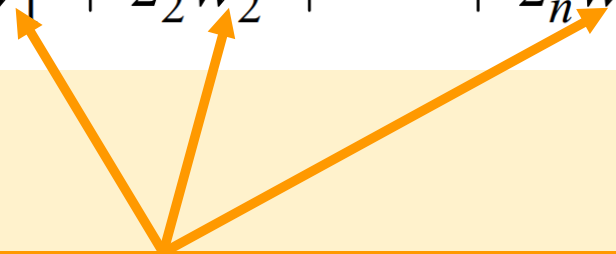
Between math & physics, in the  $\mathbb{C}$  inner product, left and right side are exchanged.

We follow the physics way because that's used in quantum CS.

## Schaum 1.8:

### Dot (Inner) Product in $\mathbb{C}^n$

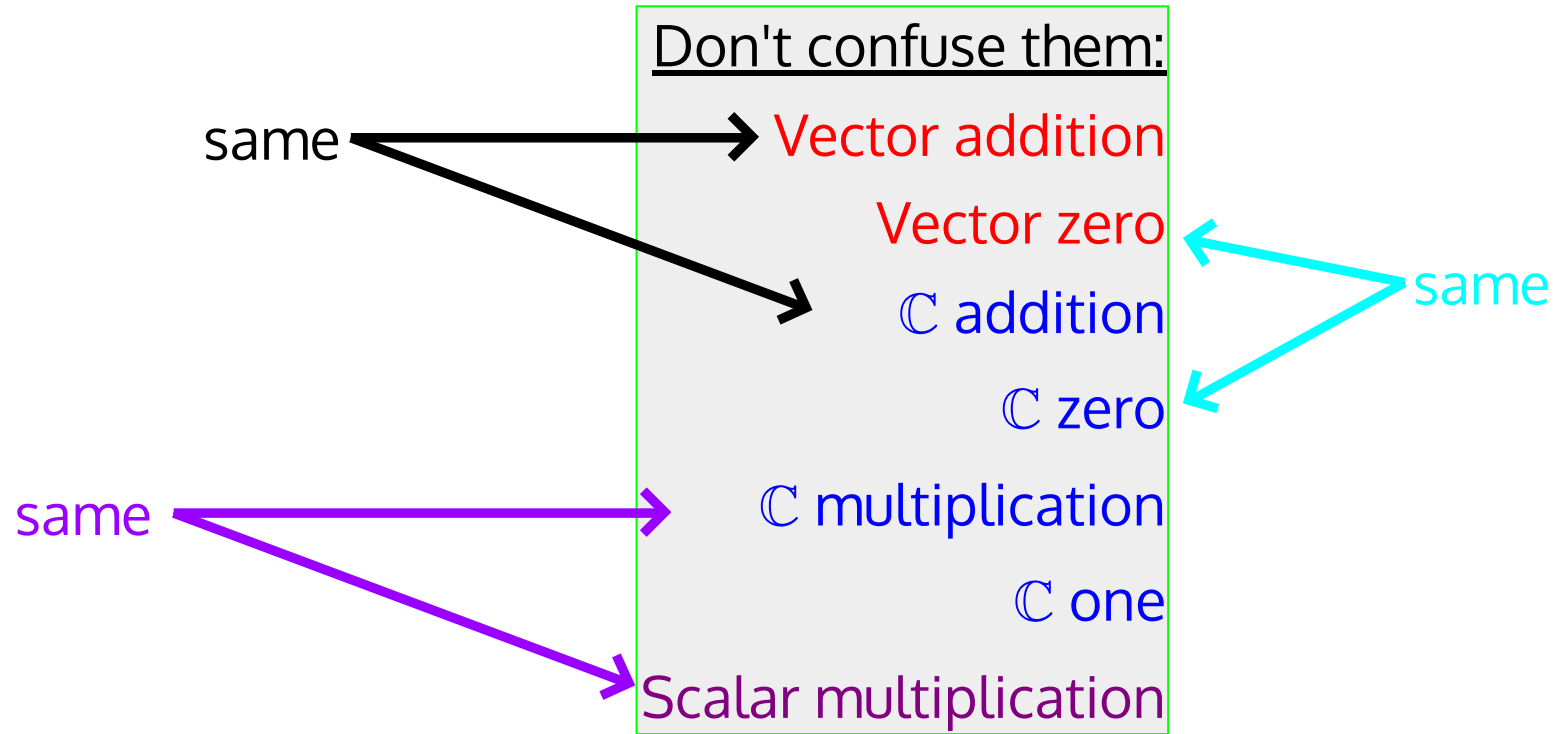
Consider vectors  $u = [z_1, z_2, \dots, z_n]$  and  $v = [w_1, w_2, \dots]$  denoted and defined by

$$u \cdot v = z_1 \bar{w}_1 + z_2 \bar{w}_2 + \dots + z_n \bar{w}_n$$


**Complex conjugation** (overline in Schaum) **should**  
**be on the left argument  $z$ , not  $w$ .**



# Symbols



# Matrix-vector multiplication

$$A = (A_{k,\ell})_{k=1\dots m, \ell=1\dots n} \in \mathbb{M}(m \times n)$$

$$x = (x_\ell)_{\ell=1\dots n}$$

$$(y_k)_{k=1\dots m} = y := A \cdot x$$

$$y_k = \sum_{\ell=1}^n A_{k,\ell} x_\ell$$

# Linear mappings

Suppose  $V, W$  are Hilbert spaces ( $V = W$  is, of course, allowed)

A mapping (aka function)  $f: V \rightarrow W$  is called *linear* if

1.  $\forall \alpha \in \mathbb{C}, x \in V: \quad f(\alpha x) = \alpha f(x)$
2.  $\forall x_1, x_2 \in V: \quad f(x_1 + x_2) = f(x_1) + f(x_2)$


$$\begin{aligned} \forall \alpha_1, \alpha_2 \in \mathbb{C}, \forall x_1, x_2 \in V: \\ f(\alpha_1 x_1 + \alpha_2 x_2) = \alpha_1 f(x_1) + \alpha_2 f(x_2) \end{aligned}$$

# Fancy names

In proper math-speak:

- A "**function**" takes values in the real or complex numbers, ...
- ... everything else is a "**mapping**"

For us in this course, we follow the computer science language:

- "Function" = "mapping"

In Hilbert space language:

- "**Operator**" = "linear mapping/function between Hilbert spaces"

#MrsMaisel

# Span

Let  $V$  be a Hilbert space.

We define the **Span** operation:

Input: Subset  $X$  of  $V$

Output: Set of all "linear combinations" of elements of  $X$ :

$$\text{Span}(X) := \left\{ \sum_{j=1}^m \alpha_j x_j \mid m \in \mathbb{Z}_+, \alpha_1, \dots, \alpha_m \in \mathbb{C}, x_1, \dots, x_m \in X \right\}$$

**Facts.** (Check them!!)

- $\text{Span}(X) \subseteq V$
- $X \subseteq \text{Span}(X)$
- *If  $X \subseteq Y$  then  $\text{Span}(X) \subseteq \text{Span}(Y)$*

# Quiz

1.  $\text{Span}(\emptyset) = ??$
2.  $\text{Span}(\{0\}) = ??$
3.  $\text{Span}(\{x\}) = ??$
4.  $\text{Span}(V) = ??$
5.  $\text{Span}(\text{Span}(X)) = ??$

# Orthogonality & Bases

Schaum's sections 7.5 - 7.7

# Orthogonality

1. Two vectors  $x, y$  are called *orthogonal* if  $(x \mid y) = 0$ .
2. Two subspaces  $U, V$  of a Hilbert space  $\mathcal{H}$  are called *orthogonal*, if  $\forall u \in U \forall v \in V: u, v$  are orthogonal.
3. A set of vectors  $X$  is called an *orthogonal system*, if  $\forall x, y \in X$  with  $x \neq y$ :  $x, y$  are orthogonal. (The phrase " $x_1, \dots, x_d$  is an orthonormal system" means that (a) the  $x_i$ 's are all distinct, and (b)  $\{x_1, \dots, x_d\}$  is an orthonormal system.)
4. A set of vectors  $X$  is called an *orthonormal system*, if it's an orthogonal system, and every  $x \in X$  has norm 1:  $\|x\| = 1$ .



# Orthogonality sanity check

1. If  $x$  is orthogonal to  $y$ , does that imply that  $y$  is orthogonal to  $x$ ???
2. Which vector(s) are orthogonal to the zero-vector?
3. Is there any vector that is are orthogonal to itself?
4. Are these two orthogonal?  $(i - 1, i, i + 1), (\sqrt{2}e^{i\pi/4}, -1, 3e^{-i\pi/4}/2)$

# Fundamental fact

## Theorem.

*Let  $x_1, \dots, x_d$  be an orthonormal system and  $y \in \text{Span}(X)$ .*

*1. Whenever  $y = \sum_j \alpha_j x_j$  then for all  $j$ :  $\alpha_j = (x_j \mid y)$ .*

*2.  $y = \sum_j x_j \cdot (x_j \mid y)$*

Consequence: If  $\sum_j \alpha_j x_j = 0$  then, for all  $j$ ,  $\alpha_j = 0$ .

# ONB (Orthonormal Basis)

If  $X$  is an orthonormal system, and  $\text{Span}(X) = \mathcal{H}$ , then  $X$  is called an *orthonormal basis* of  $\mathcal{H}$ .

The *dimension* of  $\mathcal{H}$  is the number of elements in an ONB.

For this to make sense, we need the following fact:

If  $X, Y$  are orthonormal systems with  $\text{Span}(X) = \text{Span}(Y)$ , then  $|X| = |Y|$ .

We will not prove that.

Every orthonormal system is an ONB of its span.

# Inner products & norms in different bases

**Fact.**

*Let  $b_1, \dots, b_d$  be an ONS with span  $U$ , and let*

- $x = \sum_{j=1}^d \alpha_j b_j \ (\in U),$
- $y = \sum_{j=1}^d \beta_j b_j \ (\in U).$

*Then*

$$(x \mid y) = \sum_{j=1}^d \alpha_j^* \beta_j$$

*and*

$$\|x\|^2 = \sum_{j=1}^d |\alpha_j|^2$$

Hilbert space: ☒





Show that the vectors  $u=(1,1,0)$ ,  $v=(1,3,2)$ ,  $w=(4,9,5)$  are linearly dependent or independent.

Show that the vectors  $u=(1,2,3)$ ,  $v=(2,5,7)$ ,  $w=(1,3,5)$  are linearly dependent or independent.

Suppose  $z=2+3i$ ,  $w=5-2i$ )

Calculate the absolute value of the complex numbers.

Suppose  $u=[2+3i, 4-i, 5-2i)$ ,  $v=[3-4i, 5i, 4-2i]$

Calculate the norm of the vectors.