

# Shashanka Ubaru

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## Research Interests

Machine learning, numerical linear and tensor algebra, coding theory applications, approximation theory and algorithms, multilabel classification, and graph neural networks.

## Education

- 2012-18 **University of Minnesota, Minneapolis, MN, USA.**  
*Ph.D. Computer Science* May 2018  
Advisor: Yousef Saad  
Thesis: *Algorithmic advances in learning from large dimensional matrices and scientific data*
- M.S. Computer Science* October 2015  
*M.S. Electrical Engineering* November 2014  
Advisors: Yousef Saad and Arya Mazumdar  
Thesis: *Randomized techniques for matrix decomposition and estimating the approximate rank of a matrix*
- 2008-12 **M.S. Ramaiah Institute of Technology, Bangalore, India.**  
*B.Eng. Electronics and Communication*

## Employment

- 2018 - present **IBM T.J. Watson Research Center, 1101 Kitchawan Rd, Yorktown Heights, NY, USA.**  
*Research Staff Member* Jan, 2020 - present  
*Goldstine Postdoctoral Fellow* Aug, 2018 - Dec, 2019
- 2013-2018 **University of Minnesota, 200 Union St SE, Minneapolis, MN, USA.**  
*Research Assistant, Department of Computer Science.* Sep, 2013 - May, 2018
- 2016 & 2017 **Lawrence Berkeley National Laboratory, 1 Cyclotron Rd, Berkeley, CA, USA.**  
*Research Intern, Neural Systems and Data Science Lab* June - Aug, 2017  
*Research Intern, Scientific Data Management group* May - Aug, 2016
- 2013 **Seagate Technology, 1280 Disc Dr, Shakopee, MN, USA.**  
*Signal Processing Intern* May - Aug, 2013

## Publications

### Journal articles

- 2020 *Spectrum-Adapted Polynomial Approximation for Matrix Functions with Applications in Graph Signal Processing.*  
L. Fan, D. Shuman, **S. Ubaru**, and Y. Saad.  
Algorithms, Special Issue : Efficient Graph Algorithms in Machine Learning, 13(11), 295.
- 2019 *Sampling and multilevel coarsening algorithms for fast matrix approximations.*  
**S. Ubaru** and Y. Saad  
Numerical Linear Algebra with Applications 26.3 : e2234.
- 2017 *Fast estimation of  $\text{tr}(f(A))$  via Stochastic Lanczos Quadrature.*  
**S. Ubaru**, J. Chen, and Y. Saad  
SIAM Journal on Matrix Analysis and Applications (SIMAX), 38(4), 1075–1099.
- 2017 *Low rank approximation and decomposition of large matrices using error correcting codes.*  
**S. Ubaru**, A. Mazumdar, and Y. Saad  
IEEE Transactions on Information Theory, 63(9), 5544–5558.
- 2017 *Formation enthalpies for transition metal alloys using machine learning.*  
**S. Ubaru**, A. Miedlar, Y. Saad, and J R. Chelikowsky  
Physical Review B, (Vol.95, No.21).

- 2017 *Fast estimation of approximate matrix ranks using spectral densities.*  
**S. Ubaru**, Y. Saad, and A.-K. Seghouane  
 Neural Computation, 29(5):1317–1351.
- 2017 *Improving the Incoherence of a Learned Dictionary via Rank Shrinkage.*  
**S. Ubaru**, A.-K. Seghouane, and Y. Saad  
 Neural Computation, 29(1):263–285.
- 2012 *Displaying gray scales by cross pairing select and data voltages in multi-line addressed LCD.*  
**S. Ubaru** and T.N. Ruckmongathan  
 IEEE Journal of Display Technology, 8(11), 669–677.

## Conference proceedings

- 2021 *Analysis of stochastic Lanczos quadrature for spectrum approximation.*  
 T. Chen, T. Trogon, and **S. Ubaru**.  
 International Conference on Machine Learning (ICML).
- 2021 *Projection techniques to update the truncated SVD of evolving matrices.*  
 V. Kalantzis, G. Kollias, **S. Ubaru**, A. Nikolakopoulos, L. Horesh, and K.L. Clarkson.  
 International Conference on Machine Learning (ICML).
- 2021 *Sparse graph based sketching for fast numerical linear algebra.*  
 D. Hu, **S. Ubaru**, A. Gittens, K. Clarkson, L. Horesh, and V. Kalantzis.  
 International Conference on Acoustics, Speech, and Signal Processing (ICASSP).
- 2021 *Dynamic Graph Convolutional Networks Using the Tensor M-Product.*  
 O. Malik, **S. Ubaru**, L. Horesh, M. Kilmer, and H. Avron.  
 SIAM International Conference on Data Mining (SDM).
- 2020 *Multilabel Classification by Hierarchical Partitioning and Data-dependent Grouping.*  
**S. Ubaru**, S. Dash, O. Gunluk, and A. Mazumdar.  
 Advances in Neural Information Processing Systems (NeurIPS)
- 2019 *Spectrum-Adapted Polynomial Approximation for Matrix Functions.*  
 L. Fan, D. Shuman, **S. Ubaru**, and Y. Saad  
 International Conference on Acoustics, Speech, and Signal Processing (ICASSP).
- 2019 *Find the dimension that counts: Fast dimension estimation and Krylov PCA.*  
**S. Ubaru**, A.-K. Seghouane, and Y. Saad  
 SIAM International Conference on Data Mining (SDM).
- 2018 *Spectral Sums Beyond Fast Matrix Multiplication: Algorithms and Hardness.*  
 C. Musco, P. Netrapalli, A. Siffford, **S. Ubaru**, and D. P. Woodruff  
 Innovations in Theoretical Computer Science (ITCS).
- 2017 *UoI-NMF<sub>cluster</sub>: A Robust Nonnegative Matrix Factorization Algorithm for Improved Parts-Based Decomposition and Reconstruction of Noisy Data.*  
**S. Ubaru**, K. Wu, and K. E. Bouchard  
 IEEE International Conference on Machine Learning and Applications (ICMLA).  
**\*Best Paper Award.\***
- 2017 *Union of Intersections (UoI) for Interpretable Data Driven Discovery and Prediction.*  
 K. E. Bouchard, A. F. Bujan, F. Roosta-Khorasani, **S. Ubaru**, Prabhat, A. M. Snijders, J.-H. Mao, E. F. Chang, M. W. Mahoney, and S. Bhattacharyya  
 Advances in Neural Information Processing Systems (NeurIPS).
- 2017 *Multilabel Classification with Group Testing and Codes.*  
**S. Ubaru** and A. Mazumdar  
 International Conference on Machine Learning (ICML).
- 2016 *Fast methods for estimating the Numerical rank of large matrices.*  
**S. Ubaru** and Y. Saad  
 International Conference on Machine Learning (ICML).
- 2016 *Group testing schemes from low-weight codewords of BCH codes.*  
**S. Ubaru**, A. Mazumdar, and A. Barg  
 IEEE International Symposium on Information Theory (ISIT).
- 2015 *Low rank approximation using error correcting coding matrices.*  
**S. Ubaru**, A. Mazumdar, and Y. Saad  
 International Conference on Machine Learning (ICML).

## Book chapter

- 2018 *Applications of trace estimation techniques*.  
**S. Ubaru** and Y. Saad  
High Performance Computing in Science and Engineering, LNCS book series, vol. 11087, ch. 2, pp 19–33.

## Workshop papers

- 2019 *Multilabel prediction in log time and data-dependent grouping*.  
**S. Ubaru**, S. Dash, O. Gunluk, and A. Mazumdar  
NeurIPS - Workshop on Information Theory and Machine Learning.
- 2019 *Tensor graph neural networks for prediction on time varying graphs*.  
O. Malik, **S. Ubaru**, L. Horesh, M. Kilmer, and H. Avron  
NeurIPS - Workshop on Graph Representation Learning.
- 2019 *Union of Intersections (UoI) for interpretable data driven discovery and prediction in neuroscience*.  
K. E. Bouchard, P. Sachdeva, S. Bhattacharyya, M. Balasubramanian, and **S. Ubaru**  
Computational and Systems Neuroscience (Cosyne).

## Submissions

- 2020 *Efficiently Scaling Dynamic Graph Neural Networks to Billion Size Graphs*.  
V. Chakaravarthy, S. Pandian, S. Raje, Y. Sabharwal, T. Suzumura, and **S. Ubaru**
- 2020 *Dynamic graph based epidemiological model for COVID-19 contact tracing data analysis and optimal testing prescription*.  
**S. Ubaru**, G. Cohen, and L. Horesh.
- 2020 *Unsupervised Hierarchical Graph Representation Learning with Variational Bayes*.  
**S. Ubaru** and J. Chen.
- 2019 *Provably convergent acceleration in factored gradient descent with applications in matrix sensing*.  
T. Ajayi, D. Mildebrath, A. Krylidis, **S. Ubaru**, G. Kollias, and K. E. Bouchard.
- 2019 *Harmonic randomized Krylov method for fast smallest and interior eigenvalues estimation*.  
L. Wossining, **S. Ubaru**, and H. Avron.

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## Patents

- 2021 *US20210174242A1 - Automatic multilabel classification using machine learning*.  
**S. Ubaru**, S. Dash, O. Gunluk, A. Mazumdar and L. Horesh.
- 2021 *US20210090182A1 - Tensor-based predictions from analysis of time-varying graphs*.  
O. Malik, **S. Ubaru**, L. Horesh, M. Kilmer, and H. Avron.
- 2019 *P201809232 - Short-depth quantum amplitude estimation without eigenstate collapse*.  
I. Akhalwaya, K. Clarkson, L. Horesh, M. Squillante, **S. Ubaru**, and V. Kalantzis. (Filed)
- 2020 *P201907231 - Streaming Algorithm Based Matrix Sketching Using Analog Crossbar Architectures*.  
L. Horesh, M. Onen, H. Avron, T. Gokmen, **S. Ubaru**, and V. Kalantzis. (Filed)
- 2020 *P202002430 - Accelerated Quasi-Newton methods on analog crossbar hardware*.  
V. Kalantzis, **S. Ubaru**, L. Horesh, H. Avron, and M. Onen. (Filed)
- 2020 *P202006188 - Fast PCA of evolving data using analog crossbar array*.  
**S. Ubaru**, V. Kalantzis, L. Horesh, M. Squillante, and H. Avron. (Filed)
- 2021 *P202006530 - Determining triangles in graph data structures using crosspoint array*.  
V. Kalantzis, **S. Ubaru**, L. Horesh, and H. Avron. (Filed)
- 2021 *P202008482 - Projection-based techniques for updating SVD in evolving datasets*.  
V. Kalantzis, G. Kollias, **S. Ubaru**, L. Horesh, and K. Clarkson. (Filed)

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## Presentations and Visits

- 2021 *Into another dimension - a new tensor algebra for learning on dynamic graphs*  
- Seminar at University of Albany, NY.
- Algorithms for estimating spectral sums for large matrices*  
- Oden Institute Seminar Series, University of Texas, Austin.
- Novel Tensor Framework for Data Representation and Compression*  
- SIAM Conference on Computational Science and Engineering (CSE21).

- 2020 *Multilabel Classification by Hierarchical Partitioning and Data-dependent Grouping*  
 - Neural Information Processing Systems (NeurIPS) Virtually held.
- Novel tensor framework for model reduction and neural networks*  
 - ICERM: Workshop on Algorithms for Dimension and Complexity Reduction, Brown University, RI
- 2019 *Tensor graph neural networks for prediction on time varying graphs*  
 - NeurIPS: Workshop on Graph Representation Learning, Vancouver, Canada
- Multilabel prediction in log time and data-dependent grouping*  
 - NeurIPS: Workshop on Information Theory and Machine Learning, Vancouver, Canada
- Spectrum approximation by Lanczos Quadrature and Preconditioned SVRG*  
 - 20th International Conference On Preconditioning, Minneapolis, MN, USA.
- Find the dimension that counts: Fast dimension estimation and Krylov PCA*  
 - SIAM International Conference on Data Mining (SDM), Calgary, Canada.
- Error Correcting Codes for Machine Learning.*  
 - CSA Seminar, Indian Institute of Science (IISc), Bengaluru, India.
- 2018 *Error Correcting Codes for Machine Learning.*  
 - Theory Seminar, University of Massachusetts, Amherst, MA.  
 - IP Seminar, IBM T.J. Watson Research Center, NY.
- Lawrence Berkeley National Laboratory, CA (Two weeks visit in June).
- 2017 *UoI-NMF<sub>cluster</sub>: A Robust Nonnegative Matrix Factorization Algorithm for Noisy Data*  
 - International Conference on Machine Learning and Applications (ICMLA), Cancun, Mexico.
- Multilabel Classification with Group Testing and Codes*  
 - Neural Systems and Engineering Labs, Lawrence Berkeley National Laboratory, CA.  
 - International Conference on Machine Learning (ICML), Sydney, Australia.
- Error Correcting Codes for Machine Learning.*  
 - The University of Melbourne, Melbourne, Australia (Two weeks visit in August).
- UoI-NMF<sub>cluster</sub> and UoI-CUR: Union of Intersections methods for matrix approximations*  
 - Neural Systems and Engineering Labs, Lawrence Berkeley National Laboratory, CA.
- Microsoft Research, Bangalore, India (Two days visit in May).
- 2016 *Error correcting codes for low rank approximation and group testing*  
 - BLISS Seminar, University of California, Berkeley, CA.
- Fast methods for estimating the Numerical rank of large matrices*  
 - International Conference on Machine Learning (ICML), New York, NY.
- 2015 *Low rank approximation using error correcting coding matrices.*  
 - International Conference on Machine Learning (ICML), Lille, France.

## Awards

- 2019 *UMN Best Dissertation Award*, Computer Science Department nominee.
- 2018 **Herman Goldstine Fellowship**, IBM Research.
- 2017 **Best Paper Award**, International Conference on Machine Learning and Applications (ICMLA).
- 2015,16,17 *ICML Travel Scholarship*.
- 2015 *CS Department Travel Award*.
- 2011 *Visiting Research Student Program*, Raman Research Institute.

## Teaching

### IBM Research

Summer 2021 Mathematics of Big Data: Sketching and (Multi-) Linear Algebra at MSRI Berkeley, *Instructor*.

### University of Minnesota

Spring 2018 CSci 2033, Elementary Computational Linear Algebra, *Recitation Instructor*.

Fall 2017 CSci 5304, Computational Aspects of Matrix Theory, *Teaching Assistant*.

Spring 2017 CSci 8314, Sparse Matrix Computations, *Temporary Instructor*.

## Mentoring

### IBM Research - Interns

- 2021 Paz Fink Sustin. (PhD Student - Tel Aviv University)
- 2021 Lucky Yerimah. (PhD Student - RPI)
- 2021 Emily Thompson. (REU Student via. DIMACS)
- 2020 Dong Hu. (PhD Student - RPI)
- 2019 Osman Malik. (PhD Student - UC, Boulder)

## Service

Reviewer: *Conferences:*

Neural Information Processing Systems (NeurIPS) 2016 - 21 ; International Conference on Machine Learning (ICML) 2018 - 21 ; International Conference on Learning Representations (ICLR) 2021; AAAI conference on Artificial Intelligence 2019 - 21 ; Conference on Uncertainty in Artificial Intelligence (UAI) 2019 - 20; IEEE International Conference On Machine Learning And Applications 2018 - 20; IEEE International Symposium on Information Theory (ISIT) 2017.

*Journals:*

PLOS One, SIAM Journal on Matrix Analysis and Applications, Acta Materialia, IEEE Transactions on Signal Processing, IEEE Transactions on Image Processing, Signal Processing Letters, IEEE Transactions on Information Theory, Electronic Transactions on Numerical Analysis, IEEE Transactions on Signal and Information Processing over Networks, Journal of Mathematics and Applications, Mathematical Modelling and Analysis.

Organizer: ICMLA Challenge 2018 - Parts based decomposition of noisy data.

MSRIT ROBONXG-2012, a week long robotics festival.

## Programming skills

C, C++, Matlab, Python, LATEX, Basic web programming.

## Graduate courses

Random matrices and high dimensional statistics, Sparse matrix computations, Optimization theory, Machine learning, Methods of applied mathematics, Computational aspects of matrix theory, Advanced algorithms and data-structures, Pattern recognition, Data compression, Adaptive digital signal processing, Detection and estimation theory, Digital communications, Probability and stochastic processes.

## References

### Yousef Saad

CSE Distinguished Professor,  
Computer Science and Engineering,  
University of Minnesota- Twin Cities, MN, USA.  
saad@umn.edu.

### Arya Mazumdar

Associate Professor,  
College of Information and Computer Sciences,  
University of Massachusetts at Amherst, MA, USA.  
arya@cs.umass.edu.

### Kristofer E. Bouchard

Research Scientist  
Neural Systems and Engineering  
Lawrence Berkeley National Laboratory, CA, USA.  
kebouchard@lbl.gov.

### Lior Horesh

Senior Manager  
Mathematics of AI  
IBM T.J. Watson Research Center, NY, USA  
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