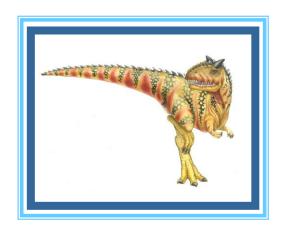
# Chapter 12: File System Implementation





# **Chapter 12: File System Implementation**

- ☐ File-System Structure
- ☐ File-System Implementation
- Directory Implementation
- Allocation Methods
- Free-Space Management

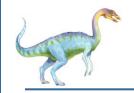




#### **Objectives**

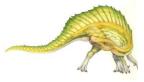
- To describe the details of implementing local file systems and directory structures
- To describe the implementation of remote file systems
- To discuss block allocation and free-block algorithms and tradeoffs





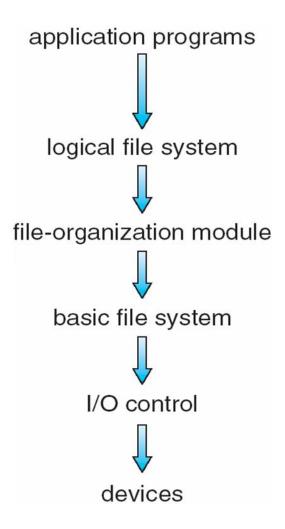
#### File-System Structure

- File structure
  - Logical storage unit
  - Collection of related information
- ☐ File system resides on secondary storage (disks)
  - Provided user interface to storage, mapping logical to physical
  - Provides efficient and convenient access to disk by allowing data to be stored, located retrieved easily
- Disk provides in-place rewrite and random access
  - I/O transfers performed in blocks of sectors (usually 512 bytes)
- □ File control block storage structure consisting of information about a file
- Device driver controls the physical device
- File system organized into layers





#### Layered File System







#### File System Layers

- Device drivers manage I/O devices at the I/O control layer
  - Given commands like "read drive1, cylinder 72, track 2, sector 10, into memory location 1060" outputs low-level hardware specific commands to hardware controller
- Basic file system given command like "retrieve block 123" translates to device driver
- Also manages memory buffers and caches (allocation, freeing, replacement)
  - Buffers hold data in transit
  - Caches hold frequently used data
- File organization module understands files, logical address, and physical blocks
- Translates logical block # to physical block #
- Manages free space, disk allocation





#### File System Layers (Cont.)

- Logical file system manages metadata information
  - Translates file name into file number, file handle, location by maintaining file control blocks (inodes in UNIX)
  - Directory management
  - Protection
- Layering useful for reducing complexity and redundancy, but adds overhead and can decrease performanceTranslates file name into file number, file handle, location by maintaining file control blocks (inodes in UNIX)
  - Logical layers can be implemented by any coding method according to OS designer

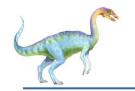




## File System Layers (Cont.)

- Many file systems, sometimes many within an operating system
  - □ Each with its own format (CD-ROM is ISO 9660; Unix has UFS, FFS; Windows has FAT, FAT32, NTFS as well as floppy, CD, DVD Blu-ray, Linux has more than 40 types, with extended file system ext2 and ext3 leading; plus distributed file systems, etc.)
  - New ones still arriving ZFS, GoogleFS, Oracle ASM, FUSE





#### File-System Implementation

- ☐ We have system calls at the API level, but how do we implement their functions?
  - On-disk and in-memory structures

What is file? Explain various allocation methods to implement a file system.

- Boot control block contains info needed by system to boot OS from that volume
  - Needed if volume contains OS, usually first block of volume
- Volume control block (superblock, master file table) contains volume details
  - Total # of blocks, # of free blocks, block size, free block pointers or array
- □ Directory structure organizes the files
  - Names and inode numbers, master file table





## File-System Implementation (Cont.)

- Per-file File Control Block (FCB) contains many details about the file
  - inode number, permissions, size, dates
  - NFTS stores into in master file table using relational DB structures

file permissions

file dates (create, access, write)

file owner, group, ACL

file size

file data blocks or pointers to file data blocks





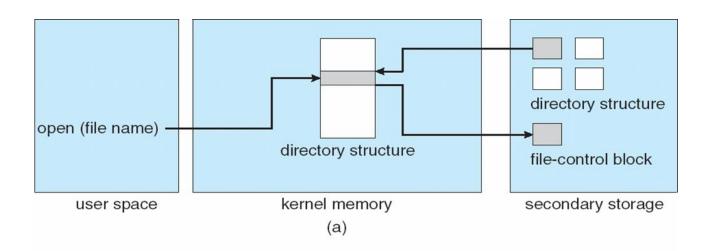
## **In-Memory File System Structures**

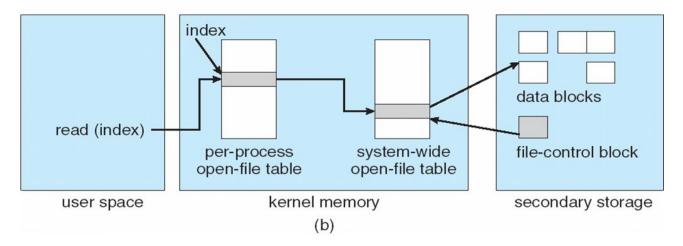
- Mount table storing file system mounts, mount points, file system types
- The following figure illustrates the necessary file system structures provided by the operating systems
- ☐ Figure 12-3(a) refers to opening a file
- □ Figure 12-3(b) refers to reading a file
- Plus buffers hold data blocks from secondary storage
- Open returns a file handle for subsequent use
- Data from read eventually copied to specified user process memory address





#### **In-Memory File System Structures**









#### **Partitions and Mounting**

- Partition can be a volume containing a file system ("cooked") or
   raw just a sequence of blocks with no file system
- Boot block can point to boot volume or boot loader set of blocks that contain enough code to know how to load the kernel from the file system
  - Or a boot management program for multi-os booting
- Root partition contains the OS, other partitions can hold other Oses, other file systems, or be raw
  - Mounted at boot time
  - Other partitions can mount automatically or manually
- At mount time, file system consistency checked
  - Is all metadata correct?
    - If not, fix it, try again
    - If yes, add to mount table, allow access

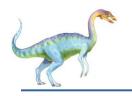




#### **Virtual File Systems**

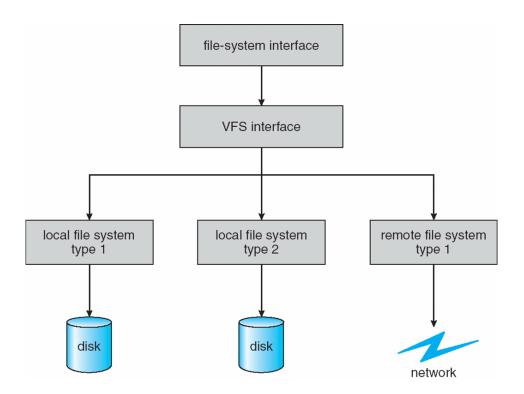
- Virtual File Systems (VFS) on Unix provide an object-oriented way of implementing file systems
- VFS allows the same system call interface (the API) to be used for different types of file systems
  - Separates file-system generic operations from implementation details
  - Implementation can be one of many file systems types, or network file system
    - Implements vnodes which hold inodes or network file details
  - Then dispatches operation to appropriate file system implementation routines





## Virtual File Systems (Cont.)

The API is to the VFS interface, rather than any specific type of file system

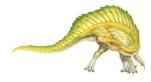






#### **Virtual File System Implementation**

- For example, Linux has four object types:
  - □ inode, file, superblock, dentry
- VFS defines set of operations on the objects that must be implemented
  - Every object has a pointer to a function table
    - Function table has addresses of routines to implement that function on that object
    - For example:
    - int open (. . .)—Open a file
    - int close (. . .)—Close an already-open file
    - ssize t read(. . .)—Read from a file
    - ssize t write(. . .)—Write to a file
    - int mmap(. . .)—Memory-map a file





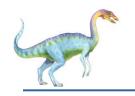
#### **Directory Implementation**

- Linear list of file names with pointer to the data blocks
  - Simple to program

Describe the methods used for implementing the directories.

- Time-consuming to execute
  - Linear search time
  - Could keep ordered alphabetically via linked list or use B+ tree
- □ Hash Table linear list with hash data structure
  - Decreases directory search time
  - Collisions situations where two file names hash to the same location
  - Only good if entries are fixed size, or use chained-overflow method





#### **Allocation Methods - Contiguous**

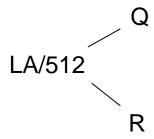
- An allocation method refers to how disk blocks are allocated for files:
- What is file?
  Explain various allocation methods to implement a file system.
- Contiguous allocation each file occupies set of contiguous blocks
  - Best performance in most cases
  - Simple only starting location (block #) and length (number of blocks) are required
  - Problems include finding space for file, knowing file size, external fragmentation, need for compaction off-line (downtime) or on-line



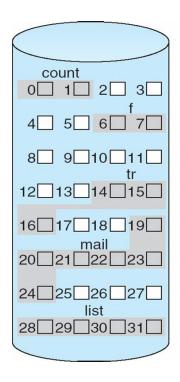


#### **Contiguous Allocation**

Mapping from logical to physical



Block to be accessed = Q + starting address
Displacement into block = R



directory		
file	start	length
count	0	2
tr	14	3
mail	19	6
list	28	4
f	6	2





#### **Extent-Based Systems**

- Many newer file systems (i.e., Veritas File System) use a modified contiguous allocation scheme
- Extent-based file systems allocate disk blocks in extents
- An extent is a contiguous block of disks
  - Extents are allocated for file allocation.
  - A file consists of one or more extents





#### Allocation Methods - Linked

- □ Linked allocation each file a linked list of blocks
  - File ends at nil pointer
  - No external fragmentation
  - Each block contains pointer to next block
  - No compaction, external fragmentation
  - Free space management system called when new block needed
  - Improve efficiency by clustering blocks into groups but increases internal fragmentation
  - Reliability can be a problem
  - Locating a block can take many I/Os and disk seeks





#### Allocation Methods – Linked (Cont.)

- □ FAT (File Allocation Table) variation
  - Beginning of volume has table, indexed by block number
  - Much like a linked list, but faster on disk and cacheable
  - New block allocation simple

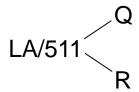




#### **Linked Allocation**

 Each file is a linked list of disk blocks: blocks may be scattered anywhere on the disk

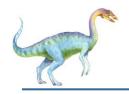
Mapping



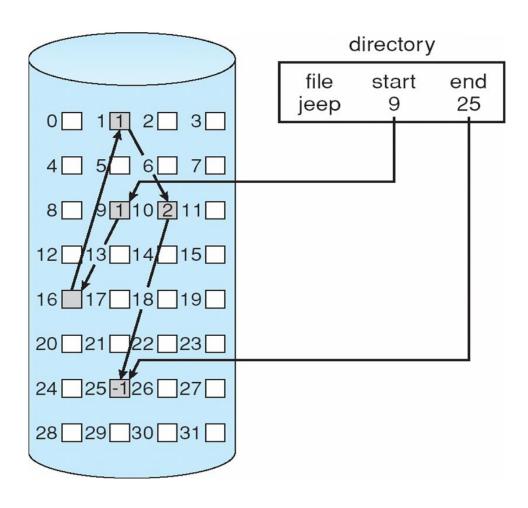
Block to be accessed is the Qth block in the linked chain of blocks representing the file.

Displacement into block = R + 1



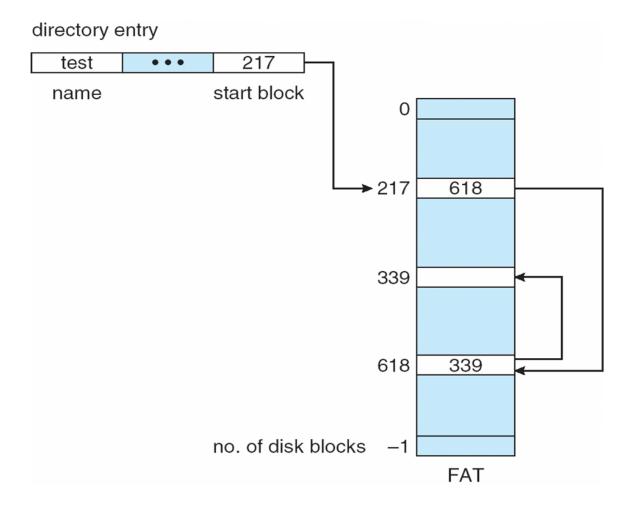


#### **Linked Allocation**

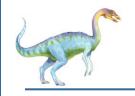




#### **File-Allocation Table**

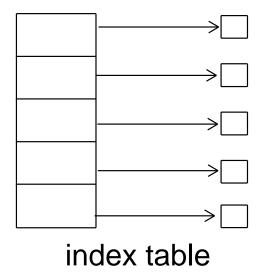






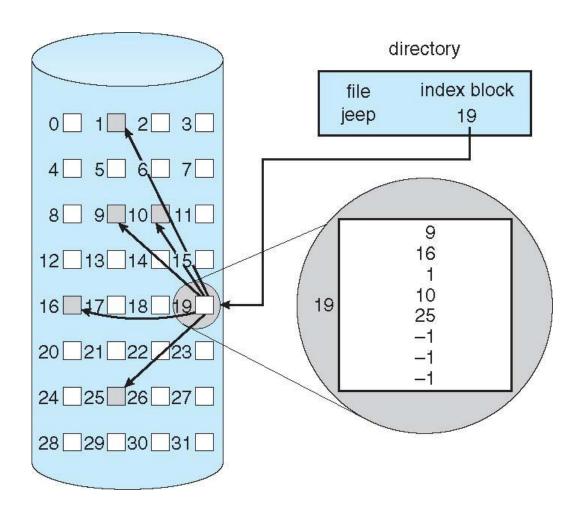
#### **Allocation Methods - Indexed**

- Indexed allocation
  - Each file has its own index block(s) of pointers to its data blocks
- Logical view





#### **Example of Indexed Allocation**

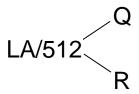






#### **Indexed Allocation (Cont.)**

- Need index table
- Random access
- Dynamic access without external fragmentation, but have overhead of index block
- Mapping from logical to physical in a file of maximum size of 256K bytes and block size of 512 bytes. We need only 1 block for index table



Q = displacement into index table

R = displacement into block

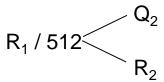




- Mapping from logical to physical in a file of unbounded length (block size of 512 words)
- Linked scheme Link blocks of index table (no limit on size)

LA / (512 x 511) 
$$\stackrel{Q_1}{=}$$
  $R_1$ 

 $Q_1$  = block of index table  $R_1$  is used as follows:

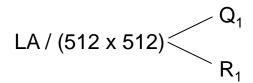


 $Q_2$  = displacement into block of index table  $R_2$  displacement into block of file:

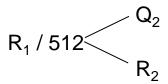




Two-level index (4K blocks could store 1,024 four-byte pointers in outer index -> 1,048,567 data blocks and file size of up to 4GB)



 $Q_1$  = displacement into outer-index  $R_1$  is used as follows:

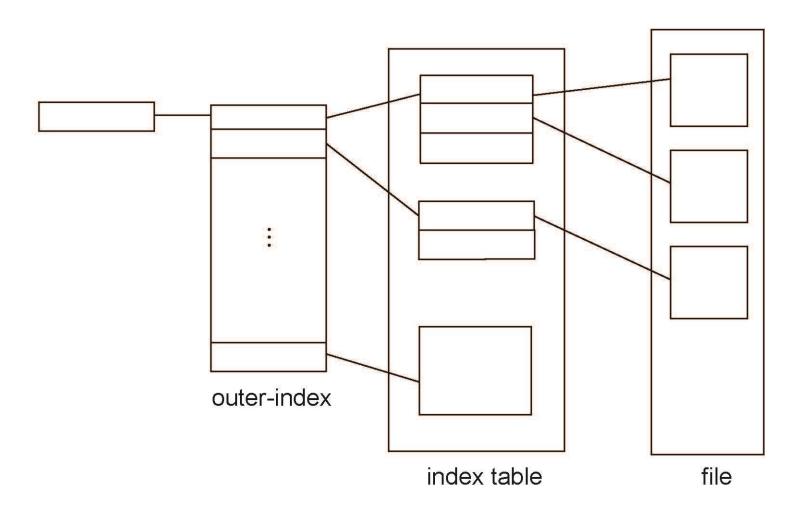


 $Q_2$  = displacement into block of index table  $R_2$  displacement into block of file:





## Indexed Allocation - Mapping (Cont.)

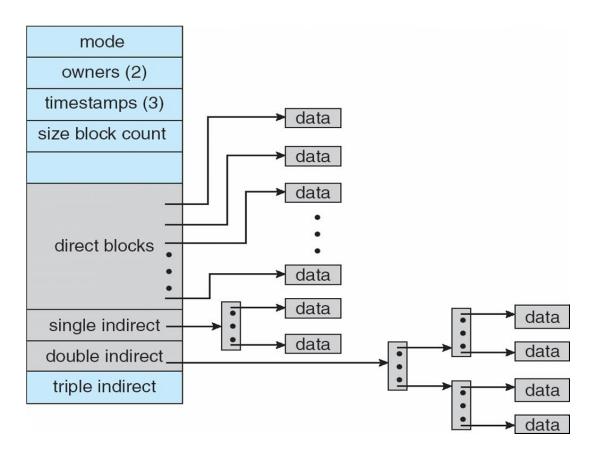






#### **Combined Scheme: UNIX UFS**

4K bytes per block, 32-bit addresses



More index blocks than can be addressed with 32-bit file pointer



#### **Performance**

- Best method depends on file access type
  - Contiguous great for sequential and random
- ☐ Linked good for sequential, not random
- Declare access type at creation -> select either contiguous or linked
- Indexed more complex
  - Single block access could require 2 index block reads then data block read
  - Clustering can help improve throughput, reduce CPU overhead





#### Performance (Cont.)

- Adding instructions to the execution path to save one disk I/O is reasonable
  - □ Intel Core i7 Extreme Edition 990x (2011) at 3.46Ghz = 159,000 MIPS
    - http://en.wikipedia.org/wiki/Instructions\_per\_second
  - Typical disk drive at 250 I/Os per second
    - ▶ 159,000 MIPS / 250 = 630 million instructions during one disk I/O
  - Fast SSD drives provide 60,000 IOPS
    - ▶ 159,000 MIPS / 60,000 = 2.65 millions instructions during one disk I/O

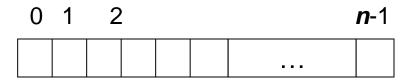




#### **Free-Space Management**

- ☐ File system maintains free-space list to track available blocks/clusters
  - (Using term "block" for simplicity)
- ☐ Bit vector or bit map (*n* blocks)

What is free space list? Explain bitvector method to implement free-space list.



$$bit[i] = \begin{cases} 1 \Rightarrow block[i] \text{ free} \\ 0 \Rightarrow block[i] \text{ occupied} \end{cases}$$

Block number calculation

(number of bits per word) \* (number of 0-value words) + offset of first 1 bit

CPUs have instructions to return offset within word of first "1" bit



#### Free-Space Management (Cont.)

- ☐ Bit map requires extra space
  - Example:

```
block size = 4KB = 2^{12} bytes
disk size = 2^{40} bytes (1 terabyte)
\mathbf{n} = 2^{40}/2^{12} = 2^{28} bits (or 32MB)
if clusters of 4 blocks -> 8MB of memory
```

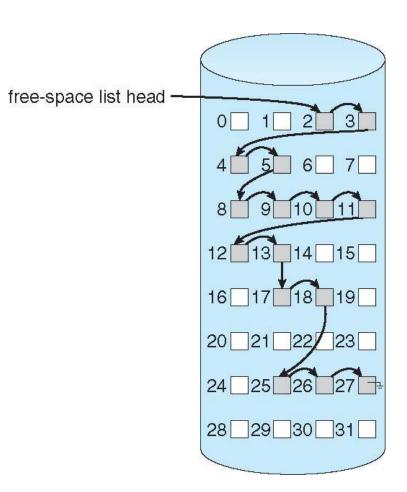
Easy to get contiguous files



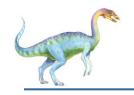


#### **Linked Free Space List on Disk**

- ☐ Linked list (free list)
  - Cannot get contiguous space easily
  - No waste of space
  - No need to traverse the entire list (if # free blocks recorded)







## Free-Space Management (Cont.)

#### Grouping

Modify linked list to store address of next n-1 free blocks in first free block, plus a pointer to next block that contains free-blockpointers (like this one)

#### Counting

- Because space is frequently contiguously used and freed, with contiguous-allocation allocation, extents, or clustering
  - Keep address of first free block and count of following free blocks
  - Free space list then has entries containing addresses and counts





## Free-Space Management (Cont.)

- Space Maps
  - Used in ZFS
  - Consider meta-data I/O on very large file systems
    - Full data structures like bit maps couldn't fit in memory -> thousands of I/Os
  - Divides device space into metaslab units and manages metaslabs
    - Given volume can contain hundreds of metaslabs
  - Each metaslab has associated space map
    - Uses counting algorithm
  - But records to log file rather than file system
    - Log of all block activity, in time order, in counting format
  - Metaslab activity -> load space map into memory in balanced-tree structure, indexed by offset
    - Replay log into that structure
    - Combine contiguous free blocks into single entry



# **End of Chapter 12**

