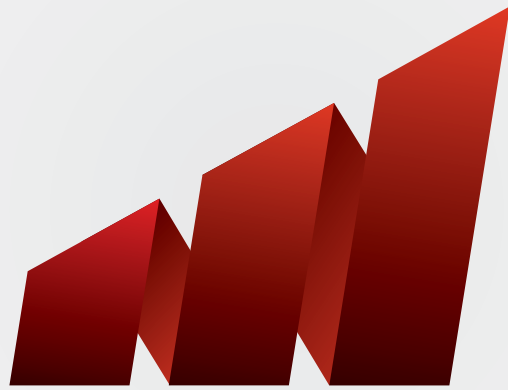


## ACCOUNT OPENING FORM ► INDIVIDUAL



Branch	AP Code	BO ID	Trading Code	DP AMC Scheme
Equity Introducer	Commodity Introducer	Equity RM	Commodity RM	Form No.
				0000000











## INDEX OF DOCUMENTS

S. No.	Name of the Document	Brief Significance of the Document	Page No.
MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI & EXCHANGES			
1.	KRA Form and Account Opening Form	KYC Form - Document captures information about the constituent	1 - 4
2.	Tariff sheet	Document detailing the rate / amount of brokerage & other charges levied on the client for trading on stock exchange(s) & DP Service charges / Fee Structure	5
3.	Policies and Procedures	Document describing significant policies and procedures of the stock broker	SEPARATE COPY FOR CLIENT ALSO AVAILABLE ON <a href="http://www.mangalkeshav.com">www.mangalkeshav.com</a>
4.	Rights and Obligations	Document stating the Rights & Obligations of stock broker / trading member / Authorised Person and client for trading on exchange (including additional rights & obligations in case of internet / wireless technology based trading) & of Beneficial Owners and Depository Participants	
5.	Risk Disclosure Document (RDD)	Document detailing risks associated with dealing in the securities market	
6.	Guidance Note	Document detailing do's and don'ts for trading on exchange, for the education of the investors	
VOLUNTARY DOCUMENTS AS PROVIDED BY THE STOCK BROKER			
1.	Power of Attorney for the purpose of settlement / Margin obligation	POA provided by BO to MKFSL for settlement / Margin purpose	6 - 7
2.	Client Declaration	Declaration with regards to open interest position, high value transaction	9
3.	Client Authorisation	Authorisation to maintain Running Account	9
4.	Client Declaration	Declartion pursuant to SEBI circular SEBI/HO/CDMRD/DNPMP/CIR/P/2019/08	9
5.	Voluntary Terms & Conditions	Additional terms & conditions specific to clients for the purpose of operational efficiency (separate copy for client also available on <a href="http://www.mangalkeshav.com">www.mangalkeshav.com</a> )	

### IMPORTANT NOTE

#### 1. Signature Types:

	Signature of Client / First Holder		Signature of Second Holder		Signature of Third Holder		Signature of Introducer
	Signature of Guardian		Authorised Signatory		Signature of First Witness		Signature of Second Witness

#### 2. In case of any correction in the form - Sign next to the correction done & Sign has to match the original signature

### IMPORTANT INSTRUCTIONS

- All details to be filled in Capital Block letters in Black / Blue Ink Only.
- Email ID & Mobile number is mandatory for account related passwords and transaction details.
- Corrections in the KYC form should be counter signed.
- Strike off whichever option, in the account opening form, is not applicable.
- All Originals to be produced for physical verification.
- If any proof of identity or address is in a regional language, then translation into English is required.
- Sole proprietor must make the application in his individual name & capacity.
- Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.

# CHECKLIST FOR KYC (PLEASE TICK WHEREVER APPLICABLE)




CKYC Reference No.: 14 DIGITS KYC NUMBER

Valid Documents (Copies of all documents to be self attested)			Please Tick
<b>PAN Card</b>	Account Holder & Joint Holder's (If any)		
<b>Photograph</b>	One Colored Passport Size Photograph		
<b>Additional Proof of Identity</b> (Any One)	a. PAN Card	<input type="checkbox"/> KRA document used (Email Id & Phone number to be provided on given KRA Form)	
	b. Voter ID		
	c. Valid Passport		
	d. Valid Driving License		
	e. Unique Identification Number (UID) (Aadhaar)		
	f. Other (Pls. Specify) _____		
<b>Proof of Address</b> (Any One) Permanent / Correspondence	a. Voter ID	<input type="checkbox"/> KRA document used	
	b. Valid Passport		
	c. Valid Driving License		
	d. Unique Identification Number (UID) (Aadhaar)		
	f. Electricity Bill (not more than 3 months old)		
	g. Resident Landline Tel. Bill (not more than 3 months old)		
	h. Other (Pls. Specify) _____		
<b>Bank Proof With MICR / IFSC Code</b> (1st Holder only)	a. Bank Statement / Bank Passbook with cheque leaf (not more than 3 months old)		
	b. Banker's Certificate on letter head of the Bank (ORIGINAL)		
	c. Cancelled Personalized Cheque leaf		
<b>Demat Proof</b> (Any One) (1st Holder only)	a. Client Master (CML copy duly attested by DP)		
	b. DP Statement / Transaction cum Holding Statement (Duly attested by DP)		
<b>Proof of Income</b> (Any One) Mandatory for Derivatives Segments) (1st Holder only)	a. Copy of latest ITR acknowledgment		
	b. In case of salary income - Salary Slip, Copy of Form 16		
	c. Net-worth certificate (Not more than 1 year old)		
	d. Copy of Demat Account Holding Statement (not more than 3 months old)		
	e. Bank Account Statement for last 6 months reflecting income		

## FATCA / CRS Declaration / Self Certification For Individual:

Client Name				
		<b>First Holder</b>	<b>Second Holder</b>	<b>Third Holder</b>
1.	Are you Indian Resident Person? (Refer Risk Disclosure Document at <a href="http://www.mangalkeshav.com">www.mangalkeshav.com</a> )	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
2.	Specify country of residence for tax purpose (If above clause is no)			
3.	Specify Tax Identification No. / Others			

**Note:** If you are a U.S. person and / or if your tax residency / nationality / citizenship is other than India, then please provide declaration / self certification under FATCA / CRS. This declaration form can be downloaded from [www.mangalkeshav.com](http://www.mangalkeshav.com) or call at KYC Helpdesk at (022) 6190 7977 or write to [kyc@mangalkeshav.com](mailto:kyc@mangalkeshav.com)

 1/13	Signature of 1st Holder	 1/8	Signature of 2nd Holder	 1/8	Signature of 3rd Holder
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## KNOW YOUR CLIENT (KYC) | APPLICATION FORM (FOR INDIVIDUALS ONLY)

Please fill in ENGLISH & in BLOCK LETTERS with black/blue ink & tick the appropriate options

Application No:

### A. IDENTITY DETAILS

<b>1. Name of Applicant</b>			
Father's/ Spouse Name			
<b>2. Mother Name</b>			
<b>3. a. Gender</b>	<input type="checkbox"/> Male <input type="checkbox"/> Female	<b>b. Date of birth</b>	DD / MM / YYYY
<b>c. Marital Status</b>	<input type="checkbox"/> Single	<b>4. Nationality</b>	<input type="checkbox"/> Indian <input type="checkbox"/> Other
	<input type="checkbox"/> Married	<input type="checkbox"/> NRI Repatriable	<input type="checkbox"/> NRI Non-Repatriable
<b>5. Status</b>	<input type="checkbox"/> Resident Individual	<input type="checkbox"/> Non Resident Individual	
<b>6. PAN</b>		<b>7. Aadhar</b>	
<b>8. Specify the proof of identity submitted :</b>			

#### PHOTOGRAPH

Paste color  
passport size  
photo & sign  
across it

Signature of 1st Holder

2/13

### B. ADDRESS DETAILS

<b>1. Correspondence Address</b>			
City/Town/Village		Pin Code	
State		Country	
<b>2. Contact/Mobile No</b>		Tel (Res.)	
Tel (Off.)		Email id	
<b>3. Specify the proof of address submitted for Correspondence Address:</b>			
<b>4. Permanent Address</b> (if different from above correspondence address)	<input type="checkbox"/> Same as correspondence address		
City/Town/Village		Pin Code	
State		Country	
<b>5. Occupation</b> (Please tick)	<input type="checkbox"/> S-Service ( <input type="checkbox"/> Private Sector <input type="checkbox"/> Public Sector <input type="checkbox"/> Govt Sector) <input type="checkbox"/> O-Others ( <input type="checkbox"/> Professional <input type="checkbox"/> Self Employed <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student) <input type="checkbox"/> B-Business <input type="checkbox"/> X-Not Categorised		
<b>6. Specify the proof of address submitted for Permanent Address:</b>			

### DECLARATION

I hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.

Place		Signature of Applicant
Date	DD / MM / YYYY	

3/13

Signature of 1st Holder

### FOR OFFICE USE ONLY

Details of Employee/AP	<b>Mangal Keshav Financial Services LLP</b>	
Name & E Code	Documents verified with Originals & In-Person Verification done by	
Designation		
Date / Place	DD / MM / YYYY	PLACE
Signature		



## ADDITIONAL KYC DETAILS FOR DEMAT & TRADING ACCOUNT

**TYPE OF ACCOUNT :** ☒ Individual **Sub Status** ☐ Resident ☐ Other \_\_\_\_\_

### A. BANK ACCOUNT DETAILS

	Bank 1 Details	<input type="checkbox"/> Savings	<input type="checkbox"/> Current	Bank 2 Details	<input type="checkbox"/> Savings	<input type="checkbox"/> Current
Bank & Branch						
Account No.						
MICR		IFSC		MICR	IFSC	

### B. DEPOSITORY ACCOUNT DETAILS (DP1 DETAILS CONSIDERED FOR PAYOUT OF SECURITIES IF FILLED)

	DP 1 Details	DP 2 Details
Depository	<input checked="" type="checkbox"/> CDSL	<input type="checkbox"/> CDSL <input type="checkbox"/> NSDL
DP Name	Mangal Keshav Financial Services LLP	
Client Name		
DP ID	12024700	
BO ID		

### C. TRADING PREFERENCES \*Please sign in the relevant boxes where you wish to trade. The segment not chosen should be struck off by the client

Exchanges	Segment	Client Signature
NSE / BSE	Cash / Mutual Fund	Signature of Applicant <div style="float: right; text-align: right;">4/13 <small>Signature of 1st Holder</small></div>
NSE / BSE	Future & Options	Signature of Applicant <div style="float: right; text-align: right;">5/13 <small>Signature of 1st Holder</small></div>
NSE / BSE	Currency Derivative	Signature of Applicant <div style="float: right; text-align: right;">6/13 <small>Signature of 1st Holder</small></div>
NSE / BSE	Commodity Derivative	Signature of Applicant <div style="float: right; text-align: right;">7/13 <small>Signature of 1st Holder</small></div>

# If, in future, the client wants to trade on any new segment/new exchange, separate authorization/letter should be taken from the client by the stock broker.

### D. PAST ACTIONS

Details of any action / proceedings initiated / pending / taken by SEBI / Stock exchange / any other authority against the applicant / constituent or its Partners / promoters / whole time directors / authorized persons in charge of dealing in securities during the last 3 years:

☐ No If yes, please specify

### E. INTRODUCER DETAILS

**Status of Introducer** ☐ AP ☐ Employee ☐ Existing Client ☐ Others (Specify):

**Introducer Name:** \_\_\_\_\_ **AP/Emp/Client Code:** \_\_\_\_\_

Address of AP:

Mobile No:

**Signature of the Introducer**



### F. DEALING THROUGH AUTHORISED PERSON (AP) / OTHER STOCK BROKERS

If Yes, please specify:		Broker Member ID	
Name of Broker/AP		SEBI Registration No	
Name of Exchange		Trading Code	
Details of disputes/dues pending from/to such stock broker:			
Whether you are a Member / AP of any Exchange <input type="checkbox"/> Yes <input type="checkbox"/> No			

**G. STANDING INSTRUCTIONS / OTHER DETAILS / EMAIL-SMS ALERT / CONTRACT NOTE PREFERENCE**

Contract Note / Holding & Transaction Statement including CAS / Other Documents		<input type="checkbox"/> Physical	<input type="checkbox"/> Electronic
To receive / accept each and every credit and pledge instruction in my account		<input type="checkbox"/> Yes	<input type="checkbox"/> No
To share email id with Registrar & Transfer Agent (RTA)		<input type="checkbox"/> Yes	<input type="checkbox"/> No
Dividend / Interest to receive in bank account stated in KYC via ECS		<input type="checkbox"/> Yes	<input type="checkbox"/> No
To avail facility of Internet Trading & Wireless Technology		<input type="checkbox"/> Yes	<input type="checkbox"/> No
To receive Annual Report in Electronic mode		<input type="checkbox"/> Yes	<input type="checkbox"/> No
To receive Delivery Instruction Slip		<input type="checkbox"/> Yes	<input type="checkbox"/> No
Margin Trading Facility ( Refer Rights & Obligation document)		<input type="checkbox"/> Yes	<input type="checkbox"/> No
DP Account Statement	<input type="checkbox"/> Monthly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Weekly <input type="checkbox"/> As per SEBI Regulation		
Declaration for Email	<input type="checkbox"/> Self <input type="checkbox"/> Spouse <input type="checkbox"/> Child <input type="checkbox"/> Parent		
Declaration for Mobile Number	<input type="checkbox"/> Self <input type="checkbox"/> Spouse <input type="checkbox"/> Child <input type="checkbox"/> Parent		

**Note:** To register for Easi please visit website [www.cdslindia.com](http://www.cdslindia.com), where BO can view ISIN balances, transactions & portfolio online.

**H. INFORMATION FOR PREVENTION OF MONEY LAUNDERING ACT, 2002 & OTHER DETAILS**

<b>Experience</b>	Number of years of Investment / Trading Experience <input type="text"/> <input type="text"/>		
<b>Gross Annual Income</b>	<input type="checkbox"/> Below 1 lac <input type="checkbox"/> 1-5 Lacs <input type="checkbox"/> 5-10 Lacs <input type="checkbox"/> 10-25 Lacs <input type="checkbox"/> >25 Lacs		
	<b>OR</b> Net Worth in ₹ <small>(*Net worth should not be older than 1 year)</small> as on date DD / MM / YYYY		
<b>Constituent Profile</b>	<input type="checkbox"/> Manufacturing <input type="checkbox"/> Services <input type="checkbox"/> Trading		
	<input type="checkbox"/> Consultancy <input type="checkbox"/> Others _____		
<b>Client Category</b>	<input type="checkbox"/> FPOs / Farmer <input type="checkbox"/> Value Chain Participant <input type="checkbox"/> Domestic Financial Institutional Investor		
	<input type="checkbox"/> Foreign Participant <input type="checkbox"/> Others		
<b>Guardian Details</b>	Name:	PAN	
	CKYC Reference No.:	Relation	
<b>Is the Client Politically Exposed Person (PEP) or Related to a PEP</b> <input type="checkbox"/> Yes <input type="checkbox"/> No			

**I. GST REGISTRATION DETAILS**




<b>Registration No.</b>	<b>Registration Date</b>	<b>State Name</b>
GSTIN No:	DD / MM / YYYY	

**DECLARATION**

I / We declare that the particulars furnished above are true & correct to the best of my / our knowledge & belief. I / We agree & undertake to intimate the DP / Stock broker any change(s) in the details therein immediately. I / We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination & suitable action.

I / We confirm having received, read/been explained and understood the content of the 'Rights and Obligations Document' for trading and DP Services, 'Risk Disclosure Document', 'Guidance Note' and agree to abide by and be bound by the same and by the Bye-Laws as are in force from time to time. I/We also confirm having received, read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.

I / We have also informed that the standard set of documents has been displayed for information on stock broker's designated website i.e. [www.mangalkeshav.com](http://www.mangalkeshav.com).

		
Signature of 1st Holder	Signature of 2nd Holder	Signature of 3rd Holder

Place:

Date: DD / MM / YYYY

## NOMINEE DETAILS FOR TRADING AND DEMAT ACCOUNT

☐ I/We do not wish to nominate    
 ☐ I/We wish to nominate    
 ☐ Single Nominee    
 ☐ Multiple Nominee

Name			
Address	Same as 1st Holder <input type="checkbox"/> Else fill below details		
City		Pin Code	
PAN/Aadhar		Tel	
Relationship with Nominee (if any):	Date of birth	DD / MM / YYYY	

### GUARDIAN DETAILS (IF NOMINEE IS A MINOR)



Name			
Address			
Tel		Guardian Signature:	<input checked="" type="checkbox"/>

This nomination shall supersede any prior nomination made by me / us and also any testamentary document executed by me / us.

 9/13 <small>Signature of 1st Holder</small>	 3/8 <small>Signature of 2nd Holder</small>	 3/8 <small>Signature of 3rd Holder</small>
--	--	--

Place: \_\_\_\_\_ Date: DD / MM / YYYY

### WITNESSES (ONLY APPLICABLE IN CASE THE ACCOUNT HOLDER HAS MADE NOMINATION)

Name	Name
Address	Address
 <small>Signature of 1st Witness</small>	 <small>Signature of 2nd Witness</small>

Note: 1. Where the holder is minor, person law fully entitled to act on behalf of the minor should sign the nomination.  
 2. Birth Certificate is required incase of minor.

### OFFICE USE ONLY

Nomination form accepted and registered vide Registration No.:

*/ We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, if any, for the information of the clients.*

Details of Employee/AP	<b>Mangal Keshav Financial Services LLP</b>	
Name & E Code	Documents verified with Originals & In-Person Verification done by	
Designation		
Date / Place	DD / MM / YYYY	PLACE
Signature		





## BROKERAGE DETAILS

**Margin Collected:**

## BROKERAGE PLAN

Equity Segment	Equity / Futures / Currency		Equity Delivery		Options				Commodity Segment	Futures		Options
	%	Min (Paisa)	%	Min (Paisa)	Index (₹)	Stock (₹)	Bank Nifty (₹)	Currency (₹)		%	Min (Paisa)	₹
1st Leg									1st Leg			
2nd Leg									2nd Leg			

## CHARGES FOR DEPOSITORY SERVICES




Sr.	Services	Schemes (Please Select)			
		Lifetime AMC		Investor	BSDA
		<input type="checkbox"/> 1250	<input type="checkbox"/> 550	<input type="checkbox"/> 300	
1.	Deliveries / Debit transaction (per ISIN) Within MKFSL Outside MKFSL	Rs. 11/- Rs. 21/-	Rs. 25/- Rs. 35/-	Rs. 11/- Rs. 21/-	Rs. 50/- Rs. 70/-
2.	Charges for Pledge creation/closure/invocation and Re-Pledge (per ISIN)	Rs. 25/-	Rs. 50/-	Rs. 25/-	Rs. 100/-
3.	Margin Pledge Creation, Closure, Invocation (per ISIN per instance)	Changes Amount (Exclusive of GST)*			
		Rs. 12/-	Rs. 12/-	Rs. 12/-	Rs. 12/-
4.	Margin Pledge Creation, Closure, Invocation for MTF Client (per ISIN per instance)	Rs. 24/-	Rs. 24/-	Rs. 24/-	Rs. 24/-
5.	Dematerialization (per Certificate) Postal Charges	Rs. 10/- Rs. 30/-	Rs. 20/- Rs. 60/-	Rs. 10/- Rs. 30/-	Rs. 40/- Rs. 120/-
6.	Rematerialization (per Certificate) Postal Charges	Actual CDSL charges			
		Rs. 30/-	Rs. 60/-	Rs. 30/-	Rs. 120/-
7.	Demat Rejections (per Certificate)	Rs. 30/-			Rs. 60/-

**Note:**

1. ISIN would be debited in the client ledger.
2. In case of every corporate account, CDSL AMC of Rs. 700/- & transaction charges of investor scheme will be applicable.
3. CDSL levied Rematerialization charges as higher of (i) A fee of Rs. 10/- for every 100 securities or part thereof: **OR** (ii) A flat fee of Rs. 10/- per certificate.
4. STT, SEBI turnover fees, stamp duty, transaction charges, GST, transaction charges & other statutory levies/CESS (if any) shall be levied as per prevailing rates.
5. Rs. 25/- per request / booklet will be charged for additional DIS requisition, account statement, holding with valuation, account modification, freeze & unfreeze etc.
6. Charges levied on account of cheque return, wrong reporting of cheques, DP scheme charges, duplicate statements etc. may be recovered from your account.
7. Clearing charges will be charged as per below mentioned rates (charges per crore):

Segment	Futures (On Turnover)	Options (On Premium)
Equity / Currency Derivatives	35	2500

8. Mangal Keshav Financial Services LLP reserves the right to change the charges for depository services from time to time at its sole discretion, under 30 days prior intimation to clients either by way of ordinary post or by an email and by notification on the back office interface.
9. Minimum 70% turnover should be through online trading platform for online brokerage slab applicability.
10. Brokerage levied to your trading account shall be the higher of brokerage value as per existing rates or Rs. 30/- per settlement/segment subject to maximum rate prescribed by regulator time to time.
11. The scheme opted as per above table, will continue till we receive any change request from client.
12. Any charges with regards to NERL/Comtrack etc. shall be levied separately.
13. Commission of Clearing & Forwarding Agent / Commission agents, if appointed on behalf of clients for the purpose of taking of deliveries will be charged at actual.

 Signature of 1st Holder	 Signature of 2nd Holder	 Signature of 3rd Holder
Name: 1st Holder	Name: 2nd Holder	Name: 3rd Holder

**INTENTIONALLY LEFT BLANK**

# POWER OF ATTORNEY - POA (VOLUNTARY)

## DULY STAMPED

TO ALL TO WHOM THESE PRESENTS SHALL COME I/We, (whose names and other particulars are stated in Schedule hereto which shall form part of these presents) SEND GREETINGS

WHEREAS:

(A) I/We, as the Client ( Details as Mentioned in Schedule to this Power of Attorney, POA ) of Mangal Keshav Financial Services LLP ("Member" / "MKFS LLP") (being a trading member of NSE (cash, derivative and currency derivatives segment) and BSE (cash and derivative segment), desire to invest and/or trade in shares, bonds, debentures, currencies, commodities and other securities, whether listed or unlisted, including units of mutual funds, government securities, certificate of deposits, commercial papers, money market instruments (hereinafter referred to as the "Securities") with or through the on-line and/or off-line trading facilities which are being offered to me/us Client, I/We have entered into/propose to enter into Agreement (hereinafter referred to as the said "Agreement" as amended and in force from time to time) with Member/MKFS LLP in this regard;

(B) I/We, individual / joint account holders hold Demat Account Client ID No. as mentioned in the Schedule to this POA (the "Designated Depository Account") with MKFS LLP in its capacity as CDSL / NSDL Depository Participant under DP-ID No. as mentioned in the Schedule to this POA

NOW THEREFORE THESE PRESENTS WITNESS THAT I/We, as individual / joint account holders, for myself / ourselves, individual / joint account holders and as the Clients of MKFS LLP do hereby revocably, nominate, constitute and appoint Mangal Keshav Financial Services LLP (MKFS LLP) registered as LLP pursuant to section 58(1) of the LLP Act, 2008 and having its registered office at 501, Heritage Plaza, Opp Indian Oil Colony, J P Road, Andheri (W), Mumbai 400053 (the "said Attorney") acting through any of its officers or employees, be my/our true and lawful constituted attorney and authorise the said Attorney in my/our name(s) and on my/our behalf from time to time, to do and exercise or caused to be done and exercise all or any of the acts, powers and authorities, deeds or things mentioned hereunder, that is to say:

1. To operate the designated depository account for the purpose of executing instructions, transferring all or any of the said Securities to MKFS LLP towards the fulfillment of all or any of my/our exchange related margin/delivery/settlement obligations on my/our behalf through the on-line / offline trading facilities of MKFS LLP shall be binding on me/us and admissible in evidence and shall not be questioned by me/us and shall be conclusive and binding on me/us.
2. To issue transfer instructions, pledge instructions, pledge creation, pledge invocation, pledge revocation and pledge closure instruction, to the DP division of MKFS LLP for the purposes of debiting my/our account opened with the DP division of MKFS LLP towards the fulfillment of all or any of my/our exchange related margin / delivery / settlement obligations on my/our behalf through the on-line / offline trading facilities of MKFS LLP.
3. To sign, deliver, endorse, and/or acknowledge all instructions, forms, transfer deeds, and instruments, drafts, slips, receipts and other instruments and writings which are required or considered necessary or advisable for performing all or any of the powers or authorities hereby conferred by me/us on the said Attorney to meet Exchange related margin /delivery /settlement obligations.
4. To hold the securities in the designated depository account as margin deposits with MKFS LLP to meet Exchange related margin/delivery/settlement obligations. To transfer the securities back to my/our account if such securities have been received by MKFS LLP erroneously and or transfer back the securities that MKFS LLP is not entitled to receive from me/us.
5. To bind ourselves with respect to any instruction given by first beneficial owner or any other beneficial holder of the Designated Depository Account to MKFS LLP.
6. To subscribe to and / or redeem units of mutual funds, to subscribe to various products like Mutual Funds, Public Issues (shares as well as debentures), rights, offer for shares, tendering shares in open offer etc.
7. To confirm having read and understood the contents of the offer documents of various schemes of mutual funds in which the Company may invest on behalf of myself/ourselves and not to hold the mutual fund / asset management company liable for any transaction processed on the basis of information provided by the Company.
8. To receive statements and other documents pertaining to the above units/securities and to acknowledge receipt of the same. To authorize MKFS LLP as Member to send consolidated summary of my/our scrip wise buy and sell positions taken with average rates by way of SMS/e-mail on a daily basis, notwithstanding any other document to be disseminated as specified by SEBI from time to time.
9. To sign all such application forms, transfer deeds, redemption requests, and other documents / deeds and to do all such acts as may be required for all or any of the above purposes.
10. To correspond with and give notice to the corresponding asset management company / body corporate(s) / issuer / registrar and transfer agent of securities including giving instructions with regard to nomination / change in investment plans / any other changes that may be necessitated.
11. To do all such acts, deeds and things as may be necessary for or incidental to my / our, individual / joint account holders being the Client of MKFS LLP for the purposes as aforesaid.
12. MKFS LLP and I/We agree that any dispute arising out of operations of this POA will be resolved amicably between the parties. In the absence of amicable resolution of the disputes, the aggrieved party may refer the matter to the arbitration mechanism of the respective exchanges and or depository.
13. I/We specifically agree that in case of death, disability, insolvency, winding up or liquidation of any one or more of us or of the joint holder/s, the surviving joint holders and heirs, nominees, executors, administrators, successors and legal representatives

of the account holder who has been died or has been disabled, dissolved, been adjudged insolvent, wound up or liquidated shall forthwith inform the Attorney and the Member/MKFS LLP of the same in writing. In such an event, the Power of Attorney and the instructions contained herein shall be revoked forthwith.

Such intimation shall be duly signed by me / us and should be received at the Head Office of the Attorney. The revocation shall be subject to due receipt, verification and processing of the same by the Attorney and such revocation shall not be applicable for any outstanding settlement obligation arising out of trades carried prior to receipt of the request for revocation of POA.

14. The aforesaid Power of Attorney has been granted, the instructions herein have been given and the agreements herein contained have been agreed to by me/us in consideration of the said Agreement and the mutual rights, covenants and obligations there under, and are revocable by me/us by giving an intimation to the Attorney in writing and the cessation of this authority shall not affect or impair any act thereto done in exercise of these presents.

15. To retain all originals of the documents executed by me/us.

IN WITNESS THEREOF I/We, individual / joint account holders hereunto have set and subscribed my/our respective hand(s) hereto at as mentioned in the Schedule to this POA on this date as mentioned in the Schedule to this POA.






Signed and delivered by:(Schedule above referred to )

Client Details

Trading Account Code		Demat Account No.	12024700
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**Mangal Keshav Financial Services LLP - Demat Account Details**

Account Name	Demat Account No
CM POOL	1202470000000453
	IN30278310000183
	1202470000000069
	1202470000000073
	IN30278310000108
EARLY PAY-IN	1100001100014612
	1100001000013893
Client Unpaid Securities account (CUSA)	IN30278310010624
	1202470000575104
Client Securities Margin Pledge Account (CMPA)	IN30278310010649
	1202470000582498
Client Securities under Margin Funding Account	1202470000582975

First Holder's Name:		Second Holder's Name:		Third Holder's Name:	
Address:		Address:		Address:	
<div>to be signed in BLACK ink</div> <div>  <div>Signature of 1st Holder</div> </div>		<div>to be signed in BLACK ink</div> <div>  <div>Signature of 2nd Holder</div> </div>		<div>to be signed in BLACK ink</div> <div>  <div>Signature of 3rd Holder</div> </div>	
Date :	Place :	Date :	Place :	Date :	Place :
WITNESSES For Clients					
1 <sup>st</sup> WITNESSES Full Name					
Address					
<div>  <div>Signature of First Witness</div> </div>					
2 <sup>nd</sup> WITNESSES Full Name					
Address					
<div>  <div>Signature of Second Witness</div> </div>					

## CLIENT DECLARATIONS AND AUTHORISATION

- **One Time Declaration About High Value Transactions:** I / We do hereby declare that being your client, I/We propose to undertake high value transactions (say Rs. 5 lakh and above or such other sum as may be prescribed by Regulator / NSE/ BSE or any other authority from time to time) in a day on NSE / BSE through you as my/our equity / commodity broker. By this one time declaration, please note that the said transaction(s) would be for and on my/our be for and on my/our behalf and entirely for my/our profit or loss only. I/We also declare that the transactions would not be carried out for any unauthorized / unregistered authorized person or for and on behalf of any other person / entity.
- **Running Account Authorisation:** I / We request you to maintain my / our accounts on running accounts basis instead of 'bill to bill' settlement basis, unless I / we specifically request you for a payout of available free balance in the account. You may settle the accounts at **Monthly / Quarterly** (strike off whichever is not applicable, else the option will be considered as Quarterly) or at such other intervals as SEBI / Stock Exchanges may specify from time to time. I / We further authorize you to retain such amount as may be permitted by Stock Exchanges / SEBI from time to time or towards other unbilled services and/or charges applicable on my account, while settling the accounts. I / We further authorize you to also retain an amount of up to Rs. 10,000/- (Ten Thousand Only) or any other sum as may be permitted by SEBI / Stock Exchanges from time to time, while settling my / our account. I / We understand and agree that no interest will be payable on the amount of funds retained by you as above. I / We agree that MKFSL shall not be liable for any claim for loss or loss of profit or for any consequential, incidental, special or exemplary damages, or otherwise, caused by retention of such funds. The standing instruction / authorization for maintaining my / our account as running account shall remain valid until revoked in writing, addressed to you.
- **Letter Of Authority:** I hereby authorise my \_\_\_\_\_  
Mr./Ms. \_\_\_\_\_ to trade on my behalf of me in my trading  
account code \_\_\_\_\_, I will be fully responsible for all trades done by authorised person in my  
trading account code.

12/13

Signature of 1st Holder

7/8

Signature of 2nd Holder

7/8

Signature of 3rd Holder

## NRI DECLARATION

To  
KYC Department  
Mangal Keshav Financial Services LLP  
Mumbai

Dear Sir

With reference to my/our trading and or demat account, kindly note that we have complied and shall continue to comply with Foreign Exchange Management Act 1999 and the rules and regulations issued there under and other applicable laws In addition to the above kindly note our foreign residential address is as follows:

Residential address of 1st holder: \_\_\_\_\_

Residential address of 2nd holder: \_\_\_\_\_

Residential address of 3rd holder: \_\_\_\_\_

In case of any change in the above I/We would update MKFS LLP by complying with the applicable procedure.

Thanking you,

Yours faithfully,

13/13

Signature of 1st Holder

8/8

Signature of 2nd Holder

9/8

Signature of 3rd Holder

NOTES

NOTES

## Mangal Keshav Financial Services LLP

### Registered and Correspondence Office :

501, Heritage Plaza, J.P. Road, Opp. Indian Oil Nagar, Andheri (West), Mumbai - 400 053.

Tel.: 022-6190 7900/8000 | Fax: 6679 0535 | Email: kyc@mangalkeshav.com | Website: www.mangalkeshav.com

**Compliance Officer :** Mr. Gyan Prakash | Tel.: 022-6190 7900/8000 | Email : gyan@mangalkeshav.com

**Designated Partner Name:** Mr. Ajay Shah | Tel.: 022-61907900/8000 | Email ID: ajay@mangalkeshav.com

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### Registration Details :

BSE INB INZ000166334	Date of Reg.: 19-06-2018	• BSE FO INZ000166334	Date of Reg.: 19-06-2018
NSE INB INZ000166334	Date of Reg.: 19-06-2018	• NSE FO INZ000166334	Date of Reg.: 19-06-2018
NSE CURRENCY INZ000166334	Date of Reg.: 19-06-2018		

**CDSL AND NSDL IN-DP-358-2018 Date of Reg.: 03-04-2018.**

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### Professional Clearing Member Details

#### Globe Capital Market Limited

609, Ansal Bhawan, 16, K. G. Marg, Connaught Place, New Delhi-110 001 (India),

Phones: 91-11-30412345 (30 Lines) | Fax: 91-11-23720883 | 91-11-23766739

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For any grievance/dispute please contact the Compliance Officer of **Mangal Keshav Financial Services LLP** at the registered address of the company or Email: [investorgrievances@mangalkeshav.com](mailto:investorgrievances@mangalkeshav.com) and Tel.: 022-6190 7900. In case not satisfied with the response, please contact the concerned exchange(s) BSE at [is@bseindia.com](mailto:is@bseindia.com), Tel.: 022 2272 8097, NSE at [ignse@nseindia.co.in](mailto:ignse@nseindia.co.in) Tel.: 022 2659 8100