Formal Geometry and Bordism Operations

Lecture notes

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Class information

Course ID: MATH 278 (159627).

Meeting times: Spring 2016, MWF 12pm–1pm.

Goals: The primary goal of this class is to teach students to view results in algebraic topology through the lens of (formal) algebraic geometry.

Grading: This class won't have any official assignments. I'll give references as readings for those who would like a deeper understanding, though I'll do my best to ensure that no extra reading is required to follow the arc of the class.

I do want to assemble course notes from this class, but it's unlikely that I will have time to type *all* of them up. Instead, I would like to "crowdsource" this somewhat: I'll type up skeletal notes for each lecture, and then we as a class will try to flesh them out as the semester progresses. As incentive to help, those who contribute to the document will have their name included in the acknowledgements, and those who contribute *substantially* will have their name added as a coauthor. Everyone could use more CV items. (Publication may take a while. I suspect the course won't run perfectly smoothly the first time, so this may takes a second semester pass to become fully workable. But, since topics courses only come around once in a while, this will necessarily mean a delay.)

The source for this document can be found at

`https://github.com/ecpeterson/FormalGeomNotes`.

If you're taking the class or otherwise want to contribute, you can write me at

`ecp@math.harvard.edu`

to request write access.

Acknowledgements

Contents

I would like as few section titles as possible to involve people's names.

A bunch of broken displaymode tombstones can have their positions fixed by using http://tex.stackexchange.com/a/66221/2671.

Compile an index by replacing all the `textit` commands in definition environments with some more fancy macro that tags it for inclusion.

Remember to use $f\colon A \to B$ everywhere.

Should sections have subsections? Does that help organize the TOC?

Hood wrote a macro called sumfgl (see also sumF and sumG) that will make a bunch of formal group law expressions typeset better. Propagate that change through. Consider also the adjunct macro in the preamble. (Jay says that left-adjoints should be on top in general.)

http://tex.stackexchange.com/questions/53513/hyperref-token-not-allowed has information on how to make the PDF Bookmarks warnings go away. You can't use this until after you drop the date prefix macro.

Make sure you use either id or 1 everywhere to denote the identity morphism.

You're not very consistent about using $\widehat{G}$ or Γ to denote an arbitrary formal group. It seems like you use one or the other based on your preference of whether it has finite height or not.

Eliminate contractions.

Make sure that "Case Study" and "Lecture" are OK names by Springer's standards. If they aren't, do a careful search-and-replace for them.

Chapter 0

Introduction

The goal of this book is to communicate a certain *weltanschauung* uncovered in pieces by many different people working in bordism theory, and the goal just for this introduction is to tell a story about one theorem where it is especially apparent.

To begin, we will define a homology theory called "bordism homology". Recall that the singular homology of a space X is defined by considering the collection of continuous maps $\sigma : \Delta^n \to X$, taking the free $\mathbb{Z}$–module on each of these sets, and constructing a chain complex

$$\cdots \xrightarrow{\partial} \mathbb{Z}\{\Delta^n \to X\} \xrightarrow{\partial} \mathbb{Z}\{\Delta^{n-1} \to X\} \xrightarrow{\partial} \cdots .$$

Bordism homology is constructed analogously, but using manifolds M as the sources instead of simplices:[1]

$$\cdots \xrightarrow{\partial} \{M^n \to X \mid M^n \text{ a compact } n\text{–manifold}\}$$
$$\xrightarrow{\partial} \{M^{n-1} \to X \mid M^{n-1} \text{ a compact } (n-1)\text{–manifold}\}$$
$$\xrightarrow{\partial} \cdots .$$

Lemma 0.0.1. *This forms a chain complex of monoids under direct sum of manifolds, and its homology is written $MO_*(X)$. These are naturally abelian groups, and moreover they satisfy the axioms of a generalized homology theory.* □

> **Cite me:** Find a reference for this fact. I learned it on MathOverflow..

In fact, we can define a bordism theory MG for any suitable family of structure groups $G(n) \to O(n)$. The coefficient ring of MG, or its value $MG_*(*)$ on a point, gives the ring of G–bordism classes, and generally $MG_*(Y)$ gives a kind of "bordism in families over the space Y". There are comparison morphisms for the most ordinary kinds of bordism, given by replacing a chain of manifolds with an equivalent simplicial chain:

$$MO \to H\mathbb{Z}/2, \quad MSO \to H\mathbb{Z}.$$

> dshi:I don't follow here. How does this replacement go explicitly? Somehow I understood it when you explained this to me in person but now I don't see it anymore.

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[1] One doesn't need to take the free abelian group on anything, since the disjoint union of two manifolds is already a (disconnected) manifold, whereas the disjoint union of two simplices is not a simplex.

In both cases, we can evaluate on a point to get ring maps, called "genera":

$$MO_*(*) \to \mathbb{Z}/2 \quad \text{and} \quad MSO_*(*) \to \mathbb{Z},$$

neither of which is very interesting, since they're both zero in positive degrees.

However, having maps of homology theories (rather than just maps of coefficient rings) is considerably more data then just the genus. For instance, we can use it to extract a theory of integration as follows. Consider the following special case of oriented bordism, where we evaluate MSO_* on an infinite loopspace:

$$MSO_n K(\mathbb{Z}, n) = \{\text{oriented } n\text{--manifolds mapping to } K(\mathbb{Z}, n)\} \,/\sim$$
$$= \left\{ \begin{array}{c} \text{oriented } n\text{--manifolds } M \\ \text{with a specified class } \omega \in H^n(M; \mathbb{Z}) \end{array} \right\} \Big/ \sim \,.$$

Associated to such a representative (M, ω), the yoga of stable homotopy theory then allows us to build a composite

$$\mathbb{S} \xrightarrow{(M, \omega)} MSO \wedge (\mathbb{S}^{-n} \wedge \Sigma_+^\infty K(\mathbb{Z}, n))$$
$$\xrightarrow{\text{colim}} MSO \wedge H\mathbb{Z}$$
$$\xrightarrow{\varphi \wedge 1} H\mathbb{Z} \wedge H\mathbb{Z}$$
$$\xrightarrow{\mu} H\mathbb{Z},$$

where φ is the orientation map. Altogether, this composite gives us an element of $\pi_0 H\mathbb{Z}$, i.e., an integer.

Lemma 0.0.2. *The integer obtained by the above process is $\int_M \omega$.* $\square$

This definition of $\int_M \omega$ via stable homotopy theory is pretty nice, in the sense that many theorems accompany it for free. It is also very general: given a ring map off of any bordism spectrum, a similar sequence of steps will furnish us with an integral tailored to that situation.

Now take $G = e$ to be the trivial structure group, which is the bordism theory of manifolds with trivialized tangent bundle. In this case, the Pontryagin–Thom construction gives an equivalence $\mathbb{S} \xrightarrow{\simeq} Me$. It is thus possible (and some people have indeed taken up this viewpoint) that stable homotopy theory can be done solely through the lens of "framed bordism". We will prefer to view this the other way: the sphere spectrum $\mathbb{S}$ often appears to us as a natural object, and we will occasionally replace it by Me, the framed bordism spectrum. For example, given a ring spectrum E with unit map $\mathbb{S} \to E$, we can reconsider this as a ring map

$$Me \xrightarrow{\simeq} \mathbb{S} \to E.$$

Following along the lines of the previous paragraph, we learn that any ring spectrum E is automatically equipped with a theory of integration for framed manifolds.

Sometimes, as in the examples above, this unit map factors:

$$\mathbb{S} \simeq Me \to MO \to H\mathbb{Z}/2.$$

This is a witness to the overdeterminacy of $H\mathbb{Z}/2$'s integral for framed bordism: if the framed manifold is pushed all the way down to an unoriented manifold, there is still enough residual data to define the integral.[2] Given any ring spectrum E, we can ask the analogous question: If we filter O by a system of structure groups, through what stage does the unit map $Me \to E$ factor? For instance, the map

$$\mathbb{S} = Me \to MSO \to H\mathbb{Z}$$

considered above does *not* factor further through MO — an orientation is *required* to define the integral of an integer–valued cohomology class. Recognizing $SO \to O$ as the 0th Postnikov–Whitehead truncation of O, we are inspired to use the rest of the Postnikov filtration as our filtration of structure groups. Here is a diagram of this filtration and some interesting minimally-factored integration theories related to it:

This is the situation homotopy theorists found themselves in some decades ago, when Ochanine and Witten proved the following mysterious theorem using analytical and physical methods:

Theorem 0.0.3 (Ochanine [35], Witten [56, 57]). *There is a map of rings*

$$\sigma : MSpin_* \to \mathbb{C}(\!(q)\!).$$

Moreover, if M is a Spin manifold such that twice its first Pontryagin class vanishes — that is, if M lifts to a String–manifold — then $\sigma(M)$ lands in the subring $MF \subseteq \mathbb{Z}[\![q]\!]$ of modular forms with integral coefficients. □

However, neither party gave indication that their result should be valid "in families" (in our sense), and no theory of integration was formally produced (in our sense). From the perspective of the homotopy theorist, it wasn't even totally clear what such a claim

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[2]It's literally more information than this: even unframeable unoriented manifolds acquire a compatible integral.

would mean: to give a topological enrichment of these theorems would mean finding a ring spectrum E such that $E_*(*)$ had something to do with modular forms.

Around the same time, Landweber, Ravenel, and Stong began studying "elliptic cohomology" for independent reasons; sometime much earlier, Morava had constructed an object "K^{Tate}" associated to the Tate elliptic curve; and a decade later Ando, Hopkins, and Strickland put all these things together in the following theorem:

Theorem 0.0.4 (Ando–Hopkins–Strickland). *If E is an "elliptic cohomology theory", then there is a canonical map of homotopy ring spectra $MString \to E$ called the σ–orientation (for E). Additionally, there is an elliptic spectrum K^{Tate} whose σ–orientation gives Witten's genus $MString_* \to K_*^{\text{Tate}}$.* □

We now come to the motivation for this class: the homotopical σ–orientation was actually first constructed using formal geometry. The original proof of Ando–Hopkins–Strickland begins with a reduction to maps of the form

$$MU[6, \infty) \to E.$$

They then work to show that in especially good cases they can complete the missing arrow in the diagram

$$
\begin{array}{ccc}
MU[6, \infty) & \longrightarrow & MString \\
& \searrow & \vdots \\
& & E.
\end{array}
$$

Leaving aside the extension problem for the moment, their main theorem is the following description of the cohomology ring $E^* MU[6, \infty)$:

Theorem 0.0.5 (Ando–Hopkins–Strickland [2], cf. Singer [45] and Stong [47]). *For E an even–periodic cohomology theory,*

$$\operatorname{Spec} E_* MU[6, \infty) \cong C^3(\widehat{\mathbb{G}}_E; \mathcal{I}(0)),$$

where "$C^3(\widehat{\mathbb{G}}_E; \mathcal{I}(0))$" is a certain scheme. When E is taken to be elliptic, so that there is a specified isomorphism $\widehat{\mathbb{G}}_E \cong C_0^\wedge$ for C an elliptic curve, the theory of elliptic curves furnishes the scheme with a canonical point. Hence, there is a preferred class $MU[6, \infty) \to E$, natural in the choice of elliptic E. □

Our real goal is to understand theorems like this last one, where algebraic geometry asserts some real control over something squarely in the domain of homotopy theory.

The structure of the class will be to work through a sequence of case studies where this perspective shines through most brightly. We'll start by working through Thom's calculation of the homotopy of MO, which holds the simultaneous attractive features of being

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approachable while revealing essentially all of the structural complexity of the general situation, so that we know what to expect later on. Having seen that through to the end, we'll then venture on to other examples: the complex bordism ring, structure theorems for finite spectra, unstable cooperations, and, finally, the σ–orientation and its extensions. The overriding theme of the class will be that algebraic geometry is a good organizing principle that gives us one avenue of insight into how homotopy theory functions. In particular, it allows us to organize "operations" of various sorts between spectra derived from bordism theories.

We should also mention that we will specifically *not* discuss the following aspects of this story:

- Analytic techniques will be completely omitted. Much of modern research stemming from the above problem is an attempt to extend index theory across Witten's genus, or to find a "geometric cochains" model of certain elliptic cohomology theories. These often mean heavy analytic work, and we will strictly confine ourselves to the domain of homotopy theory.

- As sort of a sub-point (and despite the motivation provided in this Introduction), we will also mostly avoid manifold geometry. (We do give a proof of Quillen's theorem on the structure of MU_* which invokes some mild amount of manifold geometry.)Again, much of the contemporary research about *tmf* is an attempt to find a geometric model, so that geometric techniques can be imported — including equivariance and the geometry of quantum field theories, to name two.

  > If Jeremy's proof changes this, update this sentence.

- In a different direction, our focus will not linger on actually computing bordism rings MX_*, nor will we consider geometric constructions on manifolds and their behavior after imaging into the bordism ring. This is also the source of active research: the structure of the symplectic bordism ring remains, to large extent, mysterious, and what we do understand of it comes through a mix of formal geometry and raw manifold geometry. This could be a topic that fits logically into this document, were it not for time limitations and the author's inexpertise.

- The geometry of E_∞ rings will also be avoided, at least to the extent possible. Such objects become inescapable by the conclusion of our story, but there are better resources from which to learn about E_∞ rings, and the pre–E_∞ story is not told so often these days. So, we will focus on the unstructured part and leave E_∞ rings to other authors.

- There will be plenty of places where we will avoid stating things in maximum generality or with maximum thoroughness. The story we are interested in telling draws from a blend of many others from different subfields of mathematics, many of which have their own topics courses. Sometimes this will mean avoiding stating the most beautiful theorem in a subfield in favor of a theorem we will find more useful. Other

times this will mean abbreviating someone else's general definition to one more specialized to the task at hand. In any case, we will give references to other sources where you can find these things cast in starring roles.

Finally, we must mention that there are several good companions to these notes. Essentially none of the material here is original — it's almost all cribbed either from published or unpublished sources — but the source documents are quite scattered and individually dense. We will make a point to cite useful references as we go. One document stands out above all others, though: Neil Strickland's *Functorial Philosophy for Formal Phenomena* [50]. These lecture notes can basically be viewed as an attempt to make it through this paper in the span of a semester.

Case Study 1

Unoriented bordism

This Case Study culminates in the calculation of $MO_*(*)$, the bordism ring of unoriented manifolds, but we mainly take this as an opportunity to introduce several key concepts that will serve us throughout the book. First and foremost, we will require a definition of bordism spectrum that we can manipulate computationally, using just the tools of abstract homotopy theory. Once that is established, we immediately begin to bring algebraic geometry into the mix: the main idea is that the cohomology ring of a space is better viewed as a scheme (with plenty of extra structure), and the homology groups of a spectrum are better viewed as representation for a certain elaborate algebraic group. This data actually finds familiar expression in homotopy theory: we show that a form of group cohomology for this representation forms the input to the classical Adams spectral sequence. Finally, we calculate this representation structure for $H\mathbb{F}_{2*}MO$, find that it is suitably free, and thereby gain control of the Adams spectral sequence computing $MO_*(*)$.

Thread Crefs to the relevant theorems below through this introduction.

1.1 Thom spectra and the Thom isomorphism

Our goal is a sequence of theorems about the unoriented bordism spectrum *MO*. We will begin by recalling a definition of the spectrum *MO* using just abstract homotopy theory, because it involves ideas that will be useful to us throughout the semester and because we cannot compute effectively with the chain-level definition given in the Introduction.

Definition 1.1.1. For a spherical bundle $S^{n-1} \to \xi \to X$, its Thom space is given by the cofiber

$$\xi \to X \xrightarrow{\text{cofiber}} T_n(\xi).$$

"Proof" of definition. There is a more classical construction of the Thom space: take the associated disk bundle by gluing an n–disk fiberwise, and add a point at infinity by collapsing ξ:

$$T_n(\xi) = (\xi \sqcup'_{S^{n-1}} D^n)^+.$$

To compare this with the cofiber definition, recall that the thickening of ξ to an n–disk bundle is the same thing as taking the mapping cylinder on $\xi \to X$. Since the inclusion into the mapping cylinder is now a cofibration, the quotient by this subspace agrees with both the cofiber of the map and the introduction of a point at infinity. $\qquad\square$

Before proceeding, here are two important examples:

Example 1.1.2. If $\xi = S^{n-1} \times X$ is the trivial bundle, then $T_n(\xi) = S^n \wedge (X_+)$. This is supposed to indicate what Thom spaces are "doing": if you feed in the trivial bundle then you get the suspension out, so if you feed in a twisted bundle you should think of it as a *twisted suspension*.

Example 1.1.3. Let ξ be the tautological S^0–bundle over $\mathbb{RP}^\infty = BO(1)$. Because ξ has contractible total space, $EO(1)$, the cofiber degenerates and it follows that $T_1(\xi) = \mathbb{RP}^\infty$. More generally, arguing by cells shows that the Thom space for the tautological bundle over $\mathbb{RP}^n$ is $\mathbb{RP}^{n+1}$.

Now we catalog a bunch of useful properties of the Thom space functor. Firstly, recall that a spherical bundle over X is the same data as a map $X \to BGL_1S^{n-1}$, where GL_1S^{n-1} is the subspace of $F(S^{n-1}, S^{n-1})$ expressed by the pullback of spaces

$$
\begin{array}{ccc}
GL_1S^{n-1} & \longrightarrow & F(S^{n-1}, S^{n-1}) \\
\downarrow & & \downarrow \\
\mathrm{Aut}_{h\mathsf{Spaces}}\, S^{n-1} & \longrightarrow & \mathrm{End}_{h\mathsf{Spaces}}\, S^{n-1} \;=\!=\; \pi_0 F(S^{n-1}, S^{n-1}).
\end{array}
$$

We can interpret T_n as a functor off of the slice category over BGL_1S^{n-1}: maps

$$Y \xrightarrow{f} X \xrightarrow{\xi} BGL_1S^{n-1}$$

induce maps $T_n(f^*\xi) \to T_n(\xi)$, and T_n is suitably homotopy-invariant.

Next, the spherical subbundle of a vector bundle gives a common source of spherical bundles. The action of $O(n)$ on $\mathbb{R}^n$ preserves the unit sphere, and hence gives a map $O(n) \to GL_1S^{n-1}$. These are maps of topological groups, and the block–inclusion maps $i^n \colon O(n) \to O(n+1)$ commute with the suspension map $GL_1S^{n-1} \to GL_1S^n$. In fact, much more can be said:

Lemma 1.1.4. *The block–sum maps $O(n) \times O(m) \to O(n+m)$ are compatible with the join maps $GL_1S^{n-1} \times GL_1S^{m-1} \to GL_1S^{n+m-1}$.*

Again taking a cue from K–theory, we take the colimit as n grows large, using the maps

$$BGL_1S^{n-1} \;=\!=\; BGL_1S^{n-1} \times * \xrightarrow{\mathrm{id}\,\times\mathrm{triv}} BGL_1S^{n-1} \times BGL_1S^0 \xrightarrow{\;*\;} BGL_1S^n,$$

$$BO(n) \;=\!=\; BO(n) \times * \xrightarrow{\mathrm{id}\,\times\mathrm{triv}} BO(n) \times BO(1) \xrightarrow{\;\oplus\;} BO(n+1).$$

Corollary 1.1.5. *The operations of block–sum and topological join imbue the colimiting spaces BO and $BGL_1\mathbb{S}$ with the structure of H–groups. Moreover, the colimiting map*

$$J_\mathbb{R} \colon BO \to BGL_1\mathbb{S},$$

called the stable J–homomorphism, is a morphism of H–groups. □

Should you justify "group" rather than "space"?

Finally, we can ask about the compatibility of Thom constructions with all of this. In order to properly phrase the question, we need a version of the construction which operates on stable spherical bundles, i.e., whose source is the slice category over $BGL_1\mathbb{S}$. By calculating

Does this calculation need justification?

$$T_{n+1}(\xi * \mathrm{triv}) \simeq \Sigma T_n(\xi),$$

we are inspired to make the following definition:

Definition 1.1.6. For ξ an S^{n-1}–bundle, we define the *Thom spectrum* of ξ to be

$$T(\xi) := \Sigma^{-n}\Sigma^\infty T_n(\xi).$$

By filtering the base space by compact subspaces, this begets a functor

$$T \colon \mathsf{Spaces}_{/BGL_1\mathbb{S}} \to \mathsf{Spectra}.$$

Lemma 1.1.7. *T is monoidal: it carries external fiberwise joins to smash products of Thom spectra. Correspondingly, $T \circ J_\mathbb{R}$ carries external direct sums of stable vector bundles to smash products of Thom spectra.* □

Definition 1.1.8. The spectrum MO arises as the universal example of all these constructions, strung together:

$$MO := T(J_\mathbb{R}) = \operatorname*{colim}_n T(J_\mathbb{R}^n) = \operatorname*{colim}_n \Sigma^{-n} T_n J_\mathbb{R}^n.$$

The spectrum MO has several remarkable properties. The most basic such property is that it is a ring spectrum, and this follows immediately from $J_\mathbb{R}$ being a homomorphism of H–spaces. Much more excitingly, we can also deduce the presence of Thom isomorphisms just from the properties stated thus far. That $J_\mathbb{R}$ is a homomorphism means that the following square commutes:

Cite me: There should be a reference here (to Pontryagin, presumably) saying that we recover MO as defined on the first day..

$$
\begin{array}{ccccc}
BO \times BO & \xrightarrow[\simeq]{\sigma} & BO \times BO & \xrightarrow{\mu} & BO \\
& & \downarrow{\scriptstyle J_\mathbb{R} \times J_\mathbb{R}} & & \downarrow{\scriptstyle J_\mathbb{R}} \\
& & BGL_1\mathbb{S} \times BGL_1\mathbb{S} & \xrightarrow{\mu} & BGL_1\mathbb{S}.
\end{array}
$$

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We have extended this square very slightly by a certain shearing map σ defined by $\sigma(x,y) = (xy^{-1},y)$. It is evident that σ is a homotopy equivalence, since just as we can de-scale the first coordinate by y we can re-scale by it. We can calculate directly the behavior of the long composite:

$$J_{\mathbb{R}} \circ \mu \circ \sigma(x,y) = J_{\mathbb{R}} \circ \mu(xy^{-1},y) = J_{\mathbb{R}}(xy^{-1}y) = J_{\mathbb{R}}(x).$$

It follows that the second coordinate plays no role, and that the bundle classified by the long composite can be written as $J_{\mathbb{R}} \times 0$.[1] We are now in a position to see the Thom isomorphism:

Lemma 1.1.9 (Thom isomorphism, universal example). *As MO–modules,*

$$MO \wedge MO \simeq MO \wedge \Sigma_+^{\infty}BO.$$

Proof. Stringing together the naturality properties of the Thom functor outlined above, we can thus make the following calculation:

$$
\begin{aligned}
T(\mu \circ (J_{\mathbb{R}} \times J_{\mathbb{R}})) &\simeq T(\mu \circ (J_{\mathbb{R}} \times J_{\mathbb{R}}) \circ \sigma) && \text{(homotopy invariance)}\\
&\simeq T(J_{\mathbb{R}} \times 0) && \text{(constructed lift)}\\
&\simeq T(J_{\mathbb{R}}) \wedge T(0) && \text{(monoidality)}\\
&\simeq T(J_{\mathbb{R}}) \wedge \Sigma_+^{\infty}BO && \text{(Example 1.1.2)}\\
T(J_{\mathbb{R}}) \wedge T(J_{\mathbb{R}}) &\simeq T(J_{\mathbb{R}}) \wedge \Sigma_+^{\infty}BO && \text{(monoidality)}\\
MO \wedge MO &\simeq MO \wedge \Sigma_+^{\infty}BO. && \text{(definition of } MO\text{)}
\end{aligned}
$$

The equivalence is one of MO–modules because the MO–module structure of both sides comes from smashing with MO on the left. □

From here, the general version of Thom's theorem follows quickly:

Theorem 1.1.10 (Thom isomorphism). *Let $\xi\colon X \to BO$ classify a vector bundle and let $\varphi\colon MO \to E$ be a map of ring spectra. Then there is an equivalence of E–modules*

$$E \wedge T(\xi) \simeq E \wedge \Sigma_+^{\infty}X.$$

Modifications to above proof. To accommodate X rather than BO as the base, we redefine $\sigma\colon BO \times X \to BO \times X$ by

$$\sigma(x,y) = \sigma(x\xi(y)^{-1},y).$$

Follow the same proof as before with the diagram

---

[1]This factorization does *not* commute with the rest of the diagram, just with the little lifting triangle it forms.

σ *almost* shows up in giving a categorical definition of a G–torsor. I wish I understood this, but I always get tangled up.

$$BO \times X \xrightarrow[\simeq]{\sigma} BO \times X \xrightarrow[\simeq]{\mathrm{id} \times \xi} BO \times BO \xrightarrow{\mu} BO$$

with vertical maps $J_{\mathbb{R}} \times J_{\mathbb{R}}$ and $J_{\mathbb{R}}$ to

$$BGL_1\mathbb{S} \times BGL_1\mathbb{S} \xrightarrow{\mu} BGL_1\mathbb{S}.$$

This gives an equivalence $\theta_{MO} \colon MO \wedge T(\xi) \to MO \wedge \Sigma_+^\infty X$. To introduce E, note that there is a diagram

$$
\begin{array}{ccc}
E \wedge T(\xi) & & E \wedge \Sigma_+^\infty X \\
\Big\downarrow{\scriptstyle \eta_{MO} \wedge \mathrm{id} \wedge \mathrm{id} = f} & & \Big\downarrow{\scriptstyle \eta_{MO} \wedge \mathrm{id} \wedge \mathrm{id}} \\
MO \wedge E \wedge T(\xi) & \xrightarrow{\theta_{MO} \wedge E} & MO \wedge E \wedge \Sigma_+^\infty X \\
\Big\downarrow{\scriptstyle (\mu \circ (\varphi \wedge \mathrm{id})) \wedge \mathrm{id} = g} & & \Big\downarrow{\scriptstyle (\mu \circ (\varphi \wedge \mathrm{id})) \wedge \mathrm{id} = h} \\
E \wedge T(\xi) & \xrightarrow{\theta_E} & E \wedge \Sigma_+^\infty X
\end{array}
$$

The bottom arrow θ_E exists by applying the action map to both sides and pushing the map $\theta_{MO} \wedge E$ down. Since θ_{MO} is an equivalence, it has an inverse α_{MO}. Therefore, the middle map has inverse $\alpha_{MO} \wedge E$, and we can similarly push this down to a map α_E, which we now want to show is the inverse to θ_E. From here it is a simple diagram chase: we have renamed three of the maps in the diagram to f, g, and h for brevity. Noting that $g \circ f$ is the identity map because of the unit axiom, we conclude

$$
\begin{aligned}
g \circ f &\simeq g \circ (\alpha_{MO} \wedge E) \circ (\theta_{MO} \wedge E) \circ f \\
&\simeq \alpha_E \circ h \circ (\theta_{MO} \wedge E) \circ f & \text{(action map)} \\
&\simeq \alpha_E \circ \theta_E \circ g \circ f & \text{(action map)} \\
&\simeq \alpha_E \circ \theta_E.
\end{aligned}
$$

It follows that α_E gives an inverse to θ_E. $\qquad\square$

Remark 1.1.11. One of the tentpoles of the theory of Thom spectra is that Theorem 1.1.10 has a kind of converse: if a ring spectrum E has suitably natural and multiplicative Thom isomorphisms for Thom spectra formed from real vector bundles, then one can define an essentially unique ring map $MO \to E$ realizing these isomorphisms via the machinery of Theorem 1.1.10.

Example 1.1.12. We will close out this section by using this to actually make a calculation. Recall from Example 1.1.3 that $T(\mathcal{L} \downarrow \mathbb{RP}^n) = \mathbb{RP}^{n+1}$. Because MO is a connective spectrum, the diagram

This is *still* not a proof of this. Ugh.

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$$MO \wedge MO \longrightarrow (MO \wedge MO)(-\infty, 0] \longrightarrow MO(-\infty, 0] \wedge MO(-\infty, 0] \Longrightarrow H\pi_0 MO \wedge H\pi_0 MO$$

$$MO \longrightarrow MO(-\infty, 0] \Longrightarrow H\pi_0 MO$$

shows that

$$MO \to MO(-\infty, 0] = H\pi_0 MO = H\mathbb{F}_2$$

is a map of ring spectra. Hence, we can apply the Thom isomorphism theorem to the mod–2 homology of Thom complexes coming from real vector bundles:

$$\pi_*(H\mathbb{F}_2 \wedge T(\mathcal{L} - 1)) \cong \pi_*(H\mathbb{F}_2 \wedge T(0)) \qquad \text{(Thom isomorphism)}$$
$$\pi_*(H\mathbb{F}_2 \wedge \Sigma^{-1}\Sigma^\infty \mathbb{R}P^{n+1}) \cong \pi_*(H\mathbb{F}_2 \wedge \Sigma_+^\infty \mathbb{R}P^n) \qquad \text{(Example 1.1.3)}$$
$$\widetilde{H\mathbb{F}}_{2*+1}\mathbb{R}P^{n+1} \cong H\mathbb{F}_{2*}\mathbb{R}P^n. \qquad \text{(generalized homology)}$$

This powers an induction that shows $H\mathbb{F}_{2*}\mathbb{R}P^\infty$ has a single class in every degree. The cohomological version of the Thom isomorphism, together with the $H\mathbb{F}_2^*\mathbb{R}P^n$–module structure of $H\mathbb{F}_2^* T(\mathcal{L} - 1)$, also gives the ring structure:

<div style="border:1px solid orange; color:orange; padding:4px;">This could use a reference or a remark or something. Is there a Mahowaldian version of the cohomological Thom isomorphism?</div>

$$H\mathbb{F}_2^*\mathbb{R}P^n = \mathbb{F}_2[x]/x^{n+1}.$$

1.2 Cohomology rings and affine schemes

An abbreviated summary of this book is that we are going to put "Spec" in front of rings appearing in algebraic topology and see what happens. Before doing any algebraic topology, let me remind you what this means on the level of algebra. The core idea is to replace a ring R by the functor it corepresents, $\operatorname{Spec} R$. For any "test $\mathbb{F}_2$–algebra" T, we set

$$(\operatorname{Spec} R)(T) := \operatorname{Algebras}_{\mathbb{F}_2/}(R, T) \cong \operatorname{Schemes}_{/\mathbb{F}_2}(\operatorname{Spec} T, \operatorname{Spec} R).$$

More generally, we have the following definition:

Definition 1.2.1. An *affine $\mathbb{F}_2$–scheme* is a functor $X : \operatorname{Algebras}_{\mathbb{F}_2/} \to \operatorname{Sets}$ which is (non-canonically) isomorphic to $\operatorname{Spec} R$ for some $\mathbb{F}_2$–algebra R. Given such an isomorphism, we will refer to $\operatorname{Spec} R \to X$ as a *parameter* for X and its inverse $X \to \operatorname{Spec} R$ as a *coordinate* for X.

Lemma 1.2.2. *There is an equivalence of categories*

$$\operatorname{Spec} : \operatorname{Algebras}_{\mathbb{F}_2/}^{\operatorname{op}} \to \operatorname{AffineSchemes}_{/\mathbb{F}_2}. \quad \square$$

The centerpiece of thinking about rings in this way, for us and for now, is to translate between a presentation of R as a quotient of a free algebra and a presentation of $(\operatorname{Spec} R)(T)$ as selecting tuples of elements in T subject to certain conditions. Consider the following example:

Example 1.2.3. Set $R_1 = \mathbb{F}_2[x]$. Then

$$(\operatorname{Spec} R_1)(T) = \operatorname{Algebras}_{\mathbb{F}_2/}(\mathbb{F}_2[x], T)$$

is determined by where x is sent — i.e., this Hom–set is naturally isomorphic to T itself. Consider also what happens when we impose a relation by passing to $R_2 = \mathbb{F}_2[x]/(x^{n+1})$. The value

$$(\operatorname{Spec} R_2)(T) = \operatorname{Algebras}_{\mathbb{F}_2/}(\mathbb{F}_2[x]/(x^{n+1}), T)$$

of the associated affine scheme is again determined by where x is sent, but now x can only be sent to elements which are nilpotent of order $n + 1$. These schemes are both important enough that we give them special names:

$$\mathbb{A}^1 := \operatorname{Spec} \mathbb{F}_2[x], \qquad\qquad \mathbb{A}^{1,(n)} := \operatorname{Spec} \mathbb{F}_2[x]/(x^{n+1}).$$

The symbol "$\mathbb{A}^1$" is pronounced "the affine line" — reasonable, since the value $\mathbb{A}^1(T)$ is, indeed, a single T's worth of points. Note that the quotient map $R_1 \to R_2$ induces an inclusion $\mathbb{A}^{1,(n)} \to \mathbb{A}^1$ and that $\mathbb{A}^{1,(0)}$ is a constant functor:

$$\mathbb{A}^{1,(0)}(T) = \{f : \mathbb{F}_2[x] \to T \mid f(x) = 0\}.$$

Accordingly, we pronounce "$\mathbb{A}^{1,(0)}$" as "the origin on the affine line" and "$\mathbb{A}^{1,(n)}$" as "the $(n+1)^{\mathrm{st}}$ order (nilpotent) neighborhood of the origin in the affine line".

We can also use this language to re-express another common object arising in algebraic topology: the Hopf algebra, which appears when taking the mod–2 cohomology of an H–group. In addition to the usual ring structure on cohomology groups, the H–group multiplication, unit, and inversion maps induce an additional diagonal map Δ, an augmentation map ε, and an antipode χ respectively. Running through the axioms, one quickly checks the following:

Lemma 1.2.4. *For a Hopf $\mathbb{F}_2$–algebra R, the functor $\operatorname{Spec} R$ is naturally valued in groups. Such functors are called group schemes. Conversely, a choice of group structure on $\operatorname{Spec} R$ endows R with the structure of a Hopf algebra.*

Proof sketch. This is a matter of recognizing the product in $\operatorname{Algebras}_{\mathbb{F}_2/}^{\mathrm{op}}$ as the tensor product, then using the Yoneda lemma to transfer structure around. $\qquad\square$

Example 1.2.5. The functor $\mathbb{A}^1$ introduced above is naturally valued in groups: since $\mathbb{A}^1(T) \cong T$, we can use the addition on T to make it into an abelian group. When considering $\mathbb{A}^1$ with this group scheme structure, we notate it as $\mathbb{G}_a$. Applying the Yoneda lemma, one deduces the following formulas for the Hopf algebra structure maps:

$$\mathbb{G}_a \times \mathbb{G}_a \xrightarrow{\mu} \mathbb{G}_a \qquad\qquad x_1 + x_2 \hookleftarrow x,$$
$$\mathbb{G}_a \xrightarrow{\chi} \mathbb{G}_a \qquad\qquad -x \hookleftarrow x,$$
$$\operatorname{Spec}\mathbb{F}_2 \xrightarrow{\eta} \mathbb{G}_a \qquad\qquad 0 \hookleftarrow x.$$

As an example of how to reason this out, consider the following diagram:

$$
\begin{array}{ccc}
\mathbb{G}_a \times \mathbb{G}_a & \xrightarrow{\ \ \mu\ \ } & \mathbb{G}_a \\
{\scriptstyle x_1}\uparrow{\scriptstyle\simeq}\ \ {\scriptstyle x_2}\uparrow{\scriptstyle\simeq} & {\scriptstyle x_1+x_2} & \uparrow \\
\operatorname{Spec}\mathbb{F}_2[x_1] \times \operatorname{Spec}\mathbb{F}_2[x_2] & & {\scriptstyle x}\ \big|{\scriptstyle\simeq} \\
\| & & \\
\operatorname{Spec}\mathbb{F}_2[x_1,x_2] & \xrightarrow{\ \ \Delta^*\ \ } & \operatorname{Spec}\mathbb{F}_2[x].
\end{array}
$$

It follows that the bottom map of affine schemes is induced by the algebra map

$$\mathbb{F}_2[x] \xrightarrow{\Delta} \mathbb{F}_2[x_1,x_2], \qquad\qquad x \mapsto x_1 + x_2.$$

Remark 1.2.6. In fact, $\mathbb{A}^1$ is naturally valued in *rings*. It models the inverse functor to Spec in the equivalence of categories above, i.e., the elements of a ring R always form a complete collection of $\mathbb{A}^1$–valued functions on some affine scheme $\operatorname{Spec} R$.

Example 1.2.7. We define the *multiplicative group scheme* by

$$\mathbb{G}_m = \operatorname{Spec}\mathbb{F}_2[x,y]/(xy - 1).$$

Its value $\mathbb{G}_m(T)$ on a test algebra T is the set of pairs (x,y) such that y is a multiplicative inverse to x, and hence $\mathbb{G}_m$ is valued in groups. Applying the Yoneda lemma, we deduce the following formulas for the Hopf algebra structure maps:

$$\mathbb{G}_m \times \mathbb{G}_m \xrightarrow{\mu} \mathbb{G}_m \qquad\qquad x_1 \otimes x_2 \hookleftarrow x$$
$$\qquad\qquad\qquad\qquad y_1 \otimes y_2 \hookleftarrow y,$$
$$\mathbb{G}_m \xrightarrow{\chi} \mathbb{G}_m \qquad\qquad (y,x) \hookleftarrow (x,y),$$
$$\operatorname{Spec} R \xrightarrow{\eta} \mathbb{G}_m \qquad\qquad 1 \hookleftarrow x,y.$$

Remark 1.2.8. As presented above, the multiplicative group comes with a natural inclusion $\mathbb{G}_m \to \mathbb{A}^2$. Specifically, the subset $\mathbb{G}_m \subseteq \mathbb{A}^2$ consists of pairs (x,y) in the graph of the

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hyperbola $y = 1/x$. However, the element x also gives an $\mathbb{A}^1$–valued function $x\colon \mathbb{G}_m \to \mathbb{A}^1$, and because multiplicative inverses in a ring are unique, we see that this map too is an inclusion. These two inclusions have rather different properties relative to their ambient spaces, and we will think harder about these essential differences later on.

Example 1.2.9 (cf. Example 4.5.9). This example showcases the complications that algebraic geometry introduces to this situation, and is meant as discouragement from thinking of the theory of affine group schemes as a strong analogue of the theory of linear complex Lie groups. We set $\alpha_2 = \operatorname{Spec} \mathbb{F}_2[x]/(x^2)$, with group scheme structure given by

$$
\begin{aligned}
\alpha_2 \times \alpha_2 &\xrightarrow{\mu} \alpha_2 & x_1 + x_2 &\hookleftarrow x, \\
\alpha_2 &\xrightarrow{\chi} \alpha_2 & -x &\hookleftarrow x, \\
\operatorname{Spec} \mathbb{F}_2 &\xrightarrow{\eta} \alpha_2 & 0 &\hookleftarrow x.
\end{aligned}
$$

This group scheme has several interesting properties, which we will merely state for now, reserving their proofs for Example 4.5.9.

1. α_2 has the same underlying structure ring as $\mu_2 := \mathbb{G}_m[2]$, the 2–torsion points of $\mathbb{G}_m$, but is not isomorphic to it. (For instance, $\underline{\operatorname{GroupSchemes}}(\mu_2, \mu_2)$ gives the constant group scheme $\underline{\mathbb{Z}/2}$, but $\underline{\operatorname{GroupSchemes}}(\alpha_2, \mu_2) = \alpha_2$.)

2. There is no commutative group scheme G of rank four such that $\alpha_2 = G[2]$.

3. If $E/\mathbb{F}_2$ is the supersingular elliptic curve, then there is a short exact sequence

$$
0 \to \alpha_2 \to E[2] \to \alpha_2 \to 0.
$$

   However, this short exact sequence does not split (even after base change).

4. The subgroups of $\alpha_2 \times \alpha_2$ of order 2 are parameterized by the scheme $\mathbb{P}^1$, i.e., for R an $\mathbb{F}_2$-algebra the subgroup schemes of $\alpha_2 \times \alpha_2$ of order two *which are defined over R* are parameterized by the set $\mathbb{P}^1(R)$.

We now turn to a different class of examples, which will wind up being the key players in our upcoming topological story. To begin, consider the colimit of the sets $\operatorname{colim}_{n\to\infty} \mathbb{A}^{1,(n)}(T)$, which is of use in algebra: it is the collection of nilpotent elements in T. These kinds of conditions which are "unbounded in n" appear frequently enough that we are moved to give these functors a name too:

Definition 1.2.10. An *affine formal scheme* is an ind-system of finite affine schemes.[2] The morphisms between two formal schemes are computed by

$$
\operatorname{FormalSchemes}(\{X_\alpha\}, \{Y_\beta\}) = \lim_\alpha \operatorname{colim}_\beta \operatorname{Schemes}(X_\alpha, Y_\beta).
$$

---

[2]This has the effect of formally adjoining colimits of filtered diagrams to the category of finite affine schemes.

Jeremy had some motivation for this, that quite generally one wants to consider ind-systems of compact objects. Why does one want this? Is it better motivation than just dropping into it?

How are finite schemes characterized in the functor of points perspective? They should commute with sequential colimits or something.

Erick points out that the morphisms in this system should be infintesimal thickenings. Is there a functor-of-points way to recognize such things, without reaching all the way up to talking about ideals? (He also thinks that we should allow finite type in addition to finite, but I don't think

Given affine charts $X_\alpha = \operatorname{Spec} R_\alpha$, we will glibly suppress the system from the notation and write

$$\operatorname{Spf} R := \{\operatorname{Spec} R_\alpha\}.$$

Example 1.2.11. The individual schemes $\mathbb{A}^{1,(n)}$ do not support group structures. After all, the sum of two elements which are nilpotent of order $n+1$ can only be guaranteed to be nilpotent of order $2n+1$. It follows that the entire ind-system $\{\mathbb{A}^{1,(n)}\} =: \widehat{\mathbb{A}}^1$ supports a group structure, even though none of its constituent pieces do. We call such an object a *formal group scheme*, and this particular formal group scheme we denote by $\widehat{\mathbb{G}}_a$.

Example 1.2.12. Similarly, one can define the scheme $\mathbb{G}_m[n]$ of elements of unipotent order n:

$$\mathbb{G}_m[n] = \operatorname{Spec} \frac{\mathbb{F}_2[x,y]}{(xy-1, x^n-1)} \subseteq \mathbb{G}_m.$$

These *are* all group schemes, and they nest together in a complicated way: there is an inclusion of $\mathbb{G}_m[n]$ into $\mathbb{G}_m[nm]$. There is also a second filtration along the lines of the one considered in Example 1.2.11:

$$\mathbb{G}_m^{(n)} = \operatorname{Spec} \frac{\mathbb{F}_2[x,y]}{(xy-1, (x-1)^n)}.$$

These schemes form a sequential system, but they are only occasionally group schemes. Specifically, $\mathbb{G}_m^{(2^j)}$ is a group scheme, in which case $\mathbb{G}_m^{(2^j)} \cong \mathbb{G}_m[2^j]$. We define $\widehat{\mathbb{G}}_m$ using this common subsystem:

$$\widehat{\mathbb{G}}_m := \{\mathbb{G}_m^{(2^j)}\}_{j=0}^\infty.$$

Let us now consider the example that we closed with last time, where we calculated $H\mathbb{F}_2^*(\mathbb{R}P^n) = \mathbb{F}_2[x]/(x^{n+1})$. Putting "Spec" in front of this, we could reinterpret this calculation as

$$\operatorname{Spec} H\mathbb{F}_2^*(\mathbb{R}P^n) \cong \mathbb{A}^{1,(n)}.$$

This is such a useful thing to do that we will give it a notation all of its own:

Definition 1.2.13. Let X be a finite cell complex, so that $H\mathbb{F}_2^*(X)$ is a ring which is finite–dimensional as an $\mathbb{F}_2$–vector space. We will write

$$X_{H\mathbb{F}_2} = \operatorname{Spec} H\mathbb{F}_2^* X$$

for the corresponding finite affine scheme.

Example 1.2.14. Putting together the discussions from this time and last time, in the new notation we have calculated

$$\mathbb{R}P^n_{H\mathbb{F}_2} \cong \mathbb{A}^{1,(n)}.$$

Additionally, the only $\mathbb{G}_m[n]$ which are infinitesimal thickenings of $\mathbb{G}_m[1]$ are those with $n = 2^j$.

... of infinitesimal thickenings

So far, this example just restates things we knew in a mildly different language. Our driving goal for the next section is to incorporate as much information as we have about these cohomology rings $H\mathbb{F}_2^*(\mathbb{RP}^n)$ into this description, which will result in us giving a more "precise" name for this object. Along the way, we will discover why X had to be a *finite* complex and how to think about more general X. For now, though, we will content ourselves with investigating the Hopf algebra structure on $H\mathbb{F}_2^*\mathbb{RP}^\infty$, the cohomology of an infinite complex.

Example 1.2.15. Recall that $\mathbb{RP}^\infty$ is an H–space in two equivalent ways:

1. There is an identification $\mathbb{RP}^\infty \simeq K(\mathbb{F}_2, 1)$, and the H–space structure is induced by the sum on cohomology.

2. There is an identification $\mathbb{RP}^\infty \simeq BO(1)$, and the H–space structure is induced by the tensor product of real line bundles.

> I thought we came up with an instructive third example of where to find the H–space structure.

In either case, this induces a Hopf algebra diagonal

$$H\mathbb{F}_2^*\mathbb{RP}^\infty \otimes H\mathbb{F}_2^*\mathbb{RP}^\infty \xleftarrow{\Delta} H\mathbb{F}_2^*\mathbb{RP}^\infty$$

which we would like to analyze. This map is determined by where it sends the class x, and because it must respect gradings it must be of the form $\Delta x = ax_1 + bx_2$ for some constants $a, b \in \mathbb{F}_2$. Furthermore, because it belongs to a Hopf algebra structure, it must satisfy the unitality axiom

$$H\mathbb{F}_2^*\mathbb{RP}^\infty \xleftarrow{\binom{\varepsilon\otimes\mathrm{id}}{\mathrm{id}\otimes\varepsilon}} H\mathbb{F}_2^*\mathbb{RP}^\infty \otimes H\mathbb{F}_2^*\mathbb{RP}^\infty \xleftarrow{\Delta} H\mathbb{F}_2^*\mathbb{RP}^\infty.$$
$$\underbrace{\phantom{H\mathbb{F}_2^*\mathbb{RP}^\infty \xleftarrow{\hspace{4cm}} H\mathbb{F}_2^*\mathbb{RP}^\infty}}_{\mathrm{id}}$$

and hence it takes the form

$$\Delta(x) = x_1 + x_2.$$

Noticing that this is exactly the diagonal map in Example 1.2.5, we tentatively identify "$\mathbb{RP}^\infty_{H\mathbb{F}_2}$" with the additive group. This is extremely suggestive but does not take into account the fact that $\mathbb{RP}^\infty$ is an infinite complex, so we have not yet allowed ourselves to write "$\mathbb{RP}^\infty_{H\mathbb{F}_2}$". In light of the rest of the material discussed in this section, we have left open a very particular point: it is not clear if we should use the name "$\mathbb{G}_a$" or "$\widehat{\mathbb{G}}_a$". We will straighten this out tomorrow.

1.3 The Steenrod algebra

We left off in the previous section with an ominous finiteness condition in Definition 1.2.13, and we produced a pair of reasonable guesses as to what "$\mathbb{RP}^\infty_{H\mathbb{F}_2}$" could mean in Example 1.2.15. We will decide which of the two guesses is reasonable by rigidifying the target category so as to incorporate the following extra structures:

1. Cohomology rings are *graded*, and maps of spaces respect this grading.

2. Cohomology rings receive an action of the Steenrod algebra, and maps of spaces respect this action.

3. Both of these are made somewhat more complicated when taking the cohomology of an infinite complex.

4. (Cohomology rings for more elaborate cohomology theories are only skew-commutative, but "Spec" requires a commutative input.)

Today we will fix all these deficiencies of $X_{H\mathbb{F}_2}$ except for #4, which does not matter with mod–2 coefficients but which will be something of a bugbear throughout the rest of the book.

We will begin by considering the grading on $H\mathbb{F}_2^* X$, where X is a finite complex. In algebraic geometry, the following standard construction is used to track gradings:

Definition 1.3.1 ([51, Definition 2.95]). A $\mathbb{Z}$–*grading* on a ring R is a system of additive subgroups R_k of R satisfying $R = \bigoplus_k R_k$, $1 \in R_0$, and $R_j R_k \subseteq R_{j+k}$. Additionally, a map $f \colon R \to S$ of graded rings is said to *respect the grading* if $f(R_k) \subseteq S_k$.[3]

Lemma 1.3.2 ([51, Proposition 2.96]). *A graded ring R is equivalent data to an affine scheme $\operatorname{Spec} R$ with an action by $\mathbb{G}_m$. Additionally, a map $R \to S$ is homogeneous exactly when the induced map $\operatorname{Spec} S \to \operatorname{Spec} R$ is $\mathbb{G}_m$–equivariant.*

Proof. A $\mathbb{G}_m$–action on $\operatorname{Spec} R$ is equivalent data to a coaction map

$$\alpha^* : R \to R \otimes \mathbb{F}_2[x^\pm].$$

Define R_k to be those points in r satisfying $\alpha^*(r) = r \otimes x^k$. It is clear that we have $1 \in R_0$ and that $R_j R_k \subseteq R_{j+k}$. To see that $R = \bigoplus_k R_k$, note that every tensor can be written as a sum of pure tensors. Conversely, given a graded ring R, define the coaction map on R_k by

$$(r_k \in R_k) \mapsto x^k r_k$$

and extend linearly. $\square$

---

[3]The terminology "$\mathbb{Z}$–filtering" might be more appropriate, but this is the language commonly used.

This notion from algebraic geometry is somewhat different from what we are used to in algebraic topology, essentially because the algebraic topologist's "cohomology ring" is not *really* a ring at all — one is only allowed to consider sums of homogeneous degree elements. This restriction stems directly from the provenance of cohomology rings: recall that

$$H\mathbb{F}_2^n X := \pi_{-n} F(\Sigma_+^\infty X, H\mathbb{F}_2).$$

One can only form sums internal to a *particular* homotopy group, using the cogroup structure on $\mathbb{S}^{-n}$. On the other hand, the most basic ring of algebraic geometry is the polynomial ring, and hence their notion is adapted to handle, for instance, the potential degree drop when taking the difference of two (nonhomogeneous) polynomials of the same degree.

We can modify our perspective very slightly to arrive at the algebraic geometers', by replacing $H\mathbb{F}_2$ with the periodified spectrum

$$H\mathbb{F}_2 P = \bigvee_{j=-\infty}^{\infty} \Sigma^j H\mathbb{F}_2.$$

This spectrum becomes a ring in the homotopy category by using the factorwise-defined multiplication maps

$$\Sigma^j H\mathbb{F}_2 \wedge \Sigma^k H\mathbb{F}_2 \simeq \Sigma^{j+k}(H\mathbb{F}_2 \wedge H\mathbb{F}_2) \xrightarrow{\Sigma^{j+k}\mu} \Sigma^{j+k} H\mathbb{F}_2.$$

This spectrum has the property that $H\mathbb{F}_2 P^0(X)$ is isomorphic to $\bigoplus_n H\mathbb{F}_2^n(X)$ as ungraded rings, but now we can make topological sense of the sum of two classes which used to live in different $H\mathbb{F}_2$–degrees. At this point we can manually craft the desired coaction map α^* from Lemma 1.3.2, but we will shortly find that algebraic topology gifts us with it on its own.

Our route to finding this internally occurring α^* is by turning to the next supplementary structure: the action of the Steenrod algebra. Naively approached, this does not fit into the framework we have been sketching so far: the Steenrod algebra arises as the homotopy endomorphisms of $H\mathbb{F}_2$ and so is a *noncommutative* algebra. In turn, the action map

$$
\begin{array}{ccc}
\mathcal{A}^* \otimes H\mathbb{F}_2^* X & \longrightarrow & H\mathbb{F}_2^* X \\
\| & & \| \\
[H\mathbb{F}_2, H\mathbb{F}_2]_* \otimes [X, H\mathbb{F}_2]_* & \xrightarrow{\ \circ\ } & [X, H\mathbb{F}_2]
\end{array}
$$

will be difficult to squeeze into any kind of algebro-geometric framework. Milnor was the first person to see a way around this, with two crucial observations. First, the Steenrod algebra is a Hopf algebra[4], using the map

---

[4] The construction of both the Hopf algebra diagonal here and the coaction map below is somewhat ad hoc. We will give a more robust presentation in Remark 3.1.24.

$$[H\mathbb{F}_2, H\mathbb{F}_2]_* \xrightarrow{\mu^*} [H\mathbb{F}_2 \wedge H\mathbb{F}_2, H\mathbb{F}_2]_* \cong [H\mathbb{F}_2, H\mathbb{F}_2]_* \otimes [H\mathbb{F}_2, H\mathbb{F}_2]_*$$

as the diagonal. This Hopf algebra structure is actually cocommutative — this is a rephrasing of the symmetry of the Cartan formula:

$$\mathrm{Sq}^n(xy) = \sum_{i+j=n} \mathrm{Sq}^i(x)\, \mathrm{Sq}^j(y).$$

It follows that the linear-algebraic dual of the Steenrod algebra $\mathcal{A}_*$ is a commutative ring, and hence $\mathrm{Spec}\,\mathcal{A}_*$ would make a reasonable algebro-geometric object.

Second, we want to identify the role of $\mathcal{A}_*$ in acting on $H\mathbb{F}_2^* X$. By assuming that X is a finite complex, we can write it as the Spanier–Whitehead dual $X = DY$ of some other finite complex Y. Starting with the action map on $H\mathbb{F}_2^* Y$:

$$\mathcal{A}^* \otimes H\mathbb{F}_2^* Y \to H\mathbb{F}_2^* Y$$

we take the $\mathbb{F}_2$–linear dual to get a coaction map

$$\mathcal{A}_* \otimes H\mathbb{F}_{2*} Y \leftarrow H\mathbb{F}_{2*} Y,$$

then use $X = DY$ to return to cohomology

$$\mathcal{A}_* \otimes H\mathbb{F}_2^* X \xleftarrow{\lambda^*} H\mathbb{F}_2^* X.$$

Finally, we re-interpret this as an action map

$$\mathrm{Spec}\,\mathcal{A}_* \times X_{H\mathbb{F}_2} \xrightarrow{\alpha} X_{H\mathbb{F}_2}.$$

Having produced the action map α, we are now moved to study α as well as the structure group $\mathrm{Spec}\,\mathcal{A}_*$ itself. Milnor works out the Hopf algebra structure of $\mathcal{A}_*$ by defining elements $\xi_j \in \mathcal{A}_*$ dual to $\mathrm{Sq}^{2^{j-1}} \cdots \mathrm{Sq}^{2^0} \in \mathcal{A}^*$. Taking $X = \mathbb{R}P^n$ and $x \in H\mathbb{F}_2^1(\mathbb{R}P^n)$ the generator, then since $\mathrm{Sq}^{2^{j-1}} \cdots \mathrm{Sq}^{2^0} x = x^{2^j}$ he deduces the formula

$$\lambda^*(x) = \sum_{j=0}^{\lfloor \log_2 n \rfloor} x^{2^j} \otimes \xi_j \quad (\text{in } H\mathbb{F}_2^* \mathbb{R}P^n).$$

Noticing that we can take the limit $n \to \infty$ to get a well-defined infinite sum, he then makes the following calculation, stable in n:

$$(\lambda^* \otimes \mathrm{id}) \circ \lambda^*(x) = (\mathrm{id} \otimes \Delta) \circ \lambda^*(x) \qquad\qquad (\text{coassociativity})$$

$$(\lambda^* \otimes \mathrm{id})\left(\sum_{j=0}^{\infty} x^{2^j} \otimes \xi_j \right) =$$

$$\sum_{j=0}^{\infty} \left(\sum_{i=0}^{\infty} x^{2^i} \otimes \xi_i \right)^{2^j} \otimes \xi_j = \qquad\qquad (\text{ring homomorphism})$$

$$\sum_{j=0}^{\infty} \left(\sum_{i=0}^{\infty} x^{2^{i+j}} \otimes \xi_i^{2^j} \right) \otimes \xi_j = \qquad\qquad (\text{characteristic 2}).$$

Then, turning to the right-hand side:

$$\sum_{j=0}^{\infty}\left(\sum_{i=0}^{\infty}x^{2^{i+j}}\otimes\xi_i^{2^j}\right)\otimes\xi_j=(\mathrm{id}\otimes\Delta)\left(\sum_{m=0}^{\infty}x^{2^m}\otimes\xi_m\right)$$

$$\sum_{j=0}^{\infty}\left(\sum_{i=0}^{\infty}x^{2^{i+j}}\otimes\xi_i^{2^j}\right)\otimes\xi_j=\sum_{m=0}^{\infty}x^{2^m}\otimes\Delta(\xi_m),$$

from which it follows that

$$\Delta\xi_m=\sum_{i+j=m}\xi_i^{2^j}\otimes\xi_j.$$

Finally, Milnor shows that this is the complete story:

Theorem 1.3.3 (Milnor [27, Theorem 2], [33, Chapter 6]). $\mathcal{A}_*=\mathbb{F}_2[\xi_1,\xi_2,\ldots,\xi_j,\ldots].$

Flippant proof. There is at least a map $\mathbb{F}_2[\xi_1,\xi_2,\ldots]\to\mathcal{A}_*$ given by the definition of the elements ξ_j above. This map is injective, since these elements are distinguished by how they coact on $H\mathbb{F}_2^*\mathbb{R}P^{\infty}$. Then, since these rings are of graded finite type, Milnor can conclude his argument by counting how many elements he has produced, comparing against how many Adem and Cartan found (which we will do ourselves in Lecture 4.2), and noting that he has exactly enough. $\square$

We are now in a position to uncover the desired map α^* from earlier. In order to retell Milnor's story with $H\mathbb{F}_2P$ in place of $H\mathbb{F}_2$, note that there is a topological construction involving $H\mathbb{F}_2$ from which $\mathcal{A}_*$ emerges:

$$\mathcal{A}_*:=\pi_*(H\mathbb{F}_2\wedge H\mathbb{F}_2).$$

Performing substitution on this formula gives the periodified dual Steenrod algebra:

$$\mathcal{A}P_0:=\pi_0(H\mathbb{F}_2P\wedge H\mathbb{F}_2P)=H\mathbb{F}_2P_0(H\mathbb{F}_2P)=\mathcal{A}_*[\xi_0^{\pm}].$$

Lemma 1.3.4 ([9, Formula 3.4, Remark 3.14]). *Projecting to the quotient Hopf algebra $\mathcal{A}P_0\to\mathbb{F}_2[\xi_0^{\pm}]$ gives exactly the coaction map α^*.*

Calculation. Starting with an auxiliary cohomology class $x\in H\mathbb{F}_2^n(X)$, we produce a homogenized cohomology class $x\cdot u^n\in H\mathbb{F}_2P^0(X)$. Under the coaction map, this is sent to

$$H\mathbb{F}_2P^0(X)\xrightarrow{\ \alpha^*\ }H\mathbb{F}_2P^0(X)\otimes\mathcal{A}P_0\longrightarrow H\mathbb{F}_2P^0(X)\otimes\mathbb{F}_2[\xi_0^{\pm}]$$

$$x\cdot u^n\longmapsto\hspace{4cm}x\cdot u^n\otimes\xi_0^n.$$

Applying Lemma 1.3.2 to this coaction thus selects the original degree n classes. $\square$

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Early on in this discussion, trading the language "graded map" for "$\mathbb{G}_m$–equivariant map" did not seem to have much of an effect on our mathematics. The thrust of this Lemma is that "Steenrod–equivariant map" already includes "$\mathbb{G}_m$–equivariant map", which is a visible gain in brevity. To study the rest of the content of Steenrod equivariance algebro–geometrically, we need only identify what the series $\lambda^*(x)$ embodies. Note that this necessarily involves some creativity, and the only justification we can supply will be moral, borne out over time, as our narrative encompasses more and more phenomena. With that caveat in mind, here is one such description. Recall the map induced by the H–space multiplication

$$H\mathbb{F}_2^* \mathbb{R}P^\infty \otimes H\mathbb{F}_2^* \mathbb{R}P^\infty \leftarrow H\mathbb{F}_2^* \mathbb{R}P^\infty.$$

Taking a colimit over finite complexes, we produce an coaction of $\mathcal{A}_*$, and since the map above comes from a map of spaces, it is equivariant for the coaction. Since the action on the left is diagonal, we deduce the formula

$$\lambda^*(x_1 + x_2) = \lambda^*(x_1) + \lambda^*(x_2).$$

Lemma 1.3.5. *The series $\lambda^*(x) = \sum_{j=0}^\infty x^{2^j} \otimes \xi_j$ is the universal example of a series satisfying $\lambda^*(x_1 + x_2) = \lambda^*(x_1) + \lambda^*(x_2)$. The set $(\operatorname{Spec} \mathcal{A}P_0)(T)$ is identified with the set of power series f with coefficients in the $\mathbb{F}_2$–algebra T satisfying*

$$f(x_1 + x_2) = f(x_1) + f(x_2).$$

Proof. Given a point $f \in (\operatorname{Spec} \mathcal{A}P_0)(T)$, we extract such a series by setting

$$\lambda_f^*(x) = \sum_{j=0}^\infty f(\xi_j) x^{2^j} \in T[\![x]\!].$$

Conversely, any series $\lambda(x)$ satisfying this homomorphism property must have nonzero terms appearing only in integer powers of 2, and hence we can construct a point f by declaring that f sends ξ_j to the $(2^j)^{\text{th}}$ coefficient of λ. $\qquad\square$

We close our discussion by codifying what Milnor did when he stabilized against n. Each $\mathbb{R}P_{H\mathbb{F}_2}^n$ is a finite affine scheme, and to make sense of the object $\mathbb{R}P_{H\mathbb{F}_2}^\infty$ Milnor's technique was to consider the ind-system $\{\mathbb{R}P_{H\mathbb{F}_2}^n\}_{n=0}^\infty$ of finite affine schemes. We will record this as our technique to handle general infinite complexes:

Definition 1.3.6. When X is an infinite complex, filter it by its subskeleta $X^{(n)}$ and define $X_{H\mathbb{F}_2}$ to be the ind-system $\{X_{H\mathbb{F}_2}^{(n)}\}_{n=0}^\infty$ of finite schemes.[5]

---

[5]More canonically, when X is "compactly generated", it can be written as the colimit of its compact subspaces $X^{(\alpha)}$, and we define $X_{H\mathbb{F}_2}$ using the ind-system $\{X_{H\mathbb{F}_2}^{(\alpha)}\}_\alpha$. Any system arising from a choice of skeletal structure is cofinal in this system, hence ind-isomorphic.

This choice to follow Milnor resolves our uncertainty about the topological example from last time:

Example 1.3.7 (cf. Examples 1.2.11 and 1.2.15). Write $\widehat{\mathbb{G}}_a$ for the ind-system $\mathbb{A}^{1,(n)}$ with the group scheme structure given in Example 1.2.15. That this group scheme structure filters in this way is a simultaneous reflection of two facts:

1. Algebraic: The set $\widehat{\mathbb{G}}_a(T)$ consists of all nilpotent elements in T. The sum of two nilpotent elements of orders n and m is guaranteed to itself be nilpotent with order at most $n + m$.

2. Topological: There is a factorization of the multiplication map on $\mathbb{RP}^\infty$ as $\mathbb{RP}^n \times \mathbb{RP}^m \to \mathbb{RP}^{n+m}$ purely for dimensional reasons.

As group schemes, we have thus calculated

$$\mathbb{RP}^\infty_{H\mathbb{F}_2} \cong \widehat{\mathbb{G}}_a.$$

Example 1.3.8. Given the appearance of a homomorphism condition in Lemma 1.3.5, we would like to connect $\operatorname{Spec} \mathcal{A}P_0$ with $\widehat{\mathbb{G}}_a$ more directly. Toward this, we define a "hom functor" for two formal schemes:

$$\underline{\mathsf{FormalSchemes}}(X, Y)(T) = \left\{ (u, f) \,\middle|\, \begin{array}{c} u : \operatorname{Spec} T \to \operatorname{Spec} \mathbb{F}_2, \\ f : u^*X \to u^*Y \end{array} \right\}.$$

Restricting attention to homomorphisms, we see that a proper name for $\operatorname{Spec} \mathcal{A}P_0$ is

$$\operatorname{Spec} \mathcal{A}P_0 \cong \underline{\operatorname{Aut}} \widehat{\mathbb{G}}_a.$$

To check this, consider a point $g \in (\operatorname{Spec} \mathcal{A}P_0)(T)$ for an $\mathbb{F}_2$–algebra T. The $\mathbb{F}_2$–algebra structure of T (which is uniquely determined by a property of T) gives rise to a map $u \colon \operatorname{Spec} T \to \operatorname{Spec} \mathbb{F}_2$. The rest of the data of g gives rise to a power series in $T[\![x]\!]$ as in the proof of Lemma 1.3.5, which can be re-interpreted as an automorphism $g \colon u^*\widehat{\mathbb{G}}_a \to u^*\widehat{\mathbb{G}}_a$ of formal group schemes.[6]

Remark 1.3.9. The projection $\mathcal{A}P_0 \to \mathbb{F}_2[\xi_0^\pm]$ is split as Hopf algebras, and hence there is a decomposition

$$\underline{\operatorname{Aut}} \widehat{\mathbb{G}}_a \cong \mathbb{G}_m \times \underline{\operatorname{Aut}}_1 \widehat{\mathbb{G}}_a,$$

where $\underline{\operatorname{Aut}}_1 \widehat{\mathbb{G}}_a$ consists of those automorphisms with leading coefficient ξ_0 exactly equal to 1. This can be read to mean that the "interesting" part of the Steenrod algebra, $\underline{\operatorname{Aut}}_1 \widehat{\mathbb{G}}_a$, consists of stable operations, in the sense that their action is independent of the degree–tracking mechanism.

---

[6]This description, too, is sensitive to the difference between $\widehat{\mathbb{G}}_a$ and $\mathbb{G}_a$. The scheme $\underline{\operatorname{End}} \mathbb{G}_a$ is populated by *polynomials* satisfying a homomorphism condition, and essentially none of them have inverses.

Example 1.3.10. Remembering the slogan

$$\operatorname{Spec} \mathcal{A}P_0 \cong \underline{\operatorname{Aut}}\,\widehat{\mathbb{G}}_a$$

also makes it easy to recall the structure formulas for the dual Steenrod algebra. For instance, consider the antipode map, which has the effect on $\underline{\operatorname{Aut}}\,\widehat{\mathbb{G}}_a$ of sending a power series to its compositional inverse. That is:

$$\sum_{j=0}^{\infty} \chi(\xi_j) \left(\sum_{k=0}^{\infty} \xi_k x^{2^k} \right)^{2^j} = \sum_{j=0}^{\infty} \sum_{k=0}^{\infty} \chi(\xi_j)\xi_k^{2^j} x^{2^{j+k}} = \sum_{n=0}^{\infty} \left(\sum_{j+k=n} \chi(\xi_j)\xi_k^{2^j} \right) x^{2^n} = 1,$$

from which we can extract formulas like

$$\chi(\xi_0) = \xi_0^{-1}, \qquad \chi(\xi_1) = \xi_0^{-3}\xi_1, \qquad \chi(\xi_2) = \xi_0^{-7}\xi_1^3 + \xi_0^{-5}\xi_2, \qquad \ldots.$$

In summary, the formula $\mathbb{RP}^{\infty}_{H\mathbb{F}_2} \cong \widehat{\mathbb{G}}_a$ is meant to point out that this language of formal schemes has an extremely good compression ratio — you can fit a lot of information into a very tiny space. This formula simultaneously encodes the cohomology ring of $\mathbb{RP}^{\infty}$ as the formal scheme, its diagonal as the group scheme structure, and the coaction of the dual Steenrod algebra by the identification with $\underline{\operatorname{Aut}}\,\widehat{\mathbb{G}}_a$. As a separate wonder, it is also remarkable that there is a single cohomological calculation — that of $\mathbb{RP}^{\infty}_{H\mathbb{F}_2}$ — which exerts such enormous control over mod–2 cohomology itself (e.g., the entire structure of the dual Steenrod algebra). This will turn out to be a surprisingly common occurrence as we progress.

1.4 Hopf algebra cohomology

In this section, we will focus on an important classical tool: the Adams spectral sequence. We are going to study this in greater earnest later on, so I will avoid giving a satisfying construction today. But, even without a construction, it is instructive to see how such a thing comes about from a moral perspective.

Remark 1.4.1. Throughout today, we will work with graded homology groups, rather than with periodified cohomology as was the case in Lecture 1.3. This choice will remain mysterious for now, but we can at least reassure ourselves that it carries the same data as we were studying previously. Referring to our discussion of the construction of the coaction map, we see that without taking Spanier–Whitehead duals we already have an analogous coaction map on homology:

$$H\mathbb{F}_{2*}X \to H\mathbb{F}_{2*}X \otimes \mathcal{A}_*.$$

Additionally, building on the discussion in Remark 1.3.9, the splitting of the Hopf algebra shows that we are free to work gradedly or work with the periodified version of mod–2 homology, while still retaining the rest of the framework.

With this caveat out of the way, begin by considering the following three self-maps of the stable sphere:

$$\mathbb{S}^0 \xrightarrow{0} \mathbb{S}^0, \qquad\qquad \mathbb{S}^0 \xrightarrow{1} \mathbb{S}^0, \qquad\qquad \mathbb{S}^0 \xrightarrow{2} \mathbb{S}^0.$$

If we apply mod–2 homology to each line, the induced maps are

$$\mathbb{F}_2 \xrightarrow{0} \mathbb{F}_2, \qquad\qquad \mathbb{F}_2 \xrightarrow{\mathrm{id}} \mathbb{F}_2, \qquad\qquad \mathbb{F}_2 \xrightarrow{0} \mathbb{F}_2.$$

We see that mod–2 homology can immediately distinguish between the null map and the identity map just by its behavior on morphisms, but it cannot distinguish between the null map and the multiplication-by-2 map. To try to distinguish between these two, we use the only other tool available to us: homology theories send cofiber sequences to long exact sequences, and moreover the data of a map f and the data of the inclusion map $\mathbb{S}^0 \to C(f)$ into its cone are equivalent in the stable category. So, we trade our maps 0 and 2 for the following cofiber sequences:

$$\mathbb{S}^0 \longrightarrow C(0) \longrightarrow \mathbb{S}^1, \qquad \mathbb{S}^0 \longrightarrow C(2) \longrightarrow \mathbb{S}^1.$$

Applying homology, these again appear to be the same:

[1] $\qquad\qquad\qquad\qquad \bullet \longrightarrow \bullet \qquad\qquad\qquad\qquad \bullet \longrightarrow \bullet$

[0] $\qquad\quad \bullet \longrightarrow \bullet \qquad\qquad\qquad \bullet \longrightarrow \bullet$

$$H\mathbb{F}_{2*}\mathbb{S}^0 \to H\mathbb{F}_{2*}C(0) \to H\mathbb{F}_{2*}\mathbb{S}^1, \qquad H\mathbb{F}_{2*}\mathbb{S}^0 \to H\mathbb{F}_{2*}C(2) \to H\mathbb{F}_{2*}\mathbb{S}^1,$$

where we have drawn a "$\bullet$" for a generator of an $\mathbb{F}_2$–vector space, graded vertically, and arrows indicating the behavior of each map. However, if we enrich our picture with the data we discussed last time, we can finally see the difference. Recall the topological equivalences

$$C(0) \simeq \mathbb{S}^0 \vee \mathbb{S}^1, \quad C(2) \simeq \Sigma^{-1}\mathbb{RP}^2.$$

In the two cases, the coaction map λ_* is given by

$$\lambda_* : H\mathbb{F}_{2*}C(0) \to H\mathbb{F}_{2*}C(0) \otimes \mathcal{A}_* \qquad \lambda_* : H\mathbb{F}_{2*}C(2) \to H\mathbb{F}_{2*}C(2) \otimes \mathcal{A}_*$$
$$\lambda^* : e_0 \mapsto e_0 \otimes 1 \qquad\qquad\qquad \lambda^* : e_0 \mapsto e_0 \otimes 1 + e_1 \otimes \xi_1$$
$$\lambda^* : e_1 \mapsto e_1 \otimes 1, \qquad\qquad\qquad \lambda^* : e_1 \mapsto e_1 \otimes 1.$$

We draw this into the diagram as

[1] $\bullet \longrightarrow \bullet$ $\bullet \longrightarrow \bullet$

                                                  ζ_1

[0] $\bullet \longrightarrow \bullet$ $\bullet \longrightarrow \bullet$
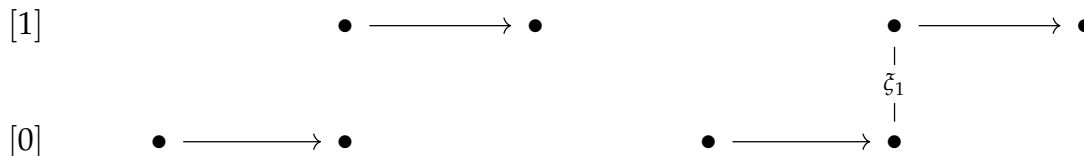
$$H\mathbb{F}_{2*}S^0 \to H\mathbb{F}_{2*}C(0) \to H\mathbb{F}_{2*}S^1, \qquad H\mathbb{F}_{2*}S^0 \to H\mathbb{F}_{2*}C(2) \to H\mathbb{F}_{2*}S^1,$$

where the vertical line indicates the nontrivial coaction involving ζ_1. We can now see what trading maps for cofiber sequences has bought us: mod–2 homology can distinguish the defining sequences for $C(0)$ and $C(2)$ by considering their induced extensions of comodules over $\mathcal{A}_*$. The Adams spectral sequence bundles this thought process into a single machine:

> **Can this be phrased so as to indicate how this works for longer extensions? I've never tried to think about even what happens for $C(4)$.**

Theorem 1.4.2 ([38, Definition 2.1.8, Lemma 2.1.16], [33, Chapter 18]). *There is a convergent spectral sequence of signature*

$$\mathrm{Ext}_{\mathcal{A}_*}^{*,*}(\mathbb{F}_2, \mathbb{F}_2) \Rightarrow (\pi_* S^0)_2^{\wedge}. \quad \square$$

In effect, this asserts that the above process is *exhaustive*: every element of $(\pi_* S^0)_2^{\wedge}$ can be detected and distinguished by some representative class of extensions of comodules for the dual Steenrod algebra. Mildly more generally, if X is a bounded-below spectrum, then there is even a spectral sequence of signature

$$\mathrm{Ext}_{\mathcal{A}_*}^{*,*}(\mathbb{F}_2, H\mathbb{F}_{2*}X) \Rightarrow \pi_* X_2^{\wedge}.$$

We could now work through the construction of the Adams spectral sequence, but it will fit more nicely into a story later on in Lecture 3.1. Before moving on to other pursuits, however, we will record the following utility Lemma. It is believable based on the above discussion, and we will need to use it before we get around to examining the guts of the construction.

> **You owe a proof of: Hurewicz image = 0–line.**

Lemma 1.4.3. *The 0–line of the Adams spectral sequence consists of exactly those elements visible to the Hurewicz homomorphism.* $\square$

For the rest of the section, we will focus on the algebraic input "$\mathrm{Ext}_{\mathcal{A}_*}^{*,*}(\mathbb{F}_2, H\mathbb{F}_{2*}X)$", which will require us to grapple with the homological algebra of comodules for a Hopf algebra. To start that discussion, it's both reassuring and instructive to see that homological algebra can, in fact, even be done with comodules. In the usual development of homological algebra for *modules*, the key observations are the existence of projective and injective modules, and there is something at work similar here.

Remark 1.4.4 ([38, Appendix A1]). Much of the results below do not rely on working with a Hopf algebra over the field $k = \mathbb{F}_2$. In fact, k can usually be taken to be a ring rather than a field. More generally, the theory goes through in the context of comodules over flat Hopf algebroids.

Lemma 1.4.5 ([38, Definition A1.2.1]). *Let A be a Hopf k–algebra, let M be an A–comodule, and let N be a k–module. There is a cofree adjunction:*

$$\mathsf{Comodules}_A(M, N \otimes_k A) \cong \mathsf{Modules}_k(M, N),$$

where $N \otimes_k A$ is given the structure of an A–comodule by the coaction map

$$N \otimes_k A \xrightarrow{\mathrm{id} \otimes \Delta} N \otimes_k (A \otimes_k A) = (N \otimes_k A) \otimes_k A.$$

Proof. Given a map $f \colon M \to N$ of k–modules, we can build the composite

$$M \xrightarrow{\psi_M} M \otimes_k A \xrightarrow{f \otimes \mathrm{id}_A} N \otimes_k A.$$

Alternatively, given a map $g \colon M \to N \otimes_k A$ of A–comodules, we build the composite

$$M \xrightarrow{g} N \otimes_k A \xrightarrow{\mathrm{id}_N \otimes \varepsilon} N \otimes_k k = N. \qquad \square$$

Corollary 1.4.6 ([38, Lemma A1.2.2]). *The category $\mathsf{Comodules}_A$ has enough injectives. Namely, if M is an A–comodule and $M \to I$ is an inclusion of k–modules into an injective k–module I, then $M \to I \otimes_k A$ is an injective A–comodule under M.* $\qquad \square$

Remark 1.4.7. In our case, M itself is always k–injective, so there's already an injective map $\psi_M : M \to M \otimes A$: the coaction map. The assertion that this map is coassociative is identical to saying that it is a map of comodules.

Satisfied that "Ext" at least makes sense, we're free to pursue more conceptual ends. Recall from algebraic geometry that a module M over a ring R is equivalent data to quasicoherent sheaf $\widetilde{M}$ over $\mathrm{Spec}\, R$. We now give a definition of "quasicoherent sheaf" that fits with our functorial perspective:

Definition 1.4.8 ([18, Definition 1.1], [51, Definition 2.42]). A presheaf (of modules) over a scheme X is an assignment $\mathcal{F} \colon X(T) \to \mathsf{Modules}_T$, satisfying a kind of functoriality in T: for each map $f \colon T \to T'$, there is a compatible choice of natural transformation

$$
\begin{array}{ccc}
X(T) & \xrightarrow{\mathcal{F}(T)} & \mathsf{Modules}_T \\
\Big\downarrow{\scriptstyle X(f)} \quad {\scriptstyle \tau(f)} \Big/\!\!\Big/ & & \Big\downarrow{\scriptstyle - \otimes_T T'} \\
X(T') & \xrightarrow[\mathcal{F}(T')]{} & \mathsf{Modules}_{T'}.
\end{array}
$$

(We think of the image of a particular point $t \colon \mathrm{Spec}\, T \to X$ in $\mathsf{Modules}_T$ as the module of "sections over t".) Such a presheaf is said to be a *quasicoherent sheaf* when these natural transformations are all natural isomorphisms.

Lemma 1.4.9 ([51, Proposition 2.47]). *An R–module M gives rise to a quasicoherent sheaf $\widetilde{M}$ on $\operatorname{Spec} R$ by the rule*

$$(\operatorname{Spec} T \to \operatorname{Spec} R) \mapsto M \otimes_R T.$$

Conversely, every quasicoherent sheaf over an affine scheme arises in this way. □

The tensoring operation appearing in the definition of a presheaf appears more generally as an operation on the category of sheaves.

Definition 1.4.10. A map $f\colon \operatorname{Spec} S \to \operatorname{Spec} R$ induces maps $f^* \dashv f_*$ of categories of quasicoherent sheaves. At the level of modules, these are given by

$$
\begin{array}{ccc}
\operatorname{QCoh}_{\operatorname{Spec} R} & \overset{f^*}{\underset{f_*}{\rightleftarrows}} & \operatorname{QCoh}_{\operatorname{Spec} S} \\
\| & & \| \\
\operatorname{Modules}_R & \overset{M \mapsto M \otimes_R S}{\underset{N \leftarrow\!\shortmid N}{\rightleftarrows}} & \operatorname{Modules}_S.
\end{array}
$$

One of the main uses of these operations is to define the cohomology of a sheaf. Let $\pi\colon X \to \operatorname{Spec} k$ be a scheme over $\operatorname{Spec} k$, k a field, and let $\mathcal{F}$ be a sheaf over X. The adjunction above induces a derived adjunction

$$\operatorname{Ext}_X(\pi^* k, \mathcal{F}) \cong \operatorname{Ext}_{\operatorname{Spec} k}(k, R\pi_* \mathcal{F}),$$

which is used to translate the *definition* of sheaf cohomology to that of the cohomology of the derived pushforward $R\pi_* \mathcal{F}$, itself interpretable as a mere complex of k–modules. This pattern is very general: the sense of "cohomology" relevant to a situation is often accessed by taking the derived pushforward to a suitably terminal object.[7] To invent a notion of cohomology for comodules over a Hopf algebra, we are thus moved to produce push and pull functors for a map of Hopf algebras, and this is best motivated by another example.

Example 1.4.11. A common source of Hopf algebras is through group–rings: given a group G, we can define the Hopf k–algebra $k[G]$ consisting of formal k–linear combinations of elements of G. This Hopf algebra is commutative exactly when G is abelian, and $k[G]$–modules are naturally equivalent to k–linear G–representations. Dually, the ring k^G of k–valued functions on G is always commutative, using pointwise multiplication of functions, and it is *cocommutative* exactly when G is abelian. If G is finite, then k^G and $k[G]$ are k–linear dual Hopf algebras, and hence finite–dimensional k^G–comodules are naturally equivalent to finite–dimensional k–linear G–representations.

A map of groups $f\colon G \to H$ induces a map $k^f\colon k^H \to k^G$ of Hopf algebras, and it is reasonable to expect that the induced push and pull maps of comodules mimic

---

[7]This perspective often falls under the heading of "six–functor formalism".

those of G– and H–representations. Namely, given an H–representation M, we can produce a corresponding G–representation by precomposition with f. However, given a G–representation N, two things may have to be corrected to extract an H–representation:

1. If f is not surjective, we must decide what to do with the extra elements in G.

2. If f is not injective — say, $f(g_1) = f(g_2)$ — then we must force the behavior of the extracted H–representation to agree on $f(g_1)$ and $f(g_2)$, even if g_1 and g_2 act differently on N. In the extreme case of $f\colon G \to 1$, we expect to recover the fixed points of N, since this computes $H^0_{\mathrm{gp}}(G; N)$.

These concerns, together with the definition of a tensor product as a coequalizer, motivate the following:

Definition 1.4.12. Given A–comodules M and N, their cotensor product is the k-module defined by the equalizer

$$M \square_A N \to M \otimes_k N \xrightarrow{\psi_M \otimes 1 - 1 \otimes \psi_N} M \otimes_k A \otimes_k N.$$

Lemma 1.4.13. *Given a map $f\colon A \to B$ of Hopf k–algebras, the induced adjunction $f^* \dashv f_*$ is given at the level of comodules by*

$$
\begin{array}{ccc}
\mathsf{QCoh}_{\operatorname{Spec} k /\!/ \operatorname{Spec} A} & \underset{f_*}{\overset{f^*}{\rightleftarrows}} & \mathsf{QCoh}_{\operatorname{Spec} k /\!/ \operatorname{Spec} B} \\
\| & & \| \\
\mathsf{Comodules}_A & \underset{N \square_B A \leftarrow\!\shortmid N}{\overset{M \mapsto M}{\rightleftarrows}} & \mathsf{Comodules}_B. \quad \square
\end{array}
$$

Remark 1.4.14. In Lecture 3.1 (and Remark 3.1.24 specifically), we will explain the notation "$\operatorname{Spec} k /\!/ \operatorname{Spec} A$" used above. For now, suffice it to say that there again exists a functor-of-points notion of "quasicoherent sheaf" associated to a Hopf k–algebra A, and such sheaves are equivalent to A–comodules.

As an example application, cotensoring gives rise to a concise description of what it means to be a comodule map:

Lemma 1.4.15 ([38, Lemma A1.1.6b]). *Let M and N be A–comodules with M projective as a k–module. Then there is an equivalence*

$$\mathsf{Comodules}_A(M, N) = \mathsf{Modules}_k(M, k) \square_A N. \quad \square$$

From this, we can deduce a connection between the push–pull flavor of comodule cohomology described above and the input to the Adams spectral sequence.

Corollary 1.4.16. *Let $N = N' \otimes_k A$ be a cofree comodule. Then $N \square_A k = N'$.*

Proof. Picking $M = k$, we have

$$\begin{aligned}
\mathsf{Modules}_k(k, N') &= \mathsf{Comodules}_A(k, N) \\
&= \mathsf{Modules}_k(k, k) \square_A N \\
&= k \square_A N. \qquad \square
\end{aligned}$$

Corollary 1.4.17. *There is an isomorphism*

$$\mathsf{Comodules}_A(k, N) = \mathsf{Modules}_k(k, k) \square_A N = k \square_A N$$

and hence

$$\mathrm{Ext}_A(k, N) \cong \mathrm{Cotor}_A(k, N) \,(= H^* R\pi_* N)\,.$$

Proof. Resolve N using the cofree modules described above, then apply either functor $\mathsf{Comodules}_A(k, -)$ or $k \square_A -$. In both cases, you get the same complex. $\square$

Example 1.4.18. In Lecture 1.3, we identified $\mathcal{A}_*$ with the ring of functions on the group scheme $\underline{\mathrm{Aut}}_1(\widehat{\mathbb{G}}_a)$ of strict automorphisms of $\widehat{\mathbb{G}}_a$, which is defined by the kernel sequence

$$0 \to \underline{\mathrm{Aut}}_1(\widehat{\mathbb{G}}_a) \to \underline{\mathrm{Aut}}(\widehat{\mathbb{G}}_a) \to \mathbb{G}_m \to 0.$$

Today's punchline is that this is analogous to Example 1.4.11 above: $\mathrm{Cotor}_{\mathcal{A}_*}(\mathbb{F}_2, H\mathbb{F}_{2*}X)$ is thought of as "the derived fixed points" of "$G = \underline{\mathrm{Aut}}_1(\widehat{\mathbb{G}}_a)$" on the "$G$–module" $H\mathbb{F}_{2*}X$.

We now give several examples to get a sense of how the Adams spectral sequence behaves.

Example 1.4.19. Consider the degenerate case $X = H\mathbb{F}_2$. Then $H\mathbb{F}_{2*}(H\mathbb{F}_2) = \mathcal{A}_*$ is a cofree comodule, and hence Cotor is concentrated on the 0–line:

$$\mathrm{Cotor}_{\mathcal{A}_*}^{*,*}(\mathbb{F}_2, H\mathbb{F}_{2*}(H\mathbb{F}_2)) = \mathbb{F}_2.$$

The Adams spectral sequence collapses to show the wholly unsurprising equality $\pi_* H\mathbb{F}_2 = \mathbb{F}_2$, and indeed this is the element in the image of the Hurewicz map $\pi_* H\mathbb{F}_2 \to H\mathbb{F}_{2*} H\mathbb{F}_2$.

Example 1.4.20. Next, we consider $X = kO$, the connective real K–theory spectrum. The main input we need is the structure of $H\mathbb{F}_{2*}kO$ as an $\mathcal{A}_*$–comodule, so that we can compute

$$\mathrm{Cotor}_{\mathcal{A}_*}^{*,*}(\mathbb{F}_2, H\mathbb{F}_{2*}kO) \Rightarrow \pi_* kO_2^{\wedge}.$$

There is a slick trick for doing this: by working in the category of kO–modules rather than in all spectra, we can construct a relative Adams spectral sequence

$$\mathrm{Cotor}_{\pi_* H\mathbb{F}_2 \wedge_{kO} H\mathbb{F}_2}^{*,*}(\mathbb{F}_2, \pi_* H\mathbb{F}_2 \wedge_{kO} (kO \wedge H\mathbb{F}_2)) \Rightarrow \pi_*(kO \wedge H\mathbb{F}_2).$$

The second argument is easy to identify:

$$\pi_* H\mathbb{F}_2 \wedge_{kO} (kO \wedge H\mathbb{F}_2) = \pi_* H\mathbb{F}_2 \wedge H\mathbb{F}_2 = \mathcal{A}_*.$$

The Hopf algebra requires further input. Consider the following trio of cofiber sequences[8]:

$$\Sigma kO \xrightarrow{\cdot \eta} kO \to kU, \quad kU \xrightarrow{\cdot 2} kU \to kU/2, \quad kU/2 \xrightarrow{\cdot \beta} kU/2 \to kU/(2, \beta) = H\mathbb{F}_2.$$

These combine to give a resolution of $H\mathbb{F}_2$ via an iterated cofiber of free kO–modules, with Poincaré series

$$((1 + t^2) + t^3(1 + t^2)) + t((1 + t^2) + t^3(1 + t^2)) = 1 + t + t^2 + 2t^3 + t^4 + t^5 + t^6.$$

This gives a small presentation of $\pi_* H\mathbb{F}_2 \wedge_{kO} H\mathbb{F}_2$ by repeatedly using the identity $kO \wedge_{kO} H\mathbb{F}_2 \simeq H\mathbb{F}_2$: it is a commutative Hopf algebra over $\mathbb{F}_2$ with the above Poincaré series. It follows from the Borel–Milnor–Moore [28, Theorem 7.11] classification of commutative Hopf algebras over $\mathbb{F}_p$, as well as knowledge that $\pi_{*\leq 2} \mathbb{S} \to \pi_{*\leq 2} kO$, that we have a presentation

$$
\begin{array}{ccc}
\pi_* H\mathbb{F}_2 \wedge H\mathbb{F}_2 & \longrightarrow & \pi_* H\mathbb{F}_2 \wedge_{kO} H\mathbb{F}_2 \\
\| & & \| \\
\mathcal{A}_* & \longrightarrow & \dfrac{\mathbb{F}_2[\xi_1, \xi_2, \xi_3, \xi_4, \ldots]}{(\xi_1^4, \xi_2^2), (\xi_n \mid n \geq 3)}.
\end{array}
$$

This subgroup scheme $\mathrm{Spec}\, \pi_* H\mathbb{F}_2 \wedge_{kO} H\mathbb{F}_2 \subseteq \underline{\mathrm{Aut}}_1 \widehat{\mathbb{G}}_a$ is admits easy memorization: it is the subscheme of automorphisms of the form $x + \xi_1 x^2 + \xi_2 x^4$, with exactly the additional relations imposed on ξ_1 and ξ_2 so that this set is stable under composition and inversion.[9] Its cohomology is periodic with period 8, and it is pictured through a range in **??**.

Example 1.4.21. At the other extreme, we can pick the extremely nondegenerate case $X = \mathbb{S}$, where $\underline{\mathrm{Aut}}_1 \widehat{\mathbb{G}}_a$ acts maximally nonfreely on $\mathrm{Spec}\, \mathbb{F}_2$. The resulting spectral sequence is pictured through a range in Figure 1.2.

> Jon asked: spectral sequences coming from π_* of a Tot tower increase Tot degree. ANSS differentials decrease degree: they run against the multiplicative structure in pictures. What's going on with this? I think this is a duality effect: working with the Steenrod algebra versus its dual.

---

[8]This first sequence, known as the Wood cofiber sequence, is a consequence of a very simple form of Bott periodicity [10, Section 5].

[9]There is also an accidental isomorphism of this Hopf algebra with $\mathbb{F}_2^{D_4}$, where D_4 is the dihedral group with 8 elements.
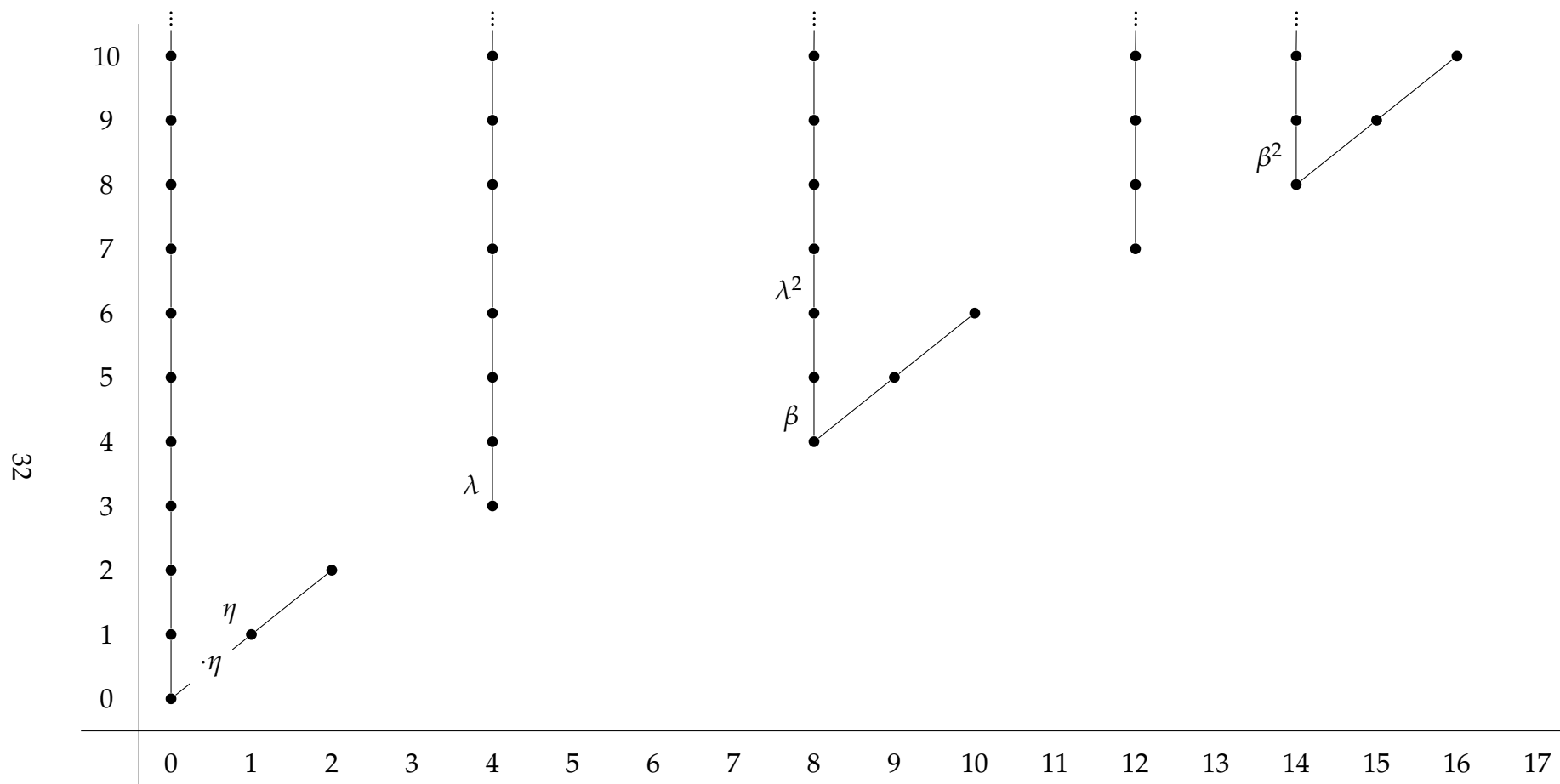
Figure 1.1: The $H\mathbb{F}_2$–Adams spectral sequence for kO, which collapses at the second page. North and north-east lines denote multiplication by 2 and by η.
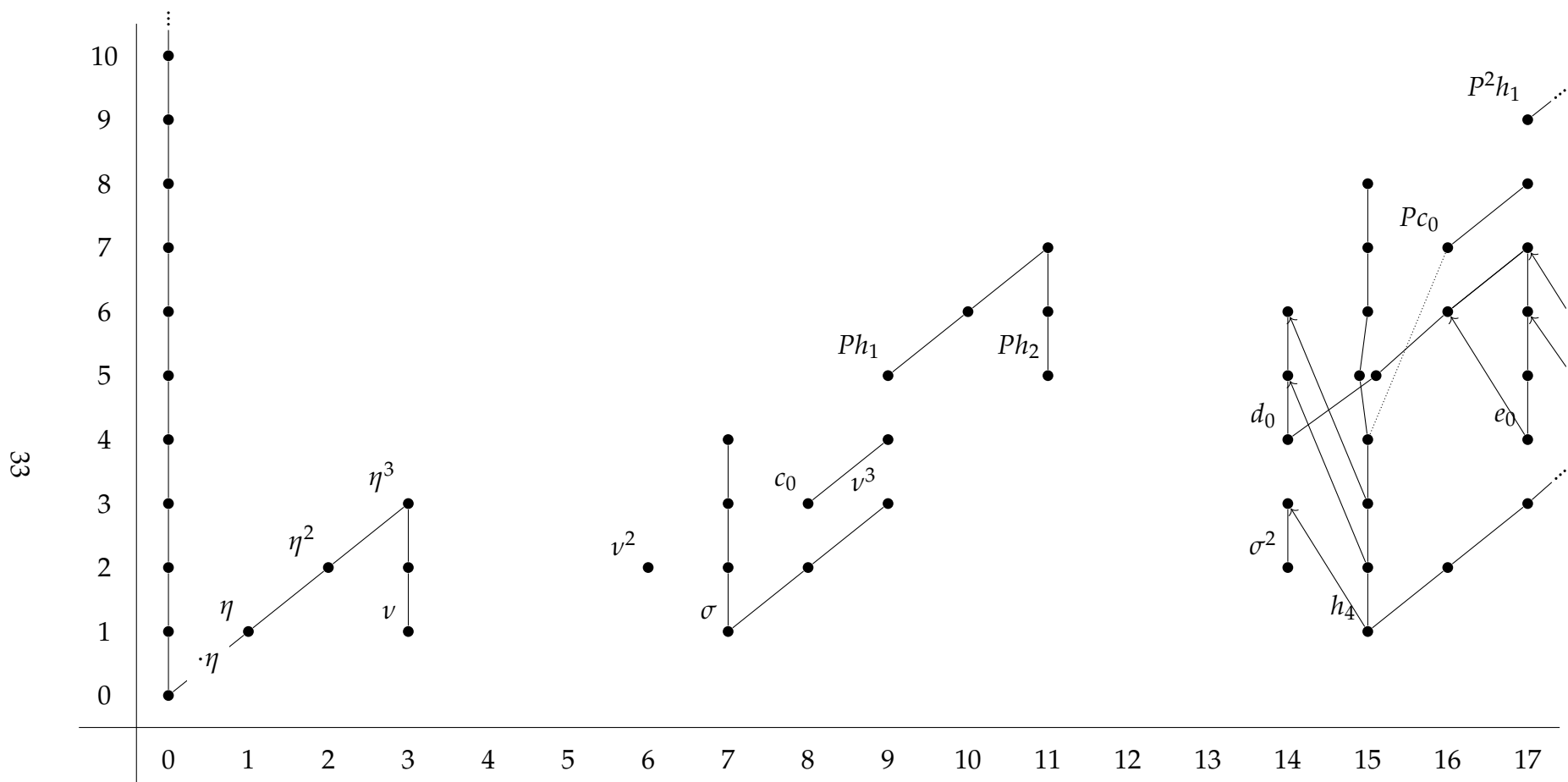
Figure 1.2: A small piece of the $H\mathbb{F}_2$–Adams spectral sequence for the sphere, beginning at the second page. North and north-east lines denote multiplication by 2 and by η, north-west lines denote d_2– and d_3–differentials.

1.5 The unoriented bordism ring

Our goal in this section is to use our results so far to make a calculation of $\pi_* MO$, the unoriented bordism ring. Our approach is the same as in the examples at the end of the previous section: we will want to use the Adams spectral sequence of signature

$$H^*_{\mathrm{gp}}(\underline{\mathrm{Aut}}_1(\widehat{\mathbb{G}}_a); H\mathbb{F}_2 P_0(MO)\check{}) \Rightarrow \pi_* MO,$$

which requires understanding $H\mathbb{F}_2 P_0(MO)$ as a comodule for the dual Steenrod algebra. Our first step toward this is the following calculation:

Lemma 1.5.1 ([53, Theorem 16.17]). *The natural map*

$$\mathrm{Sym}\,\widetilde{H\mathbb{F}_2 P_0}(BO(1)) \to H\mathbb{F}_2 P_0(BO).$$

induces an isomorphism of Hopf algebras and of comodules for the dual Steenrod algebra

$$\mathrm{Sym}\,\widetilde{H\mathbb{F}_2 P_0}(BO(1)) = \frac{\mathrm{Sym}\,H\mathbb{F}_2 P_0(BO(1))}{\beta_0 = 0} \xrightarrow{\simeq} H\mathbb{F}_2 P_0(BO).$$

Proof. This follows from a combination of standard facts about Stiefel–Whitney classes. First, these classes generate the cohomology ring $H\mathbb{F}_2^* BO(n)$:

$$H\mathbb{F}_2^* BO(n) \cong \mathbb{F}_2[\![w_1, \dots, w_n]\!].$$

Second, the total Stiefel–Whitney class is exponential, in the sense of

$$w(V \oplus W) = w(V) \cdot w(W).$$

From this, it follows that the natural map

$$H\mathbb{F}_2^* BO(n) \xrightarrow{\oplus_{j=1}^n \mathcal{L}_j} H\mathbb{F}_2^* BO(1)^{\times n} \cong (H\mathbb{F}_2^* BO(1))^{\otimes n}$$

is the inclusion of the symmetric polynomials, by calculating the total Stiefel–Whitney class

$$w\left(\bigoplus_{j=1}^n \mathcal{L}_j\right) = \prod_{j=1}^n (1 + w_1(\mathcal{L}_j)) = \sum_{j=0}^n \sigma_j(w_1(\mathcal{L}_1), \dots, w_1(\mathcal{L}_n)) t^j.$$

Dually, the homological map

$$(H\mathbb{F}_{2*} BO(1))^{\otimes n} \to H\mathbb{F}_{2*} BO(n)$$

is surjective, modeling the quotient from the tensor product to the symmetric tensor product. Stabilizing as $n \to \infty$, we recover the statement of the Lemma. $\qquad\square$

With this in hand, we now turn to the homotopy ring $H\mathbb{F}_2 P_0 MO$. There are two equivalences that we might consider employing. We have the Thom isomorphism:

$$H\mathbb{F}_2 P_0(BO(1)) === H\mathbb{F}_2 P_0(MO(1))$$

$$\beta_j, j \geq 0 \longmapsto \beta'_j, j \geq 0,$$

and we also have the equivalence induced by the topological map in Example 1.1.3:

$$\widetilde{H\mathbb{F}_2 P_0}(BO(1)) === H\mathbb{F}_2 P_0(\Sigma MO(1))$$

$$\beta_j, j \geq 1 \longmapsto \beta'_{j-1}, j \geq 1.$$

We will use them both in turn.

Corollary 1.5.2 ([1, Section I.3], [14, Proposition 6.2]). *There is an isomorphism*

$$H\mathbb{F}_2 P_0(MO) \cong \frac{\operatorname{Sym} H\mathbb{F}_2 P_0 MO(1)}{b'_0 = 1}.$$

Proof. The block sum maps

$$BO(n) \times BO(m) \to BO(n+m)$$

Thomify to give compatible maps

$$MO(n) \wedge MO(m) \to MO(n+m).$$

Taking the colimit, this gives a ring structure on MO compatible with that on $\Sigma^\infty_+ BO$ and compatible with the Thom isomorphism. $\square$

We now seek to understand the utility of the scheme $\operatorname{Spec} H\mathbb{F}_2 P_0(MO)$, as well as its action of $\underline{\operatorname{Aut}}(\widehat{\mathbb{G}}_a)$. The first of these tasks comes from untangling some of the topological dualities we've been using thus far.

Lemma 1.5.3. *The following square commutes:*

$$\mathsf{Modules}_{\mathbb{F}_2}(H\mathbb{F}_2 P_0(MO), \mathbb{F}_2) === \mathsf{Spectra}(MO, H\mathbb{F}_2 P)$$

$$\uparrow \qquad\qquad\qquad\qquad \uparrow$$

$$\mathsf{Algebras}_{\mathbb{F}_2/}(H\mathbb{F}_2 P_0(MO), \mathbb{F}_2) === \mathsf{RingSpectra}(MO, H\mathbb{F}_2 P).$$

Proof. The top isomorphism asserts only that $\mathbb{F}_2$–cohomology and $\mathbb{F}_2$–homology are linearly dual to one another. The second follows immediately from investigating the effect of the ring homomorphism diagrams in the bottom-right corner in terms of the subset they select in the top-left. $\square$

Corollary 1.5.4. *There is a bijection between homotopy classes of ring maps $MO \to H\mathbb{F}_2 P$ and homotopy classes of factorizations*

$$
\begin{array}{ccc}
\mathbb{S}^0 & \longrightarrow & MO(1) \\
& \searrow & \Big\downarrow \\
& & H\mathbb{F}_2 P.
\end{array}
$$

Proof. We extend the square in the Lemma 1.5.3 using the following diagram:

$$
\begin{array}{ccc}
\mathrm{Modules}_{\mathbb{F}_2}(H\mathbb{F}_2 P_0(MO(1)), \mathbb{F}_2) & \longleftarrow & \mathrm{Modules}_{\mathbb{F}_2}(H\mathbb{F}_2 P_0(MO), \mathbb{F}_2) \\
\Big\uparrow & & \Big\uparrow \\
\{f\colon H\mathbb{F}_2 P_0(MO(1)) \to \mathbb{F}_2 \,|\, f(\beta_0') = 1\} & === & \mathrm{Algebras}_{\mathbb{F}_2/}(H\mathbb{F}_2 P_0(MO), \mathbb{F}_2),
\end{array}
$$

where the equality at bottom follows from the universal property of $H\mathbb{F}_2 P_0(MO)$ in $\mathbb{F}_2$–algebras expressed in Corollary 1.5.2. Noting that β_0' is induced by the topological map $\mathbb{S}^0 \to MO(1)$, the condition $f(\beta_0') = 1$ is exactly the condition expressed in the statement of the Corollary. $\qquad\square$

Cite me: I wish I could find this in the literature.

Corollary 1.5.5. *There is an $\underline{\mathrm{Aut}}(\widehat{\mathbb{G}}_a)$–equivariant isomorphism of schemes*

$$
\mathrm{Spec}\, H\mathbb{F}_2 P_0(MO) \cong \mathrm{Coord}_1(\mathbb{RP}^\infty_{H\mathbb{F}_2 P}),
$$

where the latter is the subscheme of functions $\mathbb{RP}^\infty_{H\mathbb{F}_2 P} \to \widehat{\mathbb{A}}^1$ which are coordinates (i.e., which are isomorphisms of formal schemes — or, equivalently, which restrict to the canonical identification of tangent spaces $\mathbb{RP}^1_{H\mathbb{F}_2 P} = \widehat{\mathbb{A}}^{1,(1)}$).

Proof. The conclusion of the previous Corollary is that the $\mathbb{F}_2$–points of $\mathrm{Spec}\, H\mathbb{F}_2 P_0(MO)$ biject with classes $H\mathbb{F}_2 P^0 MO(1) \cong \widetilde{H\mathbb{F}_2 P}^0 \mathbb{RP}^\infty$ satisfying the condition that they give an isomorphism $\mathbb{RP}^\infty_{H\mathbb{F}_2 P}$. Because $H\mathbb{F}_2 P_0(MO)$ is a polynomial algebra, this holds in general: for $u\colon \mathbb{F}_2 \to T$ an $\mathbb{F}_2$–algebra, the T–points of $\mathrm{Spec}\, H\mathbb{F}_2 P_0(MO)$ will biject with coordinates on $u^* \mathbb{RP}^\infty_{H\mathbb{F}_2 P}$. The isomorphism of schemes follows, though we have not yet discussed equivarience.

To compute the action of $\underline{\mathrm{Aut}}\,\widehat{\mathbb{G}}_a$, we turn to the map in Example 1.1.3:

$$
\Sigma^\infty BO(1) \xrightarrow{c, \simeq} \Sigma MO(1).
$$

Writing $\beta(t) = \sum_{j=0}^\infty \beta_j t^j$ and $\xi(t) = \sum_{k=0}^\infty \xi_k t^{2^k}$, the dual Steenrod coaction on $H\mathbb{F}_2 P_0 BO(1)$ is encoded by the formula

$$
\sum_{j=0}^\infty \psi(\beta_j) t^j = \psi(\beta(t)) = \beta(\xi(t)) = \sum_{j=0}^\infty \beta_j \left(\sum_{k=0}^\infty \xi_k t^{2^k} \right)^j.
$$

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Because $c_*(\beta_j) = \beta'_{j-1}$, this translates to the formula $\psi(\beta'(t)) = \beta'(\xi(t))$, where

$$\beta'(t) = \sum_{j=0}^{\infty} \beta'_j t^{j+1}.$$

Passing from $H\mathbb{F}_2 P_0(MO(1))$ to $H\mathbb{F}_2 P_0(MO) \cong \mathrm{Sym}\, H\mathbb{F}_2 P_0(MO(1))/(\beta'_0 = 1)$, this is precisely the formula for precomposing a coordinate with a strict automorphism — i.e., a point in $\underline{\mathrm{Aut}}_1(\widehat{\mathbb{G}}_a)$ acts on a point in $\mathrm{Coord}(\mathbb{RP}^{\infty}_{H\mathbb{F}_2 P})$ in the way claimed. $\square$

We are now ready to analyze the group cohomology of $\underline{\mathrm{Aut}}(\widehat{\mathbb{G}}_a)$ with coefficients in the comodule $H\mathbb{F}_2 P_0(MO)$. This is the last piece of input we need to assess the Adams spectral sequence computing $\pi_* MO$.

Theorem 1.5.6 ([52, Theorem 12.2], [29, Proposition 2.1]). *The action of $\underline{\mathrm{Aut}}_1(\widehat{\mathbb{G}}_a)$ on $\mathrm{Coord}_1(\widehat{\mathbb{G}}_a)$ is free:*

$$\mathrm{Coord}_1(\widehat{\mathbb{G}}_a) \cong \mathrm{Spec}\,\mathbb{F}_2[b_j \mid j \neq 2^k - 1] \times \underline{\mathrm{Aut}}_1(\widehat{\mathbb{G}}_a).$$

Proof. Recall, again, that $\underline{\mathrm{Aut}}_1(\widehat{\mathbb{G}}_a)$ is defined by the (split) kernel sequence

$$0 \to \underline{\mathrm{Aut}}_1(\widehat{\mathbb{G}}_a) \to \underline{\mathrm{Aut}}(\widehat{\mathbb{G}}_a) \to \mathbb{G}_m \to 0.$$

Consider a point $f \in \mathrm{Coord}_1(\widehat{\mathbb{G}}_a)(R)$, which in terms of the standard coordinate can be expressed as

$$f(x) = \sum_{j=1}^{\infty} b_{j-1} x^j,$$

where $b_0 = 1$. Decompose this series as $f(x) = f_2(x) + f_{\mathrm{rest}}(x)$, with

$$f_2(x) = \sum_{k=0}^{\infty} b_{2^k - 1} x^{2^k}, \qquad\qquad f_{\mathrm{rest}}(x) = \sum_{j \neq 2^k} b_{j-1} x^j.$$

Because we assumed $b_0 = 1$ and f_2 is concentrated in power–of–2 degrees, it follows that f_2 gives a point $f_2 \in \underline{\mathrm{Aut}}_1(\widehat{\mathbb{G}}_a)(R)$. We can use it to de-scale and get a new coordinate $g(x) = f_2^{-1}(f(x))$, which has an analogous decomposition into series $g_2(x)$ and $g_{\mathrm{rest}}(x)$. Finally, note that $g_2(x) = x$ and that f_2 is the unique point in $\underline{\mathrm{Aut}}_1(\widehat{\mathbb{G}}_a)(R)$ that has this property. $\square$

Corollary 1.5.7 ([52, Remark 12.3]). $\pi_* MO = \mathbb{F}_2[b_j \mid j \neq 2^k - 1, j \geq 1]$ *with* $|b_j| = j$.

Proof. Set $M = \mathbb{F}_2[b_j \mid j \neq 2^k - 1]$. It follows from Corollary 1.4.16 applied to Theorem 1.5.6 that the $\underline{\mathrm{Aut}}_1(\widehat{\mathbb{G}}_a)$–cohomology of $H\mathbb{F}_2 P_0(MO)$ has Cotor–amplitude 0:

$$\begin{aligned}
\mathrm{Cotor}_{\mathcal{A}_*}^{*,*}(\mathbb{F}_2, H\mathbb{F}_2 P_0(MO)) &= \mathrm{Cotor}_{\mathcal{A}_*}^{*,*}(\mathbb{F}_2, \mathcal{A}_* \otimes_{\mathbb{F}_2} M) \\
&= \mathbb{F}_2 \square_{\mathcal{A}_*}(\mathcal{A}_* \otimes_{\mathbb{F}_2} M) \\
&= \mathbb{F}_2 \otimes_{\mathbb{F}_2} M = M.
\end{aligned}$$

Since the Adams spectral sequence

$$H^*_{\mathrm{gp}}(\underline{\mathrm{Aut}}_1(\widehat{\mathbb{G}}_a); H\mathbb{F}_2 P_0(MO)) \Rightarrow \pi_* MO$$

is concentrated on the 0–line, it collapses. Using the residual $\mathbb{G}_m$–action to infer the grading, we deduce

$$\pi_* MO = \mathbb{F}_2[b_j \mid j \neq 2^k - 1]. \qquad \square$$

This is pretty remarkable: some statement about manifold geometry came down to understanding how we could reparametrize a certain formal group, itself a (fairly simple) purely algebraic problem. The connection between these two problems seems fairly miraculous: we needed a small object, $\mathbb{R}P^\infty$, which controlled the whole story; we needed to be able to compute everything about it; and we needed various other "generation" or "freeness" results to work out in our favor. It is not obvious that we will get this lucky twice, should we try to reapply these ideas to other cases. Nevertheless, trying to push our luck as far as possible is the main thrust of the rest of the book. We could close this section with this accomplishment, but there are two easy consequences of this calculation that are worth recording before we leave.

Lemma 1.5.8. *MO splits as a wedge of shifts of $H\mathbb{F}_2$.*

Proof. Referring to Lemma 1.4.3, we find that the Hurewicz map induces a π_*–injection $MO \to H\mathbb{F}_2 \wedge MO$. Pick an $\mathbb{F}_2$–basis $\{v_\alpha\}_\alpha$ for $\pi_* MO$ and extend it to a $\mathbb{F}_2$–basis $\{v_\alpha\}_\alpha \cup \{w_\beta\}_\beta$ for $\pi_* H\mathbb{F}_2 \wedge MO$. Altogether, this larger basis can be represented as a single map

$$\bigvee_\alpha \Sigma^{|v_\alpha|} \mathbb{S} \vee \bigvee_\beta \Sigma^{|w_\beta|} \mathbb{S} \xrightarrow{\bigvee_\alpha v_\alpha \vee \bigvee_\beta w_\beta} H\mathbb{F}_2 \wedge MO.$$

Smashing through with $H\mathbb{F}_2$ gives an equivalence

$$\bigvee_\alpha \Sigma^{|v_\alpha|} H\mathbb{F}_2 \vee \bigvee_\beta \Sigma^{|w_\beta|} H\mathbb{F}_2 \xrightarrow{\simeq} H\mathbb{F}_2 \wedge MO.$$

The composite map

$$MO \to H\mathbb{F}_2 \wedge MO \xleftarrow{\simeq} \bigvee_\alpha \Sigma^{|v_\alpha|} H\mathbb{F}_2 \vee \bigvee_\beta \Sigma^{|w_\beta|} H\mathbb{F}_2 \to \bigvee_\alpha \Sigma^{|v_\alpha|} H\mathbb{F}_2$$

is a weak equivalence. $\qquad \square$

Remark 1.5.9. Just using that $\pi_* MO$ is connective and $\pi_0 MO = \mathbb{F}_2$, we can produce a ring spectrum map $MO \to H\mathbb{F}_2$. What we've learned is that this map has a splitting: MO is also an $H\mathbb{F}_2$–algebra.

Remark 1.5.10. You should mention the stable cooperations Spec $MO_* MO$. Rather than coming with a specified logarithm, it's an isomorphism between any pair of additive formal groups — or, I suppose, a pair of logarithms.

Case Study 2

Complex bordism

2.1 Formal varieties

Having totally dissected unoriented bordism, we can now turn our attention to other sorts of bordism theories, and there are many available: oriented, *Spin*, *String*, complex, ... We would like to replicate the results from Case Study 1 for these other contexts, but we quickly see that only one of the listed bordism theories supports this program. The space $\mathbb{RP}^\infty = BO(1)$ was a key player in the unoriented bordism story, and the only other bordism theory with a similar ground object is complex bordism, with $\mathbb{CP}^\infty = BU(1)$. So, we will focus on it.

The contents of Lecture 1.1 can be replicated essentially *mutatis mutandis*, resulting in the following theorems:

Theorem 2.1.1. *There is a complex J–homomorphism*

$$J_{\mathbb{C}} : BU \to BGL_1 S. \quad \square$$

Definition 2.1.2. The associated Thom spectrum is written "*MU*" and called *complex bordism*. A map $MU \to E$ of ring spectra is said to be a *complex orientation of E*.

Theorem 2.1.3. *For a complex vector bundle ξ on a space X and a complex-oriented ring spectrum E, there is a natural equivalence*

$$E \wedge T(\xi) \simeq E \wedge \Sigma_+^\infty X. \quad \square$$

Corollary 2.1.4. *In particular, for a complex-oriented ring spectrum E it follows that $E^* \mathbb{CP}^\infty$ is isomorphic to a one–dimensional power series ring.* $\square$

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In light of these results, it seems prudent to develop some of the theory of formal schemes and formal varieties outside of the context of $\mathbb{F}_2$–algebras.

Definition 2.1.5. Fix a scheme S. A formal S–scheme $X = \{X_\alpha\}_\alpha$ is an ind-system of ~~Artinian S–schemes X_α.~~S–schemes X_α.

Remark 2.1.6. In the case $S = \operatorname{Spec} k$ for a field k, "Artinian" means that $\mathcal{O}_{X_\alpha}$ is a finite–dimensional k–vector space.

These ind-systems arise when studying completions of rings. To address the geometric situation, we first owe ourselves a definition of a closed subscheme:

Definition 2.1.7. Let X be an affine formal scheme, and pick a chart $\operatorname{Spec} R \to X$. A subscheme $Y \subseteq X$ is called *closed* when it has the form

$$
\begin{array}{ccc}
Y & \longrightarrow & X \\
\vdots & & \| \\
\operatorname{Spec}(R/I) & \longrightarrow & \operatorname{Spec} R.
\end{array}
$$

There's a complementary notion of an open subscheme, which we will continue to avoid for now. These definitions are both best stated in a coordinate–free way, but the open subscheme version really *requires* it, so we will postpone it until later. For now, we will proceed with the geometry:

Definition 2.1.8. Consider such a closed subscheme Y of an affine S–scheme X, modeled by a map $\operatorname{Spec} R/I \to \operatorname{Spec} R$. We define the nth *order neighborhood of Y in X* to be the scheme $\operatorname{Spec} R/I^{n+1}$. The *formal neighborhood of Y in X* is then the ind-system

$$
X_Y^\wedge := \left\{ \operatorname{Spec} R/I \to \operatorname{Spec} R/I^2 \to \operatorname{Spec} R/I^3 \to \cdots \right\}.
$$

So, formal schemes arise naturally when studying the local geometry of X near a subscheme Y. An exceedingly common situation is for X to be a variety and Y to be a smooth point, so that $X_Y^\wedge$ looks like "a small piece of affine space". We pin this important case down with a definition:

Definition 2.1.9. In the case that $S = \operatorname{Spec} R$ is affine, formal affine n–space over S is defined by

$$
\widehat{\mathbb{A}}^n = \operatorname{Spf} R[\![x_1, \ldots, x_n]\!].
$$

A *formal affine variety* is a formal scheme V which is (noncanonically) isomorphic to $\widehat{\mathbb{A}}^n$

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for some n. The two maps in an isomorphism pair

$$V \to \widehat{\mathbb{A}}^n, \quad V \leftarrow \widehat{\mathbb{A}}^n$$

are called a *coordinate (system)* and a *parameter (system)* respectively.

Lemma 2.1.10. *A pointed map $\widehat{\mathbb{A}}^n \to \widehat{\mathbb{A}}^m$ is identical to an m–tuple of n–variate power series with no constant term.* $\square$

Remark 2.1.11. In some sense, Lemma 2.1.10 is a full explanation for why anyone would even think to involve formal geometry in algebraic topology (nevermind how useful the program has been in the long run). Calculations in algebraic topology are frequently expressed in terms of power series rings, and with this Lemma we are provided geometric interpretations for such statements.

Lemma 2.1.10 shows how formal varieties are especially nice, because maps between them can be boiled down to statements about power series. In particular, this allows local theorems from analytic differential geometry to be imported, including a version of the inverse function theorem, which we will now work towards.

> Work an example first of $\widehat{\mathbb{A}}^1$, rather than an abstract formal variety.

Definition 2.1.12. Let V be a formal variety and let $I_V = \widehat{\mathbb{A}}^1(V)$ be the ideal of functions vanishing at the origin. Then, we define the *cotangent space of V* at the origin by

> Strictly speaking, I don't think this notation has been introduced.

$$T^*V = I_V / I_V^2.$$

Lemma 2.1.13. *There is an isomorphism*

$$TV \cong \mathsf{Modules}_R(T^*V, R).$$

Proof. A point $f \in V(R[\varepsilon]/\varepsilon^2)$ is given by a map $f \colon \mathcal{O}_V \to R[\varepsilon]/\varepsilon^2$. If f is pointed, then it carries the ideal $I(0)$ of functions vanishing at zero to the ideal (ε), and hence also carries $I(0)^2$ to $(\varepsilon)^2 = 0$. Hence, f induces a map

$$
\begin{array}{ccc}
I(0)/I(0)^2 & \xrightarrow{\ f\ } & (\varepsilon)/(\varepsilon)^2 \\
\| & & \| \\
T^*V & \xrightarrow{\ f\ } & R,
\end{array}
$$

hence a point in $\mathsf{Modules}_R(T^*V, R)$. This assignment is visibly bijective. $\square$

Theorem 2.1.14. *A map $f \colon V \to W$ of finite–dimensional formal varieties is an isomorphism if and only if the induced map $Tf \colon TV \to TW$ is an isomorphism of R–modules.*

> **Cite me:** This is 3.1.8 in the Crystals notes..

Proof. First, reduce to the case where $V \cong \widehat{\mathbb{A}}^n$ and $W \cong \widehat{\mathbb{A}}^n$ have the same dimension , and select charts for both. Then, Tf is a matrix of dimension $n \times n$. If Tf fails to be

> I don't know how you are defining Spf, but there may be something to show here, since you're not actually "reducing" to the case but showing that it 'is' the case.

invertible, we are done, and if it is invertible, we replace f by $f \circ (Tf)^{-1}$ so that Tf is the identity matrix.

We now construct the inverse function by induction on degree. Set $g^{(1)}$ to be the identity function, so that f and $g^{(1)}$ are mutual inverses when restricted to the first-order neighborhood. So, suppose that $g^{(r-1)}$ has been constructed, and consider its interaction with f on the rth order neighborhood:

$$g_i^{(r-1)}(f(x)) = x_i + \sum_{|J|=r} c_J x_1^{J_1} \cdots x_n^{J_n} + o(r+1).$$

By adding in the correction term

$$g_i^{(r)} = g_i^{(r-1)} - \sum_{|J|=r} c_J x_1^{J_1} \cdots x_n^{J_n},$$

we have $g_i^{(r)}(f(x)) = x_i + o(r)$. $\qquad\square$

We now return to our motivating example of $\mathbb{CP}_E^\infty$ for E a complex-oriented cohomology theory, where we saw that the complex-orientation determines an isomorphism $\mathbb{CP}_E^\infty \cong \widehat{\mathbb{A}}^1$. However, the object "$E^*\mathbb{CP}^\infty$" is something that exists independent of the orientation map $MU \to E$, and we now have the language to tease apart this situation:

Lemma 2.1.15. *A cohomology theory E is complex orientable (i.e., it is able to receive a ring map from MU) precisely when $\mathbb{CP}_E^\infty$ is a formal curve. A choice of map $MU \to E$ determines a coordinate $\mathbb{CP}_E^\infty \cong \widehat{\mathbb{A}}^1$.* $\qquad\square$

> Is this just a formal variety of dimension 1?

As we saw in the first case study, $\mathbb{CP}_E^\infty$ has more structure than just a formal scheme: it also carries the structure of a group. We close today with some remarks about such objects.

> Cite me: Theorem 2.2.6 of the Crystals notes.

Definition 2.1.16. A formal group is a formal variety endowed with an abelian group structure.[1]

Remark 2.1.17. As with formal schemes, formal groups can arise as formal completions of an algebraic group at its identity point. It turns out that there are many more formal groups than come from this procedure, a phenomenon that is of keen interest to stable homotopy theorists.

> Add some kind of reference to a complaint about this? It's not like we're going to talk about TMF much.

Corollary 2.1.18. *As with physical groups, the formal group addition map on $\widehat{\mathbb{G}}$ determines the inverse law.*

---

[1] Formal groups in dimension 1 are automatically commutative if and only if the ground ring has no elements which are simultaneously nilpotent and torsion.

Proof. Consider the shearing map

$$\widehat{G} \times \widehat{G} \xrightarrow{\sigma} \widehat{G} \times \widehat{G},$$
$$(x, y) \mapsto (x, x + y).$$

The induced map $T\sigma$ on tangent spaces is evidently invertible, so by Theorem 2.1.14 there is an inverse map $(x, y) \mapsto (x, y - x)$. Setting $y = 0$ and projecting to the second factor gives the inversion map. □

Definition 2.1.19. Let $\widehat{G}$ be a formal group. In the presence of a coordinate $\varphi \colon \widehat{G} \cong \widehat{\mathbb{A}}^n$, the addition law on $\widehat{G}$ begets a map

$$
\begin{array}{ccc}
\widehat{G} \times \widehat{G} & \longrightarrow & \widehat{G} \\
\| & & \| \\
\widehat{\mathbb{A}}^n \times \widehat{\mathbb{A}}^n & \longrightarrow & \widehat{\mathbb{A}}^n,
\end{array}
$$

Again, the vertical arrows should be arrows, not equal signs?

and hence a n-tuple of $(2n)$-variate power series "$+_\varphi$", satisfying

$$\underline{x} +_\varphi \underline{y} = \underline{y} +_\varphi \underline{x}, \qquad \text{(commutativity)}$$
$$\underline{x} +_\varphi \underline{0} = \underline{x}, \qquad \text{(unitality)}$$
$$\underline{x} +_\varphi (\underline{y} +_\varphi \underline{z}) = (\underline{x} +_\varphi \underline{y}) +_\varphi \underline{z}. \qquad \text{(associativity)}$$

Such a tuple $+_\varphi$ is called a *formal group law*.

Let's now consider two examples of E which are complex-orientable and describe $\mathbb{CP}^\infty_E$ for them.

Example 2.1.20. There is an isomorphism $\mathbb{CP}^\infty_{H\mathbb{Z}P} \cong \widehat{G}_a$. This follows from reasoning identical to that given in Example 1.3.7.

Example 2.1.21. There is also an isomorphism $\mathbb{CP}^\infty_{KU} \cong \widehat{G}_m$. Given a complex line bundle $\mathcal{L}$ over a space X, we use the complex orientation of KU where the total Chern class of $\mathcal{L}$ is given by

$$c(\mathcal{L}) = 1 - [\mathcal{L}].$$

Given this definition, we perform a manual computation:

$$
\begin{aligned}
c(\mathcal{L}_1 \otimes \mathcal{L}_2) &= 1 - [\mathcal{L}_1 \otimes \mathcal{L}_2] = 1 - [\mathcal{L}_1][\mathcal{L}_2] \\
&= -1 + [\mathcal{L}_1] + [\mathcal{L}_2] - [\mathcal{L}_1][\mathcal{L}_2] + 1 - [\mathcal{L}_1] + 1 - [\mathcal{L}_2] \\
&= (1 - [\mathcal{L}_1]) + (1 - [\mathcal{L}_2]) - (1 - [\mathcal{L}_1])(1 - [\mathcal{L}_2]) \\
&= c(\mathcal{L}_1) + c(\mathcal{L}_2) - c(\mathcal{L}_1)c(\mathcal{L}_2).
\end{aligned}
$$

This calculation ignores the grading, which isn't great. If you're careful and distinguish c from c_1, things should fall into place better.

Make it clear that we're using the coordinate $1 - t$ on G_m.

In this coordinate on $\mathbb{CP}^\infty_{KU}$, the group law is then $x +_! y = x + y - xy$.

Why is the notation $+_!$?

45

We will close today by showing that the rational theory of formal groups is highly degenerate, similar to the rational theory of spectra.

Definition 2.1.22. The module of *Kähler differentials* on a k–algebra R is an R–module $\Omega^1_{R/k}$. It is generated by symbols dr for each element $r \in R$, subject to the two families of relations

$$ds = 0, \, s \in k \qquad\qquad \text{(differentiation is linear for ``scalars'')}$$
$$d(rr') = rdr' + r'dr. \qquad\qquad (d \text{ is a derivation})$$

Elements of $\Omega^1_{R/k}$ are referred to as 1–*forms*.

Lemma 2.1.23. *The map* $d : R \to \Omega^1_{R/k}$ *is the universal k-linear derivation into an R–module:*

$$\mathsf{Derivations}_k(R, M) = \mathsf{Modules}_R(\Omega^1_{R/k}, M). \quad \square$$

These definitions are interesting in this level of generality, but suppose now that k is a $\mathbb{Q}$–algebra and that $R = k[\![x]\!]$ is the coordinatized ring of functions on a formal line over k. What's special about this rational curve case is that differentiation gives an isomorphism between $\Omega^1_{R/k}$ and the ideal (x) of functions vanishing at the origin, i.e., the ideal sheaf selecting the closed subscheme $\operatorname{Spec} k \to \operatorname{Spf} R$. Its inverse is formal integration:

$$\int : \left(\sum_{j=0}^{\infty} c_j x^j \right) dx \mapsto \sum_{j=0}^{\infty} \frac{c_j}{j+1} x^{j+1}.$$

Taking a cue from classical Lie theory, we attempt to define exponential and logarithm functions for a given formal group law F. This is typically accomplished by studying left–invariant differentials: a 1–form $f(x)dx$ is said to be left–invariant under F when

$$f(x)dx = f(y +_F x)d(y +_F x) = f(y +_F x)\frac{\partial(y +_F x)}{\partial x}dx.$$

Restricting to the origin by setting $x = 0$, we deduce the condition

$$f(0) = f(y) \cdot \left.\frac{\partial(y +_F x)}{\partial x}\right|_{x=0}.$$

If R is a $\mathbb{Q}$–algebra, then setting the boundary condition $f(0) = 1$ and integrating against y yields

$$\log_F(y) = \int f(y) \, dy = \int \left(\left.\frac{\partial(y +_F x)}{\partial x}\right|_{x=0} \right)^{-1} dy.$$

To see that the series $\log_F$ has the claimed homomorphism property, note that

$$\frac{\partial \log_F(y +_F x)}{\partial x}dx = f(y +_F x)d(y +_F x) = f(x)dx = \frac{\partial \log_F(x)}{\partial x}dx,$$

so $\log_F(y +_F x)$ and $\log_F(x)$ differ by a constant. Checking at $y = 0$ shows that the constant is $\log_F(x)$, hence

$$\log_F(x +_F y) = \log_F(x) + \log_F(y).$$

We've started from a formal group $\widehat{\mathbb{G}}$ and produced for every left–invariant 1–form a map $\log : \widehat{\mathbb{G}} \to \widehat{\mathbb{G}}_a$. Finally, noticing that the Lie algebra Lie $\widehat{\mathbb{G}}$ should be the left–invariant vector fields, this argument bundles into the following coordinate-free theorem:

Theorem 2.1.24. *There is a unique isomorphism*

$$\widehat{\mathbb{G}} \xrightarrow{\log} \text{Lie}\,\widehat{\mathbb{G}} \otimes \widehat{\mathbb{G}}_a. \quad \square$$

> inserted an explanation, but wondering if the tensor product should be written as a completed tensor product, AY

> It would also be good to put the example of the standard logarithm for $\widehat{\mathbb{G}}_m$ here.

2.2 Divisors on formal curves

> Cite me: Section 2.4.2 of the AHS preprint.

We now have a solid foundation for the most important case of the complex-oriented cohomology of a space: $E^*\mathbb{CP}^\infty$. We turn next to an algebro-geometric model for the other topological operation complex-oriented cohomology theories are well-suited for: the formation of Thom complexes. Recall the theorem from the beginning of last time:

Theorem 2.2.1 (Theorem 2.1.3). *For a complex vector bundle ξ on a space X and a complex-oriented ring spectrum E, there is a natural equivalence*

$$E \wedge T(\xi) \simeq E \wedge \Sigma_+^\infty X. \quad \square$$

Recalling also the perspective on modules as quasicoherent sheaves from Lecture 1.4, we are thus moved to study sheaves of modules on X_E which are 1–dimensional — i.e., line bundles. Having said all that, we will leave the topology for tomorrow and focus on the algebra today. We fix the following three pieces of data:

- S is our "base" formal scheme.

- C is a formal curve over S.

- $\zeta : S \to C$ is a distinguished point on C.

Recall that yesterday we defined what it meant for a subscheme to be closed. The notion of a divisor on a formal curve is a particular sort of closed subscheme:

Definition 2.2.2. An *effective Weil divisor D* on C is a closed S–subscheme of C whose structure map $D \to S$ is flat and whose ideal sheaf $\mathcal{I}_D$ is free of rank 1 as an $\mathcal{O}_S$–module. We say that the *rank* of D is n when its ring of functions $\mathcal{O}_D$ is free of rank n over $\mathcal{O}_S$.

> Cite me: Def 2.33 of AHS preprint.

Consider the case of interest to us, where we have selected a coordinate x on C. In that case, there are isomorphisms $S = \operatorname{Spec} E_*$ and $C \cong \operatorname{Spf} E^*[\![x]\!]$, so that a divisor D must be of the form $D \cong \operatorname{Spf} E^*[\![x]\!]/f$ for some f not a zero-divisor. We see then that $\mathcal{I}_D$ corresponds to the principal ideal $E^*[\![x]\!] \cdot f \cong E^*[\![x]\!]$, and D is a divisor exactly when $E^*[\![x]\!]/f$ is a flat E^*–module.

Before considering their connection to line bundles, we will study the concept of a divisor in isolation.

Lemma 2.2.3. *The scheme of such effective Weil divisors of rank n exists: $\operatorname{Div}_n^+ C$. It is a formal variety of dimension n. In fact, a coordinate x on C determines an isomorphism $\operatorname{Div}_n^+ C \cong \widehat{\mathbb{A}}^n$.*

Proof. Begin with the definition

$$\operatorname{Div}_n^+(C)(R) = \left\{ (a, D) \,\middle|\, \begin{array}{c} a : \operatorname{Spec} R \to S, \\ D \text{ is an effective divisor on } C \times_S \operatorname{Spec} R \end{array} \right\}.$$

To show that it is a formal variety, we pick a coordinate x on C and consider a point $(a, D) \in \operatorname{Div}_n^+(C)(R)$. In this case, $C \times \operatorname{Spec} R$ is presented as

$$C \times_X \operatorname{Spec} R = \operatorname{Spf} R[\![x]\!]$$

and hence D can be presented as the closed subscheme

$$D = \operatorname{Spf} R[\![x]\!]/(x^n - g(x)), \quad g(x) = \sum_{j=0}^{n-1} a_j(D) x^j.$$

One checks that $a_j(D)$ is a nilpotent element of R for all j, and hence determines a map $\operatorname{Spec} R \to \widehat{\mathbb{A}}^n$. Conversely, given such a map, we can form the polynomial $g(x)$ and hence the divisor D. $\qquad\square$

This proof lays bare the moral value of this scheme: it parametrizes collections of points on C which arise as zero loci of polynomials. It's well-known how basic operations on polynomials affect their zero loci, and these operations are also reflected on the level of divisor schemes. For instance, there is a unioning map:

Lemma 2.2.4. *There is a map*

$$\operatorname{Div}_n^+ C \times \operatorname{Div}_m^+ C \to \operatorname{Div}_{n+m}^+ C,$$
$$(D_n, D_m) \mapsto D_n \sqcup D_m. \quad \square$$

Remark 2.2.5. On the level of the polynomials g_n, g_m, and g_{n+m}, this map is given by

$$(g_n, g_m) \mapsto x^{n+m} - (x^n - g_n(x)) \cdot (x^m - g_m(x)) =: g_{n+m}(x).$$

Note that there is a canonical isomorphism $C \to \mathrm{Div}_1^+ C$. Iterating the above addition map gives the vertical map in the following triangle:

$$
\begin{array}{ccc}
 & & C^{\times n} \\
 & \swarrow & \downarrow \\
C_{\Sigma_n}^{\times n} & \overset{\cong}{\dashrightarrow} & \mathrm{Div}_n^+ C.
\end{array}
$$

Lemma 2.2.6. *The object $C_{\Sigma_n}^{\times n}$ exists, it factors the iterated addition map, and the dotted arrow is an isomorphism.*

Make a point that the other arrow is not surjective.

Proof. The first assertion is a consequence of Newton's theorem on symmetric polynomials: the subring of symmetric polynomials in $R[x_1, \ldots, x_n]$ is itself polynomial on generators

$$
\sigma_j(x_1, \ldots, x_n) = \sum_{\substack{S \subseteq \{1,\ldots,n\} \\ |S|=j}} x_{S_1} \cdots x_{S_j},
$$

and hence

$$
R[\sigma_1, \ldots, \sigma_n] \subseteq R[x_1, \ldots, x_n].
$$

Picking a coordinate on C allows us to import this fact into formal geometry to deduce the existence of $C_{\Sigma_n}^{\times n}$. The factorization then follows by noting that the iterated $\sqcup$ map is symmetric. Finally, Remark 2.2.5 shows that the horizontal map pulls the coordinate a_j back to σ_j, so the third assertion follows. $\qquad\square$

We now consider the effects of maps $q \colon C \to C'$ between curves.

Lemma 2.2.7. *Let $q \colon C \to C'$ be a map of formal curves over S, and let $D \subseteq C$ be a divisor on C. Then the composite $D \to C \to C'$, denoted $q_* D$, is also a divisor.*

Proof. The structure map map $D \to S$ is unchanged and hence still flat, and the ideal sheaf $\mathcal{I}_{q_* D} \subseteq \mathcal{O}_{C'}$ is given by tensoring up the original ideal sheaf:

$$
\mathcal{I}_{q_* D} = \mathcal{I}_D \otimes_{\mathcal{O}_C} \mathcal{O}_{C'}.
$$

Hence, it is still free of rank 1. $\qquad\square$

Remark 2.2.8. For a general map q, the pullback $D \times_{C'} C$ of a divisor $D \subseteq C'$ will not be a divisor on C. However, conditions on q can be imposed so that this is so, and in this case q is called an *isogeny*. We will return to this in the future.

Now we use the pointing $\zeta : S \to C$. Together with the $\sqcup$ map, this gives a composite

$$
\mathrm{Div}_n^+ C \longrightarrow C \times \mathrm{Div}_n^+ C \longrightarrow \mathrm{Div}_1^+ C \times \mathrm{Div}_n^+ C \longrightarrow \mathrm{Div}_{n+1}^+ C,
$$

$$
D \longmapsto (\zeta, D) \longmapsto ([\zeta], D) \longmapsto [\zeta] \sqcup D.
$$

Definition 2.2.9. We define the following variants of "stable divisor schemes":

$$\mathrm{Div}^+ C = \coprod_{n \geq 0} \mathrm{Div}_n^+ C,$$

$$\mathrm{Div}_n C = \mathrm{colim}\left(\mathrm{Div}_n^+ C \xrightarrow{[\zeta]+-} \mathrm{Div}_{n+1}^+ C \xrightarrow{[\zeta]+-} \cdots \right),$$

$$\mathrm{Div}\, C = \mathrm{colim}\left(\mathrm{Div}^+ C \xrightarrow{[\zeta]+-} \mathrm{Div}^+ C \xrightarrow{[\zeta]+-} \cdots \right)$$

$$\cong \coprod_{n \in \mathbb{Z}} \mathrm{Div}_n C.$$

Theorem 2.2.10. *The scheme* $\mathrm{Div}^+ C$ *models the free formal monoid on the formal curve C. The scheme* $\mathrm{Div}\, C$ *models the free formal group on the formal curve C.[2] The scheme* $\mathrm{Div}_0 C$ *simultaneously* models the free formal monoid and the free formal group on the pointed *formal curve C.* □

We will postpone the proof of this theorem until later, once we've developed a theory of coalgebraic formal schemes.

Remark 2.2.11. This gives another way to interpret Lemma 2.2.7. A map $q\colon C \to C'$ post-composes to give a map $C \to C' \to \mathrm{Div}\, C'$. Since the target of this map is a formal group scheme, universality induces a map $q_*\colon \mathrm{Div}\, C \to \mathrm{Div}\, C'$.

To close today, we finally link divisors to the study of line bundles.

Definition 2.2.12. Suppose that $\mathcal{L}$ is a line bundle on C and select a section u of $\mathcal{L}$. There is a largest closed subscheme $D \subseteq C$ where the condition $u|_D = 0$ is satisfied. If D is a divisor, u is said to be *divisorial* and $D = \mathrm{div}\, u$.

Lemma 2.2.13. *Let u be a divisorial section of $\mathcal{L}$. Then, u gives a trivialization of $\mathcal{L} \otimes \mathcal{I}_D$, so that* $\mathcal{L} \cong \mathcal{I}_D^{-1}$. □

Lemma 2.2.14. *This construction is suitably monoidal: if u and v are divisorial sections of $\mathcal{L}$ and $\mathcal{M}$ respectively, then $u \otimes v$ is a divisorial section of $\mathcal{L} \otimes \mathcal{M}$ and $\mathrm{div}(u \otimes v) = \mathrm{div}\, u + \mathrm{div}\, v$.* □

This Lemma induces us to consider the extension of this concept to meromorphic functions:

Definition 2.2.15. A *meromorphic divisorial section* of a line bundle $\mathcal{L}$ is a decompositon $\mathcal{L} \cong \mathcal{L}_1 \otimes \mathcal{L}_2^{-1}$ together with an expression of the form u_+/u_-, where u_+ and u_- are divisorial sections of $\mathcal{L}_1$ and $\mathcal{L}_2$ respectively. We set $\mathrm{div}(u_+/u_-) = \mathrm{div}\, u_+ - \mathrm{div}\, u_-$.

The fundamental theorem is that, in the case of a curve C, meromorphic functions (sometimes called "Cartier divisors") and Weil divisors essentially agree.

---

[2]That is, the group-completion of $\mathrm{Div}^+ C$ gives $\mathrm{Div}\, C$, even in absence of a pointing on C.

Definition 2.2.16. The ring of meromorphic functions on C, $\mathcal{M}_C$, is obtained by inverting all coordinates in $\mathcal{O}_C$.[3]

A particular meromorphic function spans a 1–dimensional $\mathcal{O}_C$–submodule sheaf of $\mathcal{M}_C$, and hence it determines a line bundle. Conversely, a line bundle is determined by local gluing data, which is exactly the data of a meromorphic function. However, it is clear that there is some overdeterminacy in this first operation: scaling a meromorphic function by a nowhere vanishing entire function will not modify the submodule sheaf. This suggests the following operation: to a meromorphic function f, we assign the difference of its zero locus and its infinite locus, considered as a divisor. This determines a map

$$\mathcal{M}_C^\times \to (\mathrm{Div}\, C)(S).$$

Definition 2.2.17. We then augment this to a scheme $\mathrm{Mer}(C, \mathbb{G}_m)$ of meromorphic functions on C by

$$\mathrm{Mer}(C, \mathbb{G}_m)(R) := \left\{ (u, f) \,\middle|\, \begin{array}{l} u : \mathrm{Spec}\, R \to S, \\ f \in \mathcal{M}_{C \times_S \mathrm{Spec}\, R}^\times \end{array} \right\}.$$

Theorem 2.2.18. *In the case of a formal curve C, there is a short exact sequence of formal groups*

$$0 \to \underline{\mathsf{FormalSchemes}}(C, \mathbb{G}_m) \to \mathrm{Mer}(C, \mathbb{G}_m) \to \mathrm{Div}(C) \to 0. \quad \square$$

2.3 Projectivization and Thom spaces

Today we will exploit all of the algebraic geometry we set up yesterday to deduce a load of topological results.

Definition 2.3.1. Let E be a complex-orientable theory and let $V \to X$ be a complex vector bundle over a space X. According to Theorem 2.1.3, the cohomology of the Thom space $E^* T(V)$ forms a 1–dimensional $E^* X$–module. We denote the associated line bundle over X_E by $\mathbb{L}(V)$.

This construction enjoys many properties already established.

Corollary 2.3.2. *If $f : X \to Y$ is a map and V is a virtual bundle over Y, then there is an isomorphism*

$$\mathbb{L}(f^* V) \cong (f_E)^* \mathbb{L}(V).$$

Using Lemma 1.1.7, there is also is a canonical isomorphism

$$\mathbb{L}(V \oplus W) = \mathbb{L}(V) \otimes \mathbb{L}(W).$$

---

[3]In fact, it suffices to invert any single one.

Finally, this property can then be used to extend the definition of $\mathbb{L}(V)$ to virtual bundles:

$$\mathbb{L}(V - W) = \mathbb{L}(V) \otimes \mathbb{L}(W)^{-1}. \quad \square$$

Remark 2.3.3. One of the main utilities of this definition is that it only uses the *property* that E is complex-orientable, and it begets only the *property* that $\mathbb{L}(V)$ is a line bundle.

The following example connects this topic with that of Lecture 2.1:

Example 2.3.4. If $\mathcal{L}$ denotes the canonical line bundle over $\mathbb{CP}^\infty$, then the zero section identifies $E^0(\mathbb{CP}^\infty)^{\mathcal{L}}$ [4] with the augmentation ideal in $E^0\mathbb{CP}^\infty$, and so we have an isomorphism $\mathbb{L}(\mathcal{L}) \cong \mathcal{I}(0)$. Then, consider the map $\varepsilon: * \to \mathbb{CP}^\infty$, which classifies a line bundle that Thomifies to $\mathbb{CP}^1 \to \mathbb{CP}^\infty$. Using naturality, we see

$$\widetilde{\pi_2 E} \cong \mathbb{L}(* \to \mathbb{CP}^\infty) \cong 0^*\mathcal{I}(0) \cong \omega_{\widehat{\mathbb{G}}_E},$$

where $\widehat{\mathbb{G}}_E = \mathbb{CP}_E^\infty$ is the formal group associated to E–theory and $\omega_{\widehat{\mathbb{G}}_E}$ is its sheaf of invariant differentials[5]. More generally, if $k\varepsilon$ is the trivial bundle of dimension k over a point, then $\mathbb{L}(k\varepsilon) \cong \omega_{\widehat{\mathbb{G}}_E}^{\otimes k}$. If $f: E \to F$ is an E–algebra (e.g., $F = E^{X_+}$), then this gives an interpretation of $\pi_{2k}F$ as $f_E^*\omega_{\widehat{\mathbb{G}}_E}^{\otimes k}$.

Aside from this example, though, this construction on its own does not allow for the ready manipulation of line bundles. However, our discussion yesterday centered on an equivalent presentation of line bundles on a formal curve: their corresponding divisors. Following that cue, we now seek out a topological construction on vector bundles $V \to X$ which produces finite schemes over X_E. A quick browse through the literature will lead one to the following:

Definition 2.3.5. Let ξ be a complex vector bundle of rank n over a base X. Define $\mathbb{P}(\xi)$, the *projectivization of ξ*, to be the $\mathbb{CP}^{n-1}$–bundle over X whose fiber of $x \in X$ is the space of complex lines in the original fiber $\xi|_x$.

Theorem 2.3.6. *Take E to be complex-oriented. The E–cohomology of $\mathbb{P}(\xi)$ is given by the formula*

$$E^*\mathbb{P}(\xi) \cong E^*(X)[\![t]\!]/c(\xi)$$

for a certain monic polynomial

$$c(\xi) = t^n - c_1(\xi)t^{n-1} + c_2(\xi)t^{n-2} - \cdots + (-1)^n c_n(\xi).$$

---

[4]What does this notation mean? I would guess it's something like maps $\mathcal{L} \to E^0(\mathbb{CP}^\infty)$, but this doesn't seem to make sense.

[5]The identification of this with the sheaf of invariant differentials is something of a choice. Certainly it is naturally isomorphic to $T_0^*\mathbb{CP}_E^\infty$, and this in turn is naturally isomorphic to $\omega_{\widehat{\mathbb{G}}_E}$, but deciding which of these two to write is a decision to be borne out as "correct".

Proof. We fit all of the fibrations we have into a single diagram:

$$
\begin{array}{ccccccc}
& & \mathbb{C}^\times & & & & \\
& & \| & \searrow & & & \\
\mathbb{C}^n & \longleftarrow & \mathbb{C}^n \setminus \{0\} & \longrightarrow & \mathbb{CP}^{n-1} & \longrightarrow & \mathbb{CP}^\infty \\
\downarrow & & \| & & \downarrow & & \| \\
& \mathbb{C}^\times & & & & & \\
& \| & \searrow & & & & \\
\xi & \longleftarrow & \xi \setminus \zeta & \longrightarrow & \mathbb{P}(\xi) & \longrightarrow & \mathbb{CP}^\infty \\
\zeta \downarrow & & \downarrow & & \downarrow \pi & & \downarrow \\
X & =\!=\!= & X & =\!=\!= & X & \longrightarrow & *.
\end{array}
$$

We read this diagram as follows: on the far left, there's the vector bundle we began with, as well as its zero-section ζ. Deleting the zero-section gives the second bundle, a $\mathbb{C}^n \setminus \{0\}$–bundle over X. Its quotient by the scaling $\mathbb{C}^\times$–action gives the third bundle, a $\mathbb{CP}^{n-1}$–bundle over X. Additionally, the quotient map $\mathbb{C}^n \setminus \{0\} \to \mathbb{CP}^{n-1}$ is itself a $\mathbb{C}^\times$–bundle, and this induces the structure of a $\mathbb{C}^\times$–bundle on the quotient map $\xi \setminus \zeta \to \mathbb{P}(\xi)$. Thinking of these as complex line bundles, they are classified by a map to $\mathbb{CP}^\infty$, which can itself be thought of as the last vertical fibration, fibering over a point.

Note that the map between these two last fibers is surjective on E–cohomology. It follows that the Serre spectral sequence for the third vertical fibration is degenerate, since all the classes in the fiber must survive.[6] We thus conclude that $E^*\mathbb{P}(\xi)$ is a free $E^*(X)$–module on the classes $\{1, t, t^2, \ldots, t^{n-1}\}$ spanning $E^*\mathbb{CP}^{n-1}$. To understand the ring structure, we need only compute $t^{n-1} \cdot t$, which must be able to be written in terms of the classes which are lower in t–degree:

$$
t^n = c_1(\xi)t^{n-1} - c_2(\xi)t^{n-2} + \cdots + (-1)^{n-1}c_n(\xi)
$$

for some classes $c_i(\xi) \in E^*X$. The theorem follows. $\qquad\square$

Look at Exercise 1.7 in COCTALOS. It might help you justify unicity of these elements.

In coordinate-free language, we have the following Corollary:

Corollary 2.3.7 (Theorem 2.3.6 redux)**.** *Take E to be* complex-orientable. *The map*

$$
\mathbb{P}(\xi)_E \to X_E \times \mathbb{CP}^\infty_E
$$

is a closed inclusion of X_E–schemes, and the structure map $\mathbb{P}(\xi)_E \to X_E$ is free and finite of rank n. It follows that $\mathbb{P}(\xi)_E$ is a divisor on $\mathbb{CP}^\infty_E$ (considered over X_E).

Be more careful about this "over X_E" thing. Maybe just emphasize that having a Chern polynomial with coefficients in E^*X really forces you to take this perspective to make things typecheck.

The next major theorems concerning projectivization are the following:

---

[6]This is called the Leray–Hirsch theorem.

Corollary 2.3.8. *The sub-bundle of $\pi^*(\xi)$ consisting of vectors $(v, (\ell, x))$ such that v lies along the line ℓ splits off a canonical line bundle.* $\qquad\square$

Corollary 2.3.9 ("Splitting principle" / "Complex–oriented descent"). *Associated to any n–dimensional complex vector bundle ξ over a base X, there is a canonical map $i_\xi\colon Y_\xi \to X$ such that $(i_\xi)_E\colon (Y_\xi)_E \to X_E$ is finite and faithfully flat, and there is a canonical splitting into complex line bundles:*

$$i_\xi^*(\xi) \cong \bigoplus_{i=1}^n \mathcal{L}_i. \quad \square$$

This last Corollary is extremely important. Its essential contents is to say that any question about characteristic classes can be checked for sums of line bundles. Specifically, because of the injectivity of i_ξ^*, any relationship among the characteristic classes deduced in $E^* Y_\xi$ must already be true in the ring $E^* X$. The following theorem is a consequence of this principle:

Theorem 2.3.10. *Again take E to be complex-oriented. The coset fibration*

$$U(n-1) \to U(n) \to S^{2n-1}$$

deloops to a spherical fibration

$$S^{2n-1} \to BU(n-1) \to BU(n).$$

The associated Serre spectral sequence

$$E_2^{*,*} = H^*(BU(n); E^* S^{2n-1}) \Rightarrow E^* BU(n-1)$$

degenerates at E_{2n} and induces an isomorphism

$$E^* BU(n) \cong E^*[\![\sigma_1, \ldots, \sigma_n]\!].$$

Now, let $\xi\colon X \to BU(n)$ classify a vector bundle ξ. Then the coefficient c_j in the polynomial $c(\xi)$ is selected by σ_j:

$$c_j(\xi) = \xi^*(\sigma_j).$$

Proof sketch. The first part is a standard calculation. To prove the relation between the Chern classes and the σ_j, the splitting principle states that we can factor complete the map $\xi\colon X \to BU(n)$ to a square

$$
\begin{array}{ccc}
Y_\xi & \xrightarrow{\;\oplus_{i=1}^n \mathcal{L}_i\;} & BU(1)^{\times n} \\
\downarrow{\scriptstyle f_\xi} & & \downarrow{\scriptstyle \oplus} \\
X & \xrightarrow{\;\xi\;} & BU(n).
\end{array}
$$

The equation $c_j(f_\xi^* \tilde{\xi}) = \tilde{\xi}^*(\sigma_j)$ can be checked in $E^* Y_{\tilde{\xi}}$. $\qquad \square$

We now see that not only does $\mathbb{P}(\xi)_E$ produce a point of $\mathrm{Div}_n^+(\widehat{\mathbb{G}}_E)$, but actually the scheme $\mathrm{Div}_n^+(\widehat{\mathbb{G}}_E)$ itself appears internally to topology:

Corollary 2.3.11. *For a complex orientable cohomology theory E, there is an isomorphism*

$$BU(n)_E \cong \mathrm{Div}_n^+ \mathbb{CP}_E^\infty,$$

so that maps $\xi \colon X \to BU(n)$ are transported to divisors $\mathbb{P}(\xi)_E \subseteq \mathbb{CP}_E^\infty \times X_E$. Selecting a particular complex orientation of E begets two isomorphisms

$$BU(n)_E \cong \widehat{\mathbb{A}}^n, \qquad\qquad \mathrm{Div}_n^+ \mathbb{CP}_E^\infty \cong \widehat{\mathbb{A}}^n,$$

and these are compatible with the centered isomorphism above.[7] $\qquad \square$

What's most remarkable about the description in this theorem is its coherence with topological facts we know about $BU(n)$. The theorem follows from the projectivization construction, but there are natural operations on both sides of the isomorphism that continue to match up. For instance, the Whitney sum map $BU(n) \times BU(m) \to BU(n+m)$ has the following behavior:

Lemma 2.3.12. *The sum map*

$$BU(n) \times BU(m) \xrightarrow{\oplus} BU(n+m)$$

induces on Chern polynomials the identity

$$c(\xi \oplus \zeta) = c(\xi) \cdot c(\zeta).$$

In terms of divisors,

$$\mathbb{P}(\xi \oplus \zeta)_E = \mathbb{P}(\xi)_E \sqcup \mathbb{P}(\zeta)_E,$$

and hence there is an induced square

$$
\begin{array}{ccc}
BU(n)_E \times BU(m)_E & \xrightarrow{\ \oplus\ } & BU(n+m) \\
\| & & \| \\
\mathrm{Div}_n^+ \mathbb{CP}_E^\infty \times \mathrm{Div}_m^+ \mathbb{CP}_E^\infty & \xrightarrow{\ \sqcup\ } & \mathrm{Div}_{n+m}^+ \mathbb{CP}_E^\infty.
\end{array}
$$
$\square$

The following is a consequence of combining this Lemma with the splitting principle:

---

[7]Something to take away from this Theorem is the *faithfulness* of this interpretation of the *E*–cohomology of vector bundles. That this map is an isomorphism means that Div_n^+ captures everything that *E*–cohomology can see. There's nothing left in the theory of characteristic classes that is left untouched.

Corollary 2.3.13. *The map $Y_E \xrightarrow{f_\xi} X_E$ pulls $\mathbb{P}(\xi)_E$ back to give*

$$Y_E \times_{X_E} \mathbb{P}(\xi)_E \cong \bigoplus_{i=1}^{n} \{c_1(\mathcal{L}_i)\}. \quad \square$$

This says that the splitting principle is a topological enhancement of the claim that a divisor can be base-changed along a finite flat map where it splits as a sum of points. The other theorems from yesterday are also easily matched up with topological counterparts:

Corollary 2.3.14. *There are natural isomorphisms $BU_E \cong \mathrm{Div}_0 \, \mathbb{CP}_E^\infty$ and $(BU \times \mathbb{Z})_E \cong \mathrm{Div} \, \mathbb{CP}_E^\infty$. Additionally, $(BU \times \mathbb{Z})_E$ is the free formal group on the curve $\mathbb{CP}_E^\infty$.* $\quad \square$

Corollary 2.3.15. *There is a commutative diagram*

$$
\begin{array}{ccc}
BU(n)_E \times BU(m)_E & \xrightarrow{\quad\otimes\quad} & BU(nm)_E \\
\| & & \| \\
\mathrm{Div}_n^+ \, \mathbb{CP}_E^\infty \times \mathrm{Div}_m^+ \, \mathbb{CP}_E^\infty & \xrightarrow{\quad\cdot\quad} & \mathrm{Div}_{nm}^+ \, \mathbb{CP}_E^\infty,
\end{array}
$$

where the bottom map acts by

$$(D_1, D_2 \subseteq \mathbb{CP}_E^\infty \times X_E) \mapsto (D_1 \times D_2 \subseteq \mathbb{CP}_E^\infty \times \mathbb{CP}_E^\infty \xrightarrow{\mu} \mathbb{CP}_E^\infty),$$

and μ is the map induced by the H-space multiplication $\mathbb{CP}^\infty \times \mathbb{CP}^\infty \to \mathbb{CP}^\infty$.

Proof. By the splitting principle, it is enough to check this on sums of line bundles. A sum of line bundles corresponds to a totally decomposed divisor, and on a pair of such divisors $\bigsqcup_{i=1}^n [a_i]$ and $\bigsqcup_{j=1}^m [b_j]$, the map acts by

$$\left(\bigsqcup_{i=1}^{n} [a_i] \right) \left(\bigsqcup_{j=1}^{m} [b_j] \right) = \bigsqcup_{i,j} [\mu_{\mathbb{CP}_E^\infty}(a_i, b_j)]. \quad \square$$

Finally, we can connect our analysis of the divisors coming from topological vector bundles with the line bundles studied at the start of the section.

Lemma 2.3.16. *Let $\zeta : X_E \to X_E \times \mathbb{CP}_E^\infty$ denote the pointing of the formal curve $\mathbb{CP}_E^\infty$, and let $\mathcal{I}(\mathbb{P}(\xi)_E)$ denote the ideal sheaf on $X_E \times \mathbb{CP}_E^\infty$ associated to the divisor subscheme $\mathbb{P}(\xi)_E$. There is a natural isomorphism of sheaves over X_E:*

$$\zeta^* \mathcal{I}(\mathbb{P}(\xi)_E) \cong \mathbb{L}(\xi). \quad \square$$

Remark 2.3.17. In terms of a complex-oriented E and Theorem 2.3.6, the effect of pulling back along the zero section is to set $t = 0$, which collapses the Chern polynomial to just the top class $c_n(\xi)$. This element, called *the Euler class of ξ*, provides the E^*X–module generator of $E^*T(\xi)$ — or, equivalently, the trivializing section of $\mathbb{L}(\xi)$.

Theorem 2.3.18. *A trivialization $t\colon \mathbb{L}(\mathcal{L}-1) \cong \mathcal{O}_{\mathbb{CP}^\infty_E}$ of the Thom sheaf associated to the canonical bundle induces a ring map $MU \to E$.*

Proof. Suppose that ξ is a rank n vector bundle over X, and let $f\colon Y \to X$ be the space guaranteed by the splitting principle to provide an isomorphism $f^*\xi \cong \bigoplus_{j=1}^{n}\mathcal{L}_j$. The chosen trivialization t then pulls back to give a trivialization of $\mathcal{I}(\mathbb{P}(f^*\xi)_E)$, and by finite flatness this descends to also give a trivialization of $\mathcal{I}(\mathbb{P}(\xi)_E)$. Pulling back along the zero section gives a trivialization of $\mathbb{L}(\xi)$. Then note that the system of trivializations produced this way is multiplicative, as a consequence of $\mathbb{P}(\xi \oplus \zeta)_E \cong \mathbb{P}(\xi)_E \sqcup \mathbb{P}(\zeta)_E$. In the universal examples, this gives a sequence of compatible maps $MU(n) \to E$ which assemble on the colimit $n \to \infty$ to give the desired map of ring spectra. $\qquad\square$

I think another definition of the Thom space is as the cofiber of $\mathbb{P}(V) \to \mathbb{P}(V \oplus \mathbb{C})$. This might come in handy.

Say that the top Chern class is the Euler class / the Thom class.

2.4 Operations and a model for cobordism

Our eventual goal, like in Case Study 1, is to give an algebro-geometric description of $MU_*(*)$ and of the cooperations MU_*MU. There is such a description that passes through the Adams spectral sequence, also like last time, but $MU_*(*)$ is an integral algebra and so we cannot make do with working out the mod–2 Adams spectral sequence alone. We would have to at least work out the mod–p Adams spectral sequence for every p, but there is the following unfortunate theorem:

Theorem 2.4.1. *There is an isomorphism*

$$H\mathbb{F}_p{}_*P_0H\mathbb{F}_pP \cong \mathbb{F}_p[\xi_0^{\pm}, \xi_1, \xi_2, \ldots] \otimes \Lambda[\tau_0, \tau_1, \ldots]$$

with $|\xi_j| = 2p^j - 2$ and $|\tau_j| = 2p^j - 1$. $\qquad\square$

There are odd–dimension classes in this algebra, and because we are no longer working in characteristic 2 we see that the dual mod–p Steenrod algebra is *graded-commutative*. This is the first time we have encountered Hindrance #4 from Lecture 1.3 in the wild, and for now we will simply avoid these methods and find another approach.

There is such an alternative proof, due to Quillen, that bypasses the Adams spectral sequence. This approach has some deficiencies of its own: it requires studying the algebra of operations MU^*MU, which we do not expect to be at all commutative, and it requires studying *power operations*, which are in general very technical creatures. However, we will eventually want to talk about power operations anyway, and because this is the road less traveled we will elect to take it. Our job today is to define these two kinds of cohomology operations, as well as revisit the model of complex cobordism Quillen uses.

The description of the first class of operations follows immediately from our discussion of complex cobordism up to this point, so we will begin there. We learned in Corollary 2.3.11 that for any complex-oriented cohomology theory E we have the calculation

$$E^* BU \cong E^*[\![\sigma_1, \sigma_2, \ldots, \sigma_j, \ldots]\!],$$

and we gave a rich interpretation of this in terms of divisor schemes:

$$BU_E \cong \mathrm{Div}_0 \, \mathbb{CP}^\infty_E.$$

Two lectures ago, we learned that the stable divisor scheme has a universal property: it is the free formal group on the formal curve $\mathbb{CP}^\infty_E$. Another avatar of this same fact is a description of the *homology ring*, using the maps

$$E_* BU(n) \otimes E_* BU(m) \to E_* BU(n+m)$$

to induce a multiplicative structure on $E_* BU$:

Corollary 2.4.2. *Let E be a complex-orientable cohomology theory. Then:*

$$E_* BU \cong \mathrm{Sym}_{E_*} \widetilde{E}_* \mathbb{CP}^\infty.$$

A specific complex orientation of E begets

$$E_* \mathbb{CP}^\infty \cong E_* \{\beta_0, \beta_1, \ldots, \beta_n, \ldots\}$$

and hence

$$E_* BU \cong \mathrm{Sym}_{E_*} E_* \{\beta_1, \beta_2, \ldots\} = E_* [b_1, b_2, \ldots]. \qquad \square$$

Thomifying these "$\oplus$" maps gives maps

$$E_* MU(n) \otimes E_* MU(m) \to E_* MU(n+m),$$

and the naturality of the E–Thom isomorphism produces an additional corollary:

Corollary 2.4.3. *The Thom isomorphism $E_* BU \cong E_* MU$ respects both the E_*–module structure and the ring structure. Hence,*

$$E_* MU \cong E_* [c_1, c_2, \ldots, c_n, \ldots],$$

where c_j is the image of b_j under the Thom map. $\qquad \square$

This compact description of $E_* MU$ as an algebra will be useful to us later, but right now we are interested in $E^* MU$ and especially in $MU^* MU$. The former is *not* a ring, and although the latter is a ring its multiplication is exceedingly complicated. Instead, we will content ourselves with an E_*–module basis:

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Definition 2.4.4. Let $\alpha = (\alpha_1, \ldots, \alpha_n, \ldots)$ denote a multi-index where every entry is non-negative and almost every entry is zero, and let c_α denote the corresponding monomial

$$c_\alpha = \prod_{j=1}^{\infty} c_j^{\alpha_j}.$$

Additionally, we let $s_\alpha \in E^* MU$ denote the image of c_α under the duality isomorphism

$$E^* MU = \mathsf{Modules}_{E_*}(E_* MU, E_*).$$

It is called the αth *Landweber–Novikov operation* (from MU to E).

Remark 2.4.5. Let $E = MU$. The Landweber–Novikov operations are the *stable* operations acting on MU–cohomology, analogous to the Steenrod operations we started the semester talking about. They satisfy the following properties:

- s_0 is the identity.

- s_α is natural: $s_\alpha(f^* x) = f^*(s_\alpha x)$.

- s_α is stable: $s_\alpha(\sigma x) = \sigma(s_\alpha x)$.

- s_α is additive: $s_\alpha(x + y) = s_\alpha(x) + s_\alpha(y)$.

- s_α satisfies a Cartan formula. Define

$$s_{\mathbf{t}}(x) := \sum_\alpha s_\alpha(x) \mathbf{t}^\alpha := \sum_\alpha s_\alpha(x) \cdot t_1^{\alpha_1} t_2^{\alpha_2} \cdots t_n^{\alpha_n} \cdots$$

  for an infinite sequence of indeterminates $t_1, t_2, \ldots$. Then:

$$s_{\mathbf{t}}(xy) = s_{\mathbf{t}}(x) \cdot s_{\mathbf{t}}(y).$$

- Let $\xi \colon X \to BU(n)$ classify a vector bundle and let φ denote the Thom isomorphism

$$\varphi \colon MU^* X \to MU^* T(\xi).$$

  Then the Chern classes of ξ are related to the Landweber–Novikov operations on the Thom spectrum by the formula

$$\sum_\alpha \varphi c_\alpha(\xi) \mathbf{t}^\alpha = \sum_\alpha s_\alpha \varphi(1) \mathbf{t}^\alpha.$$

We now turn to the construction of the other cohomology operations we will be interested in: the power operations. Power operations get their name from their *multiplicative* properties, and correspondingly we do not (*a priori*) expect them to be additive operations, so they are quite distinct from the Landweber–Novikov operations. Power operations arise from "E_∞" structures on ring spectra[8], but most such structures arise in nature from geometric models of cohomology theories. To produce them for complex cobordism, we will return to the geometry of complex vector bundles.

Definition 2.4.6 ([43, Definition 7.4]). Suppose that $\xi \colon X \to BU(k)$ presents a complex vector bundle of rank k on X. The n–fold direct sum of this bundle gives a new bundle

$$X^{\times n} \xrightarrow{\xi^{\oplus n}} BU(k)^{\times n} \to BU(n \cdot k)$$

of rank nk on which the cyclic group C_n acts. By taking the C_n–quotient, we produce a vector bundle $\xi(n)$ on $X_{hC_n}^{\times n}$ participating in the diagram

$$
\begin{array}{ccccc}
X^{\times n} & \xrightarrow{\xi^{\oplus n}} & BU(k)^{\times n} & \longrightarrow & BU(nk) \\
\downarrow & & \downarrow & & \\
X_{hC_n}^{\times n} & \xrightarrow{\xi(n)} & BU(k)_{hC_n}^{\times n}. &
\end{array}
$$

The universal case gives the highlighted map.

Lemma 2.4.7. *There is an isomorphism of Thom spectra*

$$T(\xi(n)) \simeq (T\xi)_{hC_n}^{\wedge n}. \quad \square$$

Applying the Lemma to the universal case, together with Lemma 1.1.7, produces a factorization

$$MU(k)^{\wedge n} \to MU(k)_{hC_n}^{\wedge n} \to MU(nk)$$

of the unstable multiplication map, and hence a stable factorization

$$MU^{\wedge n} \to MU_{hC_n}^{\wedge n} \xrightarrow{\mu} MU$$

Such factorizations are what beget *power operations*, which we will now define in the case at hand.

Definition 2.4.8. Starting with a class

$$f \colon \Sigma^{2r} \Sigma_+^\infty X \to MU,$$

we apply $(-)_{hC_n}^{\wedge n}$ to produce the composite

---

[8]Or, by some accounts, "H_∞" structures.

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$$(\Sigma^{2r}\Sigma^\infty_+ X)^{\wedge n}_{hC_n} \xrightarrow{f^{\wedge n}_{hC_n}} MU^{\wedge n}_{hC_n} \xrightarrow{\mu} MU$$

$$\left\| \right.$$

$$\Sigma^{2nr}(\Sigma^\infty_+ X)^{\wedge n}_{hC_n}. \qquad \searrow{P^n_{ext}(f)}$$

This defines the *external n^{th} Steenrod power of f.* Employing the diagonal map on X, we can also pull back to get a map

$$P^n(f)\colon \Sigma^{2nr}\Sigma^\infty_+ X \wedge \Sigma^\infty_+ BC_n \simeq \Sigma^{2nr}X_{hC_n} \xrightarrow{\Delta_{hC_n}} \Sigma^{2nr}X^{\wedge n}_{hC_n} \xrightarrow{P^n_{ext}(f)} MU.$$

This defines the *internal n^{th} Steenrod power of f.*

Remark 2.4.9. Upon restriction to the basepoint in BC_n, $P^n(f)$ reduces to the n–fold internal cup product f^n.

We now turn to the construction of the other cohomology operations we will be interested in: the power operations. Power operations get their name from their *multiplicative* properties, and correspondingly we do not (*a priori*) expect them to be additive operations, so they are quite distinct from the Landweber–Novikov operations. Power operations arise from "E_∞" structures on ring spectra[9], but most such structures arise in nature from geometric models of cohomology theories. To produce them for complex cobordism, we will use a particular model, alluded to in Case Study 0.

Definition 2.4.10. Let $f : Y \to X$ be a map of manifolds. A *complex-orientation on the map* f is the data of a factorization

$$\begin{array}{ccc} & & E \\ & \nearrow{i} & \downarrow \\ Y & \xrightarrow{f} & X \end{array}$$

through a complex vector bundle E on X such that i is an embedding and its normal bundle ν_i has a complex structure. Two such factorizations are *equivalent* when they appear as subbundles of a larger bundle and the embeddings are isotopic, compatibly with the structures on their normal bundles.

Lemma 2.4.11. *For $\dim E \gg 0$, this equivalence class is unique, if it exists.* $\qquad \square$

---

[9] Or, by some accounts, "H_∞" structures.

Definition 2.4.12. Two complex-oriented maps $f_0\colon Y_0 \to X$ and $f_1\colon Y_1 \to X$ are called *cobordant* when there is a complex-oriented map $W \to X \times \mathbb{R}$ and elements $b_0, b_1 \in \mathbb{R}$ such that

$$
\begin{array}{ccc}
Y_0 \longrightarrow X \times \{b_0\} \qquad & Y_1 \longrightarrow X \times \{b_1\} \\
\downarrow \qquad\qquad \downarrow & \downarrow \qquad\qquad \downarrow \\
W \longrightarrow X \times \mathbb{R} \qquad & W \longrightarrow X \times \mathbb{R}
\end{array}
$$

become pull-back squares of complex-oriented maps of manifolds.

Theorem 2.4.13 (Thom)**.** *For a manifold X, $MU^{-q}(X)$ is canonically isomorphic to the cobordism classes of complex-oriented maps of dimension q.* $\qquad\square$

Remark 2.4.14. This model has a variety of nice features. For instance, its two variances are visible from the construction. For a map $g\colon X' \to X$, there is an induced map $g^*\colon MU^*X \to MU^*X'$ given by selecting a class $f\colon Y \to X$, perturbing g so that it is transversal to f, and taking the pullback

$$
\begin{array}{ccc}
Y \times_X X' & \longrightarrow & Y \\
\downarrow & & \downarrow f \\
X' & \xrightarrow{\ g\ } & X.
\end{array}
$$

But, also, if g is additionally proper and complex-orientable, then it induces a wrong way map

$$
g_*\colon MU^{-q}X' \to MU^{-q-d}X,
$$

where d is the dimension of g. This is simply by postcomposition: a representative $f'\colon Y' \to X'$ begets a new representative $g_*f' = g \circ f'$. This construction goes by various names: the *Gysin map*, the *complex-oriented pushforward*, the *shriek map*, ...

Additionally, these push and pull maps are related:

Lemma 2.4.15. *Consider a Cartesian square of manifolds*

$$
\begin{array}{ccc}
Y \times_X Z & \xrightarrow{\ g'\ } & Z \\
\downarrow {\scriptstyle f'} & & \downarrow {\scriptstyle f} \\
Y & \xrightarrow{\ g\ } & X,
\end{array}
$$

where g is transversal to f, f is proper and complex-oriented, and f' is endowed with the pull-back of the complex orientation of f. Then

$$
g^* f_* = f'_*(g')^*\colon MU^{-q}(Z) \to MU^{-q-d}(Y). \qquad \square
$$

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We are now in a position to describe the power operations.

Definition 2.4.16. Consider a class in $MU^{-2q}(X)$ represented by a proper complex-oriented map $f\colon Y \to X$. Its n–fold Cartesian product determines a class $f^{\times n}\colon Y^{\times n} \to X^{\times n}$, and taking the homotopy quotient by a group G acting transitively on $\{1, \dots, n\}$ gives a class

$$Y^{\times n} \to X^{\times n} \to EG \times_G X^{\times n}$$

and hence an *external power operation*

$$P^{\text{ext}}\colon MU^{-2q}(X) \to MU^{-2qn}(EG \times_G X^{\times n}).$$

Pulling back along the diagonal $\Delta : X \to X^{\times n}$ gives the the *internal power operation*

$$P\colon MU^{-2q}(X) \to MU^{-2qn}(BG \times X).$$

Its action on the class represented by a proper complex-oriented even-dimensional map $f : Z \to X$ can also be written as

$$P(f_*1) = \Delta^* f^{\times n}_{hG} {}_* 1.$$

Remark 2.4.17. It's apparent that we've really needed this geometric model to accomplish this construction: we needed to understand how to take Cartesian powers of maps in a way that inherited a G–action. This is not data that a ring spectrum is naturally equipped with, and if we were to tease out exactly what extra information we need to encode this operation, we would eventually arrive at the notion of an E_∞–ring spectrum.

Remark 2.4.18. A picky reader will (rightly) point out that BG is not a manifold, and so we shouldn't be mixing it with out geometric model for MU. This is a fair point, but since BG can be approximated through any cellular dimension by a manifold, we won't worry about it.

Remark 2.4.19. The chain model for ordinary homology is actually rigid enough to define power operations there, too. Curiously, they are all generated by the quadratic power operations (i.e., the "squares"), and all the quadratic power operations turn out to be *additive* — that is, you just get the Steenrod squares again! This appears to be a lucky degeneracy, but tomorrow we will exploit something very similar with a particular power operation in complex cobordism.

2.5 An incidence relation among operations

Our goal today is to apply a version of Lemma 2.4.15 to the push-pull definition of the power operation for MU given in Definition 2.4.16. The relevant Cartesian square in that case has the form

$$\begin{array}{ccc} W & \longrightarrow & EG \times_G Y^{\times k} \\ \downarrow{\scriptstyle g} & & \downarrow{\scriptstyle f_{hG}^{\times k}} \\ BG \times X & \xrightarrow{\Delta} & EG \times_G X^{\times k}. \end{array}$$

However, since we have so little control over vertical map $f_{hG}^{\times k}$, we can't rely on the other hypotheses of Lemma 2.4.15 to be satisfied. So, we investigate the following slightly more general situation.

Definition 2.5.1. Let X be a manifold. Two closed submanifolds Y and Z are said to *intersect cleanly* when $W = Y \cap Z$ is a submanifold and for each $w \in W$, the tangent space of W at w is given by $T_w W = T_w Y \cap T_w Z$. In this case, we draw a Cartesian square

$$\begin{array}{ccc} W & \xrightarrow{j'} & Z \\ \downarrow{\scriptstyle i'} & & \downarrow{\scriptstyle i} \\ Y & \xrightarrow{j} & X. \end{array}$$

The *excess bundle* of the intersection, F, is defined by the exact sequence

$$\begin{array}{ccc} & & (i')^* TY \\ & \nearrow & \searrow \\ 0 \longrightarrow \nu_{i'} & \longrightarrow & (j')^* \nu_i \longrightarrow F \longrightarrow 0. \end{array}$$

Remark 2.5.2. The submanifolds Y and Z intersect transversally exactly when $F = 0$.

The proof of the following Lemma is fairly easy, but geometric, so we omit it.

Lemma 2.5.3 ([36, Proposition 3.3]). *Suppose that $\nu_{i'}$, ν_i, and F are endowed with complex structures compatible with this exact sequence. For $z \in MU^*(Z)$,*

$$j^* i_* z = i'_* (e(F) \cdot (j')^* z)$$

in $MU^{*+a}(Y, Y \setminus W)$, where $a = \dim \nu_i$. □

Now let G be a finite group and let $i \colon Z \to X$ be an embedding of G–manifolds. Then the G–fixed submanifold X^G and Z intersect cleanly in the diagram

$$
\begin{array}{ccc}
Z^G & \xrightarrow{r_Z} & Z \\
\downarrow{\scriptstyle i^G} & & \downarrow{\scriptstyle i} \\
X^G & \xrightarrow{r_X} & X.
\end{array}
$$

Since $r_Z^*(\nu_i)$ is a G–bundle over a trivial G space, there is a decomposition $r_Z^*(\nu_i) = \nu_{i^G} \oplus \mu_i$, where ν_{i^G} has no G–action and $\mu_i = F$, the excess bundle, carries all of the nontrivial G–action. Applying $EG \times_G (-)$ to the diagram and picking $z \in MU^*(EG \times_G Z)$, Lemma 2.5.3 then gives

$$
r_X^* i_* z = i_*^G (e(\mu_i) \cdot r_Z^* z) \in MU^*(BG \times X^G, (BG \times X^G) \setminus (BG \times Z^G)).
$$

Replacing the embedding condition with orientability, this gives the following:

Lemma 2.5.4 ([36, Proposition 3.8]). *Let $f \colon Z \to X$ be a proper complex-oriented G-map, represented by a factorization*

$$
Z \xrightarrow{i} E \xrightarrow{p} X.
$$

Let $\mu(E)$ be excess summand of $r_X^* E$ corresponding to the part of E on which G acts nontrivially, where, as before, r_X is the inclusion of the fixpoint submanifold $X^G \subseteq X$. Then, for $z \in MU^*(EG \times_G Z)$, we have:

$$
e(\mu(E)) \cdot r_X^* f_* z = f_*^G(e(\mu_i) \cdot r_Z^* z) \in MU^*(BG \times X^G). \quad \square
$$

We are now in a position to apply Lemma 2.5.4 to our power operation square.

Lemma 2.5.5 ([36, Proposition 3.12]). *Suppose G acts transitively on $\{1, \ldots, k\}$ and let ρ denote the induced reduced regular G-representation. Suppose that $f : Z \to X$ is a proper complex-oriented map of dimension $2q$ and that m is an integer larger than the dimension of Z, so that $m\varepsilon + \nu_f$ is a vector bundle over Z, well-defined up to isomorphism, where ε is the trivial complex line bundle. Then*

$$
e(\rho)^m P(f_* 1) = f_* e(\rho \otimes (m\varepsilon + \nu_f)) \in MU^{2m(k-1)-2qk}(BG \times X).
$$

Proof. We can take m large enough that the complex-orientation on f can be represented by a factorization

$$
Z \xrightarrow{i} m\varepsilon \xrightarrow{p} X,
$$

and consider its kth power

$$
Z^{\times k} \xrightarrow{i^{\times k}} (m\varepsilon)^{\times k} \xrightarrow{p^{\times k}} X^{\times k}.
$$

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We calculate the excess bundles to be

$$\mu_{i \times k} = \rho \otimes \nu_i, \qquad\qquad \mu((m\varepsilon)^{\times k}) = \rho \otimes m\varepsilon.$$

Since G acts transitively, $\Delta : X \to X^{\times k}$ represents the inclusion of the G–fixed points. Packaging all this into Lemma 2.5.4 gives

$$e(\rho \otimes m\varepsilon) \cdot \Delta^* f^{\times k}_{hG\,*}(1) = f_*(e(\rho \otimes \nu_i) \cdot r^*_{W \to Z^{\times k}}(1)).$$

We then investigate each part separately:

$$e(\rho \otimes m\varepsilon) = e(\rho^{\oplus m}) = e(\rho)^m, \qquad\qquad \Delta^* f^{\times k}_{hG\,*}(1) = P(f_* 1),$$
$$e(\rho \otimes \nu_i) = e(\rho \otimes (m\varepsilon + \nu_f)), \qquad\qquad r^*_{W \to Z^{\times k}}(1) = 1$$

from which the claim follows. $\qquad\square$

The utility of this theorem comes from our ability to compute just a little bit about the Euler classes involved in its statement.

Corollary 2.5.6 ([36, Proposition 3.17]). *Specialize to $G = C_k$, and let η denote the line bundle on BG owing to the inclusion $C_k \subseteq U(1)$. Set $e(\eta) = v$ and $e(\rho) = w$. Then, the Steenrod operation and Landweber operations are related by the formula*

$$w^{r+q} P x = \sum_{|\alpha| \le r} w^{r - |\alpha|} a(v)^\alpha s_\alpha(x)$$

for $x \in MU^{-2q}(X)$ and r is any integer sufficiently large with respect to $\dim X$ and q, where $a_j(T)$ are power series with coefficients in the subring C generated by the coefficients of the tautological formal group law on $MU^*(*)$.

Proof. The bundle ρ splits as $\bigoplus_{i=1}^{k-1} \eta^{\otimes i}$. Then, if $\mathcal{L}$ is any other line bundle with a trivial G–action,

$$e(\rho \otimes \mathcal{L}) = e\left(\bigoplus_{i=1}^{k-1} \eta^i \otimes \mathcal{L} \right) = \prod_{i=1}^{k-1} e(\eta^i \otimes \mathcal{L})$$
$$= \prod_{i=1}^{k-1} F([i]_F(v), e(\mathcal{L})) = w + \sum_{j=1}^{\infty} a_j(v) e(\mathcal{L})^j,$$

where

$$w = e(\rho) = (k-1)! v^{k-1} + \sum_{j \ge k} b_j v^j$$

for $b_j \in C$. In general, the splitting principle shows that $e(\rho \otimes E)$ has

$$e(\rho \otimes E) = \sum_{|\alpha| \le r} w^{r - |\alpha|} a(v)^\alpha c_\alpha(E).$$

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Setting $E = m\varepsilon + \nu_f$, we calculate $r = \dim(m\varepsilon + \nu_f) = m - q$. Inserting this into Lemma 2.5.5 then gives

$$w^m P(f_*1) = f_* \left(\sum_{|\alpha| \leq r} w^{r-|\alpha|} a(v)^\alpha c_\alpha(m\varepsilon + \nu_f) \right)$$

$$w^{r+q} P(f_*1) = \sum_{|\alpha| \leq r} w^{r-|\alpha|} a(v)^\alpha f_* c_\alpha(m\varepsilon + \nu_f)$$

$$= \sum_{|\alpha| \leq r} w^{r-|\alpha|} a(v)^\alpha s_\alpha(f_*1). \qquad \square$$

This formula is quite remarkable — it says that a certain power operation defined for MU is, in fact, additive and stable (after multiplying by w some)! This is certainly not the case in general, and I'm not aware of an *a priori* reason to expect this to have happened all along. Tomorrow, we will use it to power an induction to say something about the coefficient ring MU_*.

Our goal today is to calculate the effect of our power operation P^n on MU–cohomology classes. Because of the definition $MU = \operatorname{colim}_k MU(k)$, it will suffice for us to study the effect of P^n on certain universal classes in $MU^* MU(k)$, beginning with the canonical orientation $x \in MU^2 \mathbb{CP}^\infty$.

The $MU(1)$ calculation.

For whatever reason, we're interested in the tautological bundle $\mathcal{L}$ on $\mathbb{CP}^\infty$, as well as its n–fold internal direct sum $\mathcal{L}^{\oplus n}$. In our discussion yesterday, this gave rise to a bundle $\mathcal{L}(n)$ and an associated MU–characteristic class $c_n \mathcal{L}(n)$ according to the diagram

$$\mathbb{CP}^\infty_{hC_n} \to (\mathbb{CP}^\infty)^{\times n}_{hC_n} \to \Sigma^{2n} MU^{\wedge n}_{hC_n} \to \Sigma^{2n} MU.$$

The bundle $\mathcal{L}^{\oplus n}$ carries a C_n–action by permutation of the factors, and it is just as well to say that $\mathcal{L}^{\oplus n} \cong \mathcal{L} \otimes \pi^* \rho$, where ρ is the regular representation of C_n (considered as a vector bundle over a point) and $\pi \colon \mathbb{CP}^\infty \to *$ is the constant map. The regular representation for C_n is accessible by character theory: for $\chi \colon U(1)[n] \to U(1)$ the generating character, there is a decomposition $\rho \cong \bigoplus_{j=0}^{n-1} \chi^{\otimes j}$ of the associated vector bundles, and hence

$$\mathcal{L}^{\oplus n} \cong \mathcal{L} \otimes \pi^* \rho \cong \mathcal{L} \otimes \bigoplus_{j=0}^{n-1} \pi^* \chi^{\otimes j} \cong \bigoplus_{j=0}^{n-1} \mathcal{L} \otimes \pi^* \chi^{\otimes j}.$$

The C_n–action can equivalently be considered as presenting these as bundles over $\mathbb{CP}^\infty \times BC_n$. In these terms, the above decomposition formula becomes

$$\mathcal{L}(n) = \bigoplus_{j=0}^{n-1} \pi_1^*\mathcal{L} \otimes \pi_2^*\eta^{\otimes j},$$

where η is the bundle classified by $\eta\colon BC_n \to BU(1)$. This gives us access to $c_n\mathcal{L}(n)$ as the top Chern class of this bundle, and hence the Euler class:

$$c_n\mathcal{L}(n) = e\left(\bigoplus_{j=0}^{n-1} \pi_1^*\mathcal{L} \otimes \pi_2^*\eta^{\otimes j}\right) = \prod_{j=0}^{n-1} e\left(\pi_1^*\mathcal{L} \otimes \pi_2^*\eta^{\otimes j}\right) = \prod_{j=0}^{n-1}(x +_{MU} [j]_{MU}(t)),$$

where x is the Euler class $\mathcal{L}$, a/k/a the canonical coordinate on $\mathbb{CP}^\infty_{MU}$, and t is the Euler class of η, a/k/a the induced coordinate on $(BC_n)_{MU}$, using

$$MU^*(\mathbb{CP}^\infty \times BC_n) \cong MU^*[\![x,t]\!]/[n]_{MU}(t).$$

We can identify many of the component pieces of this formula by rewriting it as a sum in powers of t:

$$P^n(x) = w + \sum_{j=1}^{\infty} a_j(t)x^j,$$

where $a_j(t)$ is a series with coefficients in the subring spanned by the coefficients of the universal formal group law and

$$w = e(\rho) = (n-1)!t^{n-1} + \sum_{j\geq n} b_j t^j$$

is the Euler class of the regular representation and, again, b_j lie in the subring spanned by the coefficients of the universal formal group law.

The next goal is to understand power operations on the canonical class in $MU(m)$. The first task is to rewrite the formula above into one that looks somewhat odd, but which is amenable to application to direct sums:

$$P^n(x) = \sum_{|\alpha|\leq 1} w^{1-|\alpha|}a_\alpha(t)s_\alpha(x).$$

The main point now is to use the splitting principle, which says

$$P^{nm}(U_m) = \overbrace{P^n(U_1) \cdot \cdots \cdot P^n(U_1)}^{m \text{ times, suitably interpreted}} = \sum_{|\alpha|\leq m} w^{m-|\alpha|}a_\alpha(v)s_\alpha(U_m).$$

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In general, given a finite pointed space X and a class $f \in \widetilde{MU}^{2q}(X)$, we can take m large enough so that f is represented by an unstable map

$$g \colon \Sigma^{2m} X \to MU(m + q).$$

Then $g^* U_{m+q} = \sigma^{2m} f$ for σ the suspension homomorphism, and since $P^{nm}(\sigma^{2m}) = w^m \sigma^{2m}$ we have

$$w^m P^{nm}(f) = P^{nm}(\sigma^{2m} f) = \sum_{|\alpha| \leq q} w^{q+m-|\alpha|} a_\alpha(t) s_\alpha(f).$$

That is, this operation P^{nm} is *almost* expressible in terms of the Landweber–Novikov operations, up to some w–torsion.

You can put a remark here about how power operations become additive after passing to the Tate construction, now that you sort of understand this. Or, you can put a forward reference in to where you're going to talk about this, way out in the Power Operations chapter.

2.6   Quillen's theorem

With Corollary 2.5.6 in hand, we deduce Quillen's major structural theorem about MU_*. We will continue to use the following notations:

- C is the subring of MU_* generated by the coefficients of the formal group law associated to the identity complex–orientation.

- $G = C_k$ acts by cyclic permutation on $\{1, \ldots, k\}$. In particular, the action is transitive.

- ρ is the associated reduced regular representation of rank $k - 1$, and $w = e(\rho)$ its Euler class.

- $\eta \colon BC_k \to BU(1)$ is the associated line bundle, and $v = e(\eta)$ its Euler class.

Theorem 2.6.1 ([36, Theorem 5.1]). *If X has the homotopy type of a finite complex, then*

$$MU^*(X) = C \cdot \sum_{q \geq 0} MU^q(X),$$

$$\widetilde{MU}^*(X) = C \cdot \sum_{q > 0} MU^q(X).$$

Proof. We can focus on the claim

$$\widetilde{MU}^{2*}(X) \overset{?}{=} C \cdot \sum_{q > 0} MU^{2q}(X) =: R^{2*},$$

since $MU^{2*+1}(*) = 0$ and $\widetilde{MU}^{2*+1}(X)$ can be handled by suspending X once, and then the unreduced case follows directly. We will show this by working p–locally and inducting on the value of "$*$".Suppose that

Remark on the base case: in all the negative dimensions, the claim is trivial.

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$$R_{(p)}^{-2j} = \widetilde{MU}^{-2j}(X)_{(p)}$$

for $j < q$ and consider $x \in \widetilde{MU}^{-2q}(X)$. Then, for $n \gg 0$, we have

$$w^{n+q}Px = \sum_{|\alpha| \le n} w^{n-|\alpha|}a(v)^{\alpha}s_{\alpha}x.$$

Recall that w is a power series in v with coefficients in C and leading term $(p-1)!v^{p-1}$, so that $v^{p-1} = w \cdot \theta(v)$ for some invertible series θ with coefficients in C. Since s_{α} lowers degree, we have $s_{\alpha}x \in R$ by the inductive hypothesis, so we may write

$$v^m(w^q Px - x) = \psi_x(v)$$

with $\psi_x(T) \in R_{(p)}[\![T]\!]$.

Suppose $m \ge 1$ is the least integer for which we can write such an equation — we will show $m = 1$ in a moment. Applying the inclusion $i\colon X \to X \times B\mathbb{Z}/p$ to this equation sets $v = 0$ and yields $\psi_x(0) = 0$, hence $\psi_x(T) = T\varphi_x(T)$ and

$$v(v^{m-1}(w^q Px - x) - \varphi_x(v)) = 0.$$

Since v annihilates this equation, we can use the Gysin sequence associated to the spherical bundle

$$S^1 \to S(\eta) \to BC_p$$

to produce a class $y \in \widetilde{MU}^{2(m-1)-2q}(X)$ with

$$v^{m-1}(w^q Px - x) = \varphi_x(x) + y\langle p \rangle(v).$$

If $m > 1$, then $y \in R_{(p)}$ for degree reasons and hence the right-hand side gives an equation contradicting our minimality hypothesis. So, $m = 1$, and the outer factor of v^{m-1} is not present in the last expression. Restricting along i again, we obtain the equation

$$\left.\begin{array}{ll} -x & \text{if } q > 0 \\ x^p - x & \text{if } q = 0 \end{array}\right\} = \varphi_x(0) + py.$$

In the first case, where $q > 0$, it follows that $MU^{-2q}(X) \subseteq R^{-2q} + pMU^{-2q}(X)$, and since $MU^{-2q}(X)$ has finite order torsion, it follows that $MU^{-2q}(X) = R^{-2q}$. In the other case, x can be rewritten as a sum of things in R^0, things in $pMU^0(X)$, and things in $(MU^0)^p$. Since the ideal $\widetilde{MU}^0(X)$ is nilpotent, it follows that $\widetilde{MU}^0(X) = R^0$, and induction proves the theorem. $\qquad\square$

Corollary 2.6.2. *The coefficients of the formal group law span MU_*.* $\qquad\square$

Remark 2.6.3. This proof actually also goes through for *MO* as well. In that case, it's even easier, since the equation $2 = 0$ in $\pi_0 MO$ causes much of the algebra to collapse. One can try to further perturb this proof in two ways:

1. One can try to replace the identity complex–orientation $MU \xrightarrow{\text{id}} MU$ with a nontrivial complex–orientation $MU \xrightarrow{\varphi} E$ which is suitably compatible with power operations. It would be nice to understand why this doesn't give more information about E than what's visible in the Hurewicz image of φ. Or, conversely, it would be nice to understand a proof of Mahowald's theorem that the free E_2–algebra with $p = 0$ is $H\mathbb{F}_p$, which this proof portends to give information about.

   > Straighten this out.

2. One can also try to replace *MO* and *MU* with *MSp* or *MSO*. These, too, have presentations in terms of bordism theories and hence similar power operations to the ones we used above. On the other hand, the Euler classes in *MSp*–theory, while simple, are not so well-behaved, because they are not controlled by a formal group law. Characteristic classes in *MSO*–theory are not even simple.

   > This isn't well-stated either.

We now have a foothold on $\pi_* MU$, and this alone is enough to move us to study $\mathcal{M}_{\text{fgl}}$, the moduli scheme of formal group laws. However, while we're here, it's possible for us to prove the rest of Quillen's theorem, if we get just slightly ahead of ourselves and assume one algebraic fact about $\mathcal{O}_{\mathcal{M}_{\text{fgl}}}$. The place to start is with the following topological observation about mixing complex–orientations:

Lemma 2.6.4 ([1, Lemma 6.3 and Corollary 6.5]). *Let $\varphi\colon MU \to E$ be complex–oriented and consider the two orientations*

$$\mathbb{S} \wedge MU \xrightarrow{\eta_E \wedge 1} E \wedge MU, \qquad\qquad MU \wedge \mathbb{S} \xrightarrow{\varphi \wedge \eta_{MU}} E \wedge MU.$$

The two induced coordinates x^E and x^{MU} on $\mathbb{CP}^\infty_{E \wedge MU}$ are related by the formulas

$$x^{MU} = \sum_{j=0}^{\infty} b_j^E (x^E)^{j+1} = g(x^E),$$

$$g^{-1}(x^{MU} +_{MU} y^{MU}) = g^{-1}(x^E) +_E g^{-1}(y^E).$$

where $E_* MU \cong E_*[b_1, b_2, \ldots]$.

Proof. The second formula is a direct consequence of the first. The first formula comes from taking the module generators $\beta_{j+1} \in E_{2(j+1)} \mathbb{CP}^\infty = E_{2j} MU(1)$ and pushing them forward to get the algebra generators $b_j \in E_{2j} MU$. Then, the triangle

$$[\mathbb{CP}^\infty, MU] \xrightarrow{\hspace{6cm}} [\mathbb{CP}^\infty, E \wedge MU]$$

$$\text{Modules}_{E_*}(E_* \mathbb{CP}^\infty, E_* MU)$$

with the $\cong$ label on the right arrow.

allows us to pair x^{MU} with $(x^E)^{j+1}$ to determine the coefficients of the series. □

Corollary 2.6.5 ([1, Corollary 6.6]). *In particular, for the orientation $MU \to H\mathbb{Z}$ we have*

$$x_1 +_{MU} x_2 = \exp^H(\log^H(x_1) + \log^H(x_2)),$$

where $\exp^H(x) = \sum_{j=0}^{\infty} b_j x^{j+1}$. □

However, one also notes that $H\mathbb{Z}_* MU = \mathbb{Z}[b_1, b_2, \ldots]$ carries the universal example of a formal group law with a logarithm — this observation is independent of any knowledge about MU_*. It turns out that this brings us one step away from understanding MU_*:

Theorem 2.6.6 (To be proven as Theorem 3.2.3). *There is a ring $\mathcal{O}_{\mathcal{M}_{\mathbf{fgl}}}$ carrying the universal formal group law, and it is free: it is a polynomial ring over $\mathbb{Z}$ in countably many generators.* □

Corollary 2.6.7. *The map $\mathcal{O}_{\mathcal{M}_{\mathbf{fgl}}} \to MU_*$ classifying the formal group law on MU_* is an isomorphism.*

Proof. We proved in Corollary 2.6.2 that this map is surjective. We also proved in Theorem 2.1.24 that every rational formal group law has a logarithm, i.e., the long composite

$$\mathcal{O}_{\mathcal{M}_{\mathbf{fgl}}} \otimes \mathbb{Q} \to MU_* \otimes \mathbb{Q} \xrightarrow{\cong} (H\mathbb{Z}_* MU) \otimes \mathbb{Q}$$

is an isomorphism. Using Theorem 2.6.6, it follows that the map is also injective, hence an isomorphism. □

Corollary 2.6.8. *The ring $\pi_*(MU \wedge MU)$ carries the universal example of two strictly isomorphic formal group laws. Additionally, the ring $\pi_0(MUP \wedge MUP)$ carries the universal example of two isomorphic formal group laws.*

Proof. Combine Lemma 2.6.4 and Corollary 2.6.7. □

Make a point about the difference between the two "moduli problems" here (or in the context lecture, Lecture 3.1): the natural map $\mathrm{RingSpectra}(MU /\!\!/ MU \wedge MU, E) \to \mathcal{M}_{\mathbf{fg}}(E_*)$ given by passing to homotopy groups hits *at most one* connected component. See also the beginning of the next Case Study for a relevant todo.

There's buzz about a "Frobenius map" for structured rings going around these days. I guess the point is that an E_2–algebra structure is enough to get a multiplicative map $E^0 X \to E^0 X \otimes E^0 BC_p$. This isn't additive, so it can't come from an infinite loop map, but it becomes additive when passing to the Tate construction: $E^X \to (E^X)^{tC_p}$, using the fact that the genuine C_p fixed points of $E^{X \times p}$ is E^X, and the square relating genuine, homotopy, and geometric fixed points. Mike has been claiming that these results of Quillen's can be interpreted in this way, but I'm not sure what the interpretation is. He says it has something to do with inverting the Euler class and the part of Quillen's argument that involves walking down the multiples of Euler classes on both sides of the equation.

Case Study 3

Finite spectra

3.1 The context of a spectrum

I don't think I mention Hopf algebroids during this lecture! This is a miserable oversight that *must* be corrected. Also, I should mention the cotensor product for Hopf algebroids. Update by d.s.: fixed. My reference was appendix I of Ravenel. Feel free to delete anything you think is unnecessary for our purposes. I think some of the homological algebra near the end can be left out.

Be sure to explain what the groupoid quotient of a Hopf algebroid is in this lecture. You promised an explanation back in Lecture 1.4.

Definition 3.1.1. A *Hopf Algebroid* over a commutative ring K is a pair (A, Γ) of commutative K-algebras with structure maps such that for any other commutative K-algebra B, the sets $\text{Hom}(A, B)$ and $\text{Hom}(\Gamma, B)$ are the objects and morphisms of a groupoid. The structure maps are

1. $\eta_L : A \to \Gamma$ (source)

2. $\eta_R : A \to \Gamma$ (target)

3. $\Delta : \Gamma \to \Gamma \otimes_A \Gamma$ (composition)

4. $\varepsilon : \Gamma \to A$ (identity)

5. $c : \Gamma \to \Gamma$ (inverse)

There are some relations among the structure maps that mimics the defining properties of a groupoid. I won't mention them here but they can be found in Ravenel's green book, appendix I. A graded Hopf algebroid is *connected* if the left and right sub A-modules generated by Γ_0 are both isomorphic to A. If $\eta_R = \eta_L$, then Γ is a commutative Hopf algebra over A.

Definition 3.1.2. A left Γ-*comodule* M is a left A-module M together with a left A-linear map $\psi : M \to \Gamma \otimes_A M$ that is both counitary and coassociative.

From now on, we assume that Γ is flat over A.

Definition 3.1.3. Let M be a right Γ-comodule, and N a left Γ-comodule. Their *cotensor product* over Γ is the K-module defined by the exact sequence $0 \to M\square_\Gamma N \to M \otimes_A N \xrightarrow{\psi \otimes N - M \otimes \psi} M \otimes_A \Gamma \otimes_A N$, where ψ are the comodule structure maps for M and N.

Notice that if M is a left comodule, then it can be given the structure of a right comodule by the composition

$$M \xrightarrow{\psi} \Gamma \otimes M \xrightarrow{T} M \otimes \Gamma \xrightarrow{M \otimes c} M \otimes \Gamma,$$

where T swaps the two factors and c is the conjugation map. From this, it is easy to deduce that $M\square_\Gamma N = N\square_\Gamma M$. The following lemma relates cotensor products to Hom.

Lemma 3.1.4. *Let M and N be left Γ-comodules with M projective over A. Then*

1. *$\mathrm{Hom}_A(M, A)$ is a right Γ-module.*

2. *$\mathrm{Hom}_\Gamma(M, N) = \mathrm{Hom}_A(M, A)\square_\Gamma N$. In particular, when $M = A$, we have $\mathrm{Hom}_\Gamma(A, N) = A\square_\Gamma N$.*

Proof. There exist maps $\psi_M^*, \psi_N^* : \mathrm{Hom}_A(M, N) \to \mathrm{Hom}_A(M, \Gamma \otimes_A N)$, defined by

$$M \xrightarrow{\psi_M} \Gamma \otimes M \xrightarrow{\Gamma \otimes f} \Gamma \otimes_A N,$$

$$M \xrightarrow{f} N \xrightarrow{\psi_N} \Gamma \otimes_A N.$$

Since M is projective over A, there is a canonical isomorphism

$$\mathrm{Hom}_A(M, A) \otimes_A N \simeq \mathrm{Hom}_A(M, N).$$

When $N = A$, we obtain the map

$$\psi_M^* : \mathrm{Hom}_A(M, A) \longrightarrow \mathrm{Hom}_A(M, A) \otimes_A \Gamma.$$

It is easy to check that this map satisfies the coassociativity axiom.

For the second part, note that by definition, we have

$$\begin{aligned} \mathrm{Hom}(M, N) &= \ker(\psi_M^* - \psi_N^*) \subset \mathrm{Hom}_A(M, N), \\ \mathrm{Hom}_A(M, A)\square_\Gamma N &= \ker(\psi_M^* \otimes N - \mathrm{Hom}_A(M, A) \otimes \psi_N). \end{aligned}$$

The claim then follows from the following commutative diagram:

$$
\begin{array}{ccc}
\mathrm{Hom}(M,A) \otimes N & \xrightarrow{\ \simeq\ } & \mathrm{Hom}_A(M,N) \\
{\scriptstyle \psi_M^* \otimes N}\Big\downarrow\Big\downarrow{\scriptstyle \mathrm{Hom}(M,A)\otimes\psi_N} & & {\scriptstyle \psi_M^*}\Big\downarrow\Big\downarrow{\scriptstyle \psi_N^*} \\
\mathrm{Hom}(M,A) \otimes \Gamma \otimes N & \xrightarrow{\ \simeq\ } & \mathrm{Hom}_A(M, \Gamma \otimes_A N)
\end{array}
$$

$\square$

Definition 3.1.5. A *map of Hopf algebroids* $f : (A,\Gamma) \to (B,\Sigma)$ is a pair of K-algebra maps $f_1 : A \to B, f_2 : \Gamma \to \Sigma$ such that $f_1\varepsilon = \varepsilon f_2$, $f_2\eta_R = \eta_R f_1$, $f_2\eta_L = \eta_L f_1$, $f_2 c = c f_2$, and $\Delta f_2 = (f_2 \otimes f_2)\Delta$.

Now we will discuss some homological algebra of Hopf algebroids. It turns out that the category of Γ-comodules has enough injectives, and so we can make the following definition:

Definition 3.1.6. For left Γ-comodules M and N, $\mathrm{Ext}_\Gamma^i(M,N)$ is the ith right derived functor of $\mathrm{Hom}_\Gamma(M,N)$, regarded as a functor of N. For M a right Γ-module, $\mathrm{Cotor}_\Gamma^i(M,N)$ is the ith right derived functor of $M\square_\Gamma N$, also regarded as a functor of N. The corresponding graded groups are denoted $\mathrm{Ext}_\Gamma(M,N)$ and $\mathrm{Cotor}_\Gamma(M,N)$, respectively.

The next lemma shows that the resolution can satisfy a weaker condition than being injective.

Lemma 3.1.7. *Let*

$$
0 \to N \to R^0 \to R^1 \to \cdots
$$

be a long exact sequence of left Γ-comodules such that $\mathrm{Cotor}_\Gamma^n(M, R^i) = 0$ for all $n > 0$. Then $\mathrm{Cotor}_\Gamma(M,N)$ is the cohomology of the complex

$$
\mathrm{Cotor}_\Gamma^0(M, R^0) \xrightarrow{\ \delta_0\ } \mathrm{Cotor}_\Gamma^0(M, R^1) \xrightarrow{\ \delta_1\ } \cdots .
$$

There are many candidates that satisfy the condition of 3.1.7. If M is a projective A-module and N is an A-module, then $\mathrm{Cotor}_\Gamma^i(M, \Gamma \otimes_A N) = 0$ for $i > 0$ and $\mathrm{Cotor}_\Gamma^0(M, \Gamma \otimes_A N) = M \otimes_A N$. A relative injective Γ-comodule is a direct summand of comodules of the form $\Gamma \otimes_A N$.

Definition 3.1.8. (Cobar Resolution) Let M be a left Γ-comodule. For a right Γ-comodule L that is projective over A, the cobar complex $C_\Gamma^*(L,M)$ is $C_\Gamma^s(L,M) = L \otimes_A \overline{\Gamma}^{\otimes s} \otimes_A M$ (with the obvious differential). When $L = \Gamma$, $D_\Gamma(M) = C_\Gamma(\Gamma, M)$ is called the cobar resolution of M.

It turns out that $D_\Gamma(M)$ is a resolution of M by relative injectives, and we have the following proposition:

Proposition 3.1.9. *If L is projective over A, then $H(C^*_\Gamma(L, M)) = Cotor_\Gamma(L, M)$. In particular, if $L = A$, then $H(C^*_\Gamma(A, M)) = Ext_\Gamma(A, M)$.*

Today we will make good on our promise, made during our investigation of the un-oriented bordism ring, to explain where the Adams spectral sequence comes from. This story neatly divides into two parts, and the first half is just an investigation of how rich of an algebraic category C we can find that supports a factorization

$$\text{Spectra} \xrightarrow{\quad E_* \quad} \text{Modules}_{E_*}$$
$$\searrow \qquad \nearrow$$
$$\text{C}.$$

Our answer to this question will come out of considering Grothendieck's framework of descent. Classically, descent concerns itself with a map $f \colon R \to S$ of a rings and an S–module N, and it asks questions like:

- When is there an R–module M such that $N \cong M \otimes_R S = f^*M$?

- What extra data can be placed on N, called *descent data*, so that the category of descent data for N is equivalent to the category of R–modules under the map f^*?

- What conditions can be placed on f so that the category of descent data for any given module is always contractible, called *effectivity*?

The essential structure of these answers is easy to guess if we proceed by example, using the few tools available to us. Suppose that we begin instead with an R–module M and we set $N = M \otimes_R S$. By tensoring up, we have two R–algebra maps $S \to S \otimes_R S$, given by including along either factor, and we can further tensor N up to $N \otimes_R S$ or $S \otimes_R N$. Since N came from the R–module M, these are canonically isomorphic:

$$\varphi \colon ((f \otimes 1) \circ f)^*M \cong ((1 \otimes f) \circ f)^*M.$$

Repeating this process produces more isomorphisms which compose according to the triangle

The notation f^* confused me briefly: you push-forward modules but pull-back quasicoherent sheaves.

$$N \otimes_R S \otimes_R S \xrightarrow[\simeq]{\varphi_{13}} S \otimes_R S \otimes_R N$$
$$\searrow \varphi_{12} \qquad\qquad \nearrow \varphi_{23}$$
$$\simeq \qquad\qquad\qquad \simeq$$
$$S \otimes_R N \otimes_R S,$$

where φ_{ij} denotes applying φ to the ith and j^{th} coordinates.

Definition 3.1.10. Let $f\colon R \to S$ be a map of rings as above. An S–module N equipped with an isomorphism $S \otimes_R N \cong N \otimes_R S$ of $S \otimes_R S$–modules which causes the above triangle to commute is called a *descent datum* for the map f.

Remark 3.1.11. There are other ways to view this data. For example, later on we will revisit it from the categorical perspective of *comonads*. However, there is another perspective which we have already encountered earlier on: that of the *canonical coalgebra* or *Amitsur complex*. Associated to the map $f\colon R \to S$, we can form the ring $S \otimes_R S$, which supports a map

$$S \otimes_R S \simeq S \otimes_R R \otimes_R S \to S \otimes_R S \otimes_R S \simeq (S \otimes_R S) \otimes_S (S \otimes_R S).$$

One can check that descent data on a S–module is the same as the data of a coaction against $S \otimes_R S$. As a first step, notice the similarity of function signatures:

$$N \xrightarrow{\psi} N \otimes_S (S \otimes_R S) \simeq N \otimes_R S.$$

The following theorem is the usual culmination of an initial investigation into descent:

Theorem 3.1.12 (Grothendieck). *If $f\colon R \to S$ is faithfully flat, then there is an equivalence of R–modules and S–modules equipped with descent data.*

Jumping off point. The basic observation is that $0 \to R \to S \to S \otimes_R S$ is an exact sequence of R–modules. This makes much of the homological algebra involved work out. $\square$

For details and additional context, see Section 4.2.1 of [54]; the story in the context of Hopf algebroids is also spelled out in detail in [26].

In our situation, this hypothesis will essentially never be satisfied, so we will pursue a less dramatic statement of the properties of descent. To see what kind of theorem one might expect, consider the example of $f\colon \mathbb{Z} \to \mathbb{F}_p$, which is neither faithful nor flat. Then, consider the following list of problems (and their partial solutions):

- The tensor functor f^* cannot distinguish even between the $\mathbb{Z}$–modules $\mathbb{Z}$ and $\mathbb{Z}/p$. However, if we use Lf^* and resolve $\mathbb{Z}/p$ as $\mathbb{Z} \xrightarrow{p} \mathbb{Z}$, the complexes $Lf^*(\mathbb{Z})$ and $Lf^*(\mathbb{Z}/p)$ do look distinct.

- Once we pass to the derived category, then we are no longer in a situation where we can expect the single cocycle condition from the descent data above to suffice. Instead, we can form a simplicial scheme, called the *descent object*, by the formula

$$\mathcal{D}_{\mathbb{Z} \to \mathbb{F}_p} := \left\{ \operatorname{Spec}\mathbb{F}_p \rightrightarrows \begin{array}{c} \operatorname{Spec}\mathbb{F}_p \\ \times_{\operatorname{Spec}\mathbb{Z}} \\ \operatorname{Spec}\mathbb{F}_p \end{array} \underset{\longleftarrow}{\overset{\longleftarrow}{\rightrightarrows}} \begin{array}{c} \operatorname{Spec}\mathbb{F}_p \\ \times_{\operatorname{Spec}\mathbb{Z}} \\ \operatorname{Spec}\mathbb{F}_p \\ \times_{\operatorname{Spec}\mathbb{Z}} \\ \operatorname{Spec}\mathbb{F}_p \end{array} \cdots \right\}.$$

This is meant to look like the Čech nerve for the "cover" $\operatorname{Spec} \mathbb{F}_p \to \operatorname{Spec} \mathbb{Z}$.

- Accordingly, we need to update our notion of quasicoherent sheaf to live over a simplicial scheme [46, Tag 09VK]. Given a simplicial scheme X, a sheaf $\mathcal{F}$ on X will be a sequence of sheaves $\mathcal{F}[n]$ on $X[n]$ as well as, for each map $\varphi : [m] \to [n]$ in the simplicial indexing category inducing a map $X(\varphi) : X[n] \to X[m]$, a choice of map of sheaves

$$\mathcal{F}(\varphi)_* \colon \mathcal{F}[m] \to X(\varphi)_* \mathcal{F}[n].$$

Such a sheaf will be called *quasicoherent* when it is levelwise quasicoherent.

- Finally, we can characterize the structure a quasicoherent sheaf over $\mathcal{D}_{\mathbb{Z} \to \mathbb{F}_p}$ receives when it is tensored down from $\mathbb{Z}$. Such a sheaf enjoys that the adjoint map

$$\mathcal{F}(\varphi)^* \colon X(\varphi)^* \mathcal{F}[m] \to \mathcal{F}[n]$$

is an isomorphism, and in this case we say that $\mathcal{F}$ is *Cartesian*.

Lemma 3.1.13. *Without passing to the derived category, there is an equivalence of categories between Cartesian quasicoherent sheaves on the descent object and quasicoherent sheaves equipped with descent data.* □

The real utility of this framework is that it pulls apart the question of descent into two distinct pieces, summarized in the following theorem:

Theorem 3.1.14. *Let $i \colon A \to X$ be a closed subscheme, and consider the formal completion*

$$A \xrightarrow{\quad i \quad} X$$
$$A \xrightarrow{j} \searrow \quad \nearrow k \quad X$$
$$X_A^\wedge.$$

If X is Noetherian, then k^* is flat as a functor of sheaves, j^* is conservative as a functor in the derived category of sheaves, and there is an equivalence of derived categories of sheaves over $X_A^\wedge$ and sheaves over the descent object $\mathcal{D}_{A \to X}$. □

Remark 3.1.15. The usual theorem about faithfully flat descent then follows by using the hypotheses on i to deduce that, e.g., if i^* and j^* are both conservative, then so must k^* be.

We now transfer what we've learned to the situation of homotopical algebra. Recalling that spectra are equivalent to $\mathbb{S}$–modules, $\mathbb{S}$ the usual sphere spectrum, then any other ring spectrum comes equipped with a unit map $\eta : \mathbb{S} \to E$ and hence push and pull functors

$$\eta_* \colon M \mapsto M, \qquad\qquad \eta^* \colon X \mapsto E \wedge X.$$

Correspondingly, to any spectrum X we can define the following cosimplicial spectrum:

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Definition 3.1.16. Let $\mathcal{D}_E(X)$ be the cosimplicial spectrum determined by the formula

$$
\mathcal{D}_E(X) := \left\{
\begin{array}{c}
\begin{array}{ccccccc}
 & & & & E & \longrightarrow & \\
 & & E & \longrightarrow & \wedge & \longleftarrow & \\
E & \xrightarrow{\ \eta_L\ } & \wedge & \longleftarrow & E & \longrightarrow & \\
\wedge & \xleftarrow{\ \mu\ } & E & \xrightarrow{\ \Delta\ } & \wedge & \longleftarrow & \cdots \\
X & \xrightarrow{\ \eta_R\ } & \wedge & \longleftarrow & E & \longrightarrow & \\
 & & X & \longrightarrow & \wedge & \longleftarrow & \\
 & & & & X & \longrightarrow &
\end{array}
\end{array}
\right\}.
$$

It is called *the descent object for X from E to* $\mathbb{S}$.

Lemma 3.1.17. *When E is an* A_∞*–ring spectrum, the descent object* $\mathcal{D}_E(X)$ *can be naturally considered as a cosimplicial object in the* ∞*–category of spectra.* □

I don't intend to prove this, but maybe we could say some mealy words about why it's true. At worst, we could give reference to the relevant part of Higher Algebra.

Definition 3.1.18. Let E be an A_∞–ring spectrum. Then $X_E^\wedge := \mathrm{Tot}\,\mathcal{D}_E(X)$ is called the *E–nilpotent completion of X*. The spectral sequence resulting from the coskeletal filtration is called the *E–Adams spectral sequence* (for X).

It is not always the case that $X_E^\wedge$ can be lifted from a cosimplicial object in the homotopy category to a sufficiently structured cosimplicial object that we could take its totalization or homotopy colimit.

In general, it's quite rare that the *E*–nilpotent completion of a spectrum X recovers X, but in the nice cases we typically work in, it has been known to happen. In particular, there is the following theorem:

Lemma 3.1.19. *Let E be a connective* A_∞ *ring spectrum and let X be any connective spectrum. Then* $X_E^\wedge$ *is equivalent to the "*$\pi_0 E$*–localization" of X, i.e., for a prime p the spectrum* $X_E^\wedge$ *is p–local if* $\pi_0 E$ *is p–local, it is p–complete if* $\pi_0 E$ *is p–torsion, and otherwise it is just X.* □

Cite me: Ravenel's *Localizations w/r/t …* paper.

Proof sketch. □

You really can just look at the Adams tower...

Finally, we can compare the topological situation with the algebraic situation. To have any hope of applying algebra and algebraic geometry, we must impose some nicety properties. Here is the first:

Definition 3.1.20. E satisfies **CH**, the **C**ommutativity **H**ypothesis, when $\pi_* E^{\wedge j}$ is commutative for all $j \geq 1$.

Definition 3.1.21. Suppose that E is a ring spectrum satisfying **CH**. We define a simplicial

scheme associated to E, called its *context*, to be

$$\mathcal{M}_E := \operatorname{Spec} \pi_* \mathcal{D}_E(\mathbb{S})$$

$$= \left\{ \operatorname{Spec} \pi_* E \xrightarrow{\longleftarrow} \operatorname{Spec} \pi_* \begin{pmatrix} E \\ \wedge \\ E \end{pmatrix} \xleftarrow{\longrightarrow} \operatorname{Spec} \pi_* \begin{pmatrix} E \\ \wedge \\ E \\ \wedge \\ E \end{pmatrix} \xrightarrow{\longrightarrow} \cdots \right\}.$$

The context is the wellspring of the algebraic category C dreamed of in the introduction to this lecture.

Definition 3.1.22. For a ring spectrum E satisfying **CH** and input spectrum X, we define the following diagram of abelian groups:

$$\Gamma \mathcal{M}_E(X) := \left\{ \pi_* \begin{pmatrix} E \\ \wedge \\ X \end{pmatrix} \xleftarrow{\longrightarrow} \pi_* \begin{pmatrix} E \\ \wedge \\ E \\ \wedge \\ X \end{pmatrix} \xrightarrow{\longleftarrow} \pi_* \begin{pmatrix} E \\ \wedge \\ E \\ \wedge \\ E \\ \wedge \\ X \end{pmatrix} \xrightarrow{\longleftarrow} \cdots \right\},$$

The j^{th}object is a module for $\mathcal{O}(\mathcal{M}_E[j])$, and hence determines a quasicoherent sheaf over the scheme $\mathcal{M}_E[j]$. Suitably interpreted, the maps of abelian groups determine maps of pushforwards so that $\mathcal{M}_E(X)$ is a quasicoherent sheaf over the simplicial scheme $\mathcal{M}_E$.

There is also a common hypothesis on E that brings us back into the world of coalgebra, down from simplicial schemes.

Definition 3.1.23. Take $E_* E$ to be an E_*–module using the left-unit map. We will say that E satisfies **FH**, the **Flatness Hypothesis**, when the right-unit map $E_* \to E_* E$ is a flat map of E_*–modules.[1]

Remark 3.1.24. The main utility of this is that it obviates us from working through the homological algebra of sheaves over simplicial schemes. Instead, since **FH** causes $\mathcal{M}_E$ to

---

[1]The essential point of this is that it causes $E_* E \otimes_{E_*} E_* X$ to become a homology theory and $E_* E \otimes_{E_*} E_* X \to (E \wedge E)_* X$ to become an isomorphism on a point. Alternatively, this can be viewed as a degeneration condition on the Künneth spectral sequence for $E_*(E \wedge E)$.

become 1–truncated, we can refer to Remark 3.1.11 and simply refer back to the homological algebra of comodules. In light of the discussion in Examples 1.4.11 and 1.4.18, we also see an interpretation of these groupoid–valued simplicial schemes: they are valued in sets equipped with an action by $\operatorname{Spec} E_*E$, which acts also on the base $\operatorname{Spec} E_*$. To denote this "homotopical quotient" or "action groupoid", we will write

$$\operatorname{Spec} E_* /\!/ \operatorname{Spec} E_*E.$$

Such affine groupoid–valued schemes are themselves quite tangible: their rings of functions form *Hopf algebroids*, and Cartesian quasicoherent sheaves on the groupoid scheme correspond to comodules for the Hopf algebroid.

Remark 3.1.25. This homotopical perspective is quite useful — for instance, a map of groupoid–schemes which induces on points a natural weak equivalence of groupoids also induces an equivalence of comodule categories. In fact, the *derived* comodule category depends only upon the stack associated to the groupoid–scheme, which allows still more contexts to be identified. We won't need this observation in what's to come, though, and it introduces substantial technical distractions. **However, we *may* got sloppy and say "stack" from time to time.**

Example 3.1.26. Most of the homology theories we will discuss have this property. For an easy example, $H\mathbb{F}_2P$ certainly has this property: there is only one possible algebraic map $\mathbb{F}_2 \to \mathcal{A}_*$, so **FH** is necessarily satisfied. This grants us access to a description of the context for $H\mathbb{F}_2$:

$$\mathcal{M}_{H\mathbb{F}_2P} = \operatorname{Spec} F_2 /\!/ \underline{\operatorname{Aut}} \widehat{\mathbb{G}}_a.$$

Example 3.1.27. The context for MUP is considerably more complicated, but Quillen's theorem can be equivalently stated as giving a description of it. It is isomorphic to the moduli of formal groups:

$$\mathcal{M}_{MUP} \simeq \mathcal{M}_{\mathbf{fg}} := \mathcal{M}_{\mathbf{fgl}} /\!/ \mathcal{M}_{\mathbf{ps}}^{\mathrm{gpd}},$$

where $\mathcal{M}_{\mathbf{ps}} = \underline{\operatorname{End}}(\widehat{\mathbb{A}}^1)$ is the moduli of self-maps of the affine line (i.e., of power series) and $\mathcal{M}_{\mathbf{ps}}^{\mathrm{gpd}}$ is the multiplicative subgroup of invertible such maps.

Remark 3.1.28. If E is a complex-oriented ring spectrum, then the simplicial sheaf $\mathcal{M}_{MU}(E)$ has an extra degeneracy, cause the MU–based Adams spectral sequence for E to degenerate. In this sense, the "stackiness" of $\mathcal{M}_{MU}(E)$ is a measure of the failure of E to be orientable.

3.2 Fiberwise analysis and chromatic homotopy theory

Our first goal for today is to outline a program for the rest of this Case Study. Yesterday, we developed a rich target for E–homology: sheaves over an algebro-geometric object $\mathcal{M}_E$. Furthermore, we have explored in Example 3.1.27 an identification $\mathcal{M}_{MUP} \simeq \mathcal{M}_{\mathbf{fg}}$, where $\mathcal{M}_{\mathbf{fg}}$ is the "moduli of formal groups". Our initial goal for today is to outline a program by which we can leverage this to study MUP. Abstractly, one can hope to study any sheaf, including $\mathcal{M}_{MUP}(X)$, by analyzing its stalks. The main utility of Quillen's theorem is that it gives us access to a concrete model of $\mathcal{M}_{MUP}$, so that we can determine where to even look for those stalks.

With this in mind, given a map f in the diagram

$$\begin{array}{ccccc} \operatorname{Spec} R & \xrightarrow{f} & \mathcal{M}_{\mathbf{fgl}} & = & \mathcal{M}_{MUP}[0] & = & \operatorname{Spec} MUP_0 \\ & \searrow & \downarrow & & \downarrow \\ & & \mathcal{M}_{\mathbf{fg}} & = & \mathcal{M}_{MUP}, \end{array}$$

life would be easiest if the R–module determined by $f^*\mathcal{M}_{MUP}(X)$ were itself the value of a homology theory $R_0(X) = MUP_0X \otimes_{MUP_0} R$. After all, the pullback of some arbitrary sheaf along some arbitrary map has no special behavior, but homology functors do have familiar special behaviors which we could hope to exploit. Generally, this is unreasonable to expect: homology theories are functors which convert cofiber sequences of spectra to long exact sequences of groups, but base–change from $\mathcal{M}_{\mathbf{fg}}$ to $\operatorname{Spec} R$ preserves exact sequences exactly when the diagonal arrow is *flat*. In that case, this gives the following theorem:

Theorem 3.2.1 (Landweber). *Given such a diagram where the diagonal arrow is flat, the functor*

$$R_0(X) := MUP_0(X) \otimes_{MUP_0} R$$

is a homology theory.

In the course of proving this theorem, Landweber devised a method to recognize flat maps. Recall that a map f is flat exactly when for any closed substack $i\colon A \to \mathcal{M}_{\mathbf{fg}}$ with ideal sheaf $\mathcal{I}$ there is an exact sequence

$$0 \to f^*\mathcal{I} \to f^*\mathcal{O}_{\mathcal{M}_{\mathbf{fg}}} \to f^*i_*\mathcal{O}_A \to 0.$$

Landweber classified the closed substacks of $\mathcal{M}_{\mathbf{fg}}$, thereby giving a method to check maps for flatness.

This appears to be a moot point, however, as it is unreasonable to expect this idea to apply to computing stalks: the inclusion of a closed substack (and so, in particular, a closed point Γ) is flat only in highly degenerate cases. We saw in Theorem 3.1.14 that this can be repaired: the inclusion of the formal completion of a closed substack of a Noetherian[2] stack is flat, and so we naturally become interested in the infinitesimal deformation spaces of the closed points Γ on $\mathcal{M}_{\mathbf{fg}}$. If we can analyze those, then Landweber's theorem will produce homology theories called E_Γ. Moreover, if we find that these deformation spaces are *smooth*, it will follow that their deformation rings support regular sequences. In this excellent case, by taking the regular quotient we will be able to recover a *homology theory* K_Γ which plays the role of computing the stalk of $\mathcal{M}_{MUP}(X)$ at Γ.[3]

We have thus assembled a task list:

- Describe the open and closed substacks of $\mathcal{M}_{\mathbf{fg}}$.

- Describe the geometric points of $\mathcal{M}_{\mathbf{fg}}$.

- Analyze their infinitesimal deformation spaces.

This will occupy us for the next few lectures. Today, we will embark on this analysis by studying the scheme $\mathcal{M}_{\mathbf{fgl}}$ which naturally covers the stack $\mathcal{M}_{\mathbf{fg}}$.

Definition 3.2.2. There is an affine scheme $\mathcal{M}_{\mathbf{fgl}}$ classifying formal group laws. Begin with the scheme classifying all bivariate power series:

$$\operatorname{Spec}\mathbb{Z}[a_{ij} \mid i,j \geq 0] \leftrightarrow \{\text{bivariate power series}\},$$
$$f \in \operatorname{Spec}\mathbb{Z}[a_{ij} \mid i,j \geq 0](R) \leftrightarrow \sum_{i,j\geq 0} f(a_{ij})x^i y^j.$$

Then, set $\mathcal{M}_{\mathbf{fgl}}$ to be the closed subscheme selected by the formal group law axioms in Definition 2.1.19.

This presentation of $\mathcal{M}_{\mathbf{fgl}}$ as a subscheme appears to be extremely complicated in that its ideal is generated by many hard-to-describe elements, but $\mathcal{M}_{\mathbf{fgl}}$ itself is actually not complicated at all. We will prove the following theorem:

Theorem 3.2.3 ([22, Théorème II]). *There is a noncanonical isomorphism*

$$L_\infty := \mathcal{O}_{\mathcal{M}_{\mathbf{fgl}}} \cong \mathbb{Z}[t_n \mid 1 \leq n < \infty]. \quad \square$$

The most important consequence of this is *smoothness*:

Corollary 3.2.4. *Given a formal group law φ over a ring R and a surjective ring map $f\colon S \to R$, there exists a formal group law $\widetilde{\varphi}$ over S with*

$$\varphi = f^*\widetilde{\varphi}. \quad \square$$

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Remark 3.2.5. One might hope that the filtration above has an immediate geometric realization. After all, one can consider the nth order formal neighborhood $\widehat{\mathbb{A}}^{1,(n)}$ of Example 1.2.3. The appropriate analogue of Lemma 2.1.10 shows that a map

$$\widehat{\mathbb{A}}^{1,(n)} \times \widehat{\mathbb{A}}^{1,(n)} \to \widehat{\mathbb{A}}^{1,(n)}$$

is represented by a bivariate power series, *modulo the ideal* (x^{n+1}, y^{n+1}). This ideal is distinct from $(x, y)^{n+1}$, and so the source scheme of a formal n–bud is not the square of $\widehat{\mathbb{A}}^{1,(n)}$, and a formal n–bud does *not* determine a group object on some finite scheme. This is actually a good thing: there are structure theorems preventing many of these intermediate group structures on finite schemes from existing.

Proof of Theorem 3.2.3. Let $U = \mathbb{Z}[b_0, b_1, b_2, \ldots]/(b_0 - 1)$ be the universal ring supporting a "strict" exponential

$$\exp(x) := \sum_{j=0}^{\infty} b_j x^{j+1}$$

with compositional inverse

$$\log(x) := \sum_{j=0}^{\infty} m_j x^{j+1}.$$

They induce a formal group law on U by the formula

$$x +_U y = \exp(\log(x) + \log(y)),$$

classified by a map $u \colon L_\infty \to U$. Modulo decomposables, this map can be computed as

$$u(a_{i(n-i)}) = \binom{n}{i} b_{n-1} \quad (\text{mod decomposables}).$$

Writing $d_n = \gcd\left(\binom{n}{i} \,\middle|\, 0 < k < n\right)$, the map Qu on degree $2n$ has image the subgroup generated by $d_{n+1} b_n$. We write T_{2n} for this subgroup. Using the splitting of Qu from Lemma 3.2.7.4 below, we use the freeness of U to *choose* an algebra splitting

$$U \xrightarrow{v} L_\infty \xrightarrow{u} U.$$

The map v is an isomorphism because uv is injective and because we have checked that v is surjective on indecomposables. $\qquad\square$

---

[2] $\mathcal{M}_{\mathbf{fg}}$ is not Noetherian, but we will find that each closed point except $\widehat{\mathbb{G}}_a$ lives in an open substack that happens to be Noetherian.

[3] Incidentally, this program has no content when applied to $\mathcal{M}_{H\mathbb{F}_2}$, as $\operatorname{Spec} \mathbb{F}_2$ is simply too small.

Definition 3.2.6. In order to prove the missing Lemma 3.2.7, it will be useful to study the series $+_\varphi$ "up to degree n", i.e., modulo $(x, y)^{n+1}$. Such a truncated series satisfying the analogues of the formal group law axioms is called a *formal n–bud*. Additionally, a *symmetric 2–cocycle* is a symmetric polynomial $f(x, y)$ satisfying the equation

$$f(x, y) - f(t + x, y) + f(t, x + y) - f(t, x) = 0.$$

Lemma 3.2.7 (Symmetric 2–cocycle lemma (Part 1)). *The following are equivalent:*

1. *Symmetric 2–cocycles that are homogeneous polynomials of degree n are spanned by*

$$c_n = \frac{1}{d_n} \cdot ((x + y)^n - x^n - y^n).$$

2. *For F is an r–bud, the set of $(r + 1)$–buds extending F form a torsor under addition for $R_{2n-2} \otimes c_r$.*

> Things suddenly become graded here — and you really make use of this. Explain yourself.

3. *Any homomorphism $(QL)_{2n} \to A$ factors through the map $(QL)_{2n} \to T_{2n}$.*

4. *There is a canonical splitting $T_{2n} \to (QL)_{2n}$.*

Equivalences. Verifying that Claims 1 and 2 are equivalent is a matter of writing out the purported $(r + 1)$–buds and taking their difference. To see that Claim 2 is equivalent to Claim 3, follow the chain

> Do you mean write out a $(r + 1)$-bud and applying the associativity axiom?

$$\mathsf{Groups}((QL)_{2n}, A) \cong \mathsf{Rings}(\mathbb{Z} \oplus (QL)_{2n}, \mathbb{Z} \oplus \Sigma^{2n} A) \cong \mathsf{Rings}(L, \mathbb{Z} \oplus \Sigma^{2n} A).$$

This shows that such a homomorphism of groups determines an extension of the n–bud $\widehat{\mathbb{G}}_a$ to an $(n + 1)$–bud, which takes the form of a 2–cocycle with coefficients in A, and hence factors through T_{2n}. Finally, Claim 4 is the universal case of Claim 3.

We will prove Claim 1 tomorrow.

3.3   The structure of $\mathcal{M}_{\mathbf{fg}}$ I: Distance from $\widehat{\mathbb{G}}_a$

We begin by finishing up our proof of Lazard's theorem (and, specifically, the Symmetric 2–cocycle Lemma).

Lemma 3.3.1 (Symmetric 2–cocycle lemma (Part 2): Claim 1 of Lemma 3.2.7). *Symmetric 2–cocycles that are homogeneous polynomials of degree n are spanned by*

$$c_n = \frac{1}{d_n} \cdot ((x + y)^n - x^n - y^n).$$

Proof. It suffices to show the Lemma over a finitely generated ring. In fact, the Lemma is true for $A \oplus B$ if and only if it's true for A and for B, so the structure theorem for finitely generated abelian groups reduces to the cases of $\mathbb{Z}$ and $\mathbb{Z}/p^r$. If $A \subseteq B$ and the Lemma is true for B, it's true for A, so we can further reduce the $\mathbb{Z}$ case to $\mathbb{Q}$. We can also reduce from $\mathbb{Z}/p^r$ to $\mathbb{Z}/p$ using an inductive, Bockstein-style argument. Hence, we can now freely assume that our ground object is a prime field.

For a formal group scheme $\widehat{\mathbb{G}}$, we can form a simplicial scheme $B\widehat{\mathbb{G}}$ in the usual way:

$$
B\widehat{\mathbb{G}} := \left\{
\begin{array}{c}
\ast \quad \longleftarrow \\
\ast \quad \longleftarrow \quad \times \quad \longrightarrow \\
\ast \quad \longleftarrow \quad \times \quad \longrightarrow \quad \widehat{\mathbb{G}} \quad \longleftarrow \\
\times \quad \longrightarrow \quad \widehat{\mathbb{G}} \quad \longleftarrow \quad \times \quad \longrightarrow \quad \cdots \\
\ast \quad \longleftarrow \quad \times \quad \longrightarrow \quad \widehat{\mathbb{G}} \quad \longleftarrow \\
\ast \quad \longleftarrow \quad \times \quad \longrightarrow \\
\ast \quad \longleftarrow
\end{array}
\right\}.
$$

By applying the functor $\underline{\mathsf{FormalGroups}}(-, \widehat{\mathbb{G}}_a)(k)$, we get a cosimplicial abelian group, hence a cochain complex, of which we can take the cohomology. In the case $\widehat{\mathbb{G}} = \widehat{\mathbb{G}}_a$, the 2–cocycles in this cochain complex are *precisely* the things we've been calling 2–cocycles[4], so we are interested in computing H^2. The first observation in this direction is that $d^1(x^k) = d_k c_k$. Secondly, one may check that this complex also computes

$$
\mathrm{Cotor}_{\mathcal{O}_{\widehat{\mathbb{G}}}^*}(k, k) \cong \mathrm{Ext}_{\mathcal{O}_{\widehat{\mathbb{G}}}^*}(k, k),
$$

which we're now going to compute using a more efficient complex.

$\mathbb{Q}$: There is a resolution
$$
0 \to \mathbb{Q}[t] \xrightarrow{\cdot t} \mathbb{Q}[t] \to \mathbb{Q} \to 0,
$$
from which this follows:
$$
H^* \underline{\mathsf{FormalGroups}}(B\widehat{\mathbb{G}}_a, \widehat{\mathbb{G}}_a)(\mathbb{Q}) = \begin{cases} \mathbb{Q} & \text{when } \ast = 0, \\ \mathbb{Q} & \text{when } \ast = 1, \\ 0 & \text{otherwise.} \end{cases}
$$

This means that every 2–cocycle is a coboundary, symmetric or not.

$\mathbb{F}_p$: Again, we switch to working with Ext over a free divided power algebra. Such an algebra splits as a tensor of truncated polynomial algebras, and again computing a minimal free resolution results in the calculation

$$
H^* \underline{\mathsf{FormalGroups}}(B\widehat{\mathbb{G}}_a, \widehat{\mathbb{G}}_a)(\mathbb{F}_p) = \Lambda[\alpha_k \mid k \geq 0] \otimes \mathbb{F}_p[\beta_k \mid k \geq 0],
$$

---

[4]They aren't obligated to be symmetric, though.

with $\alpha_k \in \mathrm{Ext}^1$ and $\beta_k \in \mathrm{Ext}^2$. In fact, α_k is represented by x^{p^k} and β_k is represented by $c_{p^k}(x,y)$, and in the case $p = 2$ the exceptional class α_{k-1}^2 is represented by $C_{2^k}(x,y)$. Since we have representatives for the surviving homology classes and we know where the bounding class lives, it follows that $c_n(x,y)$ and $x^{p^a}y^{p^b}$ give a basis for *all* of the 2–cocycles. It's easy to select the symmetric ones, and it agrees with the prediction of the statement of the Lemma.

> I'm not sure how to do any of these calculations! Ha.

This finally concludes the proof of Theorem 3.2.3. $\qquad\square$

> Also, people seem to say things about the Mischenko logarithm rather than the invariant differential, but I wonder if we should phrase things in those terms.

> Section 12 of Neil's FG notes talk about the infinite height subscheme of $\mathcal{M}_{\mathbf{fgl}}$. He compares it to $H_* MO$ and to the Hurewicz image $\pi_* MU \to H_*(MU; \mathbb{F}_p)$.

Having described the structure of $\mathcal{M}_{\mathbf{fgl}}$, we turn to understanding the quotient stack $\mathcal{M}_{\mathbf{fg}}$. Earlier, we proved the following theorem:

Theorem 3.3.2. *Let k be any field of characteristic 0. Then there is a unique map*

> Be careful about $\star$-isomorphisms versus isomorphisms.

$$\mathrm{Spec}\, k \to \mathcal{M}_{\mathbf{fg}}. \quad \square$$

Proof. This is a rephrasing of Theorem 2.1.24 in the language of stacks. $\qquad\square$

We would like to have a similar classification of the closed points in positive characteristic. We proved the theorem above by solving a certain differential equation, which necessitated integrating a power series. Integration is what we expect to fail in positive characteristic. The following definition tracks *where* it fails:

Definition 3.3.3. Let $+_\varphi$ be a formal group law. Let n be the largest degree such that there exists a formal power series ℓ with

$$\ell(x +_\varphi y) = \ell(x) + \ell(y) \pmod{(x,y)^n},$$

i.e., ℓ is a logarithm for the n–bud determined by $+_\varphi$. The *p–height of $+_\varphi$* is defined to be $\log_p(n)$.

> Should that be a $n+1$?

We will show that this definition is well-behaved, in the following sense:

Lemma 3.3.4. *Over a field of positive characteristic p, the p–height of a formal group law is always an integer. (That is, $n = p^d$ for some natural number d.)*

We will have to develop some machinery to get there. First, notice that this definition of height really depends on the formal group rather than the formal group law.

Lemma 3.3.5. *The height of a formal group law is an isomorphism invariant, i.e., it descends to a function on $\mathcal{M}_{\mathbf{fg}}$.*

Proof. The series ℓ is a partial logarithm for the formal group law φ, i.e., an isomorphism between the formal group defined by φ and the additive group. Since isomorphisms compose, this statement follows. $\qquad\square$

With this in mind, we look for a more standard form for formal group laws, where Lemma 3.3.4 will hopefully be obvious. In light of our goal, the most obvious standard form is as follows:

Definition 3.3.6. Suppose that a formal group law $+_\varphi$ does have a logarithm. We say that $+_\varphi$ has a *p–typical logarithm* in the case that its logarithm has the form

$$\log_\varphi(x) = \sum_{j=0}^{\infty} \ell_j x^{p^j}.$$

Lemma 3.3.7. *Every formal group law $+_\varphi$ with a logarithm $\log_\varphi$ is naturally isomorphic to one whose logarithm is p–typical, called the p–typification of $+_\varphi$.*

Proof. Let $\widehat{\mathbb{G}}$ denote the formal group associated to $+_\varphi$, and consider its inherited coordinate

$$g_0 \colon \widehat{\mathbb{A}}^1 \stackrel{\cong}{\to} \widehat{\mathbb{G}},$$

so that

$$\log_\varphi = \log \circ g_0 = \sum_{n=1}^{\infty} a_n x^n.$$

Our goal is to perturb this coordinate to a new coordinate g which has the property that it couples with the logarithm

$$\widehat{\mathbb{A}}^1 \stackrel{g}{\to} \widehat{\mathbb{G}} \stackrel{\log}{\longrightarrow} \widehat{\mathbb{G}}_a$$

to give a power series expansion

$$\log(g(x)) = \sum_{n=0}^{\infty} a_{p^n} x^{p^n}.$$

To do this, we introduce four operators on *curves*:

- Given $r \in R$, we can define a *homothety* by rescaling the coordinate by r:

$$\log(g(rx)) = \sum_{n=1}^{\infty} (a_n r^n) x^n.$$

- For $\ell \in \mathbb{Z}$, we can define a shift operator (or *Verschiebung*) by

$$\log(V_\ell g(x)) = \log(g(x^\ell)) = \sum_{n=1}^{\infty} a_n x^{n\ell}.$$

- Given an $\ell \in \mathbb{Z}_{(p)}$, we define the ℓ–series by

$$\log([\ell](g(x))) = \ell \log(g(x)) = \sum_{n=1}^{\infty} \ell a_n x^n.$$

- For $\ell \in \mathbb{Z}$, we can define a *Frobenius operator* by

$$\log(F_\ell g(x)) = \log\left(\sum_{j=1}^{\ell} {}_{\widehat{G}} g(\zeta_\ell^j x^{1/\ell})\right) = \sum_{n=1}^{\infty} \ell a_{n\ell} x^n,$$

where ζ_ℓ is a primitive ℓ^{th} root of unity. Because this formula is Galois–invariant in choice of primitive root, it actually expands to a series which lies over the ground ring (without requiring an extension by ζ_ℓ). But, by pulling the logarithm through and noting

$$\sum_{j=1}^{\ell} \zeta_\ell^{jn} = \begin{cases} \ell & \text{if } \ell \mid n, \\ 0 & \text{otherwise,} \end{cases}$$

we can explicitly compute the behavior of F_ℓ.[5]

Stringing these together, for $p \nmid \ell$ we have

$$\log([1/\ell]V_\ell F_\ell g(x)) = \sum_{n=1}^{\infty} a_{n\ell} x^{n\ell}.$$

Hence, we can consider the curve $g -_{\widehat{G}} \sum_{p\nmid\ell}[1/\ell]V_\ell F_\ell g$, which is another coordinate on the same formal group $\widehat{G}$, but with a p–typical logarithm. □

> The sum is taken over all $\ell \in \mathbb{Z}$ not a multiple of p, using the formal group addition, right? In that case, aren't you subtracting too much? If n is not a power of p, then the logarithm of this expression is a_n times $1-$ the number of factors of n coprime to p. Also, why is this still an isomorphism?

Of course, not every formal group law supports a logarithm — after all, this is the point of "height". There are two ways around this: one is to pick a surjection $S \to R$ from a torsion-free ring S, *choose* a lift of the formal group law to S, then pass to $S \otimes \mathbb{Q}$ and study how much of the resulting logarithm descends to R. However, it is not clear that this procedure is independent of choice. We therefore pursue an alternative approach: an intermediate definition that applies to all formal group laws and which specializes to the one above in the presence of a logarithm. To do this, we consider what computations are made easier with this sort of formula for a logarithm, and we arrive at the following:

> Some of these gs should be g_0s.

Definition 3.3.8. The *p–series* of a formal group law $+_\varphi$ is given by the formula

$$[p]_\varphi(x) := \overbrace{x +_\varphi \cdots +_\varphi x}^{p \text{ times}}.$$

---

[5]The definition of Frobenius comes from applying the Verschiebung to the character group (or "Cartier dual") of $\widehat{G}$.

Lemma 3.3.9. *If $+_\varphi$ is a formal group law with p–typical logarithm, then there are elements v_n with*

$$[p]_\varphi(x) = px +_\varphi v_1 x^p +_\varphi v_2 x^{p^2} +_\varphi \cdots +_\varphi v_n x^{p^n} +_\varphi \cdots .$$

Proof sketch. This comes from comparing the two series

$$\log_\varphi(px) = px + \cdots ,$$
$$\log_\varphi([p]_\varphi(x)) = p \log_\varphi(x) = px + \cdots .$$

The difference is concentrated in degrees of the form p^d, beginning in degree p, so one can find an element v_1 so that

$$p \log_\varphi(x) - (\log_\varphi(px) + \log_\varphi(v_1 x^p))$$

starts in degree p^2, and so on. In all, this gives the equation

$$p \log_\varphi(x) = \log_\varphi(px) + \log_\varphi(v_1 x^p) + \log_\varphi(v_2 x^{p^2}) + \cdots$$

at which point we can use formal properties of the logarithm to deduce

$$\log_\varphi[p]_\varphi(x) = \log_\varphi \left(px +_\varphi v_1 x^p +_\varphi v_2 x^{p^2} +_\varphi \cdots +_\varphi v_n x^{p^n} +_\varphi \cdots \right)$$
$$[p]_\varphi(x) = px +_\varphi v_1 x^p +_\varphi v_2 x^{p^2} +_\varphi \cdots +_\varphi v_n x^{p^n} +_\varphi \cdots \qquad \square$$

Definition 3.3.10. A formal group law is itself said to be *p–typical* when its p–series has the above form. (In particular, the logarithm of a p–typical formal group law is a p–typical logarithm.)

Corollary 3.3.11 (Lemma 3.3.7)**.** *Every formal group law is naturally isomorphic to a p–typical one.*

Proof. The procedure applied to the formal group law $+_\varphi$ in the proof of Lemma 3.3.7 applies equally well to an arbitrary formal group law, even without a logarithm — it just wasn't clear what was being gained. Now, it is clear: we are gaining the conclusion of this Corollary. $\qquad \square$

Remark 3.3.12. There is an inclusion of groupoid–valued sheaves from p–typical formal group laws with isomorphisms to all formal group laws with isomorphisms. Corollary 3.3.11 can be viewed as presenting this inclusion as a deformation retraction, and in particular the inclusion is a natural *equivalence* of groupoids. It follows that they both present the same stack: $\mathcal{M}_{\mathbf{fg}}$. In fact, the moduli of p–typical formal group laws is isomorphic to $\mathrm{Spec}\,\mathbb{Z}_{(p)}[v_1, v_2, \ldots, v_d, \ldots]$ — every possible p–series is realized by a unique p–typical formal group law.

I'm still not sure about this. Lemma 3.3.7 doesn't say anything about p–series, and the previous two lemmas use the existence of a logarithm rather substantially.

Cite me: This is Lemma 6.6.3 in the crystals notes. Definitely copy this proof! It avoids invoking a logarithm, which you haven't managed to do otherwise. Additionally: this gives a proof that the p–series of a p–typical coordinate has the usual p–typical expression. To go the other way, a p–typical coordinate on a finite height formal group can't be killed by p (in the curves formulation

Remark 3.3.13. In fact, the rational logarithm coefficients can be recursively recovered from the coefficients v_d, using a similar manipulation:

$$p \log_\varphi(x) = \log_\varphi([p]_\varphi(x))$$

$$p \sum_{n=0}^\infty m_n x^{p^n} = \log_\varphi \left(\sum_{d=0}^\infty {}_\varphi v_d x^{p^d} \right) = \sum_{d=0}^\infty \log_\varphi \left(v_d x^{p^d} \right)$$

$$\sum_{n=0}^\infty p m_n x^{p^n} = \sum_{d=0}^\infty \sum_{j=0}^\infty m_j v_d^{p^j} x^{p^{d+j}} = \sum_{n=0}^\infty \left(\sum_{k=0}^n m_k v_{n-k}^{p^k} \right) x^{p^n},$$

implicitly taking $m_0 = 1$ and $v_0 = p$.

Proof of Lemma 3.3.4. Replace the formal group law by its p–typification. Based on Remark 3.3.13, we see that the height of a p–typical formal group law over a field of characteristic p is coincides with the appearance of the first nonzero coefficient in its p–series. □

3.4 The structure of $\mathcal{M}_{\mathbf{fg}}$ II: Large scales

With the notion of "height" firmly in hand, we are now in a position to classify the geometric points of $\mathcal{M}_{\mathbf{fg}}$.

Theorem 3.4.1 ([22, Théorème IV])**.** *Let $\bar{k}$ be an algebraically closed field of positive characteristic p. There is a bijection between maps*

$$\Gamma : \operatorname{Spec} \bar{k} \to \mathcal{M}_{\mathbf{fg}}$$

and numbers $1 \le d \le \infty$ given by $\Gamma \mapsto \operatorname{ht}(\Gamma)$.

Proof. The easy part of the proof is surjectivity: recalling Remark 3.3.12, take the p–typical formal group law over $\mathbb{F}_p$ determined by the p–series $[p]_{\varphi_d}(x) = x^{p^d}$, sometimes called the *Honda formal group law*.

To show injectivity, we must show that every p–typical formal group law φ over $\bar{k}$ is isomorphic to the appropriate Honda group law. Suppose that the p–series for φ begins

$$[p]_\varphi(x) = x^{p^d} + a x^{p^{d+k}} + \cdots .$$

Then, we will construct a coordinate transformation $g(x) = \sum_{j=1}^\infty b_j x^j$ satisfying

$$g(x^{p^d}) \equiv [p]_\varphi(g(x)) \qquad\qquad (\bmod\ x^{p^{d+k}+1})$$

$$\sum_{j=1}^\infty b_j x^{jp^d} \equiv \sum_{j=1}^\infty b_j^{p^d} x^{jp^d} + \sum_{j=1}^\infty a b_j^{p^{d+k}} x^{jp^{d+k}} \qquad (\bmod\ x^{p^{d+k}+1}).$$

For g to be a coordinate transformation, we must have $b_1 = 1$, which in the critical degree $x^{p^{d+k}}$ forces the relation

$$b_{p^k} = b_{p^k}^{p^d} + a.$$

Since $\bar{k}$ is algebraically closed, this relation is solvable, and the coordinate can be perturbed so that the term $x^{p^{d+k}}$ does not appear in the p–series. If we set the earlier terms in the series to be 0, then we can induct on d. □

Remark 3.4.2. From this, it follows that the "coarse moduli of formal groups" — i.e., the functor from rings to isomorphism classes of formal groups over that ring — is not representable by a scheme. The infinitely many isomorphism classes over $\operatorname{Spec} \mathbb{F}_p$ produce infinitely many over $\operatorname{Spec} \mathbb{Z}$ as well. On the other hand, there is a single $\mathbb{Q}$–valued point of the coarse moduli, whereas the $\mathbb{Z}$–points of a representable functor would inject into its $\mathbb{Q}$–points.

We now turn to the closed substacks of $\mathcal{M}_{\mathbf{fg}}$, which also admit a reasonable presentation in terms of height.

Lemma 3.4.3 ([55, Theorem 4.6]). *Recall that the moduli scheme of p–typical formal group laws is presented as*

$$\mathcal{M}_{\mathbf{fgl}}^{p\text{-typ}} = \operatorname{Spec} \mathbb{Z}_{(p)}[v_1, v_2, \ldots, v_d, \ldots].$$

Suppose $g(x) = \sum_{j=0}^{\infty} {}_L t_j x^{p^j}$ is the universal p-typical coordinate transformation, which we can use to conjugate the universal group law "$+_L$" to a second p–typical group law "$+_R$", whose p–series has the form

$$[p]_R(x) = \sum_{d=0}^{\infty} {}_R \eta_R(v_d) x^{p^d}.$$

Modulo p, there is the relation:

$$\sum_{\substack{i \geq 0 \\ j > 0}} {}_L t_i \eta_R(v_j)^{p^i} \equiv \sum_{\substack{i > 0 \\ j \geq 0}} {}_L v_i t_j^{p^i} \pmod{p}.$$

Proof sketch. Work modulo p, one can Freshman's Dream the identity $[p]_L(g(x)) = g([p]_R(x))$ to death. □

Corollary 3.4.4 ([55, Lemmas 4.7-8]). *Write I_d for the ideal $I_d = (p, v_1, \ldots, v_{d-1})$. Then*

$$\eta_R(v_d) \equiv v_d \pmod{I_d}.$$

It follows that the ideals I_d are invariant for all d. □

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What is *much* harder to prove is the following:

Theorem 3.4.5 ([55, Theorem 4.9]). *If I is an invariant prime ideal, then $I = I_d$ for some d.*

Proof sketch. Inductively assume that $I_d \subseteq I$. If this is not an equality, we want to show that $I_{d+1} \subseteq I$ is forced. Take $y \in I \setminus I_d$; if we could show

$$\eta_R(y) = a v_d^j t^K + \cdots,$$

we could proceed by primality to show that $v_d \in I$ and hence $I_{d+1} \subseteq I$. This is possible (and, indeed, this is how the proof goes), but it requires serious bookkeeping. □

The equivalent statement in terms of stacks is:

Theorem 3.4.6 (Landweber). *The unique closed substack of $\mathcal{M}_{\mathbf{fg},(p)} := \mathcal{M}_{\mathbf{fg}} \times \operatorname{Spec} \mathbb{Z}_{(p)}$ of codimension d is selected by $\mathcal{O}_{\mathcal{M}_{\mathbf{fgl}}^{p\text{-typ}}}/(p, v_1, \ldots, v_{d-1})$.* □

Remark 3.4.7. The complementary open substack of dimension d is harder to describe. From first principles, we can say only that it is the locus where the coordinate functions $p, v_1, \ldots, v_d$ do not *all simultaneously vanish*. It turns out that:

1. On a cover, at least one of these coordinates can be taken to be invertible.

2. Once one of them is invertible, a coordinate change on the formal group law can be used to make v_d (and perhaps others in the list) invertible. Hence, we can use $v_d^{-1} \mathcal{O}_{\mathcal{M}_{\mathbf{fg}}^{p\text{-typ}}}$ as a coordinate chart.

3. Over a further base extension and a further coordinate change, the higher coefficients v_{d+k} can be taken to be zero. Hence, we can also use $v_d^{-1} \mathbb{Z}_{(p)}[v_1, \ldots, v_d]$ as a coordinate chart.

We can now rephrase Theorem 3.2.1 in terms of algebraic conditions.

Theorem 3.4.8 (Landweber, cf. Theorem 3.2.1, see also [14, Theorem 21.4 and Proposition 21.5]). *Let M be a module over*

$$\mathcal{O}_{\mathcal{M}_{\mathbf{fg}}^{p\text{-typ}}} \cong \mathbb{Z}_{(p)}[v_1, \ldots, v_d, \ldots].$$

If $(p, v_1, \ldots, v_d, \ldots)$ forms an infinite regular sequence on M, then

$$X \mapsto M \otimes_{\mathcal{O}_{\mathcal{M}_{\mathbf{fg}}^{p\text{-typ}}}} MU_0(X)$$

determines a homology theory on finite *spectra X. Moreover, if $M/I_d = 0$ for some $d \gg 0$, then the same formula determines a homology theory on all spectra X.*

Proof. This is a direct consequence of the classification of closed substacks of $\mathcal{M}_{\mathbf{fg},(p)}$ in Theorem 3.4.6. Specifically, M determines a flat quasicoherent sheaf on $\mathcal{M}_{\mathbf{fg},(p)}$ when $\mathrm{Tor}_1(M, N) = 0$ for any other comodule N . Using the classification of closed substacks and the regularity condition, one can iteratively use the short exact sequences

$$0 \to M/I_d \xrightarrow{\cdot v_d} M/I_d \to M/I_{d+1} \to 0$$

to trade this condition for the list of conditions

- $\mathrm{Tor}_1(p^{-1}M, N) = 0$.

- $\mathrm{Tor}_2(v_1^{-1}M/p, N) = 0$.

- ...

- $\mathrm{Tor}_d(v_{d-1}^{-1}M/I_{d-1}, N) = 0$.

- $\mathrm{Tor}_{d+1}(M/I_d, N) = 0$.

for any d. If N is coherent, as in the case $N = MU_*(X)$ for a finite spectrum X, then this final condition is satisfied automatically for $d \gg 0$. (Alternatively, we can assume that M eventually satisfies this condition on its own.) By observing the length of the Koszul resolution associated to the cover $v_d^{-1}\mathbb{Z}_{(p)}[v_1, \ldots, v_d]$, one finally sees that

$$\mathrm{Tor}_d(v_{d-1}^{-1}M/I_{d-1}, N) = 0$$

is satisfied for *any* quasicoherent sheaf. $\square$

Remark 3.4.9. It's worth pointing out how strange all of this is. In Euclidean geometry, open subspaces are always top-dimensional, and closed subspaces can drop dimension. Here, proper open substacks of every dimension appear, and every nonempty closed substack is ∞–dimensional (albeit of positive codimension).

3.5 The structure of $\mathcal{M}_{\mathbf{fg}}$ III: Small scales

We now turn to the deformation theory of formal groups, which is about the appearance of formal groups in families. Specifically, following Lecture 3.2 we will be interested in infinitesimal deformations of formal groups over fields of positive characteristic.

Definition 3.5.1. Given a formal group Γ classified by a map $\mathrm{Spec}\, k \to \mathcal{M}_{\mathbf{fg}}$, then a *deformation of Γ to a scheme X* is a factorization

$$\mathrm{Spec}\, k \to X \to \mathcal{M}_{\mathbf{fg}}.$$

If X is a nilpotent thickening of $\mathrm{Spec}\, k$ (or an ind-system of such), then the deformation is said to be *infinitesimal*.

The study of all possible infinitesimal deformations of a particular map $\operatorname{Spec} k \to \mathcal{M}_{\mathbf{fg}}$ has a geometric interpretation, embodied by the following Lemma:

Lemma 3.5.2. *Let* $\operatorname{Spec} k \to Y$ *be any map, and let* $\operatorname{Spec} k \to X \to Y$ *be a factorization through* *a nilpotent thickening* X *of* $\operatorname{Spec} k$. *Then there is a natural further factorization*

$$\operatorname{Spec} k \to X \dashrightarrow Y_X^{\wedge} \to Y. \quad \square$$

The spirit of the Lemma, then, is that the study of infinitesimal deformations of $\Gamma \colon \operatorname{Spec} k \to \mathcal{M}_{\mathbf{fg}}$ is equivalent to the study of $(\mathcal{M}_{\mathbf{fg}})_{\Gamma}^{\wedge}$ itself. So, this fits into our program of analyzing the (local) structure of $\mathcal{M}_{\mathbf{fg}}$.

Example 3.5.3. It's also helpful to expand what an infinitesimal deformation is in our case of interest. Set $Y = \mathcal{M}_{\mathbf{fg}}$, and fix a map $\Gamma \colon \operatorname{Spec} k \to \mathcal{M}_{\mathbf{fg}}$ classifying a formal group Γ over $\operatorname{Spec} k$. Let S be a local ring with maximal ideal $\mathfrak{m}$ so that S is a nilpotent thickening of $S/\mathfrak{m}$. A deformation of Γ to S is the data of a formal group $\widehat{G}$ over $\operatorname{Spec} S$, an identification $i \colon \operatorname{Spec} k \to \operatorname{Spec} S/\mathfrak{m}$, and a choice of an isomorphism f fitting together into the following diagram:

$$
\begin{array}{ccc}
\Gamma \xrightarrow{\ \ \underset{\simeq}{f}\ \ } i^*j^*\widehat{G} & \longrightarrow & \widehat{G} \\
\ \ \searrow \quad \downarrow & & \downarrow \\
\operatorname{Spec} k \xrightarrow{\ i\ } \operatorname{Spec} S/\mathfrak{m} \xrightarrow{\ j\ } & \operatorname{Spec} S.
\end{array}
$$

Example 3.5.4. Consider the case of an infinitesimal parameter space $X = \widehat{\mathbb{A}}^1$. A map $\widehat{\mathbb{A}}^1 \to \mathcal{M}_{\mathbf{fg}}$ can be presented by a map $\widehat{\mathbb{A}}^1 \to \mathcal{M}_{\mathbf{fgl}}$, which corresponds to a "family" of formal group laws $+_{\varphi_h}$ of the form

$$x +_{\varphi_h} y = (x +_{\varphi} y) + h(x +_{\varphi(1)} y) + h^2(x +_{\varphi(2)} y) + \cdots$$

for some series $+_{\varphi(n)}$. In particular, $+_{\varphi(0)}$ is a formal group law over k.

The analysis of $(\mathcal{M}_{\mathbf{fg}})_{\Gamma}^{\wedge}$ is due to Lubin and Tate, but we first follow a more structured approach written down by Lazarev.

Definition 3.5.5. Let $+_{\varphi}$ be a formal group law over R, and let M be an R–module. The deformation complex $\widehat{C}^*(\varphi; M)$ is defined by

$$M \to M[\![x_1]\!] \to M[\![x_1, x_2]\!] \to M[\![x_1, x_2, x_3]\!] \to \cdots$$

with differential

$$
\begin{aligned}
(df)(x_1, \ldots, x_{n+1}) = {}& \varphi_1\left(\sum_{i=1}^{n} {}_{\varphi}x_i, x_{n+1}\right) \cdot f(x_1, \ldots, x_n) \\
& + \sum (-1)^i f(x_1, \ldots, x_i +_{\varphi} x_{i+1}, \ldots, x_{n+1}) \\
& + (-1)^{n+1}\left(\varphi_2\left(x_1, \sum_{i=2}^{n+1} {}_{\varphi}x_i\right) \cdot f(x_2, \ldots, x_{n+1})\right),
\end{aligned}
$$

where we have written

$$\varphi_1(x,y) = \frac{\partial(x +_\varphi y)}{\partial x}, \qquad\qquad \varphi_2(x,y) = \frac{\partial(x +_\varphi y)}{\partial y}.$$

This complex tracks the data of infinitesimal deformations. For instance, consider a deformed automorphism f of $+_\varphi$, expressed as

$$f(x) = f_0(x) + h f_1(x) + h^2 f_2(x) + \cdots,$$

and satisfying

$$f(x +_\varphi y) = f(x) +_\varphi f(y).$$

Applying $\frac{\partial}{\partial h}\big|_{h=0}$ to this equality gives

$$f_1(x +_\varphi y) = \varphi_1(x,y) f_1(x) + \varphi_2(x,y) f_1(y)$$

and thus f_1 is a 1–cocycle in the deformation complex. A similar sequence of observations culminates in the following theorem:

Theorem 3.5.6 ([23, p. 1320]). *Let $+_\varphi$ be a formal group law over a ring R and let $S \to R$ be a square–zero extension with kernel M.*

1. *Automorphisms of $+_\varphi$ over S covering the identity on R correspond to elements in $\widehat{Z}^1(\varphi; M)$.*

2. *Extensions of $+_\varphi$ to S correspond to elements in $\widehat{Z}^2(\varphi; M)$.*

3. *Two such extensions are isomorphic as formal group laws over S if their cocycles differ by an element in $\widehat{B}^2(\varphi; M)$.* □

So, this complex contains all the information we're interested in. Miraculously, we actually already studied the main input to computing this complex yesterday:

Lemma 3.5.7 ([23, p. 1320]). *This is quasi-isomorphic to the usual bar complex:*

$$\widehat{C}^*(\varphi; M) \to \mathsf{FormalSchemes}(B\widehat{\mathbb{G}}_\varphi, M \otimes \widehat{\mathbb{G}}_a)$$

$$f \mapsto \varphi_1\left(0, \sum_{i=1}^n {}_\varphi x_i\right)^{-1} f(x_1, \ldots, x_n). \quad \square$$

Of course, yesterday we computed the specific case of $\widehat{\mathbb{G}} = \widehat{\mathbb{G}}_a$. However, by filtering the multiplication on $\widehat{\mathbb{G}}$ by degree, we can use this specific calculation to get up to the general one.

Lemma 3.5.8. *Let $\widehat{G}$ be a formal group of finite height d over a field k. Then the group $H^2(\widehat{G}; M \otimes \widehat{G}_a)$ classifying isomorphism classes of deformations is a free k–vector space of dimension $(d-1)$.*

Proof (after Hopkins). Using p–typification, we select a coordinate on $\widehat{G}$ of the form

$$x +_\varphi y = x + y + (\text{unit})c_{p^d} + \cdots .$$

Then, filter $\widehat{G}$ by degree and consider the resulting spectral sequence of signature

$$H^*(\widehat{G}_a; M \otimes \widehat{G}_a) \cong M \otimes (\Lambda_k[\alpha_j \mid j \geq 0] \otimes k[\beta_j \mid j \geq 0]) \Rightarrow H^*(\widehat{G}; M \otimes \widehat{G}_a).$$

To compute the differentials in this spectral sequence, one computes by hand the formula for the differential in the bar complex, working up to lowest visible degree. In order to compute

$$(x +_\varphi y)^{p^r} - (x^{p^r} + y^{p^r}) = (\text{unit}) \cdot c_{p^{d+r}}(x, y) + \cdots ,$$

where we used $c_{p^d}^{p^r} = c_{p^{r+d}}$. So, we see that there are $d-1$ things at the bottom of the spectral sequence which are not coboundaries, and we need to check that they are indeed permanent cocycles. To do this, we need only show that they are realized by deformations, which Lubin and Tate accomplish in Lemma 3.5.9. $\qquad \square$

Lemma 3.5.9 ([24, Proposition 1.1]). *Let W be a local ring with residue field k, and let φ be a group law of height d on k. There is a group law $\tilde{\varphi}$ over $W[\![u_1, \ldots, u_{d-1}]\!]$ restricting to φ on k such that for some $j \geq 1$,*

$$x +_{\tilde{\varphi}} y \equiv x + y + u_j c_{p^j}(x, y) \quad (\text{mod } u_1, \ldots, u_{j-1}, (x, y)^{p^j+1}). \quad \square$$

Picking $W = \mathbb{W}_p(k)$ to be the ring of Witt vectors, Lemma 3.5.9 produces the universal example of a deformation of a group law φ to $\tilde{\varphi}$.

Theorem 3.5.10. *Let $\text{Spf } R$ be an infinitesimal deformation of its residue field $\text{Spec } k$. For each lift of φ to ψ over $\text{Spf } R$, there is a unique homomorphism*

$$\alpha \in \text{FormalSchemes}(\text{Spf } R, \text{Spf } \mathbb{W}_p(k)[\![u_1, \ldots, u_{d-1}]\!])$$

with $\alpha^* \tilde{\varphi}$ uniquely strictly isomorphic to ψ.

Proof. We will prove this inductively on the neighborhoods of $\text{Spec } k = \text{Spec } R/I$ in $\text{Spf } R$. Suppose that we have demonstrated the Theorem for $\psi_{r-1} = R/I^{r-1} \otimes \psi$, so that there is a map $\alpha_{r-1} \colon \mathbb{W}_p(k)[\![u_1, \ldots, u_{d-1}]\!] \to R/I^{r-1}$ and a strict isomorphism $g_{r-1} \colon \psi_{r-1} \to \alpha_{r-1}^* \tilde{\varphi}$. The exact sequence

$$0 \to I^{r-1}/I^r \to R/I^r \to R/I^{r-1} \to 0$$

exhibits R/I^r as a square–zero extension of R/I^{r-1} by $M = I^{r-1}/I^r$.

Let β be *any* lift of α_{r-1} and h be *any* lift of g_{r-1} to R/I^r, and let A and B be the induced group laws

$$x +_A y = \beta^* \tilde{\varphi}, \qquad\qquad x +_B y = h\left(h^{-1}(x) +_{\psi_r} h^{-1}(y)\right).$$

Since these both deform the group law ψ_{r-1}, by Lemma 3.5.8 there exist $m_j \in M$ and $f(x) \in M[\![x]\!]$ satisfying

$$(x +_B y) - (x +_A y) = (df)(x,y) + \sum_{j=1}^{d-1} m_j v_j(x,y),$$

where $v_j(x,y)$ is the 2–cocycle associated to the cohomology 2–class β_j. The following definitions complete the induction:

$$g_r(x) = h(x) - f(x), \qquad\qquad \alpha_r(u_j) = \beta(u_j) + m_j. \qquad\qquad \square$$

Remark 3.5.11. Our calculation $H^1(\widehat{\mathbb{G}}_\varphi; M \otimes \widehat{\mathbb{G}}_a)$ also shows that there are *no* automorphisms of the formal group Γ over the special fiber which induce automorphisms of the universal deformation. Specifically, *any* deformation of a nontrivial automorphism of Γ acts nontrivially on Lubin–Tate space by permuting the deformations living over the various fibers. A consequence of this observation is that the deformation space produced in Theorem 3.5.10 is a *formal scheme*, carrying only the previously-known inertial group of $\operatorname{Aut}\Gamma$ at the special fiber, rather than a full-on stack.

Remark 3.5.12. We also see that our analysis fails wildly for the case $\Gamma = \widehat{\mathbb{G}}_a$. The differential calculation in Lemma 3.5.8 are meant to give us an upper bound on the dimensions of $H^1(\Gamma; \widehat{\mathbb{G}}_a)$ and $H^2(\Gamma; \widehat{\mathbb{G}}_a)$, but this family of differentials is zero in the additive case. Accordingly, both of these vector spaces are infinite dimensional — the infinitesimal

Having accomplished all our major goals, we close our algebraic analysis of $\mathcal{M}_{\mathbf{fg}}$ with a diagram summarizing our results.

PICTURE GOES HERE.

3.6 Spectra detecting nilpotence

We have now arrived at the conclusion of our program from Lecture 3.2 for manufacturing interesting homology theories from Quillen's theorem: we have an ample supply of open and closed substacks of $\mathcal{M}_{\mathbf{fg}}$, and we have analyzed its geometric points as well as their deformation neighborhoods.

Definition 3.6.1. We define the following "chromatic" homology theories:

- Recall that the moduli of p–typical group laws is affine, presented by the scheme $\operatorname{Spec} BPP_0$, $BPP_0 := \mathbb{Z}_{(p)}[v_1, v_2, \ldots, v_d, \ldots]$. Since the inclusion of p–typical group laws into all group laws induces an equivalence of stacks, it follows that this formula determines a homology theory on finite spectra, called *Brown–Peterson homology*:

$$BPP_0(X) := MUP_0(X) \otimes_{MUP_0} BPP_0.$$

- A chart for the open substack $\mathcal{M}_{\mathbf{fg}}^{\leq d}$ in terms of $\mathcal{M}_{\mathbf{fgl}}^{p\text{-typ}} \cong \operatorname{Spec} \mathbb{Z}_{(p)}[v_1, v_2, \ldots, v_d, \ldots]$ is $\operatorname{Spec} E(d)P_0 := \operatorname{Spec} \mathbb{Z}_{(p)}[v_1, v_2, \ldots, v_d^{\pm}]$. It follows that there is a homology theory $E(d)P$, called *the dth Johnson–Wilson homology*, defined on all spectra by

$$E(d)P_0(X) := MUP_0(X) \otimes_{MUP_0} E(d)P_0.$$

- Similarly, for a formal group Γ of height $d < \infty$, there is a chart $\operatorname{Spf} \mathbb{Z}_p[\![u_1, \ldots, u_{d-1}]\!]$ for its deformation neighborhood. Correspondingly, there is a homology theory E_Γ, called *the (discontinuous) Morava E–theory for Γ*, determined by

$$E_{\Gamma 0}(X) := MUP_0(X) \otimes_{MUP_0} \mathbb{Z}_p[\![u_1, \ldots, u_{d-1}]\!].$$

> Why is this not written as $E_\Gamma P_0$?

- Since $(p, u_1, \ldots, u_{d-1})$ forms a regular sequence on $E_{\Gamma*}$, we can form the regular quotient K_Γ in the homotopy category. This determines a spectrum, and hence determines a homology theory called *the Morava K–theory for Γ*. In the case where Γ comes from the Honda p–typical formal group law (of height d), this spectrum is often written as $K(d)$. As an edge case, we also set $K(\infty) = H\mathbb{F}_p$.[6]

- More delicately, there is a version of Morava E–theory which takes into account the formal topology on $(\mathcal{M}_{\mathbf{fg}})_\Gamma^\wedge$, called *continuous Morava E–theory*. It is defined by the pro-system $\{E_\Gamma(X)/u^I\}$, where I ranges over multi-indices and the quotient is taken in the "homotopical sense", i.e., u_j–torsion elements contribute to the odd-degree homotopy of the quotient.

> Can you be more precise about this?

---

[6]By Theorem 3.4.1, it often suffices to consider just these spectra to make statements about all K_Γ. With more care, it even often suffices to consider formal groups Γ of finite height.

- There is also a homology theory associated to the closed substack $\mathcal{M}^{\geq d}_{\mathbf{fg}}$. Since $I_d = (p, v_1, \ldots, v_{d-1})$ is generated by a regular sequence on BPP_0, we can directly define the spectrum $P(d)P$ by a regular quotient:

$$P(d)P = BP/(p, v_1, \ldots, v_{d-1}).$$

  This spectrum does have the property $P(d)P_0 = BPP_0/I_d$, but it is *only* the case that $P(d)P_0 = BPP_0(X)/I_d$ when I_d forms a regular sequence on $BPP_0(X)$ — which is reasonably rare among the cases of interest.

Remark 3.6.2 ([21, Section 5.2], [48, Theorem 2.13]). <u>Morava K–theory at the even prime is</u> not commutative. Instead, there is a derivation $Q_d : K(d) \to \Sigma K(d)$ satisfying

$$ab - ba = uQ_d(a)Q_d(b).$$

In particular, $K(d)^*X$ is a commutative ring whenever $K(d)^1X = 0$.

Having constructed these "stalk" homology theories, I want to show that you can actually perform stalkwise analyses of the sheaves coming from bordism theory. Our example case is a famous theorem: the solution of Ravenel's nilpotence conjectures by Devinatz, Hopkins, and Smith. Their theorem concerns spectra which "detect nilpotence" in the following sense:

Definition 3.6.3. <u>A ring spectrum E *detects nilpotence* if, for any ring spectrum R, the kernel</u> of the Hurewicz homomorphism $E_* : \pi_*R \to E_*R$ consists of nilpotent elements.

First, a word about why one would care about such a condition. The following theorem is classical:

Theorem 3.6.4 (Nishida). *Every homotopy class $\alpha \in \pi_{\geq 1}S$ is nilpotent.* $\square$

However, people studying K–theory in the '70s discovered the following phenomenon:

Theorem 3.6.5 (Adams). *Let $M_{2n}(p)$ denote the mod–p Moore spectrum with bottom cell in* *degree $2n$. Then there is an index n and a map $v : M_{2n}(p) \to M_0(p)$ such that KU_*v acts by* *multiplication by the nth power of the Bott class. The minimal such n is given by the formula*

$$n = \begin{cases} p-1 & \text{when } p \geq 3, \\ 4 & \text{when } p = 2. \end{cases} \quad \square$$

In particular, this means that v cannot be nilpotent, since a null-homotopic map induces the zero map in any homology theory. Just as we took the non-nilpotent endomorphism

p in $\pi_0 \operatorname{End} S$ and coned it off, we can take the endomorphism v in $\pi_{2p-2} \operatorname{End} M_0(p)$ and cone it off to form a new spectrum called $V(1)$.[7]

One can ask, then, whether the pattern continues: does $V(1)$ have a non-nilpotent self-map, and can we cone it off to form a new such spectrum with a new such map? Can we then do that again, indefinitely? In order to study this question, we are motivated to find spectra E as above, since an E that detects nilpotence cannot send such a nontrivial self-map to zero. In fact, we found one such E already:

Theorem 3.6.6 (Devinatz–Hopkins–Smith). *Complex cobordism MU detects nilpotence.* □

They also show that the *MU* is the universal object which detects nilpotence, in the sense that any other ring spectrum can have this property checked stalkwise on $\mathcal{M}_{MU}$:

Corollary 3.6.7 ([16, Theorem 3]). *A ring spectrum E detects nilpotence if and only if for all $0 \leq d \leq \infty$ and for all primes p, $K(d)_* E \neq 0$.*

Proof. If $K(d)_* E = 0$ for some d, then the non-nilpotent unit map $S \to K(d)$ lies in the kernel of the Hurewicz homomorphism for E, so E fails to detect nilpotence.

Hence, for any d we must have $K(d)_* E \neq 0$. Because $K(d)_*$ is a field, it follows by picking a basis of $K(d)_* E$ that $K(d) \wedge E$ is a nonempty wedge of suspensions of $K(d)$. So, for $\alpha \in \pi_* R$, if $E_* \alpha = 0$ then $(K(d) \wedge E)_* \alpha = 0$ and hence $K(d)_* \alpha = 0$. So, we need to show that if $K(d)_* \alpha = 0$ for all n and all p then α is nilpotent. Taking Theorem 3.6.6 as given, it would suffice to show merely that $MU_* \alpha$ is nilpotent. This is equivalent to showing that the ring spectrum $MU \wedge R[\alpha^{-1}]$ is contractible or that the unit map is null:

$$S \to MU \wedge R[\alpha^{-1}].$$

A nontrivial result of Johnson and Wilson shows that if $MU_* X = 0$ for any X, then for any d we have $K([0,d])_* X = 0$ and $P(d+1)_* X = 0$. (Specifically, it is immediate that $MU_* X = 0$ forces $P(d+1)_* X = 0$ and $v_{d'}^{-1} P(d')_* (X) = 0$ for all $d' < d$. What's nontrivial is showing that $v_{d'}^{-1} P(d')_* (X) = 0$ if and only if $K(d')_* (X) = 0$ [37, Theorem 2.1.a], [20, Section 3].) Taking $X = R[\alpha^{-1}]$, we have assumed all of these are zero except for $P(d+1)$. But $\operatorname{colim}_d P(d+1) \simeq H\mathbb{F}_p \simeq K(\infty)$, and $S \to K(\infty) \wedge R[\alpha^{-1}]$ is assumed to be null as well. By compactness of S, that null-homotopy factors through some finite stage $P(d+1) \wedge R[\alpha^{-1}]$ with $d \gg 0$. □

As another example of the primacy of these methods, we can show the following interesting result. Say that R is a field spectrum when every R–module (in the homotopy category) splits as a wedge of suspensions of R. It is easy to check (as mentioned in the proof above) that $K(d)$ is an example of such a spectrum.

---

[7]The spectrum $V(1)$ is actually defined to be a finite spectrum with $BP_* V(1) \cong BP_*/(p, v_1)$. At $p = 2$ this spectrum doesn't exist and this is a misnomer. More generally, at odd primes p Nave shows that $V((p+1)/2)$ doesn't exist [34, Theorem 1.3].

Corollary 3.6.8. *Every field spectrum R splits as a wedge of Morava's $K(d)$ theories.*

Proof. Set $E = \bigvee_{\text{primes } p} \bigvee_{d \in [0,\infty]} K(d)$, so that E detects nilpotence. The class 1 in the field spectrum R is non-nilpotent, so it survives when paired with some K–theory $K(d)$, and hence $R \wedge K(d)$ is not contractible. Because both R and $K(d)$ are field spectra, the smash product of the two simultaneously decomposes into a wedge of $K(d)$s and a wedge of Rs. So, R is a retract of a wedge of $K(d)$s, and picking a basis for its image on homotopy shows that it is a sub-wedge of $K(d)$s. $\qquad\square$

Remark 3.6.9. This is interesting in its own right, because field spectra are exactly those spectra which have Künneth isomorphisms. So, even if you weren't neck-deep in algebraic geometry, you might still have struck across these homology theories just if you like to compute things, since Künneth formulas make things computable.

3.7 Periodicity in finite spectra

We're now well-situated to address Ravenel's question about finite spectra and periodic self-maps. The solution to this problem passes through some now-standard machinery for triangulated $\otimes$–categories.

Definition 3.7.1. A full subcategory of a triangulated category (e.g., the homotopy category of p–local finite spectra) is *thick* if…

- …it is closed under weak equivalences.

- …it is closed under retracts.

- …it has a 2-out-of-3 property for cofiber sequences.

Examples of thick subcategories include:

- The category C_d of p–local finite spectra which are $K(d-1)$–acyclic. (For instance, if $d = 1$, the condition of $K(0)$–acyclicity is that the spectrum have purely torsion homotopy groups.) These are called "finite spectra of type at least d".

- The category D_d of p–local finite spectra F which have a self-map $v : \Sigma^N F \to F$, $N \gg 0$ inducing multiplication by a unit in $K(d)$–homology. These are called "v_d–self–maps".

Ravenel shows the following useful result interrelating the C_d:

Lemma 3.7.2 ([37, Theorem 2.11]). *For X a finite complex, there is a bound*

$$\dim K(d-2)_* X \le \dim K(d-1)_* X.$$

In particular, there is an inclusion $\mathsf{C}_{d-1} \subseteq \mathsf{C}_d$. □

Hopkins and Smith show the following classification theorem:

Theorem 3.7.3 ([16, Theorem 7]). *Any thick subcategory C of the category of p–local finite spectra must be C_d for some finite d.*

Proof. Since C_d are nested by Lemma 3.7.2 and they form an exhaustive filtration, it is thus sufficient to show that any object $X \in \mathsf{C}$ with $X \in \mathsf{C}_d$ induces an inclusion $\mathsf{C}_d \subseteq \mathsf{C}$. Write R for the endomorphism ring spectrum $R = F(X, X)$, and write F for the fiber of its unit map:

$$F \xrightarrow{f} \mathsf{S} \xrightarrow{\eta_R} R.$$

Finally, let Y be *any* finite spectrum of type at least d. It suffices to show $Y \in \mathsf{C}$.

Now consider applying $K(n)$–homology (for *arbitrary* n) to the map

$$1 \wedge f \colon Y \wedge F \to Y \wedge \mathsf{S}.$$

The induced map is always zero:

- In the case that $K(n)_* X$ is nonzero, then $K(n)_* \eta_R$ is injective because $K(n)_*$ is a graded field, and so $K(n)_* f$ is zero.

- In the case that $K(n)_* X$ is zero, then $n \le d$ and, because of the bound on type, $K(n)_* Y$ is zero as well.

By a small variant of local nilpotence detection (Corollary 3.6.7, [16, Corollary 2.5]), it follows for $j \gg 0$ that

$$Y \wedge F^{\wedge j} \xrightarrow{1 \wedge f^{\wedge j}} Y \wedge \mathsf{S}^{\wedge j}$$

is null-homotopic. Hence, one can calculate the cofiber to be

$$\mathrm{cofib}\left(Y \wedge F^{\wedge j} \xrightarrow{1 \wedge f^{\wedge j}} Y \wedge \mathsf{S}^{\wedge j}\right) \simeq Y \wedge \mathrm{cofib}\, f^{\wedge j} \simeq Y \vee (Y \wedge \Sigma F^{\wedge j}),$$

so that Y is a retract of this cofiber.

We now work to show that this smash product lies in the thick subcategory C of interest. First, note that $X \wedge Z$ lies in C for any finite complex Z, since Z can be expressed as a finite gluing diagram of spheres and smashing this through with X expresses $X \wedge Z$

as the iterated cofiber of maps with source and target in C. Next, consider the following smash version of the octahedral axiom: the factorization

$$F \wedge F^{\wedge(j-1)} \xrightarrow{f \wedge 1} \mathbb{S} \wedge F^{\wedge(j-1)} \xrightarrow{1 \wedge f^{\wedge(j-1)}} \mathbb{S} \wedge \mathbb{S}^{\wedge(j-1)}$$

begets a cofiber sequence

$$F \wedge \operatorname{cofib} f^{\wedge(j-1)} \to \operatorname{cofib} f^{\wedge j} \to \operatorname{cofib} f \wedge \mathbb{S}^{\wedge(j-1)}.$$

Now turn an eye toward induction. Noting that $\operatorname{cofib}(f) = R = X \wedge DX$ lies in C, we can use the 2-out-of-3 property on the octahedral sequence to see that $\operatorname{cofib}(f^{\wedge j})$ lies in C. It follows that $Y \wedge \operatorname{cofib}(f^{\wedge j})$ also lies in C, and using the retraction Y belongs to C as well. □

As an application of this classification, they also show the following considerably harder theorem:

Theorem 3.7.4 ([16, Theorem 9]). *A p–local finite spectrum is $K(d-1)$–acyclic exactly when it admits a v_d–self–map.*

Executive summary of proof. Given the classification of thick subcategories, if a property is closed under thickness then one need only exhibit a single spectrum with the property to know that all the spectra in the thick subcategory it generates also all have that property. Inductively, they manually construct finite spectra $M_0(p^{i_0}, v_1^{i_1}, \ldots, v_{d-1}^{i_{d-1}})$ for sufficiently large indices i_* which admit a self-map v governed by a commuting square

$$
\begin{array}{ccc}
BP_* M_{|v_d|i_d}(p^{i_0}, v_1^{i_1}, \ldots, v_{d-1}^{i_{d-1}}) & \xrightarrow{\ v\ } & BP_* M_0(p^{i_0}, v_1^{i_1}, \ldots, v_{d-1}^{i_{d-1}}) \\
\| & & \| \\
\Sigma^{|v_d|i_d} BP_*/(p^{i_0}, v_1^{i_1}, \ldots, v_{d-1}^{i_{d-1}}) & \xrightarrow{\ -\cdot v_d^{i_d}\ } & BP_*/(p^{i_0}, v_1^{i_1}, \ldots, v_{d-1}^{i_{d-1}}).
\end{array}
$$

These maps are guaranteed by very careful study of Adams spectral sequences. □

Remark 3.7.5. We ran into the asymptotic condition $I \gg 0$ yesterday, when we asserted that there is no root of the 2–local v_1–self–map $v \colon M_8(2) \to M_0(2)$.

There is a second interesting application of these ideas, investigated by Paul Balmer as part of a broad attempt to analyze a geometric object through its modules.

Definition 3.7.6. Given a triangulated $\otimes$–category C, define a thick subcategory $C' \subset C$ to be...

- ...a $\otimes$–*ideal* when it has the additional property that $x \in C'$ forces $x \otimes y \in C'$ for any $y \in C$.

- ...a *prime* $\otimes$–*ideal* when $x \otimes y \in \mathsf{C}'$ also forces at least one of $x \in \mathsf{C}'$ or $y \in \mathsf{C}'$.

Finally, define the *spectrum* of C to be its collection of prime $\otimes$–ideals, topologized so that $U(x) = \{\mathsf{C}' \mid x \in \mathsf{C}'\}$ form a basis of opens.

Theorem 3.7.7 (Balmer). *The spectrum of $D^{\mathrm{perf}}(\mathrm{Mod}_R)$ is naturally homeomorphic to the Zariski spectrum of R.* $\square$

Balmer's construction applies much more generally. The category Spectra can be identified with $\mathrm{Modules}_S$, and so one is moved to attempt to compute the Balmer spectrum of $\mathrm{Modules}_S^{\mathrm{perf}} = \mathrm{Spectra}^{\mathrm{fin}}$. In fact, we just finished this.

Theorem 3.7.8. *The Balmer spectrum of $\mathrm{Spectra}_{(p)}^{\mathrm{fin}}$ consists of the thick subcategories C_d, and $\{\mathsf{C}_n\}_{n=0}^d$ are its open sets.*

Proof. Using the characterization of C_d as the kernel of $K(d-1)_*$, we see that it is a prime $\otimes$–ideal:
$$K(d-1)_*(X \wedge Y) \cong K(d-1)_* X \otimes_{K(d-1)_*} K(d-1)_* Y$$
is zero exactly when at least one of X and Y is $K(d-1)$–acyclic. $\square$

Remark 3.7.9. In fact, our favorite functor $\mathcal{M}_{MU}(-)\colon \mathrm{Spectra} \to \mathrm{QCoh}(\mathcal{M}_{MU})$ induces a homeomorphism of the Balmer spectrum of $\mathrm{Spectra}^{\mathrm{fin}}$ to that of $\mathcal{M}_{\mathbf{fg}}$. However, this functor does *not* exist on the level triangulated categories, so this remark has to be interpreted somewhat lightly.

3.8 Chromatic localization

Balmer's construction is remarkably successful at describing the most salient features of the stable category, but it falls a ways short of the rich "spectrum" object we've come to know from algebraic geometry. In particular, we have only a topological space, and not anything like a locally ringed space (or a space otherwise equipped locally with algebraic data). It's also totally unclear why MU plays such an important mediating role between geometry (i.e., the stable category) and algebra (i.e., the moduli of formal groups). Nonetheless, taking that as granted, we can use Bousfield's theory of homological localization to access "local" categories of spectra of the sort that a sheaf of local rings would supply us with.

Theorem 3.8.1 ([6], [25, Theorem 7.7]). *Let R_* denote the homology theory associated by Landweber's Theorem 3.2.1 to a flat map $j\colon \mathrm{Spec}\, R \to \mathcal{M}_{\mathbf{fg}}$. There is then a diagram*

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$$\begin{array}{ccc}
\mathsf{Spectra}_R & \xrightarrow[\text{conservative}]{R_*} & \mathsf{QCoh}(\mathrm{Spec}\,R) \\
i \dashv L_R & R_* & j_* \dashv j^* \\
\mathsf{Spectra} & \xrightarrow{MU_*} & \mathsf{QCoh}(\mathcal{M}_{MU}),
\end{array}$$

such that i is fully faithful, i is left-adjoint to L_R, j^* is left-adjoint to j_*, i and j_* are inclusions of full subcategories, the red composites are all equal, and R_* is conservative on $\mathsf{Spectra}_R$.[8] □

In the case when R models the inclusion of the deformation space around the point Γ_d, we will denote the localizer by

$$\mathsf{Spectra} \xrightarrow{\widehat{L}_d} \mathsf{Spectra}_{\Gamma_d}.$$

> Jay was rightfully fussy about the difference between, e.g., the open submoduli and its affine cover. Write this more carefully.

In the case when R models the inclusion of the open complement of the unique closed substack of codimension d, we will denote the localizer by

$$\mathsf{Spectra} \xrightarrow{L_d} \mathsf{Spectra}_d = \mathsf{Spectra}_{\mathcal{M}_{\mathbf{fg}}^{\leq d}}.$$

We have set up our situation so that the following properties of these localizations either have easy proofs or are intuitive from the algebraic analogue of $j^* \vdash j_*$:

1. There is an equivalence
$$L_d X \simeq (L_d \mathbb{S}) \wedge X,$$
analogous to $j^* M \simeq R \otimes M$ in the algebraic setting [39, Theorem 7.5.6]. Because $\widehat{L}_d$ is associated to the inclusion of a formal scheme (i.e., an ind-finite scheme), it has the formula
$$\widehat{L}_d X \simeq \lim_I \left(M_0(v^I) \wedge L_d X \right)$$
analogous to $j^* M \simeq \lim_j (R/I^j \otimes M)$ in the complete algebraic setting [17, Proof of Lemma 2.3].

> Cite me: Ravenel (and Hopkins).

2. Because the open substack of dimension d properly contains both the open substack of dimension $(d-1)$ and the infinitesimal deformation neighborhood of the closed point of height d, there are natural factorizations

$$\mathrm{id} \to L_d \to L_{d-1}, \qquad\qquad \mathrm{id} \to L_d \to \widehat{L}_d.$$

In particular, $L_d X = 0$ implies both $L_{d-1} X = 0$ and $\widehat{L}_d X = 0$.

> Also mention that there are results about thinking of this thing as a pro-spectrum rather than a spectrum? For instance, there's the Davis–Lawson result on $\{M_0(v^I)\}$ forming an E_∞–ring in the pro-category.

> Also, idempotence?

---

[8]The meat of this theorem is in overcoming set-theoretic difficulties in the construction of $\mathsf{Spectra}_R$. Bousfield accomplished this by describing a model structure on Spectra for which R–equivalences create the weak–equivalences.

3. The inclusion of the open substack of dimension $d-1$ into the one of dimension d has relatively closed complement the point of height d. Algebraically, this gives a gluing square (or Mayer-Vietoris square), and this is reflected in homotopy theory by a homotopy pullback square (or chromatic fracture square):

$$\begin{array}{ccc} L_d & \longrightarrow & \widehat{L}_d \\ \downarrow & \lrcorner & \downarrow \\ L_{d-1} & \longrightarrow & L_{d-1}\widehat{L}_d. \end{array}$$

Remark 3.8.2. More generally, whenever $L_B L_A = 0$, there is a fracture square

$$\begin{array}{ccc} L_{A\vee B} & \longrightarrow & L_B \\ \downarrow & \lrcorner & \downarrow \\ L_A & \longrightarrow & L_A L_B. \end{array}$$

So, this last fact follows from $L_d \simeq L_{K(0)\vee\cdots\vee K(d)}$ and $L_{K(d)}L_{K(d-1)} = 0$. Similarly, there is an "arithmetic fracture square"

$$\begin{array}{ccc} X & \longrightarrow & \prod_p X_p^\wedge \\ \downarrow & \lrcorner & \downarrow \\ X_{\mathbb{Q}} & \longrightarrow & \left(\prod_p X_p^\wedge\right)_{\mathbb{Q}}, \end{array}$$

which is a topological instantiation of the adèlic decomposition of a $\mathbb{Z}$–module - see [6] for details in the arithmetic case.

There are also considerably more complicated facts known about these functors:

Theorem 3.8.3 ([39, Theorem 7.5.7]). *The homotopy limit of the tower*

$$\cdots \to L_d F \to L_{d-1}F \to \cdots \to L_1 F \to L_0 F$$

recovers the p–local homotopy type of any finite spectrum F.[9]

---

[9]Spectra satisfying this limit property are said to be *chromatically complete*, which is closely related to being *harmonic*, i.e., being local with respect to $\bigvee_{d=0}^\infty K(d)$. (I believe this a joke about "music of the spheres".) It is known that nice Thom spectra are harmonic (so, in particular, every suspension and finite spectrum), that every finite spectrum is chromatically complete, and that there exist some harmonic spectra which are not chromatically complete.

This suggests a productive method for analyzing the homotopy groups of spheres: study the homotopy groups of each $L_d S$ and perform the reassembly process encoded by this inverse limit. Writing M_d for the fiber in the sequence

$$M_d \to L_d \to L_{d-1},$$

the "geometric chromatic spectral sequence" associated to this tower takes the form

$$\pi_* M_* S \Rightarrow \pi_* S_{(p)}.$$

So, M_d means the difference between the assembled layers L_d and L_{d-1} — but this was also the heuristic job of $\widehat{L}_d$ above. It turns out that these are interrelated by the following two theorems:

Theorem 3.8.4. *There is a pair of natural equivalences*

$$\widehat{L}_d M_d \simeq \widehat{L}_d, \qquad\qquad M_d \widehat{L}_d \simeq M_d. \quad \square$$

Theorem 3.8.5. *Analogous to "1." above, there is a natural equivalence*

$$M_d X \simeq \operatorname*{colim}_I \left(M^0(v^I) \wedge L_d X \right),$$

where $M^0(v^I)$ denotes a generalized Moore spectrum with top *cell in dimension* 0.

Remark 3.8.6. It is possible to draw the chromatic fracture square and the definition of M_d in the same diagram:

From this, we see that there is a fiber sequence

$$M_d X \to \widehat{L}_d X \to L_{d-1} \widehat{L}_d X.$$

The case $d = 1$ gives the prototypical example of the difference between these two presentations of the "exact height d data", where the sequence becomes:

$$\operatorname*{colim}_j (M^0(p^j) \wedge L_1 X) \to \lim_j (M_0(p^j) \wedge L_1 X) \to \left(\lim_j (M_0(p^j) \wedge L_1 X) \right)_{\mathbb{Q}}.$$

If, for instance, $\pi_0 L_1 X = \mathbb{Z}_{(p)}$, then the long exact sequence of homotopy groups associated to this fiber sequence gives

$$\pi_0\widehat{L}_1 X \longrightarrow \pi_0 L_0 \widehat{L}_1 X \longrightarrow \pi_{-1} M_1 X$$
$$\| \qquad\qquad \| \qquad\qquad \|$$
$$\mathbb{Z}_p^\wedge \longrightarrow \mathbb{Q}_p \longrightarrow \mathbb{Z}/p^\infty.$$

This is a model for what happens generally: the v_j–torsion–free groups get converted to infinitely v_j–divisible groups, with some dimension shifts. (*Exactly* what happens is often hard to work out, and I'm not aware of a totally general statement.)

In any case, one sees that it is also profitable to consider the homotopy groups of $\widehat{L}_d S$. The spectral version $\mathcal{D}_{S\to E}(F)$ of $\mathcal{M}_E(F)$ considered on the first day furnishes us with a tool by which we can approach this:

Theorem 3.8.7 (Example 2.3.4, Definition 3.1.18, and Remark 3.1.24). *The E_Γ–based Adams spectral sequence for the sphere converges strongly to $\pi_*\widehat{L}_d S$. Writing ω for the line bundle on $\mathcal{M}_{E_\Gamma}$ of invariant differentials, we have*

$$E_2^{*,*} = H_{\mathrm{stack}}^*(\mathcal{M}_{E_\Gamma}; \omega^{\otimes *}) \Rightarrow \pi_*\widehat{L}_d S. \quad \square$$

The utility of this theorem is in the identification with stack cohomology. Recalling the discussion in Examples 1.4.11 and 1.4.18, as well as the identification

$$\mathcal{M}_{E_{\Gamma_d}} = \left(\mathcal{M}_{\mathbf{fg}}\right)_{\Gamma_d}^\wedge \simeq \widehat{\mathbb{A}}_{\mathbb{W}(k)}^{d-1} /\!\!/ \underline{\mathrm{Aut}}(\Gamma_d)$$

in Remark 3.5.11, we become interested in the action of $\mathrm{Aut}\,\Gamma_d$ on LT_d. We will deduce the following description of $\mathrm{Aut}\,\Gamma_d$ later on:

Theorem 3.8.8 (Corollary 4.5.10). *For Γ_d the Honda formal group law of height d over a perfect field k of positive characteristic p, we compute*

$$\mathrm{Aut}\,\Gamma_d \cong \mathbb{W}_p(k)\langle S\rangle \left/ \left(\begin{array}{c} Sw = w^\varphi S, \\ S^d = p \end{array} \right) \right. ,$$

where φ denotes a lift of the Frobenius from k to $\mathbb{W}_p(k)$. $\qquad\square$

As a matter of emphasis, this Theorem does not give a description of the *representation* of $\mathrm{Aut}\,\Gamma_d$, which is very complicated. Nonetheless, we have reduced the computation of all of the stable homotopy groups of spheres to an arithmetically–founded problem in profinite group cohomology, so that arithmetic geometry might lend a hand.

Example 3.8.9 (Adams). In the case $d = 1$, $\mathrm{Aut}(\Gamma_1) = \mathbb{Z}_p^\times$ and it acts on $\pi_* E_1 = \mathbb{Z}_p[u^\pm]$ by $\gamma \cdot u^n \mapsto \gamma^n u^n$. At odd primes p (so that p is coprime to the torsion part of $\mathbb{Z}_p^\times$), one computes

$$H^s(\mathrm{Aut}(\Gamma_1); \pi_* E_1) = \begin{cases} \mathbb{Z}_p & \text{when } s = 0, \\ \bigoplus_{j=2(p-1)k} \mathbb{Z}_p\{u^j\}/(pku^j) & \text{when } s = 1, \\ 0 & \text{otherwise.} \end{cases}$$

This, in turn, gives the calculation

$$\pi_t \widehat{L}_1 S^0 = \begin{cases} \mathbb{Z}_p & \text{when } t = 0, \\ \mathbb{Z}_p/(pk) & \text{when } t = t|v_1| - 1, \\ 0 & \text{otherwise.} \end{cases}$$

These groups are familiar to homotopy theorists: the J–homomorphism $J : BU \to BF$ descri[I don't remember what F is.]
on the first day selects exactly these elements (for nonnegative t).

It is a good exercise to work out what this calculation means in terms of the rest of the fracture square and for $M_1 S$.

Case Study 4

Unstable cooperations

4.1   Unstable contexts

Today we will take the framework of contexts discussed in Lecture 3.1 and augment it in two important (and very distinct) ways. First, we will assume that X is a *space* rather than a spectrum, and try to encode the extra structure appearing on $E_* X$ from this assumption. Toward that end, recall that the levels of $\mathcal{M}_E(X)$ are defined by repeatedly smashing X with E, and that we had arrived at this by considering descent for the adjunction

$$\text{Spectra} = \text{Modules}_{\mathbb{S}} \underset{\longleftarrow}{\overset{-\wedge E}{\longrightarrow}} \text{Modules}_E$$

induced by the algebra map $\mathbb{S} \to E$. Given a spectrum X, our framework was set up to give its best possible approximation $X_E^\wedge$ within E–module spectra.

We will extend this to spaces by sewing this adjunction together with another:

$$\text{Spaces} \underset{\Omega^\infty}{\overset{\Sigma^\infty}{\rightleftarrows}} \text{Modules}_{\mathbb{S}} \underset{\longleftarrow}{\overset{-\wedge E}{\longrightarrow}} \text{Modules}_E.$$

We will write $E(-)$ for the induced monad on Spaces, given by the formula

$$E(X) = \operatorname*{colim}_{j \to \infty} \Omega^j(\underline{E}_j \wedge X) = \Omega^\infty(E \wedge \Sigma^\infty X),$$

where E_* are the constituent spaces in the Ω–spectrum of E. This space has the property that $\pi_* E(X) = \widetilde{E}_* X$ (in nonnegative dimensions). The monadic structure comes from the

two evident natural transformations:

$$\eta\colon X \simeq S^0 \wedge X$$
$$\to \underline{E}_0 \wedge X$$
$$\to \operatorname*{colim}_{j\to\infty} \Omega^j(\underline{E}_j \wedge X) = E(X),$$

$$\mu\colon E(E(X)) = \operatorname*{colim}_{j\to\infty} \Omega^j\left(\underline{E}_j \wedge \operatorname*{colim}_{k\to\infty} \Omega^k(\underline{E}_k \wedge X)\right)$$
$$\to \operatorname*{colim}_{\substack{j\to\infty \\ k\to\infty}} \Omega^{j+k}(\underline{E}_j \wedge \underline{E}_k \wedge X)$$
$$\overset{\mu}{\to} \operatorname*{colim}_{\substack{j\to\infty \\ k\to\infty}} \Omega^{j+k}(\underline{E}_{j+k} \wedge X) \overset{\cong}{\leftarrow} E(X).$$

Just as in the stable situation, we can extract from this a cosimplicial space:

Definition 4.1.1. Consider the descent cosimplicial object

Its totalization gives the *unstable E–completion of X*.

Under suitable hypotheses, we can extract from this an unstable analog of $\mathcal{M}_E$. Recall that our goal in Lecture 3.1 was to associate to E_*X a quasi-coherent sheaf over $\mathcal{M}_E$, a fixed object, dependent on E but independent of X. In the presence of further hypotheses called "**FH**", we saw in Remark 3.1.24 that this same data could be expressed as an E_*E–comodule structure on E_*X. In particular, **FH** caused the marked map in

$$E_*X \xrightarrow{\eta_R} E_*(E \wedge X) \overset{\star}{\leftarrow} E_*E \otimes_{E_*} E_*X$$

to become invertible.

In the present setting, consider the analogous composite

$$\pi_m E(X) \xrightarrow{\eta_R} \pi_m E(E(X))$$
$$\xleftarrow{\mu \circ 1} \pi_m E(E(E(X)))$$
$$\xleftarrow{\text{compose}} \pi_m E(E(S^n)) \times \pi_n E(X).$$

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Definition 4.1.2. The *unstable context of E* is the collection of cosimplicial abelian groups $\pi_*\mathcal{UD}_E(S^n)$. In the case $n = 0$, this is a cosimplicial ring, and in the case $n \neq 0$ the 0–simplices merely form a module over $\pi_*\mathcal{UD}_E(S^0)[0]$.

Remark 4.1.3. In the case that E has Künneth isomorphisms, the "backwards" maps above become invertible, which is a kind of unstable analogue of the condition **FH**. This is the situation in which most of the classical work on this topic was done.

> I *don't* really understand what sort of algebraic structure this gives us. It would be nice to have an unstable scheme-theoretic analogue of the stable context, so that the homology of spaces gave us "quasi-coherent sheaves" over this unstable object (and, in good cases, the unstable Adams spectral sequence had its E_2–page computed by some homological algebra over this object; see BCM Section 6).

Ignoring for the moment what the correct scheme-theoretic analogue of this might be, we will press onward and record the algebraic objects appearing in the presence of the unstable analogue of **FH**.

Definition 4.1.4. A Hopf ring $A_{*,*}$ over a graded ring R_* is itself a graded ring object in the category $\mathsf{Coalgebras}_{R_*}$, sometimes called an R_*–coalgebraic graded ring object. It has the following structure maps:

$$+\colon A_{s,t} \times A_{s,t} \to A_{s,t} \qquad\qquad (A_{s,t} \text{ is an abelian group})$$

$$\cdot\colon R_{s'} \otimes_{R_*} A_{s,t} \to A_{s+s',t} \qquad\qquad (A_{*,t} \text{ is a } R_*\text{–module})$$

$$\Delta\colon A_{s,t} \to \bigoplus_{s'+s''=s} A_{s',t} \otimes_{R_*} A_{s'',t} \qquad (A_{*,t} \text{ is a } R_*\text{–coalgebra})$$

$$*\colon A_{s,t} \otimes_{R_*} A_{s',t} \to A_{s+s',t} \qquad (\text{addition for the ring in } R_*\text{–coalgebras})$$

$$\eta_*\colon R_* \to A_{*,0} \qquad\qquad (\text{null element for ring addition})$$

$$\chi\colon A_{s,t} \to A_{s,t} \qquad\qquad (\text{negation for the ring in } R_*\text{–coalgebras})$$

$$\circ\colon A_{s,t} \otimes_{R_*} A_{s',t'} \to A_{s+s',t+t'} \qquad (\text{multiplication map for the ring in } R_*\text{–coalgebras})$$

$$\eta_\circ\colon R_* \to A_{*,0} \qquad\qquad (\text{null element for ring multiplication}).$$

These are required to satisfy various commutative diagrams. The least obvious is displayed in Figure 4.1, encoding the distributivity of $\circ$–"multiplication" over $*$–"addition".

Remark 4.1.5. A ring spectrum E with Künneth isomorphisms

$$E_*(\underline{E}_m \times \underline{E}_n) \cong E_*(\underline{E}_m) \otimes_{E_*} E_*(\underline{E}_n)$$

gives rise to a Hopf ring $E_*\underline{E}_n = \pi_*\mathcal{UD}_E(S^n)[1]$. For a space X, the homology groups E_*X form a comodule for this Hopf ring.

One can modify this story in a number of minor ways.

Remark 4.1.6. One can restrict to the *additive* unstable cooperations by passing to the quotient $Q^*E_*\underline{E}_*$. These corepresent the morphisms in a cocategory object in Rings (using the $\circ$–product for multiplication, which descends to $*$–indecomposables). The ring E_* corepresents the objects in this cocategory object.

$$\begin{array}{ccc}
A_{s,t} \otimes_{R_*} (A_{s',t'} \otimes_{R_*} A_{s'',t'}) & \xrightarrow{\;\;1\otimes *\;\;} & A_{s,t} \otimes_{R_*} A_{s'+s'',t'} \\[1ex]
\Big\downarrow{\scriptstyle \Delta\otimes(1\otimes 1)} & & \\[1ex]
\left(\bigoplus_{s_1+s_2=s} A_{s_1,t} \otimes_{R_*} A_{s_2,t}\right) \otimes_{R_*} (A_{s',t'} \otimes_{R_*} A_{s'',t'}) & & \\[1ex]
\Big\downarrow{\scriptstyle \simeq} & & \\[1ex]
\bigoplus_{s_1+s_2=s} (A_{s_1,t} \otimes_{R_*} A_{s_2,t} \otimes_{R_*} A_{s',t'} \otimes_{R_*} A_{s'',t'}) & & \circ \\[1ex]
\Big\downarrow{\scriptstyle 1\otimes\tau\otimes 1} & & \\[1ex]
\bigoplus_{s_1+s_2=s} (A_{s_1,t} \otimes_{R_*} A_{s',t'} \otimes_{R_*} A_{s_2,t} \otimes_{R_*} A_{s'',t'}) & & \\[1ex]
\Big\downarrow{\scriptstyle \circ\otimes\circ} & & \\[1ex]
\bigoplus_{s_1+s_2=s} (A_{s_1+s',t+t'} \otimes_{R_*} A_{s_2+s'',t+t'}) & \xrightarrow{\;\;*\;\;} & A_{s+s'+s'',t+t'},
\end{array}$$

Figure 4.1: The distributivity axiom for $*$ over $\circ$ in a Hopf algebra.

Remark 4.1.7. The procedure in Remark 4.1.5 can be generalized to the case of *two* ring spectra, E and F, equipped with Künneth isomorphisms

$$E_*(\underline{E}_m \times \underline{F}_n) \cong E_*(\underline{E}_m) \otimes_{E_*} E_*(\underline{F}_n).$$

Again, the bigraded object $E_*\underline{F}_*$ forms a Hopf ring. These "mixed cooperations" appear as part of the cooperations for the ring spectrum $E \vee F$ — or, from the perspective of spectral shemes, for the joint cover $\{\mathbb{S} \to E, \mathbb{S} \to F\}$. The role of the mixed cooperations in this setting is to prevent the $(E \vee F)$–based unstable Adams spectral sequence from double-counting homotopy elements visible to both the unstable E– and F–completions.

4.2 Unstable cooperations in ordinary homology

The objects discussed in the previous Lecture appear to be almost bottomlessly complicated: there are so many groups and so many structure maps. At first glance, it might seem like it's a hopeless enterprise to actually try to compute $\mathcal{UM}_E^*$ for any spectrum E, but in fact the plenty of structure maps give enough footholds that this is often feasible, provided we have sufficiently strong stomachs. Today we will treat the case $E = H\mathbb{F}_2$, which requires us to introduce all of the relevant tools but whose computations turn out to be very straightforward.

The place to start is with a very old lemma:

I feel that this can be used to take an unstable comodule for E–theory and produce from it an unstable comodule for F–theory (up to a wrong-way map). Martin Bendersky thought this was strange, but I don't think it's so odd, and I would like to understand how to straighten it out.

Does "Cartesian" mean anything in this setting?

Section III.11 of Wilson's *Primer* has a synopsis of how additive unstable operations should be treated.

Cite me: Bendersky Curtis Miller's [4] *The unstable Adams spectral sequence for generalized homology.*

Cite me: Boardman Johnson Wilson's [5] *Unstable operations in general-*

Lemma 4.2.1. *If E is a spectrum with $\pi_{-1}E = 0$, then $\underline{E}_1 \simeq B\underline{E}_0$.* □

The essential point is that B gives the connective delooping of $\underline{E}_0$, so if E is connective then this will yield the spaces in the Ω–spectrum of E. This is useful to us because $B\underline{E}_0$ comes with a natural skeletal filtration, and this gives rise to a spectral sequence:

Corollary 4.2.2 ([41, Theorem 2.1]). *There is a convergent spectral sequence of Hopf algebras of signature*

$$E^1_{*,j} = F_*(\Sigma \underline{E}_0)^{\wedge j} \Rightarrow F_* \underline{E}_1.$$

In the case that F has Künneth isomorphisms of the form

$$F((\Sigma \underline{E}_0)^{\wedge j}) \cong F(\Sigma \underline{E}_0)^{\otimes j},$$

the E^2–page is identifiable as

$$E^2_{*,*} \cong \operatorname{Tor}^{F_* E_0}_{*,*}(F_*, F_*). \quad \square$$

In general, if E is a connective spectrum, we get a family of spectral sequences of signature

$$E^2_{*,*} \cong \operatorname{Tor}^{F_* E_j}_{*,*}(F_*, F_*) \Rightarrow F_* \underline{E}_{j+1}.$$

That this spectral sequence is multiplicative for the $*$–product is useful enough, but the situation is actually much, much better than this:

Lemma 4.2.3 ([41, Theorem 2.2]). *Denote by $E^r_{*,*}(F_* \underline{E}_j)$ the spectral sequence considered above whose E^2–term is constructed from Tor over $F_* \underline{E}_j$. There are maps*

$$E^r_{*,*}(F_* \underline{E}_j) \otimes_{F_*} F_* \underline{E}_m \to E^r_{*,*}(F_* \underline{E}_{j+m})$$

which agree with the map

$$F_* \underline{E}_{j+1} \otimes_{F_*} F_* \underline{E}_m \xrightarrow{\circ} F_* \underline{E}_{j+m+1}$$

on the E^∞–page and which satisfy

$$d^r(x \circ y) = (d^r x) \circ y. \quad \square$$

This Lemma is obscenely useful: it means that differentials can be transported *between spectral sequences* for classes which can be decomposed as $\circ$–products. This means that the bottom spectral sequence (i.e., the case $j = 0$) exerts a large amount of control over the others — and this spectral sequence often turns out to be very computable.

We now turn to our example of $E = H\mathbb{F}_2$ and $F = H\mathbb{F}_2$. To ground our induction, we will consider the first spectral sequence

$$\operatorname{Tor}^{H\mathbb{F}_{2*}(\mathbb{F}_2)}_{*,*}(\mathbb{F}_2, \mathbb{F}_2) \Rightarrow H\mathbb{F}_{2*}B\mathbb{F}_2.$$

Using that $\mathbb{R}P^\infty$ gives a model for $B\mathbb{F}_2$, we use Example 1.1.12 to analyze this spectral sequence: that Example states that as an $\mathbb{F}_2$–module, there is an isomorphism

$$H\mathbb{F}_{2*}B\mathbb{F}_2 \cong \mathbb{F}_2\{a_j \mid j \geq 0\}.$$

Using our further computation in Example 1.2.15, we can also give a presentation of the Hopf algebra structure on $H\mathbb{F}_{2*}B\mathbb{F}_2$: it is dual to the primitively-generated polynomial algebra on a single class, so forms a divided power algebra on a single class $a_\varnothing$. In characteristic 2, this decomposes as

$$H\mathbb{F}_{2*}B\mathbb{F}_2 \cong \Gamma[a_\varnothing] \cong \bigotimes_{j=0}^\infty \mathbb{F}_2[a_{(j)}]/a_{(j)}^2,$$

where we have written $a_{(j)}$ for $a_\varnothing^{[2^j]}$ in the divided power structure.

Corollary 4.2.4. *This* Tor *spectral sequence collapses at the E^2–page.*

Proof. As an algebra, the homology $H\mathbb{F}_{2*}(\mathbb{F}_2)$ of the discrete space $\mathbb{F}_2$ is presented by the truncated polynomial algebra

$$H\mathbb{F}_{2*}(\mathbb{F}_2) \cong \mathbb{F}_2[\mathbb{F}_2] = \mathbb{F}_2[[1] - [0]]/([1] - [0])^{*2}.$$

The Tor–algebra of this is then divided power on a single class:

$$\mathrm{Tor}_{*,*}^{H\mathbb{F}_{2*}(\mathbb{F}_2)}(\mathbb{F}_2, \mathbb{F}_2) = \Gamma[a_\varnothing].$$

In order for the two computations to agree, there can therefore be no differentials in the spectral sequence. $\square$

Now we turn to the rest of the induction:

Theorem 4.2.5. $H\mathbb{F}_{2*}\underline{H\mathbb{F}_2}_t$ *is the exterior $*$–algebra on the t–fold $\circ$–products of the generators $a_{(j)} \in H\mathbb{F}_{2*}B\mathbb{F}_2$.*

Proof. Make the inductive assumption that this is true for some fixed value of t. It follows that the Tor groups of the bar spectral sequence

$$\mathrm{Tor}_{*,*}^{H\mathbb{F}_{2*}\underline{H\mathbb{F}_2}_t}(\mathbb{F}_2, \mathbb{F}_2) \Rightarrow H\mathbb{F}_{2*}\underline{H\mathbb{F}_2}_{t+1}$$

form a divided power algebra generated by the same t–fold $\circ$–products. An analogue of another Ravenel–Wilson lemma [41, Lemma 9.5] gives a congruence

$$(a_{(j_1)} \circ \cdots \circ a_{(j_t)})^{[2^{j_t+1}]} \equiv a_{(j_1)} \circ \cdots \circ a_{(j_t)} \circ a_{(j_{t+1})} \quad (\text{mod decomposables}).$$

It follows from Lemma 4.2.3 that the differentials vanish:

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$$d((a_{(j_1)} \circ \cdots \circ a_{(j_t)})^{[2^{j_t+1}]}) \equiv d(a_{(j_1)} \circ \cdots \circ a_{(j_t)} \circ a_{(j_{t+1})}) \quad \text{(mod decomposables)}$$
$$= a_{(j_1)} \circ d(a_{(j_2)} \circ \cdots \circ a_{(j_{t+1})}) = 0.$$

Hence, the spectral sequence collapses. To see that there are no multiplicative extensions, note that the only potentially undetermined multiplications occur as $*$–squares of exterior classes. However, the $*$–squaring map is induced by the topological map

$$\underline{H\mathbb{F}_{2t}} \xrightarrow{\cdot 2} \underline{H\mathbb{F}_{2t}},$$

which is already null on the level of spaces. It follows that there are no extensions and the induction holds. $\qquad\square$

Corollary 4.2.6. *It follows that*

$$H\mathbb{F}_{2*}\underline{H\mathbb{F}_{2*}} \overset{\cong}{\underset{\longleftarrow}{}} \bigoplus_{t=0}^{\infty} (H_*(\mathbb{RP}^\infty; \mathbb{F}_2))^{\wedge t},$$

where $(-)^{\wedge t}$ denotes the tth exterior power in the category of Hopf algebras.

Proof. The leftward direction of this isomorphism is realized by the $\circ$–product. $\qquad\square$

Remark 4.2.7. Our computation of the full Hopf ring of unstable cooperations can be winnowed down to give information about particular classes of cooperations. For instance, the *additive* unstable cooperations are given by passing to the $*$–indecomposable quotient

$$Q_* H\mathbb{F}_{2*}\underline{H\mathbb{F}_{2*}} \cong \mathbb{F}_2\left\{a_{(I_0)} \circ \cdots \circ a_{(I_t)}\right\}$$
$$\cong \mathbb{F}_2[\xi_0, \xi_1, \xi_2, \ldots].$$

In terms of Lemma 1.3.5, we have

$$\mathrm{Spec}\, Q_* H\mathbb{F}_{2*}\underline{H\mathbb{F}_{2*}} \cong \underline{\mathrm{End}}(\widehat{\mathbb{G}}_a).$$

One passes to the *stable* cooperations by taking the colimit along the homology suspension element $a_{(0)} = \xi_0$. This has the effect of adjoining a $\circ$–product inverse to $a_{(0)}$, i.e.,

$$(Q_* H\mathbb{F}_{2*}\underline{H\mathbb{F}_{2*}})[a_{(0)}^{\circ(-1)}] \cong \mathbb{F}_2[\xi_0^\pm, \xi_1, \xi_2, \ldots],$$

which is exactly the ring of functions on $\underline{\mathrm{Aut}}(\widehat{\mathbb{G}}_a)$ considered in Lemma 1.3.5.

Remark 4.2.8 ([55, Theorems 8.5 and 8.11]). The odd–primary analogue of this result appears in Wilson's book. In that situation, the bar spectral sequences do not degenerate but rather have a single family of differentials, and the result imposes a single relation on the free Hopf ring. The end result is

$$H\mathbb{F}_{p*}\underline{H\mathbb{F}_{p*}} \cong \frac{\bigotimes_{I,J} \mathbb{F}_p[e_1 \circ \alpha_I \circ \beta^J, \alpha_I \circ \beta^J]}{(e_1 \circ \alpha_I \circ \beta^J)^{*2} = 0, (\alpha_I \circ \beta^J)^{*p} = 0, e_1 \circ e_1 = \beta_1},$$

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where $e_1 \in (H\mathbb{F}_p)_1 \underline{H\mathbb{F}_p 1}$ is the homology suspension element, $\alpha_{(j)} \in (H\mathbb{F}_p)_{2p^j} \underline{H\mathbb{F}_p 1}$ are the analogues of the elements considered above, and $\beta_{(j)} \in (H\mathbb{F}_p)_{2p^j} \mathbb{C}P^\infty$ are the algebra generators of the Hopf algebra dual of the ring of functions on the formal group $\mathbb{C}P^\infty_{H\mathbb{F}_p}$ associated to $H\mathbb{F}_p$ by its natural complex orientation. (In particular, the Hopf ring is *free* on these Hopf algebras, subject to the single interesting relation $e_1 \circ e_1 = \beta_{(0)}$.)

4.3 Algebraic unstable cooperations

One of our goals for this Case Study is to study the mixed unstable cooperations $E_* \underline{G}_{2*}$ for complex-orientable cohomology theories E and G. These turn out to behave more regularly than one might expect, in the sense that there is a uniform algebraic model and a comparison map which is often an isomorphism. In order to formulate what will become our main result, we will need to begin with some algebraic definitions.

Definition 4.3.1. Let R and S be graded rings. We can form a Hopf ring over R by forming the "ring–ring" $R[S]$: as an R–module, this is free and generated by symbols $[s]$ for $s \in S$. The Hopf ring maps $*$, $\circ$, and Δ are determined by the formulas

$$R[S] \otimes_R R[S] \xrightarrow{*} R[S] \qquad\qquad [s] * [s'] = [s + s'],$$
$$R[S] \otimes_R R[S] \xrightarrow{\circ} R[S] \qquad\qquad [s] \circ [s'] = [s \cdot s'],$$
$$R[S] \xrightarrow{\Delta} R[S] \otimes_R R[S] \qquad\qquad \Delta[s] = [s] \otimes [s].$$

For instance, the distributivity axiom is checked in the calculation

$$[s''] \circ ([s] * [s']) = ([s''] \circ [s]) * ([s''] \circ [s'])$$
$$[s''] \circ [s + s'] =$$
$$[s''(s + s')] =$$
$$= [s''s] * [s''s']$$
$$= [s''s + s''s'].$$

Definition 4.3.2. Let C be an R–coalgebra, and let S be an auxiliary ring. We can form a free Hopf ring $R[S][C]$ on C under $R[S]$, which has the property

$$\mathsf{HopfRings}_{R[S]/}(R[S][C], T) \cong \mathsf{Coalgebras}_{R/}(C, T).$$

In terms of elements, it is an R–module spanned by $R[S]$ and C, as well as free $*$– and $\circ$–products of elements of C, altogether subjected to the Hopf ring relations.

Remark 4.3.3. Given an R–coalgebra C, we can form the free commutative Hopf algebra on C by taking its associated symmetric algebra. This is a degenerate case of a free Hopf ring construction, where S is taken to be the zero ring.

Now we turn our eyes to topology. Let E and F be two complex-orientable cohomology theories where F has enough Künneth isomorphisms. Set $R = F_*$, $S = E_*$, and $C = F_*\mathbb{CP}^\infty$ to form the free Hopf ring $R[S][C] = F_*[E_*][F_*\mathbb{CP}^\infty]$.

Lemma 4.3.4. *Orientations of E induce maps $F_*[E^*][F_*\mathbb{CP}^\infty] \to F_*\underline{E}_*$.*

Proof. To construct this map using universal properties, we need to check that $F_*\underline{E}_*$ is a Hopf ring under $F_*[E^*]$, and then we need to produce a map $F_*\mathbb{CP}^\infty \to F_*[E^*]$. For the first task, $F_*\underline{E}_*$ is already an F_*–module. An element $v \in E^n$ corresponds to a path component $[v] \in \pi_0\underline{E}_n$, which pushes forward along

$$\pi_0\underline{E}_n \to F_0\underline{E}_n$$

to give an element $[v] \in F_0\underline{E}_n$. One can check that this determines a map of Hopf rings $F_*[E^*] \to F_*\underline{E}_*$.

Next, we will use our assumed data of orientations. The complex-orientation of E gives a preferred class $\mathbb{CP}^\infty \to \underline{E}_2$, representing the coordinate $x \in E^2\mathbb{CP}^\infty$. By applying F–homology to this representing map, we get a map of F_*–coalgebras

$$F_*\mathbb{CP}^\infty \to F_*\underline{E}_2 \subseteq F_*\underline{E}_*.$$

Universality gives the desired map of Hopf rings. $\qquad\square$

There is no reason to expect $F_*\underline{E}_*$ to be a free Hopf ring, and so it would be naive to expect this map to be an equivalence. Indeed, Ravenel and Wilson show that orientations of E and F together beget an interesting relation. An orientation on E gives us a comparison map as above, and an orientation on F gives a collection of preferred elements $\beta_j \in F_{2j}\mathbb{CP}^\infty$. Their result is to show that these elements are subject to the formal group laws *both* of F and of E:

Theorem 4.3.5 ([40, Theorem 3.8], [55, Theorem 9.7]). *Write $\beta(s)$ for the formal sum $\beta(s) = \sum_j \beta_j x^j$. Then, in $F_*\underline{E}_*[\![s,t]\!]$, there is an equation*

$$\beta(s +_F t) = \beta(s) +_{[E]} \beta(t),$$

where

$$\beta(s +_F t) = \sum_n \beta_n \left(\sum_{i,j} a^F_{ij} s^i t^j \right)^n,$$

$$\beta(s) +_{[E]} \beta(t) = \underset{i,j}{\Large *} \left([a^E_{ij}] \circ \left(\sum_k \beta_k s^k \right)^{\circ i} \circ \left(\sum_\ell \beta_\ell t^\ell \right)^{\circ j} \right).$$

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Proof sketch. This is a matter of calculating the behavior of

$$\mathbb{CP}^\infty \times \mathbb{CP}^\infty \xrightarrow{\mu} \mathbb{CP}^\infty \xrightarrow{x} \underline{E}_2$$

in two different ways: using the effect of μ in F–homology and pushing forward in x, or using the effect of μ in E–cohomology and pushing forward along the Hurewicz map $S \to F$. $\square$

Altogether, this motivates our algebraic model for the Hopf ring of unstable cooperations:

Definition 4.3.6. Define $F_*^R \underline{E}_*$ to be the quotient of $F_*[E^*][F_*\mathbb{CP}^\infty]$ by the relation above. There is a natural *comparison map*

$$F_*^R \underline{E}_* \to F_* \underline{E}_*.$$

We will show that for many such E and G this map is an isomorphism. Before embarking on this, however, we would like to explore the connection to formal groups suggested by the formula in Theorem 4.3.5. Note that the Hopf ring-ring $R[S]$ has a natural augmentation given by $[s] \mapsto 1$, so that $\langle s \rangle = [s] - [0]$ form a generating set of the augmentation ideal.

Lemma 4.3.7. *In the $*$–indecomposable quotient $Q^*R[S]$, there are the formulas*

$$\langle s \rangle + \langle s' \rangle = \langle s + s' \rangle, \qquad\qquad \langle s \rangle \circ \langle s' \rangle = \langle ss' \rangle.$$

Proof. Modulo $*$–decomposables, we can write

$$0 \equiv \langle s \rangle * \langle s' \rangle = [s] * [s'] - [s] - [s'] + [0] = \langle s + s' \rangle - \langle s \rangle - \langle s' \rangle.$$

We can also directly calculate

$$\langle s \rangle \circ \langle s' \rangle = [ss'] - [0] - [0] + [0] = \langle ss' \rangle. \qquad \square$$

Corollary 4.3.8. *Orientations of E and F induce isomorphisms*

$$\operatorname{Spec} Q^* F_*^R \underline{E}_* \cong \underline{\operatorname{FormalGroups}}(\mathbb{CP}_E^\infty, \mathbb{CP}_F^\infty).$$

Proof. This is a matter of calculating $Q^* F_*^R \underline{E}_*$. Using Lemma 4.3.7, we have

$$\underset{i,j}{\bigstar} \left([a_{ij}^E] \circ \left(\sum_k \beta_k s^k \right)^{\circ i} \circ \left(\sum_\ell \beta_\ell t^\ell \right)^{\circ j} \right) \equiv \sum_{i,j} a_{ij}^E \left(\sum_k \beta_k s^k \right)^i \left(\sum_\ell \beta_\ell t^\ell \right)^j \quad (\text{in } Q^*).$$

It follows that

$$Q^* F_*^R \underline{E}_* = F_*[\beta_0, \beta_1, \beta_2, \ldots]/(\beta(s +_F t) = \beta(s) +_E \beta(t)). \qquad \square$$

Next time, we will investigate $F_* \underline{E}_*$ in the more modest and concrete setting of $F = H\mathbb{F}_p$ and $E = BP$. One might think that this is merely a first guess at a topological computation that seems accomplishable after Lecture 4.2, but we will quickly show that it plays the role of a universal example of this sort of calculation.

4.4 Complex-orientable cooperations

Convention: We will write H for $H\mathbb{F}_p$ for the duration of the lecture.

Today we are aiming for a proof of the following Theorem:

Theorem 4.4.1 ([40, Theorem 4.2]). *The natural homomorphism*

$$H_*^R \underline{BP}_{2*} \to H_* \underline{BP}_{2*}$$

is an isomorphism. (In particular, $H_*\underline{BP}_{2*}$ is even–concentrated.)

This is proved by a fairly elaborate counting argument, and as such our first move will be to produce an upper bound for the size of the source Hopf ring. To begin, consider the following consequence of Lemma 4.3.7:

Corollary 4.4.2. *As a $\circ$–algebra,*

$$Q^* H_0^R \underline{BP}_{2*} \cong \mathbb{F}_p[[v_n] - [0_{-|v_n|}] \mid n \geq 1],$$

where $0_{-|v_n|}$ denotes the null element of $BP^{|v_n|}(*)$. $\qquad\square$

Directly from the definition of $H_*^R \underline{BP}_{2*}$, we now know that $Q^* H_*^R \underline{BP}_{2*}$ is generated by $[v_n] - [0_{-|v_n|}]$ for $n \geq 1$ and b_j, $j \geq 0$. In fact, p–typicality shows [40, Lemma 4.14] that it suffices to consider $b_{p^d} = b_{(d)}$ for $i \geq 0$. Altogether, this gives a secondary comparison map

$$A := \mathbb{F}_p[[v_n], b_{(d)} \mid n > 0, d \geq 0] \twoheadrightarrow Q^* H_*^R \underline{BP}_{2*}.$$

This map is not an isomorphism, as these elements are subject to the following relation:

Lemma 4.4.3 ([40, Lemma 3.14], [55, Theorem 9.13]). *Write $I = ([p], [v_1], [v_2], \ldots)$, and work in $Q^* H_* \underline{BP}_2 / I^{\circ 2} \circ Q^* H_* \underline{BP}_2$. For any n we have*

$$\sum_{i=1}^n [v_i] \circ b_{(n-i)}^{\circ p^i} \equiv 0.$$

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Proof. Consider the series expansion of $\beta_0 = \beta(ps) = [p]_{[BP]}(\beta(s))$. $\square$

Let r_n, the nth relation, denote the same sum taken in A instead:

$$r_n := \sum_{i=1}^{n} [v_i] \circ b_{(n-i)}^{\circ p^i}.$$

The Lemma then shows that the pushforward of r_n into $Q^* H_* \underline{BP}_{2*}$ is in the ideal generated by $I^{\circ 2}$. Ravenel and Wilson show the following well-behavedness result about these relators, by a fairly tedious argument:

Lemma 4.4.4 ([40, Lemma 4.15.b]). *The sequence $(r_1, r_2, \dots)$ is regular in A.*

This is exactly what we need to get our size bound.

Lemma 4.4.5. *Set*

$$c_{i,j} = \dim_{\mathbb{F}_p} Q^* H_i^R \underline{BP}_{2j}, \qquad\qquad d_{i,j} = \dim_{\mathbb{F}_p} \mathbb{F}_p[[v_n], b_{(0)}]_{i,j}.$$

Then $c_{i,j} \leq d_{i,j}$ and $d_{i,j} = d_{i+2,j+1}$.

Proof. We have seen that $c_{i,j}$ is bounded by the $\mathbb{F}_p$–dimension of

$$\mathbb{F}_p[[v_n], b_{(d)} \mid d \geq 0]_{i,j} / (r_1, r_2, \dots).$$

But, since this ideal is regular and $|r_j| = |b_{(j)}|$, this is the same count as $d_{i,j}$. The other relation among the $d_{i,j}$ follows from multiplication by $b_{(0)}$, with $|b_{(0)}| = (2,1)$. $\square$

We now turn to showing that this estimate is *sharp* and that the secondary comparison map is *onto*, and hence an isomorphism, using the bar spectral sequence. Recalling that the bar spectral sequence converges to a the homology of the *connective* delooping, let $\underline{BP}'_{2*}$ denote the connected component of $\underline{BP}_{2*}$ containing $[0_{2*}]$. We will then demonstrate the following theorem inductively:

Theorem 4.4.6 ([40, Induction 4.18]). *The following hold for all values of the induction index k:*

1. *$Q^* H_{\leq 2(k-1)} \underline{BP}'_{2*}$ is generated by $\circ$–products of the $[v_n]$ and $b_{(j)}$.*

2. *$H_{\leq 2(k-1)} \underline{BP}'_{2*}$ is isomorphic to a polynomial algebra in this range.*

3. *For $0 < i \leq 2(k-1)$, we have $d_{i,j} = \dim_{\mathbb{F}_p} Q^* H_i \underline{BP}_{2j}$.*

Before addressing the theorem, we show that this finishes our calculation:

Proof of Theorem 4.4.1, assuming Theorem 4.4.6 for all k. Recall that we are considering the natural map

$$H_*^R \underline{BP}_{2*} \to H_* \underline{BP}_{2*}.$$

The first part of Theorem 4.4.6 shows that this map is a surjection. The third part of Theorem 4.4.6 together with our counting estimate shows that the induced map

$$Q^* H_*^R \underline{BP}_{2*} \to Q^* H_* \underline{BP}_{2*}$$

is an isomorphism. Finally, the second part of Theorem 4.4.6 says that the original map, before passing to $*$–indecomposables, must be an isomorphism as well. □

Proof of Theorem 4.4.6. The infinite loopspaces in $\underline{BP}_{2*}$ are related by $\Omega^2 \underline{BP}'_{2(*+1)} = \underline{BP}_{2*}$, so we will use two bar spectral sequences to extract information about $\underline{BP}'_{2(*+1)}$ from $\underline{BP}_{2*}$. Since we have assumed that $H_{\leq 2(k-1)} \underline{BP}_{2*}$ is polynomial in the indicated range, we know that in the first spectral sequence

$$E^2_{*,*} = \mathrm{Tor}^{H_* \underline{BP}_{2*}}_{*,*} (\mathbb{F}_p, \mathbb{F}_p) \Rightarrow H_* \underline{BP}_{2*+1}$$

the E^2–page is, in the same range, exterior on generators in Tor–degree 1 and topological degree one higher than the generators in the polynomial algebra. Since differentials lower Tor–degree, the spectral sequence is multiplicative, and there are no classes on the 0–line, it collapses in the range $[0, 2k - 1]$. Additionally, since all the classes are in odd topological degree, there are no algebra extension problems, and we conclude that $H_* \underline{BP}_{2*+1}$ is indeed exterior up through degree $(2k - 1)$.

We now consider the second bar spectral sequence

$$E^2_{*,*} = \mathrm{Tor}^{H_* \underline{BP}_{2*+1}}_{*,*} (\mathbb{F}_p, \mathbb{F}_p) \Rightarrow H_* \underline{BP}_{2(*+1)}.$$

The Tor algebra of an exterior algebra is divided power on a class of topological dimension one higher. Since these classes are now all in even degrees, the spectral sequence collapses in the range $[0, 2k]$. Additionally, these primitive classes are related to the original generating classes by double suspension, i.e., by circling with $b_{(0)}$. This shows the first inductive claim on the *primitive classes* through degree $2k$, and we must argue further to deduce our generation result for $x^{[p^j]}$ of degree $2k$ with $j > 0$. By inductive assumption, we can write

$$x = [y] \circ b_{(0)}^{\circ I_0} \circ b_{(1)}^{\circ I_1} \circ \cdots,$$

and one may as well consider the element

$$z := [y] \circ b_{(j)}^{\circ I_0} \circ b_{(j+1)}^{\circ I_1} \circ \cdots.$$

This element isn't $x^{[p^j]}$ on the nose, but the diagonal of $z - x^{[p^j]}$ lies in lower filtration degree — i.e., it is primitive as far as the filtration is concerned — and so we are again done.

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The remaining thing to do is to use the size bounds: the only way that the map

$$H_*^R \underline{BP}_{2*} \to H_* \underline{BP}_{2*}$$

could be surjective is if there were multiplicative extensions in the spectral sequence joining $x^{[p]}$ to x^p. Granting this, we see that the module ranks of the algebra itself and of its indecomposables are exactly the right size to be a free (i.e., polynomial) algebra, and hence this must be the case. □

Having accomplished Theorem 4.4.1, we reduce a general computation to it:

Corollary 4.4.7 ([40, Corollary 4.7]). *For a complex-orientable cohomology theory E, the natural maps*

$$E_*^R \underline{MU}_{2*} \to E_* \underline{MU}_{2*}, \qquad\qquad E_*^R \underline{BP}_{2*} \to E_* \underline{BP}_{2*}$$

are isomorphisms of Hopf rings.

Proof. First, because $MU_{(p)}$ splits multiplicatively as a product of BPs, we deduce from Theorem 4.4.1 the case of $E = H\mathbb{F}_p$. Since $H\mathbb{F}_{p*}\underline{BP}_{2*}$ is even, it follows that $H\mathbb{Z}_{(p)*}\underline{BP}_{2*}$ is torsion–free on a lift of a basis, and similarly (working across primes) $H\mathbb{Z}_*\underline{MU}_{2*}$ is torsion–free on a simultaneous lift of basis. Next, using torsion–freeness, we conclude from an Atiyah–Hirzebruch spectral sequence that $MU_*\underline{MU}_{2*}$ is even and torsion–free itself, and moreover that the comparison is an isomorphism. Lastly, using naturality of Atiyah–Hirzebruch spectral sequences, given a complex–orientation $MU \to E$ we deduce that the spectral sequence

$$E_* \otimes H_*(\underline{MU}_{2*}; \mathbb{Z}) \cong E_* \otimes_{MU_*} MU_*\underline{MU}_{2*} \Rightarrow E_*\underline{MU}_{2*}$$

collapses, and similarly for the case of BP. The theorem follows. □

This is an impressively broad theorem: the loopspaces $\underline{MU}_{2*}$ are quite complicated, and that any general statement can be made about them is remarkable. That this fact follows from a calculation in $H\mathbb{F}_p$–homology and some niceness observations is meant to showcase the density of $\mathbb{CP}^\infty_{H\mathbb{F}_p} \cong \widehat{\mathbb{G}}_a$ inside of $\mathcal{M}_{\mathbf{fg}}$. However, Remark 4.2.7 indicates that this Corollary does not cover all possible cases that the comparison map in Definition 4.3.6 becomes an isomorphism. In the remainder of the Case Study, we will investigate two other classes of E and G where this holds.

4.5 Dieudonné modules

Our goal today is strictly algebraic. Because the category of finite type commutative and cocommutative Hopf algebras over a ground field k is an abelian category, it admits a

You changed from BP to MU – is this intentional?

Should you mention the odd–dimensional stuff? You passed through it in the course of the proof anyway: you can see that $H_*\underline{BP}_{2*+1}$ is exterior on homology suspensions of algebra generators on degree below. This comports with what you already suspected about these unstable algebras.

presentation as the module category for some (possibly noncommutative) ring. The description of this ring and of the explicit assignment from a group scheme to linear algebraic data is the subject of *Dieudonné theory*. We will give a survey of some of the results of Dieudonné theory today, including three different presentations of the equivalence.[1]

Start with a formal line V over a ground ring A, let $\widehat{\mathbb{G}}$ denote V equipped with a group structure, and let $\Omega^1_{V/A}$ be the module of Kähler differentials on V. We have previously been interested in the *invariant differentials* $\omega_{\widehat{\mathbb{G}}} \subseteq \Omega^1_{V/A}$ on V, back when we first discussed logarithms in Theorem 2.1.24. Such a differential gave rise to a logarithm through integration, in the case that A was a $\mathbb{Q}$–algebra. However, if A had positive characteristic p then there would be an obstruction to integrating terms with exponents of the form -1 (mod p), which in turn led us to the notion of p–height explored in Lecture 3.3.

A slightly different twist on this set-up leads to a new story entirely. Recall that $\Omega^1_{V/A}$ forms the first level of the *algebraic de Rham complex* $\Omega^*_{V/A}$. The translation invariant differentials studied in the theory of the logarithm are those differentials so that the identity $\mu^* - \pi_1^* - \pi_2^* = 0$ holds *at the chain level*. We can weaken this to request only that that difference be *exact*, or zero at the level of cohomology of the algebraic de Rham complex. This condition begets a sub–A–module $D(\widehat{\mathbb{G}}/A)$ of $H^1_{dR}(\widehat{\mathbb{G}}/A)$ consisting of those 1–forms which are cohomologically translation invariant.

Example 4.5.1. Let A be a $\mathbb{Z}$–flat ring, let $\widehat{\mathbb{G}}$ be a formal group over A, and let x be a coordinate on $\widehat{\mathbb{G}}$. Set $K = A \otimes \mathbb{Q}$, so that $A \to K$ is an injection. There is then a diagram of exact rows

$$0 \to \left\{ \begin{array}{c} \text{integrals with} \\ A \text{ coefficients} \end{array} \right\} \to \{\text{all conceivable integrals}\} \to \{\text{missing integrals}\} \to 0$$

$$0 \longrightarrow xA[\![x]\!] \longrightarrow \{f \in xK[\![x]\!] \,|\, f' \in A[\![x]\!]\} \xrightarrow{\ d\ } H^1_{dR}(\widehat{\mathbb{G}}/A) \longrightarrow 0$$

$$0 \longrightarrow xA[\![x]\!] \longrightarrow \left\{ f \in xK[\![x]\!] \,\middle|\, \begin{array}{c} f' \in A[\![x]\!], \\ \delta f \in A[\![x,y]\!] \end{array} \right\} \xrightarrow{\ d\ } D(\widehat{\mathbb{G}}/A) \longrightarrow 0,$$

where δ is induced by $\delta[\omega] = (\mu^* - \pi_1^* - \pi_2^*)[\omega]$.

The flatness condition in the Example is important to getting the calculation to work out right, and of course it is not satisfied when working over a perfect field of positive characteristic p — our favorite setting in Lecture 3.3 and Case Study 3 more generally. However, de Rham cohomology has the following remarkable lifting property (which we have specialized to H^1_{dR}):

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[1]Emphasis on "*some of the results*". Dieudonné theory is an enormous subject with many interesting results both internal and connected to arithmetic geometry, which we'll explore almost none of.

Theorem 4.5.2. *Let A be a $\mathbb{Z}_{(p)}$–flat ring, let $f_1(x), f_2(x) \in A[\![x]\!]$ be power series without constant term. If $f_1 \equiv f_2 \pmod{p}$, then for any differential $\omega \in A[\![x]\!]dx$ the difference $f_1^*(\omega) - f_2^*(\omega)$ is exact.*

Proof. Write $\omega = dg$ for $g \in K[\![x]\!]$, and write $f_2 = f_1 + p\Delta$. Then

$$\int (f_2^*\omega - f_1^*\omega) = g(f_2) - g(f_1) = g(f_1 + p\Delta) - g(f_1)$$

$$= \sum_{n=1}^{\infty} \frac{(p\Delta)^n}{n!} g^{(n)}(f_1).$$

Since $g' = \omega$ has coefficients in A, so does $g^{(n)}$ for all n, and the fraction $p^n/n!$ lies in the $\mathbb{Z}_{(p)}$–algebra A. $\qquad\square$

Corollary 4.5.3 (H_{dR}^1 is "crystalline"). *If $f_1, f_2 \colon V \to V'$ are maps of pointed formal varieties which agree mod p, then they induce the same map on H_{dR}^1.* $\qquad\square$

Several well–behavedness results of the functor D follow directly from Corollary 4.5.3. For instance, any map $f \colon \widehat{\mathbb{G}}' \to \widehat{\mathbb{G}}$ of pointed varieties which is a group homomorphism mod p restricts to give a map $f^* \colon D(\widehat{\mathbb{G}}/A) \to D(\widehat{\mathbb{G}}'/A)$. Additionally, if f_1, f_2, and f_3 are three such maps of pointed varieties with $f_3 \equiv f_1 + f_2 \pmod{p}$ in $\mathsf{FormalGroups}(\widehat{\mathbb{G}}'/p, \widehat{\mathbb{G}}/p)$, then $f_3^* = f_1^* + f_2^*$ as maps $D(\widehat{\mathbb{G}}/A) \to D(\widehat{\mathbb{G}}'/A)$.

In the case that k is a *perfect* field, the ring $\mathbb{W}_p(k)$ of p–typical Witt vectors on k is simultaneously torsion-free and universal among nilpotent thickenings of the residue field k. This emboldens us to make the following definition:[2]

Definition 4.5.4. Let k be a perfect field of characteristic $p > 0$, and let $\widehat{\mathbb{G}}_0$ be a formal group over k. Then, choose a lift $\widehat{\mathbb{G}}$ of $\widehat{\mathbb{G}}_0$ to $\mathbb{W}_p(k)$, and define the *(contravariant) Dieudonné module* of $\widehat{\mathbb{G}}_0$ by $M(\widehat{\mathbb{G}}_0) := D(\widehat{\mathbb{G}}/W(k))$.

Remark 4.5.5. This is independent of choice of lift up to coherent isomorphism. Given any other lift $\widehat{\mathbb{G}}'$ of $\widehat{\mathbb{G}}_0$ to $\mathbb{W}_p(k)$, we can find *some* power series — not necessarily a group homomorphism — covering the identity on $\widehat{\mathbb{G}}_0$. Corollary 4.5.3 then shows that this map induces a canonical isomorphism between the two potential definitions of $M(\widehat{\mathbb{G}}_0)$.

Note that the module $M(\widehat{\mathbb{G}}_0)$ carries some natural operations:

- Arithmetic: $M(\widehat{\mathbb{G}}_0)$ is naturally a $\mathbb{W}_p(k)$–module, with the action by ℓ corresponding to multiplication–by–ℓ on $\widehat{\mathbb{G}}_0$.

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[2]There is a better definition one might hope for, which instead assigns to each potential thickening and lift a "Dieudonne module", and then work to show that they all arise as base-changes of this universal one. This is possible and technically superior to the approach we are taking here.

- Frobenius: The map $x \mapsto x^p$ is a group homomorphism mod p, so it induces a φ–semilinear map $F\colon M(\widehat{\mathbb{G}}_0) \to M(\widehat{\mathbb{G}}_0)$. That is, $F(\alpha v) = \alpha^\varphi F(v)$, where φ is a lift of the Frobenius on k to $\mathbb{W}_p(k)$.

- Verschiebung: The Verschiebung map is given by the mysterious formula

$$V\colon \sum_{n=1}^\infty a_n x^n \mapsto p \sum_{n=1}^\infty a_{pn}^{\varphi^{-1}} x^n.$$

It satisfies anti-semilinearity, $aV(v) = V(a^\varphi v)$, and also $FV = p$.

With this, we come to the main theorem of this Lecture:

Theorem 4.5.6. *The functor M determines a contravariant equivalence of categories between smooth 1–dimensional formal groups over k of finite p–height and finite free $\mathbb{W}_p(k)$–modules equipped with appropriate operations F and V, called Dieudonné modules.*

☐ Add in words about being uniform and reduced?

You never define the Dieudonné ring explicitly. I think the statement of this theorem and some later statements (e.g., $\mathbb{W}_p(k)\{x\}$) can be made more clear by giving notation to the Dieudonné ring.

Remark 4.5.7. Several invariants of the formal group associated to a Dieudonné module can be read off from the functor M. For example, the $\mathbb{W}_p(k)$–rank of M is equal to the p–height of $\widehat{\mathbb{G}}_0$. Additionally, the quotient M/FM is canonically isomorphic to the cotangent space $T_0^*\widehat{\mathbb{G}}_0 \cong \omega_{\widehat{\mathbb{G}}_0}$.

Example 4.5.8. The Dieudonné module associated to $\widehat{\mathbb{G}}_m$ is the easiest to compute. For x the usual coordinate, we have $[p](x) = x^p$, and hence the Frobenius F acts on $M(\widehat{\mathbb{G}}_m)$ by $Fx = px$. It follows that $Vx = x$ and $M(\widehat{\mathbb{G}}_m) \cong \mathbb{W}_p(k)\{x\}$ with this action.

Example 4.5.9 (cf. Example 1.2.9). This example is not done.

Dieudonné theory admits an extension to finite (flat) group schemes as well, and the torsion quotient of the Dieudonné module of a formal group agrees with the Dieudonné module associated to its torsion subscheme:

$$M(\widehat{\mathbb{G}}_0[p^j]) = M(\widehat{\mathbb{G}}_0)/p^j.$$

The <u>Dieudonné module associated to $\widehat{\mathbb{G}}_a$</u> is the infinite–dimensional torsion $\mathbb{W}_p(k)$–module $M(\widehat{\mathbb{G}}_a) = k\{x, Fx, F^2x, \ldots\}$. Set $p = 2$, and consider the subgroup scheme $\alpha_2 \subseteq \widehat{\mathbb{G}}_a$ with Dieudonné module

Start by calculating V for $\widehat{\mathbb{G}}_a$, use this to motivate the presence of torsion.

$$M(\alpha_2) = M(\widehat{\mathbb{G}}_a)/F^2 = k\{x, Fx\}.$$

We can now verify the four claims from Example 1.2.9:

- *The group scheme α_2 has the same underlying structure ring as $\mu_2 = \mathbb{G}_m[2]$ but is not isomorphic to it.* This follows from calculating the Dieudonné module of homomorphisms:

- *There is no commutative group scheme G of rank four such that $\alpha_2 = G[2]$. This follows from calculating the space of rank four objects and noticing that $VF = 2$ gets you into trouble.*

- *If $E/\mathbb{F}_2$ is the supersingular elliptic curve, then there is a short exact sequence*

$$0 \to \alpha_2 \to E[2] \to \alpha_2 \to 0.$$

However, this short exact sequence doesn't split (even after making a base change). This follows from calculating the action of F and V: the exact sequence is split as modules, of course, but not as Dieudonné modules.

- *The subgroups of $\alpha_2 \times \alpha_2$ of order two are parameterized by $\mathbb{P}^1$. This follows from calculating the Dieudonné module of the product, as well as its space of projections of the appropriate rank.*

We can also use Dieudonné theory to compute the automorphism group of a fixed Honda formal group, which is information we wanted back in Lecture 3.8:

Corollary 4.5.10. *For Γ_d the Honda formal group law of height d over $\mathbb{F}_{p^d}$, we compute*

$$\operatorname{Aut} \Gamma_d \cong \mathbb{W}_p(\mathbb{F}_{p^d})\langle F \rangle \Big/ \left(\begin{array}{c} Fw = w^\varphi F, \\ F^d = p \end{array} \right)^{\times}.$$

Proof. The Dieudonné module associated to Γ_d satisfies $F^d = p$, and hence $M(\Gamma_d/k)$ is presented as a *quotient* of the ring of operators on Dieudonné modules. The endomorphism ring of such a module is canonically isomorphic to the module itself. $\square$

We now turn to alternative presentations of the Dieudonné module functor, which have their own advantages and disadvantages. Let $\widehat{\mathbb{G}}$ again be a formal Lie group over a field k of positive characteristic p, and consider Cartier's *functor of curves*

$$C\widehat{\mathbb{G}} = \mathsf{FormalSchemes}(\widehat{\mathbb{A}}^1, \widehat{\mathbb{G}}).$$

This is, again, a kind of relaxing of familiar data from Lie theory: rather than studying exponential curves, $C\widehat{\mathbb{G}}$ tracks all possible curves. In Lecture 3.3, we considered three kinds of operations on a given curve $\gamma \colon \widehat{\mathbb{A}}^1 \to \widehat{\mathbb{G}}$:

- Homothety: given a scalar $a \in A$, we define $[a] \cdot \gamma(t) = \gamma(at)$.

- Verschiebung: given an integer $n \geq 1$, we define $V_n \gamma(t) = \gamma(t^n)$.

- Arithmetic: given two curves γ_1 and γ_2, we can use the group law on $\widehat{\mathbb{G}}$ to define $\gamma_1 +_{\widehat{\mathbb{G}}} \gamma_2$. Moreover, given $\ell \in \mathbb{Z}$, the ℓ–fold sum in $\widehat{\mathbb{G}}$ gives an operator

$$\ell \cdot \gamma = \overbrace{\gamma +_{\widehat{\mathbb{G}}} \cdots +_{\widehat{\mathbb{G}}} \gamma}^{\ell \text{ times}}.$$

This extends to an action by $\ell \in \mathbb{W}_p(k)$.

- Frobenius: given an integer $n \geq 1$, we define

$$F_n \gamma(t) = \sum_{i=1}^{n} \widehat{}_{\widehat{G}} \gamma(\zeta_n t^{1/n}),$$

where ζ_n is an nth root of unity. (This formula is invariant under permuting the root of unity chosen, so determines a curve defined over the original ground ring.)

Definition 4.5.11. A curve γ on a formal group is p–typical when $F_n \gamma = 0$ for $n \neq p^j$. Write $D_p \widehat{G} \subseteq C\widehat{G}$ for the subset of p–typical curves. In the case that the base ring is p–local, $C\widehat{G}$ splits as a sum of copies of $D_p\widehat{G}$, and there is a natural section $C\widehat{G} \to D_p\widehat{G}$ called p–typification, given by the same formula as in Lemma 3.3.7.

Remark 4.5.12. Precomposing with a coordinate $\widehat{\mathbb{A}}^1 \cong \widehat{G}$ allows us to think of a logarithm $\log \colon \widehat{G} \to \widehat{G}_a$ as a curve on $\widehat{G}_a$. The definition of p–typicality given in Definition 3.3.6 coincides with the one given here.

Surprisingly, this construction captures the same data as the previous one.

Theorem 4.5.13. *The functor D_p determines a* covariant *equivalence of categories between smooth 1–dimensional formal groups over k of finite p–height and finite free $\mathbb{W}_p(k)$–modules equipped with appropriate operations F and V. In fact, $D_p(\widehat{G}) \cong M(\widehat{G}/k)^*$.* ☐

Finally, we turn to a third presentation of Dieudonné theory using more pedestrian methods, with the aim of developing a theory more directly adapted to algebraic topology. One can show that the category of *finite–type* graded connected Hopf algebras is an abelian category, and hence must admit a presentation as modules over some (perhaps noncommutative) ring. The first step to accessing this presentation is to find a collection of projective generators for this category.

Theorem 4.5.14 ([44])**.** *Let $S(n)$ denote the free graded-commutative Hopf algebra on a single generator in degree n. There is a projective cover $H(n) \twoheadrightarrow S(n)$, given by the formula*

- *If either $p = 2$ and $n = 2^m k$ for $2 \nmid k$ and $m > 0$ or $p \neq 2$ and $n = 2p^m k$ for $p \nmid k$ and $m > 0$, then $H(n) = \mathbb{F}_p[x_0, x_1, \ldots, x_k]$ with the Witt vector diagonal.*

- *Otherwise, $H(n) = S(n)$ is the identity.* ☐

Corollary 4.5.15. *The category* $\mathsf{HopfAlgebras}_{\mathbb{F}_p/}^{>0,\mathrm{fin}}$ *of finite–type graded connected Hopf algebras is a full subcategory of modules over*

$$\bigoplus_{n,m} \mathsf{HopfAlgebras}_{\mathbb{F}_p/}^{>0,\mathrm{fin}}(H(n), H(m)).$$

Definition 4.5.16. Let GradedDMods denote the category of graded abelian groups M satisfying

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Add in words about being uniform and reduced?

Can you give more intuition about how these two presentations are related, for example from Lie theory? Somehow (integral) curves (through a point) should correspond to the tangent space, while left-invariant forms correspond to the cotangent space. Is "cohomologically-invariant" analogous to "p-typification", perhaps along the lines of the "crystalline"-ness of H^1_{dR}?

I would've thought that the important word to emphasize here is "graded", not "finite-type", since we've been assuming finite-type throughout this lecture.

Put in a citation about what "the Witt vector diagonal" means: the elements $w_i = x_0^{p^i} + px_1^{p^{i-1}} + \cdots + x_i$ are primitive.

1. $M_{<1} = 0$.

2. If n is odd, then $pM_n = 0$.

3. There are homomorphisms $V: M_{pn} \to M_n$ and $F: M_n \to M_{pn}$ (where n is even if $p \neq 2$), together satisfying $FV = p = VF$. (These are induced by the inclusion $H(n) \subseteq H(pn)$ and by the map $H(pn) \to H(n)$ sending x_n to x_{n-1}^p.)

Remark 4.5.17. Combining these, if n is even, taking the form $n = 2p^m k$ with $p \nmid k$ at odd primes p or $n = 2^m k$ with $2 \nmid k$ at $p = 2$, then $p^{m+1} M_n = F^{m+1} V^{m+1} M_n = 0$.

Theorem 4.5.18. *The functor* $D_*: \mathsf{HopfAlgebras}_{\mathbb{F}_p/}^{>0,\mathrm{fin}} \to \mathsf{GradedDMods}$ *defined by*

$$D_*(H) = \bigoplus_n D_n(H) = \bigoplus_n \mathsf{HopfAlgebras}_{\mathbb{F}_p/}^{>0,\mathrm{fin}}(H(n), H)$$

is an exact equivalence of categories. Moreover, $D_* H(n)$ *is characterized by the equation*

$$\mathsf{GradedDMods}(D_* H(n), M) = M_n. \quad \square$$

4.6 Ordinary cooperations for Landweber flat theories

Convention: We will write H for $H\mathbb{F}_p$ for the duration of the lecture.

Today we will put Dieudonné modules to work for us in algebraic topology. Our goal is to prove the following Theorem:

Theorem 4.6.1. *For $F = H$ and E a Landweber flat homology theory, the comparison map*

$$H_*^R \underline{E}_{2*} \to H_* \underline{E}_{2*}$$

is an isomorphism of Hopf rings.

The essential observation about this is that the associated Dieudonné module $D_* H_* \underline{E}_{2*}$ is a *stable object*, in the sense of the following result of Goerss–Lannes–Morel:

Theorem 4.6.2 ([7, Lemma 2.8]). *Let $X \to Y \to Z$ be a cofiber sequence of spectra. Then, provided $n > 1$ satisfies $n \not\equiv \pm 1 \pmod{2p}$, there is an exact sequence*

$$D_n H_* \Omega^\infty X \to D_n H_* \Omega^\infty Y \to D_n H_* \Omega^\infty Z. \quad \square$$

Corollary 4.6.3 ([7, Theorem 2.1]). *For $n > 1$ an integer satisfying $n \not\equiv \pm 1 \pmod{2p}$, there is a spectrum $B(n)$ satisfying*

$$B(n)_n X \cong D_n H_* \Omega^\infty X.$$

(As convention, when $n \equiv \pm 1 \pmod{2p}$ we set $B(n) := B(n-1)$, and $B(0) := \mathbb{S}^0$.) □

Before exploiting this result to compute something about unstable cooperations, we will prove a sequence of small results making these spectra somewhat more tangible.

Lemma 4.6.4 ([7, Lemma 3.2]). *The spectrum $B(n)$ is connective and p–complete.*

Proof. First, rearrange:

$$\pi_k B(n) = B(n)_n S^{n-k} = D_n H_* \Omega^\infty \Sigma^\infty S^{n-k}.$$

If $k < 0$, n is below the connectivity of $\Omega^\infty \Sigma^\infty S^{n-k}$ and hence this vanishes. The second assertion follows from the observation that $H\mathbb{Z}_* B(n)$ is an $\mathbb{F}_p$–vector space. To see this, restrict to the case $n \not\equiv \pm 1 \pmod{2p}$ and calculate

$$H\mathbb{Z}_k B(n) = B(n)_n \Sigma^{n-k} H\mathbb{Z} = D_n H_* K(\mathbb{Z}, n-k) = [Q^* H_* K(\mathbb{Z}, n-k)]_n. \qquad \square$$

We can use a similar trick to calculate $H^* B(n)$:

Definition 4.6.5 ([7, Example 3.6]). Let $G(n)$ be the free unstable $\mathcal{A}$–module on one generator of degree n, so that

$$\mathsf{UnstableModules}_{\mathcal{A}_*}(G(n), M) = M_n.$$

This module admits a presentation as

$$G(n) = \begin{cases} \Sigma^n \mathcal{A}/\{\beta^\varepsilon P^i \mid 2pi + 2\varepsilon > n\}\mathcal{A} & \text{if } p > 2, \\ \Sigma^n \mathcal{A}/\{\mathrm{Sq}^i \mid 2i > n\}\mathcal{A} & \text{if } p = 2. \end{cases}$$

The Spanier–Whitehead dual of this right-module, $DG(n)$, is characterized by the left-module

$$\Sigma^n (DG(n))^* = \begin{cases} \mathcal{A}/\mathcal{A}\{\chi(\beta^\varepsilon P^i) \mid 2pi + 2\varepsilon > n\} & \text{if } p > 2, \\ \Sigma^n \mathcal{A}/\mathcal{A}\{\chi \mathrm{Sq}^i \mid 2i > n\} & \text{if } p = 2. \end{cases}$$

Theorem 4.6.6 ([7, Proof of Theorem 3.1]). *There is an isomorphism*

$$H^* B(n) \cong \Sigma^n (DG(n))^*.$$

Proof. Start, as before, by computing:

$$H_k B(n) = B(n)_n \Sigma^{n-k} H = D_n H_* K(\mathbb{F}_p, n-k).$$

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The unstable module $G(n)$ also enjoys a universal property in the category of stable $\mathcal{A}$–modules:

$$\mathsf{Modules}_{\mathcal{A}/}(G(n), M) \cong [\Omega^\infty M]_n.$$

Hence, we can continue our computation:

$$
\begin{aligned}
H_k B(n) &= D_n H_* K(\mathbb{F}_p, n-k) \\
&= \mathsf{Modules}_{\mathcal{A}/}(G(n), \Sigma^{n-k}\mathcal{A}) \\
&= \mathsf{Modules}_{\mathbb{F}_p/}(G(n)_{n-k}, \mathbb{F}_p).
\end{aligned}
$$

We learn immediately that $H_* B(n)$ is finite. We would like to show, furthermore, that $H_* B(n)$ is the Spanier–Whitehead dual $\Sigma^n D G(n)$. It suffices to show

$$\mathsf{Modules}_{\mathcal{A}/}(G(n), \Sigma^j \mathcal{A}) = \mathsf{Modules}_{\mathcal{A}/}(\mathbb{F}_p, \Sigma^j \mathcal{A} \otimes H_* B(n))$$

for all values of j. This follows from calculating $B(n)_n \Sigma^{n+j} H$ using the same method. Finally, linear-algebraic duality and Definition 4.6.5 give the Theorem. □

Additionally, the following Lemma is almost a consequence of basic understanding of unstable modules over $\mathcal{A}_*$, with minor fuss at the bad indices $n \equiv \pm 1 \pmod{p}$:

Lemma 4.6.7 ([7, Lemma 3.3]). *There is a natural onto map* $B(n)_n X \to H_n X$. □

Let's now work toward using the $B(n)$ spectra to analyze the Hopf rings arising from unstable cooperations. We have previously computed that the comparison map

$$H_*^R \underline{BP}_{2*} \to H_* \underline{BP}_{2*}$$

is an isomorphism. We will begin by reimagining this statement in terms of Dieudonné theory.

To begin with, Dieudonné theory as we have described it is concerned with *Hopf algebras* rather than Hopf rings. However, a Hopf ring is not much structure on top of a system of graded Hopf algebras A_*: it is a map

$$\circ\colon A_* \boxtimes A_* \to A_*,$$

where "$\boxtimes$" is the tensor product of Hopf algebras. Since D_* gives an equivalence of categories between graded Hopf algebras and graded Dieudonné modules, we should be able to find an analogous formula for the tensor product of Dieudonné modules.

Definition 4.6.8. The naive tensor product $M \otimes N$ of Dieudonné modules M and N receives the structure of a $\mathbb{W}(k)[V]$–module, where $V(x \otimes y) = V(x) \otimes V(y)$. We define the *tensor product of Dieudonné modules* by

$$M \boxtimes N = \mathbb{W}(k)[F, V] \otimes_{\mathbb{W}(k)[V]} (M \otimes N) \bigg/ \left(\begin{array}{l} 1 \otimes Fx \otimes y = F \otimes x \otimes Vy, \\ 1 \otimes x \otimes Fy = F \otimes Vx \otimes y \end{array} \right).$$

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Lemma 4.6.9 ([8, Theorem 7.7]). *The natural map*

$$D_*(M) \boxtimes D_*(N) \to D_*(M \boxtimes N)$$

is an isomorphism. ☐

Definition 4.6.10. For a ring R, a *Dieudonné R–algebra A_** is a graded Dieudonné module equipped with an R–action and an algebra product

$$\circ \colon A_* \boxtimes A_* \to A_*.$$

Example 4.6.11 ([8, Proposition 10.2]). For a complex-oriented homology theory E, we define its Dieudonné E_*–algebra of algebraic unstable cooperations by

$$R_E = E_*[b_1, b_2, \ldots]/\big(\, b(s+t) = b(s) +_E b(t) \, \big),$$

where V is multiplicative, V fixes E_*, and V satisfies $Vb_{pj} = b_j$. (This determines the behavior of F.) We also write $D_E = \{D_{2m}H_*E_{2n}\}$ for the even part of the topological Dieudonné algebra, and these come with natural comparison maps

$$R_E \to D_E \leftarrow D_*H_*\underline{E}_{2*}.$$

Theorem 4.6.12 ([8, Theorem 11.7]). *Restricting attention to the even parts, the maps*

$$R_E \to D_E \leftarrow D_*H_*\underline{E}_{2*}$$

are isomorphisms for E Landweber flat.

Proof. In Corollary 4.4.7, we showed that these maps are isomorphisms for $E = BP$. However, the right–hand object can be identified via Brown–Gitler juggling:

$$D_nH_*\underline{E}_{2j} = B(n)_n\Sigma^{2j}E = E_{2j+n}B(n).$$

If E is Landweber flat, then the middle– and right–terms are determined by change-of-base from the respective BP terms by definition of flatness. Finally, the left term commutes with change-of-base by its algebraic definition, and the theorem follows. ☐

Remark 4.6.13. The proof of Theorem 4.6.12 originally given by Goerss [8] involved a lot more work, essentially because he didn't want to assume Theorem 4.4.1 or Corollary 4.4.7. Instead, he used the fact that $\Sigma_+^\infty \Omega^2 S^3$ is a regrading of the ring spectrum $\bigvee_n B(n)$, together with knowledge of $BP_*\Omega^2 S^3$. Since we already spent time with Theorem 4.4.1, we're not obligated to pursue this other line of thought.

Remark 4.6.14 ([8, Proposition 11.6]). The Dieudonné algebra framework also makes it easy to add in the odd part after the fact. Namely, suppose that E is a torsion–free ring spectrum and suppose that $E_* B(n)$ is even for all n. In this setting, we can verify the purely topological version of this statement: the map

$$D_E[e]/(e^2 - b_1) \to D_* H_* \underline{E}_*$$

is an isomorphism.

To see this, note that because $E_{2n-2k-1} B(2n) \to D_{2n} H_* \underline{E}_{2k+1}$ is onto and $E_{2n-2k-1} B(2n)$ is assumed zero, the group $D_{2n} H_* \underline{E}_{2k+1}$ vanishes as well. A bar spectral sequence argument shows that $D_{2n+1} H_* \underline{E}_{2k+2}$ is also empty [8, Lemma 11.5.1]. Hence, the map on even parts

$$(D_E[e]/(e^2 - b_1))_{*,2n} \to (D_* H_* \underline{E}_*)_{*,2n}$$

is an isomorphism, and we need only show that

$$D_* H_* \underline{E}_{2n} \xrightarrow{e \cdot -} D_* H_* \underline{E}_{2n+1}$$

is an isomorphism as well. Since $e(Fx) = F(Ve \circ x) = 0$ and $D_* A / F D_* A \cong Q^* A$ for a Hopf algebra A, we see that e kills decomposables and suspends indecomposables:

$$e D_* H_* \underline{E}_{2n} = \Sigma Q H_* \underline{E}_{2n}.$$

This is also what happens in the bar spectral sequence, and the claim follows. In light of Theorem 4.6.12, this means that for Landweber flat E, the comparison isomorphism can be augmented to a further isomorphism

$$R_E[e]/(e^2 - b_1) \to D_* H_* \underline{E}_*.$$

4.7 Cooperations and geometric points on $\mathcal{M}_{\mathbf{fg}}$

Throughout today, we will write K for a Morava K–theory K_Γ (which, if you like, you can take to be $K(d)$) and A for a finitely generated abelian group, and H for the associated Eilenberg–Mac Lane spectrum. Our goal is to study the unstable mixed cooperations $K_* \underline{H}_*$, which we expect to be connected to formal group homomorphisms $\Gamma \to \widehat{\mathbb{G}}_a$ but which isn't covered by any of the cases studied thus far. This calculation is interesting to us for two reasons:

1. These cooperations appear naturally when pursuing a "fiberwise analysis" of co-operations, or a chromatic analysis of unstable homotopy theory, along the lines of Case Study 3.

2. The Eilenberg–Mac Lane spaces $\underline{H}_*$ appear as the layers of Postnikov towers. If we were to want to analyze the K–homology of a Postnikov tower (as we will in Case Study 5), we will naturally encounter pieces of $K_*\underline{H}_*$, and we would be wise to have a firm handle on these objects. It is a tribute to the perspective offered here that the successful way to approach this computation is not one-at-a-time, handcrafted for each possible Eilenberg–Mac Lane space, but rather all-at-once, as suggested by the unstable cooperations picture.

Unsurprisingly, our analysis will rest on the bar spectral sequence

$$\mathrm{Tor}^{K_*\underline{H}_q}_{*,*}(K_*,K_*) \Rightarrow K_*\underline{H}_{q+1}.$$

However, because K–theory is naturally a 2–periodic theory, our method in Lecture 4.4 of inducting on homological degree and working with a triangular corner of the spectral sequence will fail because it is not a first-quadrant spectral sequence. Instead, we will induct on the Eilenberg–Mac Lane index q as in Lecture 4.2, and as such we will begin with analyzing the base case of $q = 0$ where we are interested in manually computing K_*BA for a reasonable abelian group A. Since K–theory has Künneth isomorphisms and $B(A_1 \times A_2) \simeq BA_1 \times BA_2$, it suffices to do the computation just for $A = C_{p^j}$.

Theorem 4.7.1 ([41, Theorem 5.7], [15, Proposition 2.4.4]). *There is an isomorphism*

$$BS^1[p^j]_K \cong BS^1_K[p^j].$$

Proof. Consider the diagram of spherical fibrations:

$$
\begin{array}{ccccc}
S^1 & \longrightarrow & B(S^1[p^j]) & \longrightarrow & BS^1 \\
\| & & \downarrow & & \downarrow{\scriptstyle p^j} \\
S^1 & \longrightarrow & ES^1 & \longrightarrow & BS^1.
\end{array}
$$

The induced long exact sequence (known as the Gysin sequence, or as the couple in the Serre spectral sequence for the first fibration) takes the form

where x is a coordinate on BS^1_K. Because BS^1_K is of finite height, the right diagonal map is injective. It follows that $\partial = 0$, and so this gives a short exact sequence of Hopf algebras, which we can reinterpret as a short exact sequence of group schemes

$$B(S^1[p^j])_K \to BS^1_K \xrightarrow{p^j} BS^1_K. \qquad \square$$

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Remark 4.7.2. Dually, there is also an exact sequence of Hopf algebras

$$K_* BS^1$$

$$-\frown [p^j](x)$$

$$K_*(BS^1[p^j]) \xleftarrow{\;\;\partial\;\;} K_* BS^1,$$

where again $\partial = 0$ and hence $K_*(BS^1[p^j])$ is presented as the kernel of the map "cap with $[p^j](x)$". We will revisit the duality next time.

There are a couple of approaches to the rest of this calculation, i.e., $K_* \underline{H}_q$ for $q > 1$. The original, due to Ravenel and Wilson [41], is to complete the calculation for the smallest abelian group C_p and then induct upward toward more complicated groups like C_{p^j} and C_{p^∞}. More recently, there is also a preprint of Hopkins and Lurie [15] that begins with $A = C_{p^\infty}$ and then works downward. We will do the *easy* parts of both calculations, to give a feel for their relative strengths and deficiencies.

The Ravenel–Wilson version of the calculation proceeds much along the same lines as Lecture 4.2. Setting $H = H\mathbb{Z}/p$, we will study the bar spectral sequences

$$\mathrm{Tor}_{*,*}^{K_* \underline{H}_q}(K_*, K_*) \Rightarrow K_* \underline{H}_{q+1}$$

for different indices q and use the $\circ$–product to push differentials around among them. Our first move, as in Lecture 4.2, is to study the bar spectral sequence

$$\mathrm{Tor}_{*,*}^{K_* \mathbb{Z}/p}(K_*, K_*) \Rightarrow K_* B\mathbb{Z}/p$$

and analyze what *must* happen in order to reach the conclusion of Theorem 4.7.1. In the input to this spectral sequence, the ground algebra is given by

$$K_* \underline{H\mathbb{Z}/p}_0 = K_*[[1]]/\langle [1]^p - 1 \rangle = K_*[[1] - [0]]/\langle [1] - [0] \rangle^p.$$

The Tor–algebra for this truncated polynomial algebra $K_*[a_\varnothing]/a_\varnothing^p$ is then given by the formula

$$\mathrm{Tor}_{*,*}^{K_*[a_\varnothing]/a_\varnothing^p}(K_*, K_*) = \Lambda[\sigma a_\varnothing] \otimes \Gamma[\varphi a_\varnothing],$$

the combination of an exterior algebra and a divided power algebra. We know which classes are supposed to survive this spectral sequence, and hence we know where the differentials must be:

$$d(\varphi a_\varnothing)^{[p^d]} = \sigma a_\varnothing,$$

$$\Rightarrow d(\varphi a_\varnothing)^{[i+p^d]} = \sigma a_\varnothing \cdot (\varphi a_\varnothing)^{[i]}.$$

The spectral sequence collapses after this differential.[3]

With the base case analysis completed, we turn to the induction on q:

---

[3]In the $j > 1$ version of this analysis, there are some multiplicative extensions to sort out. Of course, these are all determined by already knowing the multiplicative structure on $K_* \underline{H\mathbb{Z}/p^j}_1$.

Theorem 4.7.3 ([41, Theorem 9.2 and Theorem 11.1]). *Using the ∘–product,*

$$K_* \underline{H\mathbb{Z}/p}_q = \mathrm{Alt}^q \, \underline{H\mathbb{Z}/p}_1.$$

Proof sketch. The inductive step turns out to be extremely index-rich, so I won't be so explicit or complete, but I'll point out the major landmarks. It will be useful to use the shorthand $a_{(i)} = a_\emptyset^{[p^i]}$, where (i) is thought of as a multi-index with one entry.

We proceed by induction, assuming that $K_* \underline{H\mathbb{Z}/p}_q = \mathrm{Alt}^q \, \underline{H\mathbb{Z}/p}_1$ for a fixed q. Computing the Tor–algebra of $K_* \underline{H\mathbb{Z}/p^j}_q$ again yields a tensor of divided power and exterior classes, a pair for each algebra generator of $K_* \underline{H\mathbb{Z}/p^j}_q$. In analogy to the rewriting formula used in Theorem 4.2.5, there is also a rewriting formula in this context [41, Lemmas 9.5-6]:

$$(\varphi a_{(i_1,\dots,i_q)})^{[p^n]} \equiv (\varphi a_{(i_1,\dots,i_{q-1})})^{[p^n]} \circ a_{(i_q+n)} \quad \mathrm{mod} \, *\text{–decomposables.}$$

Since every class can be so decomposed, all the differentials are determined by the previous spectral sequence. In particular, classes are hit by differentials exactly when $i_q + n$ is large enough. Chasing this through shows that the inductive assumption that $K_* \underline{H\mathbb{Z}/p}_{q+1}$ is an exterior power holds, and the class $(\varphi a_{(i_1,\dots,i_q)})^{[p^n]}$ represents $a_{(n,i_1+n,\dots,i_q+n)}$. □

Remark 4.7.4. When reworking this computation for the case

$$\mathrm{Tor}_{*,*}^{K_* \underline{H\mathbb{Z}/p^j}_q}(K_*, K_*) \Rightarrow K_* \underline{H\mathbb{Z}/p^j}_{q+1},$$

the main difference is that there are various algebra extensions to keep track of. These are controlled using the group maps

$$\mathbb{Z}/p^{j+1} \to \mathbb{Z}/p^j, \qquad \left(\frac{1}{p^j}\mathbb{Z}\right)/\mathbb{Z} \to \left(\frac{1}{p^{j+1}}\mathbb{Z}\right)/\mathbb{Z},$$

together with knowledge of how the extensions strung together at the previous j–stage. Then, these tools are revisited [41, Theorem 12.4] to give a computation in the limiting case $A = C_{p^\infty}$, where there's a p–adic equivalence $HC_{p^\infty} \simeq_p^\wedge \Sigma H\mathbb{Z}$. The calculation in this setting is the most interesting one of all — after all, it contains the case BS_K^1, which is of special interest to us.

Remarkably, this maximally interesting case is easier to access directly than passing through all of this intermediate work, and this is the perspective of Hopkins and Lurie. We will pursue an inductive calculation of the formal group schemes $(\underline{HC_{p^\infty}}_q)_K$ by iterating the cohomological bar spectral sequence, culminating in the following Theorem:

Theorem 4.7.5. *There is an isomorphism of formal group schemes*

$$(\underline{HC_{p^\infty}}_q)_K \cong (\mathbb{CP}_K^\infty)^{\wedge q}.$$

In particular, $(\underline{HC_{p^\infty}}_q)_K$ is a "p–divisible formal group" of dimension $\binom{d-1}{q-1}$ and height $\binom{d}{q}$.

Assume that this Theorem is true for a fixed value of q. First, the cohomological bar spectral sequence lets us calculate just the *formal scheme* structure of $(\underline{HC}_{p^\infty q+1})_K$, using the *formal group* structure of $(\underline{HC}_{p^\infty q})_K$. It has signature ([23], [15, Example 2.3.5])

$$H^*((\underline{HC}_{p^\infty q})_K; \widehat{\mathbb{G}}_a) \otimes_{K_0} K_* \Rightarrow K^* \underline{HC}_{p^\infty q+1},$$

and hence we are moved to calculate the formal group cohomology of $(\underline{HC}_{p^\infty q})_K$. The following Lemma furthers the calculations of formal group cohomology in Lemma 3.3.1 and Lemma 3.5.8 to the situation of connected p–divisible groups of higher dimension:

Lemma 4.7.6 ([15, Theorem 2.2.10 and Example 2.2.12]). *If $\widehat{\mathbb{G}}$ is a connected p–divisible group over a field k, then $H^*(\widehat{\mathbb{G}}; \widehat{\mathbb{G}}_a)$ is isomorphic to the symmetric algebra on $\Sigma H^1(\widehat{\mathbb{G}}[p^j]; \widehat{\mathbb{G}}_a)$, with generators concentrated in degree 2.* $\square$

Corollary 4.7.7 ([15, Proposition 2.4.11]). *As a formal scheme, $(\underline{HC}_{p^\infty q+1})_K$ is a formal variety of dimension $\binom{d-1}{q}$.*

Proof. By setting $\widehat{\mathbb{G}} = (\underline{HC}_{p^\infty q})_K$, the Lemma gives us access to the E^2–page of our cohomological bar spectral sequence. We can calculate the dimension of H^1 to be

$$\dim_k H^1((\underline{H\mathbb{C}}_{pq})_K; \widehat{\mathbb{G}}_a) = \mathrm{ht}(\underline{HC}_{p^\infty q})_K - \dim(\underline{HC}_{p^\infty q})_K = \binom{d}{q-1} - \binom{d-1}{q-1} = \binom{d-1}{q}.$$

It follows that the E_2–page of this spectral sequence is a polynomial k–algebra on $\binom{d-1}{q}$ generators, concentrated in even degrees, so that the spectral sequence collapses and $K^0 \underline{HC}_{p^\infty q+1}$ is a power series algebra on as many generators. $\square$

In order to continue the induction, we now have to identify the group structure on $(\underline{HC}_{p^\infty q+1})_K$. This is done using the theory of Dieudonné modules:

Theorem 4.7.8 ([15, Proposition 2.4.12]). *Let $q \geq 1$ be an integer. Suppose, in addition to the inductive hypotheses above, that the sequence of group schemes*

$$(\underline{H(\tfrac{1}{p}\mathbb{Z}_p/\mathbb{Z}_p)_q})_K \to (\underline{H(\mathbb{Q}_p/\mathbb{Z}_p)_q})_K \to (\underline{H(\mathbb{Q}_p/\tfrac{1}{p}\mathbb{Z}_p)_q})_K \to K_0$$

is exact, and that the map

$$\theta^q \colon \mathbb{Q}_p/\mathbb{Z}_p \otimes M(\mathbb{CP}_K^\infty)^{\wedge q} \to M((\underline{H(\mathbb{Q}_p/\mathbb{Z}_p)_q})_K)$$

is an isomorphism. Then θ^{q+1} is an isomorphism and the formal group $\underline{H(\mathbb{Q}_p/\mathbb{Z}_p)}_{q+1}$ is a connected p–divisible group with height $\binom{d}{q+1}$ and dimension $\binom{d-1}{q}$.

Proof sketch. By applying the snake lemma to the diagram

$$
\begin{CD}
0 @>>> M^{\wedge(q+1)} @>>> \mathbb{Q} \otimes M^{\wedge(q+1)} @>>> \mathbb{Q}_p/\mathbb{Z}_p \otimes M^{\wedge(q+1)} @>>> 0 \\
@. @VV{V}V @VV{V}V @VV{V}V \\
0 @>>> M^{\wedge(q+1)} @>>> \mathbb{Q} \otimes M^{\wedge(q+1)} @>>> \mathbb{Q}_p/\mathbb{Z}_p \otimes M^{\wedge(q+1)} @>>> 0
\end{CD}
$$

and knowing that the middle map is an isomorphism, we learn that V is a surjective endomorphism of $M^{\wedge(q+1)} M \otimes \mathbb{Q}_p/\mathbb{Z}_p$ and that there is an isomorphism

$$
\ker(V \colon \mathbb{Q}_p/\mathbb{Z}_p \otimes M^{\wedge(q+1)} \to \mathbb{Q}_p/\mathbb{Z}_p \otimes M^{\wedge(q+1)}) \cong \operatorname{coker}(V \colon M^{\wedge(q+1)} \to M^{\wedge(q+1)}).
$$

The right-hand side is spanned by elements $V^I x$ with $I_1 = 0$, and hence the left-hand side has k–vector–space dimension $\binom{d-1}{q}$. By very carefully studying the bar spectral sequence, one can learn that θ^m induces a surjection

$$
\ker V|_{\mathbb{Q}_p/\mathbb{Z}_p \otimes M^{\wedge m}} \to \ker V|_{D(Y)}.
$$

In fact, since these two have the same rank, θ^m is an isomorphism on these subspaces. Since the action of V is locally nilpotent, this is enough to show that θ^m is an isomorphism, without restriction to subspaces: if it failed to be an injection, we could apply V enough times to get an example of a nontrivial element in $\ker V|_{\mathbb{Q}_p/\mathbb{Z}_p \otimes M^{\wedge m}}$ mapping to zero, and we can manually construct preimages through successive approximation. $\qquad\square$

Remark 4.7.9 ([15, Proposition 2.4.13]). With this in hand, you now have to pull apart the full p–divisible group to get a calculation of $(\underline{H\mathbb{Z}/p^j}_q)_K$. From this perspective, this is the hardest part with the longest, most convoluted proof.

Remark 4.7.10 ([15, Section 3]). Because $K_\Gamma^* \underline{H\mathbb{Z}/p^j}_q$ is even, you can hope to augment this to a calculation of $E_\Gamma^* \underline{H\mathbb{Z}/p^j}_q$. This is indeed possible, and the analogous formula is true at the level of Hopf algebras:

$$
(E_\Gamma)_* \underline{HC}_{p^i q} \cong \operatorname{Alt}^q (E_\Gamma)_* \underline{HC}_{p^i 1}.
$$

However, the attendant algebraic geometry is quite complicated: you either need a form of Dieudonné theory that functions over $\mathcal{M}_{E_\Gamma}$ (and then attempt to drag the proof above through that setting), or you need to directly confront what "alternating power of a p–divisible group" means at the level of p–divisible groups (and forego all of the time-saving help afforded to you by Dieudonné theory).

Remark 4.7.11. You'll notice that in $K_* \underline{H}_{q+1}$ if we let the q–index tend to ∞, we get the K–homology of a point. This is another way to see that the stable cooperations $K_* H$ vanish, meaning that the *only* information present comes from unstable cooperations.

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Things that belong in this chapter

Theorem 6.1 of R–W *The Hopf ring for complex bordism* sounds like something related to Quillen's elementary proof.

There's also a document by Boardman, Johnson, and Wilson (Chapter 2 of the *Handbook of Algebraic Topology*) that discusses an equivalence between Steve's approach and "unstable comodules". Please read this.

Case Study 5

The σ-orientation

5.1 Coalgebraic formal schemes

Today we will discuss an elephant that has been lingering in our room: we began the class talking about the formal scheme associated to the *cohomology* of a space, but we have since become primarily interested in a construction converting the *homology* of a spectrum to a sheaf over a context. Our goal for today is to, when possible, put these on even footing. Our motivation for finally addressing this lingering discrepancy is more technical than aesthetic: we have previously wanted access to certain colimits of formal schemes (e.g., in Theorem 2.2.10). While such colimits are generally forbidding, similarly to colimits of manifolds, we will produce certain conditions under which they are accessible.

Suppose that E is a ring spectrum, and recall the usual way to produce the structure of an E^*-algebra on E^*X for X a space. The space X has a diagonal map $\Delta\colon X \to X \times X$, which on E-cohomology induces a multiplication map

$$E^*X \otimes_{E^*} E^*X \xrightarrow{\mu_E} E^*(X \times X) \xrightarrow{E^*\Delta} E^*X.$$

Dually, applying E-homology, we have a pair of maps

$$E_*X \xrightarrow{E_*\Delta} E_*(X \times X) \xleftarrow{\text{Künneth}} E_*X \otimes_{E_*} E_*X.$$

In the case that the Künneth map is an isomorphism, the right–hand map is invertible, and the long composite induces the structure of an E_*-coalgebra on E_*X. In the most

generous case that E is a field spectrum (in the sense of Corollary 3.6.8), E^*X is functorially the linear dual of E_*X, which motivates us to consider the following purely algebraic construction:

Definition 5.1.1. Let C be a coalgebra over a field k. The scheme $\operatorname{Sch} C$ *associated to C is defined by*

$$(\operatorname{Sch} C)(T) = \left\{ f \in C \otimes T \, \middle| \, \begin{array}{c} \Delta f = f \otimes f \in (C \otimes T) \otimes_T (C \otimes T), \\ \varepsilon f = 1 \end{array} \right\}.$$

Lemma 5.1.2. *For A a k–algebra, finite–dimensional as a k–module, one has $\operatorname{Spec} A \cong \operatorname{Sch} A^*$.*

Proof sketch. A point $f \in (\operatorname{Sch} A^*)(T) \subseteq A^* \otimes T$ gives rise to a map $f_* \colon A \to T$ by the duality pairing, which is a ring homomorphism by the condition. The finiteness assumption is present exactly so that A is its own double–dual, giving an inverse assignment. □

If we drop the finiteness assumption, then a lot can go wrong. For instance, the multiplication on our k–algebra A gives rise only to maps

$$A^* \to (A \otimes_k A)^* \leftarrow A^* \otimes_k A^*,$$

which is not enough to make A^* into a k–coalgebra. However, if we start instead with a k–coalgebra C of infinite dimension, the following result is very telling:

Cite me: Demazure's book has this somewhere in it, also see your thesis for a more modern reference; also the ambidexterity paper section 1.1 treats this issue.

Lemma 5.1.3. *For C a coalgebra over a field k, any finite–dimensional k–linear subspace of C can be finitely enlarged to a subcoalgebra of C. Accordingly, taking the colimit gives a canonical equivalence*

$$\operatorname{Ind}(\operatorname{Coalgebras}_k^{\operatorname{fin}}) \xrightarrow{\cong} \operatorname{Coalgebras}_k. \quad \square$$

This result allows us to leverage our duality Lemma pointwise: for an arbitrary k–coalgebra, we break it up into a lattice of finite k–coalgebras, and take their linear duals to get a reversed lattice of finite k–algebras. Altogether, this indicates that k–coalgebras generally want to model *formal schemes*.

Corollary 5.1.4. *For C a coalgebra over a field k expressed as a colimit $C = \operatorname{colim}_k C_k$ of finite subcoalgebras, there is an equivalence*

$$\operatorname{Sch} C \cong \{\operatorname{Spec} C_k^*\}_k.$$

This induces a covariant *equivalence of categories*

$$\operatorname{Coalgebras}_k \cong \operatorname{FormalSchemes}_{/k}. \quad \square$$

Do you also need to compare the (Cartesian) monoidal structures?

This covariant algebraic model for formal schemes is very useful. For instance, this equivalence makes the following calculation trivial:

Lemma 5.1.5 ((cf. Theorem 2.2.10 and)). *Select a coalgebra C over a field k together with a pointing $k \to C$. Write M for the coideal $M = C/k$. The free formal monoid on the pointed formal scheme* $\mathrm{Sch}\, k \to \mathrm{Sch}\, C$ *is given by*

$$F(\mathrm{Sch}\, k \to \mathrm{Sch}\, C) = \mathrm{Sch}\, \mathrm{Sym}^* M. \quad \square$$

It is unfortunate, then, that when working over an object more general than a field Lemma 5.1.3 fails. Nonetheless, it is possible to bake into the definitions the machinery needed to get a good-enough analogue of Corollary 5.1.4.

Definition 5.1.6. Let C be an R–coalgebra which is free as an R–module. A basis $\{x_j\}$ of C is said to be a *good basis* when any finite subcollection of $\{x_j\}$ can be finitely enlarged to a subcollection that spans a subcoalgebra. The coalgebra C is itself said to be *good* when it admits a good basis. A formal scheme X is said to be *coalgebraic* when it is isomorphic to $\mathrm{Sch}\, C$ for a good coalgebra C.

Theorem 5.1.7 ([51, Proposition 4.64]). *Suppose that $F\colon \mathsf{I} \to \mathsf{Coalgebras}_R$ is a colimit diagram of coalgebras such that each object in the diagram, including the colimit point, is a good coalgebra. Then*

$$\mathrm{Sch} \circ F\colon \mathsf{I} \to \mathsf{FormalSchemes}$$

is a colimit diagram of formal schemes. $\quad \square$

This Theorem gives us access to many constructions on formal schemes, provided we assume that the input is coalgebraic. This covers many of the cases of interest to us, as every formal variety is coalgebraic. For an example of the sort of constructions that become available, one can prove the following Corollary by analyzing the symmetric power of coalgebras:

Corollary 5.1.8 ([51, Proposition 6.4]). *For X a coalgebraic formal scheme, $X_{\Sigma_n}^{\times n}$ exists. In fact, $\coprod_{n \geq 0} X_{\Sigma_n}^{\times n}$ models the free formal monoid on X. If $\mathrm{Spec}\, k \to X$ is a pointing, then $\mathrm{colim}_n \{X_{\Sigma_n}^{\times n}\}_n$ models the free formal monoid on the pointed formal scheme.* $\quad \square$

In the specific case that $\mathrm{Spec}\, k \to X$ is a formal *curve*, we can prove something more:

Corollary 5.1.9 ([51, Proposition 6.13]). *For $\mathrm{Spec}\, k \to X$ a pointed formal curve, the free formal monoid is automatically an abelian group.* $\quad \square$

Proof sketch. The idea is that the symmetric algebra on the coalgebra associated to a formal curve admits a sufficiently nice filtration that one can iteratively solve for a Hopf algebra antipode. $\quad \square$

We now reconnect this algebraic discussion with the algebraic topology that spurred it.

Lemma 5.1.10. *If E and X are such that E_*X is an E_*–coalgebra and*

$$E^*X = \mathsf{Modules}_{E_*}(E_*X, E_*),$$

then there is an equivalence

$$\mathrm{Sch}\, E_*X \cong X_E. \quad \square$$

Proof sketch. The main point is that the formal topology on X_E is induced by the compactly generated topology of X, and this same topology can also be used to write $\mathrm{Sch}\, E_*X$ as the colimit of finite E_*–coalgebras. $\qquad\square$

Example 5.1.11 (Theorem 4.7.1 and Remark 4.7.2). For a Morava K–theory K_Γ associated to a formal group Γ of finite height, we have seen that there is an exact sequence of Hopf algebras

$$K_\Gamma^0(BS^1) \xrightarrow{[p^j]} K_\Gamma^0(BS^1) \to K_\Gamma^0(BS^1[p^j]),$$

presenting $(BS^1[p^j])_K$ as the p^j–torsion formal subscheme $BS_K^1[p^j]$. The Hopf algebra calculation also holds in K–homology, where there is instead the following exact sequence

$$(K_\Gamma)_0 B(S^1[p^j]) \to (K_\Gamma)_0 BS^1 \xrightarrow{(-)^{*p^j}} (K_\Gamma)_0 BS^1,$$

presenting $(K_\Gamma)_0 B(S^1[p^j])$ as the p^j–order $*$–nilpotence in the middle Hopf algebra. Applying Sch to this last line covariantly converts this second statement about Hopf algebras to the corresponding statement above about the associated formal schemes — i.e., the behavior of the homology coalgebra is a direct reflection of the behavior of the formal schemes.

The example above also spurs us to consider an intermediate operation. We have seen that the algebra structure of the K–cohomology of a space and the coalgebra structure of the K–homology of the same space contain equivalent data: they both give rise to the same formal scheme. However, in the case at hand, BS^1 and $BS^1[p^j]$ are commutative H–spaces and hence give rise to *commutative and cocommutative Hopf algebras* on both K–cohomology and K–homology. Hence, in addition to considering the coalgebraic formal scheme $\mathrm{Sch}(K_\Gamma)_0 B(S^1[p^j])$, we can also consider the affine scheme $\mathrm{Spec}(K_\Gamma)_0 B(S^1[p^j])$. This, too, should contain identical information, and this is the subject of Cartier duality.

Definition 5.1.12 ([51, Section 6.4]). The *Cartier dual* of a finite group scheme G is defined by

$$DG = \underline{\mathsf{GroupSchemes}}(G, \mathbb{G}_m).$$

Lemma 5.1.13 ([51, Proposition 6.19]). *On the level of Hopf algebras $A = \mathcal{O}_G$, this has the effect*

$$DG = \mathrm{Sch}\, A = \mathrm{Spec}\, A^*. \quad \square$$

Remark 5.1.14. The effect of Cartier duality on the Dieudonné module of a formal group is linear duality. Hence, the covariant and contravariant Dieudonné modules described in Lecture 4.5 are related by Cartier duality.

Remark 5.1.15. The topological summary of Cartier duality is that, when X is a free even commutative H–space,

$$DX_E = \underline{\mathsf{GroupSchemes}}(X_E, \mathbb{G}_m) = \operatorname{Spec} E_0 X.$$

5.2 Special divisors and the special splitting principle

Starting today, after our extended interludes on chromatic homotopy theory and cooperations, we are going to return to thinking about bordism orientations directly. To begin, we will recall the various perspectives adopted in Case Study 2 when we were studying complex–orientations of ring spectra.

1. A complex–orientation of E is, definitionally, a map $MUP \to E$ of ring spectra in the homotopy category.

2. A complex–orientation of E is also equivalent to a multiplicative system of Thom isomorphisms for complex vector bundles. Such a system is determined by its value on the universal line bundle $\mathcal{L}$ over $\mathbb{CP}^\infty$. We can also phrase this algebro-geometrically: such a Thom isomorphism is the data of a trivialization of the Thom sheaf $\mathbb{L}(\mathcal{L})$ over $\mathbb{CP}_E^\infty$.

3. Ring spectrum maps $MUP \to E$ induce on E–homology maps $E_0 MUP \to E_0$ of E_0–algebras. This, too, can be phrased algebro-geometrically: these are elements of $(\operatorname{Spec} E_0 MUP)(E_0)$.

We can summarize our main result about these perspectives as follows:

Theorem 5.2.1 ([2, Example 2.53])**.** *Take E to be* complex–orientable*. The functor*

$$\mathsf{AffineSchemes}_{/\operatorname{Spec} E_0} \to \mathsf{Sets},$$
$$(\operatorname{Spec} T \xrightarrow{u} \operatorname{Spec} E_0) \mapsto \{\text{trivializations of } u^*\mathbb{L}(\mathcal{L}) \text{ over } u^*\mathbb{CP}_E^\infty\}$$

is isomorphic to the affine scheme $\operatorname{Spec} E_0 MUP$*. Moreover, the E_0–points of this scheme biject with ring spectrum maps $MUP \to E$.*

Proof summary. The equivalence between (1) and (2) is given by the splitting principle for complex line bundles. The equivalence between (1) and (3) follows from calculating that E_0MUP is a free E_0–module. $\square$

An analogous result holds for ring spectrum maps $MU \to E$ and the line bundle $\mathcal{L} - 1$, and it is proven in analogous way. In particular, we will want a version of the splitting principle for virtual vector bundles of virtual rank 0. Given a finite complex X and such a rank 0 virtual vector bundle, write

$$\tilde{V}\colon X \to BU$$

for the classifying map. Because X is a finite complex, there exists an integer n so that $\tilde{V} = V - n \cdot 1$ for an honest rank n vector bundle V over X. Using Corollary 2.3.9, the splitting $f^*V \cong \bigoplus_{i=1}^{n} \mathcal{L}_i$ over Y gives a trivialization of $\tilde{V}$ *internally to BU* as

$$\tilde{V} = V - n \cdot 1 = \bigoplus_{i=1}^{n}(\mathcal{L}_i - 1),$$

as each bundle $\mathcal{L}_i - 1$ itself has the natural structure of a rank 0 virtual vector bundle. This begets the following analogue of the previous result:

Theorem 5.2.2 ([2, Example 2.54])**.** *Take E to be complex–orientable. The functor*

$$\mathsf{AffineSchemes}_{/\operatorname{Spec} E_0} \to \mathsf{Sets},$$

$$(\operatorname{Spec} T \xrightarrow{u} \operatorname{Spec} E_0) \mapsto \{\textit{trivializations of } u^*\mathbb{L}(\mathcal{L} - 1) \textit{ over } u^*\mathbf{CP}_E^\infty\}$$

is isomorphic to the affine scheme $\operatorname{Spec} E_0MU$. *Moreover, the E_0–points of this scheme biject with ring spectrum maps* $MU \to E$. $\square$

Remark 5.2.3. The map $BU \to BU \times \mathbb{Z}$ induces a map $MU \to MUP$. The induced map on schemes is normalization:

$$\operatorname{Spec} E_0MUP \to \operatorname{Spec} E_0MU,$$

$$f \mapsto \frac{f'(0)}{f}.$$

These two Thom spectra are the beginning of a larger pattern. Their base spaces $BU \times \mathbb{Z}$ and BU are both infinite loopspaces: they are $\underline{kU}_0$ and $\underline{kU}_2$ respectively, where kU is the connective complex K–theory spectrum. In general, the space $\underline{kU}_{2k}$ is given as a connective cover:

$$\underline{kU}_{2k} = BU[2k, \infty),$$

and so the next Thom spectrum in the sequence is MSU, the bordism theory of SU–structured manifolds. The special unitary group SU is explicit enough that these orientations can be fully understood along similar lines to what we have done so far. Our jumping off point for that story will be, again, an extension of the splitting principle.

Lemma 5.2.4. *Let X be a finite complex, and let $\tilde{V}\colon X \to BU$ classify a virtual vector bundle of rank 0 over X. Select a factorization $\tilde{\tilde{V}}\colon X \to BSU$ of $\tilde{V}$ through BSU. Then, there is a space $f\colon Y \to X$, where $f_E\colon Y_E \to X_E$ is finite and flat, as well as a collection of line bundles $\mathcal{H}_j$, $\mathcal{H}'_j$ expressing a BSU–internal decomposition*

$$\tilde{\tilde{V}} = -\bigoplus_{j=1}^{n}(\mathcal{H}_j - 1)(\mathcal{H}'_j - 1).$$

Proof. Begin by using Corollary 2.3.9 on V to get an equality of BU–bundles

$$\tilde{\tilde{V}} = V' + \mathcal{L}_1 + \mathcal{L}_2 - n \cdot 1.$$

Adding $(\mathcal{L}_1 - 1)(\mathcal{L}_2 - 1)$ to both sides, this gives

$$\begin{aligned}
\tilde{\tilde{V}} + (\mathcal{L}_1 - 1)(\mathcal{L}_2 - 1) &= V' + \mathcal{L}_1 + \mathcal{L}_2 + (\mathcal{L}_1 - 1)(\mathcal{L}_2 - 1) - n \cdot 1 \\
&= V' + \mathcal{L}_1\mathcal{L}_2 - (n-1) \cdot 1.
\end{aligned}$$

By thinking of $(\mathcal{L}_j - 1)$ as an element of $kU^2(Y) = \mathsf{Spaces}(Y, BU)$, we see that the product element $(\mathcal{L}_1 - 1)(\mathcal{L}_2 - 1) \in kU^4(Y) = \mathsf{Spaces}(Y, BSU)$ has the natural structure of a BSU–bundle and hence so does the sum on the left-hand side[1]. The right-hand side is the rank 0 virtualization of a rank $(n-1)$ vector bundle, hence succumbs to induction. Finally, because $SU(1)$ is the trivial group, there are no nontrivial complex line bundles with structure group $SU(1)$, grounding the induction. $\square$

Corollary 5.2.5. *Ring spectrum maps $MSU \to E$ biject with trivializations of*

$$\mathbb{L}((\mathcal{L}_1 - 1)(\mathcal{L}_2 - 1)) \downarrow (\mathbb{CP}^\infty)_E^{\times 2}. \quad \square$$

Remark 5.2.6. Since we used the product map

$$kU^2(Y) \otimes kU^2(Y) \to kU^4(Y)$$

in the course of the proof, it is also interesting to consider the product map

$$kU^4(Y) \otimes kU^0(Y) \to kU^4(Y).$$

Taking one of our splitting summands $(\mathcal{L}_1 - 1)(\mathcal{L}_2 - 1)$ and acting by some line bundle $\mathcal{H}$ gives

$$\begin{aligned}
(\mathcal{L}_1 - 1)\mathcal{H}(\mathcal{L}_2 - 1) &= \\
(\mathcal{H}\mathcal{L}_1 - \mathcal{H})(\mathcal{L}_2 - 1) &= (\mathcal{L}_1 - 1)(\mathcal{H}\mathcal{L}_2 - \mathcal{H}) \\
(\mathcal{H}\mathcal{L}_1 - 1)(\mathcal{L}_2 - 1) - (\mathcal{H} - 1)(\mathcal{L}_2 - 1) &= (\mathcal{L}_1 - 1)(\mathcal{H}\mathcal{L}_2 - 1) - (\mathcal{L}_1 - 1)(\mathcal{H} - 1).
\end{aligned}$$

This "kU^0–linearity" is sometimes called a "2–cocycle condition", in reference to the similarity with the formula in Definition 3.2.6.

---

[1]In the language of last section, we are making use of the Hopf ring $\circ$–product.

If we can show that E_*BSU is even–concentrated and free as an E_0–module, then this will complete the BSU–analogue of Theorems 5.2.1 and 5.2.2. This is quite easy, following directly from the Serre spectral sequence:

Lemma 5.2.7 ([3, Lemma 6.1]). *The Postnikov fibration*

$$BSU \to BU \xrightarrow{B\det} BU(1)$$

induces a short exact sequence of Hopf algebras

$$E^0 BSU \leftarrow E^0 BU \xleftarrow{c_1 \leftarrow\!\shortmid c_1} E^0 BU(1). \qquad \square$$

Corollary 5.2.8. *The functor*

$$\{\operatorname{Spec} T \xrightarrow{u} \operatorname{Spec} E_0\} \to \{\textit{trivializations of } u^*\mathbb{L}((\mathcal{L}_1 - 1)(\mathcal{L}_2 - 1)) \textit{ over } u^*\mathbb{CP}_E^\infty\}$$

is isomorphic to the affine scheme $\operatorname{Spec} E_0 MSU$. Moreover, the E_0–points of this scheme biject with ring spectrum maps $MSU \to E$. $\qquad \square$

However, the use of Lemma 5.2.7 inspires us to spend a moment longer with the associated formal schemes. An equivalent statement is that there is a short exact sequence of formal group schemes

$$
\begin{array}{ccccc}
BSU_E & \longrightarrow & BU_E & \xrightarrow{B\det} & BU(1) \\
\| & & \| & & \| \\
\operatorname{SDiv}_0 \mathbb{CP}_E^\infty & \longrightarrow & \operatorname{Div}_0 \mathbb{CP}_E^\infty & \xrightarrow{\text{sum}} & \mathbb{CP}_E^\infty,
\end{array}
$$

where the scheme "$\operatorname{SDiv}_0 \mathbb{CP}_E^\infty$" of "special divisors" consists of those divisors which vanish under the summation map. However, where the comparison map $BU(1)_E \to BU_E$ has an identifiable universal property — it presents BU_E as the universal formal group on the pointed curve $BU(1)_E$ — the description of BSU_E as a scheme of special divisors does not bear much immediate resemblance to a free object on the special divisor $([a] - [0])([b] - [0])$ classified by

$$(\mathbb{CP}^\infty)_E^{\times 2} \xrightarrow{(\mathcal{L}_1 - 1)(\mathcal{L}_2 - 1)_E} BSU_E \to BU_E = \operatorname{Div}_0 \mathbb{CP}_E^\infty.$$

It would be wise of us to straighten this out before moving on.

Definition 5.2.9. If it exists, let $C_2\widehat{\mathbb{G}}$ denote the symmetric square of $\operatorname{Div}_0 \widehat{\mathbb{G}}$, thought of as a module over $\operatorname{Div} \widehat{\mathbb{G}}$. This scheme has the property that a formal group homomorphism $\varphi\colon C_2\widehat{\mathbb{G}} \to H$ is equivalent data to a symmetric function $\psi\colon \widehat{\mathbb{G}} \times \widehat{\mathbb{G}} \to H$ satisfying a rigidity condition ($\psi(x,0) = 0$) and a 2–cocycle condition as in Remark 5.2.6.

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Theorem 5.2.10 (Ando–Hopkins–Strickland, unpublished)**.** $\mathrm{SDiv}_0 \widehat{\mathbb{G}}$ *is a model for* $C_2\widehat{\mathbb{G}}$.

Proof sketch. Consider the map

$$\widehat{\mathbb{G}} \times \widehat{\mathbb{G}} \to \mathrm{Div}_0 \widehat{\mathbb{G}},$$
$$(a, b) \mapsto ([a] - [0])([b] - [0])$$

for which there is a factorization of formal schemes

$$
\begin{array}{ccc}
\widehat{\mathbb{G}} \times \widehat{\mathbb{G}} & & \\
\Big\downarrow \qquad \searrow & & \\
F \xrightarrow{\ \mathrm{ker}\ } \mathrm{Div}_0 \widehat{\mathbb{G}} \xrightarrow{\ \sigma\ } \widehat{\mathbb{G}}
\end{array}
$$

because

$$\sigma(([a] - [0])([b] - [0])) = (a + b) - a - b + 0 = 0.$$

One can check that a homomorphism $F \to H$ pulls back to a function $\widehat{\mathbb{G}} \times \widehat{\mathbb{G}} \to H$ satisfying the properties of Definition 5.2.9. To go the other way[2], we select a function $\psi \colon \widehat{\mathbb{G}} \times \widehat{\mathbb{G}} \to H$ and mimic the construction in Lemma 5.2.4. Expanding the definition of $\mathrm{Div}_0 \widehat{\mathbb{G}}$, we are moved to consider the object $\widehat{\mathbb{G}}^{\times k}$ parametrizing weight k divisors with a full set of sections, where we define a map

$$\widehat{\mathbb{G}}^{\times k} \to H,$$
$$(a_1, \ldots, a_k) \mapsto - \sum_{j=2}^{k} \psi\left(\sum_{i=1}^{j-1} a_i, a_j \right).$$

This gives a compatible system of symmetric maps and hence bundles together to give a map $\tilde{\varphi} \colon \mathrm{Div}_0 \widehat{\mathbb{G}} \to H$ off of the colimit. In general, this map is not a homomorphism, but it is a homomorphism when restricted to $\varphi \colon F \to \mathrm{Div}_0 \widehat{\mathbb{G}} \to H$. Finally, one checks that any homomorphism $F \to H$ of formal groups restricting to the zero map $\widehat{\mathbb{G}} \times \widehat{\mathbb{G}} \to H$ was already the zero map, and this gives the desired identification of F with the universal property of $C_2\widehat{\mathbb{G}}$. $\qquad\square$

In the next interesting case of $\underline{k}\underline{U}_6 = BU[6, \infty)$, there is not an accessible splitting principle. This not only makes the topology harder, but it also makes proving the existence of the symmetric cube $C_3\widehat{\mathbb{G}}$ harder, as there is no model to work from. Nonetheless, there is a $BU[6, \infty)$–analogue of Theorem 5.2.1, Theorem 5.2.2, and Corollary 5.2.8. In order to prove it, since we don't have access to an equivalence between viewpoints (1) and (2), we will have to instead prove an equivalence between (2) and (3) directly.

---

[2]To get insight into how this part of the proof works, actually write out the expressions for $\tilde{V} = \bigoplus_{i=1}^{6} \mathcal{L}_i - 6 \cdot 1 = \bigoplus_{i=1}^{3}(\mathcal{L}_i - 1) \oplus \bigoplus_{j=4}^{6}(\mathcal{L}_j - 1)$ and see what happens.

5.3 Elliptic curves and θ–functions

Today will constitute something of a résumé on elliptic curves. We'll hardly prove anything, and we also won't cover many topics that a sane introduction to elliptic curves would make a point to cover. Instead, we'll try to restrict attention to those concepts which will be of immediate use to us in the coming couple of lectures — in particular, we will discover a place where "$C_3\widehat{G}$" appears internally to the theory of elliptic curves.

To begin with, recall that an elliptic curve in the complex setting is a torus, and it admits a presentation by selecting a lattice Λ of full rank in $\mathbb{C}$ and forming the quotient

$$\mathbb{C} \xrightarrow{\pi_\Lambda} E_\Lambda = \mathbb{C}/\Lambda.$$

The meromorphic functions f on E_Λ pull back to give meromorphic functions $\pi_\Lambda^* f$ on $\mathbb{C}$ satisfying a periodicity constraint in the form of the functional equation

$$\pi_\Lambda^* f(z + \Lambda) = \pi_\Lambda^* f(z).$$

From this, it follows that there are no holomorphic such functions, save the constants — such a function would be bounded, and Liouville's theorem would apply. It is, however, possible to build the following meromorphic special function, which has poles of order 2 at the lattice points:

$$\wp_\Lambda(z) = \frac{1}{z^2} + \sum_{w \in \Lambda \setminus \{0\}} \frac{1}{(z-w)^2} - \frac{1}{w^2}.$$

Its derivative is also a meromorphic function satisfying the periodicity constraint:

$$\wp_\Lambda'(z) = -2 \sum_{w \in \Lambda} \frac{1}{(z-w)^3}.$$

In fact, these two functions generate all other meromorphic functions on E_Λ, in the sense that the subsheaf spanned by the algebra generators $\wp_\Lambda$ and $\wp_\Lambda'$ is exactly $\pi_\Lambda^* \mathcal{M}_{E_\Lambda}$. This algebra is subject to the following relation, in the form of a differential equation:

$$\wp_\Lambda'(z)^2 = 4\wp_\Lambda(z)^3 - g_2(\Lambda)\wp_\Lambda(z) - g_3(\Lambda),$$

for some special values $g_2(\Lambda)$ and $g_3(\Lambda)$. Accordingly, writing $C \subseteq \mathbb{C}P^2$ for the projective curve $wy^2 = 4x^3 - g_2(\Lambda)w^2 x - g_3(\Lambda)w^3$, there is an analytic group isomorphism

$$E_\Lambda \to C,$$
$$z \pmod{\Lambda} \mapsto [1 : \wp_\Lambda(z) : \wp_\Lambda'(z)].$$

This is sometimes referred to as the Weierstrass presentation of E_Λ.

There is a second standard embedding of a complex elliptic curve into projective space, using θ–*functions*, which are most naturally expressed *multiplicatively*. To begin, select a lattice Λ and a basis for it, and rescale the lattice so that the basis takes the form $\{1, \tau\}$ with τ in the upper half-plane. Then, the normalized exponential function $z \mapsto \exp(2\pi i z)$ has $1 \cdot \mathbb{Z}$ as its kernel, and setting $q = \exp(2\pi i \tau)$ we get a second presentation of E_Λ as $\mathbb{C}^\times / q^{\mathbb{Z}}$.

The associated θ–function is defined by

$$\theta_q(u) = \prod_{m \geq 1}(1 - q^m)(1 + q^{m - \frac{1}{2}}u)(1 + q^{m - \frac{1}{2}}u^{-1}) = \sum_{n \in \mathbb{Z}} u^n q^{\frac{1}{2}n^2}.$$

It vanishes on the set $\{\exp(2\pi i(\frac{1}{2}m + \frac{\tau}{2}n))\}$, i.e., at the center of the fundamental annulus. However, since it has no poles it cannot descend to give a function on $\mathbb{C}^\times / q^{\mathbb{Z}}$. A different obstruction to this descent is its imperfect periodicity relation:

$$\theta_q(qu) = u^{-1}q^{\frac{-1}{2}}\theta_q(u).$$

We can also shift the zero-set of θ_q by rational rescalings a of q and b of 1:

$$\theta_q^{a,b}(u) = q^{\frac{a^2}{2}} \cdot u^a \cdot \exp(2\pi i ab)\theta_q(uq^a \exp(2\pi i b)).$$

Remark 5.3.1 ([19, Proposition 10.2.6]). For any $N > 0$, define $V_\tau[N]$ to be the space of entire functions f with $f(z + N) = f(z)$ and $f(z + \tau) = e^{-2\pi i N z - \pi i N^2 \tau}f(z)$. Then, $V_\tau[N]$ has $\mathbb{C}$–dimension N^2, and the functions $\theta_\tau^{a,b}$ give a basis by picking representatives (a_i, b_i) of the classes in $((1/N)\mathbb{Z}/\mathbb{Z})^2$.

Even though these functions do not themselves descend to $\mathbb{C}^\times / q^{\mathbb{Z}}$, we can collectively use them to construct a map to complex projective space, where the quasi-periodicity relations will mutually cancel in homogeneous coordinates.

Theorem 5.3.2 ([19, Proposition 10.3.2]). *Consider the map*

$$\mathbb{C}/N(\Lambda) \xrightarrow{f_{(N)}} \mathbb{P}^{N^2 - 1}(\mathbb{C}),$$
$$z \mapsto [\cdots : \theta_\tau^{i/N, j/N}(z) : \cdots].$$

For $N > 1$, this map is an embedding.

Example 5.3.3. One can work out how it goes for $N = 2$, which will cause some of our pesky $\frac{1}{2}$'s to cancel. The four functions there are $\theta_q^{0,0}$ with zeroes on $\Lambda + \frac{\tau + 1}{2}$, $\theta_q^{0,1/2}$ with zeroes on $\Lambda + \frac{\tau}{2}$, $\theta_q^{1/2,0}$ with zeroes on $\Lambda + \frac{1}{2}$, and $\theta_q^{1/2,1/2}$ with zeroes on Λ exactly. The image of $f_{(2)}$ in $\mathbb{P}^{2^2 - 1}(\mathbb{C})$ is cut out by the equations

$$A^2 x_0^2 = B^2 x_1^2 + C^2 x_2^2, \qquad\qquad A^2 x_3^2 = C^2 x_1^2 - B^2 x_2^2,$$

where

$$x_0 = \theta_\tau^{0,0}(2z), \qquad x_1 = \theta_\tau^{0,1/2}(2z), \qquad x_2 = \theta_\tau^{1/2,0}(2z), \qquad x_3 = \theta_\tau^{1/2,1/2}(2z)$$

and

$$A = \theta_\tau^{0,0}(0) = \sum_n q^{n^2}, \quad B = \theta_\tau^{0,1/2}(0) = \sum_n (-1)^n q^{n^2}, \quad C = \theta_\tau^{1/2,0}(0) = \sum_n q^{(n+1/2)^2}$$

upon which there is the additional "Jacobi" relation

$$A^4 = B^4 + C^4.$$

Remark 5.3.4. This embedding of E_Λ as an intersection of quadric surfaces in $\mathbb{CP}^3$ is quite different from the Weierstrass embedding. Nonetheless, the embeddings are analytically related. Namely, there is an equality

$$\frac{d^2}{dz^2} \log \theta_{\exp 2\pi i \tau}(\exp 2\pi i z) = \wp_\Lambda(z).$$

Separately, Weierstrass considered a function σ_Λ, defined by

$$\sigma_\Lambda(z) = z \prod_{\omega \in \Lambda \backslash 0} \left(1 - \frac{z}{\omega}\right) \cdot \exp\left[\frac{z}{\omega} + \frac{1}{2}\left(\frac{z}{\omega}\right)^2\right],$$

which also has the property that its second logarithmic derivative is $\wp$ and so is "basically $\theta_q^{1/2,1/2}$". In fact, any elliptic function can be written in the form

$$c \cdot \prod_{i=1}^n \frac{\sigma_\Lambda(z - a_i)}{\sigma_\Lambda(z - b_i)}.$$

The θ–functions version of the story has two main successes. One is that there is a version of this story for an arbitrary abelian variety. It turns out that all abelian varieties are projective, and the theorem sitting at the heart of this claim is

Corollary 5.3.5 (Theorem of the cube). *Let A be an abelian variety, let $p_i : A \times A \times A \to A$ be the projection onto the ith factor, and let $p_{ij} = p_i +_A p_j$, $p_{ijk} = p_i +_A p_j +_A p_k$. Then for any invertible sheaf $\mathcal{L}$ on A, the sheaf*

$$\Theta^3(\mathcal{L}) := \frac{p_{123}^* \mathcal{L} \otimes p_1^* \mathcal{L} \otimes p_2^* \mathcal{L} \otimes p_3^* \mathcal{L}}{p_{12}^* \mathcal{L} \otimes p_{23}^* \mathcal{L} \otimes p_{31}^* \mathcal{L} \otimes p_\varnothing^* \mathcal{L}} = \bigotimes_{I \subseteq \{1,2,3\}} (p_I^* \mathcal{L})^{(-1)^{|I|-1}}$$

on $A \times A \times A$ is trivial. If $\mathcal{L}$ is rigid, then $\Theta^3(\mathcal{L})$ is canonically trivialized by a section $s(A; \mathcal{L})$. □

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Remark 5.3.6. The section $s(A; \mathcal{L})$ satisfies three familiar properties:

- It is symmetric: pulling back $\Theta^3 \mathcal{L}$ along a shuffle automorphism of A^3 yields $\Theta^3 \mathcal{L}$ again, and the pullback of the section $s(A; \mathcal{L})$ along this shuffle agrees with the original $s(A; \mathcal{L})$ across this identification.

- It is rigid: by restricting to $* \times A \times A$, the tensor factors in $\Theta^3 \mathcal{L}$ cancel out to give the trivial bundle over $A \times A$. The restriction of the section $s(A; \mathcal{L})$ to this pullback bundle agrees with the extension of the rigidifying section.

- It satisfies a 2–cocycle condition: in general, we define

$$\Theta^k \mathcal{L} := \bigotimes_{I \subseteq \{1,\dots,k\}} (p_I^* \mathcal{L})^{(-1)^{|I|-1}}.$$

In fact, $\Theta^{k+1} \mathcal{L}$ can be written as a pullback of $\Theta^k \mathcal{L}$:

$$\Theta^{k+1} \mathcal{L} = \frac{(p_{12} \times \mathrm{id}_{A^{k-1}})^* \mathcal{L}}{(p_1 \times \mathrm{id}_{A^{k-1}})^* \mathcal{L} \otimes (p_2 \times \mathrm{id}_{A^{k-1}})^* \mathcal{L}'}$$

and pulling back a section s along this map gives a new section

$$(\delta s)(x_0, x_1, \dots, x_k) := \frac{s(x_0 +_A x_1, x_2, \dots, x_k)}{s(x_0, x_2, \dots, x_k) \cdot s(x_1, x_2, \dots, x_k)}.$$

Performing this operation on the first and second factors yields the defining equation of a 2–cocycle.

Remark 5.3.7. The proof of projectivity arising from this method rests on choosing an ample line bundle on A and constructing some generating global sections to get an embedding into $\mathbb{P}(\mathcal{L}^{\oplus n})$. Mumford showed that a choice of "θ–structure" on A, which is only slightly more data given in terms of Heisenberg representations, gives a canonical identification of $\mathbb{P}(\mathcal{L}^{\oplus n})$ with a *fixed* projective space. This is suitable for studying how these equations change as one considers different points in the *moduli* of abelian varieties. Separately, Breen showed that if $\mathcal{L}$ is a line bundle on A with a chosen trivialization of $\Theta^3 \mathcal{L}$ and $\pi: A' \to A$ is an epimorphism that trivializes $\mathcal{L}$, then one can also associate to this a theory of θ–functions.

5.4 Unstable chromatic cooperations for kU

Let Γ be a formal group of finite p–height of a field k of positive characteristic p, and let $E = E_\Gamma$ denote the associated Morava E–theory. Our goal in this section is to get a partial

description of the Hopf ring of unstable cooperations $(E_\Gamma)_* \underline{kU}_{2*}$. Our results in previous sections give a foothold into this analysis by computing

$$E_0(BU \times \mathbb{Z}), \ E_0 BU, \ E_0 BSU$$

in terms of the affine schemes they represent. We also saw that these results were the cornerstone for accessing descriptions of the schemes

$$\operatorname{Spec} E_0 MUP, \ \operatorname{Spec} E_0 MU, \ \operatorname{Spec} E_0 MSU.$$

In particular, the next step is to understand $E_0 BU[6, \infty)$, and our main tool for doing this will be the Postnikov fibration

$$\underline{H\mathbb{Z}}_3 \to BU[6, \infty) \to BSU.$$

Our main goals are to construct a model sequence of formal schemes, then show that E–theory is well-behaved enough that the formal schemes it constructs exactly match the model.

The main tool used to build the model is the following construction:

Definition 5.4.1. A map $f \colon X \to Y$ of spaces induces a map $f_E \colon X_E \to Y_E$ of formal schemes. In the case that Y is a commutative H–space and Y_E is connected, we can construct a map according to the composite

$$
\begin{array}{ccc}
X_E \times \underline{\mathsf{GroupSchemes}}(Y_E, \mathbb{G}_m) & \cdots\cdots\cdots\cdots\cdots\cdots\cdots\cdots\cdots\cdots\cdots\rightarrow & \widehat{\mathbb{A}}^1 \\
\big\| & & \uparrow \\
X_E \times \underline{\mathsf{FormalGroups}}(Y_E, \widehat{\mathbb{G}}_m) \xrightarrow{f \times 1} Y_E \times \underline{\mathsf{FormalGroups}}(Y_E, \widehat{\mathbb{G}}_m) \xrightarrow{\mathrm{ev}} & \widehat{\mathbb{G}}_m.
\end{array}
$$

This is called *the adjoint map*, and we write $\hat{f}$ for the version of this map valued variously in $\widehat{\mathbb{G}}_m$, $\mathbb{G}_m$, and $\widehat{\mathbb{A}}^1$. It encodes equivalent information to the map of E_*–modules

$$E_* \to E_* Y \widehat{\otimes}_{E_*} E^* X$$

by applying the map to $1 \in E_*$.

Lemma 5.4.2. *This construction converts many properties of f into corresponding properties of this adjoint element. For instance:*

- *It is natural in the source: for $w \in F^n(X)$ and $\gamma \colon \underline{E}_n \to \underline{D}_n$, there is*

$$(1 \times \operatorname{Spec} E_0 \gamma) \circ \hat{w} = \widehat{\gamma_* w}.$$

- *It converts sums of classes to products of maps to $\mathbb{G}_m$.* $\qquad\square$

In Lecture 5.2, we became interested in the class Π_2, defined by

$$\mathbb{C}P^\infty \times \mathbb{C}P^\infty \xrightarrow{\Pi_2 := (\mathcal{L}_1 - 1)(\mathcal{L}_2 - 1)} \underline{kU}_4 = BSU.$$

The adjoint to this cohomology class is a map of formal schemes

$$\hat{\Pi}_2 \colon (\mathbb{C}P^\infty_E)^{\times 2} \times_{S_E} \operatorname{Spec} E_0 BSU \to \mathbb{G}_m,$$

which using the exponential adjunction can be interpreted as a map $\qquad$

$$\operatorname{Spec} E_0 BSU \to \underline{\operatorname{FormalSchemes}}((\mathbb{C}P^\infty_E)^{\times 2}, \mathbb{G}_m).$$

Because the adjoint construction preserves properties of the class Π_2, we learn that this map factors through a particular closed subscheme

$$C^2(\mathbb{C}P^\infty_E; \mathbb{G}_m) \subseteq \underline{\operatorname{FormalSchemes}}((\mathbb{C}P^\infty_E)^{\times 2}, \mathbb{G}_m)$$

of symmetric, rigid functions satisfying the 2–cocycle condition. By careful manipulation of divisors in Theorem 5.2.10, we showed that $BSU_E \cong \operatorname{SDiv}_0 \mathbb{C}P^\infty_E$, which on applying Cartier duality shows that our induced map

$$\operatorname{Spec} E_0 BSU \to C^2(\mathbb{C}P^\infty_E; \mathbb{G}_m)$$

is an isomorphism.

Definition 5.4.3. Similarly, we define a cohomology class $\qquad$

$$\Pi_3 = (\mathcal{L}_1 - 1)(\mathcal{L}_2 - 1)(\mathcal{L}_3 - 1) \in kU^6(\mathbb{C}P^\infty)^{\times 3}.$$

It induces an adjoint map $\qquad$

$$\hat{\Pi}_3 \colon \operatorname{Spec} E_0 BU[6, \infty) \to C^3(\mathbb{C}P^\infty_E; \mathbb{G}_m),$$

where $C^3(\mathbb{C}P^\infty_E; \mathbb{G}_m)$ is the scheme of $\mathbb{G}_m$–valued trivariate functions on $\mathbb{C}P^\infty_E$ satisfying symmetry, rigidity, and a 2–cocycle condition. (If $C_3 \mathbb{C}P^\infty_E := \operatorname{Sym}^3_{\operatorname{Div} \mathbb{C}P^\infty_E} \operatorname{Div}_0 \mathbb{C}P^\infty_E$ were to exist, this would be its Cartier dual.)

Lemma 5.4.4 ([3, Lemma 7.1]). *There is a commutative square*

$$\begin{array}{ccc} \operatorname{Spec} E_0 BSU & \longrightarrow & \operatorname{Spec} E_0 BU[6, \infty) \\ \downarrow{\scriptstyle \Pi_2} & & \downarrow{\scriptstyle \Pi_3} \\ C^2(\mathbb{C}P^\infty_E; \mathbb{G}_m) & \xrightarrow{\ \delta\ } & C^3(\mathbb{C}P^\infty_E; \mathbb{G}_m), \end{array}$$

where

$$\delta(f)(x_1, x_2, x_3) := \frac{f(x_1 +_E x_2, x_3)}{f(x_1, x_3)f(x_2, x_3)}.$$

Proof. This is checked by calculating $\Pi_3 = (\mu_{12}^* - \pi_1^* - \pi_2^*)\Pi_2$. $\square$

With this now in hand, we have constructed the solid maps in the following diagram:

$$\begin{array}{ccc}
\operatorname{Spec} E_0 BSU \longrightarrow \operatorname{Spec} E_0 BU[6,\infty) \longrightarrow \operatorname{Spec} E_0 H\mathbb{Z}_3 \\
\cong \downarrow \Pi_2 \qquad\qquad \downarrow \Pi_3 \qquad\qquad\qquad \cong \downarrow \\
C^2(\mathbb{CP}_E^\infty; \mathbb{G}_m) \xrightarrow{\delta} C^3(\mathbb{CP}_E^\infty; \mathbb{G}_m) \xrightarrow{e_*} \underline{\mathsf{FormalGroups}}((\mathbb{CP}_E^\infty)^{\wedge 2}, \widehat{\mathbb{G}}_m)
\end{array}$$

We would like to prove enough about this diagram to show that is an isomorphism of short exact sequences.

Before we begin testing exactness, we first need a pair of sequences — i.e., we must construct the map e. There is a candidate construction, coming from the theory of θ–functions:

Definition 5.4.5. Let A be an abelian variety equipped with a line bundle $\mathcal{L}$. Suppose that s is a symmetric, rigid section of $\Theta^3 \mathcal{L}$, i.e., a *cubical structure* on $\mathcal{L}$. This induces the structure of a *symmetric biextension* on $\Theta^2 \mathcal{L}$ by furnishing compatible multiplication maps

$$(\Theta^2 \mathcal{L})_{x,y} \otimes (\Theta^2 \mathcal{L})_{x',y} \to (\Theta^2 \mathcal{L})_{x+x',y}, \qquad (\Theta^2 \mathcal{L})_{x,y} \otimes (\Theta^2 \mathcal{L})_{x,y'} \to (\Theta^2 \mathcal{L})_{x,y+y'}.$$

There is a canonical piece of gluing data on this biextension, in the form of an isomorphism of pullback bundles

$$e_{p^j} : (p^j \times 1)^* \mathcal{L}|_{A[p^j] \times A[p^j]} \cong (1 \times p^j)^* \mathcal{L}|_{A[p^j] \times A[p^j]},$$

$$(\ell, x, y) \mapsto \left(\ell \cdot \prod_{k=1}^{p^j - 1} \frac{s(x, [k]x, y)}{s(x, [k]y, y)} \right).$$

This function e_{p^j} is called the *(p^{jth}) Weil pairing*.

Remark 5.4.6. In the case that A is an elliptic curve, this agrees with the usual definition of its "Weil pairing".

Lemma 5.4.7. *The Weil pairings assemble into a total Weil pairing on the p–divisible group associated to A. Together, the total Weil pairing is alternating and biexponential.* $\square$

We can use the same formula in the setting of a cubical structure on a line bundle over a finite height formal group $\widehat{\mathbb{G}}$ to produce the desired map

$$e_* : C^3(\widehat{\mathbb{G}}; \mathbb{G}_m) \to \underline{\mathsf{FormalGroups}}(\widehat{\mathbb{G}}^{\wedge 2}, \mathbb{G}_m).$$
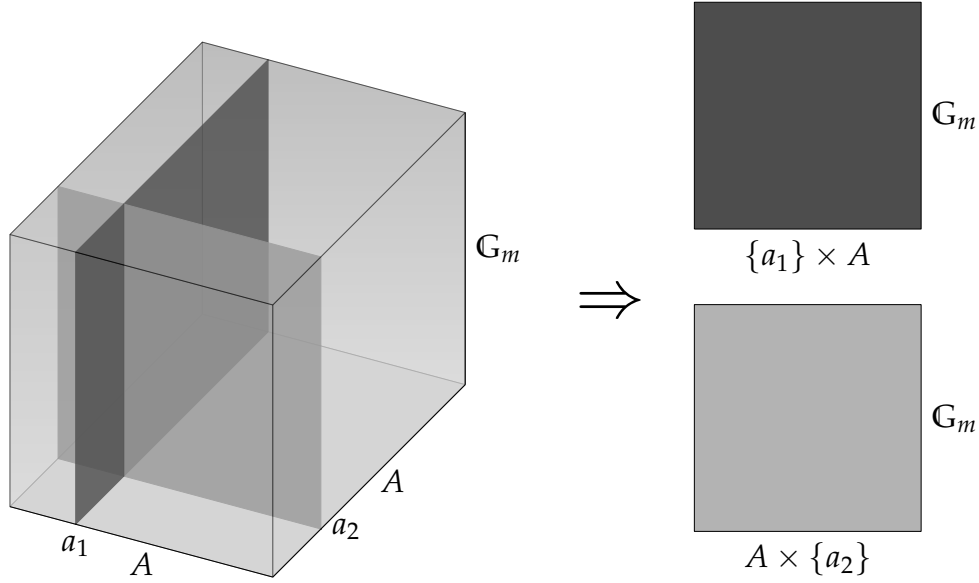
In fact, this is the right map:

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Figure 5.1: Extensions contained in a biextension.

Lemma 5.4.8 ([3, Theorem 4.2, Corollary 4.4]). *The square commutes (up to sign):*

$$
\begin{array}{ccc}
\operatorname{Spec} E_0 BU[6,\infty) & \longrightarrow & \operatorname{Spec} E_0 K(\mathbb{Z},3) \\
\Big\downarrow {\scriptstyle \Pi_3} & & \Big\downarrow {\scriptstyle b_*} \\
C^3(\mathbb{CP}_E^\infty; \mathbb{G}_m) & \xrightarrow{\;e\;} & \operatorname{Weil}(\mathbb{CP}_E^\infty).
\end{array}
$$

> You have not introduced the notation Weil.

Proof sketch. This is reasonably difficult. The main points are to show that the restriction

$$
d_{p^j} \colon BC_{p^j}^{\times 2} \xrightarrow{\;\beta \circ \mu\;} \underline{H\mathbb{Z}}_3 \to BU[6,\infty)
$$

can be expressed by the Weil pairing formula:

$$
d_{p^j} = \bigoplus_{k=1}^{p^j-1} \left((\mathcal{L}_1 - 1)(\mathcal{L}_1^{\otimes k} - 1)(\mathcal{L}_2 - 1) - (\mathcal{L}_1 - 1)(\mathcal{L}_2^{\otimes k} - 1)(\mathcal{L}_2 - 1) \right).
$$

After this is accomplished, what's left is to use naturality properties of the adjoint construction to compute the clockwise and counterclockwise composites. □

> Where does d_{p^j} appear in the diagram above?

This fills out the diagram we are considering. We now assemble just enough exactness results:

Lemma 5.4.9 ([3, Lemma 7.2]). *The map $\delta\colon C^2 \to C^3$ is injective for $\mathbb{CP}_E^\infty$ a finite height formal group.*

Proof. Being finite height means that the multiplication-by-p map of $\mathbb{CP}_E^\infty$ is fppf–surjective. The kernel of δ consists of alternating, biexponential maps $(\mathbb{CP}_E^\infty)^{\times 2} \to \mathbb{G}_m$. By restricting such a map f to

$$f\colon \mathbb{CP}_E^\infty[p^j] \times \mathbb{CP}_E^\infty \to \mathbb{G}_m,$$

we can calculate

$$f(x, p^j y) = f(p^j x, y) = f(0, y) = 1.$$

But since p^j is surjective on $\mathbb{CP}_E^\infty$, every point on the right-hand side can be so written, so at every left-hand stage the map is trivial. Finally, $\mathbb{CP}_E^\infty = \mathrm{colim}_j \mathbb{CP}_E^\infty[p^j]$, so this filtration is exhaustive and we conclude that the kernel is trivial. □

This doesn't sound like the proof that you and Hood gave in class? Is that a different proof?

Lemma 5.4.10 ([3, Lemma 7.3]). *In fact, the following sequence is exact*

$$0 \to C^2(\mathbb{CP}_E^\infty; \mathbb{G}_m) \xrightarrow{\delta} C^3(\mathbb{CP}_E^\infty; \mathbb{G}_m) \to \mathrm{Weil}(\mathbb{CP}_E^\infty).$$

Proof. This is hard work. Breen's idea is to show that picking a preimage under δ is the same as picking a trivialization of the underlying symmetric biextension of the cubical structure. Then (following Mumford), one shows that the underlying symmetric biextension is trivial exactly if the Weil pairing is trivial. □

You could put in references here. AS gives them.

Finally, the top row falls quickly:

Remark: This proof also shows that the E–theory of $\underline{kU_8}$ fits into a sexseq.

Lemma 5.4.11 ([3, Lemma 7.5]). *The top row of the main diagram is a short exact sequence of group schemes.*

Proof. This is easiest proved by considering the sequence of homology Hopf algebras instead. Since the integral homology of BSU and the E–homology of $\underline{H\mathbb{Z}_3}$ are both free and even, the Atiyah–Hirzebruch spectral sequence for $E_* BU[6, \infty)$ collapses. □

Corollary 5.4.12. *The map*

$$\Pi_3\colon \mathrm{Spec}\, E_0 BU[6, \infty) \to C^3(\mathbb{CP}_E^\infty; \mathbb{G}_m)$$

is an isomorphism, and the map

$$e_*\colon C^3(\mathbb{CP}_E^\infty; \mathbb{G}_m) \to \underline{\mathrm{FormalGroups}}((\mathbb{CP}_E^\infty)^{\wedge 2}, \mathbb{G}_m)$$

is a surjection.

Proof. This is a direct consequence of the 5–lemma. □

5.5 Unstable additive cooperations for kU

Write $H = H\mathbb{F}_p$. Today we will study the effect of the map $\hat{\Pi}_3$ in ordinary homology. Many parts of the proof we explored for E–theory break. Topologically, the Serre spectral sequence for $H^*BU[6,\infty)$ is not even-concentrated and so is not forced to collapse. Algebraically, because $\hat{\mathbb{G}}_a$ is not p–divisible the behavior of the model exact sequence is also suspect. Because the situation has fewer insulating good properties, we are forced to actually consider it carefully. The upside, however, is that the standard group law on $\hat{\mathbb{G}}_a$ is simple enough that we can compute the problem to death.

We begin with the topological half of our tasks. The Serre spectral sequence

$$E_2^{*,*} = H\mathbb{F}_p^* BSU \otimes H\mathbb{F}_p^* \underline{H\mathbb{Z}}_3 \Rightarrow H\mathbb{F}_p^* BU[6,\infty)$$

is quite accessible, and we will recount the case of $p = 2$. In this case, the spectral sequence has E_2–page

$$E_2^{*,*} = H\mathbb{F}_2^* BSU \otimes H\mathbb{F}_2^* \underline{H\mathbb{Z}}_3 \cong \mathbb{F}_2[c_2, c_3, \dots] \otimes \mathbb{F}_2\left[Sq^I \iota_3 \,\middle|\, \begin{matrix} I_j \geq 2I_{j+1}, \\ 2I_1 - I_+ \end{matrix} \right].$$

Because the target is 6–connective, we must have the transgressive differential $d_4 \iota_3 = c_2$, which via the Kudo transgression theorem spurs the much larger family of differentials

$$d_{4+I_+} Sq^I \iota_3 = Sq^I c_2.$$

This necessitates understanding the action of the Steenrod operations on the cohomology of BSU, which is due to Wu:

$$Sq^{2^j} \cdots Sq^4 Sq^2 c_2 = c_{1+2^j}.$$

Accounting for the squares of classes left behind, this culminates in the following calculation:

Theorem 5.5.1. *There is an isomorphism*

$$H\mathbb{F}_2^* BU[6,\infty) \cong \frac{H\mathbb{F}_2^* BU}{(c_j \mid j \neq 2^k + 1, j \geq 3)} \otimes F_2[\iota_3^2, (Sq^2 \iota_3)^2, \dots]. \qquad \square$$

Theorem 5.5.2. *More generally, there is an isomorphism*

$$H\mathbb{F}_2^* \underline{kU}_{2k} \cong \frac{H\mathbb{F}_2^* BU}{(c_j \mid \sigma_2(j-1) < k-1)} \otimes Op[Sq^3 \iota_{2k-1}],$$

where σ_2 is the dyadic digital sum and "Op" denotes the subalgebra of $H\mathbb{F}_2^* \underline{H\mathbb{Z}}_{2k-1}$ generated by the indicated class.

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Remarks on proof. Stong specialized to $p = 2$ and carefully applied the Serre spectral sequence to the fibrations

$$\underline{kU}_{2(k+1)} \to \underline{kU}_{2k} \to \underline{H\mathbb{Z}}_{2k}.$$

Singer worked at an arbitrary prime and used the Eilenberg–Moore spectral sequence for the fibrations

$$\underline{H\mathbb{Z}}_{2k-1} \to \underline{kU}_{2(k+1)} \to \underline{kU}_{2k}.$$

Both used considerable knowledge of the interaction of these spectral sequences with the Steenrod algebra. $\qquad\square$

Remark 5.5.3. These methods and results generalize directly to odd primes. The necessary modifications come from understanding the unstable mod–p Steenrod algebra, using the analogues of Wu's formulas due to Shay, and employing Singer's Eilenberg–Moore calculation. Again, $H\mathbb{F}_p^* BU[6, \infty)$ is presented as a quotient by $H\mathbb{F}_p^* BU$ by certain Chern classes satisfying a p–adic sum condition, tensored up with the subalgebra of $H\mathbb{F}_p^* \underline{H\mathbb{Z}}_3$ generated by a certain element.

Remark 5.5.4. We can already see from Theorem 5.5.1 that our map of short exact sequences in Lecture 5.4 does not have a full analogue in the setting of additive homology. By considering the edge homomorphism in the Serre spectral sequence, we see that

$$\mathrm{Spec}\, HP_0 BSU \to \mathrm{Spec}\, HP_0 BU[6, \infty)$$

is not a monomorphism.

Now, we turn to the algebra. The main idea, as already used in Lemma 3.5.8, is to first perform a tangent space calculation

$$T_0 C^k(\widehat{\mathbb{G}}_a; \mathbb{G}_m) \cong C^k(\widehat{\mathbb{G}}_a; \widehat{\mathbb{G}}_a),$$

then study the behavior of the different tangent directions to determine the full object $C^k(\widehat{\mathbb{G}}_a; \mathbb{G}_m)$. As a warm-up, we will first consider the case $k = 2$:

Corollary 5.5.5 (cf. Lemma 3.3.1)**.** *The unique symmetric* 2*–cocycle of homogeneous degree n has the form*

$$c_n(x, y) = \begin{cases} (x + y)^n - x^n - y^n & \text{if } n \neq p^j, \\ \frac{1}{p}\left((x+y)^n - x^n - y^n\right) & \text{if } n = p^j. \end{cases} \qquad\square$$

Our goal, then, is to select such a 2–cocycle f and study the minimal conditions needed on a symbol a to produce a multiplicative 2–cocycle of the form $1 + af + \cdots$. Since $c_n = \frac{1}{a_n}\delta(x^n)$ is itself produced by an additive formula, life would be easiest if we had access to an exponential, so that we could build

$$``\delta \exp(a_n x^n)^{1/d_n} = \exp(\delta a_n x^n / d_n) = \exp(a_n c_n)."$$

However, the existence of an exponential series is equivalent to requiring that a_n have all fractions, which turns out not to be minimal. In fact, *no* conditions on a_n are required *at all*, if we tweak the definition of an exponential series:

Definition 5.5.6. The *Artin–Hasse exponential* is the power series

$$E_p(t) = \exp\left(\sum_{j=0}^{\infty} \frac{t^{p^j}}{p^j}\right) \in \mathbb{Z}_{(p)}[\![t]\!].$$

This series has excellent properties, mimicking those of $\exp(t)$ as closely as possible while keeping coefficients in $\mathbb{Z}_{(p)}$ rather than in $\mathbb{Q}$. Writing $\delta\colon C^1 \to C^2$ and

$$d_n = \begin{cases} 1 & \text{if } n = p^j, \\ 0 & \text{otherwise,} \end{cases}$$

we set

$$g_n(x,y) := \delta E_p(a_n x^n)^{1/p^{d_n}} = \exp\left(\sum_{j=0}^{\infty} \frac{a_n^{p^j}\delta x^{np^j}}{p^{j+d_n}}\right) = \exp\left(\sum_{j=0}^{\infty} \frac{a_n^{p^j} c_{np^j}(x,y)}{p^j}\right).$$

This gives a point in $C^2(\widehat{\mathbb{G}}_a; \mathbb{G}_m)(\mathbb{Z}_{(p)}[a_n])$, and exhaustion of the tangent space proves the following Lemma:

Lemma 5.5.7 ([2, Proposition 3.9]). *The map*

$$\mathrm{Spec}\,\mathbb{Z}_{(p)}[a_n \mid n \geq 2] \xrightarrow{\prod_{n\geq 2} g_2} C^2(\widehat{\mathbb{G}}_a; \mathbb{G}_m) \times \mathrm{Spec}\,\mathbb{Z}_{(p)}$$

is an isomorphism. □

The case $k = 3$ is similar, with one important new wrinkle. Over an $\mathbb{F}_2$–algebra there is an equality $c_n^2 = c_{2n}$. However, this relation does not generalize to trivariate 2–cocycles:

$$\frac{1}{2}\delta(c_6) = x^2y^2z^2 + x^4yz + xy^4z + xyz^4, \qquad \left(\frac{1}{2}\delta c_3\right)^2 = x^2y^2z^2.$$

This pattern is generic and exhaustive for $\mathbb{F}_p$–algebras:

Lemma 5.5.8 ([2, Proposition 3.20, Proposition A.12]). *The p–primary residue of the scheme of trivariate symmetric 2–cocycles is presented by*

$$\mathrm{Spec}\,\mathbb{F}_p[a_d \mid d \geq 3] \times \mathrm{Spec}\,\mathbb{F}_p[b_d \mid d = p^j(1+p^k)] \xrightarrow{\cong} C^3(\widehat{\mathbb{G}}_a; \widehat{\mathbb{G}}_a) \times \mathrm{Spec}\,\mathbb{F}_p. \quad □$$

Similar juggling of the Artin–Hasse exponential yields the following multiplicative classification:

Theorem 5.5.9 ([2, Proposition 3.28]). *There is an isomorphism*

$$\mathrm{Spec}\,\mathbb{Z}_{(p)}[a_d \mid d \geq 3, d \neq 1 + p^t] \times \mathrm{Spec}\,\Gamma[b_{1+p^t}] \to C^3(\widehat{\mathbb{G}}_a; \mathbb{G}_m) \times \mathrm{Spec}\,\mathbb{Z}_{(p)}.$$

Proof sketch. The main claim is that the Artin–Hasse exponential trick used in the case $k = 2$ works here as well, provided $d \neq 1 + p^t$ so that taking an appropriate p^{th} root works out. They then show that the remaining exceptional cases extend to multiplicative cocycles only when the pth power of the leading coefficient vanishes. Finally, a rational calculation shows how to bind these truncated generators together into a divided power algebra. $\qquad\square$

In our pursuit of the map of exact sequences of Lecture 5.4, we are missing one piece: a link from topology to the scheme of Weil pairings, $\text{Weil}(\widehat{\mathbb{G}}_a)$. The object "Spec $HP_0\underline{H\mathbb{Z}}_3$" is insuitable because it doesn't exist — the homology algebra $HP_0\underline{H\mathbb{Z}}_3$ is not even–concentrated. However, analyzing the edge homomorphism from our governing Serre spectral sequence shows that the map

$$HP^0BU[6,\infty) \to HP^0\underline{H\mathbb{Z}}_3$$

factors through the subalgebra A generated by the *squares* of the polynomial generators. Accordingly, we aim to place Spec A^* in the top-right corner of our map of

Lemma 5.5.10 ([2, Lemma 3.36, Proposition 4.13, Lemma 4.11]). *The scheme* Spec A^* *models* $\text{Weil}(\widehat{\mathbb{G}}_a)$ *by an isomorphism* λ *commuting with* $e \circ \hat{\Pi}_3$.

Proof sketch. The $\mathbb{F}_p$–scheme $\text{Weil}(\widehat{\mathbb{G}}_a)$ is simple to describe:

$$(a_{mn})_{m,n} \longmapsto \prod_{m<n} \text{texp}\left(a_{mn}(x^{p^m}y^{p^n} - x^{p^n}y^{p^m}) \right)$$

$$\text{Spec}\, \mathbb{F}_p[a_{mn} \mid m < n]/(a_{mn}^p) \xrightarrow{\quad\cong\quad} \text{Weil}(\widehat{\mathbb{G}}_a),$$

where $\text{texp}(t) = \sum_{j=0}^{p-1} t^j/j!$ is the truncated exponential series. It is easy to check that this ring of functions agrees with A^*, and it requires hard work (although not much creativity) to check the remainder of the statement: that $e \circ \hat{\Pi}_3$ factors through Spec A^* and that the factorization is an isomorphism. $\qquad\square$

We have now finally assembled our map of right-exact sequences:

$$\begin{array}{ccccccc}
\text{Spec}\, HP_0BSU & \longrightarrow & \text{Spec}\, HP_0BU[6,\infty) & \longrightarrow & \text{Spec}\, A^* & \longrightarrow & 0 \\
\cong \downarrow \hat{\Pi}_2 & & \downarrow \hat{\Pi}_3 & & \cong \downarrow \lambda & & \\
C^2(\widehat{\mathbb{G}}_a; \mathbb{G}_m) & \xrightarrow{\ \delta\ } & C^3(\widehat{\mathbb{G}}_a; \mathbb{G}_m) & \xrightarrow{\ e\ } & \text{Weil}(\widehat{\mathbb{G}}_a) & \longrightarrow & 0.
\end{array}$$

It's maybe not obvious to a reader why this sequence is exact in the middle, although you have secretly proven this in the mess above.

Our calculations now pay off:

Corollary 5.5.11. *The map* $\hat{\Pi}_3$ *is an isomorphism:*

$$\hat{\Pi}_3 \colon \ \text{Spec}\, HP_0BU[6,\infty) \xrightarrow{\ \cong\ } C^3(\widehat{\mathbb{G}}_a; \mathbb{G}_m).$$

Proof sketch. The main point is that we don't actually have to compute much about the middle map. Because the squares commute and the sequences are exact as indicated, we at least learn that $\hat{\Pi}_3$ is an epimorphism after base-change to $\operatorname{Spec}\mathbb{Q}$ and $\operatorname{Spec}\mathbb{F}_p$ for each prime p. But, since both source and target are affine schemes of graded finite type with equal Poincaré series in each case, our epimorphism is an isomorphism. □

Corollary 5.5.12 ([2, Theorem 2.31]). *The map $\hat{\Pi}_3$ is an isomorphism for any complex-orientable E.*

Proof sketch. This follows much along the lines of Corollary 4.4.7. We check that the statement holds for $E = MUP$ using a tangent space argument, and then an Atiyah–Hirzebruch argument gives the statement for any complex-oriented E. □

Remark 5.5.13. Our analysis in Lecture 4.6 gives us full access to the Hopf ring structure of the *nonconnective* cooperations $H\mathbb{F}_{p*}\underline{KU}_{2*}$. Using a variety of techniques, Morton and Strickland calculated the Hopf ring structure of $H\mathbb{F}_{2*}\underline{K}_{2*}$ where K ranges among the non-connective objects KO, KU, KSp, and the less common "KT", which is self–conjugated K–theory [31, 32, 49].

5.6 Covariance, Θ–structures on Thom sheaves

Today we will (despite appearances) mostly leave the algebraic geometry alone, instead attending to two lingering topological concerns. First, over the past few lectures we have been concerned with the homology schemes $\operatorname{Spec} E_0\underline{kU}_{2*}$. We were originally motivated by a sequence of cohomological isomorphisms

$$(BU \times \mathbb{Z})_E \cong \operatorname{Sym}^0_{\operatorname{Div}\mathbb{CP}^\infty_E}\operatorname{Div}_0\mathbb{CP}^\infty_E =: C_0\mathbb{CP}^\infty_E,$$
$$BU_E \cong \operatorname{Sym}^1_{\operatorname{Div}\mathbb{CP}^\infty_E}\operatorname{Div}_0\mathbb{CP}^\infty_E =: C_1\mathbb{CP}^\infty_E,$$
$$BSU_E \cong \operatorname{Sym}^2_{\operatorname{Div}\mathbb{CP}^\infty_E}\operatorname{Div}_0\mathbb{CP}^\infty_E =: C_2\mathbb{CP}^\infty_E,$$

along with identifications

$$BU \times \mathbb{Z} \simeq \underline{kU}_{2\cdot0}, \qquad BU \simeq \underline{kU}_{2\cdot1}, \qquad BSU \simeq \underline{kU}_{2\cdot2}.$$

Our analysis of Cartier duality in Remark 5.1.15 gave us isomorphisms like

$$\operatorname{Spec} E_0 BSU \cong \underline{\operatorname{GroupSchemes}}(BSU_E, \mathbb{G}_m) \cong \underline{\operatorname{FormalGroups}}(C_2\mathbb{CP}^\infty_E, \widehat{\mathbb{G}}_m).$$

Following the universal property of this particular symmetric square, we were led to consider the scheme of symmetric bivariate functions on $\mathbb{CP}^\infty_E$ satisfying a 2–cocycle condition. Our next move was to show that $\operatorname{Spec} E_0 BU[6, \infty)$ was modeled by a similar scheme

of *trivariate* functions — but we proved this directly, while avoiding the "predual" cohomological statement

$$BU[6, \infty)_E \cong C_3\mathbb{CP}_E^\infty := \mathrm{Sym}^3_{\mathrm{Div}\,\mathbb{CP}_E^\infty} \mathrm{Div}_0\,\mathbb{CP}_E^\infty.$$

This is because the homological statement is the low-hanging fruit: it is easy to demonstrate that the scheme of such functions exists as a closed subscheme of all functions. It is considerably harder to show that a symmetric cube exists at all.

Lemma 5.6.1. $DC^k(\widehat{\mathbb{G}}_a; \mathbb{G}_m)$ *are all formal varieties for* $k \leq 3$.

Proof. We know that $\mathcal{O}C^k(\widehat{\mathbb{G}}_a; \mathbb{G}_m)$ are all free $\mathbb{Z}$–modules of graded finite rank in the range $k < 3$, so we may write

$$\mathcal{O}(DC^k(\widehat{\mathbb{G}}_a; \mathbb{G}_m)) \cong (\mathcal{O}C^k(\widehat{\mathbb{G}}_a; \mathbb{G}_m))^*.$$

We will show that this later Hopf algebra $\mathcal{O}(C^k(\widehat{\mathbb{G}}_a; \mathbb{G}_m))^*$ is a power series ring, specializing for the moment to the case $k = 2$. It will suffice to show that it is a power series ring modulo p for every prime p. Such graded connected finite-type Hopf algebras over $\mathbb{F}_p$ were classified by Borel (and exposited by Milnor–Moore [28, Theorem 7.11]) as either polynomial or truncated polynomial. These two cases are distinguished by the Frobenius operation: the Frobenius on a polynomial ring is injective, whereas the Frobenius on a truncated polynomial ring is not. It is therefore equivalent to show that the *Verschiebung* on the original ring $\mathcal{O}(C^2(\widehat{\mathbb{G}}_a; \mathbb{G}_m)) \otimes \mathbb{F}_p$ is *surjective*. Recalling that $c_n^p = c_{np}$ at the level of bivariate 2–cocycles, we compute

$$p^* a_n = a_{np}^p,$$

and since $Fa_{np} = a_{np}^p$ and $FV = p^*$, we learn

$$V(a_{np}) = a_n.$$

Essentially the same proof handles the cases $k = 1$ and $k = 0$. The case $k = 3$ requires a small modification, to cope with the two classes of trivariate 2–cocycles. On the polynomial tensor factor of $\mathcal{O}(C^3(\widehat{\mathbb{G}}_a; \mathbb{G}_m))$ we can reuse the same Verschiebung argument to see that its dual Hopf algebra is polynomial. The dual of the divided power tensor factor is immediately a primitively generated polynomial algebra, without any further argument

. $\square$

Theorem 5.6.2. *The scheme* $C_3\mathbb{CP}_E^\infty$ *exists, and it is modeled by* $BU[6, \infty)_E$.

Proof sketch. Let $\widehat{\mathbb{G}}$ be an arbitrary formal group. Note first that if $C^3(\widehat{\mathbb{G}}; \mathbb{G}_m)$ is coalgebraic, then $C_3\widehat{\mathbb{G}}$ exists and is its Cartier dual: the diagram presenting $\mathcal{O}(C^3(\widehat{\mathbb{G}}; \mathbb{G}_m))$ as

a reflexive coequalizer of free Hopf algebras is also the diagram meant to present $C_3\widehat{\mathbb{G}}$ as a coalgebraic formal scheme. So, if the coequalizing Hopf algebra has a good basis, it will follow from Theorem 5.1.7 that the resulting diagram is a colimit diagram in formal schemes, with $C_3\widehat{\mathbb{G}}$ sitting at the cone point. It will additionally follow from there that the isomorphism from Corollary 5.5.12

$$\operatorname{Spec} E_0 BU[6,\infty) \xrightarrow{\cong} C^3(\mathbb{CP}_E^\infty; \mathbb{G}_m)$$

will re-dualize to an isomorphism

$$BU[6,\infty)_E \xleftarrow{\cong} C_3\mathbb{CP}_E^\infty.$$

So, we reduce to checking that $\mathcal{O}(C^3(\widehat{\mathbb{G}}; \mathbb{G}_m))$ admits a good basis. By a base change argument, it suffices to take $\widehat{\mathbb{G}}$ to be the universal formal group over the Lazard ring. Noting that $\mathcal{O}(C^3(\widehat{\mathbb{G}}; \mathbb{G}_m))$ must be of graded finite type, we will work to show that it is free on a basis we have good control over.

Specializing from $\widehat{\mathbb{G}}$ over $\mathcal{M}_{\mathbf{fgl}}$ to $\widehat{\mathbb{G}}_a$ over $\operatorname{Spec}\mathbb{Z}$, we know from Lemma 5.6.1 that $\mathcal{O}(C^3(\widehat{\mathbb{G}}_a; \mathbb{G}_m))$ is a free abelian group, and we know from Theorem 3.2.3 that $\mathcal{O}(\mathcal{M}_{\mathbf{fgl}})$ is as well. By picking a $\mathbb{Z}$–basis $\mathbb{Z}\{\beta_j\}_j$ of $\mathcal{O}C^3(\widehat{\mathbb{G}}_a; \mathbb{G}_m)$, we can choose a map of $\mathcal{O}(\mathcal{M}_{\mathbf{fgl}})$–modules lifting it

$$
\begin{array}{ccc}
\mathcal{O}(\mathcal{M}_{\mathbf{fgl}})\{\tilde{\beta}_j\}_j & \xrightarrow{\ \alpha\ } & \mathcal{O}C^3(\widehat{\mathbb{G}}; \mathbb{G}_m) \\
\downarrow & & \downarrow \\
\mathbb{Z}\{\beta_j\}_j & \xrightarrow[\cong]{} & \mathcal{O}C^3(\widehat{\mathbb{G}}_a; \mathbb{G}_m).
\end{array}
$$

By induction on degree, one sees that α is surjective, and since the source is a free abelian group we need only check that the source and target have the same Poincaré series to conclude that α is an isomorphism.

We proceed to test this rationally: over $\operatorname{Spec}\mathbb{Q}$ we can use the logarithm to construct an isomorphism

$$\operatorname{Spec}\mathbb{Q} \times (\mathcal{M}_{\mathbf{fgl}} \times C^k(\widehat{\mathbb{G}}; \mathbb{G}_m)) \to \operatorname{Spec}\mathbb{Q} \times (\mathcal{M}_{\mathbf{fgl}} \times C^k(\widehat{\mathbb{G}}_a; \mathbb{G}_m)),$$

hence the Poincaré series agree, so $\alpha \otimes \mathbb{Q}$ and α are both isomorphisms. Having established freenss, our other goal was to show that M has a sequence of good subcoalgebras. These come by considering the subcoalgebras, indexed on an integer d, spanned by the basis vectors of degree at most d. $\qquad\square$

Our second task today is to address the gap between $\underline{kU}_{2k}$ and its Thom spectrum $T(\underline{kU}_{2k})$. After all, our motivation for all of this algebraic geometry is to give a description to the set

$$(\operatorname{Spec} E_0 MU[6,\infty))(E_0),$$

but what we have done so far is describe the scheme $\mathrm{Spec}\, E_0 BU[6,\infty)$. Since these two spectra are related by a Thom construction, we should be able to deduce the description that we want by thinking about Thom sheaves. We now straighten this out. The place to start is with a construction:

Lemma 5.6.3. *For $\xi\colon G \to BGL_1\mathbb{S}$ a group map, the Thom spectrum $T\xi$ is a $(\Sigma_+^\infty G)$–cotorsor.*

Proof sketch. The Thom isomorphism $T\xi \wedge T\xi \simeq T\xi \wedge \Sigma_+^\infty G$ composes with the unit map $\mathbb{S} \to T\xi$ to give the *Thom diagonal*

$$T\xi \to T\xi \wedge \Sigma_+^\infty G. \qquad \square$$

Corollary 5.6.4. *In addition to our interpretation of $\mathbb{L}(\xi)$ as a $\mathbb{G}_m$–torsor over G_E, $\mathbb{L}(\xi)^{-1}$ is furthermore a $(G_E \times \mathbb{G}_m)$–torsor over S_E.* $\qquad \square$

We expand this idea out in our situation. A morphism $MU[6,\infty) \to E$ produces a trivialization of $\mathbb{L}(\bigotimes_{j=1}^3(\mathcal{L}_j - 1))$ over $(\mathbb{CP}_E^\infty)^{\times 3}$, and the associated trivializing section is symmetric and rigid. This prompts us to make the following definition:

Definition 5.6.5. We write $C^k(\widehat{\mathbb{G}};\mathcal{L})$ for the functor of trivializing sections of $\Theta^k\mathcal{L}$ over $\widehat{\mathbb{G}}^{\times k}$ which are symmetric and rigid. This construction has some nice properties:

- Note that taking the trivial sheaf $\mathcal{L} = \mathcal{O}_{\widehat{\mathbb{G}}}$ recovers the scheme $C^k(\widehat{\mathbb{G}};\mathbb{G}_m)$ of $\mathbb{G}_m$–valued such functions from before.

- By consequence, if $\mathcal{L}$ is *trivializable* then this functor is an affine scheme.

- Affine or not, there is a pairing map

$$C^k(\widehat{\mathbb{G}};\mathcal{L}) \times C^k(\widehat{\mathbb{G}};\mathcal{H}) \to C^k(\widehat{\mathbb{G}};\mathcal{L} \otimes \mathcal{H}).$$

  In particular, this recovers the group structure on $C^k(\widehat{\mathbb{G}};\mathbb{G}_m)$ and it makes $C^k(\widehat{\mathbb{G}};\mathcal{L})$ into a $C^k(\widehat{\mathbb{G}};\mathbb{G}_m)$–torsor.

Thus, we have constructed a map

$$\varphi\colon\ \mathrm{Spec}\, E_0 MU[6,\infty) \to C^3(\mathbb{CP}_E^\infty; \mathcal{I}(0)).$$

The following Lemma is a matter of fully expanding definitions:

Lemma 5.6.6 ([2, Theorem 2.50])**.** *The map*

$$\mathrm{Spec}\, E_0 MU[6,\infty) \to C^3(\mathbb{CP}_E^\infty; \mathcal{I}(0))$$

is an isomorphism.

Proof sketch. This map is equivariant, in the sense that the following square commutes:

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$$\text{Spec } E_0 MU[6, \infty) \times \text{Spec } E_0 BU[6, \infty) \longrightarrow \text{Spec } E_0 MU[6, \infty)$$

$$\downarrow{\varphi \times 5.5.12} \qquad\qquad\qquad\qquad \downarrow{\varphi}$$

$$C^3(\mathbb{CP}_E^\infty; \mathcal{I}(0)) \times C^3(\mathbb{CP}_E^\infty; \mathbb{G}_m) \longrightarrow C^3(\mathbb{CP}_E^\infty; \mathcal{I}(0)).$$

Any equivariant map of torsors is automatically an isomorphism. □

This, finally, gives us access to the analogue of Theorem 5.2.1, Theorem 5.2.2, and Corollary 5.2.8:

Corollary 5.6.7. *Take E to be* complex–orientable. *The functor* $C^3(\mathbb{CP}_E^\infty; \mathcal{I}(0))$ *defined by*

$$\mathsf{AffineSchemes}_{/\,\text{Spec } E_0} \to \mathsf{Sets},$$

$$(\text{Spec } T \xrightarrow{u} \text{Spec } E_0) \mapsto \left\{ \begin{array}{c} \text{symmetric, rigid trivializations} \\ \text{of } u^* \Theta^3 \mathbb{L}(\mathcal{L}) \text{ over } u^*(\mathbb{CP}_E^\infty)^{\times 3} \end{array} \right\}$$

is isomorphic to the affine scheme $\text{Spec } E_0 MU[6, \infty)$. *Moreover, the E_0–points of this scheme biject with ring spectrum maps* $MU[6, \infty) \to E$. □

5.7 Modular forms from $MU[6, \infty)$–manifolds

Our goal today is to actually leverage the arithmetic geometry in Corollary 5.3.5, rather than just using the body of results about θ–functions as inspiration. In order to do this, we need to place ourselves in a situation where algebraic topology is directly linked to abelian varieties.

Definition 5.7.1. An *elliptic spectrum* consists of a even–periodic ring spectrum E, a (generalized) elliptic curve C over $\text{Spec } E_0$, and a fixed isomorphism

$$\varphi\colon C_0^\wedge \xrightarrow{\cong} \mathbb{CP}_E^\infty.$$

A map among such spectra consists of a map of ring spectra $f\colon E \to E'$ together with a specified isomorphism of elliptic curves $\psi\colon f^*C \to C'$.

Remark 5.7.2. We have chosen to consider *isomorphisms* of elliptic curve rather than general homomorphisms because this is what algebraic topology suggests that we do. After all, the mixed cooperations of complex-oriented ring spectra are modeled by the isomorphisms of the associated formal groups. In the next Case Study, we will develop a theory (with an attendant notion of a "context") which incorporates isogenies of elliptic curves in addition to isomorphisms.

Coupling Definition 5.7.1 to Corollary 5.6.7 and Corollary 5.3.5, we learn the following result:

Corollary 5.7.3. *An elliptic spectrum (E, C, φ) receives a canonical map of ring spectra*

$$MU[6, \infty) \to E.$$

This map is natural in choice of elliptic spectrum: if $(E, C, \varphi) \to (E', C', \varphi')$ is a map of elliptic spectra, then the triangle

$$
\begin{array}{ccc}
& MU[6, \infty) & \\
& \swarrow \qquad \searrow & \\
E & \longrightarrow & E'
\end{array}
$$

commutes. □

Example 5.7.4. Our basic example of an elliptic curve was $E_\Lambda = \mathbb{C}/\Lambda$, with Λ a complex lattice. The projection $\mathbb{C} \to \mathbb{C}/\Lambda$ has a local inverse which defines an isomorphism of formal groups

$$\varphi \colon (E_\Lambda)_0^\wedge \xrightarrow{\cong} \widehat{\mathbb{G}}_a \otimes \mathbb{C}.$$

Accordingly, we define an elliptic spectrum $HE_\Lambda P$ whose underlying ring spectrum is $H\mathbb{C}$ and whose associated elliptic curve and isomorphism are E_Λ and φ. This spectrum receives a natural map

$$MU[6, \infty) \to HE_\Lambda P,$$

which to a bordism class $M \in MU[6, \infty)_{2n}$ assigns an element $\Phi_\Lambda(M) \cdot u_\Lambda^n \in HE_\Lambda P_{2n}$ for some $\Phi_\Lambda(M) \in \mathbb{C}$.

Example 5.7.5. The naturality of the $MU[6, \infty)$–orientation moves us to consider more than one elliptic spectrum at a time. If Λ' is another lattice with $\Lambda' = \lambda \cdot \Lambda$, then the multiplication map $\lambda \colon \mathbb{C} \to \mathbb{C}$ descends to an isomorphism $E_\Lambda \to E_{\Lambda'}$ and hence a map of elliptic spectra $HE_{\Lambda'} P \to HE_\Lambda P$ acting by $u_{\Lambda'} \mapsto \lambda u_\Lambda$. The commuting triangle in Corollary 5.7.3 then begets the *modularity relation*

$$\Phi(M; \lambda \cdot \Lambda) = \lambda^{-n} \Phi(M; \Lambda).$$

Example 5.7.6. This equation leads us to consider all curves E_Λ simultaneously — or, equivalently, to consider modular forms. The lattice Λ can be put into a standard form, by picking a basis and scaling it so that one vector lies at 1 and the other vector lies in the upper half-plane. This gives a cover

$$\mathfrak{h} \to \mathcal{M}_{\mathbf{ell}} \times \operatorname{Spec} \mathbb{C}$$

which is well-behaved away from the special points i and $e^{2\pi i/6}$. A *complex modular form*

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of weight k is an analytic function $\mathfrak{h} \to \mathbb{C}$ which satisfies a certain decay condition and which is quasi-periodic for the action of $SL_2(\mathbb{Z})$, i.e.,[3]

$$f\left(M; \frac{a\tau + b}{c\tau + d}\right) = (c\tau + d)^n f(M; \tau).$$

Using these ideas, we construct a cohomology theory $H\mathcal{O}_\mathfrak{h}P$, where $\mathcal{O}_\mathfrak{h}$ is the ring of complex-analytic functions on the upper half-plane. The $\mathfrak{h}$–parametrized family of elliptic curves

$$\mathfrak{h} \times \mathbb{C}/(1, \tau) \to \mathfrak{h},$$

together with the logarithm, present $H\mathcal{O}_\mathfrak{h}P$ as an elliptic spectrum $H\mathfrak{h}P$. The canonical map $\Phi\colon MU[6, \infty) \to H\mathfrak{h}P$ specializes at a point to give the functions $\Phi(-; \Lambda)$ considered above, and hence $\Phi(M)$ is itself a complex modular form of weight k.

In fact, this is a ghost of Ochanine and Witten's modular genus from Theorem 0.0.3, as a bordism class in $MU[6, \infty)_{2n}$ is, in particular, a bordism class in $MString_{2n}$. However, they know more about this function than we can presently see: they claim that it has an integral q–expansion. In terms of the modular form, its q–expansion is given by building the Taylor expansion "at ∞" (using that unspoken decay condition). In order to use our topological methods, it would be nice to have an elliptic spectrum embodying these q–expansions in the same way that $H\mathfrak{h}P$ embodied holomorphic functions, together with a comparison map that trades a modular form for its q–expansion. The main ideas leading to such a spectrum come from considering the behavior of E_Λ as τ tends to $i \cdot \infty$.

Definition 5.7.7. Note that as $\tau \to i \cdot \infty$, the parameter $q = \exp(2\pi i\tau)$ tends to 0. In the multiplicative model of Lecture 5.3, we considered D' the punctured complex disk with associated family of elliptic curves

$$C'_{an} = \mathbb{C}^\times \times D'/(u, q) \sim (qu, q).$$

The fiber of C' over a particular point $q \in D'$ is the curve $\mathbb{C}^\times/q^\mathbb{Z}$. The Weierstrass equations give an embedding of C'_{an} into $D' \times \mathbb{C}P^2$ described by

$$wy^2 + wxy = x^3 - 5\alpha_3 w^2 x + -\frac{5\alpha_3 + 7\alpha_5}{12}w^3$$

for certain functions α_3 and α_5 of q. At $q = 0$, these curve collapses to the twisted cubic

$$wy^2 + wxy = x^3,$$

and over the whole open unit disc D we call this extended family C_{an}.

Now let $A \subseteq \mathbb{Z}[\![q]\!]$ by the subring of power series which converge absolutely on the open unit disk. It turns out that the coefficients of the Weierstrass cubic (i.e., $5\alpha_3$ and $\frac{1}{12}(5\alpha_3 + 7\alpha_5)$) lie in A, so it determines a generalized elliptic curve C over $\mathrm{Spec}\, A$, and C_{an}

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is the curve given by base-change from A to the ring of holomorphic functions on D. The *Tate curve* C_{Tate} is defined to be the family over the intermediate object $D_{\mathrm{Tate}} = \operatorname{Spec} \mathbb{Z}[\![q]\!]$ base-changed from A.

The singular fiber at $q = 0$ prompts us to enlarge our notion of elliptic curve slightly.

Definition 5.7.8 ([2, Definitions B.1-2]). A *Weierstrass curve* is *any* curve of the form
$$
C(a_1, a_2, a_3, a_4, a_6) := \left\{ ([x : y : z], s) \in \mathbb{P}^2 \times S \;\middle|\; \begin{array}{l} y^2 z + a_1(s)xyz + a_3(s)yz^2 = \\ x^3 + a_2(s)x^2 z + a_4(s)xz^2 + a_6(s)z^3 \end{array} \right\}.
$$

A *generalized elliptic curve* over S is a scheme C equipped with maps
$$
S \xrightarrow{0} C \xrightarrow{\pi} S
$$
such that C is Zariski–locally isomorphic to a system of Weierstrass curves (in a way preserving 0 and π).[4]

Remark 5.7.9. The singularities of a degenerate Weierstrass equation always occur outside of a formal neighborhood of the marked identity point, which in fact still carries the structure of a formal group. The formal group associated to the twisted cubic is the multiplicative group, and the isomorphism making the identification extends a family of such isomorphisms φ over the nonsingular part of the Tate curve.

Definition 5.7.10 ([30, Section 5], [2, Section 2.7]). The elliptic spectrum K_{Tate}, called *Tate K–theory*, has as its underlying spectrum $KU[\![q]\!]$. The associated elliptic curve is C_{Tate}, and the isomorphism $\mathbb{CP}^\infty_{KU[\![q]\!]} \cong (C_{\mathrm{Tate}})^\wedge_0$ is φ from Remark 5.7.9.

The trade for the breadth of this definition is that theorems pulled from the study of abelian varities have to be shown to extend uniquely to those generalized elliptic curves which are not smooth curves.

Theorem 5.7.11 ([2, Propositions 2.57 and B.25]). *For a generalized elliptic curve C, there is a canonical trivialization s of $\Theta^3 \mathcal{I}(0)$ which is compatible with change of base and with isomorphisms. If C is a smooth elliptic curve, then s agrees with that of Corollary 5.3.5.* $\square$

Corollary 5.7.12. *The trivializing section s associated to C_{Tate} is given by $\delta^{\circ 3}\theta$, where*
$$
\theta_q(u) = (1 - u) \prod_{n > 0} (1 - q^n u)(1 - q^n u^{-1}).
$$

Proof. Even though θ is not a function on C_{Tate} because of quasiperiodicity, it does trivialize both $\pi^* \mathcal{I}(0)$ for $\pi \colon \mathbb{C}^\times \times D \to C_{\mathrm{Tate}}$ and $\mathcal{I}(0)$ for $(C_{\mathrm{Tate}})^\wedge_0$. Moreover, the quasiperiodicities in the factors in the formula defining $\delta^3 \theta|_{(C_{\mathrm{Tate}})^\wedge_0}$ cancel each other out, and the function does descend to give a trivialization of $\Theta^3 \mathcal{I}(0)$. By the unicity clause in Theorem 5.7.11, it must give a formula expressing s. $\square$

---

[3] That is, for the action of change of basis vectors.

[4] An elliptic curve in the usual sense turns out to be a generalized elliptic curve which is smooth, i.e., the discriminant of the Weierstrass equations is a unit.

Definition 5.7.13. The induced map

$$\sigma_{\text{Tate}} \colon MU[6, \infty) \to K_{\text{Tate}}$$

is called the *complex σ–orientation*.

Corollary 5.7.14. *Let $M \in \pi_{2n} MU[6, \infty)$ be a bordism class. The q–expansion of Witten's modular form $\Phi(M)$ has integral coefficients.*

Proof. The span of elliptic spectra equipped with $MU[6, \infty)$–orientations

$$
\begin{array}{ccc}
 & MU[6, \infty) & \\
\sigma_{\text{Tate}} \swarrow & \downarrow & \searrow \Phi \\
K_{\text{Tate}} \longrightarrow & K_{\text{Tate}} \otimes \mathbb{C} \longleftarrow & H\mathfrak{h}P
\end{array}
$$

models q–expansion. The arrow $K_{\text{Tate}} \to K_{\text{Tate}} \otimes \mathbb{C}$ is injective on homotopy, which shows that the q–expansion of $\Phi(M)$ lands in the subring of integral power series. ☐

In fact, Corollary 5.7.12 gives us access to a formula for $\sigma_{\text{Tate}} = \delta^3 \theta$, where θ here is interpreted as a coordinate on $(C_{\text{Tate}})_0^\wedge$. This means that σ_{Tate} belongs to the commutative triangle

$$
\begin{array}{ccccc}
MU[6, \infty) & & & & \\
\delta \downarrow & & \xrightarrow{\hspace{3cm}} & \sigma_{\text{Tate}} & \\
MSU & \xrightarrow{\delta} & MU \xrightarrow{\delta} & MUP \xrightarrow{\theta} & KU[\![q]\!].
\end{array}
$$

To begin, the usual map $MUP \to KU$ selects the coordinate $f(u) = 1 - u$ on the formal completion of $\mathbb{G}_m = \operatorname{Spec} \mathbb{Z}[u^{\pm}]$. The induced map

$$MU \xrightarrow{\delta} MUP \to KU$$

sends f to the rigid section δf of

$$\Theta^1 \mathcal{I}(0) = \mathcal{I}(0)_0 \otimes \mathcal{I}(0)^{-1} \cong \omega \otimes \mathcal{I}(0),$$

and in terms of the right-hand side,

$$\delta f = \frac{f'(0)}{f(u)} Du = \frac{1}{1-u}\left(-\frac{du}{u}\right),$$

where Du is the invariant differential. We can augment this to a calculation of $\delta \theta$ by considering the composite

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$$\delta\theta\colon\ MU \xrightarrow{\eta_R} MU \wedge MU \simeq MU \wedge BU_+ \xrightarrow{\delta(1-u)\wedge\theta'} K_{\text{Tate}}$$

where θ' is the element of $BU^{K_{\text{Tate}}} \cong C^1(\widehat{C}_{\text{Tate}};\mathbb{G}_m)$ given by the formula

$$\theta' = \prod_{n\geq 1} \frac{(1-q^n)^2}{(1-q^n u)(1-q^n u^{-1})}.$$

This means that its effect on a line bundle is determined by

$$\theta'(1-\mathcal{L}) = \prod_{n\geq 1} \frac{(1-q^n)^2}{(1-q^n\mathcal{L})(1-q^n\mathcal{L}^{-1})},$$

and its effect on vector bundles in general is determined by the splitting principle and an exponential law. In fact, one can work this exponential law out to mean

$$\theta'(\dim V \cdot 1 - V) = \bigotimes_{n\geq 1}\bigoplus_{j\geq 0}\operatorname{Sym}^j(\dim V\cdot 1 - V \otimes_\mathbb{R}\mathbb{C})q^{jn} =: \bigotimes_{n\geq 1}\operatorname{Sym}_{q^n}(-\bar{V}_\mathbb{C}).$$

Knowing that $(\eta_R)_*\colon MU_* \to \pi_*(MU\wedge\Sigma^\infty_+ BU)$ sends a manifold M with stable normal bundle ν to the pair (M,ν), we compute the composite on homotopy to be

$$\sigma_{\text{Tate}}(M\in\pi_{2n}MU[6,\infty)) = (\delta(1-u)\wedge\theta')(M,\nu)$$

$$=: \operatorname{Td}\left(M;\bigotimes_{n\geq 1}\operatorname{Sym}_{q^n}(\bar{\tau}_\mathbb{C})\right).$$

This is exactly Witten's formula for his genus, as applied to complex manifolds with first two Chern classes trivialized.

5.8 Odd-primary real bordism orientations

This is under construction.

5.9 Chromatic *Spin* and *String* orientations

This is under construction. The stuff here is correct, but it didn't turn into a super coherent lecture, and I have an idea for something better to say.

In the previous Lecture, we proved that elliptic spectra receive canonical $MU[6, \infty)$–orientations, that complex elliptic spectra collectively give rise to a genus valued in modular forms, and that the q–expansions of these modular forms are integral. However, the original Theorem 0.0.3 of Ochanine and Witten claimed to describe a genus on *Spin*– and *String*–manifolds, which we have only managed to approximate with our study of $MU[6, \infty)$–orientations. Our last goal for this Case Study is to show that the chromatic formal schemes associated to spaces like *BString* are somewhat accessible, and so chromatically-amenable elliptic spectra receive canonical *MString*–orientations.

Fix a formal group Γ of finite height d, and write $K = K_\Gamma$ for the associated Morava K–theory. We will start with the more modest goal of understanding the bottom few layers of the Postnikov tower for $\underline{kO}_0 \simeq BO \times \mathbb{Z}$, which have the names

$$BO[2, \infty) := BSO, \qquad BO[4, \infty) := BSpin, \qquad BO[8, \infty) := BString.$$

> The := should be =: perhaps?

Remark 5.9.1. Unlike kU, there is *not* an equivalence

$$\underline{kO}_n \not\simeq (BO \times \mathbb{Z})[n, \infty),$$

unless n happens to take the form $n = 8k$ for a nonnegative integer k. The reasoning for this stray equivalence is similar to that for kU: the homotopy ring of kO has a polynomial factor of degree 8, and the other elements lie in a band of dimensions smaller than 8. Otherwise, other things happen — for instance,

$$\underline{kO}_1 \simeq O/U, \qquad\qquad \underline{kO}_6 \simeq Spin/SU.$$

Remark 5.9.2 ([21, Section 5.2]). We may as well take the ground field of our Morava K–theory to have characteristic $p = 2$, since at odd characteristics there is little distinction between kO and kU, owing to the fiber sequence

$$\Sigma kO \xrightarrow{\cdot \eta = 0} kO \to kU.$$

> The way you wrote this fiber sequence doesn't show why $p = 2$ is special.

However, this reveals a disadvantage of Morava K–theory that will finally cause us real consternation: Morava K–theories at the prime 2 are not commutative ring spectra. Accordingly, $(K_\Gamma)_* G$ for a commutative H–group G may fail to give a commutative algebra. Luckily, Remark 3.6.2 tells us that if $(K_\Gamma)_* G$ happens to be even-concentrated, then the obstructions to commutativity identically vanish. So, we can be somewhat indelicate about this noncommutativity issue, provided that we continually check that the algebras we are forming are even-concentrated.

In order to get off the ground, we will need the following Lemma about the behavior of the Atiyah–Hirzebruch spectral sequence for a Morava K–theory:

Lemma 5.9.3 ([58, Lemma 2.1]). *Let k_Γ be the connective cover of the Morava K–theory K_Γ. In the Atiyah–Hirzebruch spectral sequence*

$$E_2^{*,*} = Hk^* X \otimes_k k_\Gamma^* \Rightarrow k_\Gamma^* X,$$

the differentials are given by

$$d_r(x) = \begin{cases} 0 & \text{if } r \leq 2(p^d - 1), \\ \lambda Q_d x \otimes v_d & \text{if } r = 2(p^d - 1) + 1 \end{cases}$$

where $\lambda \neq 0$ and Q_d is the dth Milnor primitive. □

Corollary 5.9.4 ([42, Section 2.5], [21, Equation 3.1]). *There is a bi-Cartesian square of coalgebraic formal schemes*

$$\begin{array}{ccc} \mathrm{Div}_0\, \overline{\mathbb{G}}[2] & \longrightarrow & \mathrm{Div}_0\, \widehat{\mathbb{G}}[2] \\ \downarrow & & \downarrow \\ \mathrm{Div}_0\, \overline{\mathbb{G}} & \longrightarrow & BO_K. \end{array}$$

Proof. We apply Lemma 5.9.3 to the analysis of the spectral sequence of Hopf algebras

$$H\mathbb{F}_{2*}BO \otimes (K_\Gamma)_* \Rightarrow (K_\Gamma)_*BO.$$

We have $H\mathbb{F}_{2*}BO \cong \mathbb{F}_2[b_1, b_2, \ldots]$ and

$$Q_d b_{2^{d+1}+2j} = b_{2j+1},$$

from which it follows that all the odd generators are killed, all their squares survive , and only the even generators of low degree are permanent cycles. This results in a decomposition

$$(K_\Gamma)_*BO \cong (K_\Gamma)_*[b_2, b_4, b_{2^{d+1}-2}] \underset{(K_\Gamma)[b_{2j}^2 | j<2^d]}{\otimes} (K_\Gamma)_*[b_{2j}^2],$$

and so we are tasked with assigning names to the coalgebraic formal schemes appearing in this formula.

The left-hand factor is the free Hopf algebra on the coalgebra determined by the 2–

torsion in the formal group Γ . The right-hand factor is the free Hopf algebra on the formal curve $\overline{\mathbb{G}} := \mathcal{H}P_K^\infty$, using the isogeny

$$\mathcal{H}P_K^\infty \to \mathbb{C}P_K^\infty$$
$$y \mapsto x \cdot [-1](x)$$

induced by desymplectification. Because $\mathcal{H}^\times$ is not commutative, $\overline{\mathbb{G}}$ is not a formal group, but we pull back the multiplication-by-2 isogeny from $\widehat{\mathbb{G}}$ to $\overline{\mathbb{G}}$ and define the subscheme

$\overline{\mathbb{G}}[2]$ of points mapping to zero. □

Suppose that the Postnikov sections

$$X(n, \infty) \to X[n, \infty] \to X[n, n]$$

induce short exact sequences of formal groups. A presentation of X_K as a bi-Cartesian square then acquires value via the following algebraic Lemma:

Lemma 5.9.5. *Consider the cube of formal group schemes constructed by taking pointwise fibers of the composite to G:*

If the bottom face is bi-Cartesian, then so is the top. □

Corollary 5.9.6. *There is a bi-Cartesian square*

Proof sketch. The fibration $BSO \to BO \to BO(1)$ gives a short exact sequence of Hopf algebras , so using Corollary 5.9.4 we are in the situation of Lemma 5.9.5. To compute the pointwise kernels, begin by considering the commuting square of Postnikov sections

$$\begin{array}{ccc} BO_K & \longrightarrow & BU_K \\ \downarrow & & \downarrow \\ \widehat{G}[2] & \longrightarrow & \widehat{G}. \end{array}$$

Both horizontal maps are injections. Since $\operatorname{Div}\overline{G} \to BU_K \cong \operatorname{Div}_0 \widehat{G} \to \widehat{G}$ is null, the composite $\operatorname{Div}_0 \overline{G} \to \widehat{G}[2]$ is null. Similarly, the composite $\operatorname{Div}\widehat{G}[2] \to \widehat{G}[2]$ acts by summation, and its kernel is $\operatorname{SDiv}_0 \widehat{G}[2]$. □

From here, the computation gets harder.

Corollary 5.9.7 ([21, Section 5.3]). *There is a bi-Cartesian square*

after applying K-homology – what is the argument for this? Do I need to look at some spectral sequence?

This diagram seems to be missing $\operatorname{Div}_0 \overline{G}$ and $\operatorname{Div}\overline{G}$. Also, you are identifying $K(\mathbb{Z}/2.1)_K$ with $\widehat{G}[2]$. You argue using BU, but it also seems that whatever argument you are using to show that the right vertical arrows have null composite should also just work for BO.

$$\begin{array}{ccc}
\mathrm{Div}_0\,\overline{G}[2] & \longrightarrow & \ker\omega \\
\swarrow & & \swarrow \\
\mathrm{Div}_0\,\overline{G} & \longrightarrow & BSpin_{K'}
\end{array}$$

where $\omega\colon C_2\widehat{G} \to \widehat{G}[2]^{\wedge 2}$ is the map $([a]-[0])([b]-[0]) \mapsto a \wedge b$.

Proof. This goes similarly to Corollary 5.9.6, once you know that the Postnikov section induces a short exact sequence of formal groups. The composite $\mathrm{Div}\,\overline{G} \to \widehat{G}[2]^{\wedge 2}$ is shown to be zero using an identical technique. To identify the behavior on the other factor, we need the following diagram of exact sequences of Hopf algebras from Kitchloo, Laures, and Wilson [21, Theorem 6.4]:

$$\begin{array}{ccccccc}
& & & & & & K_* \\
& & & & & & \downarrow \\
& & K_* & & & \dashrightarrow & K_*K(\mathbb{Z},3) \\
& & \downarrow & & & & \downarrow \\
K_* & \longrightarrow & K_*BSpin & \longrightarrow & K_*BSU & \overset{\tau}{\longrightarrow} & K_*BU[6,\infty) \\
& & \downarrow & & \| & & \downarrow{\scriptstyle\delta} \\
K_* & \longrightarrow & K_*BSO & \overset{i}{\longrightarrow} & K_*BSU & \overset{1-\xi}{\longrightarrow} & K_*BSU \\
& & \downarrow & & & & \downarrow \\
& & K_*K(C_2,2) & & & & K_* \\
& & \downarrow & & & & \\
& & K_*,
\end{array}$$

where $\tau\colon C_2\widehat{G} \to C_3\widehat{G}$ is specified at the level of formal schemes by

$$\tau\colon ([a]-[0])([b]-[0]) \mapsto ([a]-[0])([b]-[0])([-a-b]-[0]).$$

Since $(1-\xi)\circ i = 0$, we have that $\delta\circ\tau\circ i = 0$ and hence that $\tau\circ i$ lifts to $K_*K(\mathbb{Z},3)$. Identifying $\mathrm{SDiv}_0\,\widehat{G}[2]$ with $C_2\widehat{G}[2]$, we check that the composites

$$C_2\widehat{G}[2] \overset{\omega}{\to} \widehat{G}[2]^{\wedge 2} \overset{\varepsilon}{\to} C_3\widehat{G}$$

and

$$C_2\widehat{G}[2] \to C_2\widehat{G} \overset{\tau}{\to} C_3\widehat{G}$$

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agree. For a point $[a, b] \in C_2\widehat{G}$, this is the claim

$$
\begin{aligned}
0 &= \varepsilon(a \wedge b) - \tau[a, b] \\
&= [a, a, b] - [b, a, b] - [-a - b, a, b] \\
&= [a, a, b] - [b + a, a, b] + [b, a + a, b] - [b, a, b],
\end{aligned}
$$

and this is forced null in $C_3\widehat{G}$, as it looks like a 2–cocycle shuffle.

I'm a little fuzzy on the coherence of this with the Bockstein: this computes the lift of $\tau \circ f$ into $K(\mathbb{Z}, 3)_K$, and it does happen to factor through the subscheme $K(\mathbb{Z}/2, 2)_K$ determined by the Bockstein. However, I don't immediately see why this agrees with the bottom Postnikov section of BSO: that's a map off of BSO and this is a rotated map into $BU[6, \infty)$, so it's not an immediate consequence of naturality. It has to do with rotating the Wood cofiber sequence just right, and in particular where the horizontal sequences come from: they're stitched-together from two consecutive Wood cofiber sequences.

Ideally, we would use this presentation of $BSpin_K$ to say something about $MSpin$–orientations. I just realized I don't know how, though!

Remark 5.9.8. This computation becomes almost unfeasible for $BString$, but we will sketch two approaches. One is that the sequence

$$
\underline{HC_{22}} \to \underline{HC_{2^\infty 2}} \to BString \to BSpin \to \underline{HC_{2^\infty 4}} \xrightarrow{2} \underline{HC_{2^\infty 4}}
$$

induces an exact sequence of group schemes. The other avenue of access is the pair of fiber sequences

$$
\underline{H\mathbb{Z}_3} \to \widetilde{BSpin} \to BSpin, \qquad\qquad BString \to \widetilde{BSpin} \to \underline{HC_{23}},
$$

formed by considering the pullback of the corner

$$
BSpin \to \underline{H\mathbb{Z}_4} \leftarrow \underline{HC_{23}}.
$$

Both of these fibrations induce short exact sequences of Hopf algebras.

However, since we are specifically interested in *MString*–orientations, there is an alternative approach that avoids describing the formal scheme $BString_K$. Again appealing to results of Kitchloo, Laures, and Wilson, we find that the sequence

$$
K_*Spin/SU \to K_*BU[6, \infty) \to K_*BString
$$

is exact and right-exact. The kernel of the map $K_*Spin/SU \to K_*BU[6, \infty)$ is a Hopf algebra they call "CK_3", where

$$
CK_j = \bigoplus_{k=j}^{\infty} K_*K(\mathbb{Z}/2, k).
$$

More than that, KLW even say where the polynomial and nonpolynomial parts of K_*Spin/SU land inside of $K_*BU[6, \infty)$. I think that this means that $K_*BU[6, \infty)$ is a flat K_*Spin/SU–module at heights $d \leq 2$.

Applying the Thom spectrum functor to the fiber square gives the pushout diagram

$$\Sigma_+^\infty Spin/SU \longrightarrow MU[6,\infty)$$

$$\downarrow \qquad\qquad\qquad \downarrow$$

$$\mathbb{S} \longrightarrow MString,$$

or, equivalently, an equivalence

$$MString \simeq MU[6,\infty) \wedge_{\Sigma_+^\infty Spin/SU} \mathbb{S}.$$

This in turn gives a Tor spectral sequence of signature

$$\mathrm{Tor}_{*,*}^{K_* Spin/SU}(K_* MU[6,\infty), K_*) \Rightarrow K_* MString.$$

So, under the flatness hypothesis above, there are no higher Tor terms so the spectral sequence collapses to give

$$K_* MString \cong K_* MU[6,\infty) \,/\!/\, K_* Spin/SU.$$

So, what remains to be shown is that $K_* Spin/SU$ picks out the correct extra relation for Σ–structures . Then, we need a density argument to show that this handles all of the at-a-point cases of elliptic cohomology.

Remark 5.9.9. However, the spectra $HE_\Lambda P$ do not qualify as "chromatically amenable" from the perspective of this last argument, and so we lose access to our genus valued in modular forms. Additionally, K_{Tate} does not qualify, essentially because it is integral rather than p–adic. The methods described here give rise to putative p–adic q–expansions of modular forms, but we have not been able to check that they satisfy the modularity condition, nor that they assemble into a single, integral object. Amazingly, such theorems are achievable, and they are the impetus for the study of topological modular forms and algebraic geometry done with E_∞–rings.

Some other things that might belong in this chapter

The cubical structure on a singular (generalized) elliptic curve is not unique, but (published) AHS has an argument showing that the unicity of the choice on the nonsingular "bulk" extends to a unique choice on the "boundary" of the compactified moduli too.

There's also the work of Ando–French–Ganter on factorized / iterated Θ structures and how they give rise to the "two–variable Jacobi genus".

Case Study 6

Power operations

This is completely under construction.

There should be a context-based presentation of this chapter's material too. What do contexts for structured ring spectra look like? Why would you consider them — what object are you trying to approximate? How do you guess that the algebraic model is reasonable until you're aware of something like Strickland's theorem?

Since you spend so much time talking about descent in other parts of these notes, maybe you should also read the end of the AHS H_∞ paper where they claim to recast their results in the usual language of descent.

Conversation with Nat on 2/9 suggests taking the following route in this chapter: contexts for E_∞ mapping spaces in general; Subgroups and level structures; the Drinfel'd ring and the universal level structure; the isogenies pile; power operations and Adams operations, after Ando (naturally indexed vs indexed on subgroups; have a look at the Screenshot you took on this day); comparison of comodules M for the isogenies pile with the action of $M_n(\mathbb{Z}_p)$ on $M \otimes_{E_n^*} D_\infty$ (this is a modern result due to Tomer, Tobi, Lukas, and Nat); H_∞ MU–orientations and Matt's thesis; the analogous results for Θ^k–structures. In particular, leave character theory, p–divisible groups, and rational phenomena for spillover at the end of the year. They aren't strictly necessary to telling the story; you just need to know a little about the Drinfel'd ring to construct Matt's maps. (If you have time, though, the point is that the rationalized Drinfel'd ring carries the universal level structure which is also an isomorphism.)

The stuff around 4.3.1-2 of Matt's published thesis talks about H_∞–maps being determined by their values on $*$ and $\mathbb{CP}^\infty$, which is an interesting result. You might also compare with Butowiez–Turner.

Work in height 1 (and height 2??) examples through this? K–theory is pretty accessible, and the height 2 examples are somewhat understood (Charles, Yifei), and they're both relevant for the elliptic $MString$ story. (There's also the pile of elliptic curves with isogenies...)

Nat warns that the very end of Matt's thesis uses character theory for S^1, which you have to be very careful about to pull off correctly. (S^1 is not a finite group, but in certain contexts it can be approximated by its torsion subgroups...)

Yifei warned me that Matt's "there exists a unique coordinate..." Lemma is specifically about lifting the *Honda* formal group law over $\mathbb{F}_q$. If you want to do this with elliptic cohomology or something, then you need a stronger statement (and it's clear what this statement should be, but no one has proven it).

—— Here are various notes from conversations with Nat, recorded and garbled well after they happened. ——

We could try to understand Matt's thesis's Section 4.2. It identifies the action of the internal power operation on E_n using the internal theory of quotient isogenies to the Lubin–Tate deformation problem (2.5.4). Conditions 1 and 3 of 4.2.1 are easy to verify: they are 4.2.3 (evaluate on a point) and 4.2.4 (the power operation does raise things to a power) respectively. Condition 2 takes more work, and it's about identifying the divisor associated to the isogeny granted by Condition 1. It's worked out in 4.2.5, which is not very hard, and 4.2.6, which shows that *the* Thom class associated to a vector bundle is sent under

a power operation to *some* Thom class. 4.2.5 then uses that the quotient of *some* Thom classes has to be a unit in the underlying ring.

(Q: Can 4.2.5 be phrased about two coordinates on the same formal group, rather than two presentations of the same divisor? There's a comparison between functions on the quotient with invariant functions on the original group — and perhaps with functions invariant by pulling back along the isogeny?)

Prop 8.3 in "Character of the Total Power Operation" provides an algebro-geometric proof of something in AHS04, using the fact that for R a nice complete local ring and G, G' p–divisible groups over R, there is an injection

$$\mathrm{Isog}_R(G, G') \hookrightarrow \mathrm{Isog}_{R/\mathfrak{m}}(G, G').$$

Nat thinks that using the power operation internal to MU is what gets you Lubin's product formula for the quotient (cf. the calculation in Quillen's theorem), and using the power operation internal to E–theory gives you *something* called ψ^H, which you separately calculate on Euler classes. The point (cf. Ando's thesis's Theorem 1) is to pick a coordinate so that these coincide. (Lubin–Tate theory and Lubin's theory of isogenies says that they always coincide up to unique $\star$–isomorphism — after all, automorphisms (and isogenies) don't deform — and the point is that for particular coordinates on particular formal groups, you can take the $\star$–isomorphisms to all be the identity.)

Ando's thesis only deals with power operations internal to E–theory starting in Section 4. Before then, he shows that the pushforward of the power operations internal to MU can be lifted through maps on E–theory (although these maps may not be topologically induced). It's not clear to me what the value of this is — if you're constructing the operations on the E–theory side, then surely you're going to construct them so that they're on-the-nose equal to the MU–operations?

The meaty part of AHS04 is Theorem 6.1, that the necessary condition is sufficient. It falls into steps: first, we can restrict attention to Σ_p, and even inside of there we can restrict attention to C_p. Then, the two directions around the H_∞ square give two trivializations (cf. 4.2.6 of Ando's thesis) $g_{cl(ockwise)}$ and $g_{c(ounter)c(lockwise)}$ of $\Theta^k \mathcal{I}(0)$. The fact that they're both trivializations means there's an equation $g_{cl} = r g_{cc}$ for $r \in E^0 D_{C_p} BU[2k, \infty)^\times$. Then, he wants to study the map

$$E^0 D_{C_p} BU[2k, \infty)_+ \xrightarrow{\Delta^* \times i^*} E^0 BC_p^* \times BU[2k, \infty) \times E^0 BU[2k, \infty)^{\times p},$$

which they know to be an injection by work of McClure, but for some reason they can restrict attention to just the left-hand factor. The left-hand factor is the ring of functions on $\underline{\mathsf{FormalGps}}(A, \widehat{\mathbb{G}}_F) \times BU[2k, \infty)_E$, and they can further restrict attention to level structures, where there are only two: the injective one and the null map. They then check these two cases by hand, and it follows that $r = 0$, so the two ways of navigating the diagram agree at the level of topology.

(Section 8 of Hopkins–Lawson has an injectivity proof that smells similar to the above injectivity trick with McClure's map.)

Just working in the case $k = 1$ (or $k = 0$), which is supposed to recover the "classical" results of Ando's thesis, we can try to recursively expand the various arguments and definitions. The counterclockwise map appears to be the easy one, and it's discussed around 4.11. The clockwise map appears to be the hard one, and it's discussed in 3.21. For $\chi_\ell = \chi_\ell \times \widehat{\mathbb{G}}$ given by

$$T \times \widehat{\mathbb{G}} \xrightarrow{\chi_\ell} \underline{\mathrm{Hom}}(A, \widehat{\mathbb{G}}) \times \widehat{\mathbb{G}},$$

the main content of 3.21 is an equality

$$\chi_\ell^* s_{cl} = \psi_\ell^{\mathcal{L}}(s_g) = (\psi_\ell^{\widehat{\mathbb{G}}/E})^* (\psi_\ell^E)^* s_g,$$

where ψ_ℓ^E is defined in 3.9, $\psi_\ell^{\widehat{\mathbb{G}}/E}$ is defined in 3.14 and the preceding remarks, s_g is the section describing the source coordinate (cf. part 2 of 3.21), and $\psi_\ell^{\mathcal{L}}$ is described between the paragraph before 3.16 and Definition 3.20. Trying to rewrite $\psi_\ell^{\mathcal{L}}$ into the form required for 3.21 requires pushing through 8.11 and 10.15.

We spent a lot of time just writing out the definitions of things, trying to get them straight in the universal case (which AHS04 wants to avoid for some reason — maybe they didn't yet have a good form of Strickland's theorem?). It was helpful in the moment, but hard to read now.

All of this rests, most importantly, on how a quotient of the Lubin–Tate universal deformation by a subgroup still gives a Lubin–Tate universal deformation. This is Section 12.3 of AHS04, and it's Section 9 of Neil's Finite Subgroups paper. (Nat says there's something to look out for in here. Watch where they say they have E_0–algebra maps versus ring maps.)

6.1 E_∞ ring spectra and their contexts

Mike has suggested looking at the paper *The K–theory localization of an unstable sphere*, by Mahowald and Thompson. In it, they manually construct a resolution of S^{2n+1} suitable for computing the unstable Adams spectral sequence for K–theory, but the resolution that they build is also exactly what you would use to compute the mapping spectral sequence for $E_\infty(K^{S^{2n-1}}, K)$. Additionally, because the unstable K–theoretic operations are exhausted by the power operations, these two spectral sequences converge to the same target.
Purely in terms of the E_∞ version, one can consider the composition of spectral sequences

$$\mathrm{Ext}_{\mathbb{Z}[\theta]}(\mathbb{Z}, \mathrm{Der}_{K_*-alg}(K^*X, K^*)) \Rightarrow \mathrm{Der}_{K_*-Dyer-Lashof-alg}(K^*X, K^*) \Rightarrow E_\infty(\widehat{S^0}^X, K_p^\wedge)$$

and

$$E_\infty(\widehat{S^0}^X, K_p^\wedge)^{h\mathbb{Z}_p^*} = E_\infty(\widehat{S^0}^X, \widehat{S^0})$$

where the first spectral sequence is a composition spectral sequence for derivations in K_*–algebras and then derivations respecting the Mandell's θ–operation. If X is an odd sphere, then K^*X has no derivations and this composite spectral sequence collapses, making the composition possible.
This is also related to recent work of Behrens–Rezk on the Bousfield–Kuhn functor...

Another unpublished theorem of Hopkins and Lurie is that the natural map $Y = F(*, Y) \to E_\infty(E_n^Y, E_n)$ is an equivalence when Y is a finite Postnikov tower in the range of degrees that E_n can see.

6.2 Subgroups and level structures

Here's a definition of an isogeny. Weierstrass preparation can be phrased as saying that a Weierstrass map is a coordinate change and a standard isogeny.

Definition 6.2.1. Take C and D to be formal curves over X. A map $f : C \to D$ is an *isogeny* when the induced map $C \to C \times_X D$ exhibits C as a divisor on $C \times_X D$ as D–schemes.

In fact, every map in positive characteristic can be factored as a coordinate change and an isogeny, which is a weak form of preparation.

Lubin's finite quotients of formal groups. (Interaction with the Lubin–Tate moduli problem? Or does this belong in the next day?)

Write out isogenies of the additive formal group, note that you just get the unstable Steenrod algebra again. This is a remarkable accident.

Push and pull maps for divisor schemes

Moduli of subgroup divisors

The Drinfel'd moduli ring, level structures

—-

Lemma 6.2.2. *The following conditions on a homomorphism*

$$\varphi : \Lambda_r^* \to F[p^r](R)$$

are equivalent:

1. *For all $\alpha \neq 0$ in Λ_r^*, $\varphi(\alpha)$ is a unit (resp., not a zero-divisor).*

2. *The Hopf algebra homomorphism*

$$R[\![x]\!]/[p^r](x) \to R^{\Lambda_r^*}$$

   is an isomorphism (resp., a monomorphism).   □

Lemma 6.2.3. *Let $\mathcal{L}_r(R)$ be the set of all group homomorphism*

$$\varphi : \Lambda_r^* \to F[p^r](R)$$

satisfying either of the conditions 1 or 2 above. This functor is representable by a ring

$$L_r(E^*) := S^{-1}E^*(B\Lambda_r)$$

that is finite and faithfully flat over $p^{-1}E^*$. (Here S is generated by the $\varphi(\alpha)$ with $\alpha \neq 0$, $\varphi : \Lambda_r^* \to F[p^r](E^*B\Lambda_r)$ the canonical map.)

—

Section 2: complete local rings

"Galois" means $R \to S$ a finite extension of integral domains has R as the fixed subring for $\mathrm{Aut}_R(S)$ and S is free over R. Galois extension of rings implies the extension of fraction fields is Galois. The converse holds for finite (finitely generated as a module) dominant (kernel of f is nilpotent) maps of smooth (regular local ring) schemes.

Section 3: basic facts about formal groups

definition of height

Section 4: basic facts about divisors

Since $x -_F a \doteq x - a$, you can treat the divisor $[a]$ (defined in a coordinate by the ideal sheaf generated by $x - x(a)$) as generated just by $x - a$.

Lemma 6.2.4. *Let D and D' be two divisors on $\widehat{\mathbb{G}}$ over X. There is then a closed subscheme $Y \leq X$ such that for any map $a : Z \to X$ we have $a^*D \leq a^*D'$ if and only if a factors through Y.* □

Section 5: quotient by a finite sbgp is again a fml gp

Definition 6.2.5. A *finite subgroup* of $\widehat{\mathbb{G}}$ will mean a divisor K on $\widehat{\mathbb{G}}$ which is also a subgroup scheme. Let $\mathcal{O}_{\widehat{\mathbb{G}}/K}$ be the equalizer

$$\mathcal{O}_{\widehat{\mathbb{G}}/K} \longrightarrow \mathcal{O}_{\widehat{\mathbb{G}}} \xrightarrow[\pi^*]{\mu^*} \mathcal{O}_K \otimes_{\mathcal{O}_X} \mathcal{O}_{\widehat{\mathbb{G}}}.$$

Lemma 6.2.6. *Write $y = N_\pi \mu^* x \in \mathcal{O}_{\widehat{\mathbb{G}}}.$[1] Then $y \equiv x^{p^m} \pmod{\mathfrak{m}_X}$ and $\mathcal{O}_{\widehat{\mathbb{G}}/K} = \mathcal{O}_X[\![y]\!]$. Moreover, the projection $\widehat{\mathbb{G}} \to \widehat{\mathbb{G}}/K$ is the categorical cokernel of $K \to \widehat{\mathbb{G}}$. This all commutes with base change: given $f : Y \to X$ we have $f^*\widehat{\mathbb{G}}/f^*K = f^*(\widehat{\mathbb{G}}/K)$.* □

Section 6: coordinate-free lubin-tate theory

nothing you haven't already seen. in fact, most of it is done in coordinates, with only passing reference to the decoordinatization.

Section 7: level–A structures: smooth, finite, flat

As discussed long ago, for finite abelian p–groups there's a scheme

$$\underline{\mathsf{FormalGroups}}(A, \widehat{\mathbb{G}})(Y) = \underline{\mathsf{Groups}}(A, \widehat{\mathbb{G}}(Y)).$$

If $\widehat{\mathbb{G}}$ were a discrete group, we could decompose this as

$$\text{"}\underline{\mathsf{FormalGroups}}(A, \widehat{\mathbb{G}}) = \coprod_{B \leq A} \mathrm{Mono}(A/B, \widehat{\mathbb{G}})\text{"}$$

along the different kernel types of homomorphisms, but Mono does not exist as a scheme. Le

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[1] Remember that if $f : X \to Y$ is a finite flat map, then $N_f : \mathcal{O}_X \to \mathcal{O}_Y$ is the nonadditive map sending u to the determinant of multiplication by u, considered as an $\mathcal{O}_Y$–linear endomorphism of $\mathcal{O}_X$.

structures approximate this as best one can be approximating $\widehat{G}$ by something essentially discrete: an étale group scheme.

For a map $\varphi : A \to \widehat{G}(Y)$, we write $[\varphi A] = \sum_{a \in A}[\varphi(a)]$. We also write $\Lambda = (\mathbb{Q}_p/\mathbb{Z}_p)^n$, so that $\Lambda[p^m] = (\mathbb{Z}/p^m)^{\times n}$. Note

$$|\mathsf{AbelianGroups}(A, \Lambda)| = |A|^n = \mathrm{rank}\left(\underline{\mathsf{FormalGroups}}(A, \widehat{G}) \to X\right).$$

Definition 6.2.7. A *level–A structure* on $\widehat{G}$ over an X–scheme Y is a map $\varphi : A \to \widehat{G}(Y)$ such that $[\varphi A[p]] \leq G[p]$ as divisors. A *level–m structure* means a level–$\Lambda[p^m]$ structure.

Lemma 6.2.8. *The functor from schemes over X to sets given by*

$$Y \mapsto \{\text{level–A structures on } \widehat{G} \text{ over } Y\}$$

is represented by a finite flat scheme $\mathrm{Level}(A, \widehat{G})$ *over X. It is contravariantly functorial for monomorphisms of abelian groups. Also, if $\varphi : A \to \widehat{G}$ is a level structure then $[\varphi A]$ is a subgroup divisor and $[\varphi A[p^k]] < \widehat{G}[p^k]$ for all k. In fact, if $A = \Lambda[p^m]$ then $[\varphi A] = \widehat{G}[p^m]$.* $\square$

In Section 26 of FPFP Neil says there's a decomposition into irreducible components

$$\mathrm{Hom}(A, \widehat{G}) = \mathrm{Hom}(A, \widehat{G}_{\mathrm{red}}) = \bigcup_B \mathrm{Level}(A/B, \widehat{G})$$

and this $\bigcup$ turns into a $\coprod$ after inverting p. He also mentions this as motivation in Finite Subgroups, but he doesn't appear to prove it?

Section 8: maps among level–A schemes, their Galois behavior

Theorem 6.2.9. *Let A, B be finite abelian p–groups of rank at most n, and let $u : A \to B$ be a monomorphism. Then:*

1.
$$\mathrm{FormalSchemes}_X(\mathrm{Level}(B, \widehat{G}), \mathrm{Level}(A, \widehat{G})) = \mathrm{Mono}(A, B).$$

2. *Such homomorphisms are detected by the behavior at the generic point.*

3. *The map $u^! : \mathrm{Level}(B, \widehat{G}) \to \mathrm{Level}(A, \widehat{G})$ is finite and flat.*

4. *If $B \simeq \Lambda[p^m]$, then $u^!$ is a Galois covering.*

5. *The torsion subgroup of $\widehat{G}(\mathrm{Level}(A, \widehat{G}))$ is A.* $\square$

Section 9: epimorphisms of groups become maps of level schemes, quotients by level structures

Let $\widehat{G}_0$ be a formal group of height n over $X_0 = \mathrm{Spec}\,\kappa$. For every m, the divisor $p^m[0]$ is a subgroup of $\widehat{G}_0$. We write $\widehat{G}_0\langle p^m \rangle$ for the quotient group $\widehat{G}_0/p^m[0]$ and $\widehat{G}\langle m \rangle \to X\langle m \rangle$ for the universal deformation of $\widehat{G}_0\langle m \rangle \to X_0$. Note that $\widehat{G}_0[p] = p^n[0]$, which induces isomorphisms $\widehat{G}_0\langle m + n \rangle \to \widehat{G}_0\langle m \rangle$, and we use this to make as many identifications as we can.

Lemma 6.2.10. *Let $u : A \to B$ be an epimorphism of abelian p–groups wit kernel $|\ker(u)| = p^\ell$.
Then u induces a map*

$$u_! : \text{Level}(A, \widehat{G}\langle m \rangle) \to \text{Level}(B, \widehat{G}\langle m + \ell \rangle).$$

Also, if $A = \Lambda[p^m]$, then $u_!$ is a Galois covering with Galois group

$$\Gamma = \{\alpha \in \text{Aut}(A) \mid u\alpha = u\}. \quad \square$$

Corollary 6.2.11. *In particular, the map $A \to 0$ induces a map*

$$0_! : \text{Level}(A, \widehat{G}\langle m \rangle) \to \text{Level}(0, \widehat{G}\langle m + \ell \rangle) = X\langle m + \ell \rangle$$

*which extracts quotient formal groups from level structures. In the case $A = \Lambda[p^\ell]$, $0_!$ is just the
projection $0^!$.* $\square$

Section 10: moduli of subgroup schemes

Theorem 6.2.12. *The functor*

$$Y \mapsto \{\text{subgroups of } \widehat{G} \times_X Y \text{ of degree } p^m\}$$

*is represented by a finite flat scheme $\text{Sub}_{p^m}(\widehat{G})$ over X of degree $|\text{Sub}_{p^m}(\Lambda)|$. The formation
commutes with base change.* $\square$

We can at least give the construction: let D be the universal divisor defined over $Y = \text{Div}_{p^m}(\widehat{G})$ with equation $f_D(x) = \sum_{k=0}^{p^m} c_k x^k$. There are unique elements $a_{ij} \in \mathcal{O}_Y$ such that

$$f(x +_F y) = \sum_{i,j=0}^{p^m-1} a_{ij} x^i y^j \pmod{f(x), f(y)}.$$

Define

$$\text{Sub}_{p^m}(\widehat{G}) = \text{Spf}\, \mathcal{O}_Y / (c_0, a_{ij} \mid 0 \le i, j < p^m).$$

Finiteness, flatness, and rank counting are what take real work, starting with an arithmetic fracture square.

Section 13: deformation theory of isogenies

Definition 6.2.13. Suppose we have a morphism of formal groups

$$\begin{array}{ccc}
\widehat{G}_0 & \xrightarrow{q_0} & \widehat{G}'_0 \\
\downarrow & & \downarrow \\
X_0 & \xrightarrow{f_0} & X'_0
\end{array}$$

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such that the induced map $\widehat{\mathbb{G}}_0 \to f_0^* \widehat{\mathbb{G}}_0'$ is an isogeny of degree p^m. By a deformation of q_0 we mean a prism

$$
\begin{array}{ccccc}
\mathbb{H} & \longleftarrow & \mathbb{H}_0 & \longrightarrow & \widehat{\mathbb{G}}_0 \\
\downarrow & \searrow{\scriptstyle q} & \downarrow & \searrow & \downarrow{\scriptstyle q_0} \\
& \mathbb{H}' & \longleftarrow & \mathbb{H}_0' & \longrightarrow & \widehat{\mathbb{G}}_0' \\
Y & \longleftarrow & Y_0 & \longrightarrow & X_0 \\
\downarrow & \searrow{\scriptstyle 1} & \downarrow & \searrow{\scriptstyle 1} & \downarrow{\scriptstyle f_0} \\
& Y & \longleftarrow & Y_0 & \longrightarrow & X_0',
\end{array}
$$

where the middle face is the pullback of the left face, the back-right and front-right faces are pullbacks, so that q is also an isogeny of degree p^m.

Let $\widehat{\mathbb{G}}/X$ be the universal deformation of $\widehat{\mathbb{G}}_0$, let $a : \mathrm{Sub}_{p^m}(\widehat{\mathbb{G}}) \to X$ be the usual projection, and let $K < a^*\widehat{\mathbb{G}}$ be the universal example of a subgroup of degree p^m. As $\mathrm{Sub}_{p^m}(\widehat{\mathbb{G}})$ is a closed subscheme of $\mathrm{Div}_{p^m}(\widehat{\mathbb{G}})$ and $\mathrm{Div}_{p^m}(\widehat{\mathbb{G}})_0 = X_0$, we see that $\mathrm{Sub}_{p^m}(\widehat{\mathbb{G}})_0 = X_0$. There is a unique subgroup of order p^m of $\widehat{\mathbb{G}}_0$ defined over X_0, viz. the divisor $p^m[0] = \mathrm{Spf}\,\mathcal{O}_{\widehat{\mathbb{G}}_0}/x^{p^m}$. In particular, $K_0 = p^m[0] = \ker(q_0)$. It follows that there is a pullback diagram as shown below:

$$
\begin{array}{ccccc}
(a^*\widehat{\mathbb{G}}/K)_0 & \xrightarrow{\ \simeq\ } & \widehat{\mathbb{G}}_0/p^m[0] & \xrightarrow{\ \overline{q}_0,\simeq\ } & \widehat{\mathbb{G}}_0' \\
\downarrow & & \downarrow & & \downarrow \\
\mathrm{Sub}_{p^m}(\widehat{\mathbb{G}})_0 & \xrightarrow{\ a_0,\simeq\ } & X_0 & \xrightarrow{\ f_0,\simeq\ } & X_0'.
\end{array}
$$

We see that $a^*\widehat{\mathbb{G}} \to a^*\widehat{\mathbb{G}}/K$ is a deformation of q_0, and it is terminal in the category of such.

Now let $\widehat{\mathbb{G}}'/X'$ be the universal deformation of $\widehat{\mathbb{G}}_0'/X_0'$. The above construction also exhibits $a^*\widehat{\mathbb{G}}/K$ as a deformation of $\widehat{\mathbb{G}}_0'$, so it is classified by a map $b : \mathrm{Sub}_{p^m}(\widehat{\mathbb{G}}) \to X'$ extending the map $b_0 = f_0 \circ a_0 : \mathrm{Sub}_{p^m}(\widehat{\mathbb{G}})_0 \to X_0'$.

Theorem 6.2.14. *b is finite and flat of degree $|\mathrm{Sub}_{p^m}(\Lambda)|$.* $\qquad\square$

Cf. Matt's thesis's Prop 2.5.1: Φ is a formal group over $\mathbb{F}_p$, F a lift of Φ to E_n, H a finite subgroup of $F(D_k)$, then F/H is a lift of Φ to D_k. (This is because the quotient map to F/H reduces to $t \mapsto t^{p^r}$ for some r over $\mathbb{F}_p$, which is an endomorphism of Φ, so the quotient map over the residue field doesn't do anything!) See also Prop 2.5.4, where he characterizes all isogenies of this sort as arising from this construction.

Section 14: connections to AT

Neil's *Finite Subgroups of Formal Groups* has (in addition to lots of results) a section 14 where he talks about the action of a generalized Hecke algebra on the E–theory of a space.

Let a and b be two points of X, with fibers $\widehat{\mathbb{G}}_a$ and $\widehat{\mathbb{G}}_b$, and let $q : \widehat{\mathbb{G}}_a \to \widehat{\mathbb{G}}_b$ be an isogeny. Then there's an induced map $(Z_E)_a \to (Z_E)_b$, functorial in q and natural in Z. "Certain Ext groups over this Hecke algebra form the input to spectral sequences that compute homotopy groups of spaces of maps of strictly commutative ring spectra, for example." **This sounds like the beginning of an answer to my context question.**

Section 11: flags of controlled rank ascending to $\widehat{\mathbb{G}}[p]$ and a map $\text{Level}(1, \widehat{\mathbb{G}}) \to \text{Flag}(\lambda, \widehat{\mathbb{G}})$. Section 12: the orbit scheme $\text{Type}(A, \widehat{\mathbb{G}}) = \text{Level}(A, \widehat{\mathbb{G}}) / \text{Aut}(A)$: smooth, finite, flat Section 15: formulas for computation Section 16: examples

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Theorem 6.2.15. *Let R be a complete local domain with positive residue characteristic p, and let F be a formal group of finite height d over R. If $\mathcal{O}$ is the ring of integers in the algebraic closure of the fraction field of R, then $F(\mathcal{O})[p^k] \cong (\mathbb{Z}/p^k)^d$ and $F(\mathcal{O})_{\text{tors}} \cong (\mathbb{Q}_p/\mathbb{Z}_p)^d$.* $\square$

Cite me: See Theorem 2.4.1 of Ando's thesis, though he just cites other people.

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Section 20 of FPFP is about "full sets of points" and the comparison with the cohomology of the flag variety of a vector bundle.

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Talk with Nat:

- Definitions in terms of divisors.

- Equalizer diagram for quotients by finite subgroups.

- The image of a level structure ℓ is a subgroup divisor.

- The schemes classifying subgroups and level structures (which are hard and easy respectively, and which have hard and easy connections to topology respectively).

- It's easy to give explicit examples of the behavior of level structures based on cyclic groups.

- Galois actions on the rings of level structures.

6.3 The Drinfel'd ring and the universal level structure

Talk with Nat:

- Recall the Lubin–Tate moduli problem.

- Show that quotients of deformations by finite subgroups give deformations again.

- Define the Drinfel'd ring.

- As an E^0–algebra, it carries the universal level structure.

- As an ind–(complete local ring), it corepresents deformations (by precomposition with the map $E^0 \to D_n$) *equipped with level structures.*

- Describe the action by $GL_n(\mathbb{Z}_p)$. (Hint at the action by $M_{n \times n}(\mathbb{Z}_p)$ with $\det \neq 0$.)

- Describe the isogenies pile and its relation to all this? (This doesn't really fit precisely, but it may be good to put here, on an algebraic day.)

6.4 Descending coordinates along level structures

Ando's Theorem 3.4.4: Let D_j be the ring extension of E_n which trivializes the p^j–torsion subgroup of $\widehat{\mathbb{G}}_{E_n}$. Let H be a finite subgroup of $\widehat{\mathbb{G}}_{E_n}(D_k)$. There is an unstable transformation of ring-valued functors

$$E_n X \xrightarrow{\Psi^H} D_j \otimes E_n X,$$

and if F is an Ando coordinate then for any line bundle $\mathcal{L} \to X$ there is a formula

$$\psi^H(e\mathcal{L}) = \prod_{h \in H} (h +_F e\mathcal{L}) \in D_j \otimes E_n(X).$$

D_j is Galois over E_n with Galois group $GL_n(\mathbb{Z}/p^j)$. If ρ is a collection of finite subgroups weighted by elements of E_n which is stable under the action of the Galois group, then Ψ^ρ descends to take values in just E_n. (For example, the entire subgroup has this property.)

This is built by a character map. Take $H \subseteq F(D_j)[p^j]$ to be a finite subgroup again; then there is a map

$$\chi^H : E_n(D_{H^*}X) \to D_j \otimes E_n(X),$$

where D_{H^*} denotes the extended power construction on X using the Pontryagin dual of H. This composes to give an operation

$$Q^H : MU^{2*}(X) \xrightarrow{P_{H^*}} MU^{2|H|*}(D_{H^*}X) \to E_n^{2|H|*}(D_{H^*}X) \xrightarrow{\chi^H} D_j \otimes E_n^{2|H|*}(X).$$

Then Q^H is a ring homomorphism with effects

$$Q^H F^{MU} = F/H, \qquad\qquad Q^H(e_{MU}\mathcal{L}) = \prod_{h \in H} h +_F e\mathcal{L}.$$

Then we need to factor $Q^H : MU(X) \to D_j \otimes E_n(X)$ across the orienting map $MU \to E_n$. Since E_n is Landweber flat and Q^H is a ring map, it suffices to do this for the one–point space, i.e., to construct a ring homomorphism

$$\Psi^H : E_n \to D_j$$

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so that $\Psi^H = \Psi^H(*) \otimes Q^H$. The first condition above then translates to $\Psi^H F^{MU} = F/H$.

Theorem 6.4.1. *For each $\star$–isomorphism class of lift F of Φ to E_n, there is a unique choice of coordinate x on F, lifting the preferred coordinate on Φ, such that $\alpha_*^H F_x = F_x/H$, or equivalently that $l_H^x = f_H^x$, for all finite subgroups H. (These morphisms are arranged in the following diagram:)*

$$
\begin{array}{ccccc}
& & \overset{\displaystyle l_H^x}{\overgroup{}} & & \\
F_x & \xrightarrow{\ f_H^x\ } & F_x/H & \xrightarrow{\ g_H^x\ } & \alpha_*^H F_x \\
x\uparrow & & x_H\uparrow & & \alpha_* x\uparrow \\
F & \xrightarrow{} & F/H & \xrightarrow{\ g_H\ } & \alpha_*^H F,
\end{array}
$$

where $\alpha_H : E_n \to D_k$ is the unique ring homomorphism such that there is a $\star$–isomorphism $g_H : F/H \to \alpha_*^H F$. $\square$

> Section 2.7 of Matt's thesis works the example of a normalized coordinate for $\hat{\mathbb{G}}_m$. It's *not* the p–typical coordinate. It *is* the standard one! Cool.

Lemma 6.4.2. $P_r(x+y) = \sum_{j=0}^{r} \mathrm{Tr}_{j,r}^{MU} d^*(P_j x \times P_{r-j} y).$

> This expresses the non-additivity of the power operations on MU. It's apparently needed in the proof that Q^H acts as it should on Euler classes. It involves transfer formulas, which may mean we need to work that section of HKR into that day.

Proof. Represent x and y by maps

$$
U \xrightarrow{\ f\ } X, \qquad\qquad V \xrightarrow{\ g\ } Y.
$$

Then $P_r(x+y)$ is represented by

$$
D_r(U \sqcup V) \xrightarrow{\ D_r(f \sqcup g)\ } D_r X.
$$

There is a decomposition

$$
D_r(U \sqcup V) = \coprod_{j=0}^{r} E\Sigma_r \times_{\Sigma_j \times \Sigma_{r-j}} (U^j \times V^{r-j}),
$$

and on the j factor the map $D_r(f \sqcup g)$ restricts to

$$
\begin{array}{ccc}
E\Sigma_r \times_{\Sigma_j \times \Sigma_{r-j}} (U^j \times V^{r-j}) & \xrightarrow{\ E\Sigma_r \times_{\Sigma_j \times \Sigma_{r-j}} (f^j \times g^{r-j})\ } & E\Sigma_r \times_{\Sigma_j \times \Sigma_{r-j}} X^r \\
\downarrow & & \downarrow \\
D_r(U \sqcup V) & \xrightarrow{\ D_r(f \sqcup g)\ } & D_r X,
\end{array}
$$

where the vertical maps are projections. The counterclockwise composite represents the j summand of $P_r(x+y)$ coming from the decomposition above; the clockwise composite represents the class $\mathrm{Tr}_{j,r}^{MU} d^*(P_j x \times P_{r-j} y)$. $\qquad\square$

Lemma 6.4.3. *Write $\Delta : B\pi \times X \to D_\pi X$ and let $\mathcal{L}$ be a complex line bundle on X.*

$$\Delta^* P_\pi(e\mathcal{L}) = \prod_{u \in \pi^*} \left(e \left(\begin{array}{c} E\pi \times_u \mathbb{C} \\ \downarrow \\ B\pi \end{array} \right) +_{MU} e(\mathcal{L}) \right).$$

Now, suppose that we pass down to the kth Drinfel'd ring, so that the p^k–torsion in the formal group is presented as a discrete group $\Lambda^*[p^k]$. Pick such a subgroup $H \subseteq \Lambda^*[p^k]$ with $|H| = r$, and consider also the dual map $\pi : \Lambda[p^k] \to H^*$. We define the character map associated to H to be the composite

$$\chi^H : E_n(D_{H^*}X) \xrightarrow{\Delta^*} E_n(BH^*) \otimes_{E_n} E_n(X) \xrightarrow{\chi_\pi \otimes 1} D_k \otimes_{E_n} E_n(X) =: D_k(X).$$

This definition is set up so that

$$\chi^H \left(e \left(\begin{array}{c} EH^* \times_u \mathbb{C} \\ \downarrow \\ BH^* \end{array} \right) \right).$$

In the presence of a coordinate x, this sews together to give a cohomology operation:

$$\begin{aligned} Q^H : MU^{2*}(X) &\xrightarrow{P_G^{MU}} MU^{2r*}(D_{H^*}X) \\ &\xrightarrow{\Delta^*} MU^{2r*}(BH^* \times X) \\ &\xrightarrow{t_x} E_n(BH^* \times X) \\ &\xrightarrow{\simeq} E_n BH^* \otimes_{E_n} E_n X \\ &\xrightarrow{\chi^H \otimes 1} D_k X. \end{aligned}$$

It turns out that Q^H is a ring homomorphism (cf. careful manipulation of HKR's Theorem C, which may not be worth it to write out, but it seems like the main manipulation is the last line of Proof of Theorem 3.3.8 on pg. 466), so each choice of H (and x) determines a new coordinate on D_k.

Theorem 6.4.4. *The effect of Q^H on Euler classes is*

$$Q^H e_{MU}\mathcal{L} = f^x_H e_x \mathcal{L} \in D_k(X),$$

and its effect on coefficients is

$$Q^H_* F_{MU} = F_x/H.$$

Proof. We chase through results established so far:

$$Q^H(e_{MU}\mathcal{L}) = (\chi^H \otimes 1) \circ t_x \circ \Delta^* \circ P_G^{MU}(e_{MU}\mathcal{L})$$

$$= (\chi^H \otimes 1) \circ t_x \left(\prod_{u \in H^* * = H} e_{MU} \left(\begin{array}{c} EH^* \times_u \mathbb{C} \\ \downarrow \\ BH^* \end{array} \right) +_{MU} e_{MU}\mathcal{L} \right)$$

$$= (\chi^H \otimes 1) \left(\prod_{u \in H} e_{E_n} \left(\begin{array}{c} EH^* \times_u \mathbb{C} \\ \downarrow \\ BH^* \end{array} \right) +_{F_x} e_{E_n}\mathcal{L} \right)$$

$$= \prod_{u \in H} (\varphi_{univ}(u) +_{F_x} e_{E_n}\mathcal{L}) = f^x_H(e_{E_n}\mathcal{L}).$$

Then, "since D_k is a domain, F_x/H is completely determined by the functional equation"

$$f^x_H(F_x(t_1, t_2)) = F_x/H(f^x_H(t_1, f^x_H(t_2))).$$

Take t_1 and t_2 to be the Euler classes of the two tautological bundles $\mathcal{L}_1$ and $\mathcal{L}_2$ over $\mathbb{CP}^\infty \times \mathbb{CP}^\infty$, so that

$$Q^H(e_{MU}\mathcal{L}_1 +_{MU} e_{MU}\mathcal{L}_2) = Q^H \left(e_{MU} \left(\begin{array}{c} \mathcal{L}_1 \otimes \mathcal{L}_2 \\ \downarrow \\ \mathbb{CP}^\infty \times \mathbb{CP}^\infty \end{array} \right) \right)$$

$$= f^x_H \left(e_{E_n} \left(\begin{array}{c} \mathcal{L}_1 \otimes \mathcal{L}_2 \\ \downarrow \\ \mathbb{CP}^\infty \times \mathbb{CP}^\infty \end{array} \right) \right) = f^x_H(t_1 +_{F_x} t_2).$$

On the other hand, Q^H is a ring homomorphism, so we can also split it over the sum first:

$$Q^H(e_{MU}\mathcal{L}_1 +_{MU} e_{MU}\mathcal{L}_2) = Q^H(e_{MU}\mathcal{L}_1) +_{Q^H_* F_{MU}} Q^H(e_{MU}\mathcal{L}_2)$$

$$= f^x_H(t_1) +_{Q^H_* F_{MU}} f^x_H(t_2),$$

hence $f^x_H(t_1) +_{Q^H_* F_{MU}} f^x_H(t_2) = f^x_H(t_1 +_{F_x} t_2)$ and $Q^H_* F_{MU} = F_x/H$. $\qquad\square$

Finally, we would like to produce a factorization

$$MU \xrightarrow{\Psi^H} E_n \to D_k$$

of the long natural transformation Q^H. Since E_n was built by Landweber flatness, it suffices to do this on coefficient rings, i.e., when applying the functors in the diagram to the one-point space. On a point, our calculations above show that Ψ^H exists exactly when $\alpha_*^H F_x = F_x/H$. We did this algebraic calculation earlier: given any coordinate, there is a unique coordinate P that is $\star$–isomorphic to it and through which the operations Q^H factor to give ring operations Ψ^H for all subgroups $H \subseteq \Lambda_k^* = F_P(D_k)[p^k]$. This solves the problem of giving the operations the right *source*.

Now we focus on giving the operations the right *target*. This is considerably easier. The group $\mathrm{Aut}(\Lambda_k^*)$ acts on the set of subgroups of Λ_k^*, and we define a ring Op^k by the fixed points of $\mathrm{Aut}(\Lambda_k^*)$ acting on the polynomial ring $E_n[\text{subgroups of } \Lambda_k^*]$. Note that $Op^k \subseteq Op^{k+1}$, and define $Op = \mathrm{colim}_k Op^k$, which consists of elements $\rho = \sum_{i \in I} a_i \prod_{H \in \alpha_i} H$, I a finite set, $a_i \in E_n$, and α_i are certain $\mathrm{Aut}(\Lambda_k^*)$–stable lists of subgroups of Λ_k^*, $k \gg 0$, with possible repetitions. For such a ρ, we define the associated operations

$$Q^\rho: MU^{2*}(X) \xrightarrow{\sum_{i \in I} a_i \prod_{H \in \alpha_i} Q^H} D_k(X),$$

$$\Psi^\rho: E_n(X) \xrightarrow{\sum_{i \in I} a_i \prod_{H \in \alpha_i} \Psi^H} D_k(X).$$

The theorem is that these actually land in $E_n(X)$, as they definitely land in $D_k^{\mathrm{Aut}(\Lambda_k^*)} \otimes_{E_n} E_n(X)$, and Galois descent for level structures says that left–hand factor is just E_n.

6.5   The moduli of subgroup divisors

Following... the original? Following Nat?

Continuing on from the above, if we expected E_n to be E_∞ (or even H_∞) so that it had power operations, then we would want to understand $E_n B\Sigma_{p^j}$ and match that with the operations we see.

—

There are union maps

$$B\Sigma_j \times B\Sigma_k \to B\Sigma_{j+k},$$

stable transfer maps

$$B\Sigma_{j+k} \to B\Sigma_j \times B\Sigma_k,$$

and diagonal maps

$$B\Sigma_j \to B\Sigma_j \times B\Sigma_j.$$

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These induce a coproduct ψ as well as products $\times$ and $\bullet$ on $E^0\mathbb{P}\mathbb{S}^0$, where $\mathbb{P}\mathbb{S}^0 = \coprod_{j=0}^{\infty} B\Sigma_j$ is the free E_∞–ring on $\mathbb{S}^0$. This is a Hopf ring, and under $\times$ alone it is a formal power series ring. The $\times$–indecomposables (which, I guess, are analogues of considering additive unstable cooperations) are

$$Q^\times E^0\mathbb{P}\mathbb{S}^0 = \prod_{k \geq 0} \left(E^0 B\Sigma_{p^k} / \operatorname{tr} E^0 B\Sigma_{p^{k-1}}^p \right),$$

where the kth factor in the product is naturally isomorphic to $\mathcal{O}_{\operatorname{Sub}_{p^k}(\widehat{\mathbb{G}})}$. The primitives are also accessible as the kernel of the dual restriction map.

Theorem 3.2 shows that $E^0 B\Sigma_k$ is free over E^0, Noetherian, and of rank controlled by generalized binomial coefficients. Prop 3.4 is the only place where work gets done, and it's all in terms of K–theory and HKR characters.

There's actually an extra coproduct, coming from applying D to the fold map $\mathbb{S}^0 \vee \mathbb{S}^0 \to \mathbb{S}^0$.

The main content of Prop 5.1 (due to Kashiwabara) is that $K_0\mathbb{P}\mathbb{S}^0$ injects into $K_0\underline{BP}_0$. Grading $K_0\mathbb{P}\mathbb{S}^0$ using the k–index in $B\Sigma_k$, you can see that it's of graded finite type, so we need only know it has no nilpotent elements to see that $K_0\mathbb{P}\mathbb{S}^0$ is $*$–polynomial. This follows from our computation that $K_0\underline{BP}_0$ is a tensor of power series and Laurent series rings. Corollary 5.2 is about K_0QS^0, which is the group completion of $K_0\mathbb{P}\mathbb{S}^0$, so it's the tensor of $K_0\mathbb{P}\mathbb{S}^0$ with a graded field.

Prop 5.6, using a double bar spectral sequence method, shows that K^0QS^2 is a formal power series algebra. Tracking the spectral sequences through, you'll find that $Q^\times K^0QS^0$ agrees with PK^0QS^2. (You'll also notice that K^0QS^2 only has one product on it, cf. Remark 5.4.)

Snaith's theorem says $\Sigma^\infty QX = \Sigma^\infty \mathbb{P}X$ for connected spaces X. You can also see (just after Theorem 6.2) the nice equivalences

$$\mathbb{P}_k S^2 \simeq B\Sigma_k^{V_k} \simeq \mathbb{P}_k(S^0)^{V_k},$$

where superscript denotes Thom complex. So, for a complex-orientable cohomology theory, you can learn about $\mathbb{P}_k S^0$ from $\mathbb{P}_k S^2$. In particular, we finally learn that $E^0\mathbb{P}\mathbb{S}^0$ is a formal power series $\times$–algebra (once checking that the Thom isomorphism is a ring map). (We already knew the homological version of this claim.)

Section 8 has a nice discussion about indecomposables and primitives, to help move back and forth between homology and cohomology. It probably helps most with the dimension count argument below that we aren't going to get into.

Start again with $D_{p^k} S^2 \simeq B\Sigma_{p^k}^{V_{p^k}}$. We can associate to this a divisor $\mathbb{D}(V_{p^k})$ on $(B\Sigma_{p^k})_E$, which we know little about, but it is classified by a map to $\operatorname{Div}_{p^k} \mathbb{CP}^\infty_E$. This receives a closed inclusion from $\operatorname{Sub}_{p^k} \mathbb{CP}^\infty_E$, so their pullback Z_k is the largest subscheme of $(B\Sigma_{p^k})_E$ over which $\mathbb{D}(V_{p^k})$ is a subgroup divisor.

$$
\begin{array}{ccc}
H_k & \longrightarrow & \mathbb{D}(V_{p^k}) \\
\downarrow & & \\
 & Z_k \longrightarrow \mathrm{Sub}_{p^k}\,\mathbb{CP}^\infty_E & \\
\downarrow \nearrow & & \\
\mathrm{Spf}\,E^0 B\Sigma_{p^k}/\mathrm{tr} \longrightarrow (B\Sigma_{p^k})_E \longrightarrow \mathrm{Div}_{p^k}\,\mathbb{CP}^\infty_E
\end{array}
$$

We will show the existence of the dashed map, implying that the restricted divisor H_k is a subgroup divisor on $Y_k = \mathrm{Spf}\,E^0 B\Sigma_{p^k}/\mathrm{tr}$.

(Prop 9.1:) This proof falls into two parts: first we construct a family of maps to $(B\Sigma_{p^k})_E$ on whose image $\mathbb{D}(V_{p^k})$ restricts to a subgroup divisor, and then we show that the union of their images is exactly Y_k. Let A be an abelian p–subgroup of Σ_{p^k} that acts transitively on $\{1,\ldots,p^k\}$ (i.e., it is not boosted from some transfer). The restriction of V_{p^k} to A is the regular representation, which splits as a sum of characters $V_{p^k}|_A = \bigoplus_{\mathcal{L} \in A^*} \mathcal{L}$. Identifying $BA_E = \underline{\mathsf{FormalGroups}}(A^*, \mathbb{CP}^\infty_E)$, $\mathbb{D}(V_{p^k})$ restricts all the way to $\sum_{\mathcal{L} \in A^*}[\varphi(\mathcal{L})]$, with $\varphi : A^* \to \text{“}\Gamma(\mathrm{Hom}(A^*, \widehat{G}), \widehat{G})\text{”}$. In Finite Subgroups of Formal Groups (see Props 22 and 32), we learned that the restriction of $\mathbb{D}(V_{p^k})$ further to $\mathrm{Level}(A^*, \mathbb{CP}^\infty_E)$ is a subgroup divisor. So, our collection of maps are those of the form

$$\mathrm{Level}(A^*, \mathbb{CP}^\infty_E) \to \underline{\mathsf{FormalGroups}}(A^*, \mathbb{CP}^\infty_E) = BA_E \to (B\Sigma_{p^k})_E.$$

Here, finally, is where we have to do some real work involving Chern classes and commutative algebra, so I'm inclined to skip it in the lectures. Finally, you do a dimension count to see that Z_k and $\mathrm{Spf}\,E^0 B\Sigma_{p^k}/\mathrm{tr}$ have the same dimension (which requires checking enough commutative algebra to see that "dimension" even makes sense), and so you show the map is injective and you're done.

———

Here's Neil's proof of the joint images claim. It seems like a clear enough use of character theory that we should include it, if we can make character theory itself clear.

Recall from [18, Theorem 23] that $\mathrm{Level}(A^*, \widehat{G})$ is a smooth scheme, and thus that $D(A) = \mathcal{O}_{\mathrm{Level}(A^*, \widehat{G})}$ is an integral domain. Using [18, Proposition 26], we see that when $\mathcal{L} \in A^*$ is nontrivial, we have $\varphi(\mathcal{L}) \neq 0$ as sections of $\widehat{G}$ over $\mathrm{Level}(A^*, \widehat{G})$, and thus $e(\mathcal{L}) = x(\varphi(\mathcal{L})) \neq 0$ in $D(A)$. It follows that that $c_{p^k} = \prod_{\mathcal{L} \neq 1} e(\mathcal{L})$ is not a zero-divisor in $D(A)$. On the other hand, if A' is an Abelian p-subgroup of Σ_{p^k} which does not act transitively on $\{1, \ldots, p^k\}$, then the restriction of $V_{p^k}1$ to A' has a trivial summand, and thus c_{p^k} maps to zero in $D(A')$. Next, we recall the version of generalised character theory described in [8, Appendix A].

$$p^{-1}E^0 BG = \left(\prod_A p^{-1} D(A) \right)^G$$

where A runs over all Abelian p-subgroups of G. As $\overline{R}_k = E^0(B\Sigma_{p^k})/ann(c_{p^k})$ and every-thing in sight is torsion-free, we see that $p^1\overline{R}_k$ is the quotient of $p^1E^0B\Sigma_{p^k}$ by the annihi-lator of the image of c_{p^k}. Using our analysis of the images of c_{p^k} in the rings $D(A)$, we conclude that

$$p^{-1}\overline{R}_k = \left(\prod_A p^1 D(A)\right)^{\Sigma_{p^k}},$$

where the product is now over all transitive Abelian p-subgroups. This implies that for such A, the map $E^0B\Sigma_{p^k} \to D(A)$ factors through $\overline{R}_k$, and that the resulting maps $\overline{R}_k \to D(A)$ are jointly injective. This means that $Y_k = \operatorname{Spf}\overline{R}_k$ is the union of the images of the corresponding schemes $\operatorname{Level}(A^*, \widehat{G})$, as required.

6.6   Interaction with Θ–structures

The Ando–Hopkins–Strickland result that the σ–orientation is an H_∞–map

   The main classical point is that an $MU\langle 0\rangle$–orientation is H_∞ when the following dia-gram commutes for every choice of A:

$$
\begin{array}{ccc}
(BA^* \times \mathbb{CP}^\infty)^{V_{reg}\otimes\mathcal{L}} \longrightarrow & D_nMU\langle 0\rangle \longrightarrow & D_nE \\
\downarrow & & \downarrow \\
& MU\langle 0\rangle \longrightarrow & E
\end{array}
$$

(This is equivalent to the condition given in the section on Matt's thesis. In fact, maybe I should try writing this so that Matt's thesis uses the same language?) If you write out what this means, you'll see that a given coordinate on E pulls back to give two elements in the E–cohomology of that Thom spectrum (or: sections of the Thom sheaf), and the orientation is H_∞ when they coincide.

   Similarly, an $MU\langle 6\rangle$–orientation corresponds to a section of the sheaf of cubical struc-tures on a certain Thom sheaf. Using the H_∞ structures on $MU\langle 6\rangle$ and on E give two sections of the pulled back sheaf of cubical structures, and the H_∞ condition is that they agree for all choices of group A.

   Then you also need to check that the σ–orientation actually satisfies this.

> The AHS document really restrictions attention to E_2. Is there a version of this story that gives non-supersingular orientations too, or even the K_{Tate} orientation? I can't tell if the restriction in AHS's exposition comes from not knowing that K_{Tate} has an E_∞ structure or if it comes from a restriction on the formal group. (At one point it looks like they only need to know that p is regular on $\pi_0 E$, cf. 16.5...)

   Section 3.1: Intrinsic description of the isogenies story for an H_∞ *complex orientable* ring spectrum, without mention of a specific orientation / coordinate. This is nice: it means that a complex orientation has to be a coordinate which is compatible with the descent picture already extant on the level of formal groups, which is indeed the conclusion of Matt's thesis.

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Section 3.2: They define an abelian group indexed extended power construction

$$D_A(X) = \mathcal{L}(U^{A^*}, U) \wedge_{A^*} X^{(A^*)},$$

where $\mathcal{L}(U^{A^*}, U)$ is the space of linear isometries from the $A^{*\text{th}}$ power of a universe U down to itself. Yuck. Then, given a level structure $(i \colon \operatorname{Spf} R \to S_E, \ell \colon A_{\operatorname{Spf} R} \to i^*\widehat{G})$, they construct a map

$$\psi_\ell^E \colon \pi_0 E \xrightarrow{D_A} \pi_0 \operatorname{Spectra}(D_A S^0, E) = \pi_0 E^{BA_+^*} \to \mathcal{O}((BA^*)_E) \xrightarrow{\chi_\ell} R,$$

where χ_ℓ is the map classifying the homomorphism ℓ. This is a continuous map of rings: it's clearly multiplicative, it's additive up to transfers (but those vanish for an abelian group), and it's continuous by an argument in Lemma 3.10. (You don't actually need an abelian group here; you can work in the scheme of subgroups — i.e., in the cohomology of $B\Sigma_k$ modulo transfers — and this will still work.) This construction is natural in H_∞ maps $f \colon E \to F$:

begetting the relative map $\psi_\ell^{F/E} \colon i^*S_F \to (\psi_\ell^E)^*S_F$ as indicated. For example, take $F = E^{\mathbb{CP}_+^\infty}$, so that $\widehat{G} = S_F$, giving the (group) map

$$\psi_\ell^{\widehat{G}/E} \colon i^*\widehat{G} \to (\psi_\ell^E)^*\widehat{G}.$$

One of the immediate goals is to show that this is an isogeny. A different construction we can do is take V to be a virtual bundle over X and set $F = E^{X_+}$. Given $m \in \pi_0 \operatorname{Spectra}(X^V, E)$ applying the construction of D_A above gives an element

$$\psi_\ell^V(m) \in R \mathop{\widehat{\otimes}}_{\chi_\ell, \hat{\pi}_0 E^{BA_+^*}} \hat{\pi}_0 \operatorname{Spectra}((BA^* \times X)^{V_{reg} \otimes V}, E).$$

This map is additive and also $\psi_\ell^V(xm) = \psi_\ell^F(x)\psi_\ell^V(m)$, so we can interpret this as a map

$$\psi_\ell^V \colon (\psi_\ell^F)^*\mathbb{L}(V) \to \chi_\ell^*\mathbb{L}(V_{reg} \otimes V)$$

of line bundles over $i^*S_F = i^*X_E$.

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Lemma 6.6.1. *The map ψ_ℓ^V has the following properties:*

1. *If m trivializes $\mathbb{L}(V)$ then $\psi_\ell^V(m)$ trivializes $\chi_\ell^* \mathbb{L}(V_{reg} \otimes V)$.*

2. *$\psi_\ell^{V_1 \oplus V_2} = \psi_\ell^{V_1} \otimes \psi_\ell^{V_2}$.*

3. *For $f \colon Y \to X$ a map, $\psi_\ell^{f^*V} = f^* \psi_\ell^V$.* $\qquad\square$

In particular, we can apply this to $X = \mathbb{CP}^\infty$ and $\mathbb{L}(\mathcal{L} - 1) = \mathcal{I}(0)$. Then 8.11 gives

$$\psi_\ell^{\mathcal{L}-1} \colon (\psi_\ell^F)^* \mathcal{I}_{\widehat{G}}(0) \to \chi_\ell^* \mathbb{L}(V_{reg} \otimes (\mathcal{L} - 1)) = \mathcal{I}_{i^*\widehat{G}}(\ell).$$

Theorem 6.6.2. *The map $\psi_\ell^{\widehat{G}/E} \colon i^*\widehat{G} \to (\psi_\ell^E)^*\widehat{G}$ of 3.15 is an isogeny with kernel $[\ell(A)]$. Using $\psi_\ell^{\widehat{G}/E}$ to make the identification*

$$(\psi_\ell^{\widehat{G}/E})^* \mathcal{I}_{(\psi_\ell^E)^*\widehat{G}}(0) \cong \mathcal{I}_{i^*\widehat{G}}(\ell),$$

the map $\psi_\ell^{\mathcal{L}-1}$ sends a coordinate x on $\widehat{G}$ to the trivialization $(\psi_\ell^{\widehat{G}/E})^*(\psi_\ell^E)^*x$ of $\mathcal{I}_{i^*\widehat{G}}(\ell)$. $\qquad\square$

3.24 might be interesting.

Theorem 6.6.3. *Let $g : MU\langle 0\rangle \to E$ be a homotopy multiplicative map, and let $s = s_g$ be the corresponding trivialization of $\mathcal{I}_{\widehat{G}}(0)$. If the map g is H_∞, then for any level structure $\ell : A \to i^*\widehat{G}$ the section s satisfies the identity*

$$N_{\psi_\ell^{\widehat{G}/E}} i^* s = (\psi_\ell^E)^* s,$$

in which the isogeny $\psi_\ell^{\widehat{G}/E}$ has been used to make the identification

$$N_{\psi_\ell^{\widehat{G}/E}} i^* \mathcal{I}_{\widehat{G}}(0) \cong \mathcal{I}_{(\psi_\ell^E)^*\widehat{G}}(0). \quad \square$$

Lemma 6.6.4. *For V a vector bundle on a space X and V_{reg} the (vector bundle over BA^* induced from) the regular representation on A, there is an isomorphism of sheaves over $(BA^* \times X)_E$*

$$\mathbb{L}(V_{reg} \otimes V) \cong \bigotimes_{a \in A} \widetilde{T}_a \mathbb{L}(V).$$

Eqn 5.4 claims to use 5.3 but seems to be using something about the behavior of the norm map on line bundles vs the translated sum of divisors appearing in 5.3.

The beginning of the proof of 6.1 appears to be a simplification of some of the descent arguments appearing in the algebraic parts of Matt's thesis's main calculations. On the other hand, I can't even read what the McClure reference in 6.1 is doing. What's Δ^*??

Lemma 6.6.5. *Take $\pi_0 E$ to be a complete local ring and $\widehat{\mathbb{G}}_E$ to be of finite height. If $B^* \subset A^*$ is a* *proper subgroup, then the following composite map of $\pi_0 E$–modules is zero:*

$$\pi_0 E^{BB^*_+} \xrightarrow{\ transfer\ } \pi_0 E^{BA^*_+} \xrightarrow{\chi_\ell} \mathcal{O}(T).$$

Proof. It suffices to consider the tautological level structure over $\text{Level}(A, \widehat{\mathbb{G}})$. We may take A to be a p–group, and indeed for now we set $A = \mathbb{Z}/p$, $B = 0$. For $t \in \pi_0 E^{\mathbb{CP}^\infty_+}$ a coordinate with formal group law F, we have

$$\pi_0 E^{BA^*_+} \cong \pi_0 E[\![t]\!]/[p]_F(t)$$

and $\tau : \pi_0 E^{BB^*_+} = \pi_0 E \to \pi_0 E^{BA^*_+}$ is given by $\tau(1) = \langle p \rangle_F(t)$, where $\langle p \rangle_F(t) = [p]_F(t)/t$ is the "reduced p–series". The result then follows from the isomorphism $\mathcal{O}(\text{Level}(\mathbb{Z}/p, \widehat{\mathbb{G}}_E)) \cong \pi_0 E[\![t]\!]/\langle p \rangle_F(t)$. The result then follows in general by induction: B^* can be taken to be a *maximal* proper subgroup of A^*, with cokernel $\mathbb{Z}/p$. $\square$

Example 6.6.6. Let $\widehat{\mathbb{G}}_m$ be the formal multiplicative group with coordinate x so that the group law is

$$x +_{\widehat{\mathbb{G}}_m} y = x + y - xy, \quad [p](x) = 1 - (1-x)^p.$$

The monomorphism $\mathbb{Z}/p \to \widehat{\mathbb{G}}_m(\mathbb{Z}[\![y]\!]/[p](y))$ given by $j \mapsto [j](y)$ becomes the zero map under the base change

$$\mathbb{Z}[\![y]\!]/[p](y) \to \mathbb{Z}/p,$$
$$y \mapsto 0.$$

Remark 6.6.7. If R is a domain of characteristic 0, then a level structure over R actually induces a monomorphism on points.

Lemma 6.6.8. *The natural map*

$$\mathcal{O}(\underline{\text{FormalGroups}}(\mathbb{Z}/p, \widehat{\mathbb{G}})) \to R \times \mathcal{O}(\text{Level}(\mathbb{Z}/p, \widehat{\mathbb{G}}))$$

is injective.

Proof. $\square$

—— Descent along level structures, simplicially (Section 11) ——

Write $\text{Level}(A) \to \text{FGps}$ for the parameter space of a formal group equipped with a level–A structure, together with its structure map (to the *coarse moduli of formal groups!!!*).

We define a sequence of schemes by: $\text{Level}_0 = \text{FGps}$, $\text{Level}_1 = \coprod_{A_0} \text{Level}(A_0)$ for finite abelian groups A_0, and most generally

$$\text{Level}_n = \coprod_{0 = A_n \subseteq \cdots \subseteq A_0} \text{Level}(A_0).$$

There are two maps $\text{Level}_1 \to \text{Level}_0$. One is the structural one, where we simply peel off the formal group and forget the level structure. The other comes from the quotient map: $\ell \colon A \to \widehat{G}$ yields a quotient isogeny $q \colon \widehat{G} \to \widehat{G}/\ell$, and we take the second map $\text{Level}_1 \to \text{Level}_0$ to send ℓ to $\widehat{G}/\ell$. Then, consider the following Lemma:

Lemma 6.6.9. *For $\ell \colon A \to \widehat{G}$ a level structure and $B \subset A$ a subgroup, the induced map* $\ell|_B \colon B \to \widehat{G}$ *is a level structure and the quotient $\widehat{G}/\ell|_B$ receives a level structure $\ell' \colon A/B \to \widehat{G}/\ell|_B$.* $\square$

This gives us enough compatibility among quotients to use the two maps above to assemble the Level_* schemes into a simplicial object. Most face maps just omit a subgroup, except for the last face map, since the zero subgroup is not permitted to be omitted. Instead, the last face map sends the string of subgroups $0 = A_n \subseteq A_{n-1} \subseteq \cdots \subseteq A_0$ and level structure $\ell \colon A_0 \to \widehat{G}$ to the quotient string $0 = A_{n-1}/A_{n-1} \subseteq \cdots \subseteq A_0/A_{n-1}$ and quotient level structure $\ell \colon A_0/A_{n-1} \to \widehat{G}/\ell|_{A_{n-1}}$. The degeneracy maps come from lengthening one of these strings by an identity inclusion.

Definition 6.6.10. Let $\widehat{G} \colon F \to \text{FGps}$ be a functor over formal groups, and define schemes $\text{Level}(A, F) = \text{Level}(A) \times_{\widehat{G}} F$ and $\text{Level}_n(F) = \text{Level}_n \times_{\widehat{G}} F$. Then, *descent data for level structures on F* is the structure of a simplicial scheme on $\text{Level}_*(F)$, together with a morphism of simplicial schemes $\text{Level}_*(F) \to \text{Level}_*$. It is enough to specify a map $d_1 \colon \text{Level}_1(F) \to F$, use that to build the simplicial scheme structure as in the above Lemma, and assert that the following square commutes:

$$
\begin{array}{ccc}
\text{Level}_1(F) & \longrightarrow & \text{Level}_1 \\
\downarrow{\scriptstyle d_1} & & \downarrow{\scriptstyle d_1} \\
F & \longrightarrow & \text{FGps.}
\end{array}
$$

Example 6.6.11. Let $\widehat{G} \colon S \to \text{FGps}$ be a formal group of finite height over a p–local formal scheme S. The functor $\text{Level}(A, \widehat{G})$ is exactly the functor defined in Section 9 (see above), and in particular it is represented by an S–scheme. The maps ψ_ℓ and f_ℓ from Definition 3.1 amount to giving a map $d_1 \colon \text{Level}_1(\widehat{G}) \to S$ and an isogeny $q \colon d_0^* \widehat{G} \to d_1^* \widehat{G}$ whose kernel on $\text{Level}(A, \widehat{G})$ is A. The other conditions on Definition 3.1 exactly ensure that $(\text{Level}_*(\widehat{G}), d_*, s_*)$ is a simplicial functor and over $\text{Level}_2(\widehat{G})$ the relevant hexagonal diagram commutes:

$$d_0^* d_0^* \widehat{\mathbb{G}}$$

$$d_1^* d_0^* \widehat{\mathbb{G}} \qquad\qquad d_0^* d_1^* \widehat{\mathbb{G}}$$

$$\downarrow d_1^* q$$

$$d_1^* d_1^* \widehat{\mathbb{G}} \qquad\qquad d_2^* d_0^* \widehat{\mathbb{G}}$$

$$d_2^* d_1^* \widehat{\mathbb{G}}.$$

with arrows $d_0^* q$, $d_2^* q$.

Example 6.6.12. We now further package this into a single object. Let $\underline{\widehat{\mathbb{G}}}$ be the functor over FGps whose value on R is the set of pullback diagrams

$$
\begin{array}{ccc}
\widehat{\mathbb{G}}' & \xrightarrow{\ f\ } & \widehat{\mathbb{G}} \\
\downarrow & & \downarrow \\
\mathrm{Spf}\, R & \xrightarrow{\ i\ } & S
\end{array}
$$

such that the map $\widehat{\mathbb{G}}' \to i^* \widehat{\mathbb{G}}$ induced by f is a homomorphism (hence isomorphism) of formal groups over $\mathrm{Spf}\, R$. For a finite abelian group A, write $\mathrm{Level}(A, \underline{\widehat{\mathbb{G}}})(R)$ for the set of diagrams

$$
\begin{array}{ccccc}
A_{\mathrm{Spf}\, R} & \xrightarrow{\ \ell\ } & \widehat{\mathbb{G}}' & \xrightarrow{\ f\ } & \widehat{\mathbb{G}} \\
& \searrow & \downarrow & & \downarrow \\
& & \mathrm{Spf}\, R & \xrightarrow{\ i\ } & S
\end{array}
$$

where the square forms a point in $\underline{\widehat{\mathbb{G}}}(R)$ and ℓ is a level–A structure. Giving a map of functors $d_1 \colon \mathrm{Level}_1(\underline{\widehat{\mathbb{G}}}) \to \underline{\widehat{\mathbb{G}}}$ making the above square commute is to give a pullback diagram

$$
\begin{array}{ccc}
\widehat{\mathbb{G}}/\ell & \longrightarrow & \widehat{\mathbb{G}} \\
\downarrow & & \downarrow \\
\mathrm{Level}_1(\underline{\widehat{\mathbb{G}}}) & \longrightarrow & S,
\end{array}
$$

or equivalently a map of formal schemes $\mathrm{Level}_1(\underline{\widehat{\mathbb{G}}}) \to S$ and an isogeny $q \colon d_0^* \widehat{\mathbb{G}} d_1^* \widehat{\mathbb{G}}$ whose kernel on $\mathrm{Level}(A, \widehat{\mathbb{G}})$ is A. Therefore, descent data for level structures on the formal group $\widehat{\mathbb{G}}$ (in the sense of Section 3) are equivalent to descent data for level structures on the functor $\underline{\widehat{\mathbb{G}}}$.

—— Section 12: Descent for level structures on Lubin–Tate groups ——

Let k be perfect of positive characteristic p, and let Γ be a formal group of finite height over k. Recall that this induces a relative Frobenius

$$
\begin{array}{ccc}
& \xrightarrow{\varphi_\Gamma} & \\
\Gamma \xrightarrow{F} \varphi_k^*\Gamma & \longrightarrow & \Gamma \\
\downarrow & \downarrow & \downarrow \\
\operatorname{Spec} k & \xrightarrow{\varphi_k} & \operatorname{Spec} k.
\end{array}
$$

The map F is an isogeny of degree p, with kernel the divisor $p \cdot [0]$. Recall also that a deformation H of Γ to T induces a map $\underline{H} \to \operatorname{Def}(\Gamma)$, and there is a universal such $\widehat{\mathbb{G}}$ over the ground scheme $S \cong \operatorname{Spf} \mathbb{W}(k)[\![u_1, \dots, u_{d-1}]\!]$ such that $\underline{\widehat{\mathbb{G}}} \to \operatorname{Def}(\Gamma)$ is an isomorphism of functors over FGps.

Now consider a point in $\operatorname{Level}(A, \operatorname{Def}\Gamma)$:

$$
\begin{array}{ccccccc}
A_T & \xrightarrow{\ell} & H & \longleftarrow & H_0 & \xrightarrow{f} & \Gamma \\
& \searrow & \downarrow & & \downarrow & & \downarrow \\
& & T & \longleftarrow & T_0 & \xrightarrow{j} & \operatorname{Spec} k.
\end{array}
$$

The level structure ℓ gives rise to a quotient isogeny $q \colon H \to H'$. Since A is sent to 0 in $\mathcal{O}_{T_0}$, there is a canonical map $\bar{q}$ fitting into the diagram

The map $\bar{q}$ combines with the rest of the maps to exhibit H' as a deformation of Γ, and hence we get a natural transformation

$$
d_1 \colon \operatorname{Level}_1(\operatorname{Def}(\Gamma)) \to \operatorname{Def}(\Gamma).
$$

Since $\varphi^r \varphi^s = \varphi^{r+s}$, this gives descent data for level structures on $\operatorname{Def}(\Gamma)$. Identifying this functor with $\underline{\widehat{\mathbb{G}}}$ using Lubin–Tate theory, we equivalently have shown the existence of descent data for level structures on $\underline{\widehat{\mathbb{G}}}$.

Incidentally, the descent data constructed here is also the descent data that would come from the structure of an E_∞–orientation on the Morava E–theory E_d, essentially because the divisor associated to the kernel of the relative Frobenius on the special fiber is forced to be $p[0]$, and everything is dictated by how the deformation theory *has* to go (and the fact that the topological operations we're studying induce deformation-theoretic-describable operations on algebra).

——Section 15: Level structures on elliptic curves, and the relation to the σ–orientation / the corresponding section of the Θ^3–sheaf——

Tyler's argument

There's an important injectivity result used by Ando and Ando–Hopkins–Strickland (though Matt blames it on Hopkins and Strickland both times) about the injectivity of a certain p^{th} power map. They cite the McClure chapter of BMMS, but McClure's proof requires finite type hypotheses on the cohomology theory involved, which Morava E–theory does not satisfy. There is a similar proof in the recent paper of Hopkins–Lawson, and so Nat and I wrote to Tyler about whether there was a common generalization of the two theorems that would give a good replacement argument. Here is his reply:

—

Here are my current thought processes, which may be a bit messy at present. Fix a space X and take $X^{(p)}$ for its smash power, as McClure does.

Let's write $M = F(\Sigma^\infty X^{(p)}, E)$ for the function spectrum which is now C_p–equivariant, and $N = F(\Sigma^\infty X, E)$. Let's assume that E has an E_∞ multiplication and that X is nice in the following sense: E^X is a wedge of copies of E (unshifted). This is satisfied when E is E–theory and X is finite type with $\mathbb{Z}_{(p)}$-homology only in even degrees.

We get two maps:
$$M^{hC_p} \to M$$

This will realize our "forgetful" map $E^*(DX) \to E^*(X^{(p)})$.

$$M^{hC_p} \to N^{hC_p}$$

This will realize the "other" map $E^*(DX) \to E^*((BC_p)_+ \wedge X)$.

We want to prove that these are jointly monomorphisms.

The assumptions on X actually imply that $E^{X^{(p)}} = (E^X)^{(p)}$ where the latter smash is taken over E. This decomposes, C_p–equivariantly, into a wedge of copies of E with trivial action and a bunch of regular representations $E[C_p]$. Since M is E–dual to this, we find that the map $M^{hC_p} \to M$ is a monomorphism on all the $E[C_p]$ components; on the E parts with trivial action it decomposes as a product of projections $E_*[\![x]\!]/[p](x) \to E_*$. The kernel of this consists of the multiples of x. So if we want to prove a monomorphism, all we have to do now is show that these multiples of x map monomorphically into the homotopy of N^{hC_p}.

I now want to consider the composite to the Tate spectra

$$M^{hC_p} \to N^{hC_p} \to N^{tC_p}$$

or equivalently

$$M^{hC_p} \to M^{tC_p} \to N^{tC_p}.$$

The first composition shows that, if we can show that this composite is a monomorphism on the multiples of x, we will be done. The second composition has, as its first map, inverting x, and it's a monomorphism on the desired classes. So we just have to check that the second map preserves that.

This has the following benefit: instead of being born out of the unstable diagonal map $X \to X^{(p)}$, the constructions

$$M^{tC_p} = F(X^{(p)}, E)^{tC_p}$$

and

$$N^{tC_p} = F(X, E)^{tC_p}$$

take cofiber sequences in (finite) X to fiber sequences of spectra. I think that this means that, instead of being functions of the unstable diagonal map on X, they are constructions that only require knowledge of the *stable* homotopy type of X. I believe in fact that, by checking the case $X = S^0$, we then find that the map $M^{tC_p} \to N^{tC_p}$ is an equivalence for any finite X, and that should hopefully be enough to buy us a monomorphism on any of the X's that we're describing.

The stability of the Tate construction comes about for the following reason.

Say we have a cofiber sequence $X \to Y \to Y/X$. Then the p–fold smash power $Y^{(p)}$ has a natural, equivariant filtration: Y is, for dumb reasons, the homotopy colimit of $(X \to Y)$, then $Y^{(p)}$ is the hocolim of a p–fold smash power of this diagram (now indexed on $\{0 \to 1\}^{\times p}$). We can filter this diagram, equivariantly, according to "distance from the initial vertex", and get an equivariant filtration of $Y^{(p)}$ whose associated graded in degree k consists of all ways to smash k copies of Y/X with $(p - k)$ copies of X in some order. In grading 0 this is $X^{(p)}$, and in grading p this is $(Y/X)^{(p)}$; in all the gradings in between you get a wedge of terms where C_p acts by permuting the wedge factors.

The Tate construction preserves C_p-equivariant cofiber sequences, and destroys anything where C_p acts by permuting wedge factors. As a result, the only parts that survive are the bottom $(X^{(p)})^{tC_p}$ and the top $((Y/X)^{(p)})^{tC_p}$ in a cofiber sequence.

The standard reference should probably be Greenlees–May's *Generalized Tate cohomology theories* but I'm not as closely familiar with the contents there. Sometimes this is called the topological Singer construction and I originally learned about it from Lunoe–Nielsen–Rognes. This talk about the stability properties is present in section 2 of DAG XIII somewhere, and possibly also in Jacob's notes on the Sullivan conjecture. Charles pointed out to me recently that these properties of the Tate construction are really why the Steenrod operations and certain power operations are stable: it's because they don't

come out of a homotopy orbit construction on a smash power, but instead out of a Tate construction.

Other stuff that goes in this chapter

Dyer–Lashof operations, the Steenrod operations, and isogenies of the formal additive group

Another augmentation to the notion of a context: working not just with E_*X but with $E_*(X \times BG)$ for finite G.

Charles's *The congruence criterion* paper codifies the Hecke algebra picture Neil is talking about, and in particular it talks about sheaves over the pile of isogenies.

If we're going to talk about that Hecke algebra, then maybe we can also talk about the period map, since one of the main points of it is that it's equivariant for that action.

Section 3.7 of Matt's thesis also seems to deal with the context question: he gives a character-theoretic description of the total power operation, which ties the behavior of the total power operation to a formula of type "decomposition into subgroups". Worth reading.

The rational story: start with a sheaf on the isogenies pile. Tensor everything with $\mathbb{Q}$. That turns this thing into a rational algebra under the Drinfel'd ring together with an equivariant action of $GL_n\mathbb{Q}_p$.

Matt's Section 4 talks about the E_∞ structure on E_n and compatibility with his power operations. It's not clear how this doesn't immediately follow from the stuff he proves in Section 3, but I think I'm just running out of stream in reading this thesis. One of the neat features of this later section is that it relies on calculations in $E_nD_\pi\underline{MU}_{2*}$, which is an interesting way to mix operations coming from instability and from an H_∞–structure. This is yet another clue about what the relevant picture of a context should look like. He often cites VIII.7 of BMMS.

Mike says that Mahowald–Thompson analyzed $L_{K(n)}\Omega S^{2n+1}$ by writing down some clever finite resolution. The resolution that they produce by hand is actually exactly what you would get if you tried to understand the mapping spectral sequence for $E_\infty(E_n^{\Omega S^{2n+1}}, E_n)$.

Mike also says that a consequence of the unpublished Hopkins–Lurie ambidexterity follow-up is that the comparison map $\mathsf{Spaces}(*, Y) \to E_\infty(E_n^Y, E_n^*)$ is an equivalence if Y is a finite Postnikov tower living in the range of degrees visible to Morava E–theory.

The final chapter of Matt's thesis has never really been published, where he investigates power operations on elliptic cohomology theories. That might belong in this chapter as an example of the techinques, since we've already defined elliptic cohomology theories.

Appendix A

Loose ends

A.1 E_∞ geometry

Example 5.7.6 is an inspiration for considering *tmf* as well.

The modularity of the *MString* orientation

E_∞ orientations by *MString*
  tmf, *TMF*, and *Tmf* in terms of $\mathcal{M}_{\mathbf{ell}}$
  Thom spectra and ∞–categories
  The Bousfield–Kuhn functor and the Rezk logarithm

A.2 Rational phenomena: character theory for Lubin–Tate spectra

There's a sufficient amount of reliance on character theory in Matt's thesis that we should talk about it. You should write that action and then backtrack here to see what you need for it.

See Morava's *Local fields* paper

Remark A.2.1. Theorem 2.6 of Greenlees–Strickland for a nice transchromatic perspective. See also work of Stapleton and Schlank–Stapleton, of course.

Flesh this out.

Theorem A.2.2. *Let E be any complex-oriented cohomology theory. Take G to be a finite group and let Ab_G be the full subcategory of the orbit category of G built out of abelian subgroups of G. Finally, let X be a finite G–CW complex. Then, each of the natural maps*

$$E^*(EG \times_G X) \to \lim_{A \in \mathsf{Ab}_G} E^*(EG \times_A X) \to \int_{A \in \mathsf{Ab}_G} E^*(BA \times X^A)$$

becomes an isomorphism after inverting the order of G. In particular, there is an isomorphism

$$\frac{1}{|G|} E^* BG \to \lim_{A \in \mathsf{Ab}_G} \frac{1}{|G|} E^* BA. \quad \square$$

This is an analogue of Artin's theorem:

Theorem A.2.3. *There is an isomorphism*

$$\frac{1}{|G|} R(G) \to \lim_{C \in \mathsf{Cyclic}_G} \frac{1}{|G|} R(C). \quad \square$$

———

HKR intro material connecting Theorem A to character theory:

Recall that classical characters for finite groups are defined in the following situation: take $L = \mathbb{Q}^{\mathrm{ab}}$ to be the smallest characteristic 0 field containing all roots of unity, and for a finite group G let $Cl(G; L)$ be the ring of class functions on G with values in L. The units in the profinite integers $\widehat{\mathbb{Z}}$ act on L as the Galois group over $\mathbb{Q}$, and since $G = \mathsf{Groups}(\widehat{\mathbb{Z}}, G)$ they also act naturally on G. Together, this gives a conjugation action on $Cl(G; L)$: for $\varphi \in \widehat{\mathbb{Z}}$, $g \in G$, and $\chi \in Cl(G; L)$, one sets

$$(\varphi \cdot \chi)(g) = \varphi(\chi(\varphi^{-1}(g))).$$

The character map is a ring homomorphism

$$\chi : R(G) \to Cl(G; L)^{\widehat{\mathbb{Z}}},$$

and this induces isomorphisms

$$\chi : L \otimes R(G) \xrightarrow{\simeq} Cl(G; L)$$

and even

$$\chi : \mathbb{Q} \otimes R(G) \xrightarrow{\simeq} Cl(G; L)^{\widehat{\mathbb{Z}}}.$$

Now take $E = E_\Gamma$ to be a Morava E–theory of finite height $d = \mathrm{ht}(\Gamma)$. Take $E^*(B\mathbb{Z}_p^d)$ to be topologized by $B(\mathbb{Z}/p^j)^d$. A character $\alpha : \mathbb{Z}_p^d \to S^1$ will induce a map $\alpha^* : E^*\mathbb{C}P^\infty \to E^* B\mathbb{Z}_p^d$. We define $L(E^*) = S^{-1}E^*(B\mathbb{Z}_p^d)$, where S is the set of images of a coordinate on $\mathbb{C}P_E^\infty$ under α^* for nonzero characters α. Note that this ring inherits an $\mathrm{Aut}(\mathbb{Z}_p^d)$ action by E^*–algebra maps.

The analogue of $Cl(G; L)$ will be $Cl_{d,p}(G; L(E^*))$, defined to be the ring of functions $\chi : G_{d,p} \to L(E^*)$ stable under G–orbits. Noting that

$$G_{d,p} = \mathrm{Hom}(\mathbb{Z}_p^d, G),$$

one sees that $\mathrm{Aut}(\mathbb{Z}_p^d)$ acts on $G_{d,p}$ and thus on $Cl_{d,p}(G; L(E^*))$ as a ring of E^*–algebra maps: given $\varphi \in \mathrm{Aut}(\mathbb{Z}_p^d)$, $\alpha \in G_{d,p}$, and $\chi \in Cl_{d,p}(G; L(E^*))$ one lets

$$(\varphi \cdot \chi)(\alpha) = \varphi(\chi(\varphi^{-1}(\alpha))).$$

Now we introduce a finite G–CW complex X. Let

$$\mathrm{Fix}_{d,p}(G, X) = \coprod_{\alpha \in \mathrm{Hom}(\mathbb{Z}_p^d, G)} X^{\mathrm{im}\,\alpha}.$$

This space has commuting actions of G and $\mathrm{Aut}(\mathbb{Z}_p^d)$. We set

$$Cl_{d,p}(G, X; L(E^*)) = L(E^*) \otimes_{E^*} E^*(\mathrm{Fix}_{d,p}(G, X))^G,$$

which is again an E^*–algebra acted on by $\mathrm{Aut}(Z_p^d)$. We define the character map "componentwise": a homomorphism $\alpha \in \mathrm{Hom}(\mathbb{Z}_p^d, G)$ induces

$$E^*(EG \times_G X) \to E^*(B\mathbb{Z}_p^d) \otimes_{E^*} E^*(X^{\mathrm{im}\,\alpha}) \to L(E^*) \otimes_{E^*} E^*(X^{\mathrm{im}\,\alpha}).$$

Taking the direct sum over α, this assembles into a map

$$\chi_{d,p}^G : E^*(EG \times_G X) \to Cl_{d,p}(G, X; L(E^*))^{\mathrm{Aut}(Z_p^d)}.$$

Theorem A.2.4. *The invariant ring is $L(E^*)^{\mathrm{Aut}(\mathbb{Z}_p^d)} = p^{-1}E^*$, and $L(E^*)$ is faithfully flat over $p^{-1}E^*$. The character map $\chi_{d,p}^G$ induces isomorphisms*

$$\chi_{d,p}^G : L(E^*) \otimes_{E^*} E^*(EG \times_G X) \xrightarrow{\simeq} Cl_{d,p}(G, X; L(E^*)),$$
$$\chi_{d,p}^G : p^{-1}E^*(EG \times_G X) \xrightarrow{\simeq} Cl_{d,p}(G, X; L(E^*))^{\mathrm{Aut}(\mathbb{Z}_p^d)}.$$

In particular, when $X = *$, there are isomorphisms

$$\chi_{d,p}^G : L(E^*) \otimes_{E^*} E^*(BG) \xrightarrow{\simeq} Cl_{d,p}(G; L(E^*)),$$
$$\chi_{d,p}^G : p^{-1}E^*(BG) \xrightarrow{\simeq} Cl_{d,p}(G; L(E^*))^{\mathrm{Aut}(\mathbb{Z}_p^d)}. \quad \square$$

———

Jack gives an interpretation of this in terms of formal $\mathcal{O}_L$–modules.

———

I also have this summary of Nat's of the classical case:

It's not easy to decipher if you weren't there for the conversation, but here's my take on it. First, the map we wrote down today was the non-equivariant chern character: it mapped non-equivariant $KU \otimes \mathbb{Q}$ to non-equivariant $H\mathbb{Q}$, periodified. The first line on Nat's board points out that if you use this map on Borel-equivariant cohomology, you get nothing interesting: $K^0(BG)$ is interesting, but $H\mathbb{Q}^*(BG) = H\mathbb{Q}^*(*)$ collapses for finite G. So, you have to do something more impressive than just directly marry these two constructions to get something interesting.

That bottom row is Nat's suggestion of what "more interesting" could mean. (Not really his, of course, but I don't know who did this first. Chern, I suppose.) For an integer n, there's an evaluation map of (forgive me) topological stacks

$$* /\!/ (\mathbb{Z}/n) \times \mathrm{Hom}(* /\!/ (\mathbb{Z}/n), * /\!/ G) \xrightarrow{\mathrm{ev}} * /\!/ G$$

which upon applying a global-equivariant theory like K_G gives

$$K_{\mathbb{Z}/n}(*) \otimes K_G(\coprod_{\text{conjugacy classes of } g \text{ in } G} *) \xleftarrow{ev^*} K_G(*).$$

Now, apply the genuine G-equivariant Chern character to the K_G factor to get

$$K_{\mathbb{Z}/n}(*) \otimes H\mathbb{Q}_G(\coprod *) \leftarrow K_{\mathbb{Z}/n}(*) \otimes K_G(\coprod *),$$

where the coproduct is again taken over conjugacy classes in G. Now, compute $K_{\mathbb{Z}/n}(*) = R(\mathbb{Z}/n) = \mathbb{Z}[x]/(x^n - 1)$, and insert this calculation to get

$$K_{\mathbb{Z}/n}(*) \otimes H\mathbb{Q}_G(\coprod *) = \mathbb{Q}(\zeta_n) \otimes (\bigoplus_{\text{conjugacy classes}} \mathbb{Q}),$$

where ζ_n is an nth root of unity. As n grows large, this selects sort of the part of the complex numbers $\mathbb{C}$ that the character theory of finite groups cares about, and so following all the composites we've built a map

$$K_G(*) \to \mathbb{C} \otimes (\bigoplus_{\text{conjugacy classes}} \mathbb{C}).$$

The claim, finally, is that this map sends a G-representation (thought of as a point in $K_G(*)$) to its class function decomposition.

———

A.3 Knowns and unknowns

Higher orientations

TAF and friends
  The $\alpha_{1/1}$ argument: Prop 2.3.2 of Hovey's v_n–elements of ring spectra

Equivariance

This is tied up with the theory of power operations in a way I've never really thought about. Seems complicated.

Index theorems

Connections with analysis
  The Stolz–Teichner program
  ——

  Contexts for structured ring spectra
  Difficulty in computing $\mathbb{S}_d \curvearrowright E_d^*$. (Gross–Hopkins and the period map.)
  Barry's p–adic measures
  Fixed point spectra and e.g. $L_{K(2)}tmf$.
  Blueshift, A–M–S, and the relationship to A–F–G?
  Does E_n receive an E_∞ orientation? Does *BP*?
  Remark 12.13 of published H_∞ AHS says their obstruction framework agrees with the E_∞ obstruction framework (if you take everything in sight to have E_∞ structures). This is almost certainly related to the discussion at the end of Matt's thesis about the *MU*–orientation of E_d.

  Hovey's paper on v_n–periodic elements in ring spectra. He has a nice (and thorough!) exposition on why one should be interested in bordism spectra and their splittings: for instance, a careful analysis of *MSpin* will inexorably lead one toward studying *KO*. It would be nice if studying *MString* (and potentially higher analogues) would lead one toward non-completed, non-connective versions of EO_n. Talk about *BoP*, for instance.
  Matt's short resolutions of chromatically localized *MU*.

Section 12.4 compares doing H_∞ descent with doing E_∞ descent and shows that they're the same (in the case of interest?).

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Material for lecture

Mike's 1995 announcement is a nice read. There are many snippets you could pull out of it for use here. "$H\mathbb{Q}$ serves as the target for the Todd genus, but actually the Todd genus of a manifold is an integer and it turns out that KU refines the Todd genus." The end of section 3, with $\tau \mapsto 1/\tau$, is mysterious. In section 4, Mike claims that there's a $BU[6,\infty)$–structured splitting principle into sums of things of the form $(1 - \mathcal{L}_1)(1 - \mathcal{L}_2)(1 - \mathcal{L}_3)$. He then says that one expects the characteristic series of a $BU[6,\infty)$–genus to be a series of 3 variables, which is nice intuition. Could mention that Θ^k is a kind of kth difference operator, so that things in the kernel of Θ^k are "k^{th} order polynomials". (More than this, the theorem of the cube is reasonable from this perspective, since Θ^3 kills "quadratic things" and the topological object $H^2(-;\mathbb{Z})$ classifying line bundles is indeed "quadratic".) If the bundle admits a symmetry operation, then the fiber over $(x,y,-x-y)$ is canonically trivialized, so a Σ–structure on a symmetric line bundle is a Θ^3–structure that restricts to the identity on these canonical parts. Mike claims (Theorem 6.2) that if $1/2 \in E^0(*)$ or if E is $K(n)$–local, $n \leq 2$, then $BString^E$ is the parameter space of Σ–structures on the sheaf of functions vanishing at the identity on G_E. The map $MString \to KO_{\text{Tate}}$ actually factors through $MSpin$, so even though this produces the right q–series, you really need to know that $MString$ factors through tmf and $MSpin$ doesn't to deduce the modularity for $String$–manifolds. (You can prove modularity separately for $BU[6,infty)$–manifolds, though, by essentially the same technique: refer to the rest of the (complex!) moduli of elliptic curves, which exist as $MU[6,infty)$–spectra.)

Generally: if X is a space, then $X_{H\mathbb{F}_2}$ is a scheme with an $\text{Aut}\,\widehat{\widehat{\mathbb{G}}}_a$–action. If X is a spectrum (so it fails to have a diagonal map) then $(H\mathbb{F}_2)_* X$ is just an $\mathbb{F}_2$–module, also with an $\text{Aut}\,\widehat{\widehat{\mathbb{G}}}_a$–action.

The cohomology of a qc sheaf pushed forward from a scheme to a stack along a cover agrees with just the cohomology over the scheme. (In the case of $* \to *//G$, this probably uses the cospan $* \to *//G \leftarrow *$ with pullback G...)

Akhil Mathew has notes from an algebraic geometry class (https://math.berkeley.edu/~amathew/) where lectures 3–5 address the theorem of the cube.

Equivalences of various sorts of cohomologies: Ext in modules and quasicoherent co-homology (goodness. Hartshorne, I suppose); Ext in comodules and quasicoherent co-homology on stacks (COCTALOS Lemma 12.4); quasicoherent cohomology on simplicial

schemes (Stacks project 09VK).

Make clear the distinction between E_n and $\widehat{E(n)}$. Maybe explain the Devinatz–Hopkins remark that $r : \widehat{E(n)} \to E_n$ is an inclusion of fixed points and as such does not classify the versal formal group law.

when describing Quillen's model, he makes a lot of use of Gysin maps and Thom / Euler classes. at this point, maybe you can introduce what a Thom sheaf / Thom class is for a pointed formal curve?

Theorem A.3.1. *Let A be a Noetherian ring and $G :$ AdicAlgebras$_A \to$ AbelianGroups be a functor such that*

1. *$G(A) = 0$.*

2. *G takes surjective maps to surjective maps.*

3. *There is a finite, free A–module M and a functorial isomorphism*

$$I \otimes_A M \to G(B) \to G(B')$$

whenever I belongs to a square-zero extension of adic A–algebras

$$I \to B \to B'.$$

Then, $G \cong \widehat{\mathbb{A}}^n$ as a functor to sets, where $n = \dim M$.

Proof. This is 9.6.4 in the Crystals notes. $\qquad\square$

MUP happens to be the Thom spectrum of $BU \times \mathbb{Z}$.

–Formal groups in algebraic topology

—Day 1

+ Warning: noncontinuous maps of high-dimensional formal affine spaces.

—Day 2

+ Three definitions of complex orientable / oriented cohomology theories. + Some proofs: the splitting principle, Chern roots, diagrammatic Adam's condition, ...

—Day 3

+ Lemma and proof: homomorphisms $F \to G$ of $\mathbb{F}_p$-FGLs factor as $F \to G' \to G$, where $G' \to G$ is a Frobenius isogeny and $F \to G'$ is invertible. + Definition of height. Examples: $\widehat{\mathbb{G}}_a$ and $\widehat{\mathbb{G}}_m$. + Redefinition of height as the log-p rank of the p-torsion. + Logarithms for FGLs over torsion-free rings. The integral equation. Height as radius.

—Day 4

+ A picture of $\mathcal{M}_{\mathbf{fg}} \times \mathbb{Z}_{(p)}$ + Definition of "deformation" + Plausibility argument for square-zero deformations being classified by "$\mathrm{Ext}^1(\widehat{\mathbb{G}}; M \otimes \widehat{\mathbb{G}}_a)$" + Theorem statement: $\mathrm{Ext}^*(\widehat{\mathbb{G}}; \widehat{\mathbb{G}}_a)$ is computed by $H^* \mathrm{Hom}(B\widehat{\mathbb{G}}, \widehat{\mathbb{G}}_a)(R)$. + Theorem statement: That cochain

complex is quasi-isomorphic to Lazarev's infinitesimal complex. + Proofs: Infinitesimal homomorphisms gives 1-cocycles, infinitesimal deformations give 2-cocycles. + Theorem statement (Lubin–Tate): H^0, H^1, H^2 calculations. + Implications for Bockstein spectral sequence computing infinitesimal deformations. + Clarification about relative deformations and what "arithmetic deformation" means

 —Day 5

 + The rational complex bordism ring. + Quillen's theorem as refining rational complex genera to integral ones. + Honda's theorem about ζ–functions as manufacturing integral genera. + A statement of Landweber's theorem about regularity, stacky interpretation, no proof. + Definition of forms of a module, map to Galois cohomology + Computation of the Galois cohomology for: $H\mathbb{F}_p$, MU/p, KU/p + Computation of the Galois cohomology for $\widehat{\mathbb{G}}_m$, explicit description of the invariant via the ζ–function + Morava's sheaf over $L_1(\mathbb{Z}_p^{nr})$, Gamma-equivariance and transitivity, Conner–Floyd + Identification of L_1/Γ with $\mathcal{M}_{\mathbf{fg}}^{\leq 1}$, connection to LEFT.

 —Day 6

 + The invariant differential. + de Rham cohomology in positive characteristic. The de Rham cohomology of $\widehat{\mathbb{A}}^1/\mathbb{F}_p$. + Cohomologically invariant differentials and the functor D. + Crystalline properties of D. The map F. + The Dieudonne functor, the main theorem.

 —Future topics

 + The main theorem of class field theory + Lubin and Tate's construction of abelian extensions of local number fields + Description of the Lubin–Tate tower and the local Langlands correspondence + Lazard's theorem + Uniqueness of $\mathcal{O}_K$-module structure in characteristic zero + p-typification + Construction of the spectra MU, BP, $E(n)$, $K(n)$, E_n + Goerss–Hopkins–Miller and Devinatz–Hopkins + Gross–Hopkins period map and the calculation of the Verdier dualizing sheaf on LT_n + The Ravenel–Wilson calculation, exterior powers of p-divisible groups + Kohlhaase's Iwasawa theory + Lubin's dynamical results on formal power series + Classification of field spectra

Ideas

1. Singer–Stong calculation of $H^*BU[2k, \infty)$. [ASIDE: $H\mathbb{F}_2^* ko$ and the Hopf algebra quotient of $\widehat{\mathbb{A}}_*$.]

2. Ando, Hopkins, Strickland on H_∞–orientations and the norm condition

3. The rigid, real σ–orientation: AHR. Its effect in homology.

4. The Rezk logarithm and the Bousfield–Kuhn functor

5. Statement of Lurie's characterization of TMF, using this to determine a map from $MString$ by AHR

6. Dylan's paper on String orientations

7. Matt's calculation of E_∞–orientations of $K(1)$–local spectra using the short free resolution of MU in the $K(1)$–local category

   _____

8. Cartier duality

9. Subschemes and divisors

10. Coalgebraic formal schemes

11. *Forms of K–theory*, Elliptic spectra, Tate K–theory, *TMF*

12. What are Weil pairings for geometers?

13. The Atiyah–Bott–Shapiro orientation (Is there a complex version of this? I understand it as a splitting of *MSpin*...)

14. Sinkinson's calculation and $MBP\langle m \rangle$–orientations

15. Hovey–Ravenel on nonorientations of E_n by $MO[k, \infty)$. Other things in H–R?

16. Wood's cofiber sequence and $KO_{(p \geq 3)}$

17. The Serre–Tate theorem

18. The fundamental domain of π_{GH}

19. Orientations and the functor gl_1.

Resources

Ando, Hopkins, Strickland (Theorem of the Cube)
  Ando, Hopkins, Strickland (H_∞ map)
  Ando, Strickland
  Ando, Hopkins, Rezk
  Barry Walker's thesis
  Bill Singer's thesis, Bob Stong's *Determination*
  Morava's *Forms of K–theory*
  Neil's Functorial Philosophy for Formal Phenomena
  Ravenel, Wilson
  Kitchloo, Laures, Wilson
  Akhil wrote a couple of blog posts about Ochanine's theorem: `https://amathew.wordpress.co`
and `https://amathew.wordpress.com/2012/05/31/the-other-direction-of-ochaines`
Mentioning a more precise result might lend to a more beefy introduction.

What follows are notes from other talks I've given about quasi-relevant material which can probably be cannibalized for this class.