

## COMP1942 Exploring and Visualizing Data (Spring Semester 2013)

## Midterm Examination (Question Paper)

Date: 22 March, 2013 (Fri)

Time: 9:00-10:15

Duration: 1 hour 15 minutes

Student ID: \_\_\_\_\_

Student Name: \_\_\_\_\_

Seat No. : \_\_\_\_\_

## Instructions:

- (1) Please answer **all** questions in **Part A** and **Part B** in this paper.
- (2) You can **optionally** answer the bonus question in **Part C** in this paper. You can obtain additional marks for the bonus question if you answer it correctly.
- (3) The total marks in Part A and part B are 100.
- (4) The total marks in Part C are 10.
- (5) The total marks you can obtain in this exam are 100 only.  
If you answer the bonus question in Part C correctly, you can obtain additional marks.  
But, if the total marks you obtain from Part A, Part B and Part C are over 100, your marks will be truncated to 100 only.
- (6) You can use a calculator.

# Answer Sheet

Part	Question	Full Mark	Mark
A	Q1	20	
	Q2	20	
	Q3	20	
	Q4	20	
B	Q5-Q8	20	
Total (Parts A and B)		100	
C	Q9 (OPTIONAL)	10	
Total (Parts A, B and C)		100	

## Part A (Compulsory Short Questions)

### Q1 (20 Marks)

(a) (i)

$$\text{support} = 3$$

(ii)

$$\begin{aligned}\text{confidence} &= 3/4 \\ &= 0.75\end{aligned}$$

(iii)

$$\begin{aligned}\text{Lift ratio} &= (3/4)/(3/5) \\ &= 5/4 \\ &= 1.25\end{aligned}$$

(iv)(1)

$$C_2 = \{ \{P, R\}, \{P, S\}, \{P, T\}, \{R, S\}, \{R, T\}, \{S, T\} \}$$

(2)

$$C_3 = \{ \{P, R, T\} \}$$

**Q1 (Continued)**

(b)

a

a, b

a, b

a, b

a, c

a, b, c

a, b, c

b

b

b

b, c

**Q2 (20 Marks)**

(a)

Consider the correlation between A and B.

<b>B\A</b>	<b>1</b>	<b>0</b>
<b>1</b>	2	0
<b>0</b>	1	1

$$X_{AB}^2 = 1.33$$

Consider the correlation between A and C.

<b>C\A</b>	<b>1</b>	<b>0</b>
<b>1</b>	1	1
<b>0</b>	2	0

$$X_{AC}^2 = 1.33$$

Consider the correlation between B and C.

<b>C\B</b>	<b>1</b>	<b>0</b>
<b>1</b>	0	2
<b>0</b>	2	0

$$X_{BC}^2 = 4$$

For attribute A,

$$X_{AB}^2 + X_{AC}^2 = 1.33 + 1.33 = 2.66$$

For attribute B,

$$X_{AB}^2 + X_{BC}^2 = 1.33 + 4 = 5.33$$

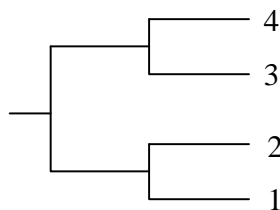
For attribute C,

$$X_{AC}^2 + X_{BC}^2 = 1.33 + 4 = 5.33$$

We choose attribute B for splitting since it has the largest value.

We divide the data into two groups, namely {1, 2} and {3, 4}.

Dendrogram:



**Q2 (Continued)**

(b)

No. This is because we do not know the distance between cluster (a, b) and cluster (c d) and the distance between (a b) and e.

**Q3 (20 Marks)**

(a) (i)

Make initial guesses of the means  $m_1, m_2, \dots, m_k$ Set the counts  $n_1, n_2, \dots, n_k$  to zero

Until interrupted

Acquire the next example  $x$ If  $m_i$  is closest to  $x$ Increment  $n_i$ Replace  $m_i$  by  $m_i + 1/n_i (x - m_i)$ 

(ii)

 $x_j$ : the  $j$ -th example in cluster  $i$  $m_i(t)$ : the mean vector of cluster  $i$  containing  $t$  examplesConsider that  $x$  is the  $t$ -th example in cluster  $i$ 

$$\begin{aligned}
 m_i(t-1) &= \frac{x_1 + x_2 + \dots + x_{t-1}}{t-1} \\
 m_i(t) &= \frac{x_1 + x_2 + \dots + x_{t-1} + x_t}{t} \\
 &= \frac{m_i(t-1) \times (t-1) + x_t}{t} \\
 &= \frac{t \times m_i(t-1) + x_t - m_i(t-1)}{t} \\
 &= m_i(t-1) + \frac{1}{t}(x_t - m_i(t-1))
 \end{aligned}$$

(b)

Class	# Cases	# Errors	% Error
Yes	5	3	60.00
No	7	2	28.57
Overall	12	5	41.67

**Q4 (20 Marks)**

(a)

$$\text{Info}(T) = 1 - 0.5^2 - 0.5^2 = 0.5$$

For attribute Income,

$$\text{Info}(T_{\text{high}}) = 1 - 1^2 - 0^2 = 0$$

$$\text{Info}(T_{\text{medium}}) = 1 - \left(\frac{1}{5}\right)^2 - \left(\frac{4}{5}\right)^2 = 0.32$$

$$\text{Info}(\text{Income}, T) = \frac{3}{8} \text{Info}(T_{\text{high}}) + \frac{5}{8} \text{Info}(T_{\text{medium}}) = 0.2$$

$$\text{Gain}(\text{Income}, T) = \text{Info}(T) - \text{Info}(\text{Income}, T) = 0.3$$

For attribute Age,

$$\text{Info}(T_{\text{young}}) = 1 - 0.5^2 - 0.5^2 = 0.5$$

$$\text{Info}(T_{\text{old}}) = 1 - 0.5^2 - 0.5^2 = 0.5$$

$$\text{Info}(\text{Age}, T) = \frac{1}{2} \text{Info}(T_{\text{young}}) + \frac{1}{2} \text{Info}(T_{\text{old}}) = 0.5$$

$$\text{Gain}(\text{Age}, T) = \text{Info}(T) - \text{Info}(\text{Age}, T) = 0$$

For attribute Have\_iPhone,

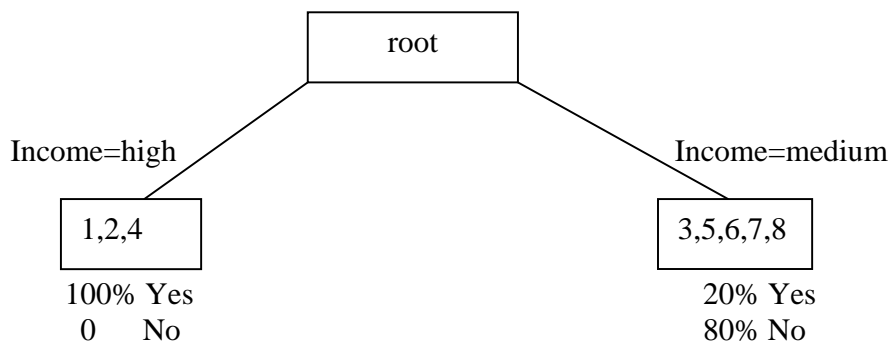
$$\text{Info}(T_{\text{yes}}) = 1 - 1^2 - 0^2 = 0$$

$$\text{Info}(T_{\text{no}}) = 1 - \left(\frac{1}{3}\right)^2 - \left(\frac{2}{3}\right)^2 = 0.4444$$

$$\text{Info}(\text{Have\_iPhone}, T) = \frac{1}{4} \text{Info}(T_{\text{yes}}) + \frac{3}{4} \text{Info}(T_{\text{no}}) = 0.3333$$

$$\text{Gain}(\text{Have\_iPhone}, T) = \text{Info}(T) - \text{Info}(\text{Have\_iPhone}, T) = 0.1667$$

We choose attribute Income for Splitting:



Consider the node for “Income=medium”

$$\text{Info}(T) = 1 - \left(\frac{1}{5}\right)^2 - \left(\frac{4}{5}\right)^2 = 0.32$$

**Q4 (Continued)**

For attribute Age,

$$\text{Info}(T_{\text{young}}) = 1 - \left(\frac{1}{3}\right)^2 - \left(\frac{2}{3}\right)^2 = 0.4444$$

$$\text{Info}(T_{\text{old}}) = 1 - 1^2 - 0^2 = 0$$

$$\text{Info}(\text{Age}, T) = \frac{3}{5} \text{Info}(T_{\text{young}}) + \frac{2}{5} \text{Info}(T_{\text{old}}) = 0.26664$$

$$\text{Gain}(\text{Age}, T) = \text{Info}(T) - \text{Info}(\text{Age}, T) = 0.05336$$

For attribute Have\_iPhone,

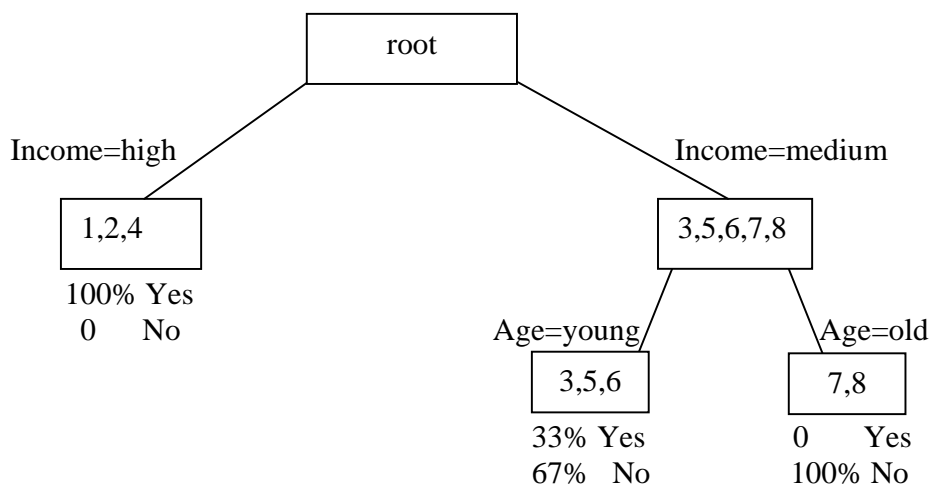
$$\text{Info}(T_{\text{yes}}) = \text{undefined}$$

$$\text{Info}(T_{\text{no}}) = 1 - \left(\frac{1}{5}\right)^2 - \left(\frac{4}{5}\right)^2 = 0.32$$

$$\text{Info}(\text{Have\_iPhone}, T) = 0 \times \text{Info}(T_{\text{yes}}) + 1 \times \text{Info}(T_{\text{no}}) = 0.32$$

$$\text{Gain}(\text{Have\_iPhone}, T) = \text{Info}(T) - \text{Info}(\text{Have\_iPhone}, T) = 0$$

We choose attribute Age for Splitting:





**Q4 (Continued)**

(b) It is likely that he will not buy an iPad.

## Part B (Compulsory Multiple-Choice (MC) Questions)

**Note:** For each question in this part, you just need to write down one of the five possible choices (i.e., A, B, C, D or E). The total scores in this part are 20 scores. Each question in this part weighs 5 scores.

Question	Answer
Q5	X
Q6	X
Q7	X
Q8	X

## Part C (Bonus Question)

**Note:** The following bonus question is an **OPTIONAL** question. You can decide whether you will answer it or not.

### Q9 (10 Additional Marks)

(a)

Yes. It can be adapted.

First of all, we obtain the 2-sequence by scanning the database.

(NOTE: The 2-sequence contains two kinds of sequences – (1) the sequence contains only one *timestamp entry* (e.g.  $\langle \{D, E\} \rangle$ ) and (2) the sequence contains two or more timestamp entries (e.g.  $\langle \{D\}, \{E\} \rangle$ ).

The Apriori-like algorithm is described as follows.

1.  $k=2$
2. Find all frequent 2-sequences and store them in  $L_k$
3. repeat
4.  $k=k+1$
5. Generate candidate  $k$ -sequences from  $L_{k-1}$  (which will be described later) and store them in  $C_k$
6. Scan the database and count the support of each candidate in  $C_k$
7. Find the  $k$ -sequence in  $C_k$  with support  $\geq$  minsupport and store them in  $L_k$
8. until  $L_k = \text{empty set}$
9. return  $L_i$  for  $i=2, \dots, k$

e.g.

We obtain the following 2-sequences.

$\{\langle \{A\}, \{D\} \rangle, \langle \{A\}, \{E\} \rangle, \langle \{A\}, \{G\} \rangle, \langle \{D, E\} \rangle\}$

Next, we generate the candidate 3-sequences by the join-and-prune process.

The *join* step of the generation process is described as follows.

A sequence  $s^{(1)}$  is joined with another sequence  $s^{(2)}$  only if the subsequence obtained by dropping the first item in  $s^{(1)}$  is identical to the subsequence obtained by dropping the last item in  $s^{(2)}$ . The resulting candidate is the sequence  $s^{(1)}$ , concatenated with the last item from  $s^{(2)}$ . The last item from  $s^{(2)}$  can either be joined into the same timestamp element as the last item in  $s^{(1)}$  or different timestamp elements depending on the following conditions.

1. If the last two items in  $s^{(2)}$  belong to the same timestamp element, then the last item in  $s^{(2)}$  is part of the last timestamp element in  $s^{(1)}$  in the joined sequence. (e.g. Suppose we have frequent sequences  $\langle \{A\}, \{B\}, \{C\} \rangle$  and  $\langle \{B\}, \{C, D\} \rangle$  in  $L_{k-1}$ . Candidate  $\langle \{A\}, \{B\}, \{C, D\} \rangle$  is obtained by joining  $\langle \{A\}, \{B\}, \{C\} \rangle$  and  $\langle \{B\}, \{C, D\} \rangle$ ).
2. If the last two items in  $s^{(2)}$  belong to different timestamp elements, then the last item in  $s^{(2)}$  becomes a separate timestamp element appended to the end of  $s^{(1)}$  in the joined sequence. (e.g. Suppose we have frequent sequences  $\langle \{A\}, \{B\}, \{C\} \rangle$  and  $\langle \{B\}, \{C\}, \{D\} \rangle$  in  $L_{k-1}$ . Candidate  $\langle \{A\}, \{B\}, \{C\}, \{D\} \rangle$  is obtained by joining  $\langle \{A\}, \{B\}, \{C\} \rangle$  and  $\langle \{B\}, \{C\}, \{D\} \rangle$ ).

e.g. In the running example, we obtain one candidate 3-sequence  $\langle \{A\}, \{D, E\} \rangle$  (by joining  $\langle \{A\}, \{D\} \rangle$  and  $\langle \{D, E\} \rangle$ ) after the join step.

**Q9 (Continued)**

The *prune* step of the generation process is described as follows.

A candidate  $k$ -sequence is pruned if at least one of its  $(k-1)$ -sequence is infrequent.

For example,  $\langle \{A\}, \{D,E\} \rangle$  is a candidate 3-sequence. We need to check whether  $\langle \{A\}, \{E\} \rangle$  is a frequent 2-sequence (NOTE: We do not need to check whether  $\langle \{A\}, \{D\} \rangle$  and  $\langle \{D, E\} \rangle$  are frequent 2-sequence because  $\langle \{A\}, \{D,E\} \rangle$  was generated from these two frequent sequences). Since  $\langle \{A\}, \{E\} \rangle$  is frequent,  $\langle \{A\}, \{D,E\} \rangle$  is also considered as a candidate 3-sequence after the prune step.

Then, we do the *counting* step to count the support of each candidate in the set.

As the support of  $\langle \{A\}, \{D,E\} \rangle$  is 2, then it is one of the final results.

We repeat the process until  $L_k$  is an empty set.

In our running example, all sequences with support at least 2 are  $\{\langle \{A\}, \{D,E\} \rangle\}$

(b)

No. It cannot be adapted.

This is because the Apriori property cannot be satisfied.

Consider the following example containing three sequences for three customers.

$\langle \{A\}, \{B\}, \{B\}, \{C\} \rangle$

$\langle \{A\}, \{B\} \rangle$

$\langle \{A\}, \{B\} \rangle$

The support of a 2-sequence  $\langle \{A\}, \{B\} \rangle$  is equal to  $4/3 = 1.33$ .

The support of a 3-sequence  $\langle \{A\}, \{B\}, \{C\} \rangle$  is equal to  $2/1 = 2$ .

Since the 3-sequence  $\langle \{A\}, \{B\}, \{C\} \rangle$  can be derived from 2-sequence  $\langle \{A\}, \{B\} \rangle$  by appending one element at the end (with a new timestamp), and the support of this 3-sequence is larger than the support of this 2-sequence, the Apriori property cannot be satisfied.

**Q9 (Continued)**

**End of Answer Sheet**