# **Shangtong Zhang**

St Catherine's College, Manor Road, Oxford, United Kingdom, OX1 3UJ shangtong.zhang@cs.ox.ac.uk https://shangtongzhang.github.io

### Research Interest

The goal of my research is to solve sequential decision making problems in a scalable and reliable way. Currently, I focus on off-policy and offline reinforcement learning as solution methods.

#### Education

University of Oxford, United Kingdom

Doctor of Philosophy in Computer Science

Advisor: Prof. Shimon Whiteson

University of Alberta, Canada

Master of Science in Computer Science,

Advisor: Prof. Richard S. Sutton

Fudan University, China

Bachelor of Science in Computing Science

Advisor: Prof. Xiaoqing Zheng and Prof. Wenqiang Zhang

# Research Internships

Microsoft Research Montreal, Canada

Jun. 2021 - Sept. 2021

Oct. 2018 - July. 2022

Sept. 2016 - Aug. 2018

Sept. 2012 - Jun. 2016

Collaboration: Remi Tachet des Combes, Romain Laroche, and Harm van Seijen

DeepMind London, United Kingdom

Feb. 2021 - Jun. 2021

Collaboration: AlphaStar team (Michael Mathieu, Oriol Vinyals, etc)

Collaboration: Adam White and Hado van Hasselt

 $\mathbf{DeepDrive}$ , Edmonton, Canada

Sept. 2020 - Dec. 2020

Collaboration: Hengshuai Yao

Microsoft Research Montreal, Canada

Jun. 2020 - Aug. 2020

Collaboration: Remi Tachet des Combes, Romain Laroche, and Harm van Seijen

Noah's Ark Lab, Huawei, Edmonton, Canada

May. 2018 - Aug. 2018

Collaboration: Hengshuai Yao

### Publications

 Truncated Emphatic Temporal Difference Methods for Prediction and Control Shangtong Zhang, Shimon Whiteson.
 Journal of Machine Learning Research (JMLR), 2022.

2. On the Chattering of SARSA with Linear Function Approximation Shangtong Zhang, Remi Tachet des Combes, Romain Laroche. arXiv:2202.06828, 2022.

Under review of Journal of Machine Learning Research (JMLR).

3. A Deeper Look at Discounting Mismatch in Actor-Critic Algorithms

Shangtong Zhang, Romain Laroche, Harm van Seijen, Shimon Whiteson,
Remi Tachet des Combes.

International Conference on Autonomous Agents and Multiagent Systems
(AAMAS), 2022

Acceptance rate: 26% Oral Presentation

4. Learning Expected Emphatic Traces for Deep RL

Ray Jiang, **Shangtong Zhang**, Veronica Chelu, Adam White, Hado van Hasselt. AAAI Conference on Artificial Intelligence (**AAAI**), 2022. Acceptance rate: 15%.

5. Global Optimality and Finite Sample Analysis of Softmax Off-Policy Actor Critic under State Distribution Mismatch

Shangtong Zhang, Remi Tachet des Combes<sup>‡</sup>, Romain Laroche<sup>‡</sup>. arXiv:2111.02997, 2021.

Under review of Journal of Machine Learning Research (JMLR).

6. StarCraft II Unplugged: Large Scale Offline Reinforcement Learning Michael Mathieu\*, Sherjil Ozair\*, Srivatsan Srinivasan, Caglar Gulcehre, Shangtong Zhang, Ray Jiang, Tom Le Paine, Konrad Zolna, Richard Powell, Julian Schrittwieser, David Choi, Petko Georgiev, Daniel Kenji Toyama, Aja Huang, Roman Ring, Igor Babuschkin, Timo Ewalds, Mahyar Bordbar, Sarah Henderson, Sergio Gomez Colmenarejo, Aaron van den Oord, Wojciech M. Czarnecki, Nando de Freitas, Oriol Vinyals.

Deep RL Workshop at NeurIPS, 2021

Breaking the Deadly Triad with a Target Network
 Shangtong Zhang, Hengshuai Yao, Shimon Whiteson.
 International Conference on Machine Learning (ICML), 2021.
 Acceptance rate: 21.5%.

8. Average-Reward Off-Policy Policy Evaluation with Function Approximation Shangtong Zhang\*, Yi Wan\*, Richard S. Sutton, Shimon Whiteson. International Conference on Machine Learning (ICML), 2021.

Acceptance rate: 21.5%.

 Mean-Variance Policy Iteration for Risk-Averse Reinforcement Learning Shangtong Zhang, Bo Liu, Shimon Whiteson.
 AAAI Conference on Artificial Intelligence (AAAI), 2021.

Acceptance rate: 21.4%.

 Learning Retrospective Knowledge with Reverse Reinforcement Learning Shangtong Zhang, Vivek Veeriah, Shimon Whiteson.
 Conference on Neural Information Processing Systems (NeurIPS), 2020. Acceptance rate: 20.1%.

11. GradientDICE: Rethinking Generalized Offline Estimation of Stationary Values Shangtong Zhang, Bo Liu, Shimon Whiteson.

International Conference on Machine Learning (ICML), 2020. Acceptance rate: 21.8%.

12. Provably Convergent Two-Timescale Off-Policy Actor-Critic with Function

Approximation

**Shangtong Zhang**, Bo Liu, Hengshuai Yao, Shimon Whiteson. International Conference on Machine Learning (**ICML**), 2020. Acceptance rate: 21.8%.

13. Deep Residual Reinforcement Learning

Shangtong Zhang, Wendelin Boehmer, Shimon Whiteson. International Conference on Autonomous Agents and Multiagent Systems (AAMAS), 2020.

Acceptance rate: 23%.

Best Paper Award.

14. Mega-Reward: Achieving Human-Level Play without Extrinsic Rewards

Yuhang Song, Jianyi Wang, Thomas Lukasiewicz, Zhenghua Xu,

Shangtong Zhang, Andrzej Wojcicki, Mai Xu

AAAI Conference on Artificial Intelligence (AAAI), 2020.

Acceptance rate: 20.6%.

15. DAC: The Double Actor-Critic Architecture for Learning Options

Shangtong Zhang, Shimon Whiteson.

Conference on Neural Information Processing Systems (NeurIPS), 2019.

Acceptance rate: 21.2%.

16. Generalized Off-Policy Actor-Critic

Shangtong Zhang, Wendelin Boehmer, Shimon Whiteson.

Conference on Neural Information Processing Systems (NeurIPS), 2019.

Acceptance rate: 21.2%.

17. Distributional Reinforcement Learning for Efficient Exploration

Borislav Mavrin, **Shangtong Zhang**, Hengshuai Yao, Linglong Kong,

Kaiwen Wu, Yaoliang Yu

International Conference on Machine Learning (ICML), 2019.

Acceptance rate: 22.6%.

A short version is accepted as an extended abstract at AAMAS 2019.

18. ACE: An Actor Ensemble Algorithm for Continuous Control with Tree Search

Shangtong Zhang, Hao Chen, Hengshuai Yao.

AAAI Conference on Artificial Intelligence (AAAI), 2019.

Acceptance rate: 16.2%.

19. QUOTA: The Quantile Option Architecture for Reinforcement Learning

Shangtong Zhang, Borislav Mavrin, Linglong. Kong, Bo Liu, Hengshuai Yao.

AAAI Conference on Artificial Intelligence (AAAI), 2019.

Acceptance rate: 16.2%.

20. MLPack 3: A Fast, Flexible Machine Learning Library

Ryan Curtin, Marcus Edel, Mikhail Lozhnikov, Yannis Mentekidis, Sumedh Ghaisas,

**Shangtong Zhang** 

Journal of Open Source Software (**JOSS**), 2018.

21. Crossprop: Learning Representations by Stochastic Meta-Gradient Descent

in Neural Networks

Vivek Veeriah\*, Shangtong Zhang\*, Richard S. Sutton.

European Conference on Machine Learning and Principles and Practice of Knowledge Discovery in Databases (ECML-PKDD), 2017.

Acceptance rate: 27.1%.

22. A Deeper Look at Experience Replay

Shangtong Zhang, Richard S. Sutton.

Deep RL Symposium at NIPS, 2017.

23. Comparing Deep Reinforcement Learning and Evolutionary Methods

in Continuous Control

Shangtong Zhang, Osmar R. Zaiane

Deep RL Symposium at NIPS, 2017.

24. A Demon Control Architecture with Off-Policy Learning and Flexible Behavior Policy

Shangtong Zhang, Richard S. Sutton.

Hierarchical RL Workshop at NIPS, 2017.

25. A Deep Neural Network for Modeling Music

Pengjing Zhang, Xiaoqing Zheng, Wenqiang Zhang, Siyan Li, Sheng Qian,

### Wenqi He, Shangtong Zhang, Ziyuan Wang

International Conference on Multimedia Retrieval (ICMR), 2015.

Acceptance rate: 31%.

- \*: Equal contribution
- ‡: Equal advising
- † : My name does not appear in the ICML proceedings due to a mistake in submission. See Acknowledgments, arXiv, or AAMAS proceedings for clarification.

### Academic Services

#### Meta Reviewer & Area Chair

ACML 2022

### **Expert Reviewer**

ICML 2021

### Reviewer & Program Committee

Transaction of Machine Learning Research

Journal of Machine Learning Research

Journal of Artificial Intelligence (with green open access)

AISTATS 2022

NeurIPS 2020, 2021, 2022

ICML 2020, 2022

AAAI 2020, 2021, 2022

ICLR 2021, 2022

SIGCOMM 2022

Offline Reinforcement Learning Workshop at NeurIPS 2020, 2021

Deep Reinforcement Learning Workshop at NeurIPS 2019, 2020, 2021, 2022

Adaptive and Learning Agents Workshop at AAMAS 2019, 2020

Optimization Foundations for Reinforcement Learning Workshop at NeurIPS 2019

Reinforcement Learning for Real Life Workshop at ICML 2019, 2021

### Honours

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EPSRC studentship, University of Oxford	2018 - 2022
AAMAS Student Scholarship	2022
ICLR Outstanding Reviewer	2021
NeurIPS Reviewer Award	2020
ICML Reviewer Award	2020
Light Senior Scholarship, St Catherine's College, University of Oxford	2020
AAMAS Travel Award	2020
AAMAS Best Paper Award	2020
NeurIPS Optimization Foundations for RL Workshop Travel Award	2019
NeurIPS Travel Award	2019
AAAI Travel Award	2019
NIPS Hierarchical RL Workshop Travel Award	2017
Second Class Scholarship, Fudan University	2015
EMC Scholarship, Fudan University	2014

## **Invited Talks**

Breaking the Deadly Triad in Off-Policy Reinforcement Learning	
School of Informatics, University of Edinburgh	2021
School of Computing Science, Simon Fraser University	2022
Department of Electrical & Computer Engineering, University of Waterloo	2022
Department of Computer Science, University of Virginia	2022

Breaking the Deadly Triad in Reinforcement Learning RL team, DeepMind		2021
Breaking the Deadly Triad with a Target Network Microsoft Research Summit		2021
Off-Policy Evaluation Data Fest 2020, Open Data Science		2020
Off-Policy Evaluation and Control ByteDance AI Lab, Shanghai		2020
Off-Policy Actor-Critic Algorithms Latent Logic LTD, Oxford		2019
Generalized Off-Policy Actor-Critic Noah's Ark Lab, Huawei, Edmonton		2019
Exploration with Quantile Options Huawei RL Workshop, Edmonton		2018
Coding Deep RL Papers NIPS MLTrain Workshop, Long Beach		2017
	N.C. 1 1	2010

### Teaching

University of Oxford, Teaching Assistant

Michaelmas 2019

AIMS CDT Lectures

University of Alberta, Teaching Assistant CMPUT 229 Computer Organization and Architecture Fall 2016

### Code

PyTorch Deep RL

A zoo of popular deep RL algorithms in PyTorch with 2.5k stars in Github.

 $Reinforcement\ Learning:\ An\ Introduction$ 

Python implementation of the book Reinforcement Learning: An Introduction with 10.6k stars in Github.

Google Summer of Code (GSoC) 2017

Contributed to MLPack by implementing a deep RL framework.

Google Summer of Code (GSoC) 2014

Contributed to Xapian by optimizing the post list and the position list.