Shangtong Zhang

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RESEARCH INTEREST

The goal of my research is to solve sequential decision making problems in a scalable and reliable way. Currently, I focus on Reinforcement Learning (RL) as a solution method. In particular, I work on stochastic approximations for RL, theories and algorithms of RL, and applications by RL.

ACADEMIC EMPLOYMENTS

Assistant Professor	Aug 2022 - Present
Department of Computer Science	

University of Virginia, VA, United States

Research Scientist Interns

Microsoft Research Montreal	Jun 2021 - Sep 2021
DeepMind London	Feb 2021 - Jun 2021
Microsoft Research Montreal	Jun 2020 - Aug 2020

EDUCATION

Doctor of Philosophy, Computer Science	Oct 2018 - Jul 2022

University of Oxford, Oxford, United Kingdom

Advisor: Prof. Shimon Whiteson

Master of Science, Computer Science Sep 2016 - Jul 2018

University of Alberta, Edmonton, Canada

Advisor: Prof. Richard S. Sutton

Bachelor of Science, Computing Science Sep 2012 - Jul 2016

Fudan University, Shanghai, China

PUBLICATIONS

Advisees of SZ are underlined; * indicates equal contribution; † indicates equal supervision.

Preprints

(P1) Transformers Learn Temporal Difference Methods for In-Context Reinforcement Learning.

<u>Jiuqi Wang*</u>, <u>Ethan Blaser</u>*, Hadi Daneshmand, **Shangtong Zhang**.

arXiv:2405.13861, 2024.

Spotlight presentation at the RLC Workshop on Training Agents with Foundation Models, 2024. Contributed talk at the ICML Workshop on In-Context Learning, 2024.

(P2) The ODE Method for Stochastic Approximation and Reinforcement Learning with Markovian Noise. Shuze Liu, Shuhang Chen, Shangtong Zhang.

arXiv:2401.07844, 2024.

Under review of Journal of Machine Learning Research.

(P3) Direct Gradient Temporal Difference Learning.

Xiaochi Qian, Shangtong Zhang.

arXiv:2308.01170, 2023.

Under review of Journal of Machine Learning Research.

(P4) StarCraft II Unplugged: Large Scale Offline Reinforcement Learning

Michael Mathieu*, Sherjil Ozair*, Srivatsan Srinivasan*, Caglar Gulcehre*, **Shangtong Zhang***, Ray Jiang*, Tom Le Paine*, Richard Powell, Konrad Zolna, Julian Schrittwieser, David Choi, Petko Georgiev, Daniel Kenji Toyama, Aja Huang, Roman Ring, Igor Babuschkin, Timo Ewalds, Mahyar Bordbar, Sarah Henderson, Sergio Gomez Colmenarejo, Aaron van den Oord, Wojciech M. Czarnecki, Nando de Freitas, Oriol Vinyals.

arXiv:2308.03526, 2023

Invited Articles

(I1) A New Challenge in Policy Evaluation.

Shangtong Zhang.

AAAI Conference on Artificial Intelligence (AAAI), 2023

New Faculty Highlights Program.

Refereed Journals

(J1) Global Optimality and Finite Sample Analysis of Softmax Off-Policy Actor Critic under State Distribution Mismatch.

Shangtong Zhang, Remi Tachet des Combes[‡], Romain Laroche[‡].

Journal of Machine Learning Research (JMLR), 2022.

(J2) Truncated Emphatic Temporal Difference Methods for Prediction and Control

Shangtong Zhang, Shimon Whiteson.

Journal of Machine Learning Research (JMLR), 2022.

(J3) MLPack 3: A Fast, Flexible Machine Learning Library.

Ryan Curtin, Marcus Edel, Mikhail Lozhnikov, Yannis Mentekidis, Sumedh Ghaisas, **Shangtong Zhang**

Journal of Open Source Software (**JOSS**), 2018.

Refereed Conference Papers

(C1) Efficient Policy Evaluation with Offline Data Informed Behavior Policy Design.

Shuze Liu, Shangtong Zhang.

International Conference on Machine Learning (ICML), 2024.

Acceptance rate: 27.5%

(C2) On the Convergence of SARSA with Linear Function Approximation.

Shangtong Zhang, Remi Tachet des Combes, Romain Laroche.

International Conference on Machine Learning (ICML), 2023.

Acceptance rate: 28%

(C3) A Deeper Look at Discounting Mismatch in Actor-Critic Algorithms.

Shangtong Zhang, Romain Laroche, Harm van Seijen, Shimon Whiteson, Remi Tachet des Combes.

International Conference on Autonomous Agents and Multiagent Systems (**AAMAS**), 2022. Acceptance rate: 26%. **Oral presentation.**

(C4) Learning Expected Emphatic Traces for Deep RL.

Ray Jiang, Shangtong Zhang, Veronica Chelu, Adam White, Hado van Hasselt.

AAAI Conference on Artificial Intelligence (AAAI), 2022.

Acceptance rate: 15%.

(C5) Breaking the Deadly Triad with a Target Network.

Shangtong Zhang, Hengshuai Yao, Shimon Whiteson.

International Conference on Machine Learning (ICML), 2021.

Acceptance rate: 21.5%.

(C6) Average-Reward Off-Policy Policy Evaluation with Function Approximation.

Shangtong Zhang*, Yi Wan*, Richard S. Sutton, Shimon Whiteson.

International Conference on Machine Learning (ICML), 2021.

Acceptance rate: 21.5%.

(C7) Mean-Variance Policy Iteration for Risk-Averse Reinforcement Learning.

Shangtong Zhang, Bo Liu, Shimon Whiteson.

AAAI Conference on Artificial Intelligence (AAAI), 2021.

Acceptance rate: 21.4%.

(C8) Learning Retrospective Knowledge with Reverse Reinforcement Learning.

Shangtong Zhang, Vivek Veeriah, Shimon Whiteson.

Conference on Neural Information Processing Systems (NeurIPS), 2020.

Acceptance rate: 20.1%.

(C9) GradientDICE: Rethinking Generalized Offline Estimation of Stationary Values.

Shangtong Zhang, Bo Liu, Shimon Whiteson.

International Conference on Machine Learning (ICML), 2020.

Acceptance rate: 21.8%.

(C10) Provably Convergent Two-Timescale Off-Policy Actor-Critic with Function Approximation.

Shangtong Zhang, Bo Liu, Hengshuai Yao, Shimon Whiteson.

International Conference on Machine Learning (ICML), 2020.

Acceptance rate: 21.8%.

(C11) Deep Residual Reinforcement Learning.

Shangtong Zhang, Wendelin Boehmer, Shimon Whiteson.

International Conference on Autonomous Agents and Multiagent Systems (AAMAS), 2020.

Acceptance rate: 23%. Best Paper Award.

(C12) Mega-Reward: Achieving Human-Level Play without Extrinsic Rewards.

Yuhang Song, Jianyi Wang, Thomas Lukasiewicz, Zhenghua Xu, **Shangtong Zhang**, Andrzej Wojcicki, Mai Xu.

AAAI Conference on Artificial Intelligence (AAAI), 2020.

Acceptance rate: 20.6%.

(C13) DAC: The Double Actor-Critic Architecture for Learning Options.

Shangtong Zhang, Shimon Whiteson.

Conference on Neural Information Processing Systems (NeurIPS), 2019.

Acceptance rate: 21.2%.

(C14) Generalized Off-Policy Actor-Critic.

Shangtong Zhang, Wendelin Boehmer, Shimon Whiteson.

Conference on Neural Information Processing Systems (NeurIPS), 2019.

Acceptance rate: 21.2%.

(C15) Distributional Reinforcement Learning for Efficient Exploration.

Borislav Mavrin, Shangtong Zhang, Hengshuai Yao, Linglong Kong, Kaiwen Wu, Yaoliang Yu

International Conference on Machine Learning (ICML), 2019. Acceptance rate: 22.6%.

(C16) ACE: An Actor Ensemble Algorithm for Continuous Control with Tree Search.

Shangtong Zhang, Hao Chen, Hengshuai Yao.

AAAI Conference on Artificial Intelligence (AAAI), 2019.

Acceptance rate: 16.2%. Spotlight presentation.

(C17) QUOTA: The Quantile Option Architecture for Reinforcement Learning.

Shangtong Zhang, Borislav Mavrin, Linglong Kong, Bo Liu, Hengshuai Yao.

AAAI Conference on Artificial Intelligence (AAAI), 2019.

Acceptance rate: 16.2%. Oral presentation.

(C18) Crossprop: Learning Representations by Stochastic Meta-Gradient Descent in Neural Networks.

Vivek Veeriah*, **Shangtong Zhang***, Richard S. Sutton.

European Conference on Machine Learning and Principles and Practice of Knowledge Discovery in Databases (ECML-PKDD), 2017.

Acceptance rate: 27.1%.

(C19) A Deep Neural Network for Modeling Music.

Pengjing Zhang, Xiaoqing Zheng, Wenqiang Zhang, Siyan Li, Sheng Qian,

Wenqi He, **Shangtong Zhang**, Ziyuan Wang

International Conference on Multimedia Retrieval (ICMR), 2015.

Acceptance rate: 31%.

Refereed Workshop Papers (Non-Archival)

(W1) A Deeper Look at Experience Replay.

Shangtong Zhang, Richard S. Sutton.

Deep RL Symposium at NIPS, 2017.

(W2) Comparing Deep Reinforcement Learning and Evolutionary Methods in Continuous Control.

Shangtong Zhang, Osmar R. Zaiane

Deep RL Symposium at NIPS, 2017

(W3) A Demon Control Architecture with Off-Policy Learning and Flexible Behavior Policy.

Shangtong Zhang, Richard S. Sutton.

Hierarchical RL Workshop at NIPS, 2017.

FUNDING

RAMPART: Reinforcement Against Malicious Penetration by Adversaries in Realistic Topologies.

DARPA HR001123S0002, Co-PI, Total \$2,150,000, My Share \$77,000.

2023 - 2027

SLES: CRASH: Challenging Reinforcement-Learning Based Adversarial Scenarios for Safety Hardening.

NSF 2331904, Co-PI, Total \$800,000, My Share \$400,000.

2023 - 2026

III: Small: Moving Offline Learning to Rank Online, from Theory to Practice.

NSF 2128019, **PI**, Total \$500,000, My Share \$500,000.

2021 - 2024

HONORS

Rising Stars in AI, 2024¹ AAAI New Faculty Highlights, 2023

¹by KAUST AI Initiative led by Juergen Schmidhuber

IFAAMAS Victor Lesser Dissertation Award (Runner-Up), 2022 Alf Weaver Junior Faculty Fellowship, UVA, 2022

> ICLR Outstanding Reviewer, 2021 NeurIPS Reviewer Award, 2020

ICML Reviewer Award, 2020 AAMAS Best Paper Award, 2020

Light Senior Scholarship, St Catherine's College, University of Oxford, 2020 EPSRC Studentship, University of Oxford, 2018 EMC Scholarship, Fudan University, 2014

SERVICES

Organizers

CPS Rising Star Workshop 2024, Co-Chair

Meta Reviewer

AAMAS 2025 (Senior Program Committee) RL Conference 2024 (Senior Area Chair) ICLR 2024 (Area Chair) AISTATS 2024 (Area Chair) ACML 2022, 2023, 2024 (Area Chair)

Reviewer

Transactions on Pattern Analysis and Machine Intelligence (1)
Transaction of Machine Learning Research (2)

Journal of Machine Learning Research (3)

Artificial Intelligence Journal (2)

Transactions on Intelligent Systems and Technology (2)

IJCAI 2023

AISTATS 2022

NeurIPS 2020, 2021, 2022, 2023

ICML 2020, 2021, 2022, 2023

AAAI 2020, 2021, 2022, 2023

ICLR 2021, 2022, 2023

SIGCOMM 2022

Offline Reinforcement Learning Workshop at NeurIPS 2020, 2021, 2022

Deep Reinforcement Learning Workshop at NeurIPS 2019, 2020, 2021, 2022

Adaptive and Learning Agents Workshop at AAMAS 2019, 2020

Optimization Foundations for Reinforcement Learning Workshop at NeurIPS 2019

Reinforcement Learning for Real Life Workshop at ICML 2019, 2021

Reinforcement Learning for Real Life Workshop at NeurIPS 2022

Conference Session Chair

AAAI 2023, "Reinforcement Learning Theory & Algorithms"

SUPERVISION

Doctral Students

Ethan Blaser, NSF GRFP Jiuqi Wang

2023 - Now

2023 - Now

Shuze Liu	2022 - Now
Master of Science Students Kefan Song Licheng Luo Zhengkun Xiao. Then PhD student at University of Florida	2023 - Now 2023 - Now 2022 - 2023
Undergraduate Researchers Xi (Cici) Wang Steve Zhou, BA CS Distinguished Major Program Pawan Jayakumar Ja-Zhua Cheng	2023 - Now 2023 - Now 2023 - Now 2022 - Now
Research Interns Jiuqi Wang, University of Alberta. Then PhD student at UVA Xiaochi (Joe) Qian, University of Oxford	2022 - 2023 2022 - Now
PhD Committees Ingy ElSayed-Aly Sudhir Shenoy Chuanhao Li Kun Yang (Proposal) Zeyu Mu (Proposal) Matthew Landers (Qualification) Amar Kulkarni (Qualification)	
INVITED TALKS	
Understanding the Training and Inference of Reinforcement Learning Tsinghua University, hosted by Prof. Hongning Wang On the Cheating of Offline Reinforcement Learning KAUST Rising Stars in AI Symposium Offline Reinforcement Learning: Current and Future AAAI New Faculty Highlight Program	June 2024 Feb 2024 Feb 2023
Breaking the Deadly Triad in Off-Policy Reinforcement Learning Department of Computer Science, University of Virginia School of Computing Science, Simon Fraser University Department of Electrical & Computer Engineering, University of Waterloo School of Informatics, University of Edinburgh	Mar 2022 Feb 2022 Feb 2022 Oct 2021
Breaking the Deadly Triad with a Target Network Microsoft Research Summit Breaking the Deadly Triad in Reinforcement Learning RL team, DeepMind, hosted by Dr. Hado van Hasselt	Oct 2021 Sep 2021
Off-Policy Evaluation Data Fest 2020, Open Data Science Off-Policy Evaluation and Control	Oct 2020

 ${\rm Oct}\ 2020$

 $\mathrm{Dec}\ 2019$

Apr 2019

ByteDance AI Lab, Shanghai

Latent Logic LTD, Oxford

Coding Deep RL Papers NIPS MLTrain Workshop, Long Beach

Off-Policy Actor-Critic Algorithms

TEACHING

University of Virginia

CS 6316: Machine Learning
CS 4501: Optimization
CS 6501: Topics in Reinforcement Learning
Fall 2022

OPEN SOURCE CODE

GitHub Repo: PyTorch Deep RL

A zoo of popular deep RL algorithms in PyTorch with **3k stars**.

GitHub Repo: Reinforcement Learning: An Introduction

Python implementation of the book Reinforcement Learning: An Introduction with 13.8k stars.

Google Summer of Code (GSoC)

MLPack 2017 The Xapian Project 2014