

# CS & IT ENGINEERING

## Algorithms

Analysis of Algorithms

Lecture No. - 03



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Sir



# Recap of Previous Lecture



Topic

Types of Analysis

Topic

Asymptotic Notations: Big Oh, Omega and Theta

Topic

Topic

Topic

# Topics to be Covered



Topics

Small Notations

Properties of Asymptotic Notations







## Topic : Analysis of Algorithms

Big O notation is a mathematical notation that describes the **limiting behavior** of a **function** when the **argument** tends towards a particular value or infinity.

Big O is a member of a **family of notations** invented by Paul Bachmann, Edmund Landau, and others, collectively called Bachmann-Landau notation or **asymptotic notation**. The letter O was chosen by Bachmann to stand for **Ordnung**, meaning the **order of approximation**.

In **computer science**, big O notation is used to **classify algorithms** according to how their run time or space requirements grow as the input size grows.

In **analytic number theory**, big O notation is used to express a bound on the difference between an **arithmetical function** and a better understood approximation; a famous example of such a difference is the remainder term in the prime number theorem.





## Topic : Analysis of Algorithms

**Big O notation** is also used in many other fields to provides similar estimates.

Big O notation characterizes functions according to their growth rates: different functions with the same asymptotic growth rate may be represented using the same O notation. The letter O is used because the growth rate of a function is also referred to as the order of the function. A description of a function in terms of big O notation usually only provides an **upper bound** on the growth rate of the function.

Associated with big O notation are several related notations, using the symbols  $O$ ,  $\Omega$ ,  $\omega$  and  $\Theta$ , to describe other kinds of bounds on asymptotic growth rates.





## Topic : Analysis of Algorithms

**Definition:** A theoretical measure of the execution of an algorithm, usually the time or memory needed, given the problem size  $n$ , which is usually the number of items. Informally, saying some equation  $f(n) = O(g(n))$  means it is less than some constant multiple of  $g(n)$ . The notation is read, “ $f$  of  $n$  is big oh of  $g$  of  $n$ ”.

**Formal Definition:**  $f(n) = O(g(n))$  means there are positive constants  $c$  and  $n_0$ , such that  $0 < f(n) \leq cg(n)$  for all  $n \geq n_0$ . The values of  $c$  and  $n_0$  must be fixed for the function  $f$  and must not depend on  $n$ .



## Topic : Analysis of Algorithms

### Big-Omega Notation ( $\Omega$ ):

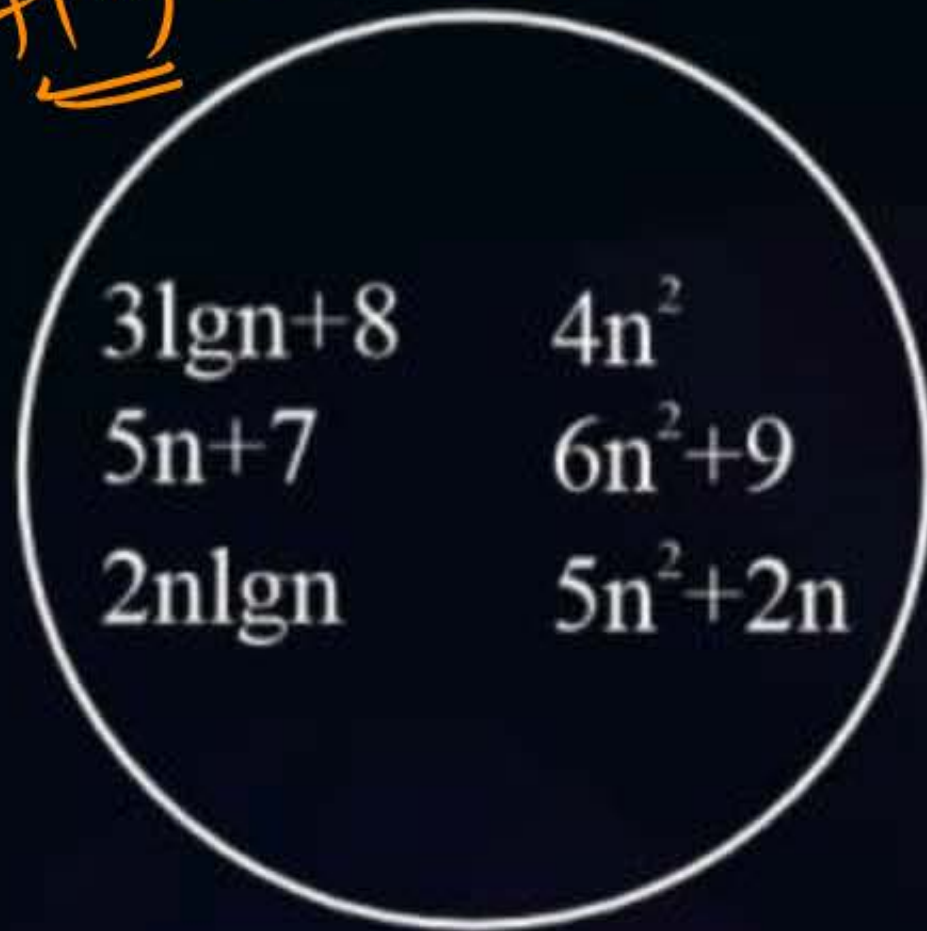
Similar to big O notation, big Omega ( $\Omega$ ) function is used in computer science to describe the performance or complexity of an algorithm. If a running time is  $\Omega(f(n))$ , then for large enough  $n$ , the running time is at least  $k.f(n)$  for some constant  $k$ .



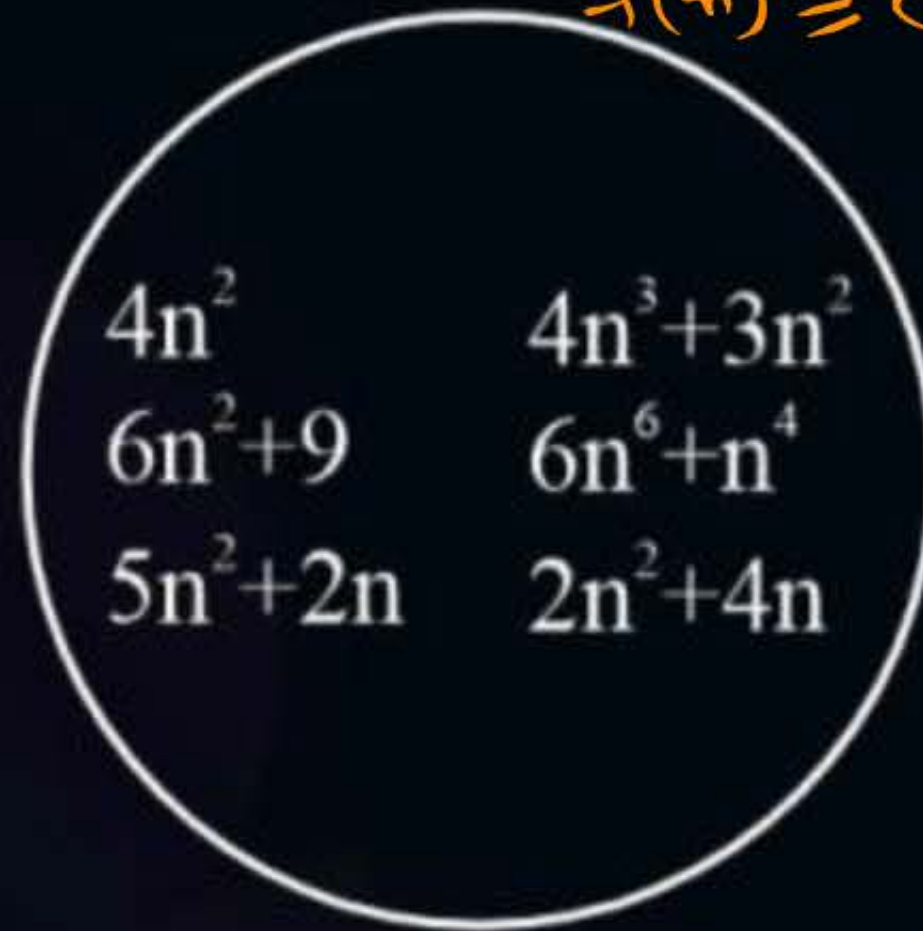


## Topic : Time Complexity

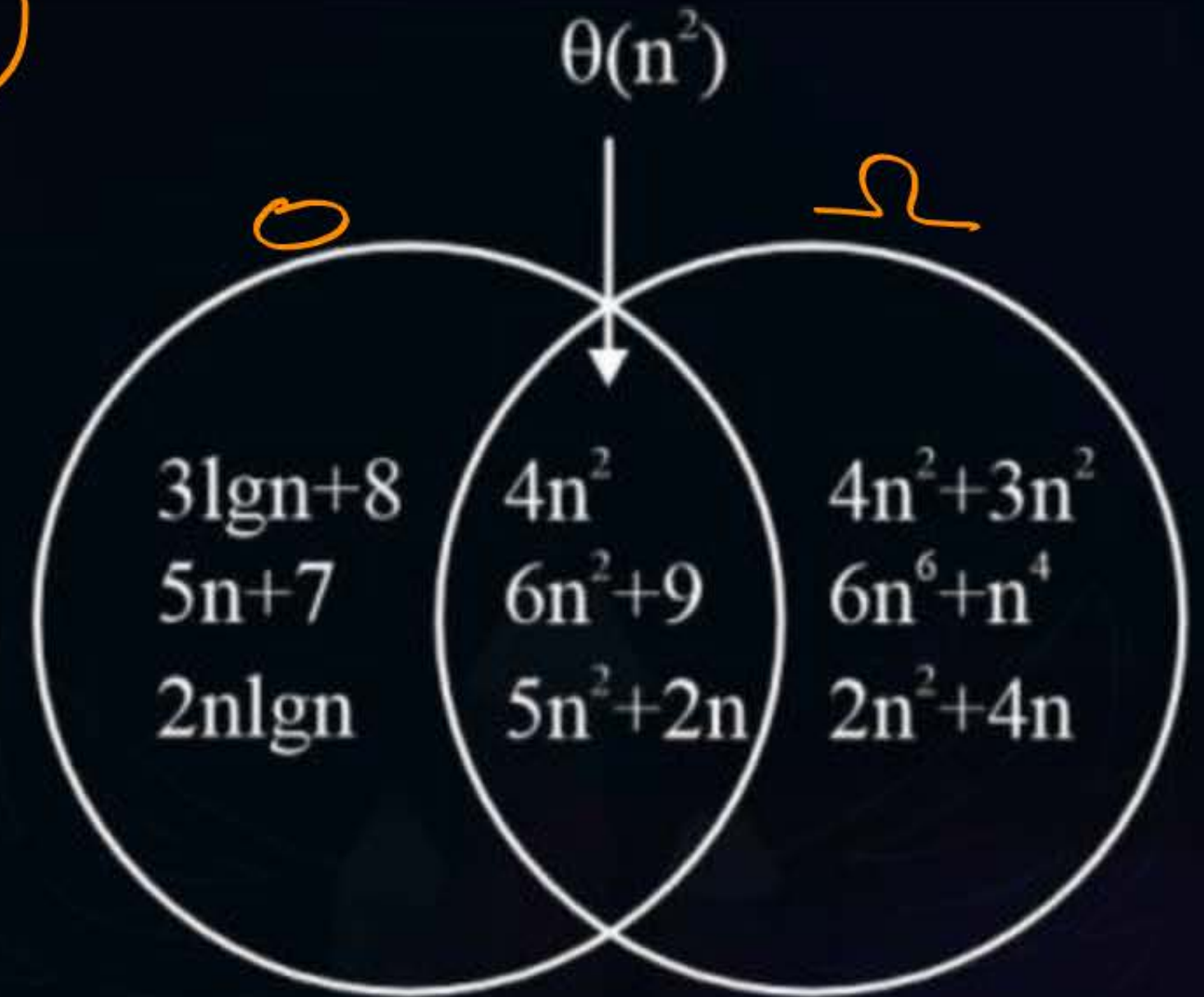
$f(n)$  is  $O(g(n))$  (Set of fms)  
 $f(n) \leq c \cdot g(n)$   
 $f(n) \geq c \cdot g(n)$



(a)  $O(n^2)$



(b)  $\Omega(n^2)$



(b)  $\Theta(n^2)$  =  $O(n^2) \cap \Omega(n^2)$

**Note:-** The set  $O(n^2)$ ,  $\Omega(n^2)$ ,  $\Theta(n^2)$ . Some exemplary member are shown.





## Topic: Analysis of Algorithms

### Small Notations:

The bounds provided by Big-Notations ( $O, \Omega$ )  
May or May Not be Tight;

$$f(n) = n^2 \begin{cases} O(n^2) : \text{Tight} \\ O(n^3) : \frac{\text{Not tight}}{\text{Loose}} \end{cases}$$

However the bounds provided by  
Small Notations ( $o, \omega$ ) is always  
NOT tight (Loose) < Proper Bounds >





## Topic: Analysis of Algorithms

4) Small-oh ( $o$ ): Proper U.B

$f(n)$  is  $o(g(n))$  iff

$f(n) < c \cdot g(n)$ , for all  $c > 0$ , whenever  
 $n > n_0$ , for  $n_0 > 0$

5) Small omega ( $\omega$ ): Proper Lower Bound

$f(n)$  is  $\omega(g(n))$  iff

$f(n) > c \cdot g(n)$ , for all  $c > 0$ ,  
whenever  $n > n_0$ , for  $n_0 > 0$





## Topic: Analysis of Algorithms



Ex:

$$f(n) = n$$

$$O(n), O(n^2), O(n \cdot \log n) \quad \checkmark$$

$$o(n \cdot \log n), o(n^2), o(n^3) \quad \checkmark$$

$$o(n) \quad \times$$

$$\Omega(n), \Omega(\log n), \Omega(1) \quad \checkmark$$

$$\omega(1), \omega(\log n) \quad \checkmark$$

$$\omega(n) \quad \times$$





## Topic: Analysis of Algorithms



$\Rightarrow$  Smaller fns are in order of Bigger fns

$\Rightarrow$  Larger fns are in Omega of Smaller fns

$$\underline{f(n)} = n \quad ; \quad \underline{g(n)} = \log n$$

(i) By taking logs

$$f(n) = n^2 \quad ; \quad g(n) = n^3 \quad \text{(ii) By Substituting values}$$





## Topic: Analysis of Algorithms

$$f(n) = n^2 - O(n^2)$$



I. Any Big-oh Satisfaction implies  
also Small-oh Satisfaction; (F)

II. Any Small-oh Satisfaction also  
implies Big-oh Satisfaction (T)

$$f(n) = n^2 \begin{cases} o(n^3) \\ O(n^3) \end{cases}$$





## Topic: Analysis of Algorithms



Analogy b/w Real No's &  
Asymptotic Notations

Let  $a, b$  : real No's ;  $f, g$  : fns

$$\text{i. } f(n) = O(g(n)) \iff a \leq b$$
$$\langle f(n) \leq c \cdot g(n) \rangle$$

$$\text{ii. } f(n) = \Omega(g(n)) \iff a \geq b$$

$$\text{iii. } f(n) = \Theta(g(n)) \iff a = b$$

$$\text{iv. } f(n) = o(g(n)) \iff a < b$$

$$\text{v. } f(n) = \omega(g(n)) \iff a > b$$





# Topic : General Properties of Big Oh Notation

Let  $d(n)$ ,  $e(n)$ ,  $f(n)$ , and  $g(n)$  be functions mapping nonnegative integers to nonnegative reals. Then

$$a * d(n)$$

1. If  $d(n)$  is  $O(f(n))$ , then  $ad(n)$  is  $O(f(n))$ , for any constant  $a > 0$ .
2. If  $d(n)$  is  $O(f(n))$  and  $e(n)$  is  $O(g(n))$ , then  $d(n) + e(n)$  is  $O(f(n) + g(n))$ .
3. If  $d(n)$  is  $O(f(n))$  and  $e(n)$  is  $O(g(n))$ , then  $d(n)e(n)$  is  $O(f(n)g(n))$ .
4. If  $d(n)$  is  $O(f(n))$  and  $f(n)$  is  $O(g(n))$ , then  $d(n)$  is  $O(g(n))$ . : Transitive
5. If  $f(n)$  is a polynomial of degree  $d$  (that is,  $f(n) = a_0 + a_1n + \dots + a_d n^d$ ) then  $f(n)$  is  $O(n^d)$ . ✓
6.  $n^x$  is  $O(a^n)$  for any fixed  $x > 0$  and  $a > 1$ . [Poly =  $O(\text{Exp})$ ]
7.  $\log n^x$  is  $O(\log n)$  for any fixed  $x > 0$ .
8.  $\log^x n$  is  $O(n^y)$  for any fixed constants  $x > 0$  and  $y > 0$ .

$$f(n) = n - O(n)$$

$$= 100 \cdot n - O(n)$$

$$= O(\max(f(n), g(n)))$$

: Transitive

$$(n+c)^m = O(n^m)$$

$$n^2 = O(2^n)$$

$$(\log n)^x = O(n^y)$$

$$\log n^x = x \cdot \log n = O(\log n)$$





## Topic: Analysis of Algorithms



$$d(n) = n^2 \quad ; \quad e(n) = n^3$$
$$\downarrow \qquad \qquad \qquad \downarrow$$
$$O(n^2) \qquad \qquad \qquad O(n^3)$$
$$f \qquad \qquad \qquad g$$

$$(n^2 + n^3) = O(n^3)$$

$$d(n) * e(n)$$
$$n^2 * n^3 = O(n^5)$$





# Topic: Analysis of Algorithms



$$n^2 <_A 2^n \quad \boxed{n=3}$$

$$\begin{matrix} n=2 & & & \\ 4 & 4 & 9 & 8 \end{matrix}$$

Dominance Relation :

Constants < Logarithmic < Polynomial < Exponential

$$2^{100} < \log n < n < n \log n < n^2 < n^3 \dots < 2^n < 4^n < n^n \dots$$

$$\sqrt{n} \quad (\log \log n)$$

$$n! <_A n^n \quad \underline{n > n_0}$$

$$\left[ (n(n-1)(n-2) \dots 1) < (n \cdot n \cdot n \dots n) \right]$$

$$n! = o(n^n)$$

$$= O(n^n) \checkmark$$





## Topic: Analysis of Algorithms

Proof for  $n! < n^n$

Stirling's Approximation

$$n! \sim \sqrt{2\pi n} * \left(\frac{n}{e}\right)^n \quad \text{vs} \quad n^n$$

$$\sqrt{2\pi} \cdot \sqrt{n} \cdot \frac{n^n}{e^n} \quad \text{vs} \quad n^n$$

$$C \cdot \sqrt{n} \quad \text{vs} \quad e^n$$

$$\boxed{\sqrt{n} \quad \text{vs} \quad e^n}$$

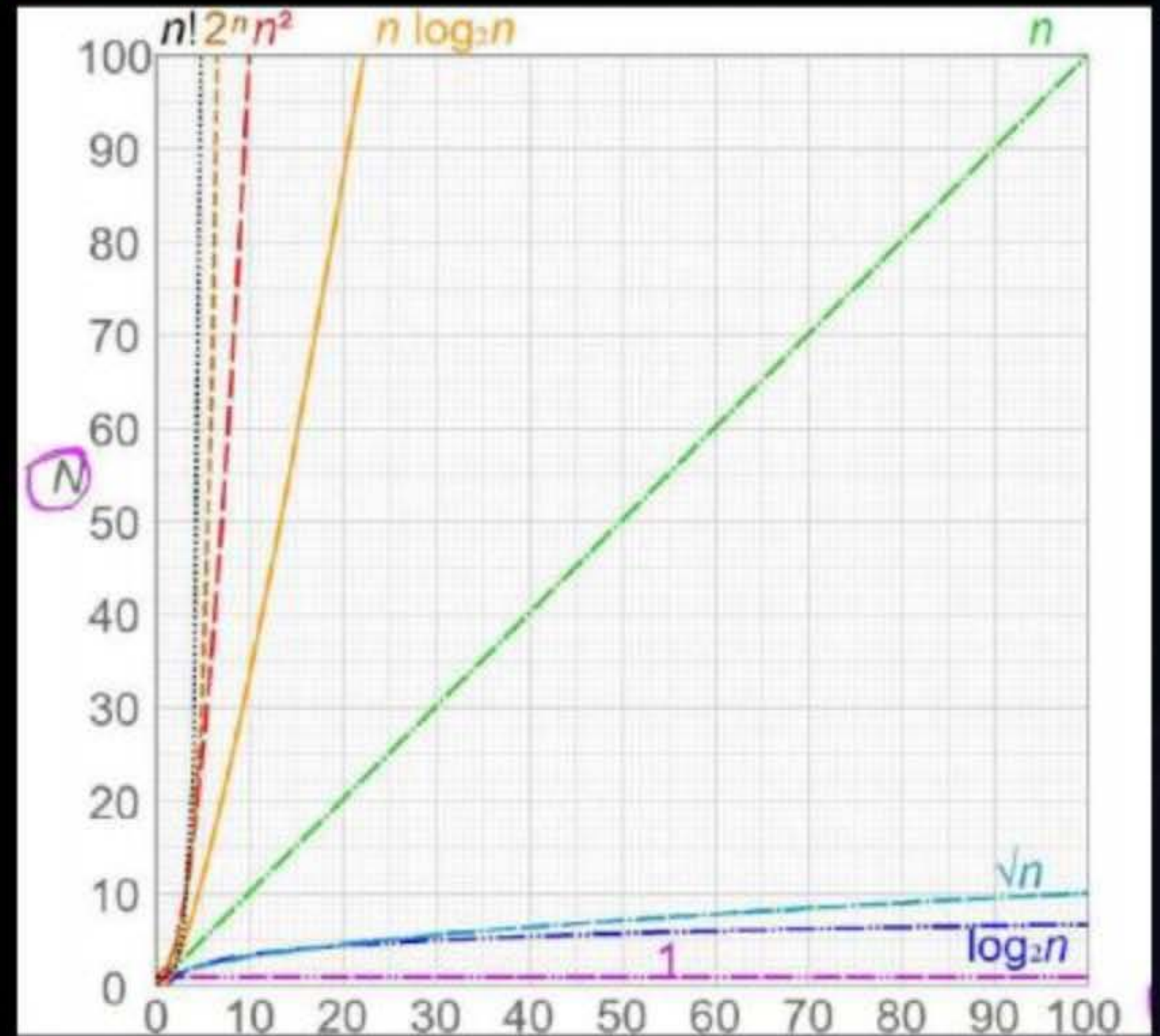
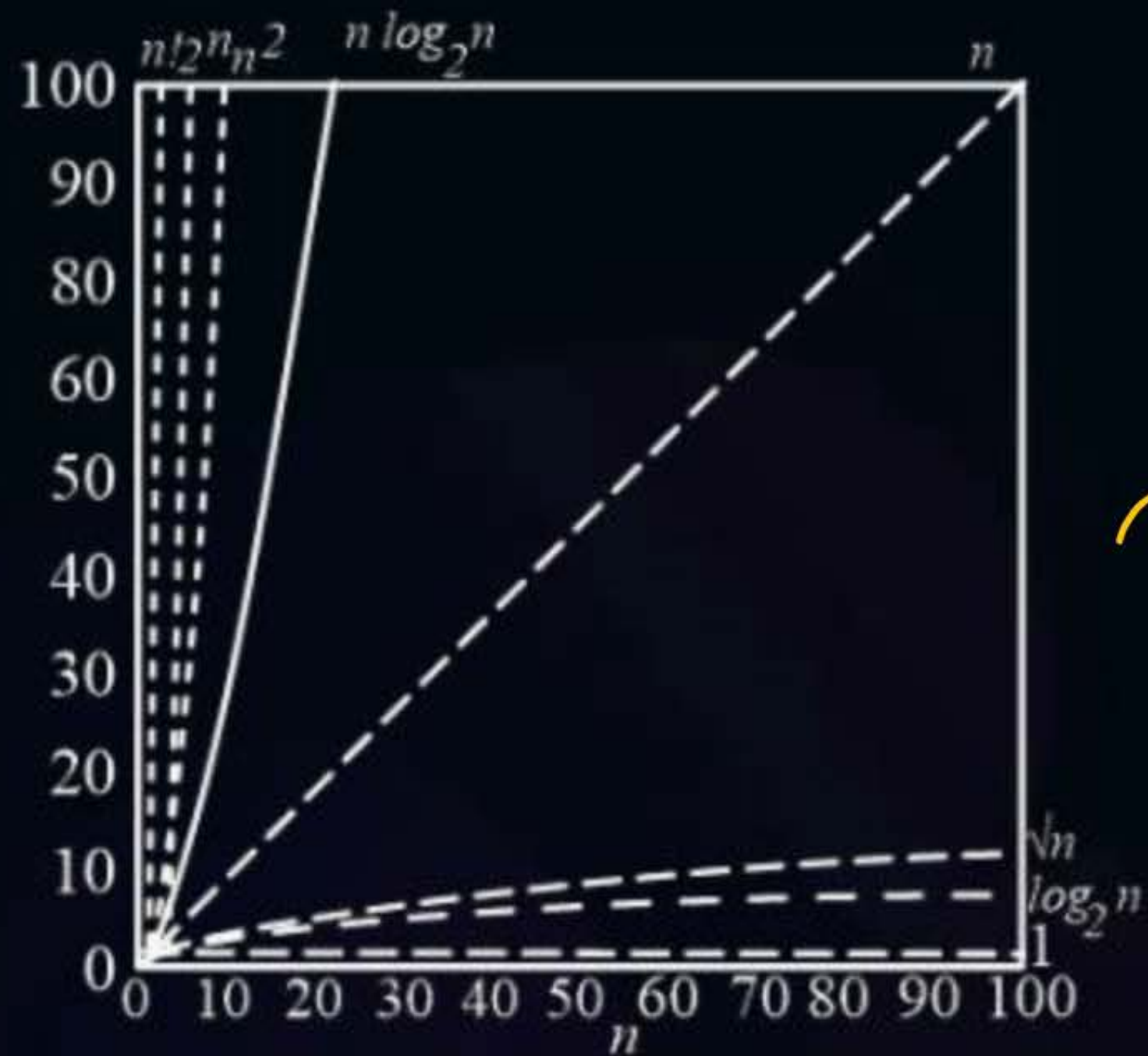
Polynomial vs Exponential

$$\sqrt{n} < e^n$$





# Topic: Analysis of Algorithms





# Discrete Properties of ASN's



Property	$\mathcal{O}$	$\Omega$	$\Theta$	$o$	$\omega$
Reflexive	✓	✓	✓	✗	✗
Symmetric	✗	✗	✓	✗	✗
Transitive	✓	✓	✓	✓	✓
Transpose Symmetry	$f(n) = \mathcal{O}(g(n))$ $\Rightarrow g(n) = \Omega(f(n))$			$f(n) = o(g(n))$ $\Rightarrow g(n) = \omega(f(n))$	

$$\left[ \begin{array}{l} a \leq b \\ \Rightarrow b \geq a \end{array} \right]$$



# \* Trichotomy Property

$f, g$  : functions

$$f < g : O, o$$

$$f > g : \Omega, \omega$$

$$f = g : \Theta$$

ASN's does not Satisfy  
Trichotomy Property

For any two real no's  $a, b$

$$a < b$$

$$a > b$$

$$a = b$$

??

1)  $f(n) = n$  ;  $g(n) = \log n$   
 $g$  is  $O(f)$

2)  $f(n) = 1/n$  ;  $g(n) = n^2$   
 $g$  is  $\Omega(f)$

3)  $f(n) = n^2$  ;  $g(n) = 10n^2$   
 $f$  is  $\Theta(g)$





4)  $f(n) = n$  ;  $g(n) = n^{1 + \sin n}$  \*

$= n^{1-1} = n^0 = 1$  !

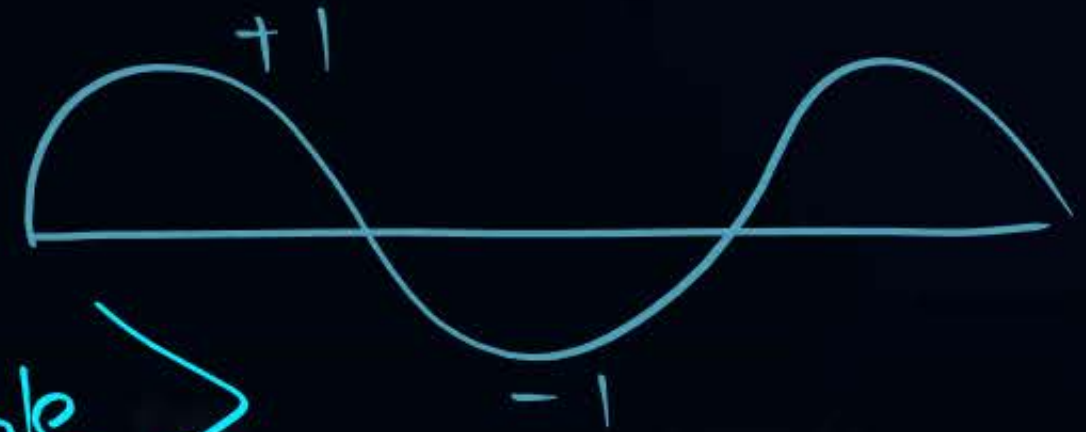
$= n^2$

Min : -1

Max : +1

$= \textcircled{n}$

$\langle f \text{ \& } g \text{ are Incomparable} \rangle$







## Topic: Properties of Logarithms

$$\log x^y = y \log x$$

$$\log xy = \log x + \log y$$

$$\log \log n = \log(\log n)$$

$$a^{\log_b x} = x^{\log_b a}$$

$$n = 16$$

$$\log \log n$$

$$\log \log_2 16 = \log \log_2 2^4 = \log_2 4 = 2$$

$$\log_{10} n = \log_{10} n$$

$$\log^k n = (\log n)^k$$

$$\log \frac{x}{y} = \log x - \log y$$

$$\log_b^x = \frac{\log_a^x}{\log_a^b}$$

$$a = b^{\log_b a}$$

$$\log_c (ab) = \log_c a + \log_c b$$

$$\log_b a^n = n \cdot \log_b a$$

$$\log_b a = \frac{\log_c a}{\log_c b}$$

$$\log_b^{1/a} = -\log_b a$$

$$\log_b a = \frac{1}{\log_a b}$$

$$a^{\log_b c} = c^{\log_b a}$$





## Topic : Exponentials



For all real  $a > 0$ ,  $m, n$

$$a^0 = 1$$

$$a^1 = a$$

$$a^{-1} = 1/a$$

$$(a^m)^n = a^{mn}$$

$$(a^m)^n = (a^n)^m$$

$$a^m \cdot a^n = a^{m+n}$$





## Topic: Summation Series

Airthmetic series

$$\sum_{k=1}^n k = 1 + 2 + \dots + n = \frac{n(n+1)}{2}$$

Geometric series

$$\sum_{k=0}^n x^k = 1 + x + x^2 + \dots + x^n = \frac{x^{n+1} - 1}{x - 1} \quad (x \neq 1)$$

Harmonic series \*

$$\sum_{k=1}^n \frac{1}{k} = 1 + \frac{1}{2} + \dots + \frac{1}{n} \approx \log n$$

$$\left( \sum_{x=1}^n \frac{1}{x} \approx \int_1^n \frac{1}{x} \cdot dx = \left[ \log x \right]_1^n = \log n \right)$$





## Topic : Geometric Sum Formula

1. The geometric sum formula for finite terms is given as:

if  $r = 1$ ,  $S_n = n * a$

if  $|r| < 1$ ,  $S_n = \frac{a(1 - r^n)}{1 - r}$  ✖

if  $|r| > 1$ ,  $S_n = \frac{a(r^n - 1)}{r - 1}$  ✖

Where

- $a$  is the first term
- $r$  is the common ratio
- $n$  is the number of terms





## Topic : Geometric Sum Formula

2. The geometric sum formula for infinite terms is given as:

if  $|r| < 1$ ,  $S_{\infty} = \frac{a}{1-r}$

If  $|r| > 1$ , the series does not converge and it has no sum.

$\log_2 n$



P4Q

$$f(n) = \sum_{i=1}^n i^3 = x, \text{ choices for } x$$

- |      |                 |     |                 |
|------|-----------------|-----|-----------------|
| I.   | $\theta(n^4)$ ✓ | II. | $\theta(n^5)$ ✗ |
| III. | $O(n^5)$ ✓      | IV. | $\Omega(n^3)$ ✓ |

TRUE

- a) I, II, III ✗
- b) I, II, III & IV ✗
- c) I, III & IV ✓
- d) I & III only

$$f(n) = \sum_{i=1}^n i^3 = x$$

$$x = \left[ \frac{n(n+1)}{2} \right]^2 = n^4$$

$\swarrow$        $\downarrow$        $\searrow$   
 $O(n^4)$        $\Omega(n^4)$   
 $\downarrow$   
 $\theta(n^4)$





## Topic : Commonly occurring Asymptotic order

1.  $f(n) = \sum_{i=1}^n i^d = \theta(n^{d+1})$  (polynomial series)
2.  $f(n) = \sum_{i=1}^n r^i = \theta(r^n)$   $r \neq 1$  (Geometric series)
3.  $f(n) = \sum_{i=1}^n \log i = \theta(n \log n)$  (sum of log)
4.  $f(n) = \sum_{i=1}^n i^d \cdot \log i = \theta(n^{d+1} \cdot \log n)$  (poly-log series)
5.  $\sum_{k=0}^n x^k = \frac{x^{n+1} - 1}{x - 1}$   $x \neq 1$
6.  $f(n|x| < 1) \sum_{k=0}^{\infty} x^k = \frac{1}{1-x}$



$$1) f(n) = n^{1/\log n} = O(\quad)$$

$$\frac{1}{\log_b a} = \log_a b \quad \text{PW}$$

$$n = 2^{\log_2 n}$$

$$\left( 2^{\log_2 n} \right)^{1/\log_2 n}$$

$$2 = O(1)$$

$$n^{1/\log n} = x$$

$$\frac{1}{\log n} * \log n = \log_2 x$$

$$\log_2 x = 1$$

$$\boxed{x = 2} = O(1)$$

$$n^{1/\log_2 n}$$

$$\frac{\log_2^2}{n}$$

$$2^{\log_2 n} = 2$$

$$= O(1)$$



$$1) f(n) = \sum_{i=1}^n \frac{1}{2}i = \text{value} = O(\quad)$$

$$2) f(n) = \sum_{i=1}^n \log i = O(\quad)$$



**THANK - YOU**