

# COMPUTER SCIENCE



## Database Management System

### FD's & Normalization Normal Forms

Part -1

Lecture\_09

Vijay Agarwal sir





An orange diamond-shaped sign with a black border and the text 'TOPICS TO BE COVERED' in black capital letters.

TOPICS  
TO BE  
COVERED

A red diamond-shaped marker with a white border and the number '01' in white.

01

Dependency preserving

A red diamond-shaped marker with a white border and the number '02' in white.

02

Normal Forms





RDBMS Concept

FD Concept & types

Attribute closure

Super key

Candidate key

Finding Multiple Candidate key.

Membership set

key  
concept

Equality b/w 2 FD set

Minimal Cover

Properties of Decomposition

① Lossless Join

② Dependency Preserving

Lossless Join

$$[R_1 \bowtie R_2]^+ \longrightarrow R_1 \checkmark$$

(OR)

$$[R_1 \bowtie R_2]^+ \longrightarrow R_2 \checkmark$$

Lossless Join



Q.



Consider the relation  $R (P, Q, S, T, X, Y, Z, W)$  with the following functional dependencies.

$$PQ \rightarrow X; P \rightarrow YX; Q \rightarrow Y; Y \rightarrow ZW$$

Consider the decomposition of the relation  $R$  into the constituent relations according to the following two decomposition schemes.

Ans (A)  $D_1: R = [(P, Q, S, T); (P, T, X); (Q, Y); (Y, Z, W)] \rightarrow \text{lossless}$

$D_2: R = [(P, Q, S); (T, X); (Q, Y); (Y, Z, W)] \rightarrow \text{lossy.}$

Which one of the following options is correct?

[MCQ: 2021: 2M]

- ☒ A  $D_1$  is a lossless decomposition, but  $D_2$  is a lossy decomposition.
- ☐ B  $D_1$  is a lossy decomposition, but  $D_2$  is a lossless decomposition.
- ☐ C Both  $D_1$  and  $D_2$  are lossless decomposition.
- ☐ D Both  $D_1$  and  $D_2$  are lossy decomposition.

## Properties of Decomposition

① Lossless Join

② Dependency Preserving





Dependency Preserving : Let  $R$  be the Relational Schema with FD Set  $F$

is Decomposed into Sub Relation  $R_1, R_2, R_3, \dots, R_n$  with FD Set  $F_1, F_2, F_3, \dots, F_n$  respectively.

If  $F_1 \cup F_2 \cup F_3 \dots \dots F_n \equiv F$   
Dependency Preserving Decomposition

If  $F_1 \cup F_2 \cup F_3 \dots \dots \cup F_n \subset F$   
Dependency Not Preserving

# Dependency Preservation

- Let  $F_i$  be the set of dependencies  $F$  that include only attributes in  $R_i$ .
- ❖ A decomposition is dependency preserving,

$$\text{if } (F_1 \cup F_2 \cup \dots \cup F_n) = F$$

*Dependency Preserving.*





## Procedure to Find Dependency Preserving

- ① find all Non Trivial FD of each sub Relation (Take a closure of Attributes & write all Non Trivial FD for each sub Relation)

Non Trivial:  $X \cap Y = \phi$

- ② If  $F_1 \cup F_2 \cup F_3 \dots F_n \equiv F$   
Dependency Preserved.

$R(ABCD) [A \rightarrow B, C \rightarrow D]$

FD closure  $[F]^+$

$$[A]^+ = [AB] \Rightarrow 2^2 = \underline{\underline{4 \text{ FD}}}$$

$$[B]^+ = [B] = 2^1 = 2 \text{ FD}$$

$$[C]^+ = [CD] = 2^2 = 4 \text{ FD}$$

$$[D]^+ = [D] = 2^1 = 2 \text{ FD}$$

$$\underline{\underline{[F]^+ = 12 \text{ FD}}}$$

$$A \rightarrow \phi$$

$$A \rightarrow A$$

$$\begin{array}{c} \text{A} \rightarrow \text{B} \\ \hline \text{A} \rightarrow \text{AB} \end{array}$$

$$B \rightarrow \phi, B \rightarrow B$$

$$\begin{array}{c} C \rightarrow \phi, C \rightarrow C, \\ C \rightarrow CD \end{array}$$

$$\begin{array}{c} C \rightarrow D \\ \hline \end{array}$$

$$D \rightarrow \phi, D \rightarrow D$$



Q

$R(ABCD)$   $[A \rightarrow B, C \rightarrow D]$  is Decomposed  
into  $R_1(AB)$  &  $R_2(CD)$  then check D.P or Not?

<u><math>R_1(AB)</math></u>	<u><math>R_2(CD)</math></u>
$A \rightarrow B$	$C \rightarrow D$

$[A]^+ = [A \underline{B}]$

$[B]^+ = [B] \times$

$[C]^+ = [C \underline{D}]$

$[D]^+ = [D] \times$

$$F_1 \cup F_2 \equiv F$$

$$(A \rightarrow B) \cup (C \rightarrow D) \equiv F$$

$[A \rightarrow B, C \rightarrow D]$

Dependency  
Preserving

Ans

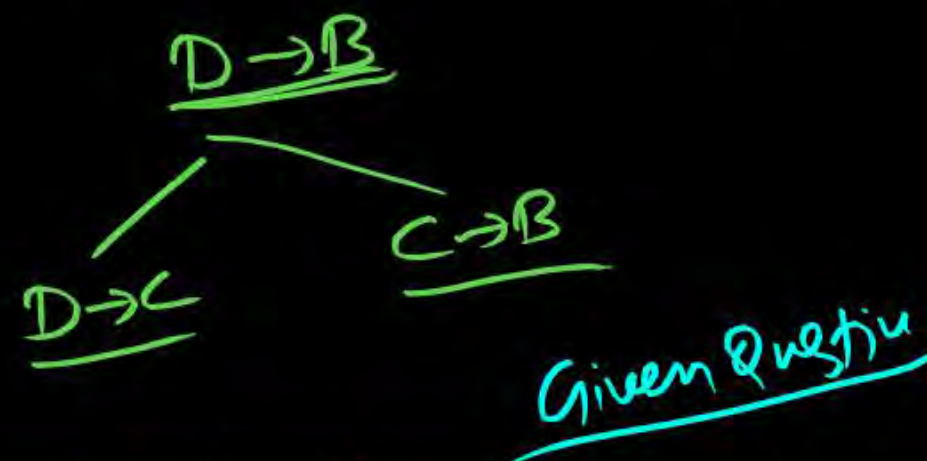




Let  $R(A, B, C, D, E)$  be a relational schema with the following function dependencies:

$A \rightarrow B$ ,  $B \rightarrow C$ ,  $C \rightarrow D$  and  $D \rightarrow BE$ .

Decomposed into  $R_1(AB)$   $R_2(BC)$   $R_3(CD)$  and  $R_4(DE)$



$(A)^+ = [A, B, C, D, E]$   
 $(B)^+ = [B, C, D, E]$   
 $(C)^+ = [C, D, E, B]$   
 $(D)^+ = [D, B, E, C]$   
 $(E)^+ = [E]$

$R_1(AB)$	$R_2(BC)$	$R_3(CD)$	$R_4(DE)$
$A \rightarrow B$	$B \rightarrow C$ $C \rightarrow B$	$C \rightarrow D$ $D \rightarrow C$	$D \rightarrow E$
$[(A \rightarrow B) \cup (B \rightarrow C, C \rightarrow B) \cup (C \rightarrow D) \cup (D \rightarrow E)]$			
$[A \rightarrow B, B \rightarrow C, C \rightarrow D, D \rightarrow E, C \rightarrow B]$			

$\checkmark A \rightarrow B$   
 $\checkmark B \rightarrow C$   
 $\checkmark C \rightarrow D$   
 $\textcircled{D \rightarrow BE}$

$\checkmark D \rightarrow B$   
 $\checkmark D \rightarrow E$

$D \rightarrow BE$

$D \rightarrow B$



Let  $R(A, B, C, D, E)$  be a relational schema with the following function dependencies:

$A \rightarrow B$ ,  $B \rightarrow C$ ,  $C \rightarrow D$  and  $D \rightarrow BE$ .

Decomposed into  $R_1(AB)$   $R_2(BC)$   $R_3(CD)$  and  $R_4(DE)$

$D \rightarrow BE$   
 $D \rightarrow B$   
 $D \rightarrow E$



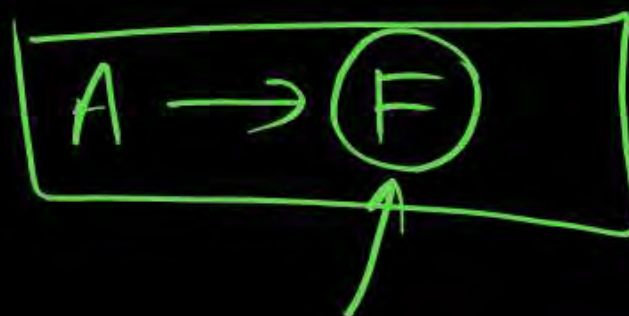
$R_1(AB)$	$R_2(BC)$	$R_3(CD)$	$R_4(DE)$
$A \rightarrow B$	$B \rightarrow C$	$C \rightarrow D$	$D \rightarrow E$

$D$  is Indirectly Preserved.

Let  $R(A, B, C, D, E)$  be a relational schema with the following function dependencies:

$A \rightarrow B$ ,  $B \rightarrow C$ ,  $C \rightarrow D$  and  $D \rightarrow BE$ .

Decomposed into  $R_1(AB)$   $R_2(BC)$   $R_3(CD)$  and  $R_4(DE)$



**F: Final Balance**

If Not getting F from Directly @ Indirectly  
then Dep. Not Preserving.





$R(ABCDEFGG) \{AB \rightarrow C, AC \rightarrow B, AD \rightarrow E, B \rightarrow D, BC \rightarrow A, E \rightarrow G\}$



Decomposed into  $R_1(ABC)$   $R_2(ACDE)$  and  $R_3(ADG)$

[Home work]



Consider a schema  $R(A, B, C, D)$  and functional dependencies

$A \rightarrow B$  and  $C \rightarrow D$ . Then the decomposition of  $R$  into  $R_1(AB)$  and  $R_2(CD)$  is

[MCQ: 2M]

- ☐ A Dependency preserving and lossless join
- ☐ B Lossless join but not dependency preserving
- ☒ C Dependency preserving but not lossless join
- ☐ D Not dependency preserving and not lossless join

$R_1(AB)$	$R_2(CD)$
$A \rightarrow B$ D.P	$C \rightarrow D$

$R_1(AB) \cap R_2(CD)$

No Common Attribute  
Lossy





Let  $R(A, B, C, D)$  be a relational schema with the following function dependencies:

$A \rightarrow B$ ,  $B \rightarrow C$ ,  $C \rightarrow D$  and  $D \rightarrow B$ .

The decomposition of  $R$  into  $(A, B)$ ,  $(B, C)$ ,  $(B, D)$

Ans(A)

$$R_1(AB) \cap R_2(BC) = B$$

$(B)^+ = [\underline{B}, C, D]$  Subkey of  $R_2$

[MCQ: 2M]

$$R_{12}(ABC) \cap R_3(BD)$$

$$(B)^+ = [\underline{B}, \underline{C}, \underline{D}]$$

Subkey of  $R_3$

$$R_{123}(ABCD)$$

Lossless



A

Gives a lossless join, and is dependency preserving

B

Gives a lossless join, but is not dependency preserving

C

Does not give a lossless join, but is dependency preserving

D

Does not give a lossless join and is not dependency preserving

2nd Approach

$R(ABCD) \quad [A \rightarrow B, B \rightarrow C, C \rightarrow D, D \rightarrow B]$

$R_1(AB)$

$R_2(BC)$

$R_3(BD)$

Via

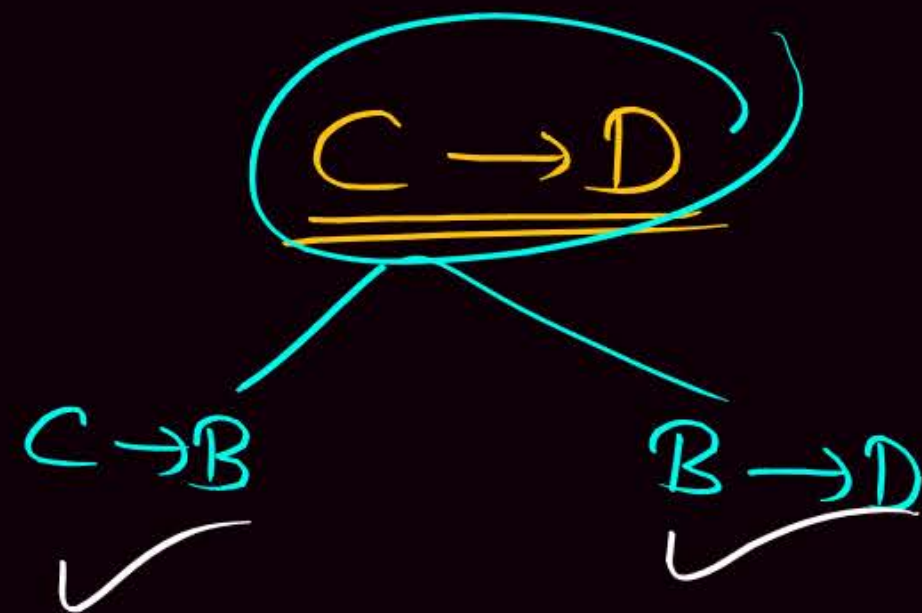
Dependency Preserved

$R_1(AB)$	$R_2(BC)$	$R_3(BD)$
$A \rightarrow B$	$B \rightarrow C$	$D \rightarrow B$

$C \rightarrow D$  is Indirectly Preserved  $(R_2)[C \rightarrow B]$  &

$B \rightarrow D$  in  $(R_3)$

Dependency Preserved  $(B)^+ = [BCD]$





$R(ABCD) \quad [A \rightarrow B, B \rightarrow C, C \rightarrow D, D \rightarrow B]$

$R_1(AB)$

$R_2(BC)$

$R_3(BD)$

$(A)^+ = (ABCD)$

$(B)^+ = (BCD)$

$(C)^+ = (CDB)$

$(D)^+ = (DBC)$

$R_1(AB)$	$R_2(BC)$	$R_3(BD)$
$A \rightarrow B$	$B \rightarrow C$	$B \rightarrow D$
	$C \rightarrow B$	$D \rightarrow B$
$A \rightarrow B, B \rightarrow C$	<div style="border: 1px solid black; padding: 5px; display: inline-block;"> <math>C \rightarrow B, B \rightarrow D</math> </div>	
	$C \rightarrow D$	



Let  $R(A, B, C, D)$  be a relational schema with the following function dependencies:

$A \rightarrow B, B \rightarrow C, C \rightarrow D$  and  $D \rightarrow B$

The decomposition of  $R$  into  $(A, B), (B, C), (B, D)$

[MCQ: 2M]

$R_1(AB) \mid R_2(BC) \mid R_3(BD)$

- A** Gives a lossless join, and is dependency preserving
- B** Gives a lossless join, but is not dependency preserving
- C** Does not give a lossless join, but is dependency preserving
- D** Does not give a lossless join and is not dependency preserving



- ① RDBMS Concept
- ② FD Concept & its type
- ③ Attribute closure  $[X]^+$
- ④ Keys Concept
  - ↳ Super key
  - ↳ Candidate key
- ⑤ Finding multiple C.K
- ⑥ Membership Set

- ⑦ Equality b/w 2 FD
- ⑧ Minimal Cover
- ⑨ Closure of FD Set  $[F]^+$
- ⑩ Finding Number of Super key
- ⑪ Properties of Decomposition
  - (i) Lossless Join
  - (ii) Dependency Preserving

$R(AB\overset{x}{C}\overset{x}{D}\overset{x}{E}\overset{x}{F})$   $[AB \rightarrow C, C \rightarrow D, D \rightarrow E, E \rightarrow F]$

Note Which Attribute Not Present in R.H.S (Right Hand Side) that Must be in a C.K. Not Given in Any FD Set

$$(AB)^+ = [ABCDEF]$$

$$(A)^+ = [A]$$

$$(B)^+ = [B]$$

AB is C.K.

Super key?

AB  
ABC

ABCDEF

$$2^{n-2} \Rightarrow 2^{6-2} = 2^4$$

= 16 Super keys



# Normal Forms



Normal Form is a Set of Rules which are Used to Reduce/eliminate the Redundancy.

Redundancy is the Unnecessary Repeattion of DATA.

Normalization is a Process to Reduce the Redundancy.

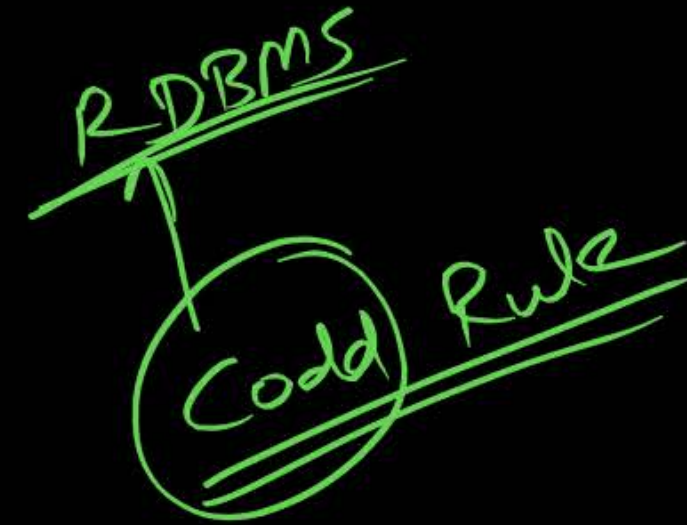
Why Need of Normalization ?

- (i) Insertion Anomalies
- (ii) Deletion Anomalies
- (iii) Update Anomalies

# Normal Forms



There are various Normal Forms.



- ① 1NF (First Normal Form)
- ② 2NF (second Normal Form)
- ③ 3NF (Third Normal Form)
- ④ BCNF (Boyce Codd Normal Form)
- X ⑤ 4NF
- X ⑥ 5NF



Note Every Higher Normal Form <sup>← (Satisfied) →</sup> Contain the Lower Normal Form

If Relation  $R$  is in 2NF, that means its already in 1NF.

If Relation  $R$  is in 3NF, i.e. its already is in 2NF & 1NF also

If Relation  $R$  is in BCNF, that means  $R$  is in 3NF, 2NF & 1NF also.

## FIRST NORMAL FORM (1NF)

A Relation R is in 1NF, if R does not contain Any Multivalued Attribute.

(OR)

A Relation R is in 1NF iff all attribute of R are atomic

STUDENT

RollNo	Sname	Book
S <sub>1</sub>	X	Korth/Galvin

Not in 1NF

Multivalued Attribute  
(More than One Value)

Conversion into 1NF →

STUDENT

RollNo	Sname	Book
S <sub>1</sub>	X	Korth
S <sub>1</sub>	X	Galvin

Now it's in 1NF



Note Default RDBMS is in 1NF.

Note RDBMS Not Contain Any Multi Valued Attribute.

But In 1NF Redundancy Level is Too High.

Redundancy  
Level:

$1NF > 2NF > 3NF > BCNF$

2NF

3NF

BCNF



# Possible Non Trivial FD's which Cause Redundancy:

## CASE I

Proper Subset  
of Candidate key

Non key /  
Non Prime  
Attribute

Violation of 2NF  
OR  
Eliminated by 2NF

## CASE II

Non key  
Attribute

Non key  
Attribute

Violation of 3NF  
OR  
Eliminated by 3NF

## CASE III

Proper subset of  
one Candidate key

Proper subset of  
another Candidate key

Violation of BCNF  
OR  
Eliminated by BCNF

Any Doubt ?





**THANK  
YOU!**

