# **Shangtong Zhang**

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#### RESEARCH INTEREST

The goal of my research is to solve sequential decision making problems in a scalable and reliable way. Currently, I focus on Reinforcement Learning (RL) as a solution method. In particular, I work on stochastic approximations for RL, theories and algorithms of RL, and applications by RL.

#### ACADEMIC EMPLOYMENTS

**Assistant Professor**Department of Computer Science

Aug 2022 - Present

University of Virginia, VA, United States

Research Scientist Interns

Microsoft Research Montreal

DeepMind London

Feb 2021 - Jun 2021

Microsoft Research Montreal

Jun 2020 - Aug 2020

### **EDUCATION**

Doctor of Philosophy, Computer Science Oct 2018 - Jul 2022

University of Oxford, Oxford, United Kingdom

Advisor: Prof. Shimon Whiteson

Master of Science, Computer Science Sep 2016 - Jul 2018

University of Alberta, Edmonton, Canada

Advisor: Prof. Richard S. Sutton

Bachelor of Science, Computing Science Sep 2012 - Jul 2016

Fudan University, Shanghai, China

#### **PUBLICATIONS**

Advisees of SZ are underlined; \* indicates equal contribution; † indicates equal supervision.

#### **Preprints**

(P1) CRASH: Challenging Reinforcement-Learning Based Adversarial Scenarios For Safety Hardening. Amar Kulkarni, Shangtong Zhang, Madhur Behl. arXiv:2411.16996, 2024. (P2) Almost Sure Convergence Rates and Concentration of Stochastic Approximation and Reinforcement Learning with Markovian Noise.

Xiaochi Qian\*, Xinyu Liu\*, Zixuan Xie\*, Shangtong Zhang. arXiv:2411.13711, 2024.

(P3) Almost Sure Convergence of Average Reward Temporal Difference Learning.

<u>Ethan Blaser</u>, **Shangtong Zhang**.

arXiv:2409.19546, 2024.

(P4) Almost Sure Convergence of Linear Temporal Difference Learning with Arbitrary Features.

Jiuqi Wang, Shangtong Zhang.
arXiv:2409.12135, 2024.

(P5) StarCraft II Unplugged: Large Scale Offline Reinforcement Learning.

Michael Mathieu\*, Sherjil Ozair\*, Srivatsan Srinivasan\*, Caglar Gulcehre\*, **Shangtong Zhang**\*, Ray Jiang\*, Tom Le Paine\*, Richard Powell, Konrad Zolna, Julian Schrittwieser, David Choi, Petko Georgiev, Daniel Kenji Toyama, Aja Huang, Roman Ring, Igor Babuschkin, Timo Ewalds, Mahyar Bordbar, Sarah Henderson, Sergio Gomez Colmenarejo, Aaron van den Oord, Wojciech M. Czarnecki, Nando de Freitas, Oriol Vinyals. arXiv:2308.03526, 2023

## **Invited Articles**

(I1) A New Challenge in Policy Evaluation.

Shangtong Zhang.

AAAI Conference on Artificial Intelligence (**AAAI**), 2023 New Faculty Highlights Program.

#### Refereed Journals

(J1) The ODE Method for Stochastic Approximation and Reinforcement Learning with Markovian Noise.

<u>Shuze Liu</u>, Shuhang Chen, **Shangtong Zhang**.

Journal of Machine Learning Research (**JMLR**), 2025.

(J2) Global Optimality and Finite Sample Analysis of Softmax Off-Policy Actor Critic under State Distribution Mismatch.

**Shangtong Zhang**, Remi Tachet des Combes<sup>‡</sup>, Romain Laroche<sup>‡</sup>. Journal of Machine Learning Research (**JMLR**), 2022.

(J3) Truncated Emphatic Temporal Difference Methods for Prediction and Control.

Shangtong Zhang, Shimon Whiteson.

Journal of Machine Learning Research (JMLR), 2022.

(J4) MLPack 3: A Fast, Flexible Machine Learning Library.

Ryan Curtin, Marcus Edel, Mikhail Lozhnikov, Yannis Mentekidis, Sumedh Ghaisas, **Shangtong Zhang** 

Journal of Open Source Software (**JOSS**), 2018.

#### Refereed Conference Papers

(C1) Transformers Learn Temporal Difference Methods for In-Context Reinforcement Learning.

<u>Jiuqi Wang</u>\*, <u>Ethan Blaser</u>\*, Hadi Daneshmand, **Shangtong Zhang**. International Conference on Learning Representations (**ICLR**), 2025. Acceptance rate: 32.08%.

QuantCo Spotlight Award at the ICML Workshop on In-Context Learning, 2024.

(C2) Revisiting a Design Choice in Gradient Temporal Difference Learning. Xiaochi Qian, **Shangtong Zhang**.

International Conference on Learning Representations (ICLR), 2025. Acceptance rate: 32.08%.

(C3) Efficient Policy Evaluation with Safety Constraint for Reinforcement Learning.

Claire Chen\*, Shuze Liu\*, Shangtong Zhang.

International Conference on Learning Representations (ICLR), 2025.

Acceptance rate: 32.08%.

(C4) Doubly Optimal Policy Evaluation for Reinforcement Learning.

Shuze Liu, Claire Chen, Shangtong Zhang.

International Conference on Learning Representations (ICLR), 2025.

Acceptance rate: 32.08%.

(C5) Efficient Multi-Policy Evaluation for Reinforcement Learning.

Shuze Liu, Claire Chen, Shangtong Zhang.

AAAI Conference on Artificial Intelligence (AAAI), 2025.

Acceptance rate: 23.4%. Oral presentation (4.6%).

(C6) Efficient Policy Evaluation with Offline Data Informed Behavior Policy Design.

Shuze Liu, Shangtong Zhang.

International Conference on Machine Learning (ICML), 2024.

Acceptance rate: 27.5%

(C7) On the Convergence of SARSA with Linear Function Approximation.

Shangtong Zhang, Remi Tachet des Combes, Romain Laroche.

International Conference on Machine Learning (ICML), 2023.

Acceptance rate: 28%

(C8) A Deeper Look at Discounting Mismatch in Actor-Critic Algorithms.

Shangtong Zhang, Romain Laroche, Harm van Seijen, Shimon Whiteson, Remi Tachet des Combes. International Conference on Autonomous Agents and Multiagent Systems (AAMAS), 2022.

Acceptance rate: 26%. Oral presentation.

(C9) Learning Expected Emphatic Traces for Deep RL.

Ray Jiang, Shangtong Zhang, Veronica Chelu, Adam White, Hado van Hasselt.

AAAI Conference on Artificial Intelligence (AAAI), 2022.

Acceptance rate: 15%.

(C10) Breaking the Deadly Triad with a Target Network.

Shangtong Zhang, Hengshuai Yao, Shimon Whiteson.

International Conference on Machine Learning (ICML), 2021.

Acceptance rate: 21.5%.

(C11) Average-Reward Off-Policy Policy Evaluation with Function Approximation.

Shangtong Zhang\*, Yi Wan\*, Richard S. Sutton, Shimon Whiteson.

International Conference on Machine Learning (ICML), 2021.

Acceptance rate: 21.5%.

(C12) Mean-Variance Policy Iteration for Risk-Averse Reinforcement Learning.

Shangtong Zhang, Bo Liu, Shimon Whiteson.

AAAI Conference on Artificial Intelligence (AAAI), 2021.

Acceptance rate: 21.4%.

(C13) Learning Retrospective Knowledge with Reverse Reinforcement Learning.

Shangtong Zhang, Vivek Veeriah, Shimon Whiteson.

Conference on Neural Information Processing Systems (NeurIPS), 2020.

Acceptance rate: 20.1%.

(C14) GradientDICE: Rethinking Generalized Offline Estimation of Stationary Values.

Shangtong Zhang, Bo Liu, Shimon Whiteson.

International Conference on Machine Learning (ICML), 2020.

Acceptance rate: 21.8%.

#### (C15) Provably Convergent Two-Timescale Off-Policy Actor-Critic with Function Approximation.

Shangtong Zhang, Bo Liu, Hengshuai Yao, Shimon Whiteson.

International Conference on Machine Learning (ICML), 2020.

Acceptance rate: 21.8%.

#### (C16) Deep Residual Reinforcement Learning.

Shangtong Zhang, Wendelin Boehmer, Shimon Whiteson.

International Conference on Autonomous Agents and Multiagent Systems (AAMAS), 2020.

Acceptance rate: 23%. Best Paper Award.

#### (C17) Mega-Reward: Achieving Human-Level Play without Extrinsic Rewards.

Yuhang Song, Jianyi Wang, Thomas Lukasiewicz, Zhenghua Xu, **Shangtong Zhang**, Andrzej Wojcicki. Mai Xu.

AAAI Conference on Artificial Intelligence (AAAI), 2020.

Acceptance rate: 20.6%.

### (C18) DAC: The Double Actor-Critic Architecture for Learning Options.

Shangtong Zhang, Shimon Whiteson.

Conference on Neural Information Processing Systems (NeurIPS), 2019.

Acceptance rate: 21.2%.

#### (C19) Generalized Off-Policy Actor-Critic.

Shangtong Zhang, Wendelin Boehmer, Shimon Whiteson.

Conference on Neural Information Processing Systems (NeurIPS), 2019.

Acceptance rate: 21.2%.

#### (C20) Distributional Reinforcement Learning for Efficient Exploration.

Borislav Mavrin, **Shangtong Zhang**, Hengshuai Yao, Linglong Kong, Kaiwen Wu, Yaoliang Yu

International Conference on Machine Learning (ICML), 2019.

Acceptance rate: 22.6%.

## (C21) ACE: An Actor Ensemble Algorithm for Continuous Control with Tree Search.

Shangtong Zhang, Hao Chen, Hengshuai Yao.

AAAI Conference on Artificial Intelligence (AAAI), 2019.

Acceptance rate: 16.2%. Spotlight presentation.

#### (C22) QUOTA: The Quantile Option Architecture for Reinforcement Learning.

Shangtong Zhang, Borislav Mavrin, Linglong Kong, Bo Liu, Hengshuai Yao.

AAAI Conference on Artificial Intelligence (AAAI), 2019.

Acceptance rate: 16.2%. Oral presentation.

## (C23) Crossprop: Learning Representations by Stochastic Meta-Gradient Descent in Neural Networks.

Vivek Veeriah\*, **Shangtong Zhang**\*, Richard S. Sutton.

European Conference on Machine Learning and Principles and Practice of Knowledge Discovery in Databases (ECML-PKDD), 2017.

Acceptance rate: 27.1%.

## (C24) A Deep Neural Network for Modeling Music.

Pengjing Zhang, Xiaoqing Zheng, Wenqiang Zhang, Siyan Li, Sheng Qian,

Wenqi He, Shangtong Zhang, Ziyuan Wang

International Conference on Multimedia Retrieval (ICMR), 2015.

Acceptance rate: 31%.

#### Refereed Workshop Papers (Non-Archival)

### (W1) A Deeper Look at Experience Replay.

Shangtong Zhang, Richard S. Sutton.

Deep RL Symposium at NIPS, 2017.

- (W2) Comparing Deep Reinforcement Learning and Evolutionary Methods in Continuous Control. Shangtong Zhang, Osmar R. Zaiane Deep RL Symposium at NIPS, 2017
- (W3) A Demon Control Architecture with Off-Policy Learning and Flexible Behavior Policy. Shangtong Zhang, Richard S. Sutton. Hierarchical RL Workshop at NIPS, 2017.

# GRANTS

Enhancing the Security of Large Language Models Against Persuasion-Based Jailbreak Attacks in Multi-Turn Dialogues

CCI Coastal Virginia Node, Co-PI, Total \$60,000, My Share \$20,000

RAMPART: Reinforcement Against Malicious Penetration by Adversaries in Realistic Topologies.

DARPA HR001123S0002, Co-PI, Total \$2,150,000, My Share \$77,000.

2023 - 2027

SLES: CRASH: Challenging Reinforcement-Learning Based Adversarial Scenarios for Safety Hardening.

NSF 2331904, Co-PI, Total \$800,000, My Share \$400,000.

2023 - 2026

III: Small: Moving Offline Learning to Rank Online, from Theory to Practice.

NSF 2128019, PI, Total \$500,000, My Share \$500,000.

2021 - 2025

#### **HONORS**

QuantCo Spotlight Award at the ICML In-Context Learning Workshop, 2024
Rising Stars in AI by KAUST AI Initiative, 2024
AAAI New Faculty Highlights, 2023
IFAAMAS Victor Lesser Dissertation Award (Runner-Up), 2022
Alf Weaver Junior Faculty Fellowship, UVA, 2022 - 2025
ICLR Outstanding Reviewer, 2021
NeurIPS Reviewer Award, 2020
ICML Reviewer Award, 2020
AAMAS Best Paper Award, 2020
Light Senior Scholarship, St Catherine's College, University of Oxford, 2020
EPSRC Studentship, University of Oxford, 2018 - 2022
EMC Scholarship, Fudan University, 2014

#### **SERVICES**

#### **Organizers**

CPS Rising Star Workshop 2024, Co-Chair

### **Panelists**

National Science Foundation (NSF), 2024 Virginia's Commonwealth Cyber Initiative (CCI), 2024

#### Meta Meta Reviewer

RL Conference 2025 (Senior Area Chair)

#### Meta Reviewer

ICML 2025 (Area Chair)

ICLR 2024, 2025 (Area Chair)

L4DC 2025 (Program Committee)

AAMAS 2025 (Senior Program Committee)

RL Conference 2024 (Area Chair)

AISTATS 2024, 2025 (Area Chair)

ACML 2022, 2023, 2024 (Area Chair)

#### Reviewer

Transactions on Pattern Analysis and Machine Intelligence (1)

Transaction of Machine Learning Research (2)

Journal of Machine Learning Research (3)

Artificial Intelligence Journal (2)

Transactions on Intelligent Systems and Technology (2)

 $IJCAI\ 2023$ 

AISTATS 2022

NeurIPS 2020, 2021, 2022, 2023

ICML 2020, 2021, 2022, 2023

AAAI 2020, 2021, 2022, 2023

ICLR 2021, 2022, 2023

SIGCOMM 2022

Offline Reinforcement Learning Workshop at NeurIPS 2020, 2021, 2022

Deep Reinforcement Learning Workshop at NeurIPS 2019, 2020, 2021, 2022

Adaptive and Learning Agents Workshop at AAMAS 2019, 2020

Optimization Foundations for Reinforcement Learning Workshop at NeurIPS 2019

Reinforcement Learning for Real Life Workshop at ICML 2019, 2021

Xiaochi Qian, Undergraduate, University of Oxford, Research Intern

Reinforcement Learning for Real Life Workshop at NeurIPS 2022

### Conference Session Chair

AAAI 2023, "Reinforcement Learning Theory & Algorithms"

#### **SUPERVISION**

| Doctral Students   |             |
|--|-------------|
| Shuze Liu  | 2022 - Nov  |
| Ethan Blaser, NSF Graduate Research Fellowship                                 | 2023 - Nov  |
| Jiuqi Wang   | 2023 - Nov  |
| Xinyu Liu  | 2024 - Nov  |
| Zixuan Xie, UVA Provost's Fellowship, UVA Engineering Distinguished Fellowship | 2024 - Nov  |
| Amir Moeini  | 2024 - Nov  |
| Alumni with Theses or Publications   |             |
| Kefan Song, Master, UVA  | 2023 - 2024 |
| Licheng Luo, Master, UVA   | 2023 - 2024 |
| Steve Zhou, Undergraduate, UVA, DMP thesis                                     | 2023 - 2024 |

2022 - 2024

#### PhD Committees

Ingy ElSayed-Aly Sudhir Shenoy Chuanhao Li

## INVITED TALKS

| Understanding the Training and Inference of Reinforcement Learning      |            |
|---|------------|
| Tsinghua University, hosted by Prof. Hongning Wang                      | June 2024  |
| On the Cheating of Offline Reinforcement Learning                       |            |
| KAUST Rising Stars in AI Symposium                                      | Feb 2024   |
| Offline Reinforcement Learning: Current and Future                      |            |
| AAAI New Faculty Highlight Program                                      | Feb 2023   |
| Breaking the Deadly Triad in Off-Policy Reinforcement Learning          |            |
| Department of Computer Science, University of Virginia                  | Mar 2022   |
| School of Computing Science, Simon Fraser University                    | Feb 2022   |
| Department of Electrical & Computer Engineering, University of Waterloo | Feb 2022   |
| School of Informatics, University of Edinburgh                          | Oct 2021   |
| Breaking the Deadly Triad with a Target Network                         |            |
| Microsoft Research Summit   | Oct 2021   |
| Breaking the Deadly Triad in Reinforcement Learning                     |            |
| RL team, DeepMind, hosted by Dr. Hado van Hasselt                       | Sep $2021$ |
| Off-Policy Evaluation   |            |
| Data Fest 2020, Open Data Science                                       | Oct 2020   |
| Off-Policy Evaluation and Control                                       |            |
| ByteDance AI Lab, Shanghai  | Oct 2020   |
| Coding Deep RL Papers   |            |
| NIPS MLTrain Workshop, Long Beach                                       | Dec 2019   |
| Off-Policy Actor-Critic Algorithms                                      |            |
| Latent Logic LTD, Oxford  | Apr 2019   |

## **TEACHING**

| University of Virginia                    |             |
|---|-------------|
| CS 4501: Reinforcement Learning           | Fall 2024   |
| CS 6316: Machine Learning                 | Spring 2024 |
| CS 4501: Optimization                     | Fall 2023   |
| CS 6501: Topics in Reinforcement Learning | Fall 2022   |

## OPEN SOURCE CODE

# GitHub Repo: PyTorch Deep RL

A zoo of popular deep RL algorithms in PyTorch with 3k stars.

# GitHub Repo: Reinforcement Learning: An Introduction

Python implementation of the book Reinforcement Learning: An Introduction with 13.8k stars.

## Google Summer of Code (GSoC)

| MLPack             | ` | , | 2017 |
|--------------------|---|---|------|
| The Xapian Project |   |   | 2014 |