# Shashanka Ubaru

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☐ shashankaubaru.github.io

# Research Interests

Machine learning, numerical linear and tensor algebra, quantum computing, coding theory applications, approximation theory and algorithms.

## Positions

2018 - present IBM Research, Yorktown Heights/Austin, USA.

Senior Research ScientistMay, 2022 - presentResearch Staff MemberJan, 2020 - May, 2022Goldstine Postdoctoral FellowAug, 2018 - Dec, 2019

2022 - present **Oden Institute**, *University of Texas at Austin*, TX, USA.

Visiting Researcher June, 2022 - present

2013 - 2018 University of Minnesota, Minneapolis, MN, USA.

Research Assistant, Department of Computer Science. Sep. 2013 - May, 2018

2016 & 2017 Lawrence Berkeley National Laboratory, Berkeley, CA, USA.

Research Intern, Neural Systems and Data Science Lab

June - Aug, 2017
Research Intern, Scientific Data Management group

May - Aug, 2016

2013 Seagate Technology, Shakopee, MN, USA.

Signal Processing Intern May - Aug, 2013

2011 - 2012 Raman Research Institute, Bangalore, India.

Visiting Research Student June, 2011 - Aug, 2012

# Education

2012-18 University of Minnesota, Minneapolis, MN, USA.

Ph.D. Computer Science May 2018

Advisor: Yousef Saad

Thesis: Algorithmic advances in learning from large dimensional matrices and scientific data

M.S. Computer Science October 2015

M.S. Electrical Engineering
Advisors: Yousef Saad and Arya Mazumdar

Thesis: Randomized techniques for matrix decomposition and estimating the approximate rank of a matrix

2008-12 M.S. Ramaiah Institute of Technology, Bangalore, India.

B.Eng. Electronics and Communication

## Publications

## Journal articles

- [9] Dynamic graph and polynomial chaos based models for contact tracing data analysis and optimal testing prescription.
  - S. Ubaru, G. Cohen, and L. Horesh.
  - Journal of Biomedical Informatics, Novel Informatics Approaches to COVID-19 Research, 122, 103901, 2021.
- [8] Spectrum-Adapted Polynomial Approximation for Matrix Functions with Applications in Graph Signal Processing.
  - L. Fan, D. Shuman, S. Ubaru, and Y. Saad.

Algorithms, Special Issue: Efficient Graph Algorithms in Machine Learning, 13(11), 295, 2020.

November 2014

- [7] Sampling and multilevel coarsening algorithms for fast matrix approximations.
  - S. Ubaru and Y. Saad

Numerical Linear Algebra with Applications 26.3: e2234, 2019.

- [6] Fast estimation of tr(f(A)) via Stochastic Lanczos Quadrature.
  - S. Ubaru, J. Chen, and Y. Saad

SIAM Journal on Matrix Analysis and Applications (SIMAX), 38(4), 1075–1099, 2017.

- [5] Low rank approximation and decomposition of large matrices using error correcting codes.
  - S. Ubaru, A. Mazumdar, and Y. Saad

IEEE Transactions on Information Theory, 63(9), 5544-5558, 2017.

- [4] Formation enthalpies for transition metal alloys using machine learning.
  - S. Ubaru, A. Miedlar, Y. Saad, and J R. Chelikowsky

Physical Review B, (Vol.95, No.21), 2017.

- [3] Fast estimation of approximate matrix ranks using spectral densities.
  - S. Ubaru, Y. Saad, and A.-K. Seghouane

Neural Computation, 29(5):1317-1351, 2017.

- [2] Improving the Incoherence of a Learned Dictionary via Rank Shrinkage.
  - S. Ubaru, A.-K. Seghouane, and Y. Saad

Neural Computation, 29(1):263-285, 2017.

- [1] Displaying gray scales by cross pairing select and data voltages in multi-line addressed LCD.
  - S. Ubaru and T.N. Ruckmongathan

IEEE Journal of Display Technology, 8(11), 669-677, 2012.

## Conference proceedings

- [15] Efficient Scaling of Dynamic Graph Neural Networks.
  - V. Chakaravarthy, S. Pandian, S. Raje, Y. Sabharwal, T. Suzumura, and **S. Ubaru**. Supercomputing (SC21), 2021.
- [14] Analysis of stochastic Lanczos quadrature for spectrum approximation.
  - T. Chen, T. Trogdon, and S. Ubaru.

International Conference on Machine Learning (ICML), 2021. Long presentation

[13] Projection techniques to update the truncated SVD of evolving matrices.

V. Kalantzis, G. Kollias, **S. Ubaru**, A. Nikolakopoulos, L. Horesh, and K.L. Clarkson. International Conference on Machine Learning (ICML), 2021.

- [12] Sparse graph based sketching for fast numerical linear algebra.
  - D. Hu, **S. Ubaru**, A. Gittens, K. Clarkson, L. Horesh, and V. Kalantzis.

International Conference on Acoustics, Speech, and Signal Processing (ICASSP), 2021.

- [11] Dynamic Graph Convolutional Networks Using the Tensor M-Product.
  - O. Malik, S. Ubaru, L. Horesh, M. Kilmer, and H. Avron.

SIAM International Conference on Data Mining (SDM), 2021.

- [10] Multilabel Classification by Hierarchical Partitioning and Data-dependent Grouping.
  - S. Ubaru, S. Dash, O. Gunluk, and A. Mazumdar.

Advances in Neural Information Processing Systems (NeurIPS), 2020.

- [9] Spectrum-Adapted Polynomial Approximation for Matrix Functions.
  - L. Fan, D. Shuman, S. Ubaru, and Y. Saad

International Conference on Acoustics, Speech, and Signal Processing (ICASSP), 2019.

- [8] Find the dimension that counts: Fast dimension estimation and Krylov PCA.
  - S. Ubaru, A.-K. Seghouane, and Y. Saad

SIAM International Conference on Data Mining (SDM), 2019.

- [7] Spectral Sums Beyond Fast Matrix Multiplication: Algorithms and Hardness.
  - C. Musco, P. Netrapalli, A. Sifford, S. Ubaru, and D. P. Woodruff

Innovations in Theoretical Computer Science (ITCS), 2018.

- [6] UoI-NMF<sub>cluster</sub>: A Robust Nonnegative Matrix Factorization Algorithm for Improved Parts-Based Decomposition and Reconstruction of Noisy Data.
  - S. Ubaru, K. Wu, and K. E. Bouchard

IEEE International Conference on Machine Learning and Applications (ICMLA), 2017.

\*Best Paper Award.\*

- [5] Union of Intersections (UoI) for Interpretable Data Driven Discovery and Prediction.
  - K. E. Bouchard, A. F. Bujan, F. Roosta-Khorasani, **S. Ubaru**, Prabhat, A. M. Snijders, J.-H. Mao, E. F. Chang, M. W. Mahoney, and S. Bhattacharyya

Advances in Neural Information Processing Systems (NeurIPS), 2017.

- [4] Multilabel Classification with Group Testing and Codes.
  - S. Ubaru and A. Mazumdar

International Conference on Machine Learning (ICML), 2017.

- [3] Fast methods for estimating the Numerical rank of large matrices.
  - S. Ubaru and Y. Saad

International Conference on Machine Learning (ICML), 2016.

- [2] Group testing schemes from low-weight codewords of BCH codes.
  - S. Ubaru, A. Mazumdar, and A. Barg

IEEE International Symposium on Information Theory (ISIT), 2016.

- [1] Low rank approximation using error correcting coding matrices.
  - S. Ubaru, A. Mazumdar, and Y. Saad

International Conference on Machine Learning (ICML), 2015.

#### **Book chapters**

- [2] Quantum Computing Algorithms For Decision Making Under Uncertainty.
  L. Horesh, K. Clarkson, V. Kalantzis, M. Squillante, **S. Ubaru**, and A. Abboud
  Air Force Research Lab Technical Report, AFRL-RI-RS-TR-2021-121, 2021.
- [1] Applications of trace estimation techniques.
  - S. Ubaru and Y. Saad

High Performance Computing in Science and Engineering, LNCS book series, vol. 11087, ch. 2, pp 19–33, 2018.

#### Workshop papers

- [4] On Quantum Algorithms for Random Walks in the Nonnegative Quarter Plane.
  V. Kalantzis, M. S. Squillante, S. Ubaru, and L. Horesh.
  Workshop on Mathematical performance Modeling and Analysis (MAMA), 2022.
- [3] Multilabel prediction in log time and data-dependent grouping.
  - S. Ubaru, S. Dash, O. Gunluk, and A. Mazumdar

NeurIPS - Workshop on Information Theory and Machine Learning, 2019.

- [2] Tensor graph neural networks for prediction on time varying graphs.
  - O. Malik, S. Ubaru, L. Horesh, M. Kilmer, and H. Avron

NeurIPS - Workshop on Graph Representation Learning, 2019.

Union of Intersections (UoI) for interpretable data driven discovery and prediction in neuroscience.
 K. E. Bouchard, P. Sachdeva, S. Bhattacharyya, M. Balasubramanian, and S. Ubaru
 Computational and Systems Neuroscience (Cosyne), 2019.

## **Preprints**

- [6] Randomized matrix-free quadrature for spectrum and spectral sum approximation. T. Chen, T. Trogdon, and **S. Ubaru**, 2022.
- [5] *PCENet: High Dimensional Surrogate Modeling for Learning Uncertainty*. P.F. Shustin, **S. Ubaru**, V. Kalantzis, L. Horesh, and H. Avron, 20222.
- [4] Efficient Quantum Computation of the Fermionic Boundary Operator.
  I.Y. Akhalwaya, Y.H. He, L. Horesh, V. Jejjala, W. Kirby, K. Naidoo, and **S. Ubaru**, 2022.
- [3] Quantum Topological Data Analysis with Linear Depth and Exponential Speedup. S. Ubaru, I. Akhalwaya, M. Squillante, K. Clarkson, and L. Horesh, 2021.
- [2] Unsupervised Hierarchical Graph Representation Learning with Variational Bayes.
  - S. Ubaru and J. Chen, 2020.
- [1] Provably convergent acceleration in factored gradient descent with applications in matrix sensing. T Ajayi, D Mildebrath, A. Krylidis, **S. Ubaru**, G. Kollias, and K. E. Bouchard, 2019.

# Patents

- [10] P202010357 Detecting network patterns using random walks. K.P. Onak, **S. Ubaru**, A. Abboud, and T. Suzumura. (filed)
- [9] P202101832 Hardware acceleration with preconditioners.
   V. Kalantzis, L. Horesh, and S. Ubaru. (filed)

- [8] P202008482 Projection-based techniques for updating SVD in evolving datasets. V. Kalantzis, G. Kollias, S. Ubaru, L. Horesh, and K. Clarkson. (filed)
- [7] P202006530 Determining triangles in graph data structures using crosspoint array.
   V. Kalantzis, S. Ubaru, L. Horesh, and H. Avron. (filed)
- [6] US20220107991A1 Fast PCA of evolving data using analog crossbar array.
   S. Ubaru, V. Kalantzis, L. Horesh, M. Squillante, and H. Avron. (pending)
- [5] *US20220083623A1- Accelerated quasi-newton methods on analog crossbar hardware*. V. Kalantzis, **S. Ubaru**, L. Horesh, H. Avron, and M. Onen. (pending)
- [4] US20210357540A1 Matrix sketching using analog crossbar architectures.
   L. Horesh, M. Onen, H. Avron, T. Gokmen, S. Ubaru, and V. Kalantzis. (pending)
- [3] P201809232 Short-depth quantum amplitude estimation without eigenstate collapse. I. Akhalwaya, K. Clarkson, L. Horesh, M. Squillante, **S. Ubaru**, and V. Kalantzis. (filed)
- [2] US20210174242A1 Automatic multilabel classification using machine learning.

  S. Ubaru, S. Dash, O. Gunluk, A. Mazumdar and L. Horesh. (pending)
- [1] US20210090182A1 Tensor-based predictions from analysis of time-varying graphs.
  O. Malik, S. Ubaru, L. Horesh, M. Kilmer, and H. Avron. (pending)

# Presentations

- 2022 Multilabel Classification by Hierarchical Partitioning and Grouping
  - RISE workshop, Austin, TX.

Quantum Topological Data Analysis with Linear Depth and Exponential Speedup

- Quantum Information Group, University of Texas at Austin.
- Quantum computing course, Columbia University, New York
- 2021 Into another dimension a new tensor algebra for learning on dynamic graphs
  - Seminar at University of Albany, NY.

Algorithms for estimating spectral sums for large matrices

- Oden Institute Seminar Series, University of Texas at Austin
- Novel Tensor Framework for Data Representation and Compression
- SIAM Conference on Computational Science and Engineering (CSE21)
- 2020 Multilabel Classification by Hierarchical Partitioning and Data-dependent Grouping
  - Neural Information Processing Systems (NeurIPS) Virtually held Novel tensor framework for model reduction and neural networks
  - ICERM: Workshop on Algorithms for Dimension and Complexity Reduction, Brown University, RI
- 2019 Tensor graph neural networks for prediction on time varying graphs
  - NeurIPS: Workshop on Graph Representation Learning, Vancouver, Canada *Multilabel prediction in log time and data-dependent grouping*
  - NeurIPS: Workshop on Information Theory and Machine Learning, Vancouver, Canada Spectrum approximation by Lanczos Quadrature and Preconditioned SVRG
  - 20th International Conference On Preconditioning, Minneapolis, MN, USA. Find the dimension that counts: Fast dimension estimation and Krylov PCA
  - SIAM International Conference on Data Mining (SDM), Calgary, Canada.

Error Correcting Codes for Machine Learning.

- CSA Seminar, Indian Institute of Science (IISc), Bengaluru, India.
- 2018 Error Correcting Codes for Machine Learning
  - Theory Seminar, University of Massachusetts, Amherst, MA.
  - IP Seminar, IBM T.J. Watson Research Center, NY.
- 2017 UoI-NMF<sub>cluster</sub>: Robust Nonnegative Matrix Factorization Algorithm for Noisy Data
  - International Conference on Machine Learning and Applications (ICMLA), Cancun, Mexico. Multilabel Classification with Group Testing and Codes
  - Neural Systems and Engineering Labs, Lawrence Berkeley National Laboratory, CA.
  - International Conference on Machine Learning (ICML), Sydney, Australia.

Error Correcting Codes for Machine Learning

- The University of Melbourne, Melbourne, Australia
- UoI-NMFcluster and UoI-CUR: Union of Intersections methods for matrix approximations
- Neural Systems and Engineering Labs, Lawrence Berkeley National Laboratory, CA.
- 2016 Error correcting codes for low rank approximation and group testing
  - BLISS Seminar, University of California, Berkeley, CA.

Fast methods for estimating the Numerical rank of large matrices

- International Conference on Machine Learning (ICML), New York, NY.

2015 Low rank approximation using error correcting coding matrices
- International Conference on Machine Learning (ICML), Lille, France

# **Awards**

- 2022 Outstanding Innovation Award, IBM Research
- 2021 IBM Research Accomplishment Award
- 2019 UMN Best Dissertation Award, Computer Science Department nominee
- 2018 Herman Goldstine Fellowship, IBM Research
- 2017 Best Paper Award, International Conference on Machine Learning and Applications (ICMLA)
- 2011 Visiting Research Student Program, Raman Research Institute

# **Teaching**

#### **IBM Research**

Summer 2021 Mathematics of Big Data: Sketching and (Multi-) Linear Algebra at MSRI Berkeley, Instructor

### University of Minnesota

- Spring 2018 CSci 2033, Elementary Computational Linear Algebra, Recitation Instructor
  - Fall 2017 CSci 5304, Computational Aspects of Matrix Theory, Teaching Assistant
- Spring 2017 CSci 8314, Sparse Matrix Computations, Temporary Instructor

# Mentoring

#### IBM Research - Interns

- 2022 Elizabeth Yang (PhD Student U of California, Berkeley)
- 2021 present Paz Fink Sustin (PhD Student Tel Aviv University)
  - 2021 Lucky Yerimah (PhD Student RPI)
  - 2021 Emily Thompson (REU Student via. DIMACS)
- 2020- present Dong Hu (PhD Student RPI)
  - 2019 Osman Malik (PhD Student U of Colorado, Boulder)

# Service

#### Reviewer: Conferences:

Neural Information Processing Systems (NeurIPS) 2016, 2019- 22; International Conference on Machine Learning (ICML) 2018 - 22; International Conference on Learning Representations (ICLR) 2021-22; AAAI conference on Artificial Intelligence 2020 - 22; ACM-SIAM Symposium on Discrete Algorithms (SODA) 2021; Conference on Uncertainty in Artificial Intelligence (UAI) 2019 - 22; IEEE International Conference On Machine Learning And Applications 2018 - 19; IEEE International

Symposium on Information Theory (ISIT) 2017.

#### Journals:

Acta Materialia; PLOS One; Journal of Machine Learning Research; SIAM Journal on Matrix Analysis and Applications (3 articles); IEEE Transactions on Signal Processing; IEEE Transactions on Image Porcessing; Signal Processing Letters, IEEE Transactions on Information Theory; Electronic Transactions on Numerical Analysis (2 articles); IEEE Transactions on Signal and Information Processing over Networks; BIT Numerical Mathematics; Linear Algebra and Its Applications; Journal of Mathematics and Applications, Mathematical Modelling and Analysis; Journal of Imaging.

Organizer: ICMLA Challenge 2018 - Parts based decomposition of noisy data.

MSRIT ROBONXG-2012, a week long robotics festival.

# Programming skills

C, C++, Matlab, Python, LATEX, Basic web programming.

# References

# Yousef Saad

CSE Distinguished Professor, Computer Science and Engineering, University of Minnesota- Twin Cities, MN, USA. saad@umn.edu.

## Lior Horesh

Senior Manager Mathematics of AI IBM T.J. Watson Research Center, NY, USA lhoresh@us.ibm.com

# Arya Mazumdar

Associate Professor,
The Halicioglu Data Science Institute,
University of California, San Diego, CA, USA.
arya@ucsd.edu.

# Sanjeeb Dash

Manager Foundation of Optimization IBM T.J. Watson Research Center, NY, USA sanjeebd@us.ibm.com