

COMP1942 Exploring and Visualizing Data (Spring Semester 2016)

Final Examination (Answer Sheet)

Date: 26 May, 2016 (Thu)

Time: 16:30-19:30

Duration: 3 hours

Student ID: _____

Student Name: _____

Seat No. : _____

Instructions:

- (1) Please answer **all** questions in **Part A** in this paper.
- (2) You can **optionally** answer the bonus question in **Part B** in this paper. You can obtain additional marks for the bonus question if you answer it correctly.
- (3) The total marks in Part A are 200.
- (4) The total marks in Part B are 20.
- (5) The total marks you can obtain in this exam are 200 only.
If you answer the bonus question in Part B correctly, you can obtain additional marks.
But, if the total marks you obtain from Part A and Part B are over 200, your marks will be truncated to 100 only.
- (6) You can use a calculator.

Answer Sheet

Part	Question	Full Mark	Mark
A	Q1	20	
	Q2	20	
	Q3	20	
	Q4	20	
	Q5	20	
	Q6	20	
	Q7	20	
	Q8	20	
	Q9	20	
	Q10	20	
Total (Part A)		200	
B	Q11 (OPTIONAL)	20	
Total (Parts A and B)		200	

Part A (Compulsory Short Questions)

Q1 (20 Marks)

(a)

a

a, b

a, b

a, b

a, c

a, b, c

a, b, c

b

b

b

b, c

(b) (i)

Yes. This is because L_2 is exactly equal to the set of itemsets in C_2 which frequency is at least a given support threshold.

(ii)

No. Suppose that $L_1 = \{\{A\}, \{B\}\}$. Then, $C_2 = \{\{A, B\}\}$. In this case, the number of itemsets in C_2 is smaller than the number of itemsets in L_1 .

Q2 (20 Marks)

	1	2	3	4	5	6	7
1	0						
2	10	0					
3	7	7	0				
4	30	23	21	0			
5	29	25	22	7	0		
6	38	34	31	10	11	0	
7	42	36	36	13	17	9	0

$$D(1, *) = 26.0$$

$$D(2, *) = 22.5$$

$$D(3, *) = 20.7$$

$$D(4, *) = 17.3$$

$$D(5, *) = 18.5$$

$$D(6, *) = 22.2$$

$$D(7, *) = 25.5$$

$$A = \{1 \quad \}$$

$$B = \{2, 3, 4, 5, 6, 7\}$$

Q2 (Continued)

	1	2	3	4	5	6	7			
1	0							$D(2, A) = 10$	$D(2, B) = 25.0$	$\Delta_2 = 15.0$
2	10	0						$D(3, A) = 7$	$D(3, B) = 23.4$	$\Delta_3 = 16.4$
3	7	7	0					$D(4, A) = 30$	$D(4, B) = 14.8$	$\Delta_4 = -15.2$
4	30	23	21	0				$D(5, A) = 29$	$D(5, B) = 16.4$	$\Delta_5 = -12.6$
5	29	25	22	7	0			$D(6, A) = 38$	$D(6, B) = 19.0$	$\Delta_6 = -19.0$
6	38	34	31	10	11	0				
7	42	36	36	13	17	9	0			
								$D(7, A) = 42$	$D(7, B) = 22.2$	$\Delta_7 = -19.8$
								$A = \{1, 3\}$		
								$B = \{2, 4, 5, 6, 7\}$		

	1	2	3	4	5	6	7			
1	0							$D(2, A) = 8.5$	$D(2, B) = 29.5$	$\Delta_2 = 21.0$
2	10	0						$D(4, A) = 25.5$	$D(4, B) = 13.2$	$\Delta_4 = -12.3$
3	7	7	0					$D(5, A) = 25.5$	$D(5, B) = 15.0$	$\Delta_5 = -10.5$
4	30	23	21	0				$D(6, A) = 34.5$	$D(6, B) = 16.0$	$\Delta_6 = -18.5$
5	29	25	22	7	0			$D(7, A) = 39.0$	$D(7, B) = 18.6$	$\Delta_7 = -20.4$
6	38	34	31	10	11	0				
7	42	36	36	13	17	9	0			
								$A = \{1, 3, 2\}$		
								$B = \{4, 5, 6, 7\}$		

Q2 (Continued)

	1	2	3	4	5	6	7			
1	0							$D(4, A) = 24.7$	$D(4, B) = 10.0$	$\Delta_4 = -14.7$
2	10	0								
3	7	7	0					$D(5, A) = 25.3$	$D(5, B) = 11.7$	$\Delta_5 = -13.6$
4	30	23	21	0				$D(6, A) = 34.3$	$D(6, B) = 10.0$	$\Delta_6 = -24.3$
5	29	25	22	7	0					
6	38	34	31	10	11	0		$D(7, A) = 38.0$	$D(7, B) = 13.0$	$\Delta_7 = -25.0$
7	42	36	36	13	17	9	0			

$A = \{1, 3, 2\}$

$B = \{4, 5, 6, 7\}$

Q2 (Continued)

Q3 (20 Marks)

(a)

Make initial guesses of the means m_1, m_2, \dots, m_k Set the counts n_1, n_2, \dots, n_k to zero

Until interrupted

Acquire the next example x If m_i is closest to x Increment n_i Replace m_i by $m_i + 1/n_i (x - m_i)$

(b)

 x_j : the j -th example in cluster i $m_i(t)$: the mean vector of cluster i containing t examplesConsider that x is the t -th example in cluster i

$$\begin{aligned}
 m_i(t-1) &= \frac{x_1 + x_2 + \dots + x_{t-1}}{t-1} \\
 m_i(t) &= \frac{x_1 + x_2 + \dots + x_{t-1} + x_t}{t} \\
 &= \frac{m_i(t-1) \times (t-1) + x_t}{t} \\
 &= \frac{t \times m_i(t-1) + x_t - m_i(t-1)}{t} \\
 &= m_i(t-1) + \frac{1}{t}(x_t - m_i(t-1))
 \end{aligned}$$

Q4 (20 Marks)

$$\begin{aligned}
 (a) P(LC=Yes) &= \sum_{x \in \{Yes, No\}} \sum_{y \in \{Yes, No\}} P(LC = Yes | FH = x, S = y) P(FH = x, S = y) \\
 &= 0.7 \times 0.3 \times 0.6 + 0.45 \times 0.3 \times 0.4 + 0.55 \times 0.7 \times 0.6 + 0.2 \times 0.7 \times 0.4 \\
 &= 0.467
 \end{aligned}$$

$$\begin{aligned}
 &P(LC = Yes | FH = Yes, Smoker = No, PR = Yes) \\
 &= \frac{P(PR = Yes | FH = Yes, Smoker = No, LC = Yes)}{P(PR = Yes | FH = Yes, Smoker = No)} P(LC = Yes | FH = Yes, Smoker = No) \\
 &= \frac{P(PR = Yes | LC = Yes) \times P(LC = Yes | FH = Yes, Smoker = No)}{\sum_{x \in \{Yes, No\}} P(PR = Yes | LC = x) P(LC = x | FH = Yes, Smoker = No)} \\
 &= \frac{0.85 \times 0.45}{0.85 \times 0.45 + 0.45 \times 0.55} \\
 &= 0.607143 \\
 &P(LC = No | FH = Yes, Smoker = No, PR = Yes) = 1 - 0.607143 = 0.392857
 \end{aligned}$$

$\therefore P(LC = Yes | FH = Yes, Smoker = No, PR = Yes) > P(LC = No | FH = Yes, Smoker = No, PR = Yes) \therefore$ It is more likely that the person is likely to have Lung Cancer.

Q4 (Continued)

(b) Disadvantages:

The Bayesian Belief network classifier requires a predefined knowledge about the network.

The Bayesian Belief Network classifier cannot work directly when the network contains cycles.

Q5 (20 Marks)

(a)

Classifier 1: No

Classifier 2: Yes

Classifier 3: Yes

The overall predicted results is “Yes” (since the majority of the results is “Yes”).

(b)

The target attribute of this new record is “Yes”.

The 3 nearest neighbors are 8, 9 and 13.

(c)

No.

$$P(\text{Insurance} = \text{Yes}) = 1/2$$

$$P(\text{Insurance} = \text{No}) = 1/2$$

$$P(\text{Insurance} = \text{Yes} \mid A = 0) = 3/4$$

$$P(\text{Insurance} = \text{No} \mid A = 0) = 1/4$$

$$P(\text{Insurance} = \text{Yes} \mid A = 1) = 3/4$$

$$P(\text{Insurance} = \text{No} \mid A = 1) = 1/4$$

$$P(A = 0) = 1/2$$

$$P(A = 1) = 1/2$$

$$P(\text{Insurance} = \text{Yes} \mid B = 0) = 1$$

$$P(\text{Insurance} = \text{No} \mid B = 0) = 0$$

$$P(\text{Insurance} = \text{Yes} \mid B = 1) = 1/3$$

$$P(\text{Insurance} = \text{No} \mid B = 1) = 2/3$$

$$P(B = 0) = 1/8$$

$$P(B = 1) = 7/8$$

Q5 (Continued)

Consider ID3.

$$\text{Info}(T) = -1/2 \log 1/2 - 1/2 \log 1/2 = 1$$

Consider attribute A.

$$\text{Info}(T_0) = -3/4 \log 3/4 - 1/4 \log 1/4 = 0.8113$$

$$\text{Info}(T_1) = -3/4 \log 3/4 - 1/4 \log 1/4 = 0.8113$$

$$\text{Info}(A, T) = 1/2 \text{Info}(T_0) + 1/2 \text{Info}(T_1) = 0.8113$$

$$\text{Gain}(A, T) = \text{Info}(T) - \text{Info}(A, T) = 1 - 0.8113 = 0.1887$$

Consider attribute B.

$$\text{Info}(T_0) = -1 \log 1 - 0 \log 0 = 0$$

$$\text{Info}(T_1) = -1/3 \log 1/3 - 2/3 \log 2/3 = 0.9183$$

$$\text{Info}(B, T) = 1/8 \text{Info}(T_0) + 7/8 \text{Info}(T_1) = 0.8035$$

$$\text{Gain}(b, T) = \text{Info}(T) - \text{Info}(B, T) = 1 - 0.8035 = 0.1965$$

Here, $\text{Gain}(A, T) < \text{Gain}(B, T)$

Under ID3, $\text{Imp-ID3}(A) = \text{Gain}(A, T)$

and $\text{Imp-ID3}(B) = \text{Gain}(B, T)$.

Thus, we have " $\text{Imp-ID3}(A) < \text{Imp-ID3}(B)$ ".

Consider CART.

$$\text{Info}(T) = 1 - (1/2)^2 - (1/2)^2 = 1/2$$

Consider attribute A.

$$\text{Info}(T_0) = 1 - (3/4)^2 - (1/4)^2 = 0.375$$

$$\text{Info}(T_1) = 1 - (3/4)^2 - (1/4)^2 = 0.375$$

$$\text{Info}(A, T) = 1/2 \text{Info}(T_0) + 1/2 \text{Info}(T_1) = 0.375$$

$$\text{Gain}(A, T) = \text{Info}(T) - \text{Info}(A, T) = 1/2 - 0.375 = 0.125$$

Consider attribute B.

$$\text{Info}(T_0) = 1 - 1^2 - 0^2 = 0$$

$$\text{Info}(T_1) = 1 - (1/3)^2 - (2/3)^2 = 0.444$$

$$\text{Info}(B, T) = 1/8 \text{Info}(T_0) + 7/8 \text{Info}(T_1) = 0.3885$$

$$\text{Gain}(B, T) = \text{Info}(T) - \text{Info}(B, T) = 1/2 - 0.3885 = 0.1115$$

Here, $\text{Gain}(A, T) > \text{Gain}(B, T)$.

Under CART, $\text{Imp-CART}(A) = \text{Gain}(A, T)$

and $\text{Imp-CART}(B) = \text{Gain}(B, T)$.

Thus, we have " $\text{Imp-CART}(A) > \text{Imp-CART}(B)$ ".

In conclusion, it is possible that

" $\text{Imp-CART}(A) > \text{Imp-CART}(B)$ " but " $\text{Imp-ID3}(A) < \text{Imp-ID3}(B)$ ".

Q5 (Continued)

Q6 (20 Marks)

(a)

We can transform the data into a higher dimensional space using a "nonlinear" mapping. Then, we can use the neural network containing only one neuron in this high-dimensional space for classification.

(b)

Cluster 1: {1, 2, 4, 5, 6}

Cluster 2: {3, 7, 8, 9, 10}

Q7 (20 Marks)

(a)

$$\text{accuracy} = 0.857 \quad (= (7+17)/28)$$

(b)

$$\text{recall} = 0.875 \quad (= 7/8)$$

(c)

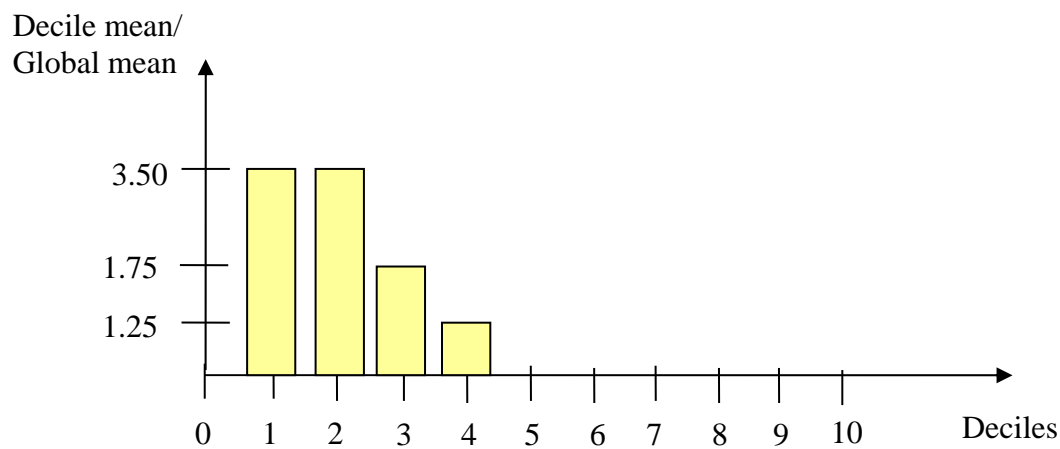
$$\text{f-measure} = 0.778 \quad (= 2 \times 0.7 \times 0.875 / (0.7 + 0.875))$$

(d)

no. of false negatives = 1

(e)

Decile-wise Lift Chart:



Q8 (20 Marks)

$$\text{mean vector} = \begin{pmatrix} \frac{6+8+5+9}{4} \\ \frac{6+8+9+5}{4} \end{pmatrix} = \begin{pmatrix} 7 \\ 7 \end{pmatrix}$$

$$\text{For data (6, 6), difference from mean vector} = \begin{pmatrix} 6-7 \\ 6-7 \end{pmatrix} = \begin{pmatrix} -1 \\ -1 \end{pmatrix}$$

$$\text{For data (8, 8), difference from mean vector} = \begin{pmatrix} 8-7 \\ 8-7 \end{pmatrix} = \begin{pmatrix} 1 \\ 1 \end{pmatrix}$$

$$\text{For data (5, 9), difference from mean vector} = \begin{pmatrix} 5-7 \\ 9-7 \end{pmatrix} = \begin{pmatrix} -2 \\ 2 \end{pmatrix}$$

$$\text{For data (9, 5), difference from mean vector} = \begin{pmatrix} 9-7 \\ 5-7 \end{pmatrix} = \begin{pmatrix} 2 \\ -2 \end{pmatrix}$$

$$Y = \begin{pmatrix} -1 & 1 & -2 & 2 \\ -1 & 1 & 2 & -2 \end{pmatrix}$$

$$\Sigma = \frac{1}{4}YY^T = \frac{1}{4} \begin{pmatrix} -1 & 1 & -2 & 2 \\ -1 & 1 & 2 & -2 \end{pmatrix} \begin{pmatrix} -1 & -1 \\ 1 & 1 \\ -2 & 2 \\ 2 & -2 \end{pmatrix}$$

$$= \frac{1}{4} \begin{pmatrix} 10 & -6 \\ -6 & 10 \end{pmatrix}$$

$$= \begin{pmatrix} \frac{5}{2} & -\frac{3}{2} \\ -\frac{3}{2} & \frac{5}{2} \end{pmatrix}$$

$$\begin{vmatrix} \frac{5}{2} - \lambda & -\frac{3}{2} \\ -\frac{3}{2} & \frac{5}{2} - \lambda \end{vmatrix} = 0 \implies \left(\frac{5}{2} - \lambda\right)^2 - \left(-\frac{3}{2}\right)^2 = 0 \implies \lambda = 4 \text{ or } \lambda = 1$$

when $\lambda = 4$,

$$\begin{pmatrix} \frac{5}{2} - 4 & -\frac{3}{2} \\ -\frac{3}{2} & \frac{5}{2} - 4 \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \end{pmatrix} \implies \begin{pmatrix} -\frac{3}{2} & -\frac{3}{2} \\ -\frac{3}{2} & -\frac{3}{2} \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \end{pmatrix} \implies \begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \end{pmatrix} \implies x_1 + x_2 = 0$$

Q8 (Continued)

We choose the eigenvector of unit length: $\begin{pmatrix} x_1 \\ x_2 \end{pmatrix} = \begin{pmatrix} \frac{\sqrt{2}}{2} \\ -\frac{\sqrt{2}}{2} \end{pmatrix}$.

When $\lambda = 1$,

$$\begin{pmatrix} \frac{5}{2}-1 & -\frac{3}{2} \\ -\frac{3}{2} & \frac{5}{2}-1 \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \end{pmatrix} \Rightarrow \begin{pmatrix} \frac{3}{2} & -\frac{3}{2} \\ -\frac{3}{2} & \frac{3}{2} \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \end{pmatrix} \Rightarrow \begin{pmatrix} 1 & -1 \\ -1 & 1 \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \end{pmatrix} \Rightarrow x_1 - x_2 = 0$$

We choose the eigenvector of unit length: $\begin{pmatrix} x_1 \\ x_2 \end{pmatrix} = \begin{pmatrix} \frac{\sqrt{2}}{2} \\ \frac{\sqrt{2}}{2} \end{pmatrix}$.

$$\text{Thus, } \Phi = \begin{pmatrix} \frac{\sqrt{2}}{2} & \frac{\sqrt{2}}{2} \\ -\frac{\sqrt{2}}{2} & \frac{\sqrt{2}}{2} \end{pmatrix}, Y = \Phi^T X = \begin{pmatrix} \frac{\sqrt{2}}{2} & -\frac{\sqrt{2}}{2} \\ \frac{\sqrt{2}}{2} & \frac{\sqrt{2}}{2} \end{pmatrix} X.$$

$$\text{For data (6, 6), } Y = \begin{pmatrix} \frac{\sqrt{2}}{2} & -\frac{\sqrt{2}}{2} \\ \frac{\sqrt{2}}{2} & \frac{\sqrt{2}}{2} \end{pmatrix} \begin{pmatrix} 6 \\ 6 \end{pmatrix} = \begin{pmatrix} 0 \\ 8.49 \end{pmatrix}$$

$$\text{For data (8, 8), } Y = \begin{pmatrix} \frac{\sqrt{2}}{2} & -\frac{\sqrt{2}}{2} \\ \frac{\sqrt{2}}{2} & \frac{\sqrt{2}}{2} \end{pmatrix} \begin{pmatrix} 8 \\ 8 \end{pmatrix} = \begin{pmatrix} 0 \\ 11.31 \end{pmatrix}$$

$$\text{For data (5, 9), } Y = \begin{pmatrix} \frac{\sqrt{2}}{2} & -\frac{\sqrt{2}}{2} \\ \frac{\sqrt{2}}{2} & \frac{\sqrt{2}}{2} \end{pmatrix} \begin{pmatrix} 5 \\ 9 \end{pmatrix} = \begin{pmatrix} -2.83 \\ 9.90 \end{pmatrix}$$

$$\text{For data (9, 5), } Y = \begin{pmatrix} \frac{\sqrt{2}}{2} & -\frac{\sqrt{2}}{2} \\ \frac{\sqrt{2}}{2} & \frac{\sqrt{2}}{2} \end{pmatrix} \begin{pmatrix} 9 \\ 5 \end{pmatrix} = \begin{pmatrix} 2.83 \\ 9.90 \end{pmatrix}$$

The mean vector of the above transformed data points is $\begin{pmatrix} \frac{0+0+(-2.83)+2.83}{4} \\ \frac{8.49+11.31+9.90+9.90}{4} \end{pmatrix} = \begin{pmatrix} 0 \\ 9.90 \end{pmatrix}$

The final transformed data points are:

Q8 (Continued)

$$\text{For data (6, 6), final transformed vector} = \begin{pmatrix} 0 - 0 \\ 8.49 - 9.90 \end{pmatrix} = \begin{pmatrix} 0 \\ -1.41 \end{pmatrix}$$

$$\text{For data (8, 8), final transformed vector} = \begin{pmatrix} 0 - 0 \\ 11.31 - 9.90 \end{pmatrix} = \begin{pmatrix} 0 \\ 1.41 \end{pmatrix}$$

$$\text{For data (5, 9), final transformed vector} = \begin{pmatrix} -2.83 - 0 \\ 9.90 - 9.90 \end{pmatrix} = \begin{pmatrix} -2.83 \\ 0 \end{pmatrix}$$

$$\text{For data (9, 5), final transformed vector} = \begin{pmatrix} 2.83 - 0 \\ 9.90 - 9.90 \end{pmatrix} = \begin{pmatrix} 2.83 \\ 0 \end{pmatrix}$$

Thus, (6, 6) is reduced to (0);
 (8, 8) is reduced to (0);
 (5, 9) is reduced to (-2.83);
 (9, 5) is reduced to (2.83).

(Note: Another possible answer is

(6, 6) is reduced to (0);
 (8, 8) is reduced to (0);
 (5, 9) is reduced to (2.83);
 (9, 5) is reduced to (-2.83).

This is because the eigenvectors used in this case are:

$$\begin{pmatrix} x_1 \\ x_2 \end{pmatrix} = \begin{pmatrix} -\frac{\sqrt{2}}{2} \\ \frac{\sqrt{2}}{2} \end{pmatrix} \quad \text{and} \quad \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} = \begin{pmatrix} \frac{\sqrt{2}}{2} \\ \frac{\sqrt{2}}{2} \end{pmatrix}.$$

Q8 (Continued)

Q8 (Continued)

Q9 (20 Marks)

(a) (i)

Yes.

For each part p , we count the total number of records in the answer of Q3 and insert a record (p, C) into the answer of Q4.

(ii)

No

We need one additional kind of information, the answer of Q3, in addition to the answer of Q5. The total access cost is 2GB only (the minimum access cost).

For each part p , we do the following.

- we initialize the SUM variable to be 0.

- we initialize the TOTAL variable to be 0.

- For each combination of part and customer c where the part is equal to p ,

 - we obtain the average price A for (c, p) from the answer of Q5

 - and the total number of records in T for (c, p) from the answer of Q3.

 - we increment SUM by $A \times C$.

 - we increment TOTAL by C .

- we construct a record (p, AVG) where $AVG = SUM/TOTAL$

- we insert this record into the answer of Q6.

Q9 (Continued)

(b) (i)

We want to transform the objective function from a non-linear form to a quadratic form. Then, the problem becomes a form of quadratic programming which has many existing efficient techniques for that.

(ii)

200

Q10 (20 Marks)

(a)

Yes.

$$\begin{array}{c} a \\ b \\ c \\ d \end{array} \begin{pmatrix} a & b & c & d \\ 0 & 0.5 & 0.33 & 0.25 \\ 0.5 & 0.5 & 0 & 0.25 \\ 0 & 0 & 0.33 & 0.25 \\ 0.5 & 0 & 0.33 & 0.25 \end{pmatrix}$$

(b) (i)

W1, W2, W6, W7, W8

(ii)

W1, W2, W3, W6, W7, W8, W9

Part B (Bonus Question)

Note: The following bonus question is an **OPTIONAL** question. You can decide whether you will answer it or not.

Q11 (20 Additional Marks)

Since the memory is divided into four equal parts, each part occupies $4096/4 = 1024$ bytes.

Consider a single part.

This part stores a summary X which contains two components.

The second component $X.p$ occupies 4 bytes.

Thus, the first component $X.E$ occupies $1024-4=1020$ bytes.

Since each entry occupies 12 bytes, the first component $X.E$ can store $1020/12 = 85$ entries.

The greatest error in any estimated frequency (in fraction) within this summary X is equal to $1/85 = 0.011765$.

Consider 4 parts together.

The greatest error in any estimated frequency (in count) is equal to $1/85 \times 4B = 4B/85$.

The greatest error in any estimated frequency (in fraction) is equal to $4B/85 \times 1/4B = 1/85 = 0.011765$.

Q11 (Continued)

End of Answer Sheet