# **Shangtong Zhang**

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#### RESEARCH INTEREST

The goal of my research is to solve sequential decision making problems in a scalable and reliable way. Currently, I focus on Reinforcement Learning (RL) as a solution method. In particular, I work on stochastic approximations for RL, theories and algorithms of RL, and applications by RL.

#### ACADEMIC EMPLOYMENTS

Assistant Professor

Aug 2022 - Present

Department of Computer Science University of Virginia, VA, United States

Research Scientist Interns

Microsoft Research Montreal

DeepMind London

Feb 2021 - Jun 2021

Microsoft Research Montreal

Jun 2020 - Aug 2020

## **EDUCATION**

Doctor of Philosophy, Computer Science Oct 2018 - Jul 2022

University of Oxford, Oxford, United Kingdom

Advisor: Prof. Shimon Whiteson

Master of Science, Computer Science Sep 2016 - Jul 2018

University of Alberta, Edmonton, Canada

Advisor: Prof. Richard S. Sutton

Bachelor of Science, Computing Science Sep 2012 - Jul 2016

Fudan University, Shanghai, China

#### **PUBLICATIONS**

Advisees of SZ are underlined; \* indicates equal contribution; † indicates equal supervision.

#### **Preprints**

(P1) Efficient Policy Evaluation with Safety Constraint for Reinforcement Learning.

<u>Claire Chen</u>\*, <u>Shuze Liu</u>\*, **Shangtong Zhang**. arXiv:2410.05655, 2024.

(P2) Doubly Optimal Policy Evaluation for Reinforcement Learning.

<u>Shuze Liu, Claire Chen, Shangtong Zhang.</u>

arXiv:2410.02226, 2024.

(P3) Almost Sure Convergence of Average Reward Temporal Difference Learning. <u>Ethan Blaser</u>, Shangtong Zhang. arXiv:2409.19546, 2024.

(P4) Almost Sure Convergence of Linear Temporal Difference Learning with Arbitrary Features.

Jiuqi Wang, Shangtong Zhang.
arXiv:2409.12135, 2024.

(P5) Efficient Multi-Policy Evaluation for Reinforcement Learning.

Shuze Liu, Claire Chen, Shangtong Zhang.
arXiv:2408.08706, 2024.

(P6) Transformers Learn Temporal Difference Methods for In-Context Reinforcement Learning. Jiuqi Wang\*, Ethan Blaser\*, Hadi Daneshmand, Shangtong Zhang. arXiv:2405.13861, 2024. Contributed talk at the RLC Workshop on Training Agents with Foundation Models, 2024.

Best Paper Award at the ICML Workshop on In-Context Learning, 2024.

(P7) The ODE Method for Stochastic Approximation and Reinforcement Learning with Markovian Noise.

Shuze Liu, Shuhang Chen, Shangtong Zhang.
arXiv:2401.07844, 2024.

(P8) Direct Gradient Temporal Difference Learning.

Xiaochi Qian, Shangtong Zhang.
arXiv:2308.01170, 2023.

(P9) StarCraft II Unplugged: Large Scale Offline Reinforcement Learning. Michael Mathieu\*, Sherjil Ozair\*, Srivatsan Srinivasan\*, Caglar Gulcehre\*, Shangtong Zhang\*, Ray Jiang\*, Tom Le Paine\*, Richard Powell, Konrad Zolna, Julian Schrittwieser, David Choi, Petko Georgiev, Daniel Kenji Toyama, Aja Huang, Roman Ring, Igor Babuschkin, Timo Ewalds, Mahyar Bordbar, Sarah Henderson, Sergio Gomez Colmenarejo, Aaron van den Oord, Wojciech M. Czarnecki, Nando de Freitas, Oriol Vinyals. arXiv:2308.03526, 2023

#### **Invited Articles**

(I1) A New Challenge in Policy Evaluation.

Shangtong Zhang.

AAAI Conference on Artificial Intelligence (**AAAI**), 2023 New Faculty Highlights Program.

#### Refereed Journals

(J1) Global Optimality and Finite Sample Analysis of Softmax Off-Policy Actor Critic under State Distribution Mismatch.

Shangtong Zhang, Remi Tachet des Combes<sup>‡</sup>, Romain Laroche<sup>‡</sup>. Journal of Machine Learning Research (JMLR), 2022.

(J2) Truncated Emphatic Temporal Difference Methods for Prediction and Control.

**Shangtong Zhang**, Shimon Whiteson. Journal of Machine Learning Research (**JMLR**), 2022.

(J3) MLPack 3: A Fast, Flexible Machine Learning Library.

Ryan Curtin, Marcus Edel, Mikhail Lozhnikov, Yannis Mentekidis, Sumedh Ghaisas, **Shangtong Zhang** 

Journal of Open Source Software (**JOSS**), 2018.

#### Refereed Conference Papers

(C1) Efficient Policy Evaluation with Offline Data Informed Behavior Policy Design.

Shuze Liu, Shangtong Zhang.

International Conference on Machine Learning (ICML), 2024.

Acceptance rate: 27.5%

(C2) On the Convergence of SARSA with Linear Function Approximation.

Shangtong Zhang, Remi Tachet des Combes, Romain Laroche.

International Conference on Machine Learning (ICML), 2023.

Acceptance rate: 28%

(C3) A Deeper Look at Discounting Mismatch in Actor-Critic Algorithms.

**Shangtong Zhang**, Romain Laroche, Harm van Seijen, Shimon Whiteson, Remi Tachet des Combes. International Conference on Autonomous Agents and Multiagent Systems (**AAMAS**), 2022.

Acceptance rate: 26%. Oral presentation.

(C4) Learning Expected Emphatic Traces for Deep RL.

Ray Jiang, Shangtong Zhang, Veronica Chelu, Adam White, Hado van Hasselt.

AAAI Conference on Artificial Intelligence (AAAI), 2022.

Acceptance rate: 15%.

(C5) Breaking the Deadly Triad with a Target Network.

Shangtong Zhang, Hengshuai Yao, Shimon Whiteson.

International Conference on Machine Learning (ICML), 2021.

Acceptance rate: 21.5%.

(C6) Average-Reward Off-Policy Policy Evaluation with Function Approximation.

Shangtong Zhang\*, Yi Wan\*, Richard S. Sutton, Shimon Whiteson.

International Conference on Machine Learning (ICML), 2021.

Acceptance rate: 21.5%.

(C7) Mean-Variance Policy Iteration for Risk-Averse Reinforcement Learning.

Shangtong Zhang, Bo Liu, Shimon Whiteson.

AAAI Conference on Artificial Intelligence (AAAI), 2021.

Acceptance rate: 21.4%.

(C8) Learning Retrospective Knowledge with Reverse Reinforcement Learning.

Shangtong Zhang, Vivek Veeriah, Shimon Whiteson.

Conference on Neural Information Processing Systems (NeurIPS), 2020.

Acceptance rate: 20.1%.

(C9) GradientDICE: Rethinking Generalized Offline Estimation of Stationary Values.

Shangtong Zhang, Bo Liu, Shimon Whiteson.

International Conference on Machine Learning (ICML), 2020.

Acceptance rate: 21.8%.

(C10) Provably Convergent Two-Timescale Off-Policy Actor-Critic with Function Approximation.

Shangtong Zhang, Bo Liu, Hengshuai Yao, Shimon Whiteson.

International Conference on Machine Learning (ICML), 2020.

Acceptance rate: 21.8%.

(C11) Deep Residual Reinforcement Learning.

Shangtong Zhang, Wendelin Boehmer, Shimon Whiteson.

International Conference on Autonomous Agents and Multiagent Systems (AAMAS), 2020.

Acceptance rate: 23%. Best Paper Award.

(C12) Mega-Reward: Achieving Human-Level Play without Extrinsic Rewards.

Yuhang Song, Jianyi Wang, Thomas Lukasiewicz, Zhenghua Xu, **Shangtong Zhang**, Andrzej Wojcicki, Mai Xu.

AAAI Conference on Artificial Intelligence (AAAI), 2020.

Acceptance rate: 20.6%.

(C13) DAC: The Double Actor-Critic Architecture for Learning Options.

Shangtong Zhang, Shimon Whiteson.

Conference on Neural Information Processing Systems (NeurIPS), 2019.

Acceptance rate: 21.2%.

(C14) Generalized Off-Policy Actor-Critic.

Shangtong Zhang, Wendelin Boehmer, Shimon Whiteson.

Conference on Neural Information Processing Systems (NeurIPS), 2019.

Acceptance rate: 21.2%.

(C15) Distributional Reinforcement Learning for Efficient Exploration.

Borislav Mavrin, **Shangtong Zhang**, Hengshuai Yao, Linglong Kong, Kaiwen Wu, Yaoliang Yu International Conference on Machine Learning (**ICML**), 2019.

Acceptance rate: 22.6%.

(C16) ACE: An Actor Ensemble Algorithm for Continuous Control with Tree Search.

Shangtong Zhang, Hao Chen, Hengshuai Yao.

AAAI Conference on Artificial Intelligence (AAAI), 2019.

Acceptance rate: 16.2%. Spotlight presentation.

(C17) QUOTA: The Quantile Option Architecture for Reinforcement Learning.

Shangtong Zhang, Borislav Mavrin, Linglong Kong, Bo Liu, Hengshuai Yao.

AAAI Conference on Artificial Intelligence (AAAI), 2019.

Acceptance rate: 16.2%. Oral presentation.

(C18) Crossprop: Learning Representations by Stochastic Meta-Gradient Descent in Neural Networks.

Vivek Veeriah\*, Shangtong Zhang\*, Richard S. Sutton.

European Conference on Machine Learning and Principles and Practice of Knowledge Discovery in Databases (ECML-PKDD), 2017.

Acceptance rate: 27.1%.

(C19) A Deep Neural Network for Modeling Music.

Pengjing Zhang, Xiaoqing Zheng, Wenqiang Zhang, Siyan Li, Sheng Qian,

Wenqi He, Shangtong Zhang, Ziyuan Wang

International Conference on Multimedia Retrieval (ICMR), 2015.

Acceptance rate: 31%.

#### Refereed Workshop Papers (Non-Archival)

(W1) A Deeper Look at Experience Replay.

Shangtong Zhang, Richard S. Sutton.

Deep RL Symposium at NIPS, 2017.

(W2) Comparing Deep Reinforcement Learning and Evolutionary Methods in Continuous Control.

Shangtong Zhang, Osmar R. Zaiane

Deep RL Symposium at NIPS, 2017

(W3) A Demon Control Architecture with Off-Policy Learning and Flexible Behavior Policy.

Shangtong Zhang, Richard S. Sutton.

Hierarchical RL Workshop at NIPS, 2017.

#### **FUNDING**

RAMPART: Reinforcement Against Malicious Penetration by Adversaries in Realistic Topologies.

DARPA HR001123S0002, Co-PI, Total \$2,150,000, My Share \$77,000.

2023 - 2027

SLES: CRASH: Challenging Reinforcement-Learning Based Adversarial Scenarios for Safety Hardening.

NSF 2331904, Co-PI, Total \$800,000, My Share \$400,000. III: Small: Moving Offline Learning to Rank Online, from Theory to Practice. NSF 2128019, PI, Total \$500,000, My Share \$500,000.

2023 - 2026

2021 - 2024

#### **HONORS**

Best Paper Award at the ICML In-Context Learning Workshop, 2024
Rising Stars in AI by KAUST AI Initiative, 2024
AAAI New Faculty Highlights, 2023
IFAAMAS Victor Lesser Dissertation Award (Runner-Up), 2022
Alf Weaver Junior Faculty Fellowship, UVA, 2022 - 2025
ICLR Outstanding Reviewer, 2021
NeurIPS Reviewer Award, 2020
ICML Reviewer Award, 2020
AAMAS Best Paper Award, 2020
Light Senior Scholarship, St Catherine's College, University of Oxford, 2020
EPSRC Studentship, University of Oxford, 2018 - 2022

# SERVICES

EMC Scholarship, Fudan University, 2014

# **Organizers**

CPS Rising Star Workshop 2024, Co-Chair

#### **Panelists**

National Science Foundation (NSF), 2024 Virginia's Commonwealth Cyber Initiative (CCI), 2024

## Meta Reviewer

L4DC 2025 (Program Committee)
ICLR 2024, 2025 (Area Chair)
AAMAS 2025 (Senior Program Committee)
RL Conference 2024 (Area Chair)
AISTATS 2024, 2025 (Area Chair)
ACML 2022, 2023, 2024 (Area Chair)

#### Reviewer

Transactions on Pattern Analysis and Machine Intelligence (1)
Transaction of Machine Learning Research (2)
Journal of Machine Learning Research (3)
Artificial Intelligence Journal (2)
Transactions on Intelligent Systems and Technology (2)
IJCAI 2023
AISTATS 2022
NeurIPS 2020, 2021, 2022, 2023
ICML 2020, 2021, 2022, 2023
AAAI 2020, 2021, 2022, 2023
ICLR 2021, 2022, 2023
SIGCOMM 2022

Offline Reinforcement Learning Workshop at NeurIPS 2020, 2021, 2022 Deep Reinforcement Learning Workshop at NeurIPS 2019, 2020, 2021, 2022 Adaptive and Learning Agents Workshop at AAMAS 2019, 2020 Optimization Foundations for Reinforcement Learning Workshop at NeurIPS 2019 Reinforcement Learning for Real Life Workshop at ICML 2019, 2021 Reinforcement Learning for Real Life Workshop at NeurIPS 2022

# Conference Session Chair

AAAI 2023, "Reinforcement Learning Theory & Algorithms"

# **SUPERVISION**

Doctral Students	
Shuze Liu	2022 - Now
Ethan Blaser, NSF Graduate Research Fellowship	2023 - Now
Jiuqi Wang	2023 - Now
Xinyu Liu	2024 - Now
Zixuan Xie, UVA Provost's Fellowship, UVA Engineering Distinguished Fellowship	2024 - Now
Amir Moeini	2024 - Now
Master of Science Students	
Kefan Song	2023 - Now
Licheng Luo	2023 - Now
Alumni with Theses or Publications	
Steve Zhou, Undergraduate, UVA, Distinguished Major Program	2023 - 2024
Xiaochi Qian, Undergraduate, University of Oxford, Research Intern	2022 - 2024
PhD Committees	
Ingy ElSayed-Aly	
Sudhir Shenoy	
Chuanhao Li	

#### INVITED TALKS

Understanding the Training and Inference of Reinforcement Learning	
Tsinghua University, hosted by Prof. Hongning Wang	June 2024
On the Cheating of Offline Reinforcement Learning	
KAUST Rising Stars in AI Symposium	Feb 2024
Offline Reinforcement Learning: Current and Future	
AAAI New Faculty Highlight Program	Feb 2023
Breaking the Deadly Triad in Off-Policy Reinforcement Learning	
Department of Computer Science, University of Virginia	Mar 2022
School of Computing Science, Simon Fraser University	Feb 2022
Department of Electrical & Computer Engineering, University of Waterloo	Feb 2022
School of Informatics, University of Edinburgh	Oct 2021
Breaking the Deadly Triad with a Target Network	
Microsoft Research Summit	Oct 2021
Breaking the Deadly Triad in Reinforcement Learning	
RL team, DeepMind, hosted by Dr. Hado van Hasselt	Sep $2021$
Off-Policy Evaluation	
Data Fest 2020, Open Data Science	Oct 2020

# Off-Policy Evaluation and Control ByteDance AI Lab, Shanghai Oct 2020 Coding Deep RL Papers NIPS MLTrain Workshop, Long Beach Off-Policy Actor-Critic Algorithms Latent Logic LTD, Oxford Apr 2019

#### **TEACHING**

# University of Virginia

CS 4501: Reinforcement Learning	Fall 2024
CS 6316: Machine Learning	Spring 2024
CS 4501: Optimization	Fall 2023
CS 6501: Topics in Reinforcement Learning	Fall 2022

# OPEN SOURCE CODE

# GitHub Repo: PyTorch Deep RL

A zoo of popular deep RL algorithms in PyTorch with 3k stars.

# GitHub Repo: Reinforcement Learning: An Introduction

Python implementation of the book Reinforcement Learning: An Introduction with 13.8k stars.

# Google Summer of Code (GSoC)

MLPack	2017
The Xapian Project	2014