# **Shangtong Zhang**

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## Research Interest

The goal of my research is to solve sequential decision making problems in a scalable and reliable way. Currently, I focus on off-policy and offline reinforcement learning as solution methods.

#### Education

# University of Oxford, United Kingdom

Doctor of Philosophy in Computer Science

Advisor: Prof. Shimon Whiteson

University of Alberta, Canada

Master of Science in Computer Science, Advisor: Prof. Richard S. Sutton

Fudan University, China

Sept. 2012 - Jun. 2016

Bachelor of Science in Computing Science

Advisor: Prof. Xiaoqing Zheng and Prof. Wenqiang Zhang

## Research Internships

#### Microsoft Research Montreal. Canada

Jun. 2021 - Sept. 2021

Oct. 2018 - July. 2022

Sept. 2016 - Aug. 2018

Collaboration: Remi Tachet des Combes, Romain Laroche, and Harm van Seijen

#### DeepMind London, United Kingdom

Feb. 2021 - Jun. 2021

Collaboration: AlphaStar team (Michael Mathieu, Oriol Vinyals, etc)

Collaboration: Adam White and Hado van Hasselt

DeepDrive, Edmonton, Canada

Sept. 2020 - Dec. 2020

Collaboration: Hengshuai Yao

#### Microsoft Research Montreal, Canada

Jun. 2020 - Aug. 2020

Collaboration: Remi Tachet des Combes, Romain Laroche, and Harm van Seijen

## Noah's Ark Lab, Huawei, Edmonton, Canada

May. 2018 - Aug. 2018

Collaboration: Hengshuai Yao

## **Publications**

- 1. Truncated Emphatic Temporal Difference Methods for Prediction and Control Shangtong Zhang, Shimon Whiteson. Journal of Machine Learning Research (JMLR), 2022.
- 2. On the Chattering of SARSA with Linear Function Approximation Shangtong Zhang, Remi Tachet des Combes, Romain Laroche. arXiv:2202.06828, 2022.
- 3. A Deeper Look at Discounting Mismatch in Actor-Critic Algorithms Shangtong Zhang, Romain Laroche, Harm van Seijen, Shimon Whiteson, Remi Tachet des Combes.

International Conference on Autonomous Agents and Multiagent Systems

(**AAMAS**), 2022 Acceptance rate: 26% Oral Presentation

4. Learning Expected Emphatic Traces for Deep RL

Ray Jiang, **Shangtong Zhang**, Veronica Chelu, Adam White, Hado van Hasselt. AAAI Conference on Artificial Intelligence (**AAAI**), 2022.

Acceptance rate: 15%.

5. Global Optimality and Finite Sample Analysis of Softmax Off-Policy Actor Critic under State Distribution Mismatch

Shangtong Zhang, Remi Tachet des Combes<sup>‡</sup>, Romain Laroche<sup>‡</sup>. arXiv:2111.02997, 2021.

Under review of Journal of Machine Learning Research (JMLR).

6. StarCraft II Unplugged: Large Scale Offline Reinforcement Learning Michael Mathieu\*, Sherjil Ozair\*, Srivatsan Srinivasan, Caglar Gulcehre, Shangtong Zhang, Ray Jiang, Tom Le Paine, Konrad Zolna, Richard Powell, Julian Schrittwieser, David Choi, Petko Georgiev, Daniel Kenji Toyama, Aja Huang, Roman Ring, Igor Babuschkin, Timo Ewalds, Mahyar Bordbar, Sarah Henderson, Sergio Gomez Colmenarejo, Aaron van den Oord, Wojciech M. Czarnecki, Nando de Freitas, Oriol Vinyals.

Deep RL Workshop at NeurIPS, 2021

Breaking the Deadly Triad with a Target Network
 Shangtong Zhang, Hengshuai Yao, Shimon Whiteson.
 International Conference on Machine Learning (ICML), 2021.
 Acceptance rate: 21.5%.

- 8. Average-Reward Off-Policy Policy Evaluation with Function Approximation Shangtong Zhang\*, Yi Wan\*, Richard S. Sutton, Shimon Whiteson. International Conference on Machine Learning (ICML), 2021. Acceptance rate: 21.5%.
- Mean-Variance Policy Iteration for Risk-Averse Reinforcement Learning Shangtong Zhang, Bo Liu, Shimon Whiteson.
   AAAI Conference on Artificial Intelligence (AAAI), 2021.
   Acceptance rate: 21.4%.
- Learning Retrospective Knowledge with Reverse Reinforcement Learning Shangtong Zhang, Vivek Veeriah, Shimon Whiteson.
   Conference on Neural Information Processing Systems (NeurIPS), 2020.
   Acceptance rate: 20.1%.
- GradientDICE: Rethinking Generalized Offline Estimation of Stationary Values
   Shangtong Zhang, Bo Liu, Shimon Whiteson.

   International Conference on Machine Learning (ICML), 2020.
   Acceptance rate: 21.8%.
- 12. Provably Convergent Two-Timescale Off-Policy Actor-Critic with Function  $\frac{\text{Approximation}}{\text{Policy Actor-Critic with Function}}$

**Shangtong Zhang**, Bo Liu, Hengshuai Yao, Shimon Whiteson. International Conference on Machine Learning (**ICML**), 2020. Acceptance rate: 21.8%.

13. Deep Residual Reinforcement Learning

 ${\bf Shangtong} \ {\bf Zhang}, \ {\bf Wendelin} \ {\bf Boehmer}, \ {\bf Shimon} \ {\bf Whiteson}.$ 

International Conference on Autonomous Agents and Multiagent Systems (AAMAS), 2020.

Acceptance rate: 23%.

Best Paper Award.

14. Mega-Reward: Achieving Human-Level Play without Extrinsic Rewards Yuhang Song, Jianyi Wang, Thomas Lukasiewicz, Zhenghua Xu, Shangtong Zhang, Andrzej Wojcicki, Mai Xu

AAAI Conference on Artificial Intelligence (AAAI), 2020.

Acceptance rate: 20.6%.

15. DAC: The Double Actor-Critic Architecture for Learning Options

Shangtong Zhang, Shimon Whiteson.

Conference on Neural Information Processing Systems (NeurIPS), 2019.

Acceptance rate: 21.2%.

16. Generalized Off-Policy Actor-Critic

Shangtong Zhang, Wendelin Boehmer, Shimon Whiteson.

Conference on Neural Information Processing Systems (**NeurIPS**), 2019. Acceptance rate: 21.2%.

17. Distributional Reinforcement Learning for Efficient Exploration

Borislav Mavrin, Shangtong Zhang, Hengshuai Yao, Linglong Kong,

Kaiwen Wu, Yaoliang Yu

International Conference on Machine Learning (ICML), 2019.

Acceptance rate: 22.6%.

A short version is accepted as an extended abstract at AAMAS 2019.

18. ACE: An Actor Ensemble Algorithm for Continuous Control with Tree Search Shangtong Zhang, Hao Chen, Hengshuai Yao.

AAAI Conference on Artificial Intelligence (AAAI), 2019.

Acceptance rate: 16.2%.

19. QUOTA: The Quantile Option Architecture for Reinforcement Learning

Shangtong Zhang, Borislav Mavrin, Linglong. Kong, Bo Liu, Hengshuai Yao.

AAAI Conference on Artificial Intelligence (AAAI), 2019.

Acceptance rate: 16.2%.

20. MLPack 3: A Fast, Flexible Machine Learning Library

Ryan Curtin, Marcus Edel, Mikhail Lozhnikov, Yannis Mentekidis, Sumedh Ghaisas,

Shangtong Zhang

Journal of Open Source Software (**JOSS**), 2018.

in Neural Networks

Vivek Veeriah\*, **Shangtong Zhang**\*, Richard S. Sutton.

European Conference on Machine Learning and Principles and Practice of Knowledge Discovery in Databases (ECML-PKDD), 2017.

21. Crossprop: Learning Representations by Stochastic Meta-Gradient Descent

Acceptance rate: 27.1%.

22. A Deeper Look at Experience Replay

Shangtong Zhang, Richard S. Sutton.

Deep RL Symposium at NIPS, 2017.

23. Comparing Deep Reinforcement Learning and Evolutionary Methods

in Continuous Control

Shangtong Zhang, Osmar R. Zaiane

Deep RL Symposium at NIPS, 2017.

24. A Demon Control Architecture with Off-Policy Learning and Flexible Behavior Policy

Shangtong Zhang, Richard S. Sutton.

Hierarchical RL Workshop at NIPS, 2017.

25. A Deep Neural Network for Modeling Music

Pengjing Zhang, Xiaoqing Zheng, Wenqiang Zhang, Siyan Li, Sheng Qian,

Wenqi He, **Shangtong Zhang**, Ziyuan Wang

International Conference on Multimedia Retrieval (ICMR), 2015.

Acceptance rate: 31%.

- \*: Equal contribution
- ‡: Equal advising

† : My name does not appear in the ICML proceedings due to a mistake in submission. See Acknowledgments, arXiv, or AAMAS proceedings for clarification.

#### Academic Services

#### Meta Reviewer & Area Chair

ACML 2022

#### **Expert Reviewer**

ICML 2021

## Reviewer & Program Committee

Transactions on Pattern Analysis and Machine Intelligence (1)

Transaction of Machine Learning Research (2)

Journal of Machine Learning Research (1)

Artificial Intelligence Journal (with green open access) (2)

AISTATS 2022

NeurIPS 2020, 2021, 2022

ICML 2020, 2022

AAAI 2020, 2021, 2022, 2023

ICLR 2021, 2022, 2023

SIGCOMM 2022

Offline Reinforcement Learning Workshop at NeurIPS 2020, 2021

Deep Reinforcement Learning Workshop at NeurIPS 2019, 2020, 2021, 2022

Adaptive and Learning Agents Workshop at AAMAS 2019, 2020

Optimization Foundations for Reinforcement Learning Workshop at NeurIPS 2019 Reinforcement Learning for Real Life Workshop at ICML 2019, 2021, NeurIPS 2022

### Honours

EPSRC studentship, University of Oxford	2018 - 2022
AAMAS Student Scholarship	2022
ICLR Outstanding Reviewer	2021
NeurIPS Reviewer Award	2020
ICML Reviewer Award	2020
Light Senior Scholarship, St Catherine's College, University of Oxford	2020
AAMAS Travel Award	2020
AAMAS Best Paper Award	2020
NeurIPS Optimization Foundations for RL Workshop Travel Award	2019
NeurIPS Travel Award	2019
AAAI Travel Award	2019
NIPS Hierarchical RL Workshop Travel Award	2017
Second Class Scholarship, Fudan University	2015
EMC Scholarship, Fudan University	2014

## Invited Talks

Breaking the Deadly Triad in Off-Policy Reinforcement Learning	
School of Informatics, University of Edinburgh	2021
School of Computing Science, Simon Fraser University	2022
Department of Electrical & Computer Engineering, University of Waterloo	2022
Department of Computer Science, University of Virginia	2022
Breaking the Deadly Triad in Reinforcement Learning	2021

RL team, DeepMind

Breaking the Deadly Triad with a Target Network Microsoft Research Summit	2021
Off-Policy Evaluation Data Fest 2020, Open Data Science	2020
Off-Policy Evaluation and Control ByteDance AI Lab, Shanghai	2020
Off-Policy Actor-Critic Algorithms Latent Logic LTD, Oxford	2019
Generalized Off-Policy Actor-Critic Noah's Ark Lab, Huawei, Edmonton	2019
Exploration with Quantile Options Huawei RL Workshop, Edmonton	2018
Coding Deep RL Papers NIPS MLTrain Workshop, Long Beach	2017
University of Oxford, Teaching Assistant AIMS CDT Lectures	Michaelmas 2019

Teaching

University of Alberta, Teaching Assistant CMPUT 229 Computer Organization and Architecture Fall 2016

## $\mathbf{Code}$

 $PyTorch\ Deep\ RL$ 

A zoo of popular deep RL algorithms in PyTorch with 2.5k stars in Github.

Reinforcement Learning: An Introduction

Python implementation of the book  $Reinforcement\ Learning:\ An\ Introduction$  with  ${\bf 10.6k\ stars}$  in Github.

Google Summer of Code (GSoC) 2017

Contributed to MLPack by implementing a deep RL framework.

Google Summer of Code (GSoC) 2014

Contributed to Xapian by optimizing the post list and the position list.