

UNIT - 3

Deadlock

P_1 } P_1 P_2
 Printer
 Scanner

* Both require printer and scanner.

* P_1 wants printer and later wants scanner

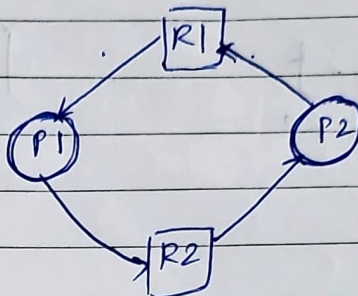
* P_2 wants scanner first and later wants printer

* After printer, P_1 goes to ~~printer~~ waiting state since scanner is not available.

* similar to P_2 also.

To use Resource:

- * Process makes req.
- * Use the resource to max limit
- * Return back the resource.



* Unsafe situation - when we don't know when the resource is not available.

* Deadlock is a unsafe situation but All unsafe situation don't lead to deadlock.

Necessary conditions for Deadlock

- 1 Mutual exclusion
- 2 Hold and wait
- 3 Circular wait
- 4 No pre-emption

one ~~less~~ process has to wait for other to complete

no two process will ~~exist~~ same resource at same time

* hold ~~for~~ one resource and wait for resource.

* wait for one process to complete execution & forcibly you can't take a resource from a process.

Deadlock representation using

* resource allocation graph.

$P = \{P_1, P_2, \dots, P_n\}$

$R = \{R_1, R_2, \dots, R_m\}$

$E = \{P_i \rightarrow R_j, R_k \leftarrow P_m\}$

eg: $P = \{P_1, P_2, P_3\}$

$R = \{R_1, R_2, R_3, R_4\}$

$E = \{R_1 \leftarrow P_1, R_2 \leftarrow P_2,$

$R_1 \rightarrow P_2, R_2 \rightarrow P_2,$

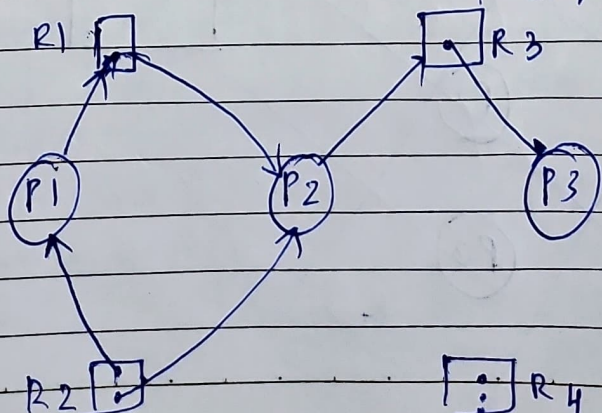
$R_3 \rightarrow P_1, R_3 \rightarrow P_3\}$

$P_1 \rightarrow R_1, P_2 \rightarrow R_3\}$

note:

$R \rightarrow P$ assignment edge.

$P \rightarrow R$ request edge

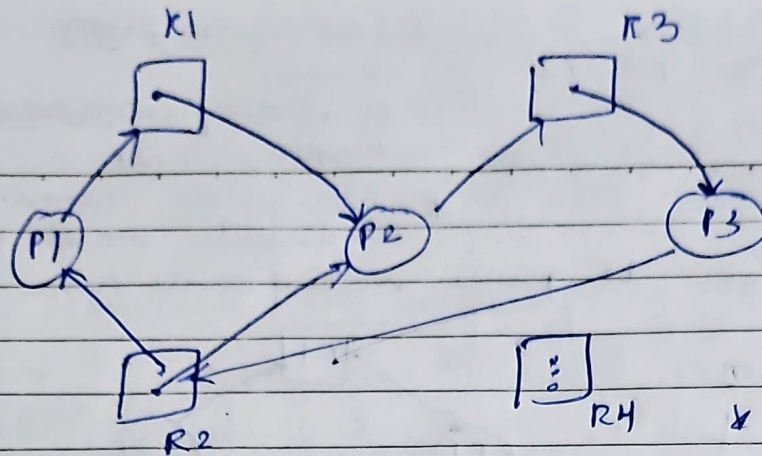


* P_1 is holding R_2 and waiting for R_1
 * P_2 also
 * P_3 is holding R_3 but waiting for none.

order for execution $\langle P_3, P_2, P_1 \rangle$.

* Hence it is in safe state

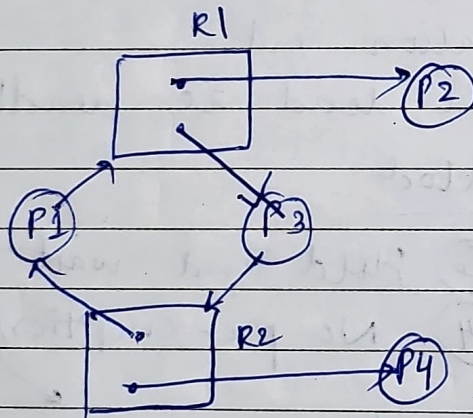
eg 2



* All processes are in hold and waiting and circular wait

* Hence deadlock.

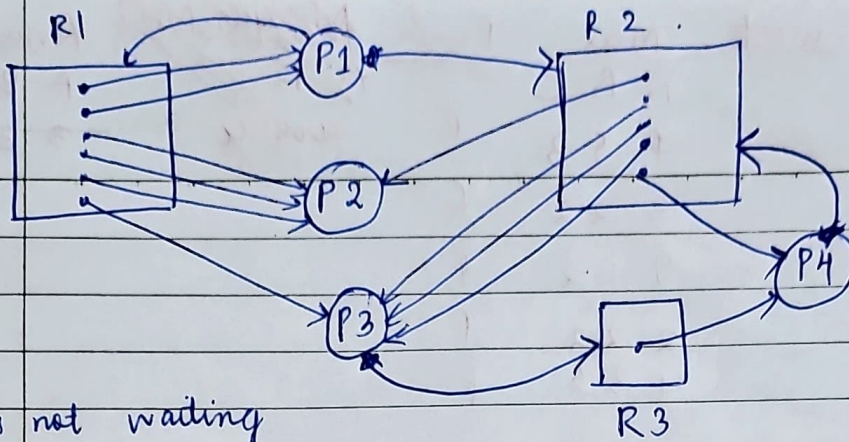
eg 3



* P2 and P4 are not waiting for any resource

* ~~Hence safe situation~~

* Hence not deadlock.



Date : _____

- * P2 is not waiting for any resource
- * not a deadlock

P2 → P1 → P4 → P3

Deadlock Handling Techniques

- 1) Deadlock prevention
- 2) Deadlock avoidance
- 3) Deadlock Detection and Recovery

Safety algo (check if result is unsafe or not)

Resource allocation algo
(extra resource will be given to process)

12 mark

Safety Algo

1. Let Work and Finish be vectors of length m and n ,
Work = Available
Finish[i] = false for $i = 0, 1, \dots, n-1$

2. Find index i such that
Finish[i] = false
Need_i ≤ Work

If no such i exists,
go to step 4.

3. Work = Work + Allocation
Finish[i] = true

go to step 2.

4. if Finish[i] = true for all i ,
then system is in safe state.

Resource Req. Algo

Let Request_i be request vector for process P_i . If Request_i[j] = k , then P_i wants k instances of Resource R_j . When request for resource is made,

1. if Request_i ≤ Need, go to (2).
otherwise raise error since process exceeded max. claim.

2. If Request_i ≤ Available, go to (3).
Otherwise P_i must wait since resource not available.

3. Modify
Available -= Request_i
Allocation_i += Request_i
Need_i -= Request_i

	Allocation work	Max	Finish	Max Need	Available
	A B C	A B C		A B C	A B C
P ₀	0 1 0	7 5 3	F	3 3 2	3 3 2
P ₁	2 0 0	3 2 2	F		
P ₂	3 0 2	9 0 2	F		
P ₃	2 1 1	2 2 2	F		
P ₄	0 0 2	4 3 3	F		

$$\begin{array}{r}
 3 \ 0 \ 0 \\
 2 \ 0 \ 2 \\
 \hline
 2 \ 5 \ 0 \\
 2 \ 5 \ 1 \\
 \hline
 0 \ 1 \ 1 \\
 2 \ 6 \ 1
 \end{array}$$

note: $\boxed{\text{need} = \text{max} - \text{allocation}}$

	Need \rightarrow (2 marks)	Work	Finish
	A B C	A B C	
P ₀	7 4 3	3 3 2	F
P ₁	1 2 2		F
P ₂	6 0 0		F
P ₃	0 1 1		F
P ₄	4 3 1		F

At time t_0 , work = 3 3 2.

Here: $\text{Need}(P_1) \leq \text{work}$ and $\text{Finish}[1] = 1$

$$\begin{aligned}
 &1 \ 2 \ 2 \leq 3 \ 3 \ 2 \\
 \therefore \text{Work} &= \text{work} + \text{allocation}(P_1) \\
 &= 3 \ 3 \ 2 + 2 \ 0 \ 0 \\
 &= 5 \ 3 \ 2
 \end{aligned}$$

Finish[1] = T

At time t_1 , work = 5 3 2

Need(P₃) \leq work

$$\begin{aligned}
 \therefore \text{work} &= \text{work} + \text{allocation}(P_3) \\
 &= 5 \ 3 \ 2 + 2 \ 1 \ 1
 \end{aligned}$$

$$= 7 \ 4 \ 3$$

Finish[3] = T

At time t_2 , work = 7 4 3

Need(P₄) \leq work

$$\begin{aligned}
 \therefore \text{Work} &= 7 \ 4 \ 3 + 0 \ 0 \ 2 \\
 &= 7 \ 4 \ 5
 \end{aligned}$$

At time t_3 , work = 7 4 5

Need (P_2) \leq Work

$$\therefore \text{Work} = 7 \ 4 \ 5 + \cancel{3 \ 0 \ 2} \quad (3 \ 0 \ 2)$$

At time t_4 , work = ~~7 5 5~~ ~~10 4 7~~ ~~7 5 5~~ (10 4 7)

Need (P_2) \leq Work (10 4 7)

$$\therefore \text{Work} = 10 \ 4 \ 7 + \cancel{3 \ 0 \ 2} = 10 \ 5 \ 7$$

Since Finish [i] = true for all processes, the system is in safe state.

Safe sequence ~~order~~: P_1, P_3, P_4, P_2, P_0 .

Q) If P_1 requests for $\langle 1 \ 0 \ 2 \rangle$ then is it considered or rejected?

1. P_1 request = (1 0 2)

P_1 Need = (1 2 2)

$\therefore \text{Request}(P_1) \leq \text{Need}(P_1)$

2. Available (P_1) = 3 3 2

Request (P_1) \leq Available

3. Available = Available - Req

$$= \begin{array}{r} 3 \ 3 \ 2 \\ - 1 \ 0 \ 2 \\ \hline \end{array}$$

$$\text{Available} = \underline{\underline{2 \ 3 \ 0}}$$

Need (P_1) = Need - Request

$$= \begin{array}{r} 1 \ 2 \ 2 \\ - 1 \ 0 \ 2 \\ \hline \end{array}$$

$$\text{Need}(P_1) = \underline{\underline{0 \ 2 \ 0}}$$

Alloc = Alloc + Req

$$= \begin{array}{r} 2 \ 0 \ 0 \\ + 1 \ 0 \ 2 \\ \hline \end{array}$$

$$\text{Allocation}(P_1) = \underline{\underline{3 \ 0 \ 2}}$$

Now perform safety algorithm to check if it is safe by changing above values.

$\langle P_1, P_3, P_4 \rangle$