

# CS & IT ENGINEERING

Operating System

Process Synchronization  
*/Coordination*



Lecture No. 5



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## TOPICS TO BE COVERED

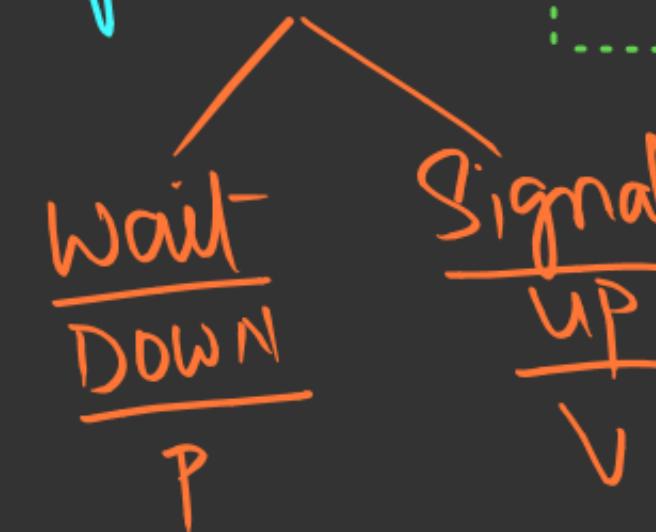
Semaphore

Counting & Binary  
Semaphores

Problem Solving

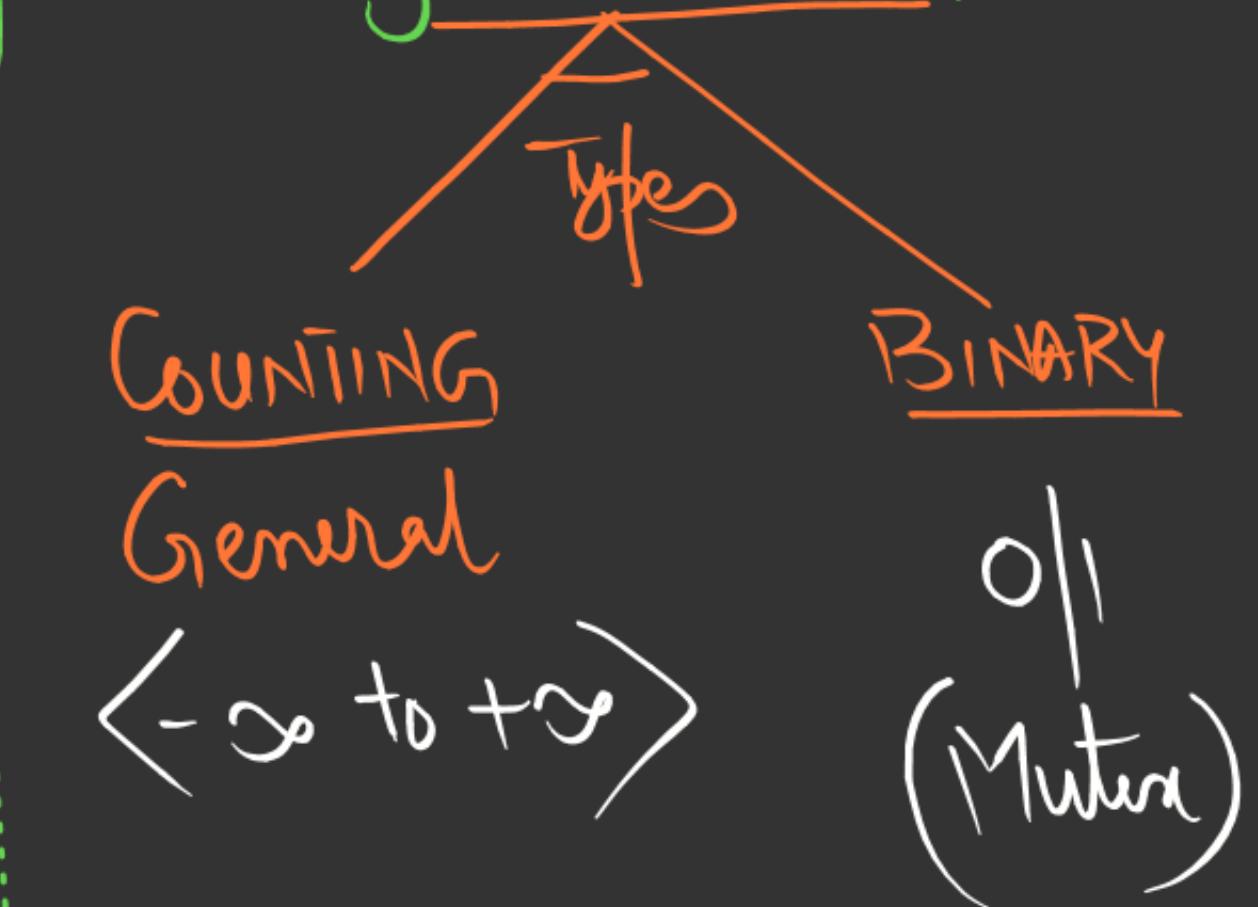
## SEMAPHORES :

- Proposed by E-Dijkstra
- It is an OS Resource
- It is a general purpose utility;
- It is implemented as an A.D.T
- Semaphore Support 2-operations
- Semaphore oprns are atomic;



→ Semaphore operations are used in the applications like System Calls;

→ Defn: Semaphore is a variable (SEM : ADT), that takes only Integer value;



# 1. COUNTING SEMAPHORE

Struct

```
{  
    int value;  
    QueueType L;  
} CSEM;
```

→ List of PCB's of those  
Processes, that gets  
Blocked while performing  
"DOWN" opn unsuccessfully

K.m

U.m

CSEM S;

S.value = 4;

Down(S);

<Struct>

Sys-  
call

km

DOWN(CSEM S)

{

1. S.value = S.value - 1;
2. if (S.value < 0) [unsuccess]

}

put this process (PCB)

in S.L (Queue)

& Block-it (Sleep)

else ( $\geq 0$ ) [success]

return;

}

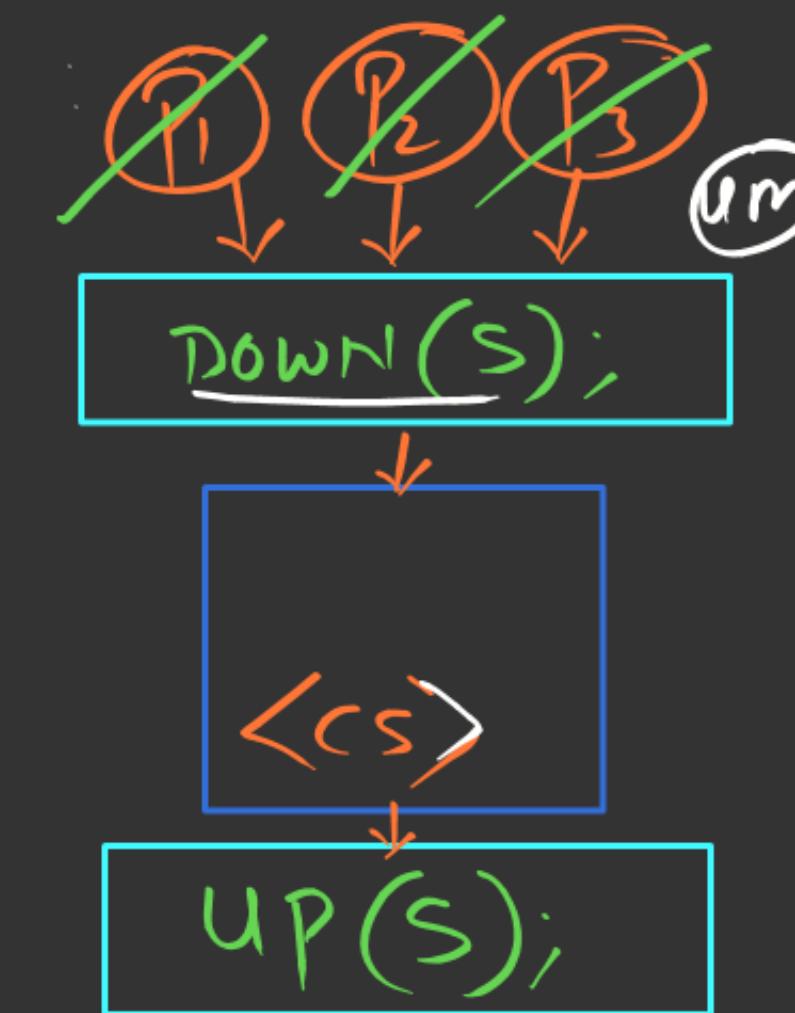


down: 1, 1, 1, 1

: 1, 1

Note 1: " +ve value indicates that those many down ops can be carried out successfully "

Note 2: -ve value indicates, No. of blocked processes in the Q



CSEM S;  
S = 1;



UP(CSEM S)

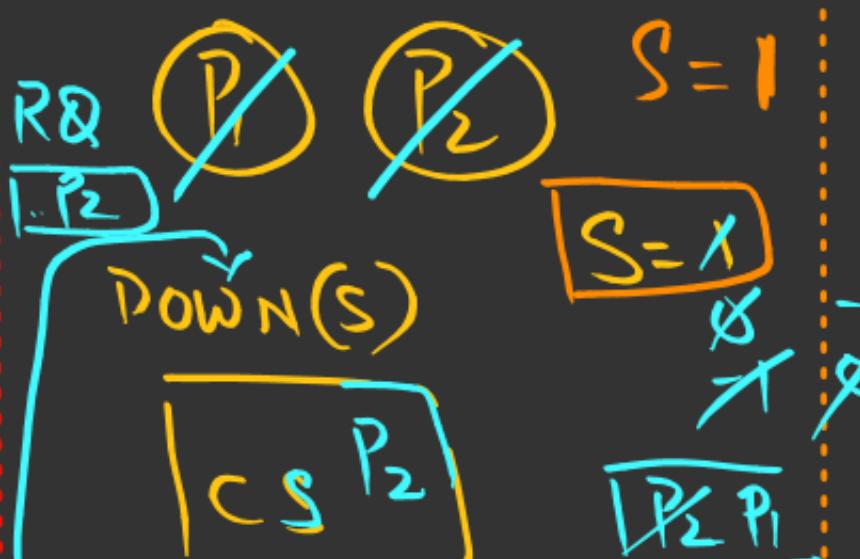
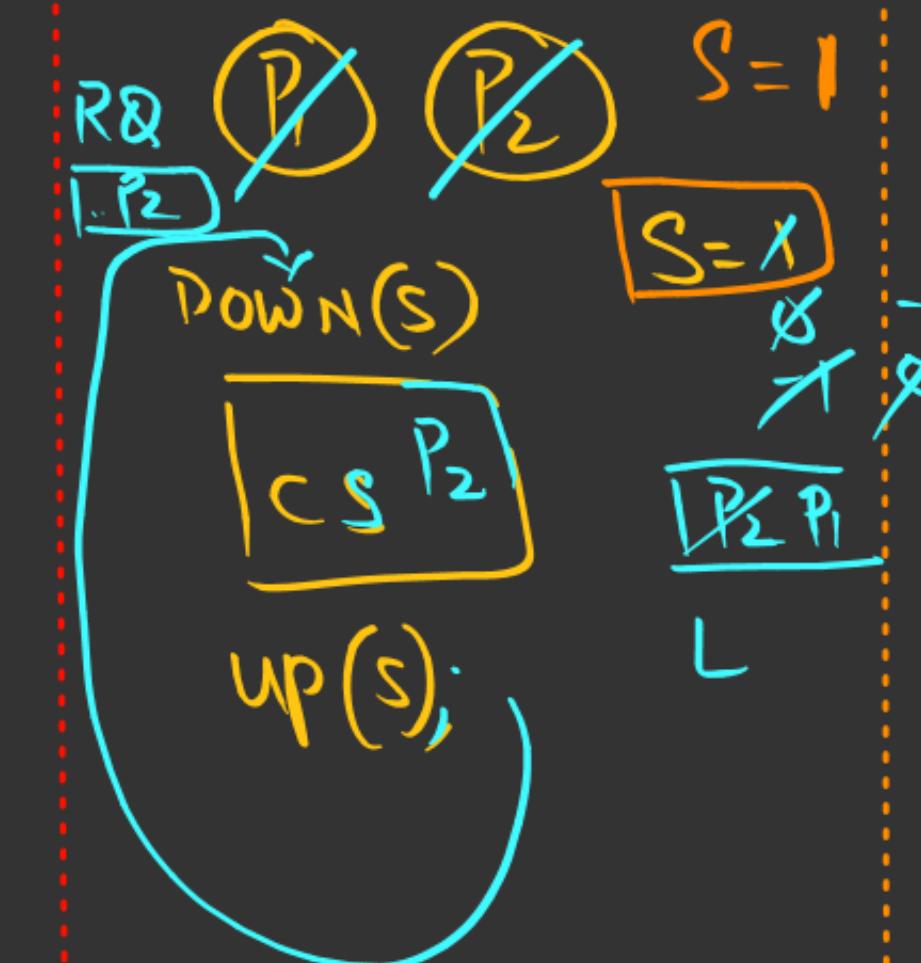
1. S.value = S.value + 1;

2. if (S.value <= 0)

{  
Select a Process from  
S.L(Q) & wakeup();  
}

} else

return;



Q1) CSEM S;

$$S = \frac{10}{4} ; \frac{7}{-8} ; \frac{-4}{-16} ; \frac{-13}{\checkmark}$$

Opns : ~~6P; 3V; 15P; 4V; 12P; 3V;~~

$$S = ?$$

$$\underline{\underline{= -13}} \checkmark$$

Q2) CSEM S;

$$S = x$$

$$\left[ x - 10 + 2 - 18 + 6 \right] = -5$$

Opns : 10P; 2V; 18P; 6V;

$$\underline{\underline{S = -5}}$$

$$x - 20 = -5$$

$$\underline{\underline{x = 15}} \checkmark$$

Q) Consider a Counting Semaphore  $S$ ; During an execution, 20 'P' ops & 12 'V' ops are issued in some order; The largest initial value of ' $S$ ' for which atleast one  $P(S)$  operation will remain blocked is —; (NAT)

$$S = x$$

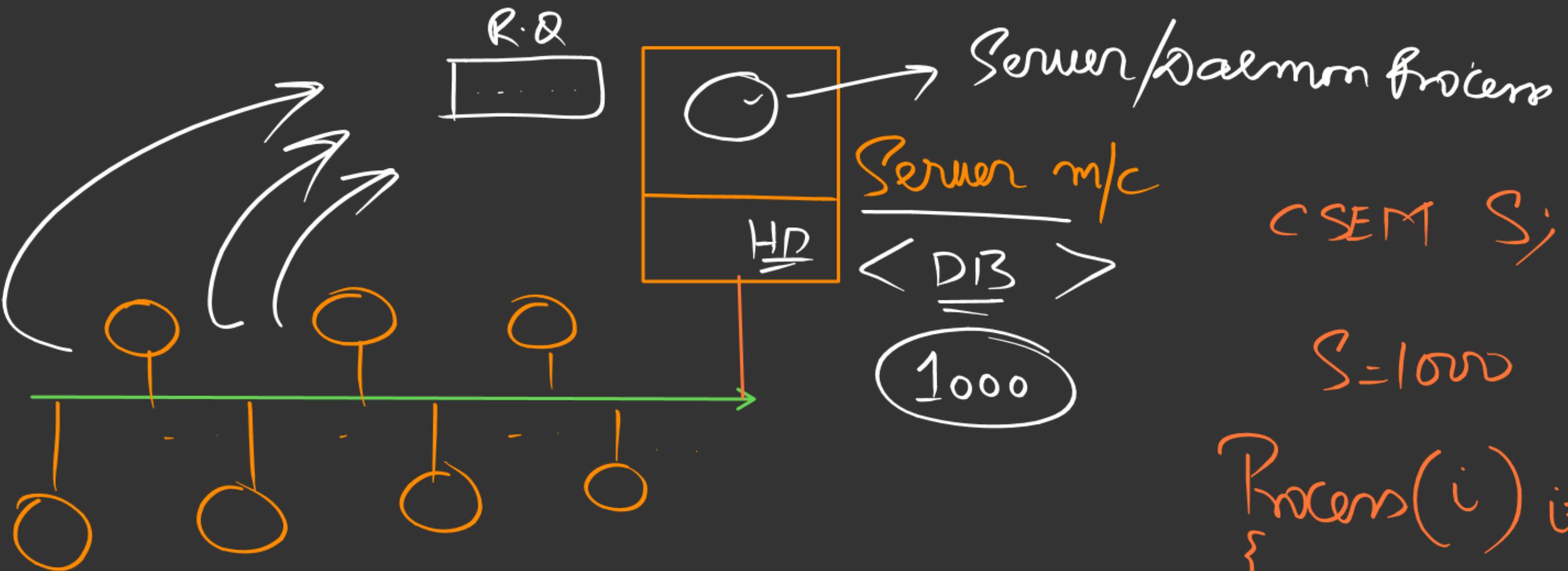
$$[x - 20 + 12] = -1$$

$$x - 8 = -1$$

$$\boxed{x = 7}$$

$$S = 7$$

$$[7 - 20 + 12] = -1$$



C-S-Environment

Server/baemm fricier  
Server m/c  
DB  
1000

CSEM S;

S=load

Process(i) i=1,∞

DOWN(s)

DB  
UP(s)

## II. BINARY SEMAPHORE (BSEM) / Mutexes

Struct

```
{  
    enum Value (0,1);  
    QueueType L;  
} BSEM;
```

BSEM S;

```
S = 1;  
:  
:  
:
```

```
Down(s);  
<stmt>;
```

DOWN(BSEM s)

1. if (S.value = 1)

```
{  
    S.value = 0;  
    return;  
}
```

else

```
{  
    put this  
    Process in
```

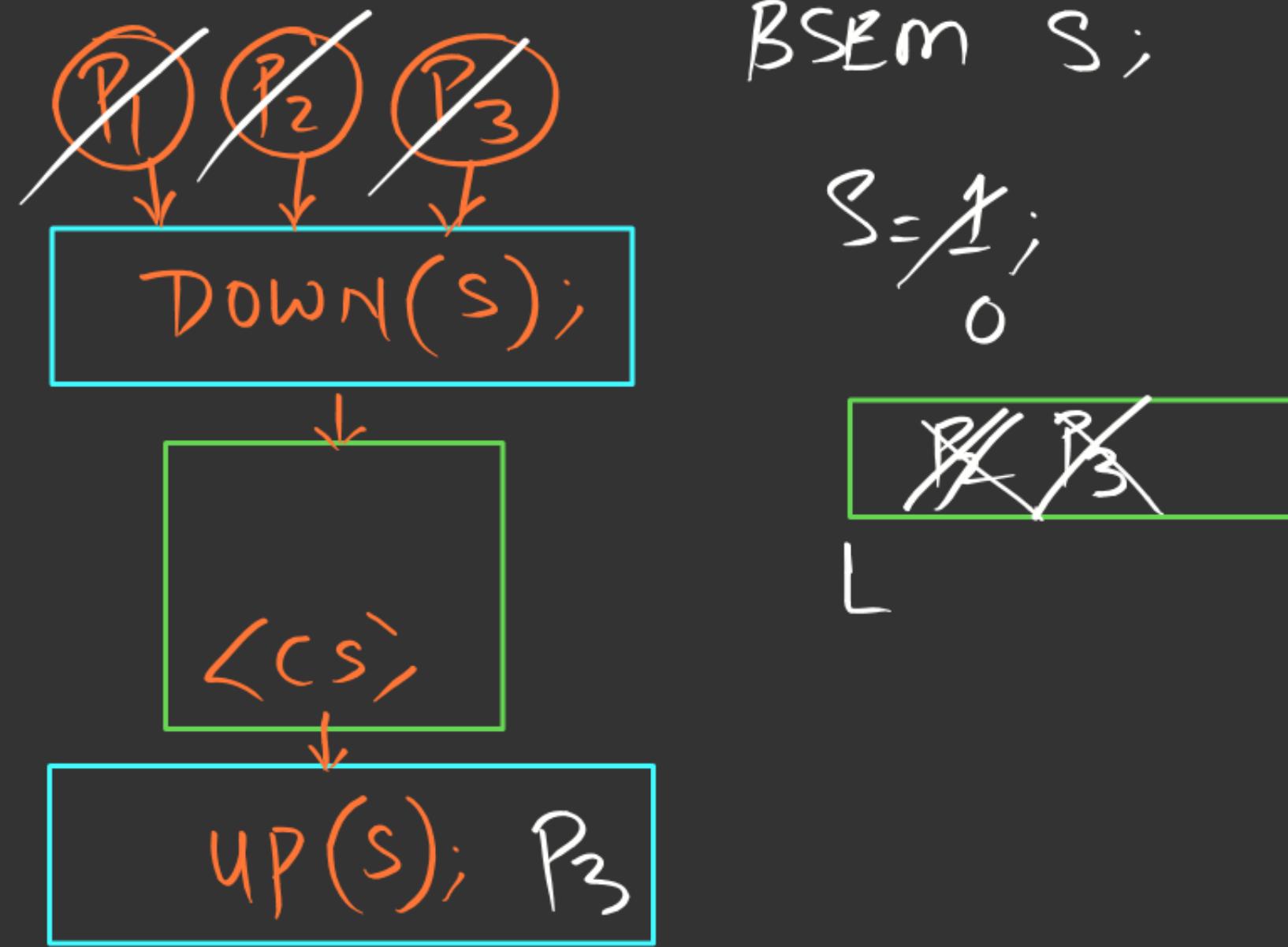
S.L(Q) &

Block(Sleep)

}

} Success

} Unsuccess



$UP(BSEM\ S)$   
 {  
 if ( $S.L(0)$  is NOT Empty)  
 {  
 Select a Process &  
 wakeup(); // value remains  
 }  
 else  
 {  
 S.value = 1;  
 }  
 }

Q1)  $\text{BSEM } S;$

Case I:

$S = 1;$

$P(S);$

$S = 0$

Status = Success

Case II:

$S = 0;$

$P(S);$

$S = 0$

Status = Unnecessary

Case III:

$S = 0$

$V(S);$

$S \leftarrow$   $0 - 'Q' \text{ is NOT Empty}$

$S \leftarrow$   $1 - 'Q' \text{ is Empty}$

Case IV:

$S = 1;$

$V(S);$

$S = 1$

Status = Success

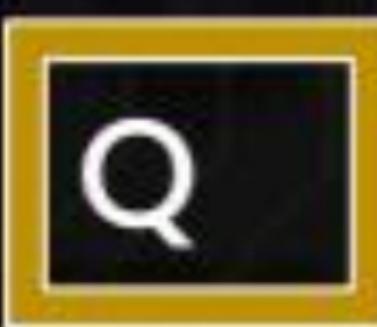
Case V:

$S = 0$  (Initial)

$V(S);$  (First opn)

$S = 1$  [ $Q$  is Empty]

Status = Success



Bsem S = 1 , T = 1

Pr(i)

{

while(1)  
{

P(S);  
P(T);  
**<CS>**  
V(T);  
V(S);

}

}

Same  
order

Pr(j)

{

while(1)  
{

P(S);  
~~P(T);~~  
~~P(T);~~  
**<CS>**  
V(S);  
V(T);

}

}

S=X; O  
T=X; O

~~Pr\_i~~

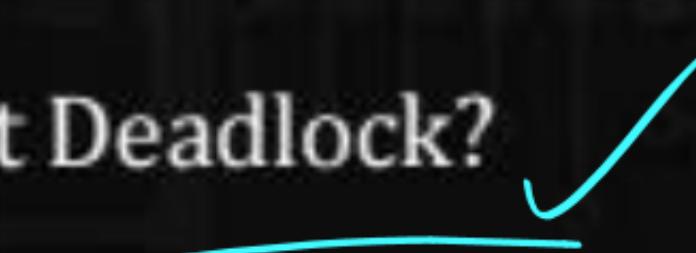
~~Pr\_j~~

Blocked

Pr\_i  
**<CS>**

Deadlock X

Does it guarantee ME? How about Deadlock?



BSem S = 1, T = X1PYQ

```
Pr(i)
{
    while(1)
    {
        P(T);
        Print('1');
        Print('1');
        V(S);
    }
}
```

```
Pr(j)
{
    while(1)
    {
        X
        P(S);
        Print('0');
        Print('0');
        V(T);
    }
}
```



What is the Regular Expression that gets generated?

(0|1) Sequence generated

001100110011...  
 $(0011)^+$

Does it guarantee  
ME? ✓

Progress = ? ✗

Bounded wait = ✓

Q

Bsem m [0...4] = {1};

Assign

```
Pr (i) i=0,4
{
    while (1)
    {
        P(m[i]);
        P(m[(i+1)%4]);
        <CS>
        V(m[i]);
        V(m[(i+1)%4]);
    }
}
```

What is the maximum no. of processes that can be in <CS>.

Q.

BSem S = 1, T = 0, Z = 0

H/W



Pr (i)

{

While (1)

{

P(S);

Print (\*);

V(T);

V(Z);

}

}

Pr (j)

{

P(T);

V(S);

}

Pr (k)

{

P(Z);

V(S);

}

What is the minimum and maximum no. of "\*" that get printed.

Q

Consider the following threads, T1, T2 and T3 executing on a single processor, synchronized using three binary semaphore variables, S1, S2, and S3, operated upon using standard wait() and signal(). The threads can be context switched in any order and at any time.

Which initialization of the semaphores would print the Sequence BCABCABC.....?

- A.  $S_1 = 1; S_2 = 1; S_3 = 1$
- B.  $S_1 = 1; S_2 = 1; S_3 = 0$
- C.  $S_1 = 1; S_2 = 0; S_3 = 0$
- D.  $S_1 = 0; S_2 = 1; S_3 = 1$

T <sub>1</sub>	T <sub>2</sub>	T <sub>3</sub>
While(true){	While(true){	While(true){
Wait(S <sub>3</sub> );	Wait(S <sub>1</sub> )	Wait(S <sub>2</sub> )
Print("C");	Print("B");	Print("A");
Signal(S <sub>2</sub> );}	Signal(S <sub>3</sub> );}	Signal(S <sub>1</sub> );}

Q

H/W

Consider a counting semaphore initialized to 2, there are 4 concurrent processes  $P_i$ ,  $P_j$ ,  $P_k$  &  $P_L$ . The Processes  $P_i$  &  $P_j$  desire to increment the current value of variable C by 1 whereas  $P_k$  &  $P_L$  desire to decrement the current value of C by 1. All Processes perform their update on C under semaphore control. What can be the minimum and maximum value of C after all Processes finish their update.

**Q** $H/\omega$ P  
W

Consider Three Processes using four Binary Semaphores a, b, c, d in the order shown below.

Which Sequence is a Deadlock Free sequence?

(I) X: P(a); P(b); P(c);

Y: P(b); P(c); P(d);

Z: P(c); P(d); P(a);

(II) X: P(b); P(a); P(c);

Y: P(b); P(c); P(d);

Z: P(a); P(c); P(d);

(III) X: P(b); P(a); P(c);

Y: P(c); P(b); P(d);

Z: P(a); P(c); P(d);

(IV) X: P(a); P(b); P(c);

Y: P(c); P(b); P(d);

Z: P(c); P(d); P(a);

Q

Each of a set of  $n$  processes executes the following code using two semaphores  $a$  and  $b$  initialized to 1 and 0, respectively. Assume that  $count$  is a shared variable initialized to 0 and not used in CODE SECTION P.

P  
W**CODE SECTION P** $+1/\omega$ 

```
wait(a); count=count+1;  
if (count==n) signal (b);  
signal (a); wait (b) ; signal (b);
```

**CODE SECTION Q**

What does the code achieve ?

- A. It ensures that no process executes CODE SECTION Q before every process has finished CODE SECTION P.
- B. It ensures that two processes are in CODE SECTION Q at any time.
- C. It ensures that all processes execute CODE SECTION P mutually exclusively.
- D. It ensures that at most  $n-1$  processes are in CODE SECTION P at any time.

Consider the two functions `Incr()` and `Decr()`

```
Incr() {  
    wait(s);  
    x = x + 1;  
    signal(s);  
}  
  
Decr() {  
    wait(s);  
    x = x - 1;  
    signal(s);  
}
```

H/W

Five Processes invoke `Incr()` and Three Processes invoke `Decr()`  
X is a shared variable initialized to 10.

I<sub>1</sub> : s value is 1 (Binary semaphore)

I<sub>2</sub> : s value is 2 (Counting semaphore)

Let V<sub>1</sub> and V<sub>2</sub> be the minimum possible values of the implementation of I<sub>1</sub> and I<sub>2</sub>, then choose the values of x for V<sub>1</sub> and V<sub>2</sub>.

(A) 12, 7

(B) 7, 7

(C) 15, 7

(D) 12, 8

