

Otsu Thresholding

- * Image Segmentation \rightarrow partition the image into different segments or groups of pixel.
- * Thresholding \rightarrow simplest kind of image segmentation, because it partitions the image into two groups of pixel (white: foreground & black: background)

* Local & Global thresholding:

Global \rightarrow a single threshold (t) is used globally for whole image

source: MATLAB Documentation



Local \rightarrow different threshold for different part of the image.

Otsu thresholding \rightarrow Automatic global thresholding algorithm.
[Adaptive thresholding]

Histogram \rightarrow It is representation of distribution of data

- * Otsu threshold grayscale histogram data to find the optimal cut t^* that gives best separation of the classes. [for image binarization \rightarrow foreground & background] [The method assumes that the histogram of an image is bimodal (i.e. two classes).

Algorithm [automatically determine threshold]

Step 1: Build a histogram for given image I .

Step 2: for each threshold t in $[0, 255]$, pixels can be separated into two classes, C_1 & C_2 .

Step 3: Those pixels whose $p_i \leq t$ [i.e pixel intensity is less than threshold t] put into C_1 , otherwise into C_2 .

Step 4: Calculate the probabilities of C_1 & C_2

$\left. \begin{array}{l} C_1 \rightarrow \text{Background} \\ C_2 \rightarrow \text{foreground} \\ \text{or} \\ \text{vice-versa} \end{array} \right\} \begin{array}{l} W_1 = \frac{(\# \text{ pixels in } C_1)}{\text{total pixel count}} \quad (\text{Probability of } C_1) \\ W_2 = \frac{(\# \text{ pixels in } C_2)}{\text{total pixel count}} \quad (\text{Probability of } C_2) \end{array}$

Step 5: Calculate between class variance (V_b) & within class variance (V_w).

Step 6: Optimal cut t^* corresponds to t where V_b is maximum or V_w is minimum.

$$\text{variance}(\sigma^2) = \frac{\sum_{i=0}^N (p_i - \mu_c)^2}{N \times W_c}$$

Higher the variance, more the spread

$$\mu_c = \frac{\sum_{i=0}^N p_i \cdot i}{N_c}$$

Within class variance:

$$V_w = W_{C_1} * \sigma_{C_1}^2 + W_{C_2} * \sigma_{C_2}^2$$

(minimize)

Between class-variance

$$V_b = W_{C_1} W_{C_2} (\mu_{C_1} - \mu_{C_2})^2$$

(maximize)

① Total variance in ^{one} image $V_t = V_w + V_b$

V_t cannot change therefore when V_w is minimum V_b will be maximum.

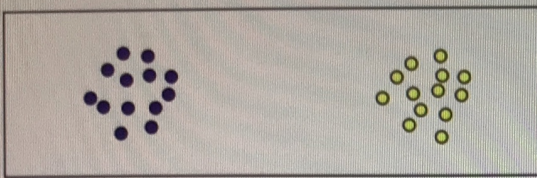
② We know that there are two classes, ^{in an image} which are background and foreground.

If V_b is small that means they are not very far apart from each other (it is not good result).

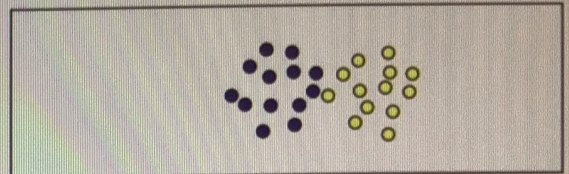
As the result V_b should be maximum.

"Thresholding giving the best separation of classes in gray levels would be the best threshold."

Good separability



Not-so-good separability



③ Classes are farther from each other.

④ Element in each class is most concentrated.

V_b ensure it \downarrow V_w ensure it

Higher class separability \rightarrow better thresholding

Between Variance: (V_b)

$$V_c = \frac{\sum_{p_i \in C} (p_i - \mu_c)^2}{N \times W_c} = \frac{\sum_{p_i \in C} (p_i^2 - 2p_i\mu_c + \mu_c^2)}{N \times W_c}$$

$$\Rightarrow \frac{\sum_{p_i \in C} p_i^2 - \sum_{p_i \in C} 2p_i\mu_c + \sum_{p_i \in C} \mu_c^2}{N \times W_c}$$

$$\Rightarrow \frac{\sum_{p_i \in C} p_i^2}{N \times W_c} - \frac{2\mu_c \cdot \sum p_i}{W_c \times N} + \frac{\mu_c^2 \cdot \cancel{N \times W_c}}{\cancel{N \times W_c}}$$

$$\Rightarrow \frac{\sum_{p_i \in C} p_i^2}{N \times W_c} - \frac{2\mu_c \left(\frac{\sum p_i}{N \times W_c} \right) + \mu_c^2}$$

$$\Rightarrow \frac{\sum_{p_i \in C} p_i^2}{N \times W_c} - 2\mu_c \cdot \mu_c + \mu_c^2$$

$$V_c \Rightarrow \frac{\sum_{p_i \in C} p_i^2}{N \times W_c} - \mu_c^2$$

Now, [within variance]

$$V_w = W_{c_1} \times V_{c_1} + W_{c_2} \times V_{c_2}$$

$$= W_{c_1} \times \left(\frac{\sum_{p_i \in C_1} p_i^2}{N \times W_{c_1}} - \mu_{c_1}^2 \right) + W_{c_2} \times \left(\frac{\sum_{p_i \in C_2} p_i^2}{N \times W_{c_2}} - \mu_{c_2}^2 \right)$$

$$V_w = \frac{\sum_{p_i \in C_1} p_i^2}{N} - W_{C_1} \times \mu_{C_1}^2 + \frac{\sum_{p_i \in C_2} p_i^2}{N} - W_{C_2} \times \mu_{C_2}^2$$

[Total variance]

$$V_T = \frac{\sum_{i=1}^N (p_i - \mu_T)^2}{N}$$

following previous expansion

$$V_T = \frac{\sum_{i=1}^N p_i^2}{N} - \mu_T^2$$

[Between variance]

$$\Rightarrow V_T = V_b + V_w$$

$$\Rightarrow V_b = V_T - V_w$$

$$\Rightarrow V_b = \left(\frac{\sum p_i^2}{N} - \mu_T^2 \right) - \left(\frac{\sum_{p_i \in C_1} p_i^2}{N} - W_{C_1} \times \mu_{C_1}^2 + \frac{\sum_{p_i \in C_2} p_i^2}{N} - W_{C_2} \times \mu_{C_2}^2 \right)$$

$$\Rightarrow V_b = W_{C_1} \times \mu_{C_1}^2 + W_{C_2} \times \mu_{C_2}^2 - \mu_T^2$$

$$\Rightarrow V_b = W_{C_1} \times \mu_{C_1}^2 + W_{C_2} \times \mu_{C_2}^2 - 2\mu_T^2 + \mu_T^2$$

$$\text{Here, } W_{C_1} + W_{C_2} = 1 \quad \& \quad \mu_T = W_{C_1} \times \mu_{C_1} + W_{C_2} \times \mu_{C_2}$$

now,

$$\Rightarrow W_{C_1} \mu_{C_1}^2 + W_{C_2} \mu_{C_2}^2 - 2(W_{C_1} \mu_{C_1} + W_{C_2} \mu_{C_2}) \cdot \mu_T + (W_{C_1} + W_{C_2}) \mu_T^2$$

$$\Rightarrow W_{C_1} \mu_{C_1}^2 + W_{C_2} \mu_{C_2}^2 - 2W_{C_1} \mu_{C_1} \mu_T - 2W_{C_2} \mu_{C_2} \mu_T + W_{C_1} \mu_T^2 + W_{C_2} \mu_T^2$$

$$\Rightarrow W_{c_1} (M_{c_1}^2 - 2 M_{c_1} \mu_T + M_T^2) + W_{c_2} (M_{c_2}^2 - M_{c_2} \mu_T + M_T^2)$$

$$V_b \Rightarrow W_{c_1} (M_{c_1} - M_T)^2 + W_{c_2} (M_{c_2} - M_T)^2$$

here, $\mu_T = W_{c_1} M_{c_1} + W_{c_2} M_{c_2}$

now,

$$V_b \Rightarrow W_{c_1} \left(M_{c_1} - (W_{c_1} M_{c_1} + W_{c_2} M_{c_2}) \right)^2 +$$

$$W_{c_2} \left(M_{c_2} - (W_{c_1} M_{c_1} + W_{c_2} M_{c_2}) \right)^2$$

$$\Rightarrow W_{c_1} \left(M_{c_1} - \left((1 - W_{c_2}) M_{c_1} + W_{c_2} M_{c_2} \right) \right)^2 +$$

$$W_{c_2} \left(M_{c_2} - \left(W_{c_1} M_{c_1} + (1 - W_{c_1}) M_{c_2} \right) \right)^2$$

$$\Rightarrow W_{c_1} \left(M_{c_1} - \left(M_{c_1} - M_{c_1} W_{c_2} + W_{c_2} M_{c_2} \right) \right)^2 +$$

$$W_{c_2} \left(M_{c_2} - \left(W_{c_1} M_{c_1} + M_{c_2} - W_{c_1} M_{c_2} \right) \right)^2$$

$$\Rightarrow W_{c_1} \left(\cancel{M_{c_1}} - \cancel{M_{c_1}} + M_{c_1} W_{c_2} - W_{c_2} M_{c_2} \right)^2 +$$

$$W_{c_2} \left(\cancel{M_{c_2}} - W_{c_1} M_{c_1} - \cancel{M_{c_2}} + W_{c_1} M_{c_2} \right)^2$$

$$\Rightarrow W_{c_1} \left(W_{c_2} (M_{c_1} - M_{c_2}) \right)^2 + W_{c_2} \left(W_{c_1} (M_{c_2} - M_{c_1}) \right)^2$$

$$\Rightarrow W_{c_1} W_{c_2}^2 (M_{c_1} - M_{c_2})^2 + W_{c_2} W_{c_1}^2 (M_{c_2} - M_{c_1})^2$$

$$\Rightarrow \left(W_{c_1} W_{c_2}^2 + W_{c_2} W_{c_1}^2 \right) (M_{c_1} - M_{c_2})^2$$

$$\hookrightarrow (a-b)^2 = a^2 + b^2 - 2ab \Leftrightarrow$$

$$(b-a)^2 = b^2 + a^2 - 2ab$$

$$\Rightarrow W_{c_1} W_{c_2} \left(\frac{W_{c_2} + W_{c_1}}{2} \right) (\mu_{c_1} - \mu_{c_2})^2$$

$$V_b = W_{c_1} W_{c_2} (\mu_{c_1} - \mu_{c_2})^2 //$$

Observation:

- ① Large mean difference \rightarrow large V_b (between variance)
- ② When, mean diff is constant then maximum V_b can only be reached by $W_{c_1} = W_{c_2}$ (i.e. equal no. of background & foreground pixel).