# Shashanka Ubaru

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## Research Interests

Machine learning, numerical linear algebra, coding theory applications, approximation theory and algorithms, mutlilabel classification, and graph neural networks.

#### Education

2012-18 University of Minnesota, Minneapolis, MN, USA.

Ph.D. Computer Science May 2018

Advisor: Yousef Saad

Thesis: Algorithmic advances in learning from large dimensional matrices and scientific data

M.S. Computer Science October 2015
M.S. Electrical Engineering November 2014

Advisors: Yousef Saad and Arya Mazumdar

Thesis: Randomized techniques for matrix decomposition and estimating the approximate rank of a matrix

2008-12 M.S. Ramaiah Institute of Technology, Bangalore, India.

B.Eng. Electronics and Communication

## **Employment**

2018 - present IBM T.J. Watson Research Center, 1101 Kitchawan Rd, Yorktown Heights, NY, USA.

Research Staff Member Jan, 2020 - present
Goldstine Postdoctoral Fellow Aug, 2018 - Dec, 2019

2013-2018 University of Minnesota, 200 Union St SE, Minneapolis, MN, USA.

Research Assistant, Department of Computer Science. Sep. 2013 - May, 2018

2016 & 2017 Lawrence Berkeley National Laboratory, 1 Cyclotron Rd, Berkeley, CA, USA.

Research Intern, Neural Systems and Data Science Lab

June - Aug, 2017

Research Intern, Scientific Data Management group

May - Aug, 2016

2013 Seagate Technology, 1280 Disc Dr, Shakopee, MN, USA.

Signal Processing Intern May - Aug, 2013

## Publications

#### Journal articles

2020 Spectrum-Adapted Polynomial Approximation for Matrix Functions with Applications in Graph Signal Processing.

L. Fan, D. Shuman, **S. Ubaru**, and Y. Saad.

Algorithms, Special Issue: Efficient Graph Algorithms in Machine Learning, 13(11), 295.

2019 Sampling and multilevel coarsening algorithms for fast matrix approximations.

S. Ubaru and Y. Saad

Numerical Linear Algebra with Applications 26.3: e2234.

2017 Fast estimation of tr(f(A)) via Stochastic Lanczos Quadrature.

S. Ubaru, J. Chen, and Y. Saad

SIAM Journal on Matrix Analysis and Applications (SIMAX), 38(4), 1075-1099.

2017 Low rank approximation and decomposition of large matrices using error correcting codes.

S. Ubaru, A. Mazumdar, and Y. Saad

IEEE Transactions on Information Theory, 63(9), 5544-5558.

2017 Formation enthalpies for transition metal alloys using machine learning.

S. Ubaru, A. Miedlar, Y. Saad, and J R. Chelikowsky

Physical Review B, (Vol.95, No.21).

- 2017 Fast estimation of approximate matrix ranks using spectral densities.
  - S. Ubaru, Y. Saad, and A.-K. Seghouane

Neural Computation, 29(5):1317-1351.

- 2017 Improving the Incoherence of a Learned Dictionary via Rank Shrinkage.
  - S. Ubaru, A.-K. Seghouane, and Y. Saad

Neural Computation, 29(1):263-285.

- 2012 Displaying gray scales by cross pairing select and data voltages in multi-line addressed LCD.
  - S. Ubaru and T.N. Ruckmongathan

IEEE Journal of Display Technology, 8(11), 669-677.

#### Conference proceedings

- 2021 Dynamic Graph Convolutional Networks Using the Tensor M-Product.
  - O. Malik, S. Ubaru, L. Horesh, M. Kilmer, and H. Avron.

SIAM International Conference on Data Mining (SDM).

- 2020 Multilabel Classification by Hierarchical Partitioning and Data-dependent Grouping.
  - S. Ubaru, S. Dash, O. Gunluk, and A. Mazumdar.

Advances in Neural Information Processing Systems (NeurIPS)

- 2019 Spectrum-Adapted Polynomial Approximation for Matrix Functions.
  - L. Fan, D. Shuman, S. Ubaru, and Y. Saad

International Conference on Acoustics, Speech, and Signal Processing (ICASSP).

- 2019 Find the dimension that counts: Fast dimension estimation and Krylov PCA.
  - S. Ubaru, A.-K. Seghouane, and Y. Saad

SIAM International Conference on Data Mining (SDM).

- 2018 Spectral Sums Beyond Fast Matrix Multiplication: Algorithms and Hardness.
  - C. Musco, P. Netrapalli, A. Sifford, **S. Ubaru**, and D. P. Woodruff

Innovations in Theoretical Computer Science (ITCS).

- 2017 UoI- $NMF_{cluster}$ : A Robust Nonnegative Matrix Factorization Algorithm for Improved Parts-Based Decomposition and Reconstruction of Noisy Data.
  - S. Ubaru, K. Wu, and K. E. Bouchard

IEEE International Conference on Machine Learning and Applications (ICMLA).

\*Best Paper Award.\*

- 2017 Union of Intersections (UoI) for Interpretable Data Driven Discovery and Prediction.
  - K. E. Bouchard, A. F. Bujan, F. Roosta-Khorasani, **S. Ubaru**, Prabhat, A. M. Snijders, J.-H. Mao, E. F. Chang, M. W. Mahoney, and S. Bhattacharyya

Advances in Neural Information Processing Systems (NeurIPS).

- 2017 Multilabel Classification with Group Testing and Codes.
  - S. Ubaru and A. Mazumdar

International Conference on Machine Learning (ICML).

- 2016 Fast methods for estimating the Numerical rank of large matrices.
  - S. Ubaru and Y. Saad

International Conference on Machine Learning (ICML).

- 2016 Group testing schemes from low-weight codewords of BCH codes.
  - S. Ubaru, A. Mazumdar, and A. Barg

IEEE International Symposium on Information Theory (ISIT).

- 2015 Low rank approximation using error correcting coding matrices.
  - S. Ubaru, A. Mazumdar, and Y. Saad

International Conference on Machine Learning (ICML).

#### **Book chapter**

- 2018 Applications of trace estimation techniques.
  - S. Ubaru and Y. Saad

High Performance Computing in Science and Engineering, LNCS book series, vol. 11087, ch. 2, pp 19-33.

## Workshop papers

- 2019 Multilabel prediction in log time and data-dependent grouping.
  - S. Ubaru, S. Dash, O. Gunluk, and A. Mazumdar

NeurIPS - Workshop on Information Theory and Machine Learning.

- 2019 Tensor graph neural networks for prediction on time varying graphs.
  - O. Malik, S. Ubaru, L. Horesh, M. Kilmer, and H. Avron
  - NeurIPS Workshop on Graph Representation Learning.
- 2019 Union of Intersections (UoI) for interpretable data driven discovery and prediction in neuroscience.
  K. E. Bouchard, P. Sachdeva, S. Bhattacharyya, M. Balasubramanian, and S. Ubaru
  Computational and Systems Neuroscience (Cosyne).

#### Submissions

- Sparse graph based sketching for fast numerical linear algebra.
   D. Hu, S. Ubaru, A. Gittens, K. Clarkson, L. Horesh, and V. Kalantzis.
- 2020 Efficiently Scaling Dynamic Graph Neural Networks to Billion Size Graphs.
  V. Chakaravarthy, S. Pandian, S. Raje, Y. Sabharwal, T. Suzumura, and S. Ubaru
- 2020 Projection techniques to update the truncated SVD of evolving matrices.
  V. Kalantzis, G. Kollias, **S. Ubaru**, A. Nikolakopoulos, L. Horesh, and K. Clarkson.
- 2020 Dynamic graph based epidemiological model for COVID-19 contact tracing data analysis and optimal testing prescription.
  - S. Ubaru, G. Cohen, and L. Horesh.
- 2020 Unsupervised Hierarchical Graph Representation Learning with Variational Bayes.
  - S. Ubaru and J. Chen.
- 2019 Provably convergent acceleration in factored gradient descent with applications in matrix sensing. T Ajayi, D Mildebrath, A. Krylidis, **S. Ubaru**, G. Kollias, and K. E. Bouchard.
- 2019 Harmonic randomized Krylov method for fast smallest and interior eigenvalues estimation. L. Wossining, **S. Ubaru**, and H. Avron.

## Patents Filled

- 2019 P201907072 Tensor-based predictions from analysis of time-varying graphs.
   O. Malik, S. Ubaru, L. Horesh, M. Kilmer, and H. Avron.
- 2019 P201907446 System for multilabel prediction in log time.
  - S. Ubaru, S. Dash, O. Gunluk, A. Mazumdar and L. Horesh.
- 2019 *P201809232 Short-depth quantum amplitude estimation without eigenstate collapse*. I. Akhalwaya, K. Clarkson, L. Horesh, M. Squillante, **S. Ubaru**, and V. Kalantzis.
- 2020 *P201907231 Streaming Algorithm Based Matrix Sketching Using Analog Crossbar Architectures*. L. Horesh, M. Onen, H. Avron, T. Gokmen, **S. Ubaru**, and V. Kalantzis.
- 2020 P202002430 Accelerated Quasi-Newton methods on analog crossbar hardware.
   V. Kalantzis, S. Ubaru, L. Horesh, H. Avron, and M. Onen.
- 2020 P202006188 Fast PCA of evolving data using analog crossbar array.
   S. Ubaru, V. Kalantzis, L. Horesh, M. Squillante, and H. Avron.

## Presentations and Visits

2020 Multilabel Classification by Hierarchical Partitioning and Data-dependent Grouping
 Neural Information Processing Systems (NeurIPS) Virtually held.

Novel tensor framework for model reduction and neural networks

- ICERM: Workshop on Algorithms for Dimension and Complexity Reduction, Brown University, RI

- 2019 Tensor graph neural networks for prediction on time varying graphs
  - NeurIPS: Workshop on Graph Representation Learning, Vancouver, Canada

Multilabel prediction in log time and data-dependent grouping

- NeurIPS: Workshop on Information Theory and Machine Learning, Vancouver, Canada

Spectrum approximation by Lanczos Quadrature and Preconditioned SVRG

- 20th International Conference On Preconditioning, Minneapolis, MN, USA.

Find the dimension that counts: Fast dimension estimation and Krylov PCA

- SIAM International Conference on Data Mining (SDM), Calgary, Canada.

Error Correcting Codes for Machine Learning.

- CSA Seminar, Indian Institute of Science (IISc), Bengaluru, India.
- 2018 Error Correcting Codes for Machine Learning.
  - Theory Seminar, University of Massachusetts, Amherst, MA.
  - IP Seminar, IBM T.J. Watson Research Center, NY.

Lawrence Berkeley National Laboratory, CA (Two weeks visit in June).

2017 UoI-NMF<sub>cluster</sub>: A Robust Nonnegative Matrix Factorization Algorithm for Noisy Data

- International Conference on Machine Learning and Applications (ICMLA), Cancun, Mexico.

Multilabel Classification with Group Testing and Codes

- Neural Systems and Engineering Labs, Lawrence Berkeley National Laboratory, CA.
- International Conference on Machine Learning (ICML), Sydney, Australia.

Error Correcting Codes for Machine Learning.

- The University of Melbourne, Melbourne, Australia (Two weeks visit in August).

Uol-NMFcluster and Uol-CUR: Union of Intersections methods for matrix approximations

- Neural Systems and Engineering Labs, Lawrence Berkeley National Laboratory, CA.

Microsoft Research, Bangalore, India (Two days visit in May).

- 2016 Error correcting codes for low rank approximation and group testing
  - BLISS Seminar, University of California, Berkeley, CA.

Fast methods for estimating the Numerical rank of large matrices

- International Conference on Machine Learning (ICML), New York, NY.
- 2015 Low rank approximation using error correcting coding matrices.
  - International Conference on Machine Learning (ICML), Lille, France.

### Awards

- 2019 UMN Best Dissertation Award, Computer Science Department nominee.
- 2018 Herman Goldstine Fellowship, IBM Research.
- 2017 Best Paper Award, International Conference on Machine Learning and Applications (ICMLA).
- 2015,16,17 ICML Travel Scholarship.
  - 2015 CS Department Travel Award.
  - 2011 Visiting Research Student Program, Raman Research Institute.

## Teaching

#### University of Minnesota

- Spring 2018 CSci 2033, Elementary Computational Linear Algebra, Recitation Instructor.
  - Fall 2017 CSci 5304, Computational Aspects of Matrix Theory, Teaching Assistant.
- Spring 2017 CSci 8314, Sparse Matrix Computations, Temporary Instructor.

## Service

Reviewer: Conferences:

> Neural Information Processing Systems (NeurIPS) 2016 - 20; International Conference on Machine Learning (ICML) 2018 - 20; International Conference on Learning Representations (ICLR) 2021; AAAI conference on Artificial Intelligence 2019 - 21; Conference on Uncertainty in Artificial Intelligence (UAI) 2019 - 20; IEEE International Conference On Machine Learning And Applications 2018 - 20; IEEE International Symposium on Information Theory (ISIT) 2017.

Journals:

PLOS One, SIAM Journal on Matrix Analysis and Applications, Acta Materialia, IEEE Transactions on Signal Processing, IEEE Transactions on Image Porcessing, Signal Processing Letters, IEEE Transactions on Information Theory, Electronic Transactions on Numerical Analysis, IEEE Transactions on Signal and Information Processing over Networks, Journal of Mathematics and Applications, Mathematical Modelling and Analysis.

Organizer: ICMLA Challenge 2018 - Parts based decomposition of noisy data.

MSRIT ROBONXG-2012, a week long robotics festival.

# Programming skills

C, C++, Matlab, Python, LATEX, Basic web programming.

## Graduate courses

Random matrices and high dimensional statistics, Sparse matrix computations, Optimization theory, Machine learning, Methods of applied mathematics, Computational aspects of matrix theory, Advanced algorithms and data-structures, Pattern recognition, Data compression, Adaptive digital signal processing, Detection and estimation theory, Digital communications, Probability and stochastic processes.

#### References

#### Yousef Saad

CSE Distinguished Professor. Computer Science and Engineering, University of Minnesota- Twin Cities, MN, USA. saad@umn.edu.

#### Kristofer E. Bouchard

Research Scientist Neural Systems and Engineering Lawrence Berkeley National Laboratory, CA, USA. IBM T.J. Watson Research Center, NY, USA kebouchard@lbl.gov.

#### Arya Mazumdar

Associate Professor. College of Information and Computer Sciences, University of Massachusetts at Amherst, MA, USA. arya@cs.umass.edu.

#### Lior Horesh

Senior Manager Mathematics of AI lhoresh@us.ibm.com