

# Lecture 11: Word representations and Recurrent Neural Networks

**Radoslav Neychev**

18.11.2019, MIPT  
Moscow, Russia

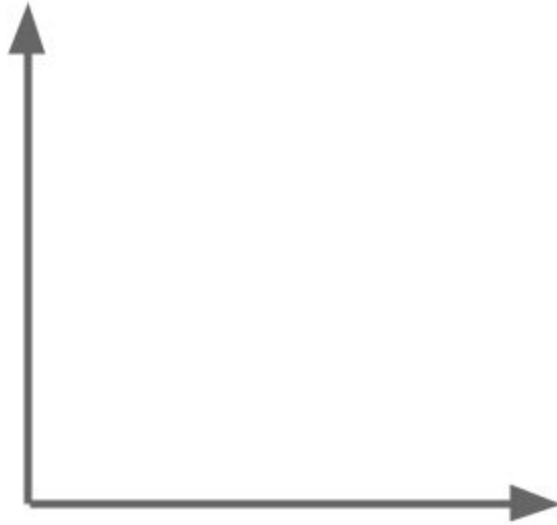
1. Classic word representations
2. Word embeddings
3. RNN intuitions
4. LSTM
5. Relations to CNN
6. Names generation from scratch
7. Q & A

Optional: Small attention outro

- Layers
  - a. Dense layer (*done*)
  - b. Convolutional layer (*next lecture*)
  - c. Pooling layer (*next lecture*)
  - d. Dropout layer (*done*)
  - e. Batchnorm layer (batch normalization) (*done*)
  - f. Embeddings (aka word2vec, GloVe) (*today*)
  - g. Recurrent layers (*today*)

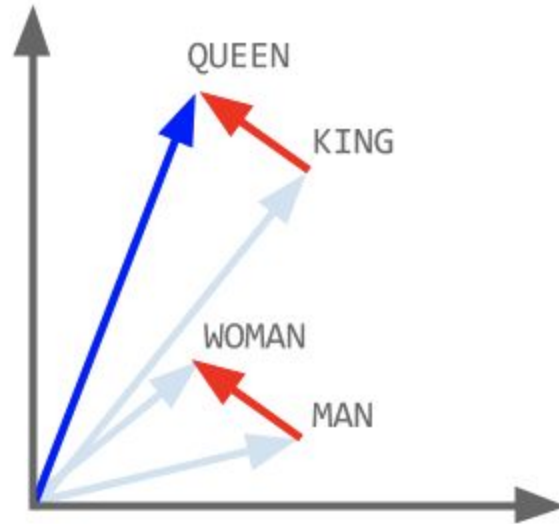
# Embeddings: intuition

What is king - man + woman?



# Embeddings: intuition

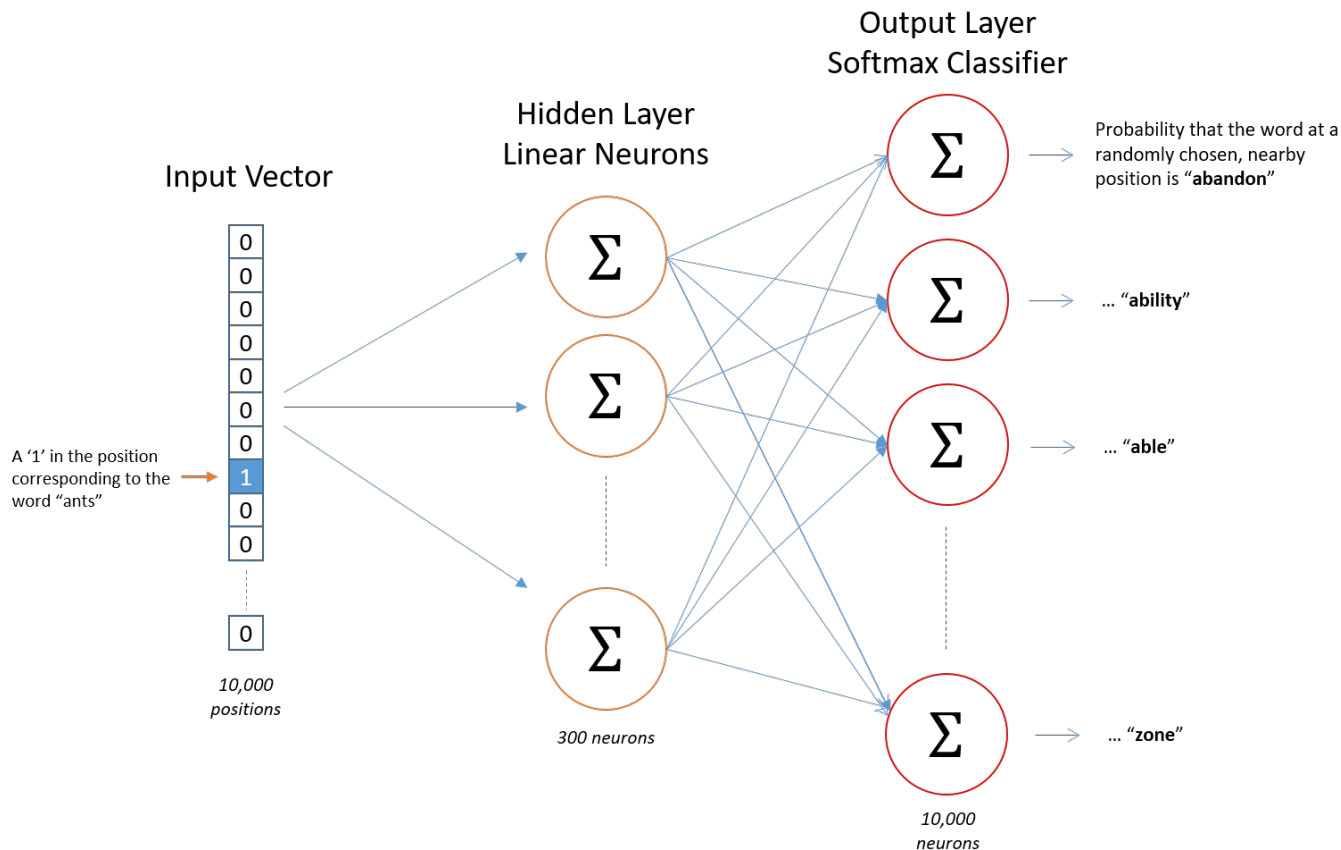
So  $\text{king} - \text{man} + \text{woman} = \text{queen!}$



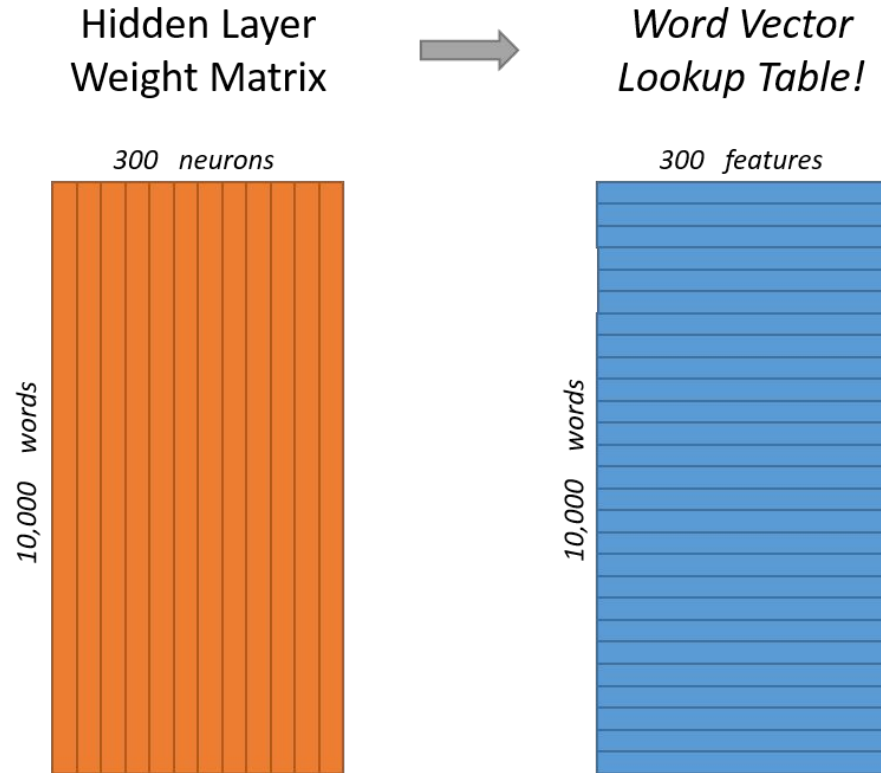
# Embeddings: word2vec

Source Text	Training Samples					
<table><tr><td>The</td><td>quick</td><td>brown</td></tr></table> fox jumps over the lazy dog. ➡	The	quick	brown	(the, quick) (the, brown)		
The	quick	brown				
The <table><tr><td>quick</td><td>brown</td><td>fox</td></tr></table> jumps over the lazy dog. ➡	quick	brown	fox	(quick, the) (quick, brown) (quick, fox)		
quick	brown	fox				
The quick <table><tr><td>brown</td><td>fox</td><td>jumps</td></tr></table> over the lazy dog. ➡	brown	fox	jumps	(brown, the) (brown, quick) (brown, fox) (brown, jumps)		
brown	fox	jumps				
The <table><tr><td>quick</td><td>brown</td><td>fox</td><td>jumps</td><td>over</td></tr></table> the lazy dog. ➡	quick	brown	fox	jumps	over	(fox, quick) (fox, brown) (fox, jumps) (fox, over)
quick	brown	fox	jumps	over		

# Embeddings: word2vec



# Embeddings: word2vec





# Embeddings: word2vec

- Word vectors with 300 components
- Vocabulary of 10,000 words.
- Weight matrix with  $300 \times 10,000 = 3$  million weights each!

Training is too long and computationally expensive

## How to fix this?

# Embeddings: word2vec

Basic approaches:

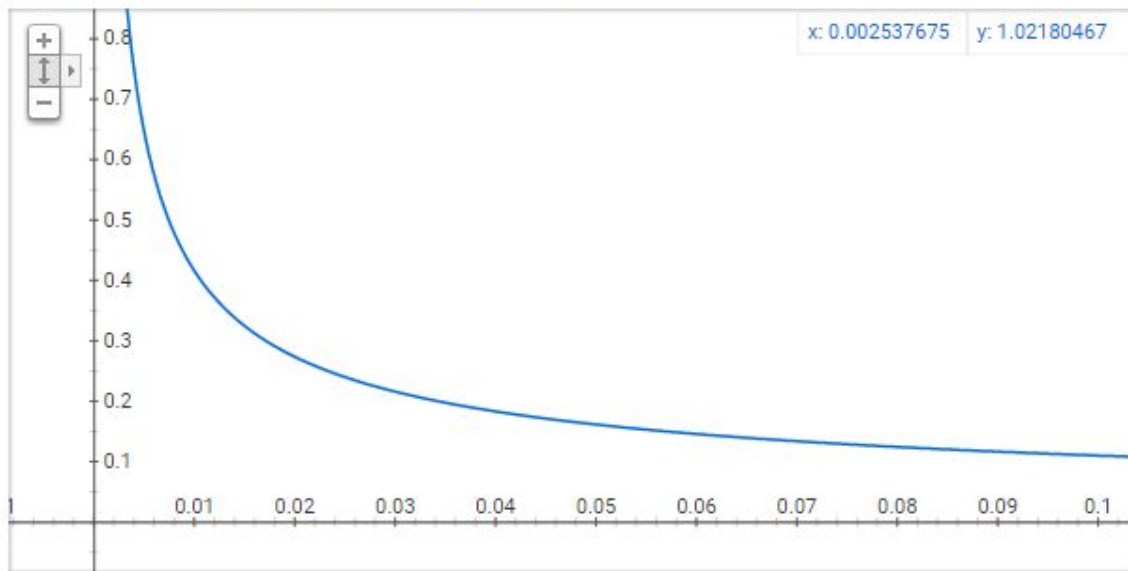
1. Treating common word pairs or phrases as single “words” in their model.
2. Subsampling frequent words to decrease the number of training examples.
3. Modifying the optimization objective with a technique they called “Negative Sampling”, which causes each training sample to update only a small percentage of the model’s weights.

# Embeddings: word2vec

Subsampling frequent words.

$w_i$  is the word,  $z(w_i)$  is the fraction of this word in the whole text,

Graph for  $(\sqrt{x/0.001}+1)*0.001/x$



$P(w_i)$  is the probability of *keeping* the word:

$$P(w_i) = \left( \sqrt{\frac{z(w_i)}{0.001}} + 1 \right) \cdot \frac{0.001}{z(w_i)}$$

Source: <http://mccormickml.com/2017/01/11/word2vec-tutorial-part-2-negative-sampling/>

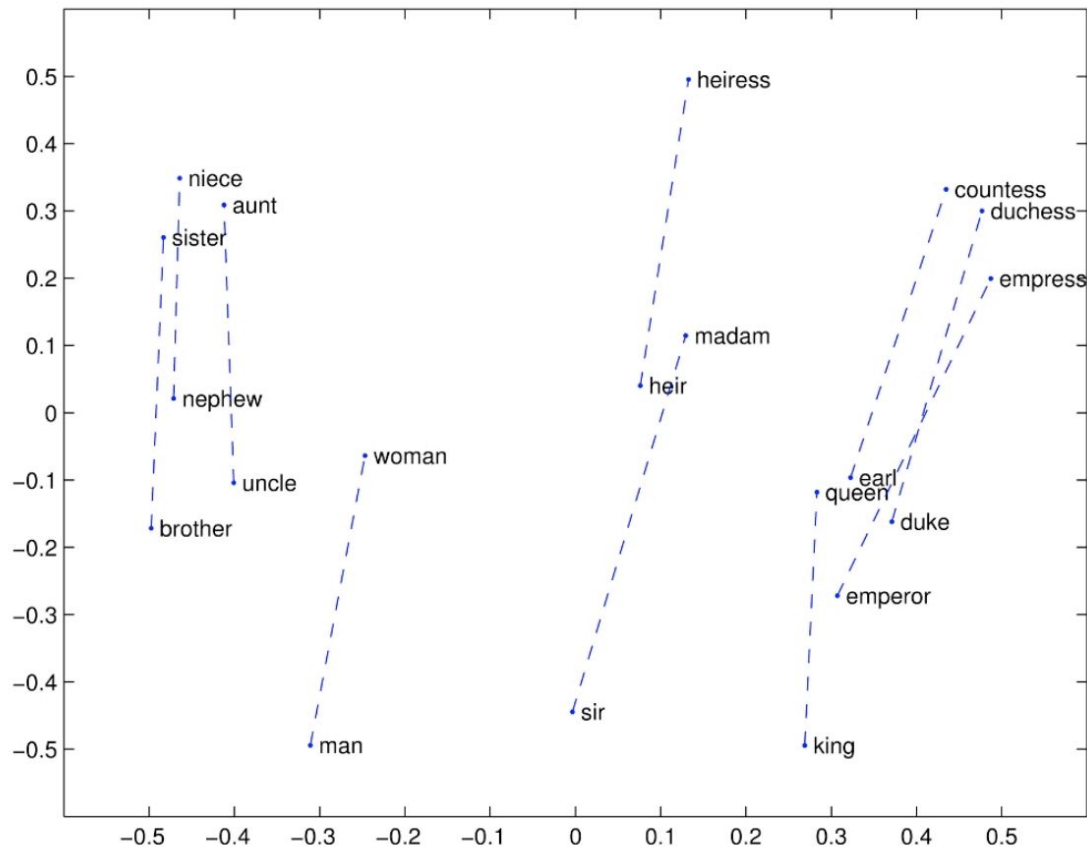
# Embeddings: negative sampling

Negative Sampling idea: only few words error is computed. All other words has zero error, so no updates by the backprop mechanism.

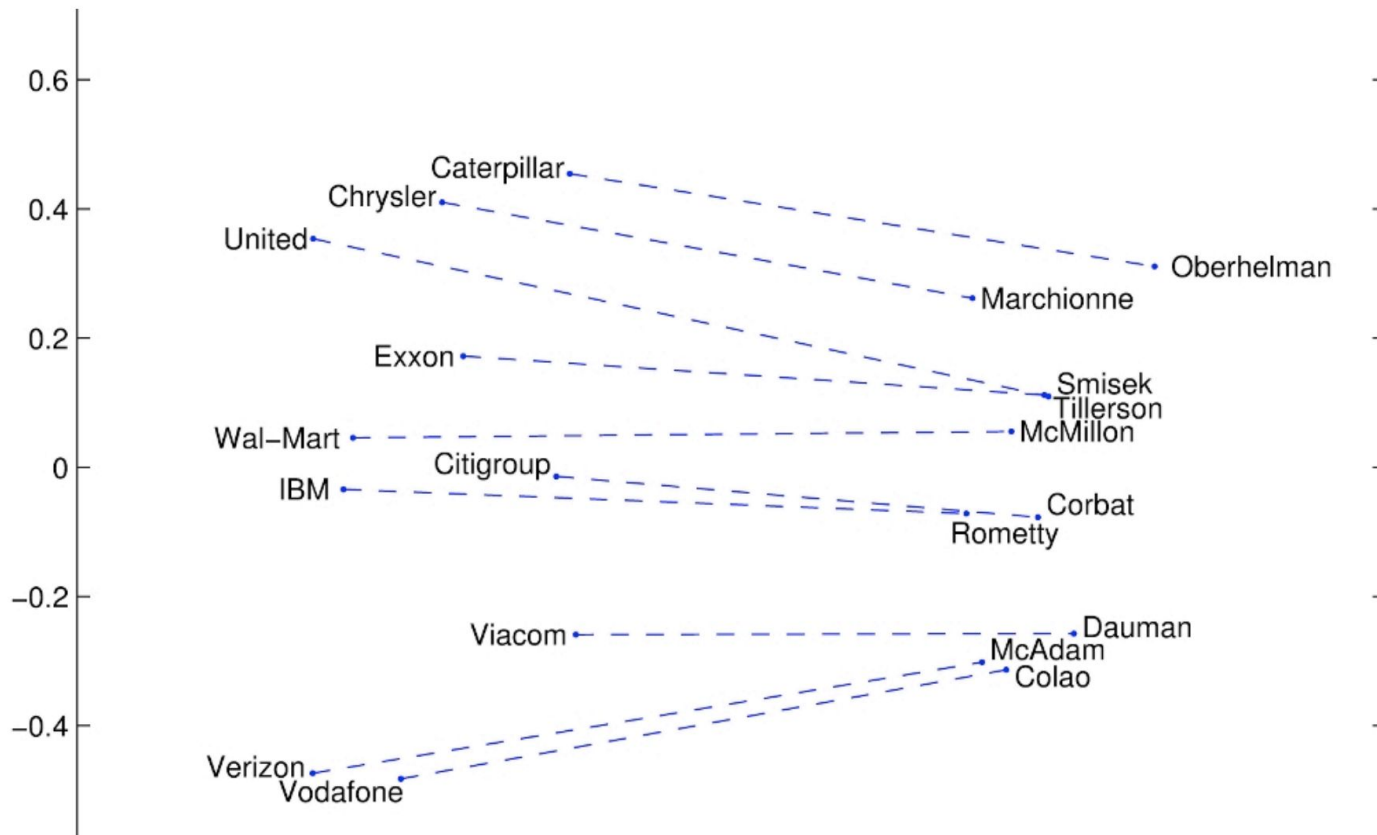
More frequent words are selected to be negative samples more often. The probability for a selecting a word is just it's weight divided by the sum of weights for all words.

$$P(w_i) = \frac{f(w_i)^{3/4}}{\sum_{j=0}^n (f(w_j)^{3/4})}$$

# GloVe Visualizations



# GloVe Visualizations: Company - CEO



# Small outro about word representations

Word vectors are simply vectors of numbers that represent the meaning of a word

Approaches:

- One-hot encoding
- Bag-of-words models
- Counts of word / context co-occurrences
- TF-IDF
- Predictions of context given word (skip-gram neural network models, e.g. word2vec)

# RNNs generating...

## Shakespeare

PANDARUS:  
Alas, I think he shall be come approached and the day  
When little strain would be attain'd into being never fed,  
And who is but a chain and subjects of his death,  
I should not sleep.

Second Senator:  
They are away this miseries, produced upon my soul,  
Breaking and strongly should be buried, when I perish  
The earth and thoughts of many states.

DUKE VINCENTIO:  
Well, your wit is in the care of side and that.

Second Lord:  
They would be ruled after this chamber, and  
my fair nues begun out of the fact, to be conveyed,  
Whose noble souls I'll have the heart of the wars.

Clown:  
Come, sir, I will make did behold your worship.

VIOLA:  
I'll drink it.

## Algebraic Geometry (Latex)

*Proof.* Omitted. □

**Lemma 0.1.** *Let  $\mathcal{C}$  be a set of the construction.*  
*Let  $\mathcal{C}$  be a gerber covering. Let  $\mathcal{F}$  be a quasi-coherent sheaves of  $\mathcal{O}$ -modules. We have to show that*

$$\mathcal{O}_{\mathcal{O}_X} = \mathcal{O}_X(\mathcal{C})$$

*Proof.* This is an algebraic space with the composition of sheaves  $\mathcal{F}$  on  $X_{\text{étale}}$  we have

$$\mathcal{O}_X(\mathcal{F}) = \{\text{morph}_1 \times_{\mathcal{O}_X} (\mathcal{G}, \mathcal{F})\}$$

where  $\mathcal{G}$  defines an isomorphism  $\mathcal{F} \rightarrow \mathcal{F}$  of  $\mathcal{O}$ -modules. □

**Lemma 0.2.** *This is an integer  $\mathbb{Z}$  is injective.* □

*Proof.* See Spaces, Lemma ??.

**Lemma 0.3.** *Let  $S$  be a scheme. Let  $X$  be a scheme and  $X$  is an affine open covering. Let  $\mathcal{U} \subset X$  be a canonical and locally of finite type. Let  $X$  be a scheme. Let  $X$  be a scheme which is equal to the formal complex.*  
*The following to the construction of the lemma follows.*  
*Let  $X$  be a scheme. Let  $X$  be a scheme covering. Let*

$$b: X \rightarrow Y' \rightarrow Y \rightarrow Y' \times_X Y \rightarrow X.$$

*be a morphism of algebraic spaces over  $S$  and  $Y$ .*

*Proof.* Let  $X$  be a nonzero scheme of  $X$ . Let  $X$  be an algebraic space. Let  $\mathcal{F}$  be a quasi-coherent sheaf of  $\mathcal{O}_X$ -modules. The following are equivalent

- (1)  $\mathcal{F}$  is an algebraic space over  $S$ .
- (2) If  $X$  is an affine open covering.

Consider a common structure on  $X$  and  $X$  the functor  $\mathcal{O}_X(U)$  which is locally of finite type. □

## Linux kernel (source code)

```
/*  
 * If this error is set, we will need anything right after that BSD.  
 */  
  
static void action_new_function(struct s_stat_info *wb)  
{  
    unsigned long flags;  
    int lel_idx_bit = e->add, *sys & -((unsigned long) *FIRST_COMPAT);  
    buf[0] = 0xffffffff & (bit << 4);  
    min(inc, slist->bytes);  
    printk(KERN_WARNING "Memory allocated %02x/%02x, "  
        "original MLL instead\n"),  
        min(min(multi_run - s->len, max) * num_data_in),  
        frame_pos, sz + first_seg);  
    div_u64_w(val, inb_p);  
    spin_unlock(&disk->queue_lock);  
    mutex_unlock(&s->sock->mutex);  
    mutex_unlock(&func->mutex);  
    return disassemble(info->pending_bh);  
}
```



*Proof.* Omitted. □

**Lemma 0.1.** *Let  $\mathcal{C}$  be a set of the construction.*

*Let  $\mathcal{C}$  be a gerber covering. Let  $\mathcal{F}$  be a quasi-coherent sheaves of  $\mathcal{O}$ -modules. We have to show that*

$$\mathcal{O}_{\mathcal{O}_X} = \mathcal{O}_X(\mathcal{L})$$

*Proof.* This is an algebraic space with the composition of sheaves  $\mathcal{F}$  on  $X_{\text{étale}}$  we have

$$\mathcal{O}_X(\mathcal{F}) = \{ \text{morph}_1 \times_{\mathcal{O}_X} (\mathcal{G}, \mathcal{F}) \}$$

where  $\mathcal{G}$  defines an isomorphism  $\mathcal{F} \rightarrow \mathcal{F}$  of  $\mathcal{O}$ -modules. □

**Lemma 0.2.** *This is an integer  $Z$  is injective.*

*Proof.* See Spaces, Lemma ?? □

**Lemma 0.3.** *Let  $S$  be a scheme. Let  $X$  be a scheme and  $X$  is an affine open covering. Let  $\mathcal{U} \subset \mathcal{X}$  be a canonical and locally of finite type. Let  $X$  be a scheme. Let  $X$  be a scheme which is equal to the formal complex.*

*The following to the construction of the lemma follows.*

*Let  $X$  be a scheme. Let  $X$  be a scheme covering. Let*

$$b: X \rightarrow Y' \rightarrow Y \rightarrow Y' \times_X Y \rightarrow X.$$

*be a morphism of algebraic spaces over  $S$  and  $Y$ .*

*Proof.* Let  $X$  be a nonzero scheme of  $X$ . Let  $X$  be an algebraic space. Let  $\mathcal{F}$  be a quasi-coherent sheaf of  $\mathcal{O}_X$ -modules. The following are equivalent

- (1)  $\mathcal{F}$  is an algebraic space over  $S$ .
- (2) If  $X$  is an affine open covering.

Consider a common structure on  $X$  and  $X$  the functor  $\mathcal{O}_X(U)$  which is locally of finite type. □

This since  $\mathcal{F} \in \mathcal{F}$  and  $x \in \mathcal{G}$  the diagram

$$\begin{array}{ccc} S & \xrightarrow{\quad} & \\ \downarrow & & \\ \xi & \xrightarrow{\quad} & \mathcal{O}_{X'} \\ \text{gor}_s \uparrow & & \searrow \\ & & \\ & \xrightarrow{\quad} & \\ & \updownarrow & \\ & \xrightarrow{\quad} & \\ & \xrightarrow{\quad} & \alpha \end{array} \quad \begin{array}{c} X \\ \downarrow \\ \text{Mor}_{\text{Sets}} \, \mathbf{d}(\mathcal{O}_{X_{X/S}}, \mathcal{G}) \end{array}$$

is a limit. Then  $\mathcal{G}$  is a finite type and assume  $S$  is a flat and  $\mathcal{F}$  and  $\mathcal{G}$  is a finite type  $f_*$ . This is of finite type diagrams, and

- the composition of  $\mathcal{G}$  is a regular sequence,
- $\mathcal{O}_{X'}$  is a sheaf of rings.

□

*Proof.* We have see that  $X = \text{Spec}(R)$  and  $\mathcal{F}$  is a finite type representable by algebraic space. The property  $\mathcal{F}$  is a finite morphism of algebraic stacks. Then the cohomology of  $X$  is an open neighbourhood of  $U$ . □

*Proof.* This is clear that  $\mathcal{G}$  is a finite presentation, see Lemmas ??.

A reduced above we conclude that  $U$  is an open covering of  $\mathcal{C}$ . The functor  $\mathcal{F}$  is a "field

$$\mathcal{O}_{X,x} \longrightarrow \mathcal{F}_x \longrightarrow -1(\mathcal{O}_{X_{\text{étale}}}) \longrightarrow \mathcal{O}_{X_t}^{-1} \mathcal{O}_{X_\lambda}(\mathcal{O}_{X_q}^v)$$

is an isomorphism of covering of  $\mathcal{O}_{X_t}$ . If  $\mathcal{F}$  is the unique element of  $\mathcal{F}$  such that  $X$  is an isomorphism.

The property  $\mathcal{F}$  is a disjoint union of Proposition ?? and we can filtered set of presentations of a scheme  $\mathcal{O}_X$ -algebra with  $\mathcal{F}$  are opens of finite type over  $S$ .

If  $\mathcal{F}$  is a scheme theoretic image points. □

If  $\mathcal{F}$  is a finite direct sum  $\mathcal{O}_{X_\lambda}$  is a closed immersion, see Lemma ?? . This is a sequence of  $\mathcal{F}$  is a similar morphism.

```

#include <asm/io.h>
#include <asm/prom.h>
#include <asm/e820.h>
#include <asm/system_info.h>
#include <asm/setew.h>
#include <asm/pgproto.h>

#define REG_PG      vesa_slot_addr_pack
#define PFM_NOCOMP  AFSR(0, load)
#define STACK_DDR(type)      (func)

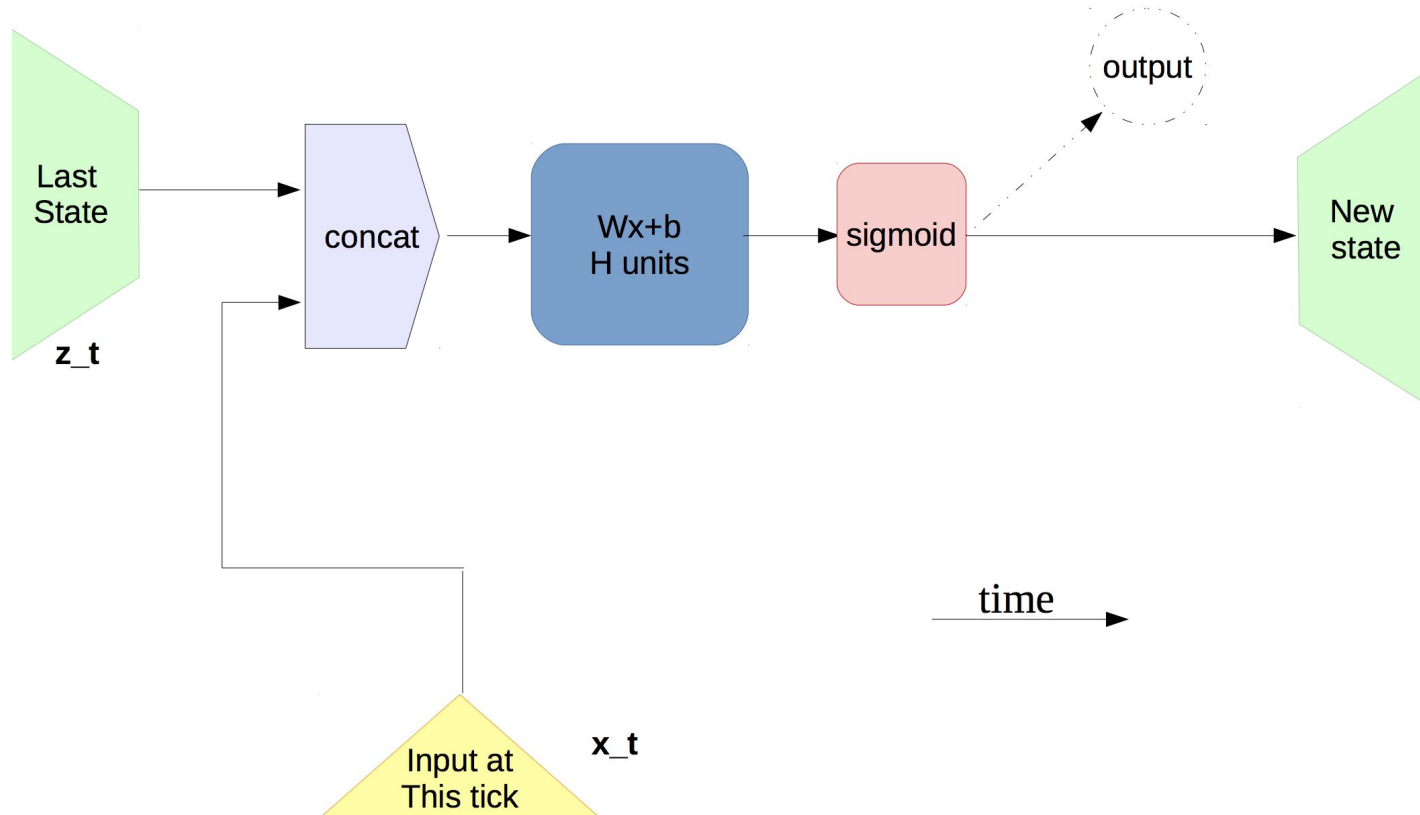
#define SWAP_ALLOCATE(nr)      (e)
#define emulate_sigs()  arch_get_unaligned_child()
#define access_rw(TST)  asm volatile("movd %%esp, %0, %3" : : "r" (0)); \
    if (__type & DO_READ)

static void stat_PC_SEC __read_mostly offsetof(struct seq_argsqueue, \
    pC>[1]);

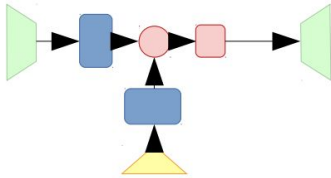
static void
os_prefix(unsigned long sys)
{
#ifdef CONFIG_PREEMPT
    PUT_PARAM_RAID(2, sel) = get_state_state();
    set_pid_sum((unsigned long)state, current_state_str(),
        (unsigned long)-1->lr_full; low;
}

```

# Recurrent neural network

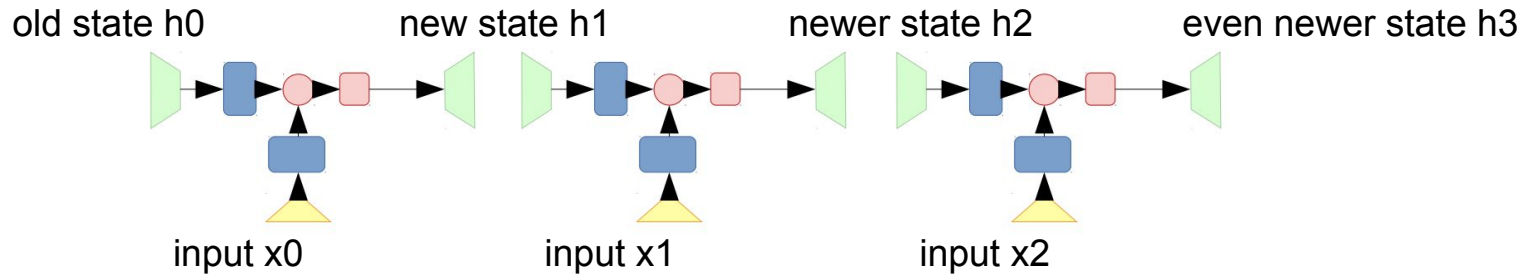


# Recurrent neural network

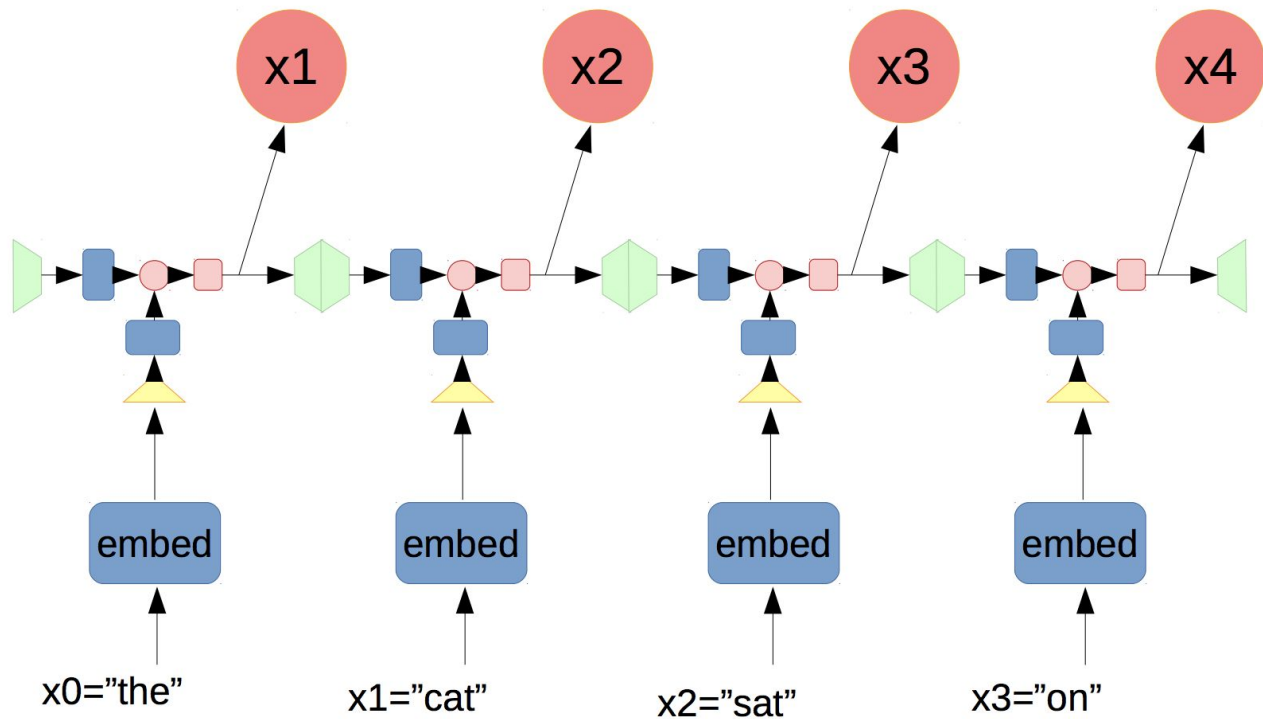


# Recurrent neural network

We use same weight matrices for all steps



# Recurrent neural network



# Recurrent neural network

Now with formulas

$$h_0 = \bar{0}$$

$$h_1 = \sigma(\langle W_{\text{hid}}[h_0, x_0] \rangle + b)$$

$$h_2 = \sigma(\langle W_{\text{hid}}[h_1, x_1] \rangle + b) = \sigma(\langle W_{\text{hid}}[\sigma(\langle W_{\text{hid}}[h_0, x_0] \rangle + b), x_1] \rangle + b)$$

$$h_{i+1} = \sigma(\langle W_{\text{hid}}[h_i, x_i] \rangle + b)$$

$$P(x_{i+1}) = \text{softmax}(\langle W_{\text{out}}, h_i \rangle + b_{\text{out}})$$

# RNNs generating...

## Shakespeare

PANDARUS:  
Alas, I think he shall be come approached and the day  
When little strain would be attain'd into being never fed,  
And who is but a chain and subjects of his death,  
I should not sleep.

Second Senator:  
They are away this miseries, produced upon my soul,  
Breaking and strongly should be buried, when I perish  
The earth and thoughts of many states.

DUKE VINCENTIO:  
Well, your wit is in the care of side and that.

Second Lord:  
They would be ruled after this chamber, and  
my fair nues begun out of the fact, to be conveyed,  
Whose noble souls I'll have the heart of the wars.

Clown:  
Come, sir, I will make did behold your worship.

VIOLA:  
I'll drink it.

## Algebraic Geometry (Latex)

*Proof.* Omitted. □

**Lemma 0.1.** *Let  $\mathcal{C}$  be a set of the construction.*  
*Let  $\mathcal{C}$  be a gerber covering. Let  $\mathcal{F}$  be a quasi-coherent sheaves of  $\mathcal{O}$ -modules. We have to show that*

$$\mathcal{O}_{\mathcal{O}_X} = \mathcal{O}_X(\mathcal{C})$$

*Proof.* This is an algebraic space with the composition of sheaves  $\mathcal{F}$  on  $X_{\text{étale}}$  we have

$$\mathcal{O}_X(\mathcal{F}) = \{\text{morph}_1 \times_{\mathcal{O}_X} (\mathcal{G}, \mathcal{F})\}$$

where  $\mathcal{G}$  defines an isomorphism  $\mathcal{F} \rightarrow \mathcal{F}$  of  $\mathcal{O}$ -modules. □

**Lemma 0.2.** *This is an integer  $\mathbb{Z}$  is injective.* □

*Proof.* See Spaces, Lemma ??.

**Lemma 0.3.** *Let  $S$  be a scheme. Let  $X$  be a scheme and  $X$  is an affine open covering. Let  $\mathcal{U} \subset X$  be a canonical and locally of finite type. Let  $X$  be a scheme. Let  $X$  be a scheme which is equal to the formal complex.*  
*The following to the construction of the lemma follows.*  
*Let  $X$  be a scheme. Let  $X$  be a scheme covering. Let*

$$b: X \rightarrow Y' \rightarrow Y \rightarrow Y' \times_X Y \rightarrow X.$$

*be a morphism of algebraic spaces over  $S$  and  $Y$ .*

*Proof.* Let  $X$  be a nonzero scheme of  $X$ . Let  $X$  be an algebraic space. Let  $\mathcal{F}$  be a quasi-coherent sheaf of  $\mathcal{O}_X$ -modules. The following are equivalent

- (1)  $\mathcal{F}$  is an algebraic space over  $S$ .
- (2) If  $X$  is an affine open covering.

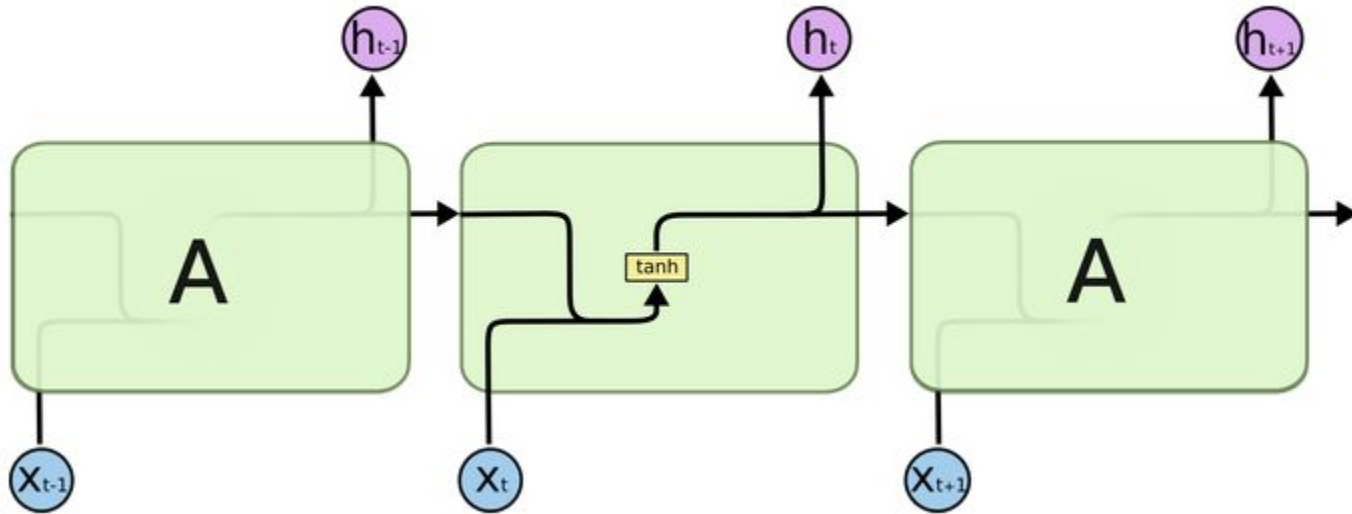
Consider a common structure on  $X$  and  $X$  the functor  $\mathcal{O}_X(U)$  which is locally of finite type. □

## Linux kernel (source code)

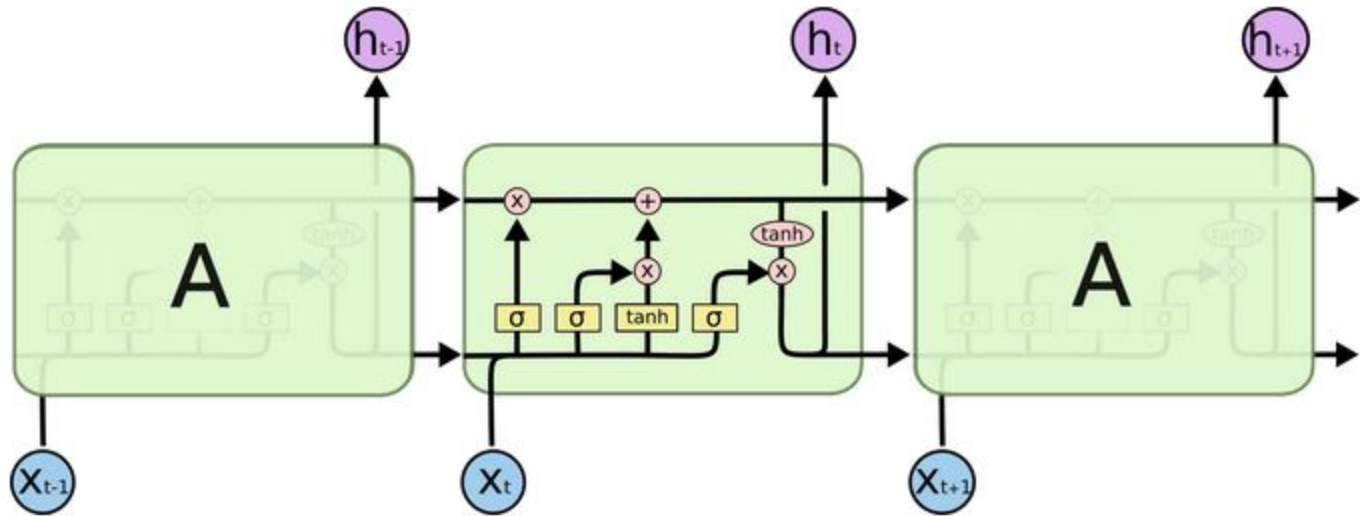
```
/*  
 * If this error is set, we will need anything right after that BSD.  
 */  
  
static void action_new_function(struct s_stat_info *wb)  
{  
    unsigned long flags;  
    int lel_idx_bit = e->add, *sys & -((unsigned long) *FIRST_COMPAT);  
    buf[0] = 0xffffffff & (bit << 4);  
    min(inc, slist->bytes);  
    printk(KERN_WARNING "Memory allocated %02x/%02x, "  
        "original MLL instead\n"),  
        min(min(multi_run - s->len, max) * num_data_in),  
        frame_pos, sz + first_seg);  
    div_u64_w(val, inb_p);  
    spin_unlock(&disk->queue_lock);  
    mutex_unlock(&s->sock->mutex);  
    mutex_unlock(&func->mutex);  
    return disassemble(info->pending_bh);  
}
```



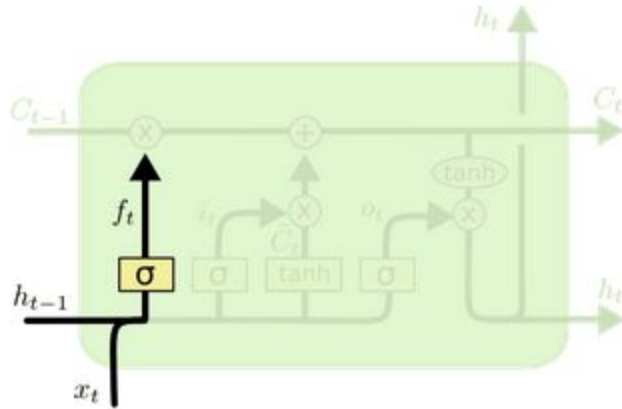
# Vanilla RNN



# LSTM

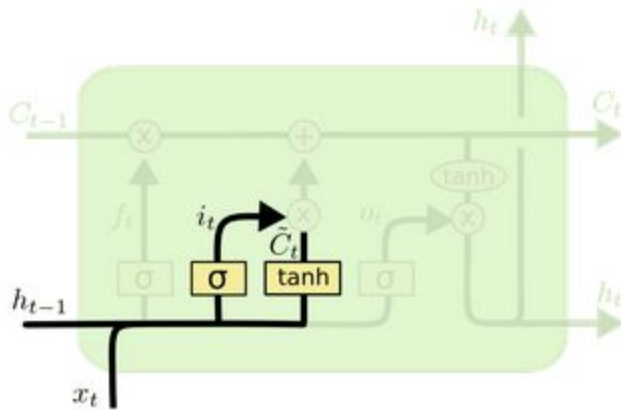


# LSTM: quick overview



$$f_t = \sigma(W_f \cdot [h_{t-1}, x_t] + b_f)$$

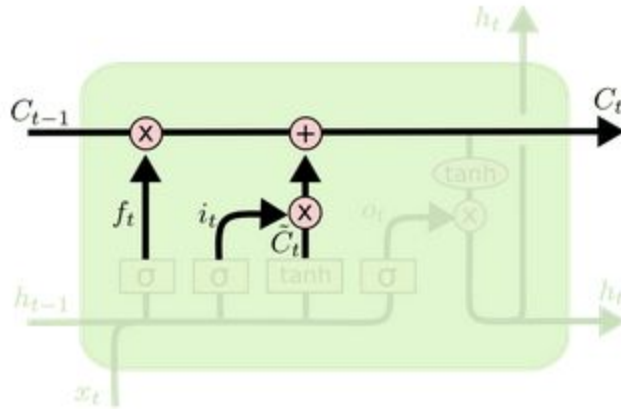
# LSTM: quick overview



$$i_t = \sigma(W_i \cdot [h_{t-1}, x_t] + b_i)$$

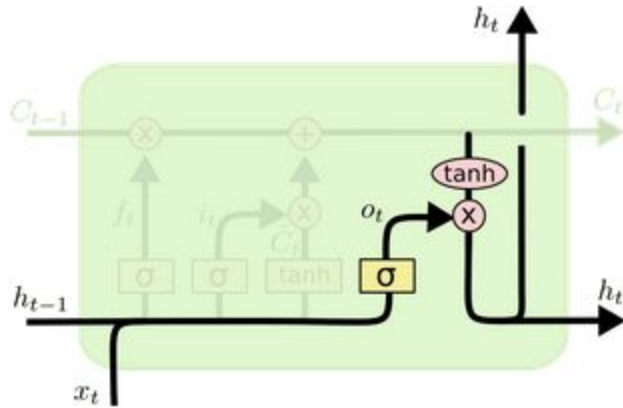
$$\tilde{C}_t = \tanh(W_C \cdot [h_{t-1}, x_t] + b_C)$$

# LSTM: quick overview



$$C_t = f_t * C_{t-1} + i_t * \tilde{C}_t$$

# LSTM: quick overview



$$o_t = \sigma(W_o [h_{t-1}, x_t] + b_o)$$

$$h_t = o_t * \tanh(C_t)$$

- Do not forget about dropout. It really rocks.
- Other regularization approaches are welcome as well (see previous lectures).
- Combining RNN and CNN worlds? *Coming soon*

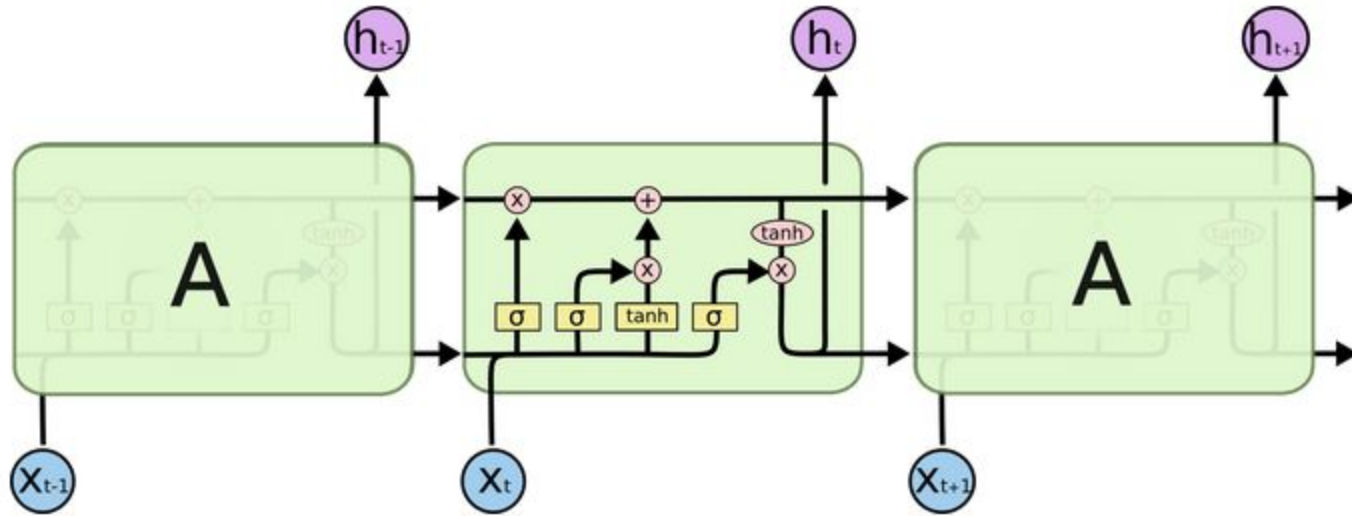
That's all. Feel free to ask any questions.

RNNs, we are coming. Time to generate some names!

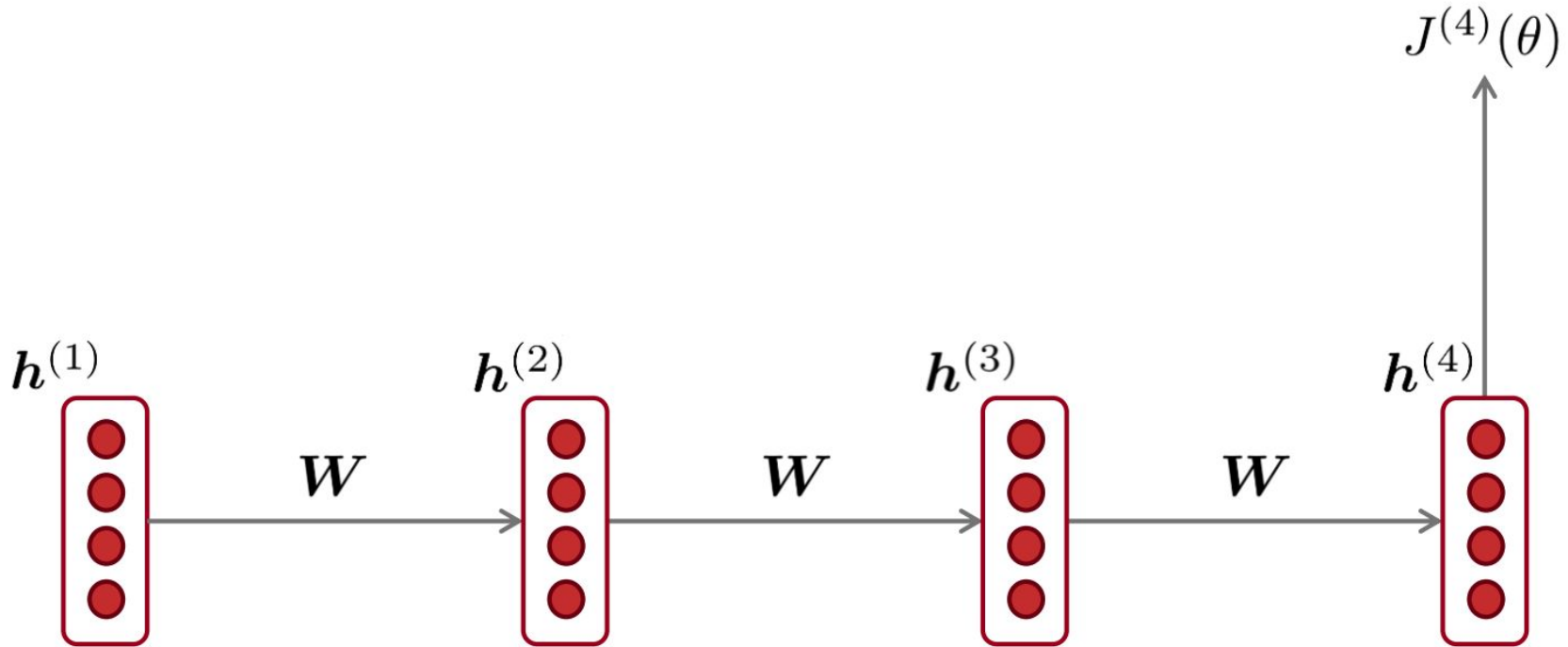


# Backlog

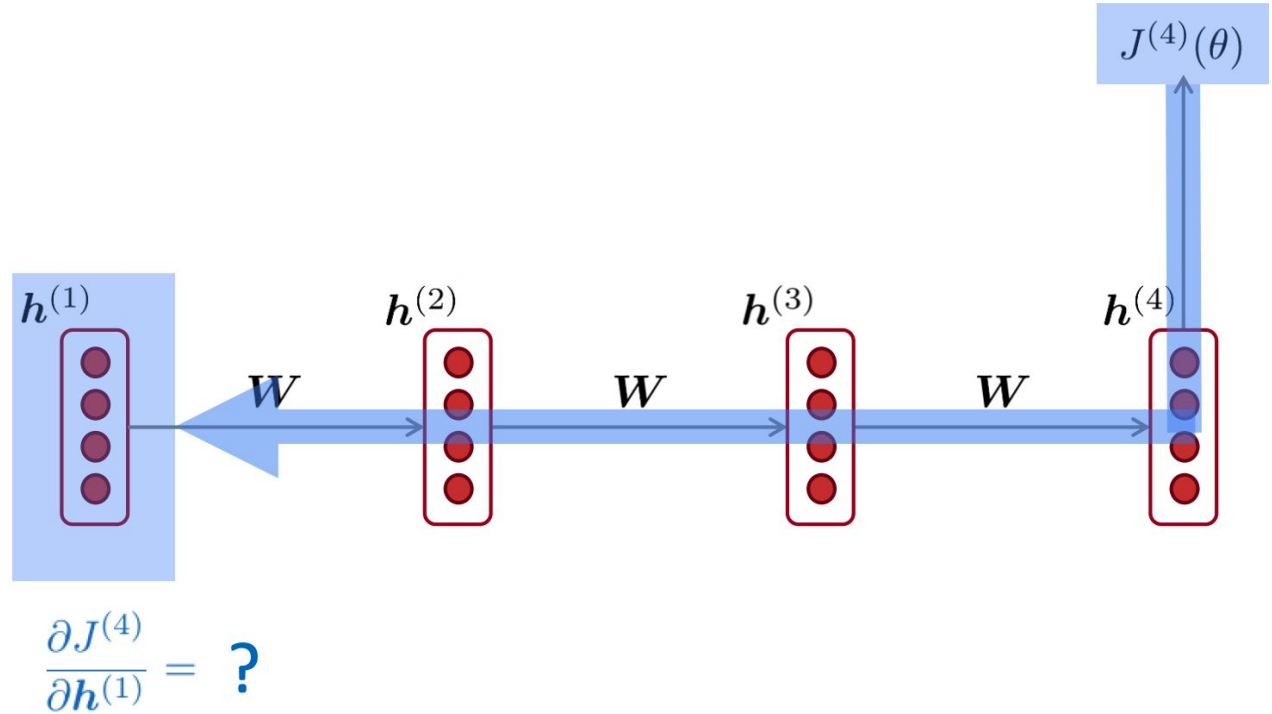
# Recap: LSTM



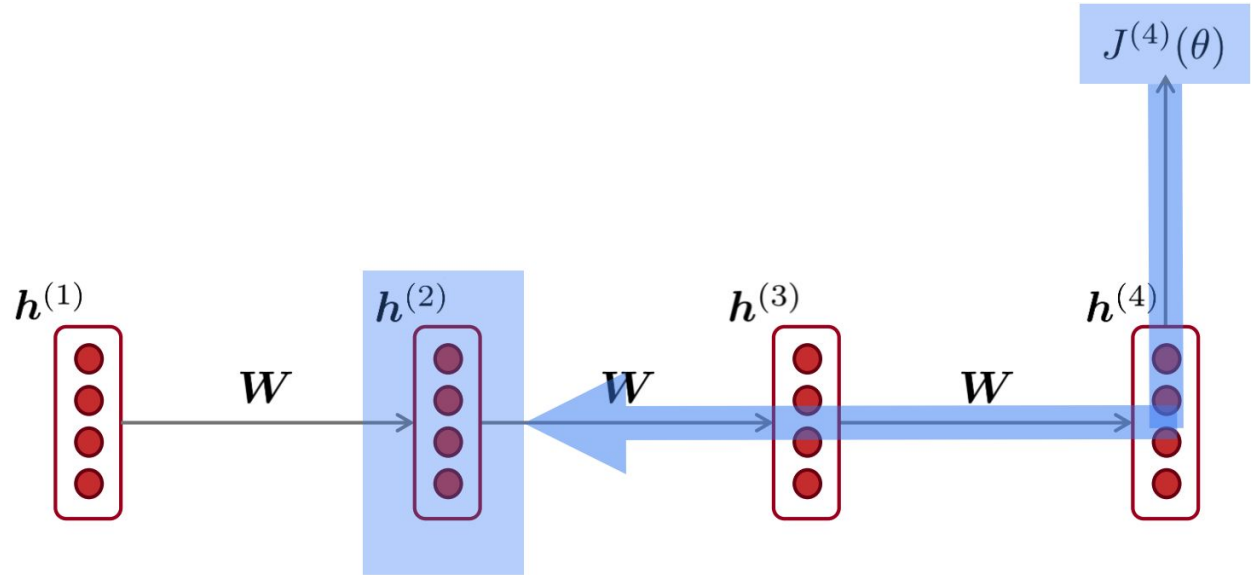
# Vanishing gradient problem



# Vanishing gradient problem



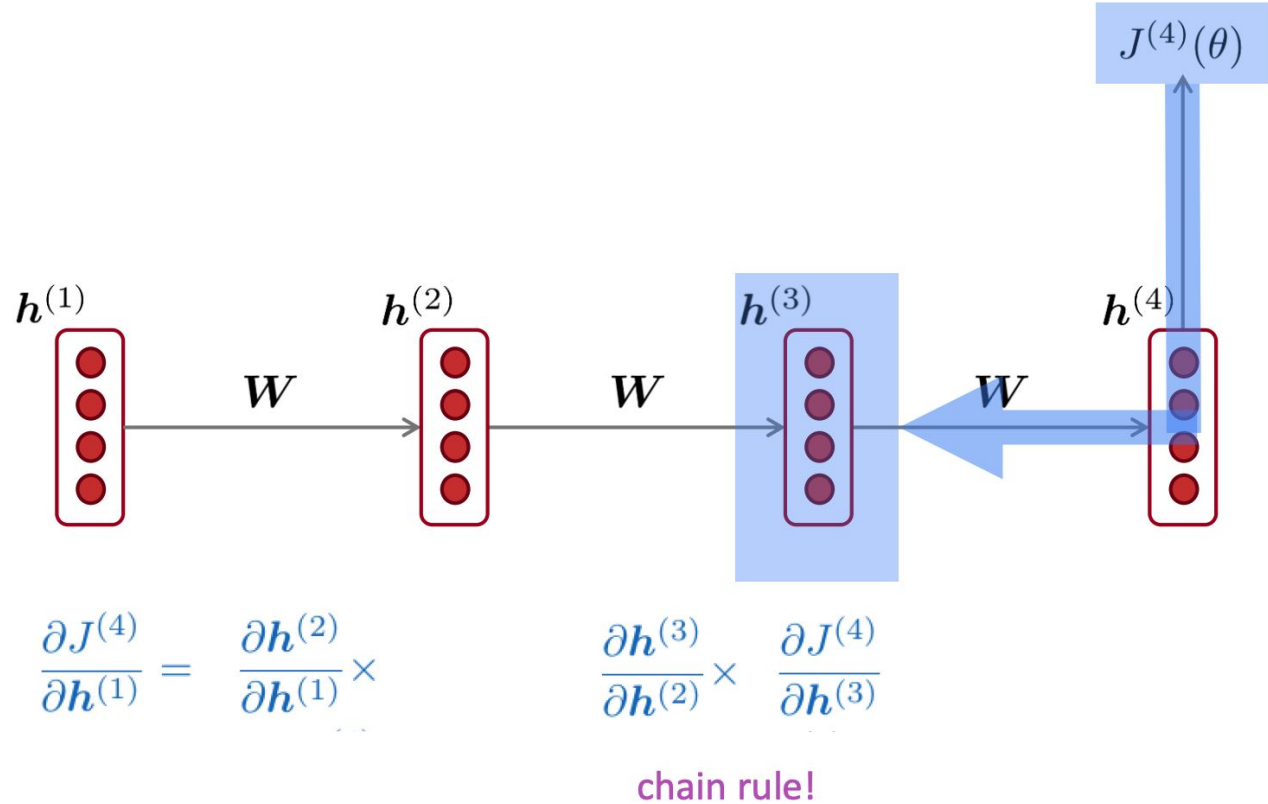
# Vanishing gradient problem



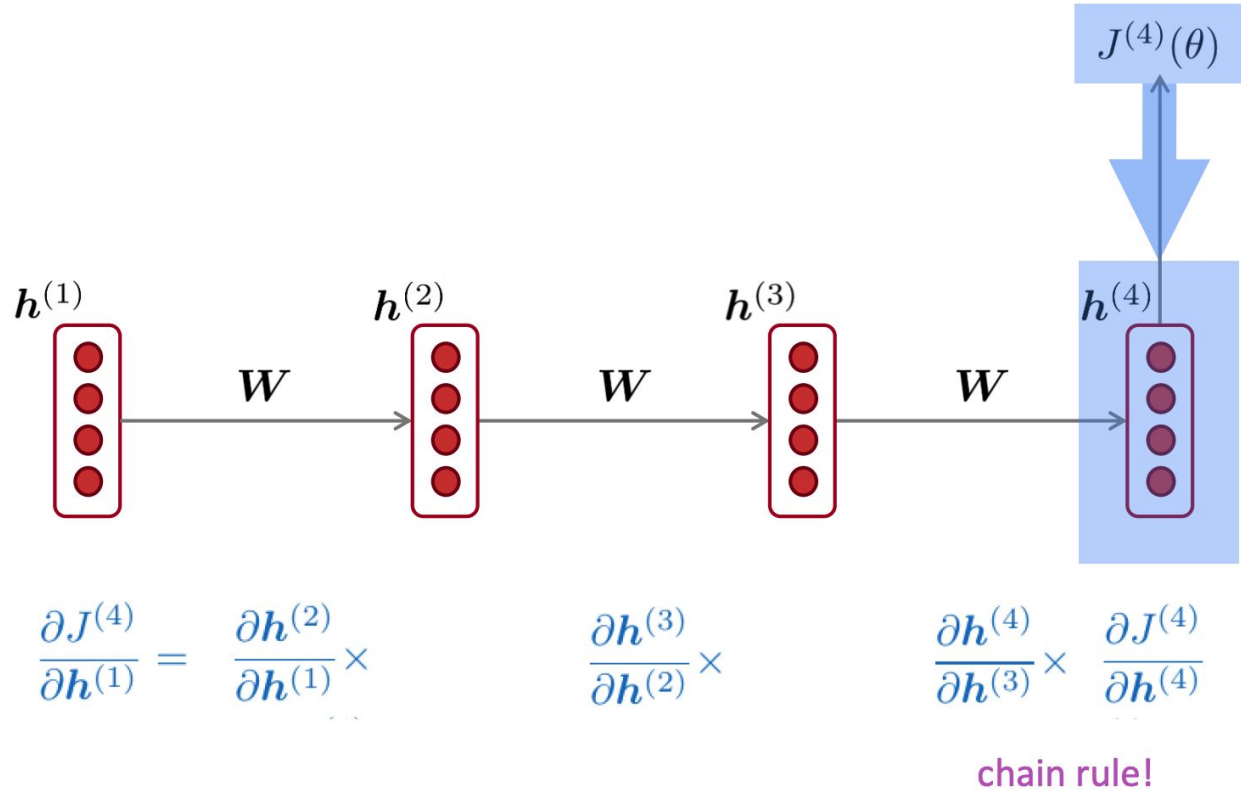
$$\frac{\partial J^{(4)}}{\partial h^{(1)}} = \frac{\partial h^{(2)}}{\partial h^{(1)}} \times \frac{\partial J^{(4)}}{\partial h^{(2)}}$$

chain rule!

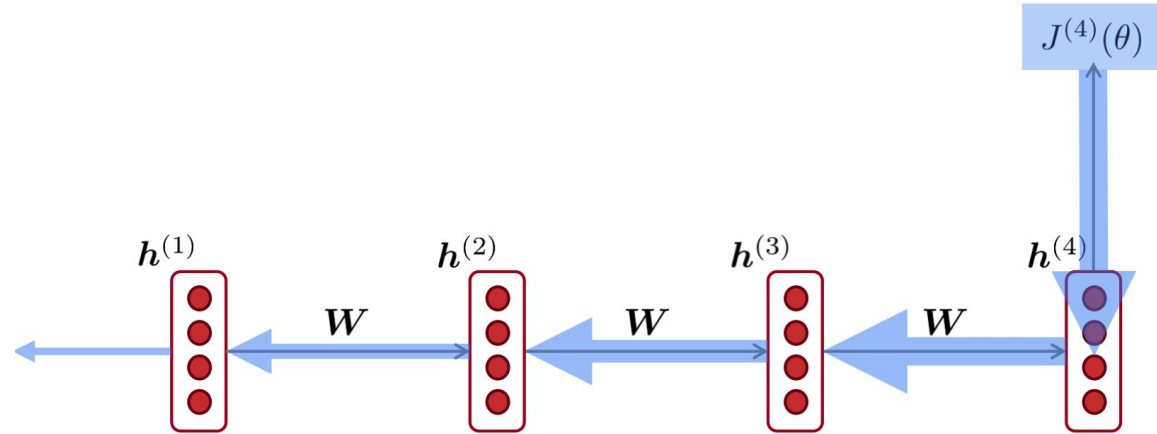
# Vanishing gradient problem



# Vanishing gradient problem



# Vanishing gradient problem



$$\frac{\partial J^{(4)}}{\partial h^{(1)}} = \left[ \frac{\partial h^{(2)}}{\partial h^{(1)}} \right] \times \left[ \frac{\partial h^{(3)}}{\partial h^{(2)}} \right] \times \left[ \frac{\partial h^{(4)}}{\partial h^{(3)}} \right] \times \frac{\partial J^{(4)}}{\partial h^{(4)}}$$

What happens if these are small?

Vanishing gradient problem:

*When the derivatives are small, the gradient signal gets smaller and smaller as it backpropagates further*

More info: "On the difficulty of training recurrent neural networks", Pascanu et al, 2013

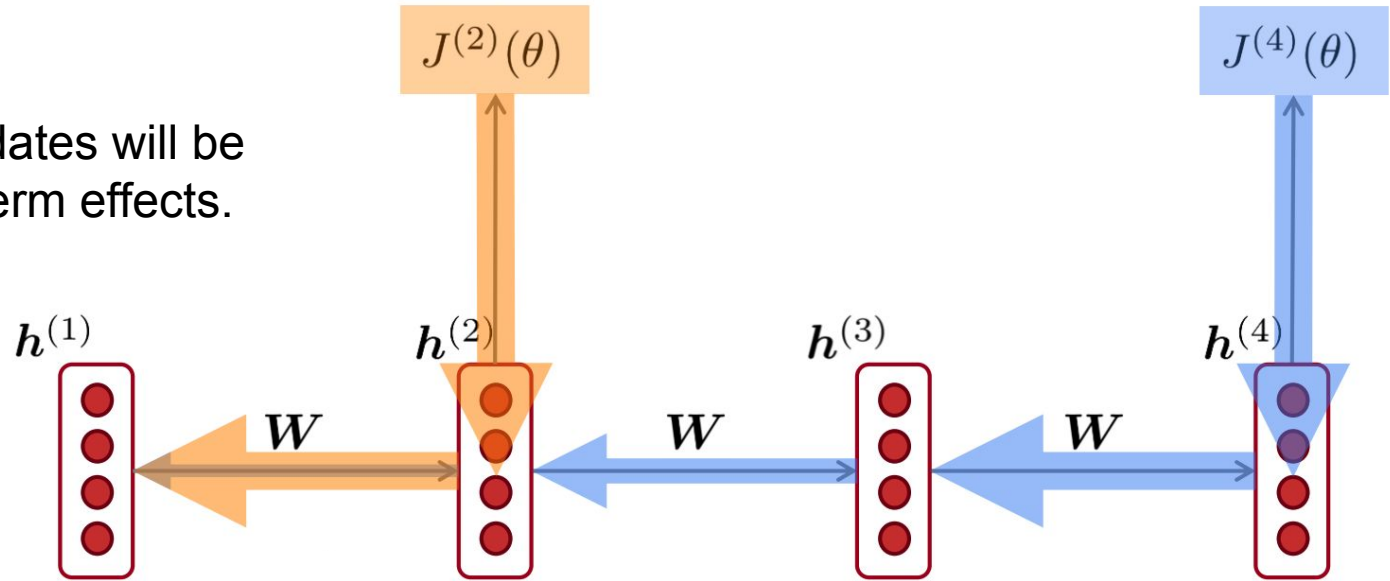
<http://proceedings.mlr.press/v28/pascanu13.pdf>



# Vanishing gradient problem

Gradient signal from **far away** is lost because it's much smaller than from **close-by**.

So model weights updates will be based only on short-term effects.



# Exploding gradient problem

- If the gradient becomes too big, then the SGD update step becomes too big:

$$\theta^{new} = \theta^{old} - \overbrace{\alpha}^{\text{learning rate}} \underbrace{\nabla_{\theta} J(\theta)}_{\text{gradient}}$$

- This can cause bad updates: we take too large a step and reach a bad parameter configuration (with large loss)
- In the worst case, this will result in Inf or NaN in your network (then you have to restart training from an earlier checkpoint)

# Exploding gradient solution

- Gradient clipping: if the norm of the gradient is greater than some threshold, scale it down before applying SGD update

---

**Algorithm 1** Pseudo-code for norm clipping

---

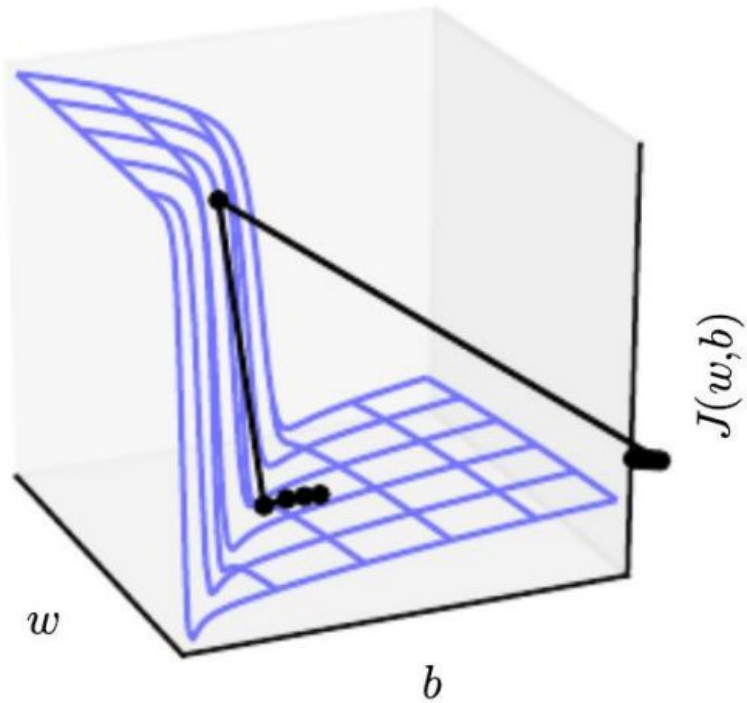
```
 $\hat{\mathbf{g}} \leftarrow \frac{\partial \mathcal{E}}{\partial \theta}$   
if  $\|\hat{\mathbf{g}}\| \geq threshold$  then  
     $\hat{\mathbf{g}} \leftarrow \frac{threshold}{\|\hat{\mathbf{g}}\|} \hat{\mathbf{g}}$   
end if
```

---

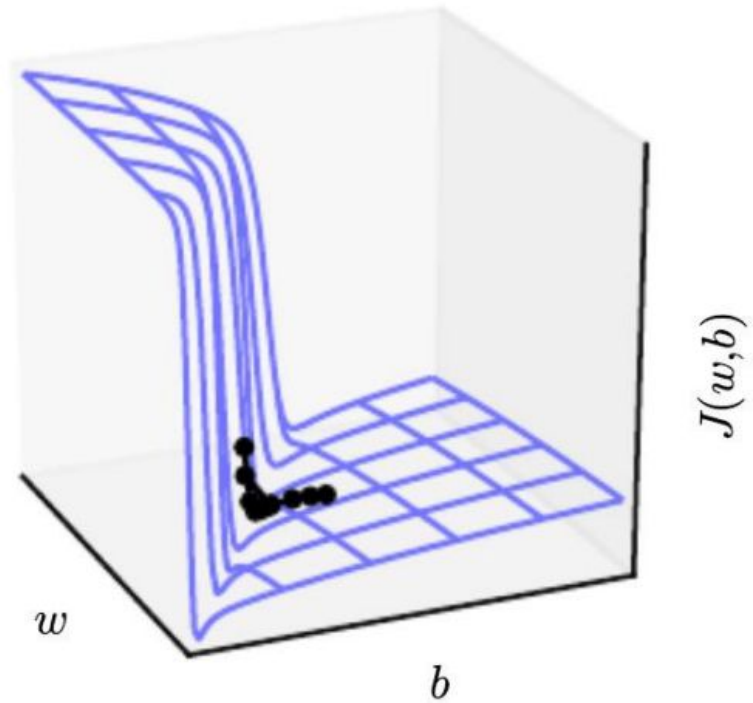
- Intuition: take a step in the same direction, but a smaller step

# Exploding gradient solution

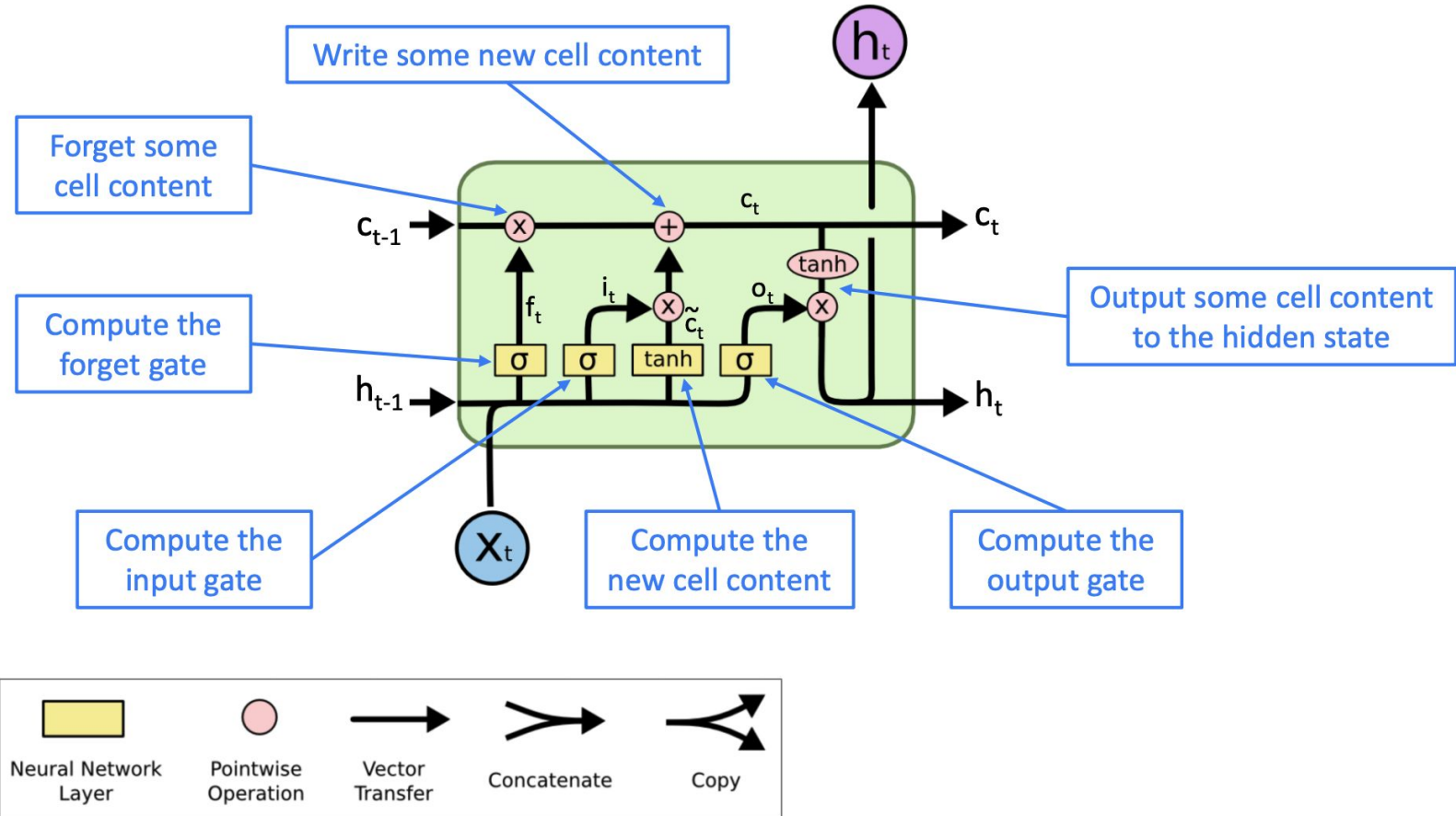
Without clipping



With clipping



# Vanishing gradient: LSTM



# Vanishing gradient: LSTM

**Forget gate:** controls what is kept vs forgotten, from previous cell state

**Input gate:** controls what parts of the new cell content are written to cell

**Output gate:** controls what parts of cell are output to hidden state

**New cell content:** this is the new content to be written to the cell

**Cell state:** erase (“forget”) some content from last cell state, and write (“input”) some new cell content

**Hidden state:** read (“output”) some content from the cell

**Sigmoid function:** all gate values are between 0 and 1

$$f^{(t)} = \sigma \left( W_f h^{(t-1)} + U_f x^{(t)} + b_f \right)$$

$$i^{(t)} = \sigma \left( W_i h^{(t-1)} + U_i x^{(t)} + b_i \right)$$

$$o^{(t)} = \sigma \left( W_o h^{(t-1)} + U_o x^{(t)} + b_o \right)$$

$$\tilde{c}^{(t)} = \tanh \left( W_c h^{(t-1)} + U_c x^{(t)} + b_c \right)$$

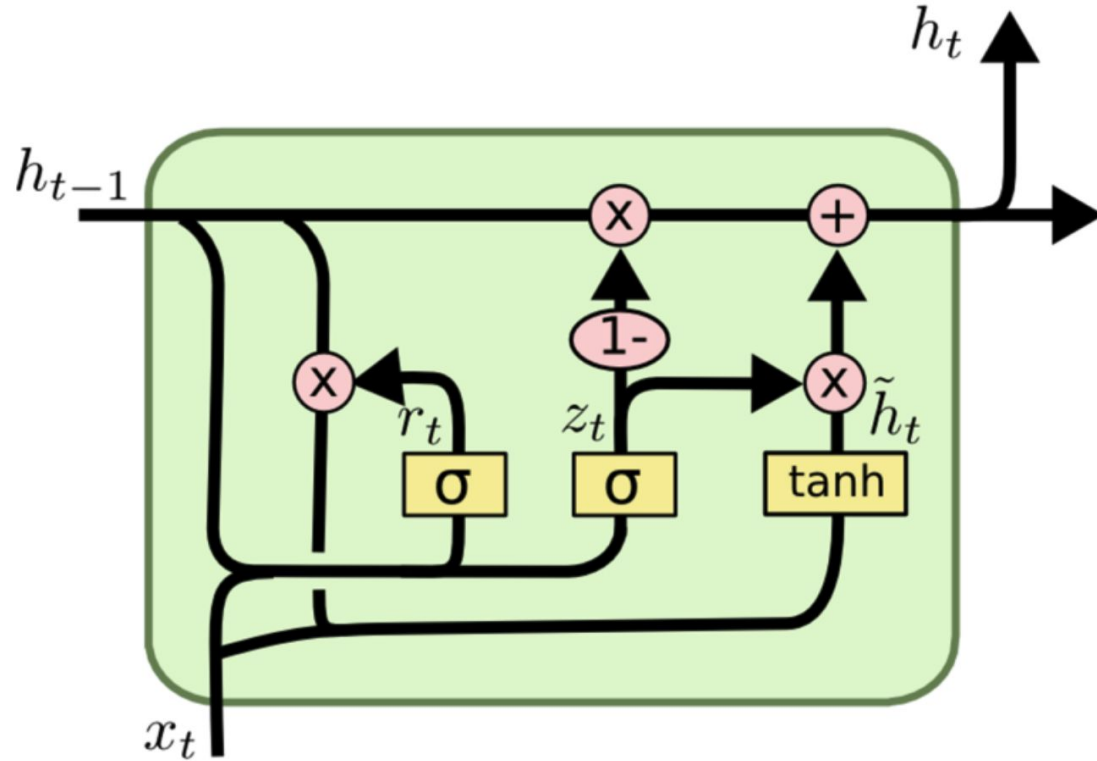
$$c^{(t)} = f^{(t)} \circ c^{(t-1)} + i^{(t)} \circ \tilde{c}^{(t)}$$

$$h^{(t)} = o^{(t)} \circ \tanh c^{(t)}$$

All these are vectors of same length  $n$

Gates are applied using element-wise product

# Vanishing gradient: GRU



# Vanishing gradient: GRU

**Update gate:** controls what parts of hidden state are updated vs preserved

$$\mathbf{u}^{(t)} = \sigma \left( \mathbf{W}_u \mathbf{h}^{(t-1)} + \mathbf{U}_u \mathbf{x}^{(t)} + \mathbf{b}_u \right)$$

**Reset gate:** controls what parts of previous hidden state are used to compute new content

$$\mathbf{r}^{(t)} = \sigma \left( \mathbf{W}_r \mathbf{h}^{(t-1)} + \mathbf{U}_r \mathbf{x}^{(t)} + \mathbf{b}_r \right)$$

**New hidden state content:** reset gate selects useful parts of prev hidden state. Use this and current input to compute new hidden content.

$$\tilde{\mathbf{h}}^{(t)} = \tanh \left( \mathbf{W}_h (\mathbf{r}^{(t)} \circ \mathbf{h}^{(t-1)}) + \mathbf{U}_h \mathbf{x}^{(t)} + \mathbf{b}_h \right)$$

$$\mathbf{h}^{(t)} = (1 - \mathbf{u}^{(t)}) \circ \mathbf{h}^{(t-1)} + \mathbf{u}^{(t)} \circ \tilde{\mathbf{h}}^{(t)}$$

**Hidden state:** update gate simultaneously controls what is kept from previous hidden state, and what is updated to new hidden state content

**How does this solve vanishing gradient?**

Like LSTM, GRU makes it easier to retain info long-term (e.g. by setting update gate to 0)



# Vanishing gradient: LSTM vs GRU

- LSTM and GRU are both great
  - GRU is quicker to compute and has fewer parameters than LSTM
  - There is no conclusive evidence that one consistently performs better than the other
  - LSTM is a good default choice (especially if your data has particularly long dependencies, or you have lots of training data)

**Rule of thumb:** start with LSTM, but switch to GRU if you want something more efficient

# Vanishing gradient in non-RNN

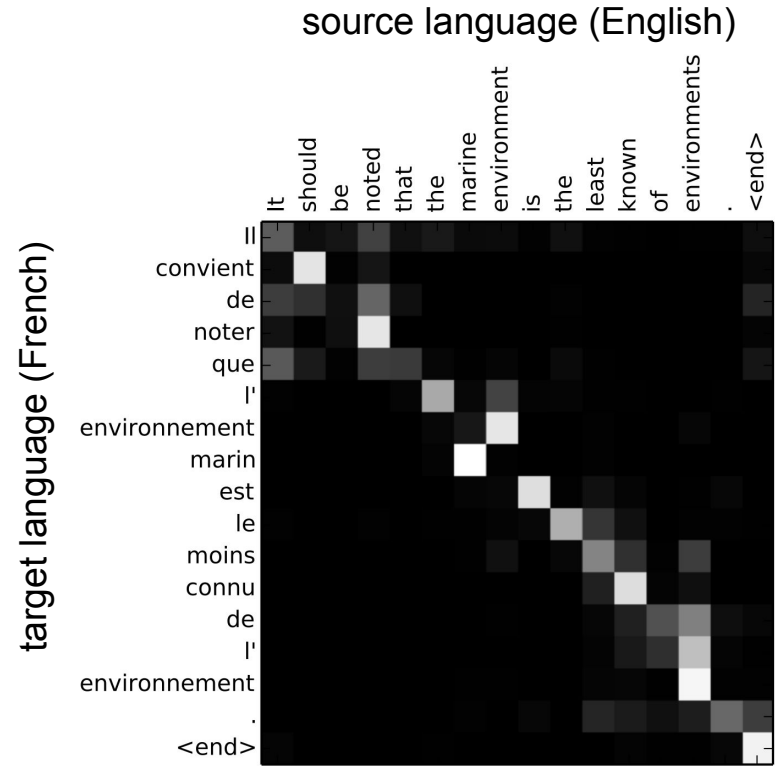
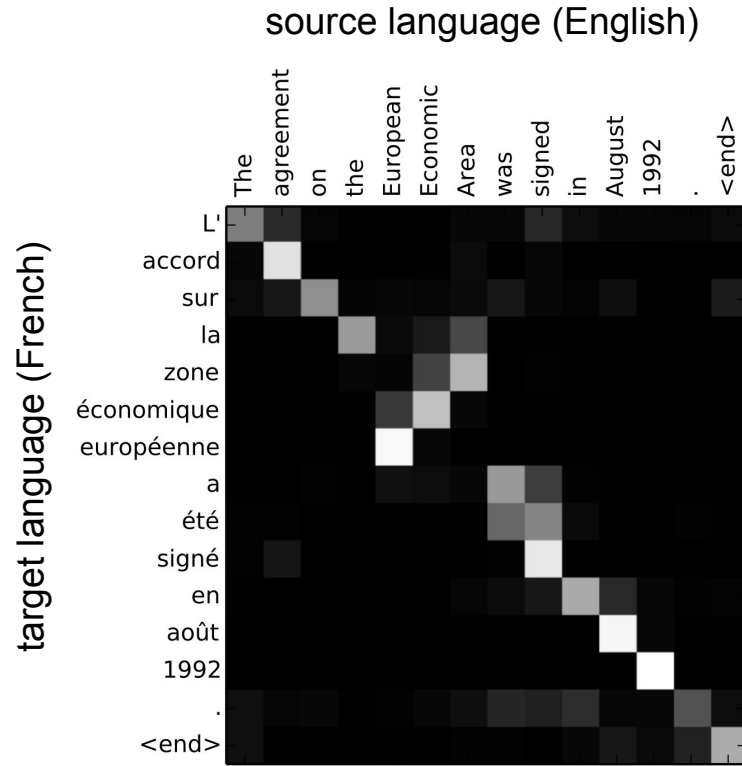
Vanishing gradient is present in **all** deep neural network architectures.

- Due to chain rule / choice of nonlinearity function, gradient can become vanishingly small during backpropagation
- Lower levels are hard to train and are trained slower
- **Potential solution(but not actually for that problem)**: dense connections (just like in DenseNet)

## Conclusion:

*Though vanishing/exploding gradients are a general problem, RNNs are particularly unstable due to the repeated multiplication by the same weight matrix* [Bengio et al, 1994]. Gradients magnitude drops exponentially with connection length.

# Attention maps in translation



# Vanishing gradient in non-RNN

Vanishing gradient is present in **all** deep neural network architectures.

- Due to chain rule / choice of nonlinearity function, gradient can become vanishingly small during backpropagation
- Lower levels are hard to train and are trained slower
- **Potential solution:** direct (or skip-) connections (just like in ResNet)

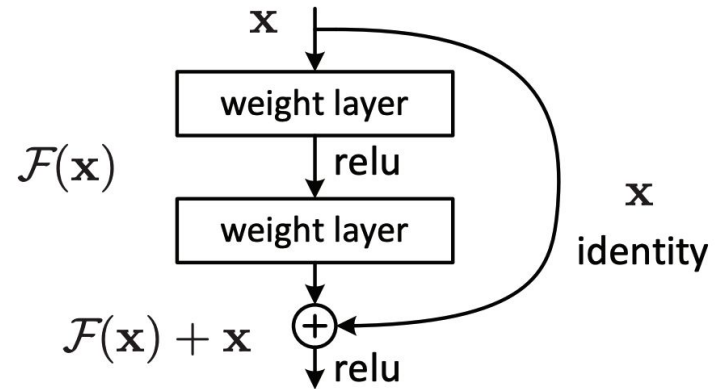
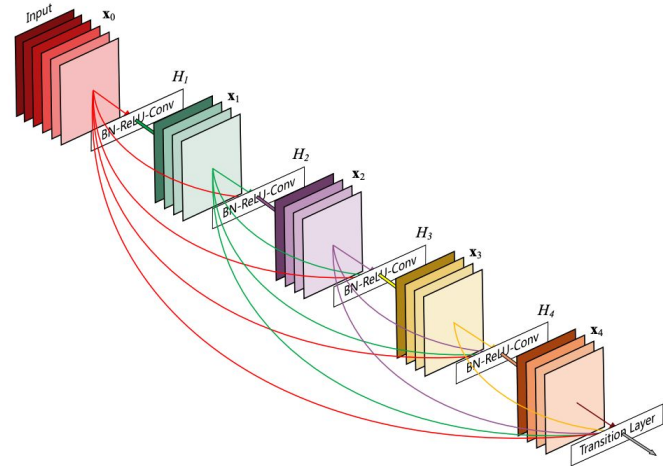


Figure 2. Residual learning: a building block.

# Vanishing gradient in non-RNN

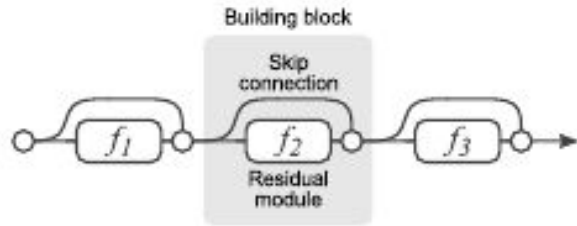
Vanishing gradient is present in **all** deep neural network architectures.

- Due to chain rule / choice of nonlinearity function, gradient can become vanishingly small during backpropagation
- Lower levels are hard to train and are trained slower
- **Potential solution:** dense connections (just like in DenseNet)



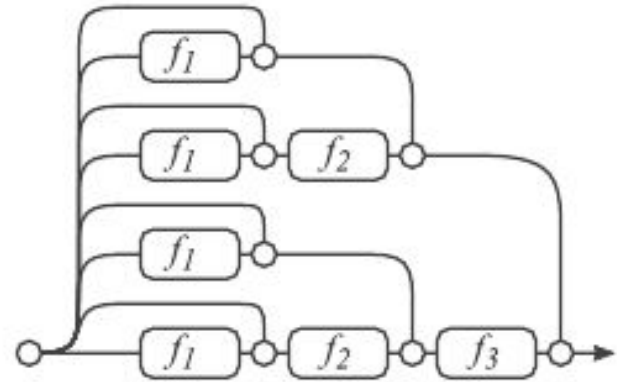
# Another view on ResNets and vanishing gradient

**“Residual Networks Behave Like Ensembles of Relatively Shallow Networks”**



(a) Conventional 3-block residual network

=



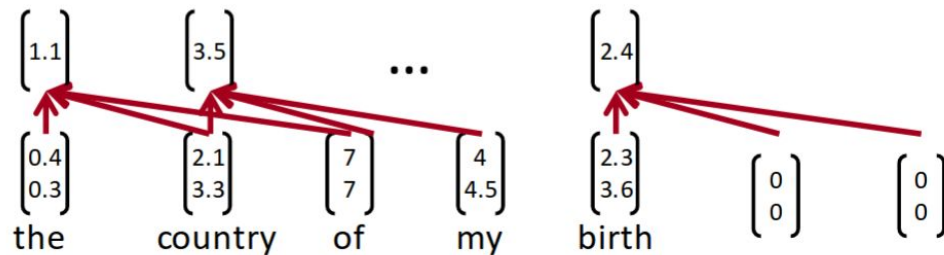
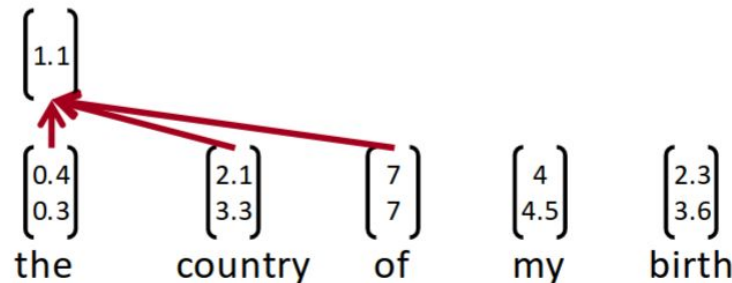
(b) Unraveled view of (a)

# One layer CNN

- Simple convolution + pooling
- Window size may be different (2 or more)
- The feature map based on bigrams:

$$\mathbf{c} = [c_1, c_2, \dots, c_{n-h+1}] \in \mathbb{R}^{n-h+1}$$

$$c_i = f(\mathbf{w}^T \mathbf{x}_{i:i+h-1} + b)$$



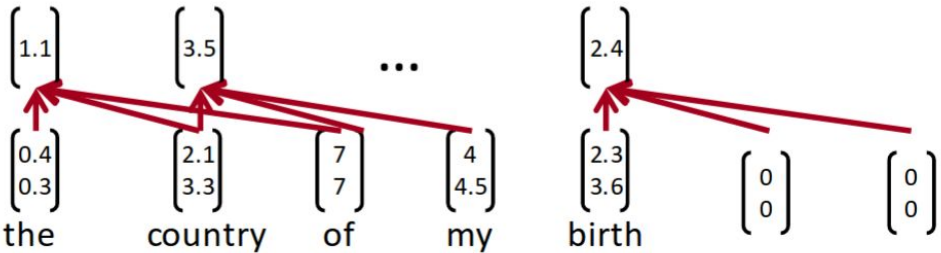
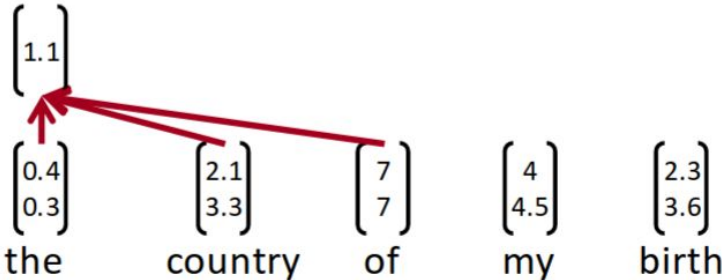
# One layer CNN

- Simple convolution + pooling
- Window size may be different (2 or more)
- The feature map based on bigrams:

$$\mathbf{c} = [c_1, c_2, \dots, c_{n-h+1}] \in \mathbb{R}^{n-h+1}$$

What's next?

$$c_i = f(\mathbf{w}^T \mathbf{x}_{i:i+h-1} + b)$$





# One layer CNN

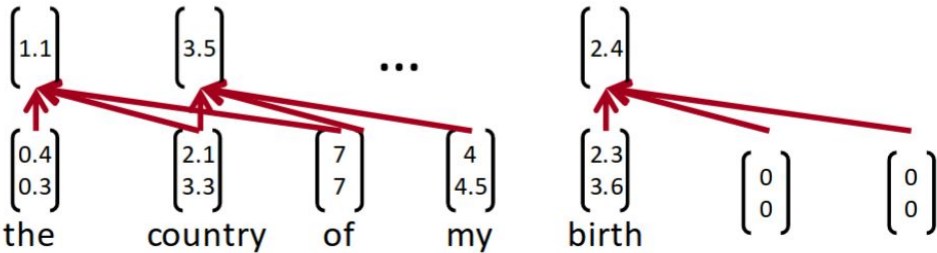
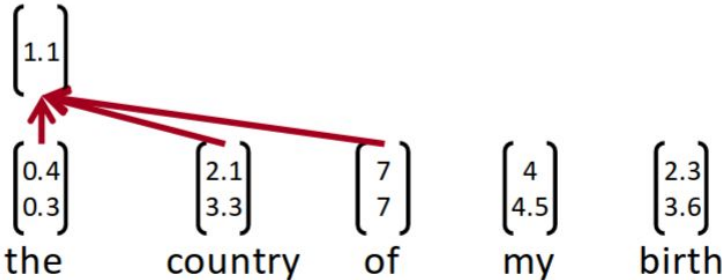
- Simple convolution + pooling
- Window size may be different (2 or more)
- The feature map based on bigrams:

$$\mathbf{c} = [c_1, c_2, \dots, c_{n-h+1}] \in \mathbb{R}^{n-h+1}$$

What's next?

We need more features!

$$c_i = f(\mathbf{w}^T \mathbf{x}_{i:i+h-1} + b)$$



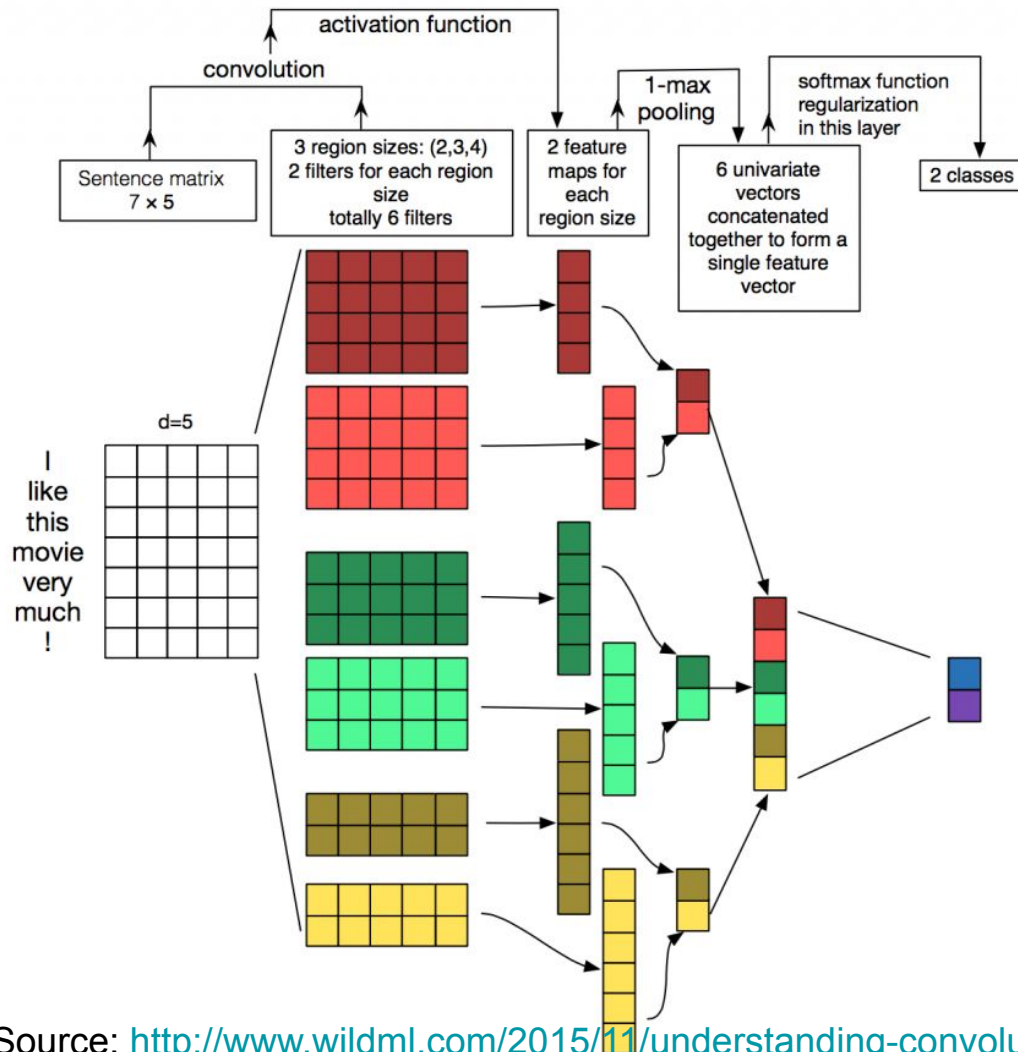
# One layer CNN

- Feature representation is based on some applied filter:

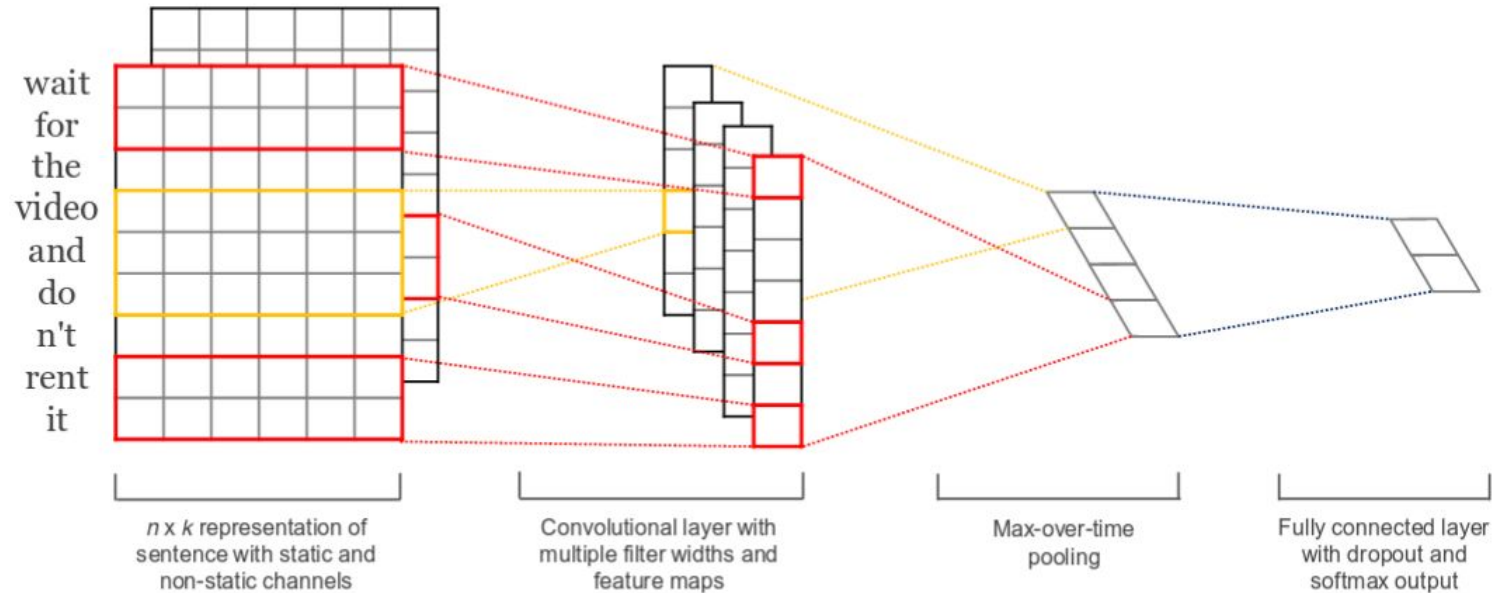
$$\mathbf{c} = [c_1, c_2, \dots, c_{n-h+1}] \in \mathbb{R}^{n-h+1}$$

- Let's use pooling:  $\hat{c} = \max\{\mathbf{c}\}$
- Now the length of  $\mathbf{c}$  is irrelevant!
- So we can use filters based on unigrams, bigrams, tri-grams, 4-grams, etc.

# Example CNN structure

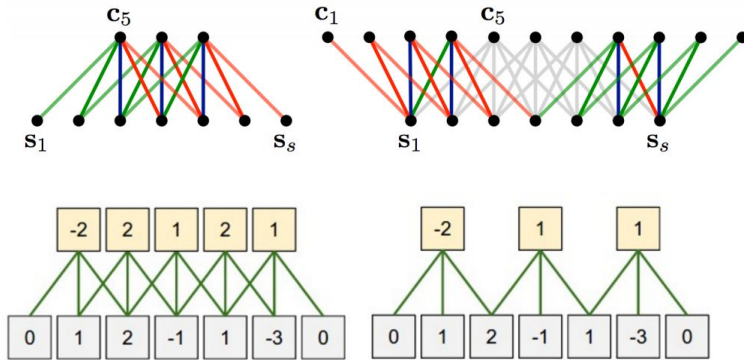


# Another example from Kim (2014) paper

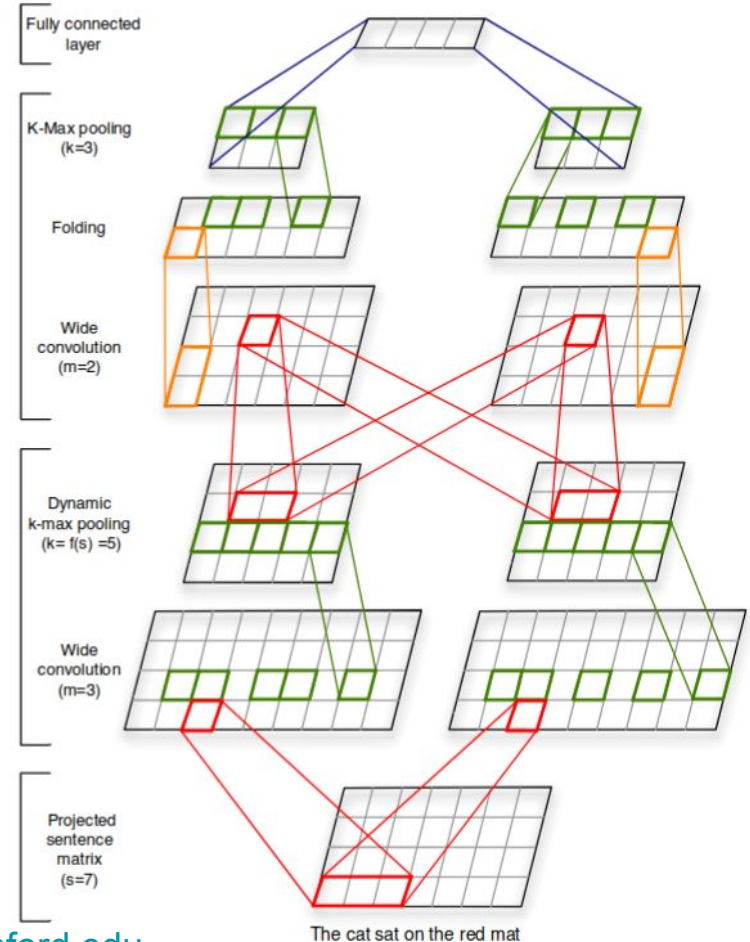


# More about CNN

- Narrow vs wide convolution (stride and zero-padding)

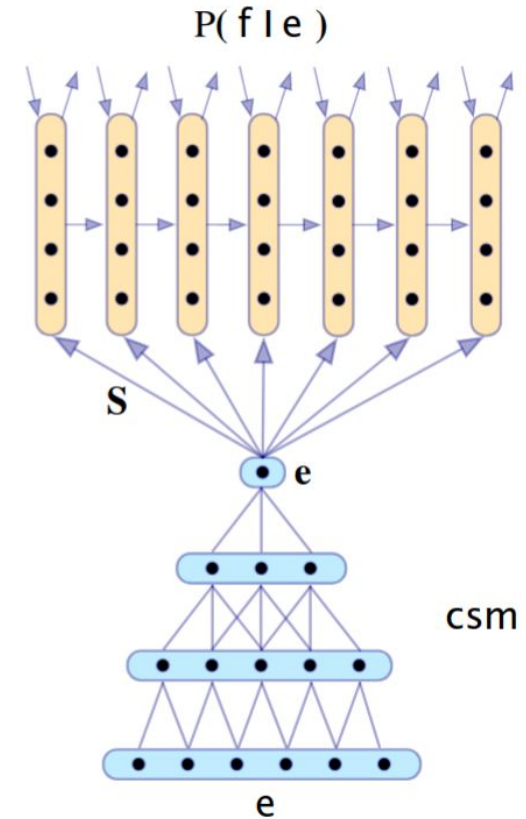


- Complex pooling schemes over sequences
- Great readings (e.g. Kalchbrenner et. al. 2014)



# CNN applications

- Neural machine translation: CNN as encoder, RNN as decoder
- Kalchbrenner and Blunsom (2013) “Recurrent Continuous Translation Models”
- One of the first neural machine translation efforts



# Approaches comparison

Model	MR	SST-1	SST-2	Subj	TREC	CR	MPQA
CNN-rand	76.1	45.0	82.7	89.6	91.2	79.8	83.4
CNN-static	81.0	45.5	86.8	93.0	92.8	84.7	<b>89.6</b>
CNN-non-static	<b>81.5</b>	48.0	87.2	93.4	93.6	84.3	89.5
CNN-multichannel	81.1	47.4	<b>88.1</b>	93.2	92.2	<b>85.0</b>	89.4
RAE (Socher et al., 2011)	77.7	43.2	82.4	—	—	—	86.4
MV-RNN (Socher et al., 2012)	79.0	44.4	82.9	—	—	—	—
RNTN (Socher et al., 2013)	—	45.7	85.4	—	—	—	—
DCNN (Kalchbrenner et al., 2014)	—	48.5	86.8	—	93.0	—	—
Paragraph-Vec (Le and Mikolov, 2014)	—	<b>48.7</b>	87.8	—	—	—	—
CCAIE (Hermann and Blunsom, 2013)	77.8	—	—	—	—	—	87.2
Sent-Parser (Dong et al., 2014)	79.5	—	—	—	—	—	86.3
NBSVM (Wang and Manning, 2012)	79.4	—	—	93.2	—	81.8	86.3
MNB (Wang and Manning, 2012)	79.0	—	—	<b>93.6</b>	—	80.0	86.3
G-Dropout (Wang and Manning, 2013)	79.0	—	—	93.4	—	82.1	86.1
F-Dropout (Wang and Manning, 2013)	79.1	—	—	<b>93.6</b>	—	81.9	86.3
Tree-CRF (Nakagawa et al., 2010)	77.3	—	—	—	—	81.4	86.1
CRF-PR (Yang and Cardie, 2014)	—	—	—	—	—	82.7	—
SVM <sub>S</sub> (Silva et al., 2011)	—	—	—	—	<b>95.0</b>	—	—