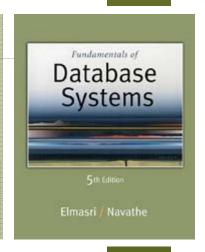


5th Edition

Elmasri / Navathe

# Chapter 3

Data Modeling Using the Entity-Relationship (ER) Model





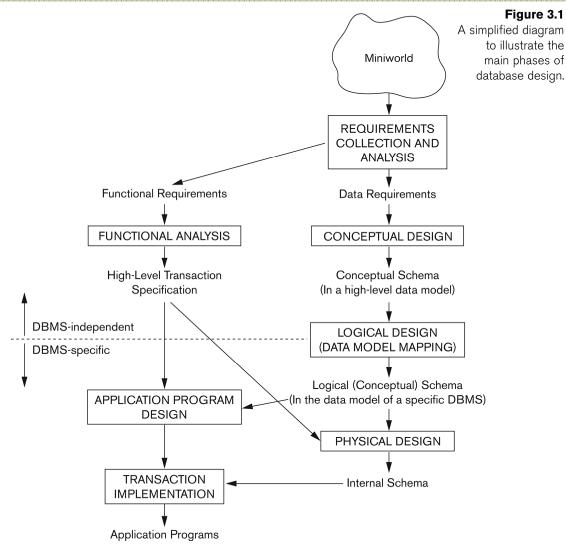
### **Chapter Outline**

- Overview of Database Design Process
- Example Database Application (COMPANY)
- ER Model Concepts
  - Entities and Attributes
  - Entity Types, Value Sets, and Key Attributes
  - Relationships and Relationship Types
  - Weak Entity Types
  - Roles and Attributes in Relationship Types
- ER Diagrams Notation
- ER Diagram for COMPANY Schema
- Alternative Notations UML class diagrams, others

### Overview of Database Design Process

- Two main activities:
  - Database design
  - Applications design
- Focus in this chapter on database design
  - To design the conceptual schema for a database application
- Applications design focuses on the programs and interfaces that access the database
  - Generally considered part of software engineering

### Overview of Database Design Process



# **Example COMPANY Database**

- We need to create a database schema design based on the following (simplified) requirements of the COMPANY Database:
  - The company is organized into DEPARTMENTs. Each department has a name, number and an employee who manages the department. We keep track of the start date of the department manager. A department may have several locations.
  - Each department controls a number of PROJECTs. Each project has a unique name, unique number and is located at a single location.

# Example COMPANY Database (Contd.)

- We store each EMPLOYEE's social security number, address, salary, sex, and birthdate.
  - Each employee works for one department but may work on several projects.
  - We keep track of the number of hours per week that an employee currently works on each project.
  - We also keep track of the direct supervisor of each employee.
- Each employee may have a number of DEPENDENTs.
  - For each dependent, we keep track of their name, sex, birthdate, and relationship to the employee.

### **ER Model Concepts**

- Entities and Attributes
  - Entities are specific objects or things in the mini-world that are represented in the database.
    - For example the EMPLOYEE John Smith, the Research DEPARTMENT, the ProductX PROJECT
  - Attributes are properties used to describe an entity.
    - For example an EMPLOYEE entity may have the attributes Name, SSN, Address, Sex, BirthDate
  - A specific entity will have a value for each of its attributes.
    - For example a specific employee entity may have Name='John Smith', SSN='123456789', Address ='731, Fondren, Houston, TX', Sex='M', BirthDate='09-JAN-55'
  - Each attribute has a value set (or data type) associated with it – e.g. integer, string, subrange, enumerated type, ...

# Types of Attributes (1)

#### Simple

 Each entity has a single atomic value for the attribute. For example, SSN or Sex.

#### Composite

- The attribute may be composed of several components. For example:
  - Address(Apt#, House#, Street, City, State, ZipCode, Country), or
  - Name(FirstName, MiddleName, LastName).
  - Composition may form a hierarchy where some components are themselves composite.

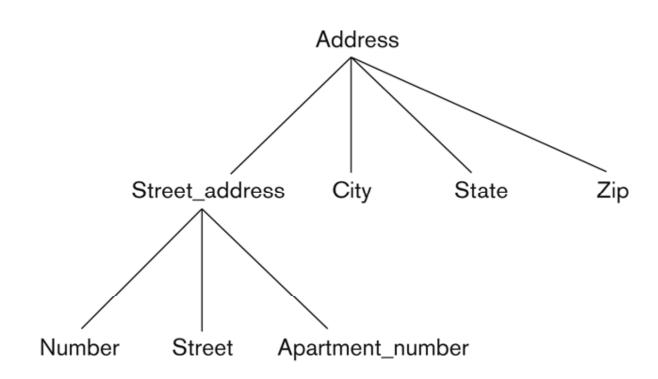
#### Multi-valued

- An entity may have multiple values for that attribute. For example, Color of a CAR or PreviousDegrees of a STUDENT.
  - Denoted as {Color} or {PreviousDegrees}.

# Types of Attributes (2)

- In general, composite and multi-valued attributes may be nested arbitrarily to any number of levels, although this is rare.
  - For example, PreviousDegrees of a STUDENT is a composite multi-valued attribute denoted by {PreviousDegrees (College, Year, Degree, Field)}
  - Multiple PreviousDegrees values can exist
  - Each has four subcomponent attributes:
    - College, Year, Degree, Field

# Example of a composite attribute



**Figure 3.4** A hierarchy of composite attributes.

# Entity Types and Key Attributes (1)

- Entities with the same basic attributes are grouped or typed into an entity type.
  - For example, the entity type EMPLOYEE and PROJECT.
- An attribute of an entity type for which each entity must have a unique value is called a key attribute of the entity type.
  - For example, SSN of EMPLOYEE.

# Entity Types and Key Attributes (2)

- A key attribute may be composite.
  - VehicleTagNumber is a key of the CAR entity type with components (Number, State).
- An entity type may have more than one key.
  - The CAR entity type may have two keys:
    - VehicleIdentificationNumber (popularly called VIN)
    - VehicleTagNumber (Number, State), aka license plate number.
- Each key is <u>underlined</u>

# Displaying an Entity type

- In ER diagrams, an entity type is displayed in a rectangular box
- Attributes are displayed in ovals
  - Each attribute is connected to its entity type
  - Components of a composite attribute are connected to the oval representing the composite attribute
  - Each key attribute is underlined
  - Multivalued attributes displayed in double ovals
- See CAR example on next slide

# Entity Type CAR with two keys and a corresponding Entity Set

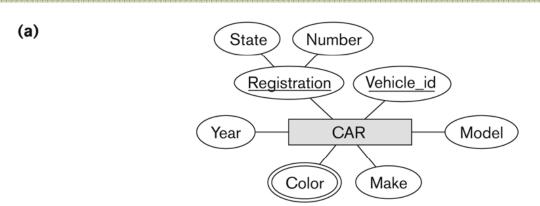


Figure 3.7

The CAR entity type with two key attributes, Registration and Vehicle\_id. (a) ER diagram notation. (b) Entity set with three entities.

(b) CAR
Registration (Number, State), Vehicle\_id, Make, Model, Year, {Color}

CAR<sub>1</sub>
((ABC 123, TEXAS), TK629, Ford Mustang, convertible, 2004 {red, black})

CAR<sub>2</sub>
((ABC 123, NEW YORK), WP9872, Nissan Maxima, 4-door, 2005, {blue})

CAR<sub>3</sub>
((VSY 720, TEXAS), TD729, Chrysler LeBaron, 4-door, 2002, {white, blue})

# **Entity Set**

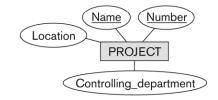
- Each entity type will have a collection of entities stored in the database
  - Called the entity set
- Previous slide shows three CAR entity instances in the entity set for CAR
- Same name (CAR) used to refer to both the entity type and the entity set
- Entity set is the current state of the entities of that type that are stored in the database

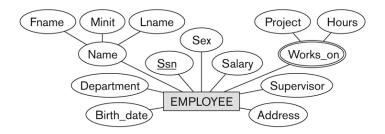
# Initial Design of Entity Types for the COMPANY Database Schema

- Based on the requirements, we can identify four initial entity types in the COMPANY database:
  - DEPARTMENT
  - PROJECT
  - EMPLOYEE
  - DEPENDENT
- Their initial design is shown on the following slide
- The initial attributes shown are derived from the requirements description

# Initial Design of Entity Types: EMPLOYEE, DEPARTMENT, PROJECT, DEPENDENT







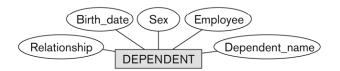


Figure 3.8

Preliminary design of entity types for the COMPANY database. Some of the shown attributes will be refined into relationships.

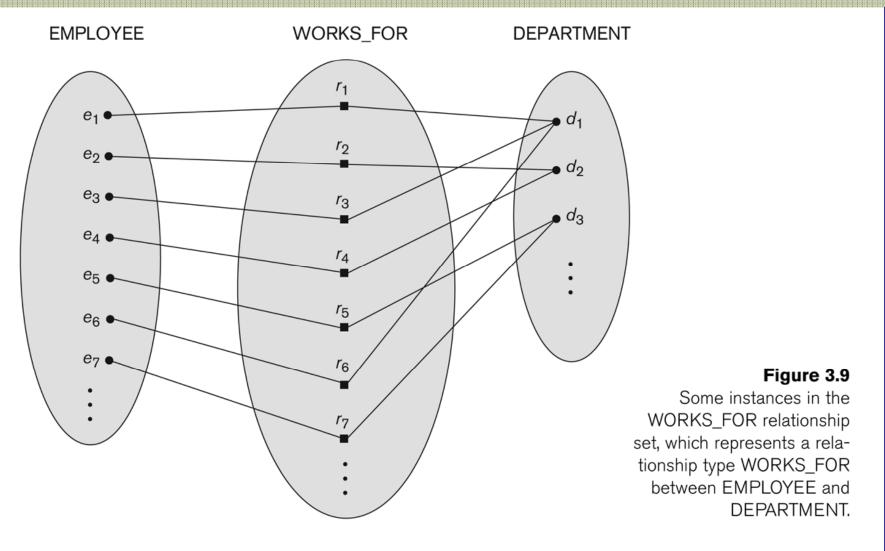
# Refining the initial design by introducing relationships

- The initial design is typically not complete
- Some aspects in the requirements will be represented as relationships
- ER model has three main concepts:
  - Entities (and their entity types and entity sets)
  - Attributes (simple, composite, multivalued)
  - Relationships (and their relationship types and relationship sets)
- We introduce relationship concepts next

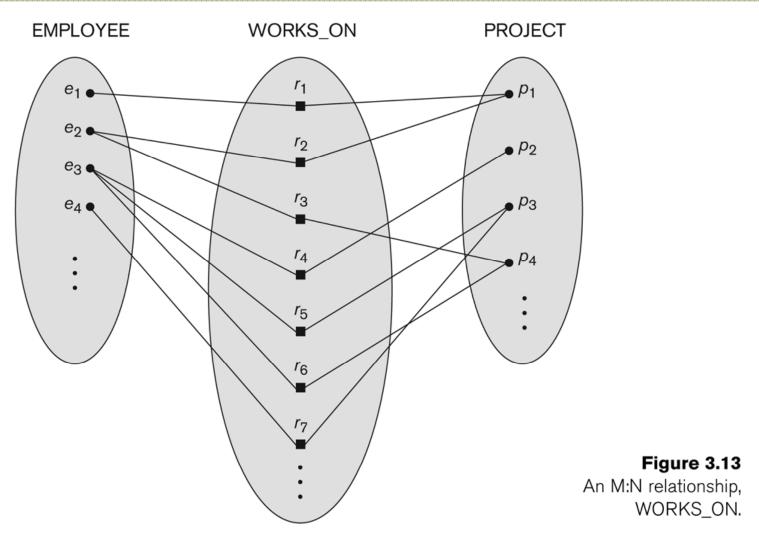
### Relationships and Relationship Types (1)

- A relationship relates two or more distinct entities with a specific meaning.
  - For example, EMPLOYEE John Smith works on the ProductX PROJECT, or EMPLOYEE Franklin Wong manages the Research DEPARTMENT.
- Relationships of the same type are grouped or typed into a relationship type.
  - For example, the WORKS\_ON relationship type in which EMPLOYEEs and PROJECTs participate, or the MANAGES relationship type in which EMPLOYEEs and DEPARTMENTs participate.
- The degree of a relationship type is the number of participating entity types.
  - Both MANAGES and WORKS\_ON are binary relationships.

# Relationship instances of the WORKS\_FOR N:1 relationship between EMPLOYEE and DEPARTMENT



# Relationship instances of the M:N WORKS\_ON relationship between EMPLOYEE and PROJECT



### Relationship type vs. relationship set (1)

- Relationship Type:
  - Is the schema description of a relationship
  - Identifies the relationship name and the participating entity types
  - Also identifies certain relationship constraints
- Relationship Set:
  - The current set of relationship instances represented in the database
  - The current state of a relationship type

#### Relationship type vs. relationship set (2)

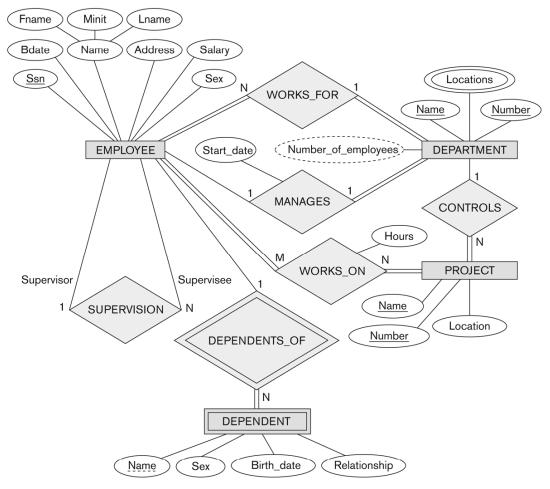
- Previous figures displayed the relationship sets
- Each instance in the set relates individual participating entities – one from each participating entity type
- In ER diagrams, we represent the relationship type as follows:
  - Diamond-shaped box is used to display a relationship type
  - Connected to the participating entity types via straight lines

# Refining the COMPANY database schema by introducing relationships

- By examining the requirements, six relationship types are identified
- All are binary relationships (degree 2)
- Listed below with their participating entity types:
  - WORKS\_FOR (between EMPLOYEE, DEPARTMENT)
  - MANAGES (also between EMPLOYEE, DEPARTMENT)
  - CONTROLS (between DEPARTMENT, PROJECT)
  - WORKS\_ON (between EMPLOYEE, PROJECT)
  - SUPERVISION (between EMPLOYEE (as subordinate), EMPLOYEE (as supervisor))
  - DEPENDENTS\_OF (between EMPLOYEE, DEPENDENT)

#### ER DIAGRAM – Relationship Types are:

WORKS\_FOR, MANAGES, WORKS\_ON, CONTROLS, SUPERVISION, DEPENDENTS\_OF



**Figure 3.2**An ER schema diagram for the COMPANY database. The diagrammatic notation is introduced gradually throughout this chapter.

### Discussion on Relationship Types

- In the refined design, some attributes from the initial entity types are refined into relationships:
  - Manager of DEPARTMENT -> MANAGES
  - Works\_on of EMPLOYEE -> WORKS\_ON
  - Department of EMPLOYEE -> WORKS\_FOR
  - etc
- In general, more than one relationship type can exist between the same participating entity types
  - MANAGES and WORKS\_FOR are distinct relationship types between EMPLOYEE and DEPARTMENT
  - Different meanings and different relationship instances.

# Recursive Relationship Type

- A relationship type with the same participating entity type in distinct roles
- Example: the SUPERVISION relationship
- EMPLOYEE participates twice in two distinct roles:
  - supervisor (or boss) role
  - supervisee (or subordinate) role
- Each relationship instance relates two distinct EMPLOYEE entities:
  - One employee in supervisor role
  - One employee in supervisee role

# Displaying a recursive relationship

- In a recursive relationship type.
  - Both participations are same entity type in different roles.
  - For example, SUPERVISION relationships between EMPLOYEE (in role of supervisor or boss) and (another) EMPLOYEE (in role of subordinate or worker).
- In following figure, first role participation labeled with 1 and second role participation labeled with 2.
- In ER diagram, need to display role names to distinguish participations.

# A Recursive Relationship Supervision`

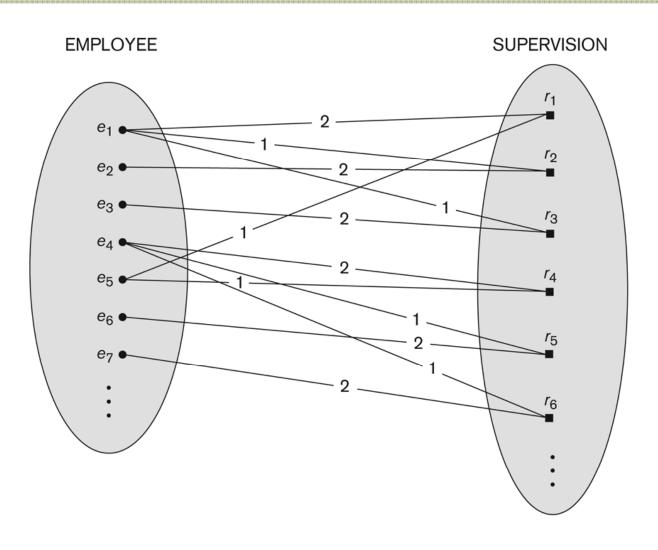


Figure 3.11

A recursive relationship SUPERVISION between EMPLOYEE in the *supervisor* role (1) and EMPLOYEE in the *subordinate* role (2).

# Weak Entity Types

- An entity that does not have a key attribute
- A weak entity must participate in an identifying relationship type with an owner or identifying entity type
- Weak entities are identified by the combination of:
  - A partial key of the weak entity type
  - The particular entity they are related to in the identifying entity type

#### Example:

- A DEPENDENT entity is identified by the dependent's first name, and the specific EMPLOYEE with whom the dependent is related
- Name of DEPENDENT is the partial key
- DEPENDENT is a weak entity type
- EMPLOYEE is its identifying entity type via the identifying relationship type DEPENDENT\_OF

### Constraints on Relationships

- Constraints on Relationship Types
  - Cardinality Ratio (specifies maximum participation)
    - Shown by placing appropriate numbers on the relationship edges.
      - One-to-one (1:1)
      - One-to-many (1:N) or Many-to-one (N:1)
      - Many-to-many (M:N)
  - Existence Dependency Constraint (specifies minimum participation) (also called participation constraint)
    - zero (optional participation, not existence-dependent)
      - shown by single line
    - one or more (mandatory participation, existence-dependent)
      - shown by double line

# Attributes of Relationship types

- A relationship type can have attributes:
  - For example, HoursPerWeek of WORKS\_ON
  - Its value for each relationship instance describes the number of hours per week that an EMPLOYEE works on a PROJECT.
    - A value of HoursPerWeek depends on a particular (employee, project) combination
  - Most relationship attributes are used with M:N relationships
    - In 1:N relationships, they can be transferred to the entity type on the N-side of the relationship

### Summary of notation for ER diagrams

