# **Shangtong Zhang**

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## RESEARCH INTEREST

The goal of my research is to solve sequential decision making problems in a scalable and reliable way. Currently, I focus on Reinforcement Learning (RL) as a solution method. In particular, I work on stochastic approximations for RL, theories and algorithms of RL, and applications by RL.

### ACADEMIC EMPLOYMENTS

Assistant Professor	Aug 2022 - Present
Department of Computer Science	

University of Virginia, VA, United States

## Research Scientist Interns

Microsoft Research Montreal	Jun 2021 - Sep 2021
DeepMind London	Feb 2021 - Jun 2021
Microsoft Research Montreal	Jun 2020 - Aug 2020
Huawei Noah's Ark Lab Edmonton	May 2018 - Aug 2018

# **EDUCATION**

Doctor of Philosophy, Computer Science	Oct 2018 - Jul 2022
University of Oxford, Oxford, United Kingdom	

Advisor: Prof. Shimon Whiteson

Master of Science, Computer Science Sep 2016 - Jul 2018

University of Alberta, Edmonton, Canada

Advisor: Prof. Richard S. Sutton

Bachelor of Science, Computing Science Sep 2012 - Jul 2016

Fudan University, Shanghai, China

### **PUBLICATIONS**

Advisees of SZ are underlined; \* indicates equal contribution; † indicates equal supervision.

### **Preprints**

# (P1) Direct Gradient Temporal Difference Learning.

Xiaochi Qian, Shangtong Zhang.

arXiv:2308.01170, Aug 2023. Under review of Journal of Machine Learning Research.

(P2) StarCraft II Unplugged: Large Scale Offline Reinforcement Learning

Michael Mathieu\*, Sherjil Ozair\*, Srivatsan Srinivasan\*, Caglar Gulcehre\*, **Shangtong Zhang**\*, Ray Jiang\*, Tom Le Paine\*, Richard Powell, Konrad Zolna, Julian Schrittwieser, David Choi, Petko Georgiev, Daniel Kenji Toyama, Aja Huang, Roman Ring, Igor Babuschkin, Timo Ewalds, Mahyar Bordbar, Sarah Henderson, Sergio Gomez Colmenarejo, Aaron van den Oord, Wojciech M. Czarnecki, Nando de Freitas, Oriol Vinyals.

arXiv:2308.03526, Aug 2023. DeepMind technical report.

(P3) Improving Monte Carlo Evaluation with Offline Data.

Shuze Liu, Shangtong Zhang. arXiv:2301.13734, Jan 2023.

#### Invited Articles

(I1) A New Challenge in Policy Evaluation.

#### Shangtong Zhang.

Proceedings of the AAAI Conference on Artificial Intelligence (AAAI), vol. 37, no. 13, pp. 15465-15465, Feb 2023. New Faculty Highlights Program.

#### Refereed Journals

(J1) Global Optimality and Finite Sample Analysis of Softmax Off-Policy Actor Critic under State Distribution Mismatch.

Shangtong Zhang, Remi Tachet des Combes<sup>‡</sup>, Romain Laroche<sup>‡</sup>.

Journal of Machine Learning Research (JMLR), vol. 23, no. 343, pp. 1-91, Oct 2022.

- (J2) Truncated Emphatic Temporal Difference Methods for Prediction and Control Shangtong Zhang, Shimon Whiteson.
  - Journal of Machine Learning Research (JMLR), vol. 23, no. 153, pp. 1-59, May 2022.
- (J3) MLPack 3: A Fast, Flexible Machine Learning Library.

Ryan Curtin, Marcus Edel, Mikhail Lozhnikov, Yannis Mentekidis, Sumedh Ghaisas, **Shangtong Zhang** 

Journal of Open Source Software (JOSS), vol. 3, no. 26, pp. 726, Jun 2018.

## Refereed Conference Papers

(C1) On the Convergence of SARSA with Linear Function Approximation.

Shangtong Zhang, Remi Tachet des Combes, Romain Laroche.

Proceedings of the International Conference on Machine Learning (ICML) in Proceedings of Machine Learning Research, vol. 202, pp. 41613-41646, Jul 2023. Acceptance rate: 28%

(C2) A Deeper Look at Discounting Mismatch in Actor-Critic Algorithms.

Shangtong Zhang, Romain Laroche, Harm van Seijen, Shimon Whiteson, Remi Tachet des Combes. Proceedings of the International Conference on Autonomous Agents and Multiagent Systems (AAMAS), pp. 1491, May 2022. Acceptance rate: 26%

(C3) Learning Expected Emphatic Traces for Deep RL.

Ray Jiang, **Shangtong Zhang**, Veronica Chelu, Adam White, Hado van Hasselt. *Proceedings of the AAAI Conference on Artificial Intelligence* (**AAAI**), vol. 36, no. 6, pp. 7015-7023, Feb 2022. Acceptance rate: 15%.

(C4) Breaking the Deadly Triad with a Target Network.

Shangtong Zhang, Hengshuai Yao, Shimon Whiteson.

Proceedings of the International Conference on Machine Learning (ICML) in Proceedings of Machine Learning Research, vol. 139, pp. 12621-12631, Jul 2021. Acceptance rate: 21.5%.

 $(C5)\ \ \textit{Average-Reward Off-Policy Policy Evaluation with Function Approximation}.$ 

Shangtong Zhang\*, Yi Wan\*, Richard S. Sutton, Shimon Whiteson.

Proceedings of the International Conference on Machine Learning (ICML) in Proceedings of Machine Learning Research, vol. 139, pp. 12578-12588, Jul 2021.. Acceptance rate: 21.5%.

(C6) Mean-Variance Policy Iteration for Risk-Averse Reinforcement Learning.

Shangtong Zhang, Bo Liu, Shimon Whiteson.

Proceedings of the AAAI Conference on Artificial Intelligence (AAAI), vol. 35, no. 12, pp. 10905-10913, Feb 2021. Acceptance rate: 21.4%.

(C7) Learning Retrospective Knowledge with Reverse Reinforcement Learning.

Shangtong Zhang, Vivek Veeriah, Shimon Whiteson.

Advances in Neural Information Processing Systems (NeurIPS), vol. 33, pp. 19976-19987, Dec 2020. Acceptance rate: 20.1%.

(C8) GradientDICE: Rethinking Generalized Offline Estimation of Stationary Values.

Shangtong Zhang, Bo Liu, Shimon Whiteson.

Proceedings of the International Conference on Machine Learning (ICML) in Proceedings of Machine Learning Research, vol. 119, pp. 11194-11203, Jul 2020. Acceptance rate: 21.8%.

(C9) Provably Convergent Two-Timescale Off-Policy Actor-Critic with Function Approximation.

Shangtong Zhang, Bo Liu, Hengshuai Yao, Shimon Whiteson.

Proceedings of the International Conference on Machine Learning (ICML) in Proceedings of Machine Learning Research, vol. 119, pp. 11204-11213, Jul 2020. Acceptance rate: 21.8%.

(C10) Deep Residual Reinforcement Learning.

Shangtong Zhang, Wendelin Boehmer, Shimon Whiteson.

Proceedings of the International Conference on Autonomous Agents and Multiagent Systems (AAMAS), pp. 1611–1619, May 2020. Acceptance rate: 23%. Best Paper Award.

(C11) Mega-Reward: Achieving Human-Level Play without Extrinsic Rewards.

Yuhang Song, Jianyi Wang, Thomas Lukasiewicz, Zhenghua Xu, **Shangtong Zhang**, Andrzej Wojcicki, Mai Xu

Proceedings of the AAAI Conference on Artificial Intelligence (AAAI), vol. 34, no. 4, pp. 5826-5833, Feb 2020. Acceptance rate: 20.6%.

(C12) DAC: The Double Actor-Critic Architecture for Learning Options.

Shangtong Zhang, Shimon Whiteson.

Advances in Neural Information Processing Systems (NeurIPS), vol. 32, pp. 2012-2022, Dec 2019. Acceptance rate: 21.2%.

(C13) Generalized Off-Policy Actor-Critic.

Shangtong Zhang, Wendelin Boehmer, Shimon Whiteson.

Advances in Neural Information Processing Systems (NeurIPS), vol. 32, pp. 2001-2011, Dec 2019. Acceptance rate: 21.2%.

(C14) Distributional Reinforcement Learning for Efficient Exploration.

Borislav Mavrin, **Shangtong Zhang**, Hengshuai Yao, Linglong Kong, Kaiwen Wu, Yaoliang Yu Proceedings of the International Conference on Machine Learning (**ICML**) in Proceedings of Machine Learning Research, vol. 2019, pp. 4424-4434, Jue 2019. Acceptance rate: 22.6%.

(C15) ACE: An Actor Ensemble Algorithm for Continuous Control with Tree Search.

Shangtong Zhang, Hao Chen, Hengshuai Yao.

Proceedings of the AAAI Conference on Artificial Intelligence (AAAI), vol. 33, no. 1, pp. 5789-5796, Feb 2019. Acceptance rate: 16.2%.

(C16) QUOTA: The Quantile Option Architecture for Reinforcement Learning.

Shangtong Zhang, Borislav Mavrin, Linglong Kong, Bo Liu, Hengshuai Yao.

Proceedings of the AAAI Conference on Artificial Intelligence (AAAI), vol. 33, no. 01, pp. 5797-5804, Feb 2019. Acceptance rate: 16.2%.

(C17) Crossprop: Learning Representations by Stochastic Meta-Gradient Descent in Neural Networks.

Vivek Veeriah\*, Shangtong Zhang\*, Richard S. Sutton.

 $Proceedings\ of\ the\ European\ Conference\ on\ Machine\ Learning\ and\ Principles\ and\ Practice\ of\ Knowledge$ 

Discovery in Databases (ECML-PKDD) in Lecture Notes in Computer Science, vol. 10534, pp. 445-459, Sep 2017. Acceptance rate: 27.1%.

(C18) A Deep Neural Network for Modeling Music.

Pengjing Zhang, Xiaoqing Zheng, Wenqiang Zhang, Siyan Li, Sheng Qian,

Wenqi He, Shangtong Zhang, Ziyuan Wang

Proceedings of the International Conference on Multimedia Retrieval (ICMR), pp. 379-386, Jun 2015. Acceptance rate: 31%.

## Refereed Workshop Papers (Non-Archival)

(W1) A Deeper Look at Experience Replay.

Shangtong Zhang, Richard S. Sutton.

Deep RL Symposium at NIPS, Dec 2017.

(W2) Comparing Deep Reinforcement Learning and Evolutionary Methods in Continuous Control.

Shangtong Zhang, Osmar R. Zaiane Deep RL Symposium at NIPS, Dec 2017.

(W3) A Demon Control Architecture with Off-Policy Learning and Flexible Behavior Policy.

Shangtong Zhang, Richard S. Sutton.

Hierarchical RL Workshop at NIPS, Dec 2017.

### **FUNDING**

SLES: CRASH: Challenging Reinforcement-Learning Based Adversarial Scenarios for Safety Hardening.

NSF 2331904, Co-PI, Total \$800,000, My Share \$400,000.

2023 - 2026

III: Small: Moving Offline Learning to Rank Online, from Theory to Practice.

NSF 2128019, **PI**, Total \$500,000, My Share \$400,000.

2023 - 2024

## **HONORS**

KAUST Rising Stars in AI (by Jurgen Schmidhuber), 2024

AAAI New Faculty Highlights, 2023

IFAAMAS Victor Lesser Dissertation Award (Runner-Up), 2022

Alf Weaver Junior Faculty Fellowship, UVA, 2022

ICLR Outstanding Reviewer, 2021

NeurIPS Reviewer Award, 2020

ICML Reviewer Award, 2020

AAMAS Best Paper Award, 2020

Light Senior Scholarship, St Catherine's College, University of Oxford, 2020

EPSRC Studentship, University of Oxford, 2018

EMC Scholarship, Fudan University, 2014

#### SERVICES

## **Organizers**

CPS Rising Star Workshop 2024, Co-Chair

ACML 2022, 2023	
Reviewer & Program Committee	
Transactions on Pattern Analysis and Machine Intelligence (1)	
Transaction of Machine Learning Research (2)	
Journal of Machine Learning Research (3)	
Artificial Intelligence Journal (2)	
Transactions on Intelligent Systems and Technology (2)	
IJCAI 2023	
AISTATS 2022	
NeurIPS 2020, 2021, 2022, 2023	
ICML 2020, 2021, 2022, 2023	
AAAI 2020, 2021, 2022, 2023	
ICLR 2021, 2022, 2023	
SIGCOMM 2022	
Offline Reinforcement Learning Workshop at NeurIPS 2020, 2021, 2022	
Deep Reinforcement Learning Workshop at NeurIPS 2019, 2020, 2021, 2022	
Adaptive and Learning Agents Workshop at AAMAS 2019, 2020	
Optimization Foundations for Reinforcement Learning Workshop at NeurIPS 2019	
Reinforcement Learning for Real Life Workshop at ICML 2019, 2021	
Reinforcement Learning for Real Life Workshop at NeurIPS 2022	
Conference Session Chair	
AAAI 2023, "Reinforcement Learning Theory & Algorithms"	
Departmental Services	
Faculty Search Committee, UVA CS	2023 - 2024
Graduate Admission Committee, UVA CS	2022 - 2024
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SUPERVISION	
Doctral Students	
Ethan Blaser, NSF GRFP	2023 - Now
Jiuqi Wang	2023 - Now
Shuze Liu	2022 - Now
Master of Science Students	
Kefan Song	2023 - Now
Licheng Luo	2023 - Now
Zhengkun Xiao. Then PhD student at University of Florida	2022 - 2023
Undergraduate Researchers	
Xi (Cici) Wang	2023 - Now
Steve Zhou, BA CS Distinguished Major Program	2023 - Now 2023 - Now
Pawan Jayakumar	2023 - Now 2023 - Now
Ja-Zhua Cheng	2023 - Now
on Zhun Cheng	2022 - 110W
Research Interns	
Jiuqi Wang, University of Alberta, next stop: PhD student at UVA	2022 - 2023

Meta Reviewer & Area Chair

ICLR 2024 AISTATS 2024 Xiaochi (Joe) Qian, University of Oxford

2022 - Now

### PhD Committees

Sudhir Shenoy

Chuanhao Li

Kun Yang (Proposal)

Zeyu Mu (Proposal)

Ingy ElSayed-Aly (Proposal)

Matthew Landers (Qualification)

Amar Kulkarni (Qualification)

#### INVITED TALKS

Offline Reinforcement Learning: Current and Future	
AAAI New Faculty Highlight Program	Feb 2023
Breaking the Deadly Triad in Off-Policy Reinforcement Learning	
Department of Computer Science, University of Virginia	Mar 2022
School of Computing Science, Simon Fraser University	Feb 2022
Department of Electrical & Computer Engineering, University of Waterloo	Feb 2022
School of Informatics, University of Edinburgh	Oct 2021
Breaking the Deadly Triad with a Target Network	
Microsoft Research Summit	Oct 2021
Breaking the Deadly Triad in Reinforcement Learning	
RL team, DeepMind, hosted by Hado van Hasselt	Sep 2021
Off-Policy Evaluation	
Data Fest 2020, Open Data Science	Oct 2020
Off-Policy Evaluation and Control	
ByteDance AI Lab, Shanghai	Oct 2020
Coding Deep RL Papers	
NIPS MLTrain Workshop, Long Beach	Dec 2019
Off-Policy Actor-Critic Algorithms	
Latent Logic LTD, Oxford	$\mathrm{Apr}\ 2019$

# **TEACHING**

University of Virginia	
CS 6316: Machine Learning	Spring 2024
CS 4501: Optimization	Fall 2023
CS 6501: Topics in Reinforcement Learning	Fall 2022

### OPEN SOURCE CODE

# GitHub Repo: PyTorch Deep RL

A zoo of popular deep RL algorithms in PyTorch with 3k stars.

# GitHub Repo: Reinforcement Learning: An Introduction

Python implementation of the book Reinforcement Learning: An Introduction with 13.8k stars.

Google Summer of Code (GSoC)	
MLPack	2017
The Xapian Project	2014