

Batch Name : PreCAT OM19 & OM21  
Module Name : Operating System Concepts

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- CCAT - Section-B, 9 questions are expected
- 95%
- No Programming/No Practicals
- All questions are purely concept/theory based
- No numericals
- OS Concepts – By Galvin

#### # OS DAY-01:

Q. Why there is a need of an OS?

Q. What is a Computer?

- Computer is a machine/hardware/digital device used to do diff tasks efficiently and accurately for user.

- basic functions of computer are:

1. data storage
2. data processing
3. data movement
4. control

- as any user cannot directly interacts with any computer hardware device directly, and hence there is a need of some interface between user & hardware, so to provide interface between user & hardware there is a need of an OS.

Q. What is a software?

Software is a collection of programs.

Q. What is a Program?

- Program is a finite set of instructions written in any programming language given to the machine to do specific task.

- there are 3 types of programs:

1. **system programs:** programs which are the part of an OS/inbuilt programs of an OS.

e.g. kernel, cpu scheduler, loader, device driver, interrupt handler, dispatcher etc....

2. **application programs:**

e.g. notepad, google chrome, MS Office, calculator, games etc....

3. **user programs:**

e.g. main.c, calculator.java, student.cpp etc...

- As any user cannot directly interact with any OS, hence an OS provides 2 types of interfaces for user in the form of programs:

### 1. CUI: Command User Interface/CLI: Command Line Interface.

In this type of interface user can interact with an OS by means of entering commands in a text format through command line/command prompt.

e.g.

gcc - command to compile a program

./a.out OR .\a.exe OR ./program/out - command to execute a program

ls, cp etc.....

- In Linux name of the program which provides CUI => **shell/terminal**
- In Windows name of the program which provides CUI => **cmd.exe** - can be referred as **command prompt/powershell** etc...
- In MSDOS name of the program which provides CUI => **command.com**

### 2. GUI: Graphical User Interface

In this type of interface user can interact with an OS by means of making an events like click on buttons, menu bar, menu list etc...

- In Linux name of the program which provides GUI => **GNOME(GNU Network Modelling Environment )/KDE(Common Desktop Environment).**
- In Windows name of the program which provides GUI => **explorer.exe**

### IDE: Integrated Development Environment

-

`#include<stdio.h>`

`#include` - file inclusion preprocessor directive, which includes contents of header file into the source file

`stdio.h` -file contains only declarations of standard i/p library functions

e.g. `printf()`, `scanf()`, etc....

`malloc()`, `calloc()`, `free()`, `fopen()` etc....

- header files contains only declarations of library functions
- definitions of all library functions are exists in a **lib folder**, in a **precompiled object module format**, which gets linked with object code of your program by the linker

- When a Program gets loaded into the main memory it becomes a process.

Q. What is a Process

- running instance of a program is called as a process
- program in execution is called as a process

- Program is a passive entity, whereas a process is an active entity.

+ **loader**: it is a **system program (i.e. inbuilt program of an OS/part of an OS)** which loads an executable program from HDD into the main memory.  
- to starts an execution/to load program from HDD into the main memory => loader => OS.

+ **dispatcher**: it is a **system program (i.e. inbuilt program of an OS/part of an OS)** which loads program (data & instructions of a program) from the main memory onto the CPU.

### Scenario-1:

Machine-1 : Linux      => program.c

Machine-2 : Windows   => program.c => compile & execute --> ??? YES

**Portability**: program written in C on one machine/platform can be compiled and execute on any other machine/platform.

### Scenario-2:

Machine-1 : Linux      => program.c => compile + link ==> program(executable code).

Machine-2 : Windows => program(executable code) => execute ??? NO

### Why ?

- **file format** of an executable file in Linux is **ELF(Executable & Linkable Format)**.

- **file format** of an executable file in Windows is **PE(Portable Executable)**.

### What is a file format of an executable?

- It is a specific way of an OS to store data & instructions of a program in an executable file in an organized manner.

- file format of an executable file is vary from OS to OS.

- elf file format divides an executable file logically into sections, and inside each section specific data (data & instructions ) can be kept.

- there are mainly 6 sections:

#### 1. elf header/primary header/exe header:

it contains info which is required to starts an execution of a program.

e.g. in elf header compiler bydefault writes addr of main() function as an entry point function.

**2. bss section (block started by symbol)**: it contains uninitialized global & static variables.

```
int g_var;//globally defined var
static int i;
```

**3. data section:** it contains initialized global & static variables.

```
int g_var=99;//globally defined var
static int i=999;
```

**4. rodata section (readonly data):** it contains constants and string literals.  
e.g.

```
100 - integer constant
100L - long int const
010 - octal constant
0X15 - hexadecimal constant
'A' - char constant
```

```
"sunbeam"
"cdac, pune"
```

**5. code/text section:** it contains executable instructions

**6. symbol table:** it contains info about functions and its vars in a tabular format.

Q. Why an execution of every c program starts from main() function only?  
entry point function:

- when we execute a program, loader first verifies file format of an executable file, if file format matches then only it checks magic number, and if file format as well as magic number both matches then only it loads program into the main memory.

- **magic number** - it is a constant number (which is in a hexadecimal format) generated by the compiler which is file format specific.  
e.g.

In Linux magic number starts with ELF

In Windows magic number starts with MZ

Q. What is an OS?

- An OS is a **system software (i.e. collection of system programs)** which acts as an interface between user & hardware.

- An OS also acts as an interface between programs (user & application programs) and hardware.

- An OS controls an execution of all running programs, and it also controls hardware devices which are connected to the system, and hence it is also called as a **control program**.

- An OS allocates required resources like main memory, CPU time, IO devices access to all running programs, it is also called as a **resource allocator**.

- An OS manages limited available resources among all running programs, hence it is also called as a **resource manager**.

- **An OS is a software** (i.e. collection of system programs & application programs which are in a **binary format**), comes with CD/DVD/PD.

**1. Kernel:** it is a core program/part of an OS which runs continuously into the main memory and does basic minimal functionalities of it.

e.g. Linux - **vmlinuz**

Windows - **ntoskrnl.exe**

**Kernel is an OS OR OS is a Kernel.**

- **Kernel is a like heart of an OS.**

## **# OS DAY-02:**

### **Installation of an OS**

- to install an OS onto the machine, means to store OS software (i.e. collection of system programs & application programs which are in a binary format) onto the HDD.

- if an OS want to becomes active, atleast first its core program **i.e. kernel must gets loaded into the main memory**, and to load kernel from HDD into the main memory is done by **bootstrap program**, this process is called as **booting**.

- **bootable device:** if any storage device (i.e. CD/DVD/PD/HDD) has one special program called as **bootstrap program** in its first sector/boot sector (usually size of sector = 512 bytes), then that device is referred as bootable device.

- If storage device do not contains bootstrap program in its boot sector then it is referred as **non-bootable**.

### **There are 2 steps of booting:**

#### **1. Machine Boot**

Step-1: When we switch on the power supply, current gets passed to the motherboard and onto the **motherboard** there is **ROM memory** inside which one micro-program exists named as **BIOS (Basic Input Output System)** gets executes first.

Step-2: the first step of BIOS is **POST(Power On Self Test)**, under POST BIOS checks wheather all peripherals are connected properly or not and their working status.

Step-3: After POST, BIOS invokes **bootstrap loader program**, this program searches for available **bootable devices** exists in the system and it selects any one bootable device as per the priority decided into the BIOS settings.

Step-4: by default bootstrap loader selects HDD as a bootable device, upon selection of HDD as a bootable device, **bootloader program** which is present inside HDD (in boot sector) gets invokes/executes.

## 2. System Boot:

Step-5: bootloader program displays list of names of OS's installed onto HDD, from which user need to select any one OS.

Step-6: upon selection of an OS, bootstrap program of that OS which is present inside boot sector gets invokes, which locates the Kernel of that OS and load it into the main memory.

OS:

UNIX

Windows

Android

MAC OSX

Linux

iOS

etc...

- UNIX:

**Why UNIX ?**

- UNIX basically designed by the developers for developers.

- UNICS (Uniplexed Information & Computing Services/System).

- UNIX was developed at **AT&T Bell Labs** in US in the decade of 1970's by **Ken Thompson, Denies Ritchie** and team.

**BE Electricals from UCB**

**M.Sc. Physics & Ind Maths.**

**UNIX – Linux**

**Linux is UNIX based OS i.e. Linux is a like a UNIX.**

- Linux was developed in 1990's, in 1991 it's first kernel version 0.01 released, and was developed by **linus torwards** as his academic project.

**Human Body System:**

OS:

- File Subsystem

- Process Control Subsystem: IPC, Scheduling & Memory Management

- System Call Interface

- Hardware Control (HAL: Hardware Abstraction Layer)

- Buffer Cache

- Char Devices & Block Devices

- Device Drivers

- there are 2 major subsystem of UNIX:

1. File Subsystem

2. Process Control Subsystem

- In UNIX file & process these two are very important concepts.

- In UNIX "file has space & process has life".

- In UNIX whatever that can be stored is considered as a file, whereas whatever is active is considered as a process.

Human Body + Soul ==> Living Being ==> File

Human Body - Soul ==> Dead Body ==> Process

- UNIX treats all devices as file

from user point view ==> KBD - input device

from UNIX system point view ==> KBD - file (character special device file)

from user point view ==> Monitor - output device

from UNIX system point view ==> Monitor - file (character special device file)

from user point view ==> HDD/PD - storage devices

from UNIX system point view ==> HD/PD - file (block special device file)

stdin - standard input buffer which is associated with standard input device i.e. kbd.

program -> stdin file

program -> stdout file

stdout

- OS copies data from PD to HDD

HDD/PD - data gets transferred block by block i.e. sector by sector (512 bytes)

**buffer cache:** it is a purely software technique, in which **portion of the main memory used by an OS to store most recently accessed disk contents** to get max throughput in min hardware movement.

**Kernel: Program**

**Functions: system calls**

slll.c:

main()

create\_node( )

add\_at\_last( )

add\_at\_first( )

add\_at\_pos( )  
delete\_at\_first( )  
etc...

**system calls:** are the functions (i.e. functions defined inside kernel program) defined in C, C++ & Assembly language, which provides interface to services made available by the kernel for user.

OR

- if programmer user wants to use services made available by the kernel in his/her program, then it can be used either by giving directly call to system calls or indirectly system calls can be called from inside set of library functions.

- to open a file/to create a new file ==> OS

**fopen( ) lib function C ==> open( ) sys call - function defined inside kernel program i.e. system defined code**

- to write data into a file ==> OS

fwrite( )/fprintf( )/printf( )/fputs( )/putc( ) ==> write( ) sys call  
c++ lib functions ==> write( )

- to read data from file ==> OS

fread( )/fscanf()/scanf()/fgetc()/fgets() ==> read() sys call

- In UNIX total 64 system calls are there.

- In Linux total 300 system calls are there

- In Windows more than 3000 system calls are there

- to create a new/child process

In UNIX fork( ) sys call

ws - CreateProcess( )

In Linux - fork( ) & clone( )

In Windo

- to terminate proces : **\_exit( ) ; exit( ) lib function internally makes call to \_exit( ) sys call**

- to suspend a process: wait( ) sys call

- **getpid( )** sys call is used to get pid (process id which is an unique identifier of a process) of calling process.



- irrespective any OS, there are 6 categories of system calls:

1. **file operations system calls:** e.g. open(), read(), write(), close(), lseek() etc...
2. **device control system calls:** e.g. open(), read(), write(), close(), ioctl() etc...
3. **process control system calls:** e.g. fork( ), \_exit( ), wait( ) etc....
4. **accounting information system calls:** e.g. getpid( ), getppid( ), stat( ) etc....
5. **inter process communication system calls:** e.g. pipe( ), signal( ) etc....
6. **protection & security system calls:** e.g. chmod( ), chown( ) etc...

# OS DAY-03:

//program to add two numbers: user program/user defined code

#include<stdio.h>

int main(void)

{

    //local vars definition

    int n1, n2, res;

    //executable statements:

    printf("enter values of n1 & n2: "); //write() sys call - sys defined code

    scanf("%d %d", &n1, &n2); //read() sys call - sys defined code

    res = n1 + n2;

    printf("res = %d\n", res); //write() sys call - sys defined code

    return 0; //successful termination - \_exit( ) sys call

}

- Whenever sys call gets called the CPU switched from user defined code to system defined code, and hence system calls are also called as **software interrupts/trap**.

**Q. What is an interrupt?**

- **an interrupt is a signal** received by the CPU from any i/o device due to which it stops an execution of one job/process and start executing another job/process.

- Interrupt sent by an io devices called as **hardware interrupt**.

- Throughout an execution of any program the CPU switches between user defined code and system defined, and hence we can system runs in 2 modes this mode of operation of the system is called as **dual mode operation**.

1. **user mode:** when the CPU executes user defined code instructions we can say system runs in a user mode.

2. **system mode/kernel mode:** when the CPU executes system defined code instructions we can say system runs in a system/kernel mode.

**CPU => instructions -> binary format**

- the CPU can differentiate between user defined code instructions and system defined code instruction by referring one bit which is maintained by an OS called as **mode bit**.

**In user mode => mode bit = 1**

**In system/kernel mode => mode bit = 0**

**Process Management:**

- **When we say an OS does process management, it means**

- an OS is responsible for creation of a process, to provide environment for a process to complete execution,

- cpu scheduling, process synchronization, inter process communication etc...

and it is also responsible to exit process

**Q. What is a Program?**

**User point view:**

- program is a finite set of instructions written in any programming language given to the machine to do specific task.

**System/OS (Linux) point of view:**

- Program is nothing but an executable file/code which has got elf header, bss section, data section, rodata section, code section and symbol table.

**Q. What is a Process?**

**User point view:**

- Program in execution

- Running instance of a program is called as a process

- When Program gets loaded into the main memory it becomes process

**System point view:**

Process is nothing but running program which has got PCB in the main memory (inside kernel space) and has got bss section, code section, data section, rodata section and 2 new sections added by an OS inside user space:

1. stack section

2. heap section

**Kernel:** it is a core part/program of an OS which runs continuously in the main memory and does basic minimal functionalities of an OS, and it remains present inside the main memory till we do not shutdown machine, and hence kernel occupies portion of the main memory always

- Main Memory is divided logically into two parts, part of the main memory which is occupied by the kernel is referred as **kernel space**, and whichever part is left other than kernel space will be referred as **user space**.

- when we execute a program (i.e. either we double click on an executable file or ./program.out), loader first verifies file format, if file format matches then only it checks magic number, and if file format as well magic number both matches then only an execution of that program is started OR process gets submitted

- when we say process gets submitted/an execution of a program is started very first one structure gets created into the main memory inside kernel space for that process called as **PCB (Process Control Block)**, in which all the information which is required to complete an execution of that process can be kept.

- Upon submission of any process PCB gets created for it into the main memory inside kernel space, and upon exit of any process PCB of that process gets destroyed/removed from the main memory.

- **PCB is a structure mainly contains:**

- pid: process id - unique identifier

- ppid: pid of parent's process

- PC: Program Counter - it contains an addr of next instruction to be executed

- info about resources allocated for that process

- memory management info

- cpu sched info

- **execution context:** copy of an execution context on CPU register can also kept inside PCB of that process.

etc...

if currently the CPU is executing any program, (info about) instructions and data of that program can be kept temporarily into the CPU registers, collectively this info is called as an **execution context**.

etc....

- PCB is also called as PD(Process Descriptor), In Linux PCB is called as TCB(Task Control Block).

- after process submission, process is either active/inactive

- **after process submission:**

if PCB of a process is into the main memory inside kernel space and program is also there into the main memory inside user space => **active running program**.

if PCB of a process is into the main memory inside kernel space and program is not there into the main memory (i.e. it is kept temporarily inside swap area) => **inactive running program**.

if PCB of a program is not there into main memory => program has been exited.

**Swap area:** it is a portion of HDD, used by an OS as an extension of the main memory in which inactive running programs can be kept temporarily.

- throughout an execution, process goes through diff states, and at a time it may present only in a one state.

- there are total 5 states of process:

1. New State
2. Ready State
3. Running State
4. Waiting State
5. Terminated State

+ **Features of an OS:**

1. **multi-programming:** system in which more than one processes can be submitted at a time OR system in which at a time an execution of multiple programs can be started.

- **degree of multi-programming:** no. of processes that can be submitted into the system at a time.

2. **multi-tasking:** system in which the CPU can execute multiple processes simultaneously/concurrently ( i.e. one after another),  
**the CPU can execute only one process at a time.**

- the CPU executes multiple processes concurrently with such a great speed, it seems that/we feels, the CPU executes multiple processes at a time.

**What is a thread?**

- thread is the smallest indivisible part of a process

- thread is the smallest execution unit of a process

3. **multi-threading:** system in which the CPU can execute multiple threads which are of either same process or diff processes simultaneously / concurrently ( i.e. one after another),

**the CPU can execute only one thread of any one process at a time.**

- the CPU executes multiple threads of processes concurrently with such a great speed, it seems that/we feels, the CPU executes multiple threads at a time.

e.g.

**youtube: process**

thread-1 – downloading

thread-2 – audio streaming

thread-3 – video streaming

- **uni-processor system:** system which can run on such a machine in which only one CPU/processor is there.

e.g. MSDOS

4. **multi-processor:** system which can run on such a machine in which more than one CPU's/processors are connected in a closed circuit.

e.g. Linux, Windows

5. **multi-user:** system in which more than one users/multiple users can logged in at a time.

e.g. Server OS: Windows Server, Solaris

**Ride Bike With Gear:**

**DAY-01:**

step-1: switch on

step-2: to start bike either by click or by kick

step-3: to press clutch fully

step-4: to change gear from neutral to 1

step-5: release clutch slowly & increase accelerator

.  
. .  
. .  
. .

**DAY-20:**

step-1: switch on

step-2: to start bike either by click or by kick

step-3: to press clutch fully

step-4: to change gear from neutral to 1

step-5: release clutch slowly & increase accelerator

.  
. .  
. .  
. .

**"responsiveness to stimuli"**

## # OS DAY-04:

- to keep track on all running programs/processes, an OS maintains few data structures called as **kernel data structures**:

Kernel = Program – functions – system calls, variables constants, data structure

**1. job queue:** this list contains PCB's of all submitted processes

- upon submission of process PCB gets created into the main memory inside kernel space, and it gets added into the list called as job queue.

**2. ready queue:** it contains list of PCB's of processes which are in the main memory and waiting for the CPU time.

**3. waiting queue:** it contains list of PCB's of processes which are waiting for that particular device.

- an OS maintains dedicated waiting queue for each device  
e.g. an OS maintains dedicated waiting queue for hdd, dedicated waiting queue for kbd etc...

**job scheduler:** it is a system program which schedules jobs/processes from job queue to load them onto the ready queue.

**cpu scheduler:** it is a system program which schedules job/process from ready queue to load it onto the CPU.

- when the CPU switches from one process to another process is referred as a **context-switch**.

- during context-switch the CPU switches from an execution context of one process into the an execution context of another process.

- whenever an interrupt occurs, first an execution of current instruction of process which is onto the CPU completed and then its execution context gets saved into its PCB => **state-save**

- priority for a process can be decided by two ways:

**1. internally** – priority of a processes can be decided by an OS depends on resources required for it.

**2. externally** – priority of processes can be decided by the user depends on requirement.

- in the following 4 cases CPU scheduler must gets called for effective utilization of the CPU:

**case-1 : running state --> terminated state : due to an exit**

**case-2 : running state --> waiting state : due to an io request**

**case-3 : running state --> ready state : due to an interrupt**

**case-4 : waiting state --> ready state : due to an io request completion**

- there are 2 types of cpu scheduling:

1. **non-preemptive**: it is a type cpu scheduling in which, control of the CPU released by the process by its own i.e. voluntarily.

e.g. above case-1 & case-2

2. **preemptive**: it is a type cpu scheduling in which, control of the CPU taken away forcefully from a process.

e.g. above case-3 & case-4

- there are basic 4 cpu scheduling algo's:

1. fcfs (first come first served) cpu scheduling algorithm

2. sjf (shortest job first) cpu scheduling algorithm:

sntf (shortest-next-time-first)

srtf (shortest-remaining-time-first)

3. rr (round robin) cpu scheduling algorithm

4. priority cpu scheduling algorithm

- as there are many/4 cpu scheduling algo's, there is need to decide which algo is efficient and which algo is best suited at specific situation, and to decide this there are certain criterias referred as **cpu scheduling criterias**:

- there are total 5 cpu scheduling criterias:

1. **cpu utilization (max)** : one need to select such an algo in which utilization of the cpu must be as max as possible.

2. **throughput(max)** : total work done per unit time

- one need to select such an algo in which throughput must be as max as possible.

3. **waiting time (min)**: it is the total amount of time spent by the process into the ready queue for waiting to get control of the CPU from its time of submission.

- one need to select such an algo in which waiting time must be as min as possible.

4. **response time(min)**: it is the time required for the process to get first response from the CPU from its time of submission.

- one need to select such an algo in which response time must be as min as possible.

5. **turn-around-time (min)**: it is the total amount of time required for the process to complete its execution from its time of submission.

- one need to select such an algo in which turn-around-time time must be as min as possible.

\* **Execution time/CPU Burst Time**: it is the total amount of time spent by the process onto the CPU to complete its execution.

**OR CPU Burst Time**: total no. of CPU cycles required for the process to complete its execution.

turn-around-time = waiting time + execution time

gant chart – it is bar chart presentation of CPU allocation for processes in terms of CPU cycle numbers.

# OS DAY-05:

SNTF

SRTF

- basic logic => process which is having shortest CPU burst time always gets control of the CPU first.

- In multi-programming system => multiple processes can be submitted at a time and during runtime as well processes can keep on submitted.

read queue : 100 processes

there is a 1 process which is having larger cpu burst time

- such process may gets blocked into the ready queue i.e. control of the CPU never gets allocated for that process, this situation is called as starvation/indifinite blocking.

- to overcome this problem of starvation in SJF ==> Round Robin Algorithm has been designed.

Processes/Jobs	Priority	CPU Burst Time
P1	3	10
P2	1	12
P3	2	5

Process P2 has got highest value -> min priority value



+ IPC( Inter Process Communication)

Q. Why there is a need of an IPC?

2 friends - are PreCAT course from SunBeam, Hinjwadi

PG - Wakad - 10 km away from Hinjwadi

Schedule: 8 TO 1

sharing common bike

independent bikes

whatsapp - common:

students can post doubts

teacher - answer doubts

ipc techniques/models:

1. shared memory model

2. message passing model

further there are 4 message passing ipc techniques:

i. pipe:

- by using pipe mechanism one process can send message to another process, vice-versa is not possible, it is **unidirectional communication**.

- there are 2 types pipe ipc mechanisms:

1. **unnamed pipe**: pipe command ( | ) only related processes can communicate.

2. **named pipe**: pipe() sys call - related as well as non-related processes can communicate.

pipe has 2 ends from end -> input can be given and from another end out can be taken

processes which are running in the system also can be categorised into two categories:

1. **related processes**: processes which are of same parent

shell - program => parent process

ls command --> program which displays/lists contents of cur directory. => child process

wc command --> child process

ls & wc are related process

2. **non-related processes**: processes which are of different parents

ii. message queue:

- iii. **Signals** – processes which are running in the system also communicates by means sending signals which are having some predefined meaning.
- iv. **socket**

way-1: voice call => voice data  
way-2: message => text data  
way-3: video call => voice & video data  
way-4: missed call => signals

1 missed call => predefined meaning  
2 missed calls => predefined meaning  
3 missed calls => predefined meaning

OS can send signal to any process, but any other process cannot send signal to an OS.

- When we shutdown the machine, an OS sends SIGTERM signal to all processes due to which processes gets terminated normally, but there may exists few process out of them can handle SIGTERM i.e. even after receiving SIGTERM from an OS such processes continues their execution, hence to such processes an OS sends SIGKILL signal due to which processes gets terminated forcefully.

SIGTERM : normal termination  
SIGKILL : forcefull termination  
SIGSEGV : termination of a process due to segment violation  
SIGSTOP : to suspend a process  
SIGCONT : to resume suspended process  
.  
.  
etc....

- if any process is trying to access memory which is not allocated for it (e.g. illegal memory access/dangling pointer), an OS sends **SIGSEGV** signal to that process due to which process gets terminated with printing message as **segmentation fault**.

pipe, message queue, signal => by using this ipc mechanisms only processes which are running in the same system can communicates.

- Process which is running on one machine wants to communicates with process which is running on another machine, whereas machines are at remote distance provided connected in a network

+ **Process Synchronization/Process Co-ordination:**

Q. Why there is a need of Process Synchronization?

Desk: Common NoteBook - 1

A  
B  
C

- if i ask to all of them to write something in the same notebook on same page and same line at a time => race condition
- to avoid race condition, we can decide their order

Line : PG-DBDA

A  
B  
C

**race condition:** if two or more processes are trying to access same resource at a time race condition occurs.

**Data Inconsistency:**

- An OS does process synchronization/co-ordination by using synchronization tools:

1. semaphore:

- there are 2 types of semaphore:

i. binary semaphore: it can be used when resource can be acquired by only one process at a time.

ii. classic/counting semaphore: it can be used when resource can be acquired by more than one processes at a time.

It is simply a **counter var**

**initial value of counter var "cnt" = max no. of processes that can be acquired resource at time**

2 operations can be performed on it

1. **increment:** this operation can be performed while processes releases the resource.

2. **decrement:** this operation can be performed while processes acquiring the resource.

2. **mutex object:** it can be used when resource can be acquired by only one process at a time.

**Critical Section Problem:**

## # OS DAY-06:

### COVID: Government

#### 1. **prevention:** preventive measures:

use of mask, washing hand/sanitization and social distancing  
vaccination

#### 2. **detection & avoidance:**

#### 3. **treatment/hospitalization**

+ **Deadlock** – to handle this problem an OS is responsible

- there are 3 deadlock handling methods:

1. **deadlock prevention:** deadlocks can be prevented by discarding any one condition out of 4 necc & suff conditions.

2. **deadlock detection & avoidance:** in this method, before allocating resources for processes, in advance all the input can be given to deadlock detection algo's and it gets checked whether there are chances to occur deadlock or not, and if there are chances to occur deadlock necc changes can be done and deadlock can be avoided.

- there are 2 deadlock detection & avoidance algo's:

1. **resource allocation graph algo**

2. **banker's algo**

3. **deadlock recovery:** system can be recovered from deadlock by two ways:

1. **process termination:** under this deadlock recovery method, any process which causes deadlock gets selected randomly and gets terminated forcefully, such process will be referred as a **victim process**.

2. **resource preemption:** under this deadlock recovery method, control of the resource taken away forcefully from any process.

### + Memory Management:

#### Main Memory/RAM

- RAM is also called as Main Memory - for an execution of any program RAM is must and hence it is also called as Main Memory.

Q. Why there is a need of (main memory ) memory management?

- main memory is limited

Main Memory - 4 GB/ 8 GB/ 16 GB/32 GB

HDD - TB's

Max CPU Utilization => Multi-tasking/time sharing => multi-programming

- there is a need of memory management for effective utilization of resources like CPU, memory devices etc...

- if multiple processes/programs are getting submitted into the system at a time, and if main memory is limited, to complete an execution of all submitted processes is responsibility of an OS and hence OS has to do memory management.

PreCAT Batch of 1 month duration will be starting from

1<sup>st</sup> Aug 2021 - 31<sup>st</sup> Aug -2021 ==> 1000 capacity - published on website - admissions are open.

25<sup>th</sup> July - batch of 1000 students filled  
logical seats numbers: ( 1 - 1000 )

SunBeam, Hinjwadi => Krishna Hall - 225 (physical seat numbers: 1-225)  
first 25 seats are reserved for faculties all the time (200 seats).

- divide batch of 1000 students into 5 equal size batches:

batch-1	: 1-200	: 6 TO 8
batch-2	: 201-400	: 8 TO 10
batch-3	: 400-600	: 10 TO 12
batch-4	: 601-800	: 12 TO 2
batch-5	: 800-1000	: 2 TO 4

Main Memory = Kernel Space + User Space

some person admin who reloacts students from logical seat number to physical number:

batch-1: 1->26, 2->27, 3->28, .....200->225.

batch-2: 201->26, 202->27, ....., 400->225

batch-3: 401->26, 402->27, .....600->225

.

PG Hostel => Swap Area

Sahyadri => PG facility available -

Swap Area => it is a portion of the HDD, which kept reserved by an OS can be used as an extension of the main memory in which inactive running programs can be kept temporarily.

- size of the swap area can be decided at the time OS installation.

create 2 partitions:

1. swap partition: - swap area

2. data partition ( / partition)

Logically Batch : 1-1000

whatsapp group => 1000

- throughout execution of program physical addresses of it may get changed, but **logical addresses which are assigned by the compiler** for any process do not gets changed and hence the CPU always executes programs in its logical memory space.

Machine:

Core i5

8 GB

Compiler compiles a programs by assuming that programs will going to run on a machine having min conf.

relocation registers: to relocate process into the main memory

base: 15 K

limit: 5 K

base & limit values kept inside its PCB

- PCB contains memory management info: base & limit values

base value may gets changed during time

- memory space of one process is getting protected from another process by means base & limit values.

- When any process is requesting for the main memory, there are 2 methods by which memory gets allocated for it referred as memory allocation methods:

1. contiguous memory allocation:

# OS DAY-07:

size of page  $\leq$  size of frame

i.e. in out example:

size of any page  $\leq$  4 K

=> Main Memory = 4 GB ( 1 GB Kernel Space + 3 GB User Space )

Main Memory = 4 GB ==> Swap Area = 8 GB

OS Projects = 4 + 8 = 12 GB

Process - 6 GB

Q. Is it possible for an OS to complete an execution of such a process having size bigger than size of main memory itself ??

Virtual Memory Managemet = paging + swapping

- big size process gets divided into pages
- not all its pages will be loaded into the main memory at once, few pages will be loaded into the main memory, and few pages will be kept temporarily into the swap area, and swapping of pages can be done by (swapper process) an OS.

**page in & page out**

- only active pages of running processes => main memory
- inactive pages of running processes can be kept temp into the swap area
- page of any process will be loaded into the main memory only after requesting/demanding and hence this called as demand paging, page which is never requested will never gets loaded into the main memory and this is referred as a **pure demand paging**.

**Lazy swapper** => as this process will page in any page into the main memory only after requesting by process.

- if any process is requesting for any of its page, and if that page is not exists into the main memory, this is called as **page fault**.

**P1 - 6 GB**

other processes are also there

- **no. of pages >>>> no. of frames**

- at some point all frames becomes full, if any process is requesting for a new page and page fault occurs, but there is no free frame available into the main memory for requested page, and hence there is need to remove any existing page from the main memory and can be swapped out and kept into the swap area, to decide which page need to remove, so that free frame will be available into which requested page can be loaded/replaced, to do this there are certain algo's referred as **page replacement algo's**:

- **which algo is efficient - factor => no. of page fault**

- **algo in which no. of page faults are less ==> more efficient**



optimal page replacement = no. of page faults = 9

lru = no. of page faults = 10

mfu = no. of page faults = 12

lfu = no. of page faults = 9 ==> NO -> there is something in this algo

**1. fifo (first in first out) page replacement algorithm:** in this algo, page which was inserted first into the main memory will be replaced.

Usually if no. of frames are increased --> no. of page faults gets decreases but in fifo page replacement algo, as we increase no. of frames no. of page faults also gets increases this abnormal behaviour in fifo page replacement algo has identified by belady, and hence this is called as **belady's anomaly**.

**2. optimal page replacement algorithm:** in this algo, page which will not be used in near future will be replaced.

- practically this algo is not feasible, so this algo is only used to set a benchmark

**3. lru (least recently used) page replacement algorithm:**

e.g. Linux LRU is implemented

**4. mfu (most frequently used) page replacement algorithm:**

**5. lfu (least frequently used) page replacement algorithm:**

- i want to conduct lectures of 5 PreCAT batches for 10 mins each ==> 50 mins

- if i spent 2 hrs on their scheduling ==> 120 mins

- if any process spends more time on paging rather than execution, this high paging activity is called as a **thrashing**.

## + File Management:

Q. What is a file?

User point view:

- file is a named collection of logically related data/information.
- file is a contained which contains logically related data/information.
- file is a basic storage unit

System point view:

- file is a stream of bits/bytes
- audio file --> stream of 0's & 1's  
image file --> stream of 0's & 1's

**file = data + metadata**

1. **data** : actual file contents (exists inside the file)
2. **metadata** : info about the file (exists inside FCB)

- when any new file gets created, an OS/filesystem creates one structure for that file into which all the info about it can be kept, this structure is referred as **FCB(File Control Block)**
- In UNIX, FCB is called as iNode.

Process ==> PCB

File ==> FCB/iNode

## + FCB/iNode mainly contains:

- inode number: unique identifier of a file on filesystem
- name of the file
- type of the file
- size of the file
- parent folder location
- access perms
- time stamps: last accessed & modified time etc....

If on a disk 10K files are there = 10 K iNodes/FCB's + Millions of bytes of data of 10 K files ==> HDD.

- **filesystem**: it is a way to store data onto the disk/storage device/partition in an organized manner so that it can be accessed efficiently.

e.g.

Windows ==> FAT(File Allocation Table), FAT16, FAT32, NTFS etc....

Linux ==> ext, ext2, ext3, ext4 (extended filesystem)

UNIX ==> UFS (UNIX FileSystem)

MACOS X ==> HFS (Hierarchical FileSystem)

etc...

- filesystem is there onto the disk/partition
- and all files (i.e. data + metadata of all files) are onto the filesystem
- on one partition it contains only one filesystem.
- When we format any storage device (HDD/Partition/PD/CD/DVD) at that time new filesystem gets created onto that device.
- to format any storage device is not to erase data from it, it is nothing but to create a new filesystem on it.
- even after formatting HDD, data from it can be recovered by using some data recovery tools.

Filesystem:

PD : 4 GB

Free Space  $\sim$  3.80 GB  $\Rightarrow$  4 - 3.80  $\sim$  0.20  $\Rightarrow$  FileSystem

“journalling”: journal  $\rightarrow$  filesystem keeps track on ongoing process stores info into the log file

- When any file is requesting for free data blocks (i.e. for disk space), there are 3 methods by which free data blocks gets allocated for it and info about allocated free data blocks for that file can be kept inside its inode, these methods are referred as **disk space allocation methods**.

**1. contiguous allocation method:** when any file is requesting for free data blocks, free data blocks gets allocated for that file only in a contiguous manner

external fragmentation.  
**random access**

**2. linked list allocation method:** when any file is requesting for free data blocks, any free data blocks i.e. randomly any free data blocks gets allocated for it, and linked list of allocated free data blocks for that file will be maintained.

- **there is no external fragmentation in this method**
- **but access speed becomes slower : sequential access**

**3. index allocation method:** when any file is requesting for free data blocks, any free data blocks gets allocated for it, and any one data block out of them will be considered as an index block in which an address of remaining allocated data blocks can be kept.

Access speed is faster than linked list allocation method.

- in a system 100's processes are running
- out of those 100's processes can at a time multiple processes let say 20 can make a request to access data from disk at a time, those multiple requests can be submitted into the waiting queue of that hdd/device, and at a time only one request can be passed to disk controller, and hence there is a need of scheduling and to schedule request for disk controller from waiting queue there are certain algo's referred as **disk scheduling algo's**:

- each and every device has got its own dedicated processor called as controller which controls all operations of that device.

e.g. in a HDD – disk controller controls all disk operations

KBD – controller

- disk controller: can accept and complete only one request at a time

- **there are total 5 disk scheduling algo's:**

1. **fcfs (first come first served) disk scheduling algo:** in this algo whichever request arrived first into the waiting queue will be accepted and completed first.

2. **sstf (shortest seek time first) disk scheduling algo:** in this algo, whichever request is closed to the cur position of the head will accepted and completed first.

3. **scan disk scheduling algo:** in this algo, head keeps scanning disk from starting point to end point and again from end point to starting point and while scanning whichever requests came across will be accepted and completed.

4. **c-scan (circular scan) disk scheduling algo:** in this algo, head keeps scanning disk from starting point to end point i.e. scanning takes place only in a one dir, from last point it directly jumps to the first point, and while scanning whichever requests came across will be accepted and completed.

5. **LOOK disk scheduling algo:** there is one common drawback in above scan & c-scan algo's – even if there are no requests into the waiting queue, still head keeps moving, unnecessary movement of the head is there, and it can overcome in LOOK algo by keeping track on waiting queue as well, if there is no request into the waiting queue then movement of the head will be stopped.

SunBeam