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Bose-Hubbard Model

中山大学物理学院



报告人：路尚润

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Part.01

Cold Bosonic Atoms in Optical Lattices



• Hamiltonian

Hamilton operator for bosonic atoms

$$H = \int d^3x \psi^\dagger(\mathbf{x}) \left(-\frac{\hbar^2}{2m} \nabla^2 + V_0(\mathbf{x}) + V_T(\mathbf{x}) \right) \psi(\mathbf{x}) + \frac{1}{2} \frac{4\pi a_s \hbar^2}{m} \int d^3x \psi^\dagger(\mathbf{x}) \psi^\dagger(\mathbf{x}) \psi(\mathbf{x}) \psi(\mathbf{x}),$$

$\psi(\mathbf{x})$ is a boson field operator for atoms in a given internal atomic state

$V_0(x)$ is the optical lattice potential

$V_T(x)$ describes an additional (slowly varying) external trapping potential

$$V_0(\mathbf{x}) = \sum_{j=1}^3 V_{j0} \sin^2(kx_j)$$

$$k = 2\pi/\lambda \quad a = \lambda/2$$

Expanding the field operators in the Wannier basis and keeping only the lowest vibrational states

$$\psi(\mathbf{x}) = \sum_i b_i w(\mathbf{x} - \mathbf{x}_i)$$

$$\hat{\Psi}(\mathbf{r}) = \sum_j \hat{b}_j \phi_j(\mathbf{r})$$

$$\Psi_\ell(r_\ell) = (k_0^2 \tilde{\omega}_\ell / \pi)^{1/4} e^{-\tilde{\omega}_\ell k_0^2 r_\ell^2 / 2}$$

$$H = -J \sum_{\langle i,j \rangle} b_i^\dagger b_j + \sum_i \epsilon_i \hat{n}_i + \frac{1}{2} U \sum_i \hat{n}_i (\hat{n}_i - 1),$$

近邻交叠积分最大



• Tight-Binding Model

仅用基态，假设基态能量为0

$$\psi(\mathbf{x}) = \sum_i b_i w(\mathbf{x} - \mathbf{x}_i)$$

$$H = -J \sum_{\langle i,j \rangle} b_i^\dagger b_j + \sum_i \epsilon_i \hat{n}_i + \frac{1}{2} U \sum_i \hat{n}_i (\hat{n}_i - 1)$$

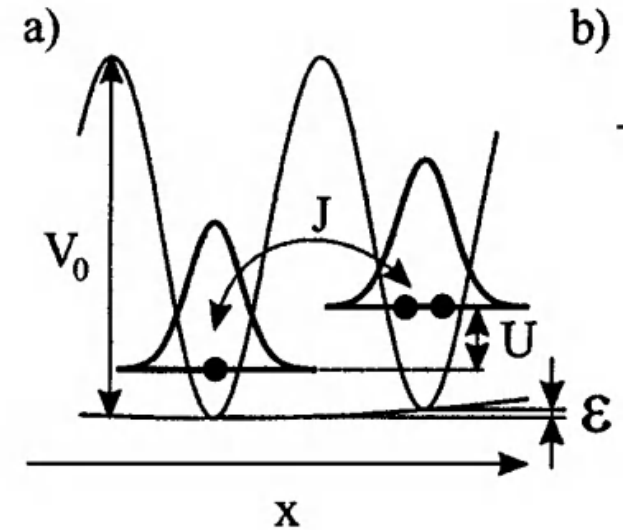
where the operators $\hat{n}_i = b_i^\dagger b_i$ count the number of bosonic atoms at lattice site i ;

the annihilation and creation operators b_i and b_i^\dagger obey the canonical commutation relations $[b_i, b_j^\dagger] = \delta_{ij}$.

The parameters $U = 4\pi a_s \hbar^2 \int d^3x |w(\mathbf{x})|^4 / m$ correspond to the strength of the on site repulsion of two atoms on the lattice site i .

$J = -\int d^3x w^*(\mathbf{x} - \mathbf{x}_i) \left[-\frac{\hbar^2}{2m} \nabla^2 + V_0(\mathbf{x}) \right] w(\mathbf{x} - \mathbf{x}_j)$ is the hopping matrix element between adjacent sites i, j .

$\epsilon_i = \int d^3x V_T(\mathbf{x}) |w(\mathbf{x} - \mathbf{x}_i)|^2 \approx V_T(\mathbf{x}_i)$ describes an energy offset of each lattice site.





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Part.02

Ultracold atoms in optical lattices generated by quantized light fields



•Single Atom Hamiltonian

N个质量为m，跃迁频率为 ω_{eg} 的原子，与频率 ω_c 的驻波单模腔场相互作用，还有一个 ω_p 频率、与原子最大耦合强度为 \hbar_0 的振幅为 η 的相干驱动光，弱耦合采用RWA，得到JC Model。

$$H^{(1)} = H_A^{(1)} + H_R^{(1)} + H_{Int}^{(1)}.$$

$$H_A^{(1)} = \frac{\hat{\mathbf{p}}^2}{2m} + V_e(\mathbf{x})\sigma^+\sigma^- + V_g(\mathbf{x})\sigma^-\sigma^+ + \hbar\omega_{eg}\sigma^+\sigma^- - i\hbar h(\mathbf{x}) (\sigma^+ e^{-i\omega_p t} - \sigma^- e^{i\omega_p t})$$

$$H_R^{(1)} = \hbar\omega_c a^\dagger a - i\hbar\eta (a e^{i\omega_p t} - a^\dagger e^{-i\omega_p t}),$$

$$H_{Int}^{(1)} = -i\hbar g(\mathbf{x}) (\sigma^+ a - \sigma^- a^\dagger).$$

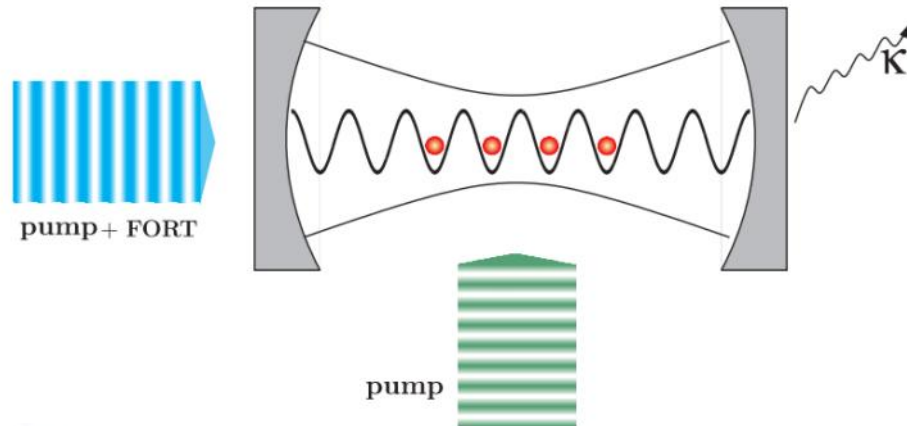


$$U(t) = \exp[i\omega_p t (\sigma^+\sigma^- + a^\dagger a)]$$

$$H_A^{(1)} = \frac{\hat{\mathbf{p}}^2}{2m} + V_e(\mathbf{x})\sigma^+\sigma^- + V_g(\mathbf{x})\sigma^-\sigma^+ - \hbar\Delta_a\sigma^+\sigma^- - i\hbar h(\mathbf{x}) (\sigma^+ - \sigma^-)$$

$$H_R^{(1)} = -\hbar\Delta_c a^\dagger a - i\hbar\eta (a - a^\dagger),$$

$$H_{Int}^{(1)} = -i\hbar g(\mathbf{x}) (\sigma^+ a - \sigma^- a^\dagger),$$



detuning

$$\Delta_c = \omega_p - \omega_c, \Delta_a = \omega_p - \omega_{eg}$$

• Second Quantization

$$H_A^{(1)} = \frac{\hat{\mathbf{p}}^2}{2m} + V_e(\mathbf{x})\sigma^+\sigma^- + V_g(\mathbf{x})\sigma^-\sigma^+ - \hbar\Delta_a\sigma^+\sigma^- - i\hbar h(\mathbf{x})(\sigma^+ - \sigma^-)$$

$$H_R^{(1)} = -\hbar\Delta_c a^\dagger a - i\hbar\eta(a - a^\dagger),$$

$$H_{Int}^{(1)} = -i\hbar g(\mathbf{x})(\sigma^+ a - \sigma^- a^\dagger),$$



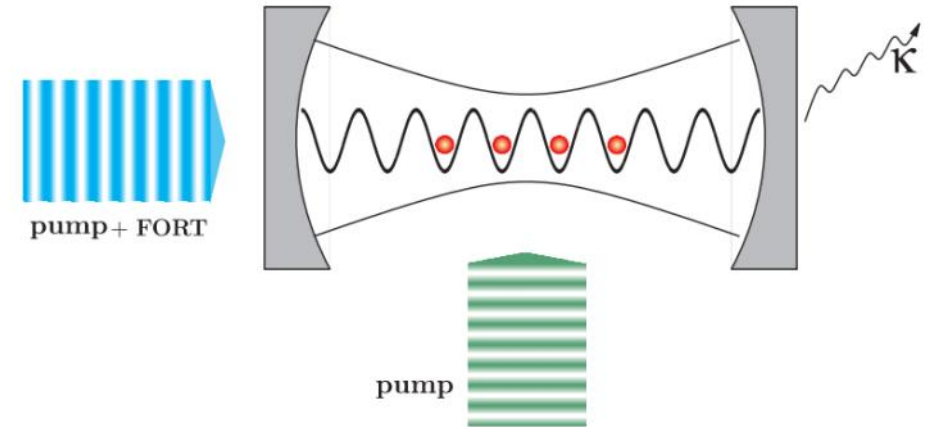
$$H = H_A + H_R + H_{A-R} + H_{A-P} + H_{A-A}.$$

$$H_A = \int d^3\mathbf{x} \left[\Psi_g^\dagger(\mathbf{x}) \left(-\frac{\hbar^2}{2m} \nabla^2 + V_g(\mathbf{x}) \right) \Psi_g(\mathbf{x}) + \Psi_e^\dagger(\mathbf{x}) \left(-\frac{\hbar^2}{2m} \nabla^2 - \hbar\Delta_a + V_e(\mathbf{x}) \right) \Psi_e(\mathbf{x}) \right]$$

$$H_{A-A} = \frac{U}{2} \int d^3\mathbf{x} \Psi_g^\dagger(\mathbf{x}) \Psi_g^\dagger(\mathbf{x}) \Psi_g(\mathbf{x}) \Psi_g(\mathbf{x}), \quad U = 4\pi a_s \hbar^2 / m.$$

$$H_{A-R} = -i\hbar \int d^3\mathbf{x} \Psi_g^\dagger(\mathbf{x}) g(\mathbf{x}) a^\dagger \Psi_e(\mathbf{x}) + \text{h.c.},$$

$$H_{A-P} = -i\hbar \int d^3\mathbf{x} \Psi_g^\dagger(\mathbf{x}) h(\mathbf{x}) \Psi_e(\mathbf{x}) + \text{h.c.}.$$



$$\hat{\Psi}_e^\dagger(\mathbf{r}) \hat{\Psi}_g(\mathbf{r}) = \hat{\sigma}_+(\mathbf{r})$$

$$\hat{\Psi}_g^\dagger(\mathbf{r}) \hat{\Psi}_e(\mathbf{r}) = \hat{\sigma}_-(\mathbf{r})$$

$$[\Psi_f(\mathbf{x}), \Psi_{f'}^\dagger(\mathbf{x}')] = \delta^3(\mathbf{x} - \mathbf{x}') \delta_{f,f'}$$

$$[\Psi_f(\mathbf{x}), \Psi_{f'}(\mathbf{x}')] = [\Psi_f^\dagger(\mathbf{x}), \Psi_{f'}^\dagger(\mathbf{x}')] = 0,$$



• Heisenberg Equations

$$\frac{\partial \Psi_e(\mathbf{x})}{\partial t} = i \left[\frac{\hbar}{2m} \nabla^2 - \frac{V_e(\mathbf{x})}{\hbar} + \Delta_a \right] \Psi_e(\mathbf{x}) \quad \text{free evolution}$$

$$- [g(\mathbf{x})a + h(\mathbf{x})] \Psi_g(\mathbf{x}). \quad \text{光子吸收及基态湮灭}$$

$$\frac{\partial \Psi_g(\mathbf{x})}{\partial t} = i \left[\frac{\hbar}{2m} \nabla^2 - \frac{V_g(\mathbf{x})}{\hbar} - \frac{U}{\hbar} \Psi_g^\dagger(\mathbf{x}) \Psi_g(\mathbf{x}) \right] \Psi_g(\mathbf{x})$$

$$+ [g(\mathbf{x})a^\dagger + h(\mathbf{x})] \Psi_e(\mathbf{x}). \quad \text{光子发射及激发态产生}$$

$$\frac{\partial a}{\partial t} = i\Delta_c a + \eta + \int d^3\mathbf{x} g(\mathbf{x}) \Psi_g^\dagger(\mathbf{x}) \Psi_e(\mathbf{x}).$$

$$\hat{\Psi}_e^\dagger(\mathbf{r}) \hat{\Psi}_g(\mathbf{r}) = \hat{\sigma}_+(\mathbf{r})$$

$$\hat{\Psi}_g^\dagger(\mathbf{r}) \hat{\Psi}_e(\mathbf{r}) = \hat{\sigma}_-(\mathbf{r})$$

$$[\Psi_f(\mathbf{x}), \Psi_{f'}^\dagger(\mathbf{x}')] = \delta^3(\mathbf{x} - \mathbf{x}') \delta_{f,f'}$$

$$[\Psi_f(\mathbf{x}), \Psi_{f'}(\mathbf{x}')] = [\Psi_f^\dagger(\mathbf{x}), \Psi_{f'}^\dagger(\mathbf{x}')] = 0,$$

T=0, 弱激发态



large atom-pump detuning Δ_a

$$\Psi_e(\mathbf{x}, t) = \frac{i}{\Delta_a} [h(\mathbf{x}) + g(\mathbf{x})a(t)] \Psi_g(\mathbf{x}, t). \quad \frac{\partial a}{\partial t} = i \left[\Delta_c - \frac{1}{\Delta_a} \int d^3\mathbf{x} g^2(\mathbf{x}) \Psi_g^\dagger(\mathbf{x}) \Psi_g(\mathbf{x}) \right] a - \frac{i}{\Delta_a} \int d^3\mathbf{x} h(\mathbf{x}) \Psi_g^\dagger(\mathbf{x}) \Psi_g(\mathbf{x}) + \eta.$$

$g(\mathbf{x})$



$$\frac{\partial \Psi_g(\mathbf{x})}{\partial t} = i \left[\frac{\hbar}{2m} \nabla^2 - \frac{V_g(\mathbf{x})}{\hbar} - \frac{h^2(\mathbf{x})}{\Delta_a} - \frac{g^2(\mathbf{x})}{\Delta_a} a^\dagger a - \frac{h(\mathbf{x})g(\mathbf{x})}{\Delta_a} (a + a^\dagger) - \frac{U}{\hbar} \Psi_g^\dagger(\mathbf{x}) \Psi_g(\mathbf{x}) \right] \Psi_g(\mathbf{x})$$

•Effective Hamiltonian

$$\frac{\partial a}{\partial t} = i \left[\Delta_c - \frac{1}{\Delta_a} \int d^3\mathbf{x} g^2(\mathbf{x}) \Psi_g^\dagger(\mathbf{x}) \Psi_g(\mathbf{x}) \right] a - \frac{i}{\Delta_a} \int d^3\mathbf{x} h(\mathbf{x}) \overset{g(\mathbf{x})}{\Psi_g^\dagger(\mathbf{x})} \Psi_g(\mathbf{x}) + \eta.$$

$$\frac{\partial \Psi_g(\mathbf{x})}{\partial t} = i \left[\frac{\hbar}{2m} \nabla^2 - \frac{V_g(\mathbf{x})}{\hbar} - \frac{\hbar^2(\mathbf{x})}{\Delta_a} - \frac{g^2(\mathbf{x})}{\Delta_a} a^\dagger a - \frac{h(\mathbf{x})g(\mathbf{x})}{\Delta_a} (a + a^\dagger) - \frac{U}{\hbar} \Psi_g^\dagger(\mathbf{x}) \Psi_g(\mathbf{x}) \right] \Psi_g(\mathbf{x})$$

$$H_{\text{eff}} = \int d^3\mathbf{x} \Psi_g^\dagger(\mathbf{x}) \left\{ -\frac{\hbar^2}{2m} \nabla^2 + V_g(\mathbf{x}) + \frac{\hbar}{\Delta_a} [h^2(\mathbf{x}) + g^2(\mathbf{x}) a^\dagger a + h(\mathbf{x})g(\mathbf{x}) (a + a^\dagger)] \right\} \Psi_g(\mathbf{x})$$

$$+ \frac{U}{2} \int d^3\mathbf{x} \Psi_g^\dagger(\mathbf{x}) \Psi_g^\dagger(\mathbf{x}) \Psi_g(\mathbf{x}) \Psi_g(\mathbf{x}) - i\hbar\eta (a - a^\dagger) - \hbar\Delta_c a^\dagger a.$$

Single particle Hamiltonian

$$H_{\text{eff}}^{(1)} = \frac{\mathbf{p}^2}{2m} + V_g(\mathbf{x}) + \frac{\hbar}{\Delta_a} [h^2(\mathbf{x}) + g^2(\mathbf{x}) a^\dagger a + h(\mathbf{x})g(\mathbf{x}) (a + a^\dagger)] - i\hbar\eta (a - a^\dagger) - \hbar\Delta_c a^\dagger a.$$



•1D Open System

$$\begin{aligned} h(\mathbf{x}) &= h_0 \cos(k_p y) & \dot{\varrho} &= \frac{1}{i\hbar} [H_{\text{eff}}, \varrho] + \mathcal{L}\varrho \\ V_g(\mathbf{x}) &= V_g(x) \\ g(x) &= g_0 \cos(kx) & \mathcal{L}\varrho &= \kappa (2a\varrho a^\dagger - a^\dagger a\varrho - \varrho a^\dagger a) \end{aligned}$$

Cavity loss κ

$$\frac{\partial a}{\partial t} = i \left[\Delta_c - \frac{1}{\Delta_a} \int d^3\mathbf{x} g^2(\mathbf{x}) \Psi_g^\dagger(\mathbf{x}) \Psi_g(\mathbf{x}) \right] a - \frac{i}{\Delta_a} \int d^3\mathbf{x} h(\mathbf{x}) \underbrace{\Psi_g^\dagger(\mathbf{x}) \Psi_g(\mathbf{x})}_{g(\mathbf{x})} + \eta.$$



Langevin equation

$$\dot{a} = \left\{ i \left[\Delta_c - \frac{g_0^2}{\Delta_a} \int dx \Psi_g^\dagger(x) \cos^2(kx) \Psi_g(x) \right] - \kappa \right\} a - i \frac{g_0 h_0}{\Delta_a} \int dx \Psi_g^\dagger(x) \cos(kx) \Psi_g(x) + \eta + \Gamma_{in}$$

Cavity loss κ

Noise operator

外部真空T=0，噪声算符的平均值为0，不进入动力学



•Bose-Hubbard Hamiltonian

$$\Psi_g(x) = \sum_k b_k w(x - x_k) \quad w(x) = w_0(x)$$

$$H_{\text{eff}} = \int d^3\mathbf{x} \Psi_g^\dagger(\mathbf{x}) \left\{ -\frac{\hbar^2}{2m} \nabla^2 + V_g(\mathbf{x}) + \frac{\hbar}{\Delta_a} [h^2(\mathbf{x}) + g^2(\mathbf{x}) a^\dagger a + h(\mathbf{x}) g(\mathbf{x}) (a + a^\dagger)] \right\} \Psi_g(\mathbf{x}) \\ + \frac{U}{2} \int d^3\mathbf{x} \Psi_g^\dagger(\mathbf{x}) \Psi_g^\dagger(\mathbf{x}) \Psi_g(\mathbf{x}) \Psi_g(\mathbf{x}) - i\hbar\eta (a - a^\dagger) - \hbar\Delta_c a^\dagger a.$$

$$V_g(x) = V_{\text{cl}} \cos^2(k_F x)$$

低激发腔场势阱太小，故增加外部捕获势



$$H = \sum_{k,l} E_{kl} b_k^\dagger b_l + (\hbar U_0 a^\dagger a + V_{\text{cl}}) \sum_{k,l} J_{kl} b_k^\dagger b_l \\ + \hbar\eta_{\text{eff}} (a + a^\dagger) \sum_{k,l} \tilde{J}_{kl} b_k^\dagger b_l - i\hbar\eta (a - a^\dagger) \\ + \frac{1}{2} \sum_{i,j,k,l} U_{ijkl} b_i^\dagger b_j^\dagger b_k b_l - \hbar\Delta_c a^\dagger a,$$

$$E_{kl} = \int dx w(x - x_k) \left(-\frac{\hbar^2}{2m} \nabla^2 \right) w(x - x_l),$$

$$J_{kl} = \int dx w(x - x_k) \cos^2(kx) w(x - x_l),$$

$$\tilde{J}_{kl} = \int dx w(x - x_k) \cos(kx) w(x - x_l).$$

$$U_{ijkl} = g_{1D} \int dx w(x - x_i) w(x - x_j) w(x - x_k) w(x - x_l)$$

cos(k)在两个井之间
一正一负

$$\tilde{J}_{k,k+1} = 0$$



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Part.03

Quantum phases in an optical lattice

•Bogoliubov Approximation

$$H = -t \sum_{\langle i,j \rangle} c_i^\dagger c_j + \frac{1}{2} U \sum_i c_i^\dagger c_i^\dagger c_i c_i - \mu \sum_i c_i^\dagger c_i,$$

momentum space



$$c_i = \frac{1}{\sqrt{N_s}} \sum_{\mathbf{k}} a_{\mathbf{k}} e^{-i\mathbf{k} \cdot \mathbf{r}_i}, \quad c_i^\dagger = \frac{1}{\sqrt{N_s}} \sum_{\mathbf{k}} a_{\mathbf{k}}^\dagger e^{i\mathbf{k} \cdot \mathbf{r}_i},$$

$$\sum_i e^{-i(\mathbf{k}-\mathbf{k}') \cdot \mathbf{r}_i} = N_s \delta_{\mathbf{k},\mathbf{k}'}$$

$$H = \sum_{\mathbf{k}} (-\bar{\epsilon}_{\mathbf{k}} - \mu) a_{\mathbf{k}}^\dagger a_{\mathbf{k}} + \frac{1}{2} \frac{U}{N_s} \sum_{\mathbf{k}} \sum_{\mathbf{k}'} \sum_{\mathbf{k}''} \sum_{\mathbf{k}'''} a_{\mathbf{k}}^\dagger a_{\mathbf{k}'}^\dagger a_{\mathbf{k}''} a_{\mathbf{k}'''} \delta_{\mathbf{k}+\mathbf{k}', \mathbf{k}''+\mathbf{k}'''},$$

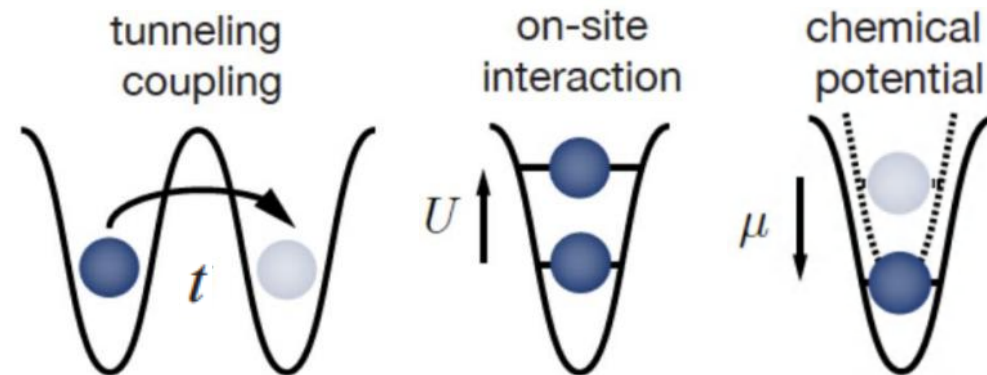
$$\bar{\epsilon}_{\mathbf{k}} = 2t \sum_{j=1}^d \cos(k_j a)$$

For a Bose condensed gas $N_0 \gg 1$: $N_0 = \langle a_0^\dagger a_0 \rangle \approx \langle a_0 a_0^\dagger \rangle$ $N_0 = \langle a_0^\dagger \rangle \langle a_0 \rangle$ $\langle a_0^\dagger \rangle = \langle a_0 \rangle = \sqrt{N_0}$.

Bogoliubov approach:

$$a_0^\dagger \rightarrow \sqrt{N_0} + a_0^\dagger, \quad a_0 \rightarrow \sqrt{N_0} + a_0,$$

minimizing the energy of the gas with respect to the number of condensate atoms N_0





• Bogoliubov Approximation

波动线性项=0 $H^{(1)} = \left(-\bar{\epsilon}_0 - \mu + \frac{U}{N_s} N_0 \right) \sqrt{N_0} (a_0^\dagger + a_0),$



$\mu = Un_0 - zt$ condensate density $n_0 = N_0/N_s$ the number of nearest neighbors $z = 2d$

化学势能是增加一个粒子所需的能量：包含在自身格点的相互作用能增加和可能hopping的能量减小

Effective Hamiltonian

$$H^{\text{eff}} = \left(-zt - \mu + \frac{1}{2} Un_0 \right) N_0 + \sum_{\mathbf{k}} (-\bar{\epsilon}_{\mathbf{k}} - \mu) a_{\mathbf{k}}^\dagger a_{\mathbf{k}} + \frac{1}{2} Un_0 \sum_{\mathbf{k}} (a_{\mathbf{k}} a_{-\mathbf{k}} + 4a_{\mathbf{k}}^\dagger a_{\mathbf{k}} + a_{-\mathbf{k}}^\dagger a_{\mathbf{k}}^\dagger).$$

Matrix form 令 $\epsilon_{\mathbf{k}} = zt - \bar{\epsilon}_{\mathbf{k}}$

$$H^{\text{eff}} = -\frac{1}{2} Un_0 N_0 - \frac{1}{2} \sum_{\mathbf{k}} (\epsilon_{\mathbf{k}} + Un_0) + \frac{1}{2} \sum_{\mathbf{k}} (a_{\mathbf{k}}^\dagger, a_{-\mathbf{k}}) \times \begin{bmatrix} \epsilon_{\mathbf{k}} + Un_0 & Un_0 \\ Un_0 & \epsilon_{\mathbf{k}} + Un_0 \end{bmatrix} \begin{pmatrix} a_{\mathbf{k}} \\ a_{-\mathbf{k}}^\dagger \end{pmatrix}$$

$$H = \sum_{\mathbf{k}} (-\bar{\epsilon}_{\mathbf{k}} - \mu) a_{\mathbf{k}}^\dagger a_{\mathbf{k}} + \frac{1}{2} \frac{U}{N_s} \sum_{\mathbf{k}} \sum_{\mathbf{k}'} \sum_{\mathbf{k}''} \sum_{\mathbf{k}'''} a_{\mathbf{k}}^\dagger a_{\mathbf{k}}^\dagger a_{\mathbf{k}''} a_{\mathbf{k}'''} \delta_{\mathbf{k} + \mathbf{k}', \mathbf{k}'' + \mathbf{k}'''},$$

$$a_0^\dagger \rightarrow \sqrt{N_0} + a_0^\dagger, \quad a_0 \rightarrow \sqrt{N_0} + a_0, \quad \text{minimizing the energy}$$

• Bogoliubov Approximation

$$H^{\text{eff}} = -\frac{1}{2}Un_0N_0 - \frac{1}{2} \sum_{\mathbf{k}} (\epsilon_{\mathbf{k}} + Un_0) + \frac{1}{2} \sum_{\mathbf{k}} (a_{\mathbf{k}}^\dagger, a_{-\mathbf{k}}) \times \begin{bmatrix} \epsilon_{\mathbf{k}} + Un_0 & Un_0 \\ Un_0 & \epsilon_{-\mathbf{k}} + Un_0 \end{bmatrix} \begin{pmatrix} a_{\mathbf{k}} \\ a_{-\mathbf{k}}^\dagger \end{pmatrix}$$

diagonalized

$$\begin{pmatrix} b_{\mathbf{k}} \\ b_{-\mathbf{k}}^\dagger \end{pmatrix} = \begin{bmatrix} u_{\mathbf{k}} & v_{\mathbf{k}} \\ v_{\mathbf{k}}^* & u_{\mathbf{k}}^* \end{bmatrix} \begin{pmatrix} a_{\mathbf{k}} \\ a_{-\mathbf{k}}^\dagger \end{pmatrix} \equiv \mathbf{B} \begin{pmatrix} a_{\mathbf{k}} \\ a_{-\mathbf{k}}^\dagger \end{pmatrix}$$

$$|u_{\mathbf{k}}|^2 - |v_{\mathbf{k}}|^2 = 1$$

$$n = \frac{1}{N_s} \sum_{\mathbf{k}} \langle a_{\mathbf{k}}^\dagger a_{\mathbf{k}} \rangle_{H^{\text{eff}}},$$

$$\hbar \omega_{\mathbf{k}} = \sqrt{\epsilon_{\mathbf{k}}^2 + 2Un_0\epsilon_{\mathbf{k}}}$$

$$|v_{\mathbf{k}}|^2 = |u_{\mathbf{k}}|^2 - 1 = \frac{1}{2} \left(\frac{\epsilon_{\mathbf{k}} + Un_0}{\hbar \omega_{\mathbf{k}}} - 1 \right).$$

$$H^{\text{eff}} = -\frac{1}{2}Un_0N_0 + \frac{1}{2} \sum_{\mathbf{k}} [\hbar \omega_{\mathbf{k}} - (\epsilon_{\mathbf{k}} + Un_0)] + \sum_{\mathbf{k}} \hbar \omega_{\mathbf{k}} b_{\mathbf{k}}^\dagger b_{\mathbf{k}}$$

$$n = n_0 + \frac{1}{N_s} \sum_{\mathbf{k} \neq 0} [(|u_{\mathbf{k}}|^2 + |v_{\mathbf{k}}|^2) \langle b_{\mathbf{k}}^\dagger b_{\mathbf{k}} \rangle_{H^{\text{eff}}} + |v_{\mathbf{k}}|^2].$$

Bose distribution

$$n = n_0 + \frac{1}{N_s} \sum_{\mathbf{k} \neq 0} \left(\frac{\epsilon_{\mathbf{k}} + Un_0}{\hbar \omega_{\mathbf{k}}} \frac{1}{e^{\beta \hbar \omega_{\mathbf{k}}} - 1} + \frac{\epsilon_{\mathbf{k}} + Un_0 - \hbar \omega_{\mathbf{k}}}{2\hbar \omega_{\mathbf{k}}} \right)$$

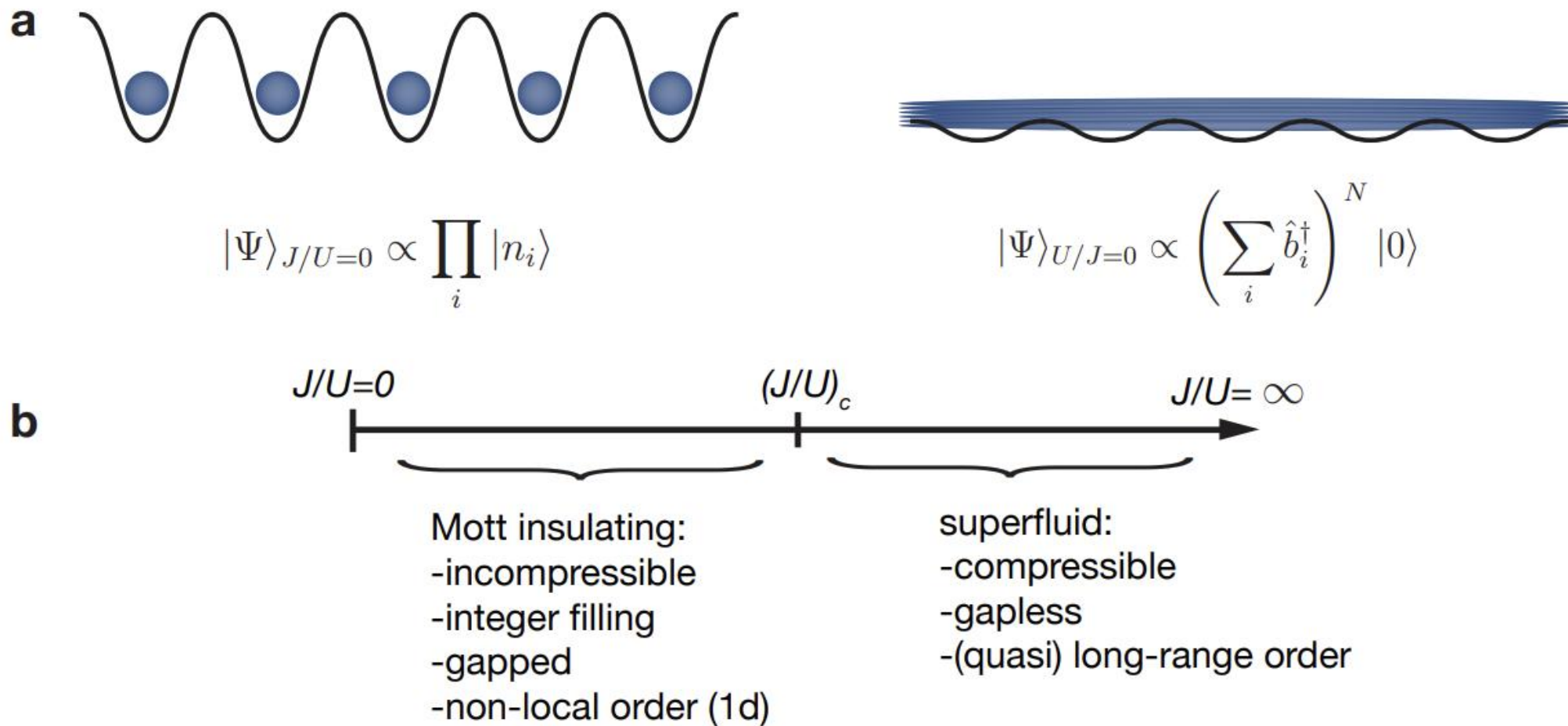
$$T=0, \beta \rightarrow \infty$$

$$\mathbf{k} = 2\pi \mathbf{q}/a$$

$$n = n_0 + \frac{1}{2} \int_{-1/2}^{1/2} d\mathbf{q} \left(\frac{\epsilon_{\mathbf{q}} + Un_0}{\hbar \omega_{\mathbf{q}}} - 1 \right)$$

$$\epsilon_{\mathbf{q}} = 2t \sum_{i=1}^d [1 - \cos(2\pi q_i)]$$

$$\hbar \omega_{\mathbf{q}} = (\epsilon_{\mathbf{q}}^2 + 2Un_0\epsilon_{\mathbf{q}})^{1/2}$$



Probing correlated quantum many-body systems at the single-particle level
-Manuel Endres



• Bogoliubov Approximation

$$n = n_0 + \frac{1}{2} \int_{-1/2}^{1/2} d\mathbf{q} \left(\frac{\epsilon_{\mathbf{q}} + U n_0}{\hbar \omega_{\mathbf{q}}} - 1 \right)$$

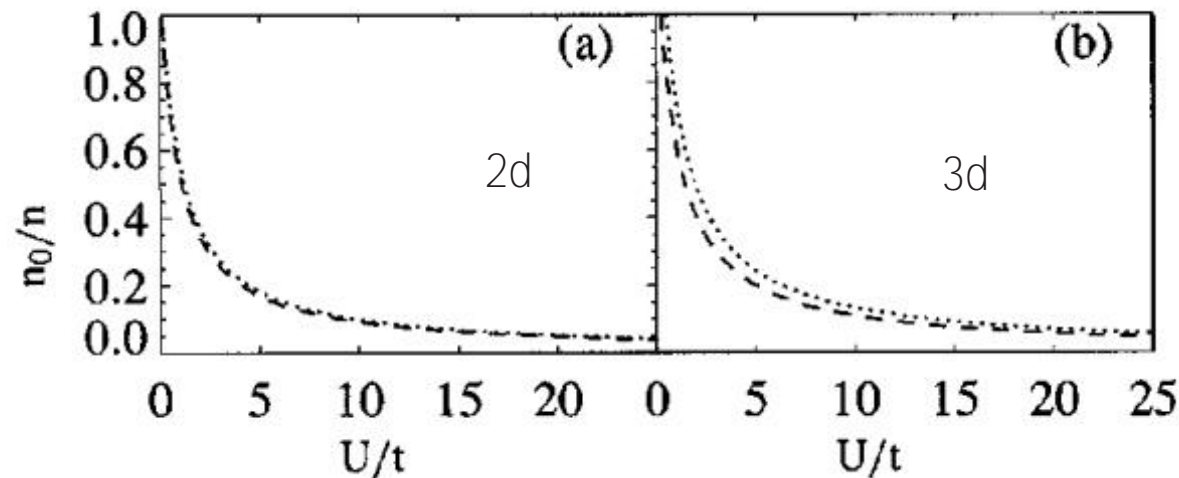
对于 $n=Z$, 应当没有超流解

Asymptotic behavior $U/t \rightarrow \infty$ $\epsilon_{\mathbf{q}} \leq 4\pi^2 |\mathbf{q}|^2 t$

$$\int_{-1/2}^{1/2} d\mathbf{q} \frac{\epsilon_{\mathbf{q}} + U n_0}{\sqrt{\epsilon_{\mathbf{q}}^2 + 2U\epsilon_{\mathbf{q}} n_0}} \geq \frac{1}{2\pi} \sqrt{\frac{U n_0}{2t}} \int_{-1/2}^{1/2} \frac{d\mathbf{q}}{|\mathbf{q}|} = I_d$$

$$n \approx n_0 + \frac{1}{4\pi} \sqrt{\frac{U n_0}{2t}} I_d - \frac{1}{2} \quad \longrightarrow \quad n_0 = \left(\frac{1}{2} \sqrt{\frac{I_d^2}{16\pi^2} \frac{U}{2t} + 4n + 2} - \frac{I_d}{8\pi} \sqrt{\frac{U}{2t}} \right)^2$$

一直存在正的解，没有超流-绝缘体转变，只有极限才有 $n_0=0$



$n=0.5$ (dashed line), 1 (dotted line)



• Mean-field Approach

The superfluid order parameter $\psi = \sqrt{n_i} = \langle c_i^\dagger \rangle = \langle c_i \rangle$

$$H = -t \sum_{\langle i,j \rangle} c_i^\dagger c_j + \frac{1}{2} U \sum_i c_i^\dagger c_i^\dagger c_i c_i - \mu \sum_i c_i^\dagger c_i,$$

做代换

$$c_i^\dagger c_j = \langle c_i^\dagger \rangle c_j + c_i^\dagger \langle c_j \rangle - \langle c_i^\dagger \rangle \langle c_j \rangle = \psi (c_i^\dagger + c_j) - \psi^2$$



$$H^{\text{eff}} = -zt\psi \sum_i (c_i^\dagger + c_i) + zt\psi^2 N_s + \frac{1}{2} U \sum_i c_i^\dagger c_i^\dagger c_i c_i - \mu \sum_i c_i^\dagger c_i,$$

$$\bar{U} = U/zt, \quad \bar{\mu} = \mu/zt \quad \downarrow \quad \text{消除维度依赖}$$

the number of nearest neighbors $z = 2d$

$$H_i^{\text{eff}} = \frac{1}{2} \bar{U} \hat{n}_i (\hat{n}_i - 1) - \bar{\mu} \hat{n}_i - \psi (c_i^\dagger + c_i) + \psi^2 \quad \text{转化为最小化单粒子基态能量}$$



• Mean-field Approach

Second-order perturbation theory $H^{\text{eff}} = H^{(0)} + \psi V$

$$H^{(0)} = \frac{1}{2} \bar{U} \hat{n}(\hat{n}-1) - \bar{\mu} \hat{n} + \psi^2, \quad V = -(c^\dagger + c), \quad E_g^{(0)} = \{E_n^{(0)} | n=0,1,2,\dots\}_{\min}.$$

$$E_g^{(0)} = \begin{cases} 0 & \text{if } \bar{\mu} < 0, \\ \frac{1}{2} \bar{U} g(g-1) - \bar{\mu} g & \text{if } \bar{U}(g-1) < \bar{\mu} < \bar{U} g. \end{cases}$$

比较 g 粒子与 $g \pm 1$ 粒子能量

$$E_g^{(2)} = \psi^2 \sum_{n \neq g} \frac{|\langle g | V | n \rangle|^2}{E_g^{(0)} - E_n^{(0)}}, \quad \text{只有两个不为0} \quad \longrightarrow \quad E_g^{(2)} = \frac{g}{\bar{U}(g-1) - \bar{\mu}} + \frac{g+1}{\bar{\mu} - \bar{U}g}.$$

$$E_g(\psi) = a_0(g, \bar{U}, \bar{\mu}) + a_2(g, \bar{U}, \bar{\mu}) \psi^2 + \mathcal{O}(\psi^4), \quad a_2(g, \bar{U}, \bar{\mu}) = \frac{g}{\bar{U}(g-1) - \bar{\mu}} + \frac{g+1}{\bar{\mu} - \bar{U}g} + 1 = 0,$$



• Mean-field Approach

$$E_g(\psi) = a_0(g, \bar{U}, \bar{\mu}) + a_2(g, \bar{U}, \bar{\mu})\psi^2 + \mathcal{O}(\psi^4), \quad a_2(g, \bar{U}, \bar{\mu}) = \frac{g}{U(g-1) - \bar{\mu}} + \frac{g+1}{\bar{\mu} - Ug} + 1 = 0,$$



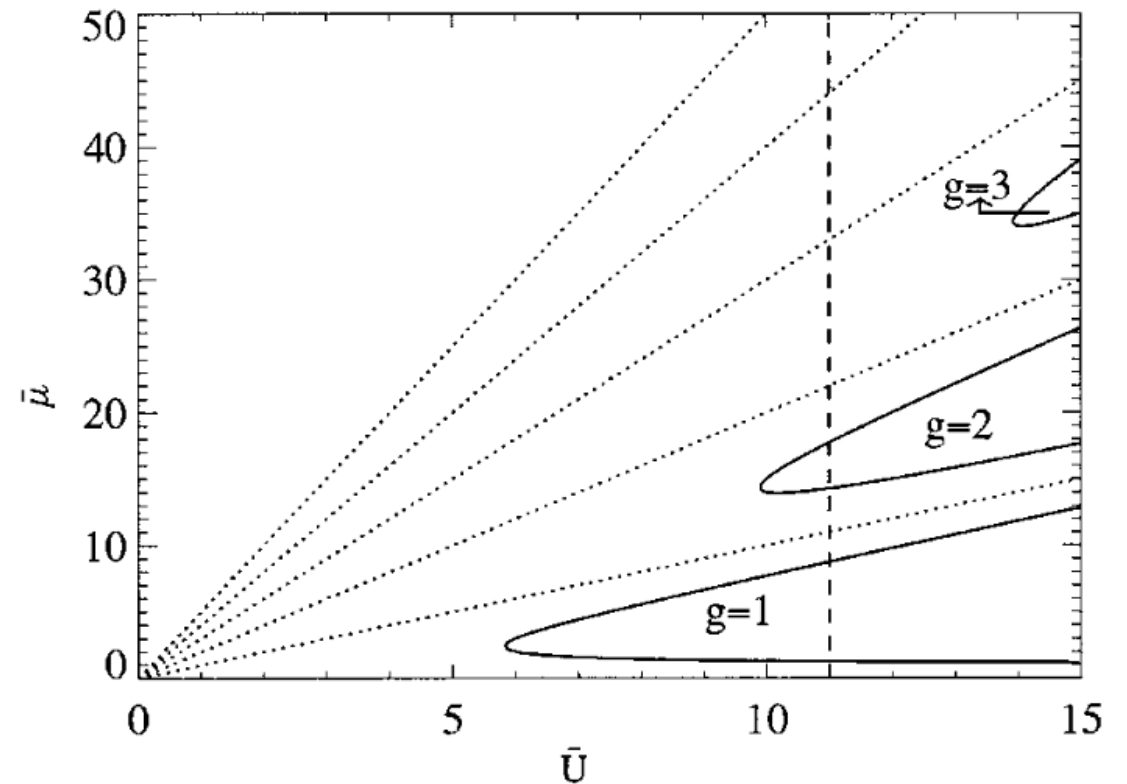
$a_2 > 0$ 则 $\psi = 0$, $a_2 < 0$ 则 $\psi > 0$, 则 $a_2 = 0$ 为相变点

$$\bar{\mu}_{\pm} = \frac{1}{2}[\bar{U}(2g-1) - 1] \pm \frac{1}{2}\sqrt{\bar{U}^2 - 2\bar{U}(2g+1) + 1},$$



令两者相等可以得到临界 \bar{U}_c

$$\bar{U}_c = 2g + 1 + \sqrt{(2g+1)^2 - 1},$$



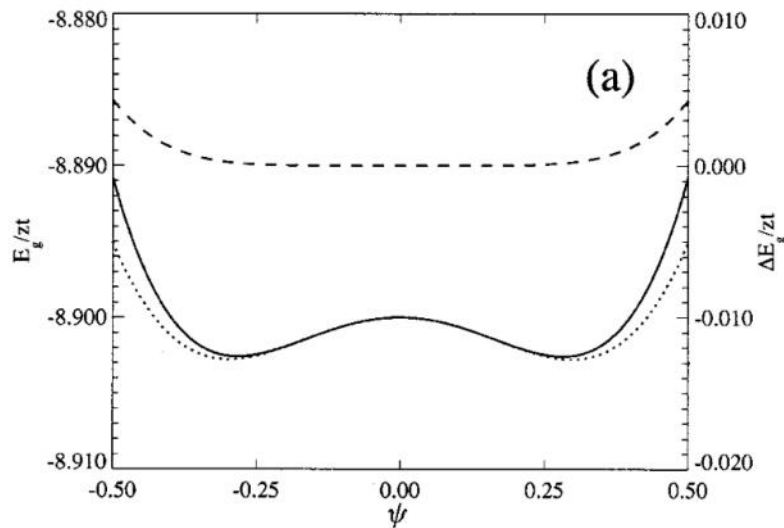


• Mean-field Approach

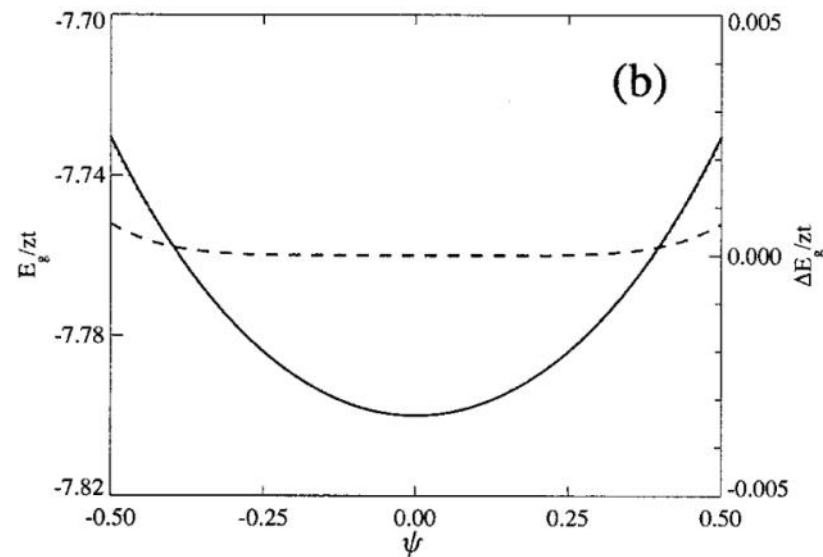
Fourth-order perturbation theory

$$E_g(\psi) = a_0(g, \bar{U}, \bar{\mu}) + a_2(g, \bar{U}, \bar{\mu})\psi^2 + a_4(g, \bar{U}, \bar{\mu})\psi^4,$$

$$a_4(g, \bar{U}, \bar{\mu}) = \frac{g(g-1)}{[\bar{U}(g-1) - \bar{\mu}]^2 [\bar{U}(2g-3) - 2\bar{\mu}]} + \frac{(g+1)(g+2)}{(\bar{\mu} - \bar{U}g)^2 [2\bar{\mu} - \bar{U}(2g+1)]} - \left(\frac{g}{\bar{U}(g-1) - \bar{\mu}} + \frac{g+1}{\bar{\mu} - \bar{U}g} \right) \times \left(\frac{g}{[\bar{U}(g-1) - \bar{\mu}]^2} + \frac{g+1}{(\bar{\mu} - \bar{U}g)^2} \right)$$



$\bar{U}=11$ and $\bar{\mu}=8.9$



$\bar{U}=11$ and $\bar{\mu}=7.8$



• Mean-field Approach

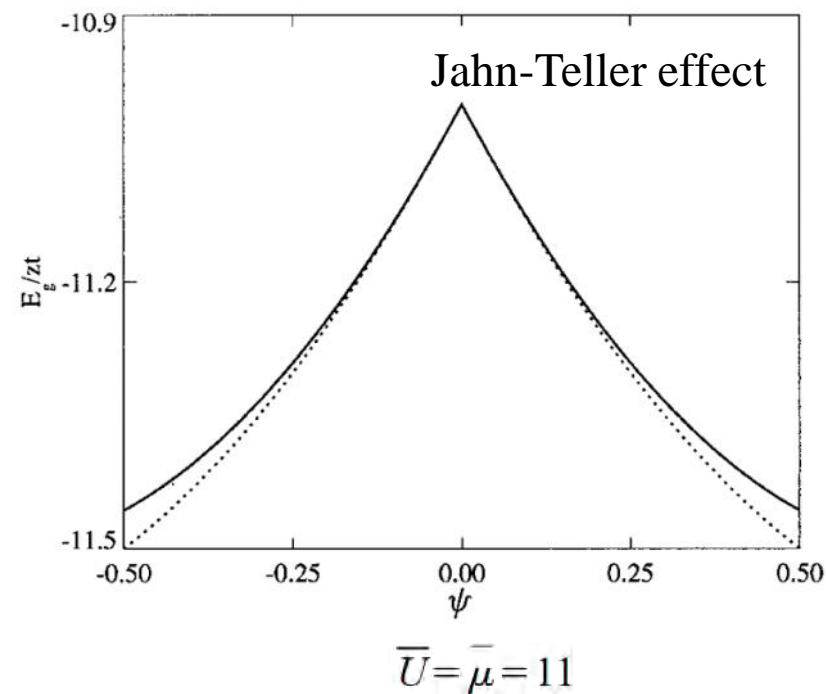
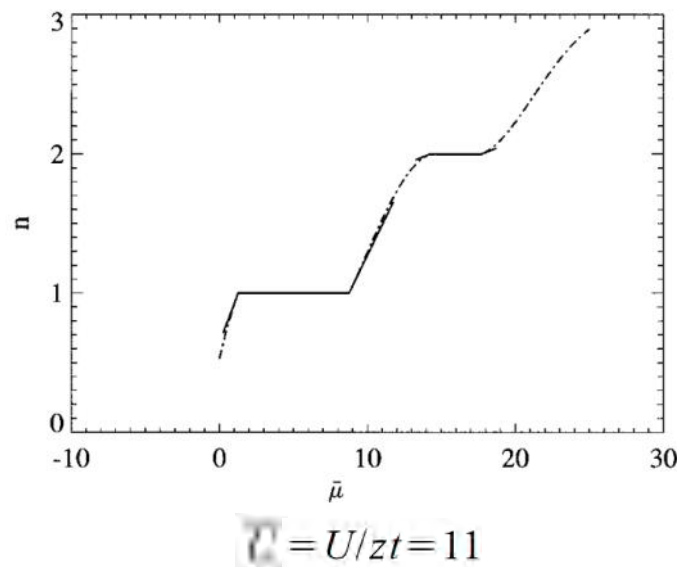
$\bar{\mu} = n\bar{U}$ 此时 $n+1$ 和 n 粒子能量相同, 利用二重简并微扰(文章写的 n 与 $n-1$)

$$E_g(\psi)|_{\bar{\mu}=n\bar{U}} = -\frac{1}{2}\bar{U}n(n+1) + \psi^2 - |\psi|\sqrt{n+1},$$

grand-canonical ensemble $\psi_{\min} = [-a_2(g, \bar{U}, \bar{\mu})/2a_4(g, \bar{U}, \bar{\mu})]^{1/2}$

$$n = -\frac{\partial \langle H^{\text{eff}} \rangle}{\partial \bar{\mu}} = -\frac{\partial E_g(\psi = \psi_{\min})}{\partial \bar{\mu}} = g - \frac{\partial}{\partial \bar{\mu}} \left(\frac{a_2(g, \bar{U}, \bar{\mu})^2}{4a_4(g, \bar{U}, \bar{\mu})} \right)$$

在 μ_{\pm} 之间, n 为常数!
对应 mott 绝缘体





•Dispersion Relations

将涨落视为准粒子和准空穴激发，计算二者色散关系

定义复变函数 $a_i^*(\tau)$ and $a_i(\tau)$ $Z = \text{Tr} e^{-\beta \hat{H}} = \int \mathcal{D}a^* \mathcal{D}a \exp\{-S[a^*, a]/\hbar\},$

作用量

$$S[a^*, a] = \int_0^{\hbar\beta} d\tau \left[\sum_i a_i^* \left(\hbar \frac{\partial}{\partial \tau} - \mu \right) a_i - \sum_{ij} t_{ij} a_i^* a_j + \frac{1}{2} U \sum_i a_i^* a_i^* a_i a_i \right],$$

Hubbard-Stratonovich transformation

$$S[a^*, a, \psi^*, \psi] = S[a^*, a] + \int_0^{\hbar\beta} d\tau \sum_{ij} (\psi_i^* - a_i^*) t_{ij} \times (\psi_j - a_j)$$

ψ^* and ψ 是序参量场

$$S[a^*, a, \psi^*, \psi] = \int_0^{\hbar\beta} d\tau \left[\sum_i a_i^* \left(\hbar \frac{\partial}{\partial \tau} - \mu \right) a_i + \frac{1}{2} U \sum_i a_i^* a_i^* a_i a_i - \sum_{ij} t_{ij} (a_i^* \psi_j + \psi_i^* a_j) + \sum_{ij} t_{ij} \psi_i^* \psi_j \right]$$

$S^{(0)}[a^*, a]$ 为 $t_{ij} = 0$ 的项

$$\exp(-S^{\text{eff}}[\psi^*, \psi]/\hbar) \equiv \exp\left(-\frac{1}{\hbar} \int_0^{\hbar\beta} d\tau \sum_{ij} t_{ij} \psi_i^* \psi_j\right) \int \mathcal{D}a^* \mathcal{D}a \times \exp\{-S^{(0)}[a^*, a]/\hbar\} \times \exp\left[-\frac{1}{\hbar} \int_0^{\hbar\beta} d\tau \left(-\sum_{ij} t_{ij} (a_i^* \psi_j + \psi_i^* a_j)\right)\right]$$



•Dispersion Relations

利用Taylor展开到二阶(这里很迷)

$$\begin{aligned} S^{(2)}[\psi^*, \psi] &= -\frac{1}{2\hbar} \left\langle \left(\int_0^{\hbar\beta} d\tau \sum_{ij} t_{ij} (a_i^* \psi_j + \psi_i^* a_j) \right)^2 \right\rangle_{S^{(0)}} + \int_0^{\hbar\beta} d\tau \sum_{ij} t_{ij} \psi_i^* \psi_j \\ &= -\frac{1}{2\hbar} \left\langle \int_0^{\hbar\beta} \int_0^{\hbar\beta} d\tau d\tau' \sum_{ij i' j'} t_{ij} t_{i' j'} (a_i^* \psi_j + \psi_i^* a_j) (a_{i'}^* \psi_{j'} + \psi_{i'}^* a_{j'}) \right\rangle_{S^{(0)}} + \int_0^{\hbar\beta} d\tau \sum_{ij} t_{ij} \psi_i^* \psi_j. \end{aligned}$$

$$\langle a_i^* a_j^* \rangle_{S^{(0)}} = \langle a_i a_j \rangle_{S^{(0)}} = 0,$$

$$\langle a_i^* a_j \rangle_{S^{(0)}} = \langle a_i a_j^* \rangle_{S^{(0)}} = \langle a_i a_i^* \rangle_{S^{(0)}} \delta_{i,j},$$

First term

$$S^{(2)}[\psi^*, \psi] = \int_0^{\hbar\beta} d\tau \left\{ \sum_{ij} t_{ij} \psi_i^*(\tau) \psi_j(\tau) - \frac{1}{\hbar} \int_0^{\hbar\beta} d\tau' \sum_{ij i' j'} t_{ij} t_{i' j'} \psi_j^*(\tau) \times \langle a_i(\tau) a_{i'}^*(\tau') \rangle_{S^{(0)}} \psi_{j'}(\tau') \right\} \quad t_{ij} = t_{ji} = \begin{cases} t & \text{for nearest neighbors} \\ 0 & \text{otherwise.} \end{cases}$$



直接带入跳跃1次的

$$\sum_{ij} t_{ij} \psi_i^*(\tau) \psi_j(\tau) = \sum_i t \psi_i^*(\tau) \psi_{i \pm \{1\}}(\tau)$$

Momentum space

$$\sum_{ij} t_{ij} \psi_i^*(\tau) \psi_j(\tau) = \sum_{\mathbf{k}} 2t \psi_{\mathbf{k}}(\tau) \psi_{\mathbf{k}}^*(\tau) \sum_{j=1}^d \cos(k_j a).$$



• Dispersion Relations

跳跃2次的 $\sum_{ji'j'} t_{ij} t_{i'j'} \psi_j^*(\tau) \langle a_i(\tau) a_{i'}^*(\tau') \rangle_{S(0)} \psi_{j'}(\tau')$

$$= \langle a_i(\tau) a_i^*(\tau') \rangle_{S(0)} \sum_{jj'} t_{ij} t_{ij'} \psi_j^*(\tau) \psi_{j'}(\tau')$$

$$= t^2 \langle a_i(\tau) a_i^*(\tau') \rangle_{S(0)} \sum_j \{ z \psi_j^*(\tau) \psi_j(\tau') + \psi_j^*(\tau) \psi_{j \pm \{2\}}(\tau') + \psi_j^*(\tau) \psi_{j \pm \{\sqrt{2}\}}(\tau') \}$$

①

②

③

$$z = 2d \quad 2 \sum_i^d \cos(2k_j a)$$

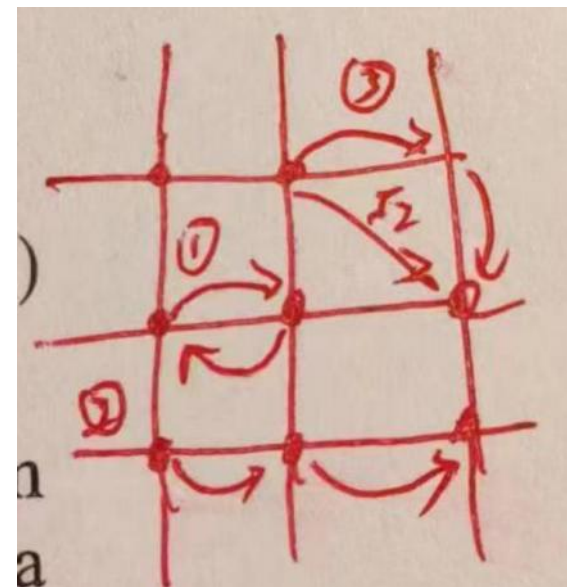
$$= \langle a_i(\tau) a_i^*(\tau') \rangle_{S(0)} \sum_{\mathbf{k}} \psi_{\mathbf{k}}^*(\tau) \psi_{\mathbf{k}}(\tau') \bar{\epsilon}_{\mathbf{k}}^2,$$

$$\bar{\epsilon}_{\mathbf{k}} = 2t \sum_{j=1}^d \cos(k_j a).$$

$$\langle a_i^* a_j^* \rangle_{S(0)} = \langle a_i a_j \rangle_{S(0)} = 0,$$

$$\langle a_i^* a_j \rangle_{S(0)} = \langle a_i a_j^* \rangle_{S(0)} = \langle a_i a_i^* \rangle_{S(0)} \delta_{i,j},$$

$$4 \sum_i^d \sum_{j \neq i}^d \cos(k_i a) \cos(k_j a)$$





•Dispersion Relations

处理时间依赖，引入Matsubara frequency $\hbar \omega_n = \pi(2n)/\hbar \beta$

$$\psi_{\mathbf{k}}(\tau) = \sum_n \frac{1}{\sqrt{\hbar \beta}} \psi_{\mathbf{k}n} e^{-i\omega_n \tau}, \quad \psi_{\mathbf{k}}^*(\tau) = \sum_n \frac{1}{\sqrt{\hbar \beta}} \psi_{\mathbf{k}n}^* e^{+i\omega_n \tau}.$$

引入虚的时间排序算符，将场期望转换为对算符的期望

$$\langle a_i(\tau) a_{i'}^*(\tau') \rangle_{S(0)} = \langle T[a_i(\tau) a_{i'}^\dagger(\tau')] \rangle_{S(0)}.$$

利用阶跃函数可以表示为

$$\begin{aligned} \langle T[a_i(\tau) a_{i'}^\dagger(\tau')] \rangle_{S(0)} &= \theta(\tau - \tau') \langle a_i(\tau) a_{i'}^\dagger(\tau') \rangle_{S(0)} \\ &+ \theta(\tau' - \tau) \langle a_{i'}^\dagger(\tau') a_i(\tau) \rangle_{S(0)}. \end{aligned}$$

$$\begin{aligned} E_{g+1}^{(0)} - E_g^{(0)} &= -\mu + gU > 0, \\ E_g^{(0)} - E_{g-1}^{(0)} &= -\mu + (g-1)U < 0. \end{aligned}$$

$$E_g^{(0)} = \begin{cases} 0 & \text{if } \bar{\mu} < 0, \\ \frac{1}{2} \bar{U} g(g-1) - \bar{\mu} g & \text{if } \bar{U}(g-1) < \bar{\mu} < \bar{U} g. \end{cases}$$

$$\begin{aligned} \langle a_i(\tau) a_{i'}^*(\tau') \rangle_{S(0)} &= \theta(\tau - \tau') (1 + g) \\ &\times \exp\{-(E_{g+1}^{(0)} - E_g^{(0)})(\tau - \tau')/\hbar\} \\ &+ \theta(\tau' - \tau) g \exp\{(E_{g-1}^{(0)} - E_g^{(0)}) \\ &\times (\tau - \tau')/\hbar\}. \end{aligned}$$

e指数是考虑Heisenberg绘景导致的吗？
为什么没有i



• Dispersion Relations

代入并利用阶跃函数的性质可得

$$S^{(2)}[\psi^*, \psi] = \sum_n \sum_{\mathbf{k}} |\psi_{\mathbf{k}n}|^2 \bar{\epsilon}_{\mathbf{k}} \times \left(1 - \frac{\bar{\epsilon}_{\mathbf{k}}}{\hbar} \int_{-\infty}^0 d\tau' (1+g) \times \exp\{(-i\hbar\omega_n - \mu + gU)\tau'/\hbar\} - \frac{\bar{\epsilon}_{\mathbf{k}}}{\hbar} \int_0^{\infty} d\tau' g \exp\{-(i\hbar\omega_n + \mu - (g-1)U)\tau'/\hbar\} \right)$$

即

$$S^{(2)}[\psi^*, \psi] = \sum_n \sum_{\mathbf{k}} |\psi_{\mathbf{k}n}|^2 \bar{\epsilon}_{\mathbf{k}} \times \left[1 - \bar{\epsilon}_{\mathbf{k}} \left(\frac{g+1}{-i\hbar\omega_n - \mu + gU} + \frac{g}{i\hbar\omega_n + \mu - (g-1)U} \right) \right]$$

带入实能量 $\hbar\omega$, $i\omega_n \rightarrow \omega$, 并令其等于0, 得到等式

$$0 = \left[1 - \bar{\epsilon}_{\mathbf{k}} \left(\frac{g+1}{-\hbar\omega - \mu + gU} + \frac{g}{\hbar\omega + \mu - (g-1)U} \right) \right]$$

即为准粒子和准空穴色散关系

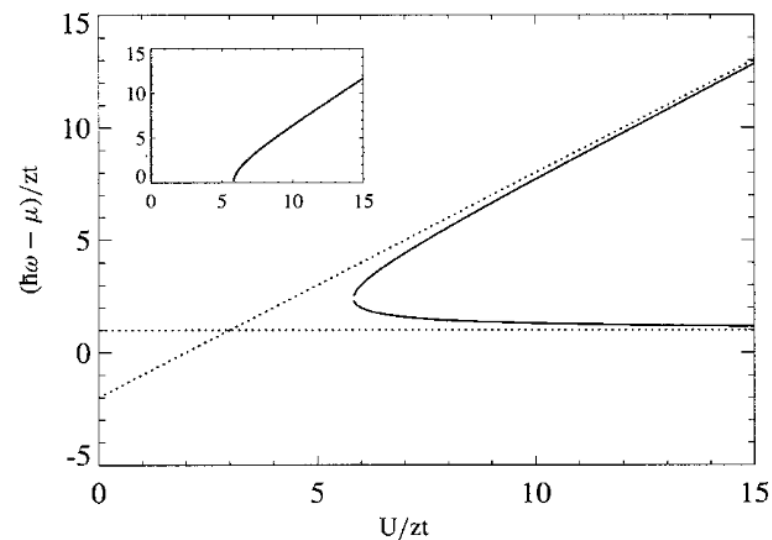
$$\hbar\omega_{qp,qh} = -\mu + \frac{U}{2}(2g-1) - \frac{\bar{\epsilon}_{\mathbf{k}}}{2} \pm \frac{1}{2} \sqrt{\bar{\epsilon}_{\mathbf{k}}^2 - (4g+2)U\bar{\epsilon}_{\mathbf{k}} + U^2}$$

$$\lim_{U \rightarrow \infty} \hbar\omega_{qp} = -\mu + gU - (g+1)\bar{\epsilon}_0 \quad \lim_{U \rightarrow \infty} \hbar\omega_{qh} = -\mu + (g-1)U + g\bar{\epsilon}_0$$

$$= E_{g+1}^{(0)} - E_g^{(0)} - (g+1)zt,$$

$$= E_g^{(0)} - E_{g-1}^{(0)} + gzt,$$

一阶修正来自于hopping, i节点准粒子激发则有 $\langle c_j^\dagger c_i \rangle = (g+1)t$, 而空穴激发则 $\langle c_i^\dagger c_j \rangle = gt$



$\mathbf{k}=0$ in the $g=1$