

* Evolution of computer architecture :

- single processor system Mainframes PCs.
- Multiprocessor system.
- clustered system.

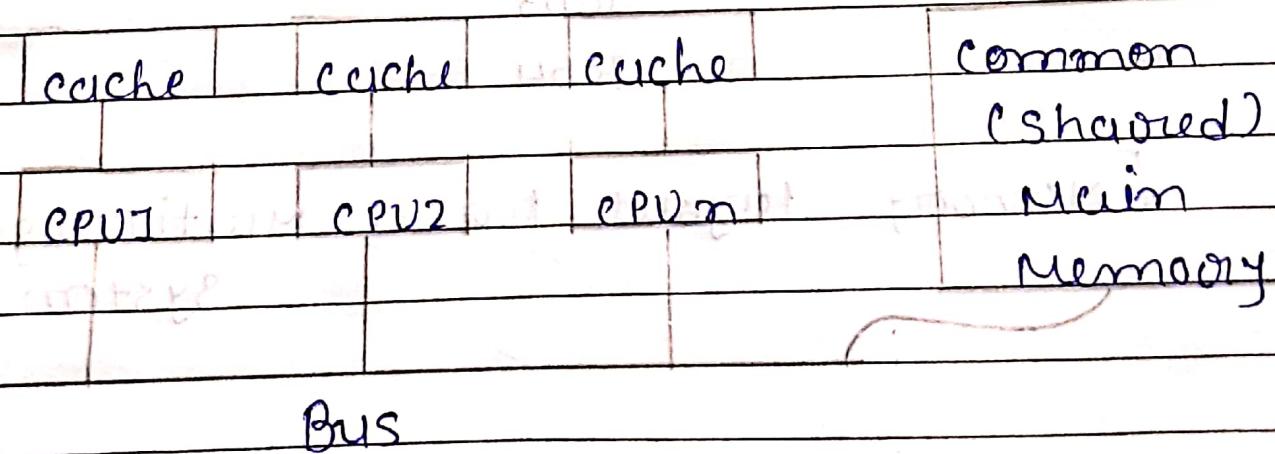
1) Single Processor System:

⇒ one main CPU executing general purpose instruction set.

- There may be special purpose processors also.
- ex : graphic coprocessor, floating point co-processor, device specific processor I/O processor.

2) Multiprocessor System :

- Private cache memory reduce bus traffic and improved the performance of the system.



* Operating System Services

User & other system programs

GUI Batch commandline
user interface

System calls

Program I/O File Comm Resource
Execution operation system unication allocation

accounting error protection
detection security

OS services

Operating system

Hardware

OS Services

- For helping the user for ensuring the efficient operating of the system.
- 1) User interface
 - 2) Program execution
 - 3) I/O operation
 - 4) File-system Manipulation
 - 5) Communication
 - 6) Error-detection
 - 1) Resource allocation
 - 2) Accounting
 - 3) Protection & Security.

7. User interface :

- can take several forms
- Command line Interface (CLI)
 - user text commands
 - cmd cl Method for entering them
- Batch Interface
 - Commands and directives to control commands were entered into files and these files were executed.
- Graphical User Interface (GUI)
 - A window system with a pointing device to direct I/O, choose from menus & cl keyboard to enter text.

2. Program execution :

- The system must be able to load a program into memory & run that program.
- The program must be able to end its execution either normally or abnormally (indicating error).

3. I/O operation :

- A running program may require I/O which may involve a file or an I/O device.
- Specific Function \leftrightarrow Special functions.
- users cannot control I/O devices directly.
- The OS must provide a means to do I/O.

4. File System Manipulation :

- Program need to read / write files and directories.

- create, delete by name.
- Search for given file.
- list the file information.
- allow / deny access to files / directories.
- based on file ownership.

5. Communication :

- processes may need to exchange information with other process.
- processes may execute on the same / different computer systems.
- Interprocess communication may be implemented via shared memory, Message Passing Methods.

6. Error detection :

- Memory error.
- Power failure.
- error in I/O devices.
 - . connection failure on a network
 - . block of pipeless in a printer.
- error in the user's program.
 - e.g. arithmetic overflow / underflow
- attempt to access illegal memory location.
- For each type of errors, OS should take an appropriate action.

\Rightarrow 1) Resource allocation :

- multiple users
- multiple jobs running at the same time.
- resource must be allocated to each one of them.

- Many different type of resources are managed by OS.
- Resources like CPU, memory, I/O devices, files, information, pointers, modems, USB storage devices, peripheral devices may have special allocation code.

2) Accounting :

- Keeping track of which users use how much and what kind of computer resource.
- Record - keeping may be used for accounting.
- Billing based on usage statistics.

3) Protection & Security :

- Ensuring that all access to system resources is controlled.
- Security of a system from outsiders.
- Requiring each user to authenticate himself to the system. (password mechanism) to gain access to system resources.
- External I/O devices
- Modems → protect them from invalid access attempts.
- network adapters.
- Concurrently several processes may be executing in a multiuser system.

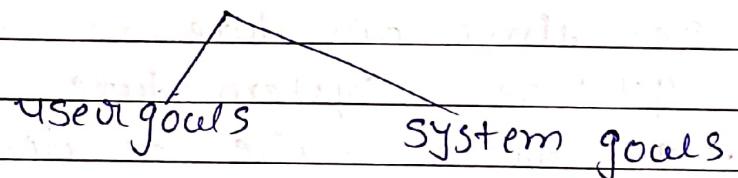
* Operating System design and implementation through different structures.

→ OS design :

- affected by
- choice of hardware
- the type of system : batch OS
 - Time Shared, single user
 - Multiuser, distributed over time, or general purpose.

- Requirements :

Requirement

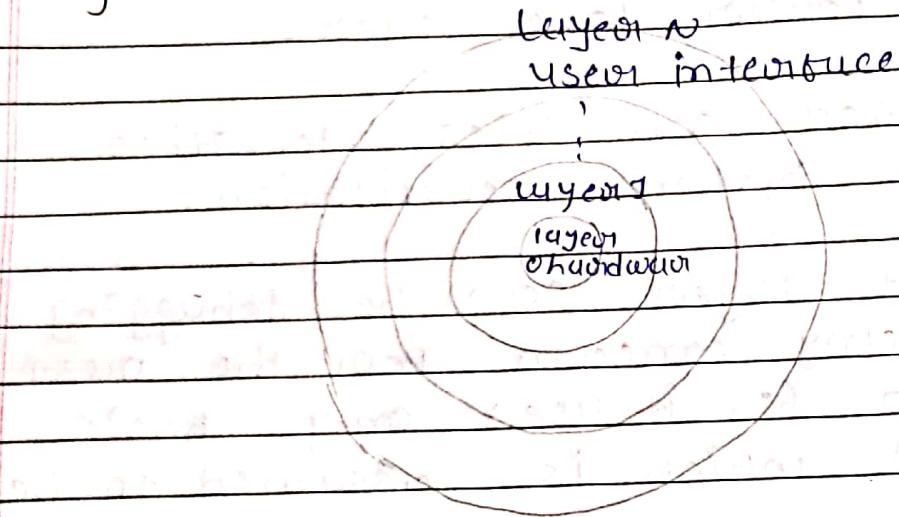


- system should be
- convenient to use
- easy to learn
- reliable
- safe
- fast
- system should be
- easy to design
- implement
- maintain
- efficient
- flexible
- reliable
- error free

* Operating System Structure.

- simple structure
- layered approach
- microkernels
- modular design

- Layered approach



- OS is broken into a number of layers (levels).
- The bottom layer (Layer 0) is the hardware.
- The highest layer (Layer N) is the user interface.
- As a layer is an implementation of an abstract object made up of data and operations.
- A typical OS layer say, Layer M - consists of data structures and a set of routines that can be invoked by higher level layers.
- Layer M, in turn can invoke operations on lower-level layers.
- The main advantage of layered approach is simplicity of construction and debugging.

This strategy uses dynamically loadable modules used in -linux

- sun solaris

- Mac os x

e.g. The solaris operating system.

Structure has a core kernel with 7 types of loadable kernel modules.

(Module design)

1. Scheduling classes

2. files systems

3. loadable system calls

4. executable formats

5. STREAMS modules

6. miscellaneous

7. device and bus drivers

device
and bus
drivers

scheduling
classes.

filesystem

core
solaris
kernel

STREAM
modules

executable
formats

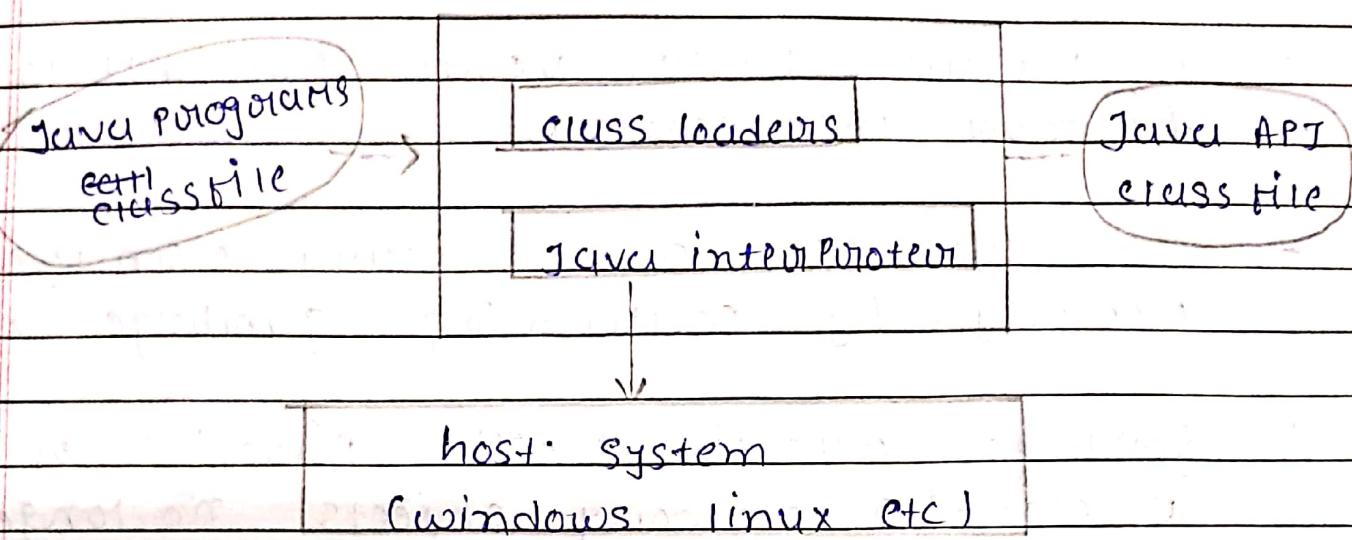
loadable
system
calls

system consolidation which involves taking two or more separate systems and running them in VMs on one system.

resource optimization.

Eg: 1) VMware VMWare

2) The Java virtual machine.



[Figure : The Java virtual machine]

→ The JVM consists of

- class loader → load the compiled class files from both Java program and Java API
- Java interpreter

execute the architecture neutral byte code.

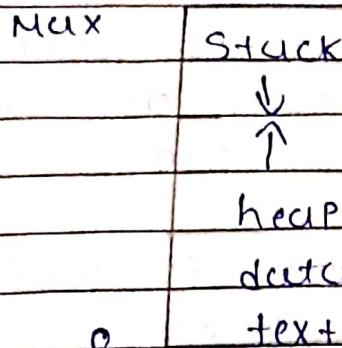
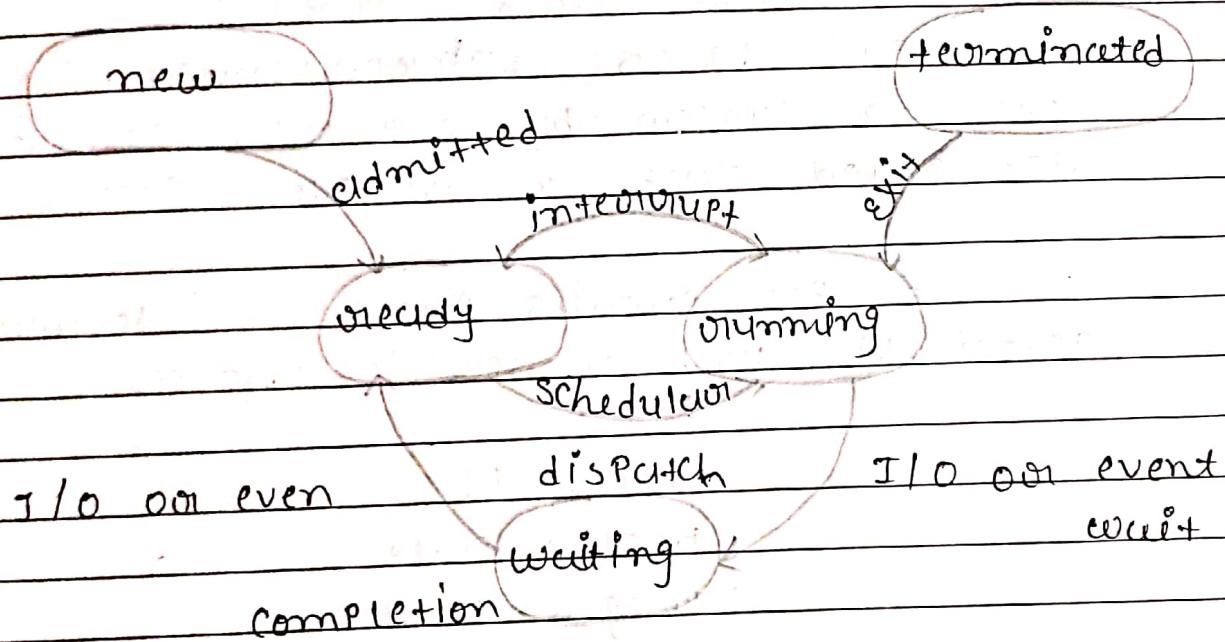


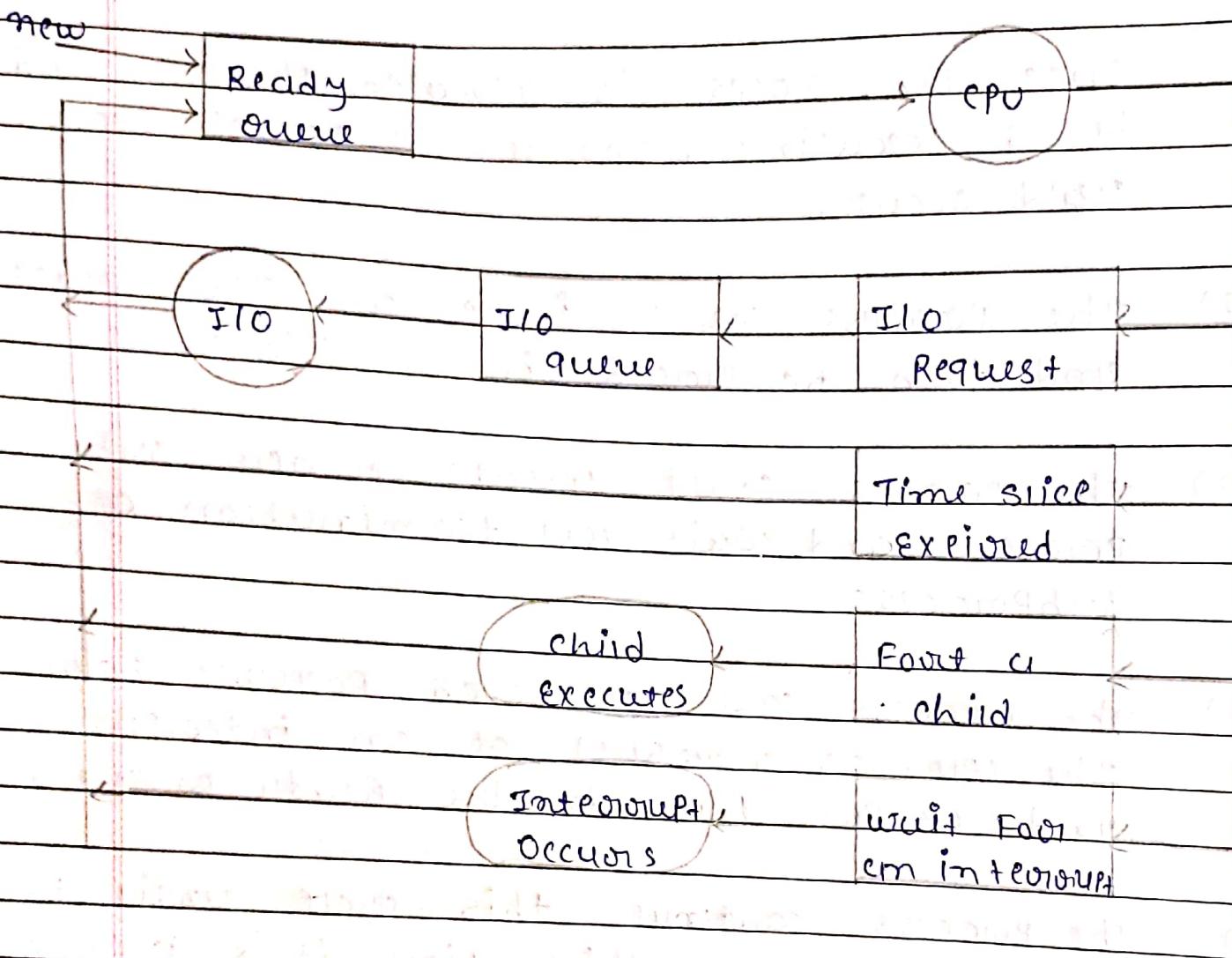
Figure : Process in Memory.

* Process State Diagram



- As a process executes, it changes state. The state of a process is defined in part by the current activity of that process.
- **New**: The process is being created.
- **Running**: Instructions are being executed.

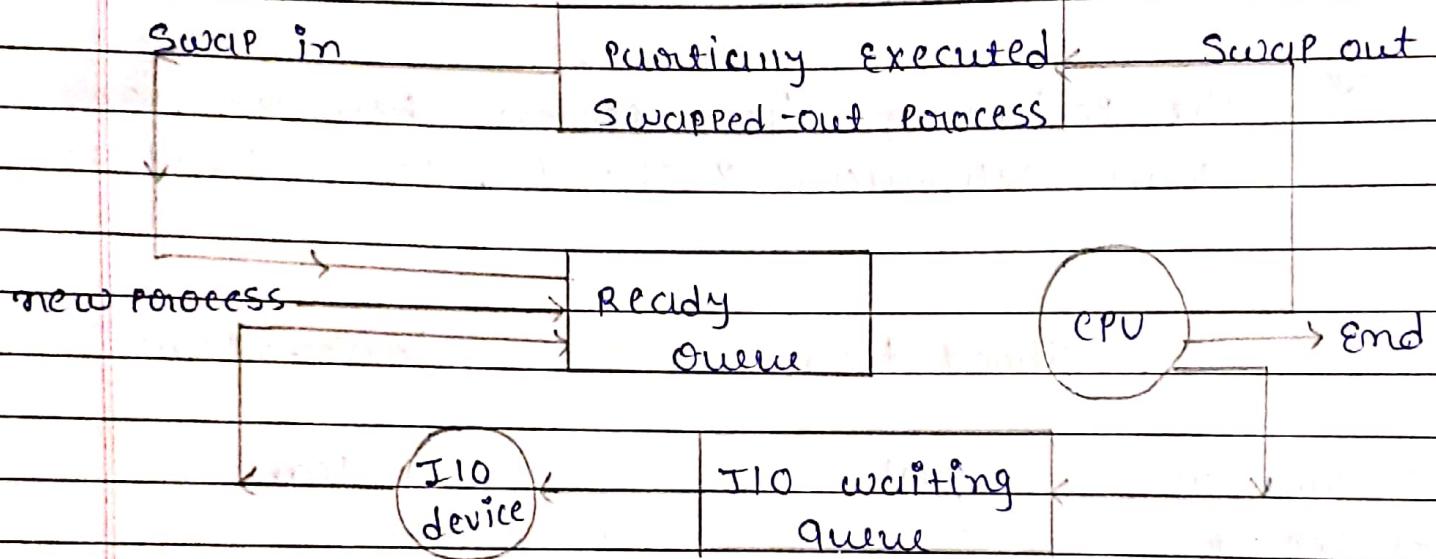
* Queuing Diagram Representation of Process Scheduling.



- A common representation is a queuing diagram shown in the figure.
- Each rectangular box represents a queue.
- Two types of queues are present:
 - The Ready queue and a set of device queues.
 - The circles represent the resources that service device queues. The arrows indicate the flow of process in the system.

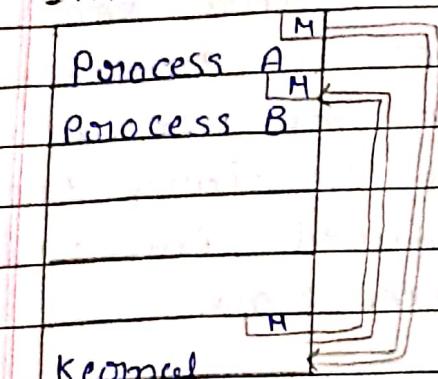
- A new process is initially put in the Ready queue until it is selected for execution (or is dispatched).
- Once the process is allocated the CPU and it is executing, one of the service events could occur.
 - 1) The process could issue an I/O Request and then be placed in an I/O queue.
 - 2) The process could execute a new sub process and wait for termination of subprocess.
 - 3) The process could be removed forcibly from the CPU, as a result of an interrupt, and be put back in the Ready queue.
 - 4) The process continues this cycle until it terminates, at which time it is removed from all queues and its PCB and resources are deallocated.

* Short - term, Medium - term and long - term schedulers.

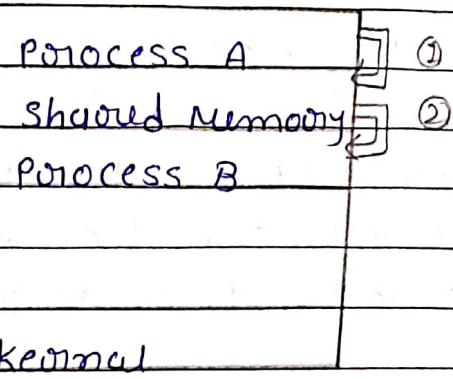


- often in a batch system. More processes were submitted than can be executed immediately.
- These processes were spooled to a mass-storage device (like a disk) where they were kept for later execution.
- The job pool consists of a collection of processes spooled to a mass-storage device (typically a disk) for later execution.
- The long-term scheduler (job scheduler) selects processes from the job pool (spooled to a mass-storage device) and loads them into Main Memory for execution.

* Interprocess communication Model :



Memory Passing Model
(A)



Memory Passing Model
(B)

i) Message Passing Model

ii) Shared Memory Model

Message Passing Model

Shared Memory Model

- Useful for exchanging smaller amounts of data.
- Allows maximum speed and convenience of communication.

- Easier to implement

faster than message passing

- Typically implemented using system calls.

System call are required only to establish shared memory regions. There after no assistance of kernel is required.

- Requires more time consuming task of kernel intervention.

Requires communicating processes to establish a region of shared memory.

* Multithreading :

Thread :

- A thread is a basic unit of CPU utilization
- it comprises:
 - a thread ID,
 - a program counter,
 - a register set,
 - and a stack.
- A thread shares with other threads belonging to the same process.
- It's code section
- data section
- & other OS resources like open files, signals,
- A traditional (or heavy weight) process has a single thread of control.
- If a process has multiple threads of control, it can perform more than one tasks at a time.

code
data
files
register
stack

Thread → {

code
data
files
register
register
register
stack
stack
stack

{ ? ? ? }

A single-threaded
process

Multithreaded
process

* Benefits of Multithreaded Programming :

The Benefits of Multithreaded programming are given below.

- 1) Responsiveness.
- 2) Resource sharing.
- 3) Economy
- 4) Scalability.

1) Responsiveness :

Multithreading in interface application may allow a program to continue running even if part of it is blocked or is performing a lengthy operation.

Responsiveness to the user is increased.

e.g.: A Multithreaded web browser may allow user interaction in one thread & image downloading in another thread.

2) Resource sharing :

Threads share the memory and the resources of the process to which they belong by default.

It is more efficient.

3) Economy :

It is more economical to create and context switch threads than creating and managing processes.

1) Thread → economical

2) Process \rightarrow costly.

4) Scalability :

- In a Multiprocessor architecture threads may be running in parallel on different processors.

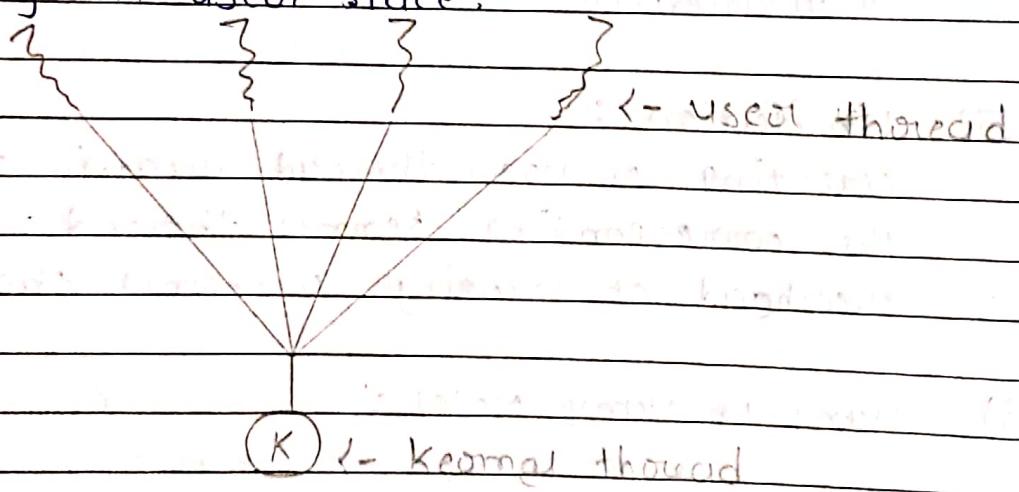
- Multithreading on a multi-CPU machine increases parallelism.



Multithreading Models :

I) Many-to-one-Model :

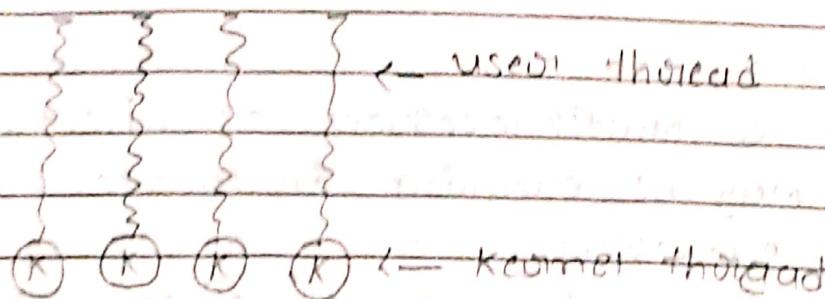
- Maps many user-level threads to one kernel thread.
- Thread Management is done by the thread library in user space.



Many-to-one model

- If some thread makes a blocking system call the entire process will block.
- only one thread can access the kernel at a time. Multiple threads are unable to run in parallel on multiprocessors.

2) one - to - one Model :

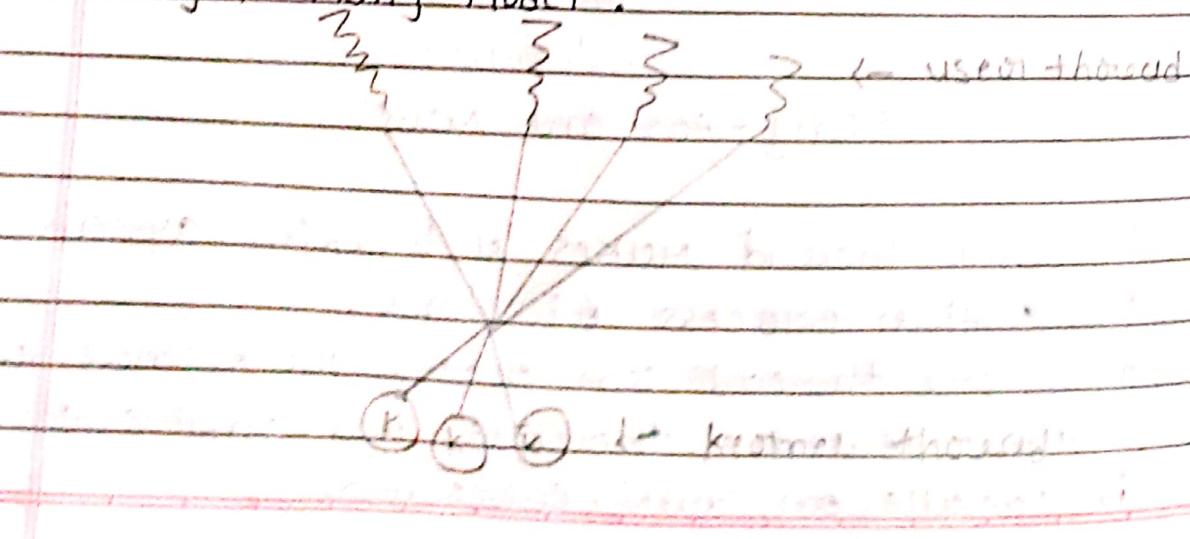


- The one - to - one Model Maps each user thread to a Kernel thread.
- + It Provides More concurrency than the Many - to - one Model
- Allows another thread to run when a thread makes a blocking system call.
- Allows Multiple thread to run in parallel on multiprocessors.

\Rightarrow Limitation :

- Creating a user thread requires creating the corresponding kernel thread.
- overhead of creating a kernel thread.

3) Many - to - Many Model :



- it ~~Multiprocess~~ Multiplexes many user-level threads to a smaller or equal number of kernel threads.
- True concurrency not gained because the Kernel can schedule only 1 thread at a time.
- greater concurrency can be achieved in the one-to-one Model. → developer has to be careful to create many threads.



CPU Scheduling criteria:

⇒ Criteria:

1. CPU utilization: CPU should be kept as busy as possible.
conceptually, CPU utilization can range from In a real system: - 40% - 90% or to 100%.
2. Throughput: Number of processes completed per unit time.
e.g. 1. Process / hour (long process)
 - I/O process / sec (short transaction)
 - Amount of work done in unit time is generally known as a throughput.
3. Turnaround time: The interval of time from the time of submission of a process to the time of completion of a process is called turnaround time.

- it is the sum of periods spent waiting to get into memory, waiting in the ready queue, executing on the CPU, and doing I/O.
- How long it takes to execute the process.

4. Waiting time : Sum of the periods spent waiting in the ready queue.

5. Response time : Refers to the time from submission of a request until the first response is produced.

* First-come, First-served (FCFS) CPU scheduling:

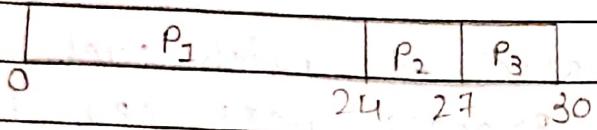
- simplest algorithm
- The process that requests the CPU first, is allocated the CPU first.
- Implementation : Managed with a FIFO queue. When a process enters the ready queue, its PCB is linked onto the tail of the queue.
- when the CPU is free, it is allocated to the process at the head of the queue.
- The running process is removed from the queue.
- The average waiting time under FCFS policy is often quite long.
- eg. Consider the following set of processes that arrives at time 0, with the length of the CPU burst given in milliseconds:

Process Burst Time

P_1 24

P_2 3

P_3 3



Processes have arrived in the order P_1, P_2, P_3 and served in FCFS order.

We get result as shown in the Gantt chart

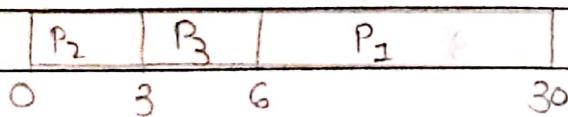
$$\text{Average waiting time} = \frac{WP_1 + WP_2 + WP_3}{3}$$

$$= 0 + 24 + 27$$

$$= \frac{51}{3}$$

$$= 7 \text{ milliseconds}$$

If the processes arrive in the order P_2, P_3, P_1 .



$$AWT = \frac{6 + 0 + 3}{3}$$

$$= \frac{9}{3}$$

$$= 3 \text{ milliseconds}$$

- Drawbacks : 1) long avg waiting time
2) convoy effect.

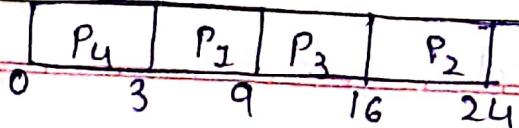
\Rightarrow shortest Job First (SJF) CPU scheduling Algorithm :

- This algorithm associates with each process the length of the process next CPU burst.
- When the CPU is available it is assigned to the process that has the smallest next CPU burst.
- If the next CPU burst of two processes are same, FCFS scheduling is used to break the tie.
- Also known as shortest - next - CPU - burst algorithm.

eg. consider the following set of processes with the length of the CPU burst given in milliseconds.

Process	Burst time
P ₁	6
P ₂	8
P ₃	7
P ₄	3

- Using SJF scheduling we would schedule these processes according to the following chart:



- Average waiting time : $\frac{3 + 16 + 9 + 0}{4} = 7 \text{ milliseconds}$

Eg.

P_1	P_2	P_3	P_4	FcFS
0	6	14	21	24

$$\begin{aligned} AWT &= \frac{0 + 6 + 14 + 21}{4} \\ &= \frac{41}{4} \\ &= 10.25 \end{aligned}$$

\Rightarrow The SJF scheduling algorithm :

- The Probably optimal
- SJF gives the minimum average waiting time for a given set of process.

\Rightarrow Reason :

Moving a short process before a long one decreases the waiting time of the short process more than it increases the waiting of the long process.

*

Semaphores:

- A Semaphore is synchronization tool.
- A Semaphores S is an integer variable that, a point from initialization, is accessed only through two standard atomic operations: wait() & signal()
- The wait() operation was originally termed P (from "Dutch 'p' voor bereem" To Test")
- The signal() operation was originally called V (from "verhogen, " To increment")

`wait(s) {`

`while s <= 0`

`; // no op`

`s--;`

`}`

`signal(s) {`

`; // no op`

`s++;`

`}`

- All modifications to the integer value of the semaphore in `wait()` & `signal()` operations must be executed indivisually (without interruption).

- That is when one process modifies the semaphore ~~no~~ value, no other process can simultaneously modify the integer value of `s`.

* Deadlock

- A set of processes is in a deadlocked state when every process in the set is waiting for an event that can be called only by another process in the set the events with we are mainly concerned are - Resource Resource may be either physical resource (e.g. Printers & drives, Memory space, CPU, cycle etc) or logical resources (e.g. files, semaphores, monitors, etc).

Ex: consider a system with 3 CD-RW drives

① suppose each of 3 processes holds one of these CD-RW drives if each process now requests another drive, the 3 processes will be in deadlocked state

② Deadlock may also involve different resource type.

Ex: consider a system with 1 pointer and 1 DVD drive.

- suppose that process p_i is holding DVD and process p_j is holding pointer.

- if now p_i requests the pointer and p_j DVD drive. Request the DVD drive, a deadlock occurs

Ex: p_i p_j
 \equiv holding holding
 pointer DVD drive

request request
 DVD drive DVD pointer

Ex: p_1 p_2 p_3

CD-RW CD-RW CD-RW

additionally request another drive