# Formal Geometry and Bordism Operations

Lecture notes

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#### Class information

Course ID: MATH 278 (159627).

Meeting times: Spring 2016, MWF 12pm-1pm.

*Goals:* The primary goal of this class is to teach students to view results in algebraic topology through the lens of (formal) algebraic geometry.

*Grading:* This class won't have any official assignments. I'll give references as readings for those who would like a deeper understanding, though I'll do my best to ensure that no extra reading is required to follow the arc of the class.

I do want to assemble course notes from this class, but it's unlikely that I will have time to type *all* of them up. Instead, I would like to "crowdsource" this somewhat: I'll type up skeletal notes for each lecture, and then we as a class will try to flesh them out as the semester progresses. As incentive to help, those who contribute to the document will have their name included in the acknowledgements, and those who contribute *substantially* will have their name added as a coauthor. Everyone could use more CV items. (Publication may take a while. I suspect the course won't run perfectly smoothly the first time, so this may takes a second semester pass to become fully workable. But, since topics courses only come around once in a while, this will necessarily mean a delay.)

The source for this document can be found at

https://github.com/ecpeterson/FormalGeomNotes.

If you're taking the class or otherwise want to contribute, you can write me at

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to request write access.

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Hood wrote a macro called sumfgl (see also sumF and sumG) that will make a bunch of formal group law expressions typeset better. Propagate that change through.						

# Chapter 0

## Introduction

### 0.1 Jan 25: Introduction

The goal of this class is to communicate a certain *weltanschauung* uncovered in pieces by many different people working in bordism theory, and the goal just for today is to tell a story about one theorem where it is especially apparent.

To begin, we will define a homology theory called "bordism homology". Recall that the singular homology of a space X is defined by considering the collection of continuous maps  $\sigma: \Delta^n \to X$ , taking the free  $\mathbb{Z}$ -module on each of these sets, and constructing a chain complex

$$\cdots \xrightarrow{\partial} \mathbb{Z} \{ \Delta^n \to X \} \xrightarrow{\partial} \mathbb{Z} \{ \Delta^{n-1} \to X \} \xrightarrow{\partial} \cdots$$

Bordism homology is constructed analogously, but using manifolds *M* as the sources instead of simplices:

$$\cdots \xrightarrow{\partial} \{M^n \to X \mid M^n \text{ an } n\text{-manifold}\}$$

$$\xrightarrow{\partial} \{M^{n-1} \to X \mid M^{n-1} \text{ an } (n-1)\text{-manifold}\}$$

$$\xrightarrow{\partial} \cdots$$

**Lemma 0.1.1.** This forms a chain complex of monoids under direct sum of manifolds, ands it homology is written  $MO_*(X)$ . These are naturally abelian groups, and moreover they satisfy the axioms of a generalized homology theory.

In fact, we can define a bordism theory MX for any suitable family of structure groups  $X_n \to O(n)$ . The coefficient ring of MX, or its value  $MX_*(*)$  on a point, gives the ring of X-bordism classes, and generally  $MX_*(Y)$  of some space Y gives a kind of "bordism in families (over Y)". There are evident comparison morphisms for the most ordinary kinds of bordism, given by replacing a chain of manifolds with an equivalent simplicial chain:

$$MO \rightarrow H\mathbb{Z}/2$$
,  $MSO \rightarrow H\mathbb{Z}$ .

dshi: I'm confused about this paragraph. What is *X* here? A sequence of groups? How does O(n) relate to the *MO* story above? 10min later: So *X* is a structure group. There is a potential for confusion here cuz *X* is a space above. Can you explain this part of the story to me (again) at office

A comparison of this with the usual spectrum definition of *MX* appears in Switzer 12.35.

In both cases, we can evaluate on a point to get ring maps  $MO_*(*) \to \mathbb{Z}/2$  and  $MSO_*(*) \to \mathbb{Z}$ , called "genera" — neither of which is very interesting, since they're both zero in positive degrees.

However, having maps of homology theories (rather than just maps of coefficient rings) is considerably more data then just the genus. In fact, we can extract a theory of integration. Consider the following special case of oriented bordism, where we evaluate  $MSO_*$  on an infinite loopspace:

$$MSO_nK(\mathbb{Z}, n) = \{ \text{ oriented } n\text{-manifolds mapping to } K(\mathbb{Z}, n) \} / \sim$$

$$= \left\{ \begin{array}{c} \text{ oriented } n\text{-manifolds } M \\ \text{ with a specified class } \omega \in H^n(M; \mathbb{Z}) \end{array} \right\} / \sim .$$

Associated to such a representative  $(M, \omega)$ , the yoga of stable homotopy theory then allows us to build a composite

$$S \xrightarrow{(M,\omega)} MSO \wedge (S^{-n} \wedge \Sigma_{+}^{\infty} K(\mathbb{Z}, n))$$

$$\xrightarrow{\text{colim}} MSO \wedge H\mathbb{Z}$$

$$\xrightarrow{\varphi \wedge 1} H\mathbb{Z} \wedge H\mathbb{Z}$$

$$\xrightarrow{\mu} H\mathbb{Z},$$

I changed S<sup>0</sup> to S here, because that's what you used below, but it seems that the notation for the sphere spectrum has been inconsistent elsewhere too.

now this worked, out now I'm not so

sure. How does this

where  $\varphi$  is the orientation map. Altogether, this composite gives us an element of  $\pi_0 H\mathbb{Z}$ , i.e., an integer.

**Lemma 0.1.2.** The integer obtained by the above process is  $\int_M \omega$ .

This definition of  $\int_M \omega$  via stable homotopy theory is pretty nice, in the sense that many theorems accompany it for free. For instance, the relation " $\sim$ " automatically imposes a Stokes' theorem on it.

Now take X = e to be the trivial structure group, which is the bordism theory of manifolds with trivialized tangent bundle. In this case, the Pontryagin–Thom construction gives an equivalence  $Me \stackrel{\cong}{\to} S$ . It is thus possible (and some people have indeed taken up this viewpoint) that stable homotopy theory can be done solely through the lens of "framed bordism". We will prefer to view this the other way: the sphere spectrum S often appears to us as a natural object, and we will occasionally replace it by Me, the framed bordism spectrum. For example, given a ring spectrum E with unit map  $S \to E$ , we can reconsider this as a ring map  $S = Me \to E$ . Following along the lines of the previous paragraph, we learn that any ring spectrum E is automatically equipped with a theory of integration for framed manifolds.

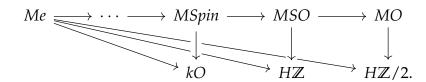
Sometimes, as in the examples above, this unit map factors:

$$S = Me \rightarrow MO \rightarrow H\mathbb{Z}/2.$$

This is a witness to the overdeterminacy of  $H\mathbb{Z}/2$ 's integral for framed bordism: if the framed manifold is pushed all the way down to an unoriented manifold, there is still enough residual data to define the integral. Given any ring spectrum E, we can ask the analogous question: If we filter O by a system of structure groups, at what stage does the unit map  $Me \to E$  factor through? For instance, the map

$$\mathbb{S} = Me \rightarrow MSO \rightarrow H\mathbb{Z}$$

considered above does *not* factor further through MO — an orientation is *required* to define the integral of an integer–valued cohomology class. In the more general case, the map  $SO \rightarrow O$  is the beginning of the Postnikov filtration of O, and we now present a diagram of this filtration and some interesting integration theories related to it:



This is the situation homotopy theorists found themselves in some decades ago, when Ochanine and Witten proved the following mysterious theorem using analytical and physical methods:

**Theorem 0.1.3** (Ochanine, Witten). *There is a map of rings* 

$$\sigma: MSpin_* \to \mathbb{C}((q)).$$

Moreover, if M is a Spin manifold such that twice its first Pontryagin class vanishes — that is, if M lifts to a String–manifold — then  $\sigma(M)$  lands in the subring  $MF \subseteq \mathbb{Z}[\![q]\!]$  of modular forms with integral coefficients.

However, neither party gave indication that their result should be valid "in families", and no theory of integration was produced. From the perspective of the homotopy theorist, it wasn't even totally clear what such a claim would mean: to give a topological enrichment of these theorems would mean finding a ring spectrum E such that  $E_*(*)$  had something to do with modular forms. Around the same time, Landweber, Ravenel, and Stong began studying "elliptic cohomology" for independent reasons; sometime much earlier, Morava had constructed an object " $K^{\text{Tate}}$ " associated to the Tate elliptic curve; and a decade later Ando, Hopkins, and Strickland put all these things together in the following theorem:

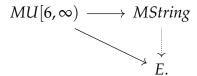
**Theorem 0.1.4** (Ando–Hopkins–Strickland). *If E is an "elliptic cohomology theory", then there is a canonical map MString*  $\rightarrow$  *E called the*  $\sigma$ –orientation. *In particular, the map MString* $_*$   $\rightarrow$   $K_*^{\text{Tate}}$  *is Witten's genus.* 

danny: do you mean postnikov filtration for MO? I asked this in class but I think it's good to say how does the filtration go. The classical postnikov filtration for X builds the homotopy groups of X up from the bottom, to get a sequence  $\cdots \to X_2 \to X_1 \to X_0$ , with X the limit. I think the situation here is the opposite? We'll talk about this.

Given that you mentioned string in the theorem below. Might wanna add string into the diagram We now come to the motivation for this class. The homotopical  $\sigma$ -orientation was actually first constructed using formal geometry. The original proof of Ando-Hopkins-Strickland begins with a reduction to maps of the form

$$MU[6,\infty) \to E$$
.

They then work to show that in especially good cases they can complete the missing arrow in the diagram



Leaving aside the extension problem for the moment, their main theorem is the following description of the cohomology ring  $E^*MU[6,\infty)$ :

**Theorem 0.1.5** (Ando–Hopkins–Strickland). For E an even–periodic cohomology theory,

Spec 
$$E_*MU[6,\infty) \cong C^3(\widehat{\mathbb{G}}_E;\mathcal{I}(0)),$$

where " $C^3(\widehat{\mathbb{G}}_E; \mathcal{I}(0))$ " is a certain scheme. When E is taken to be elliptic, so that there is a specified isomorphism  $\widehat{\mathbb{G}}_E \cong C_0^{\wedge}$  for C an elliptic curve, the theory of elliptic curves furnishes the scheme with a canonical point. Hence, there is a preferred class  $MU[6,\infty) \to E$ , natural in the choice of elliptic E.

Our real goal is to understand theorems like this last one, where algebraic geometry asserts some real control over something in the domain of homotopy theory. The structure of the class will be to work through a sequence of case studies where this perspective shines through most brightly. We'll start by working through Thom's calculation of the homotopy of MO, which simultaneously holds the attractive features of being free of technical complexity while revealing essentially all of the structural complexity. Having seen that through to the end, we'll then venture on to other examples: the complex bordism ring, structure theorems for finite spectra, unstable cooperations, and, finally, the theorem above and its extensions. The overriding theme of the class will be that algebraic geometry is a good organizing principle that gives us one avenue of insight into how homotopy theory functions. In particular, it allows us to organize "operations" of various sorts between spectra derived from bordism theories.

We should also mention that we will specifically *not* discuss the following aspects of this story:

Analytic techniques will be completely omitted. Much of modern research stemming from the above problem is an attempt to extend index theory across Witten's genus, and this often means heavy analytic work. We will strictly confine ourselves to the domain of homotopy theory.

- As sort of a sub-point (and despite the motivation provided in this Introduction), we will also mostly avoid manifold geometry. (We do give a proof of Quillen's theorem on the structure of  $MU_*$  which invokes some mild amount of manifold geometry.) Again, much of the contemporary research about tmf is an attempt to find a geometric model, so that geometric techniques can be imported including equivariance and the geometry of quantum field theories, to name two.
- In a different direction, our focus will not linger on actually computing bordism rings  $MX_*$ , nor will we consider geometric constructions on manifolds and their behavior after imagininginto the bordism ring. This is also the source of active research: the structure of the symplectic bordism ring remains, to large extent, mysterious, and what we do understand of it comes through a mix of formal geometry and raw manifold geometry. This could be a topic that fits logically into this document, were it not for time limitations and the author's inexpertise.

• The geometry of  $E_{\infty}$  rings will also be avoided. These really are inescapable at the conclusion of the story we will tell here, but there are better resources from which to learn about  $E_{\infty}$  rings, and the pre– $E_{\infty}$  story is not told so often these days. So, we will focus on the unstructured part and leave  $E_{\infty}$  rings to other authors.

Finally, we will also mention good companions to these notes. Essentially none of the material here is original — it's almost all cribbed either from published or unpublished sources — but the source documents are quite scattered and dense. We will make a point to cite useful references as we go. One document stands out above all others, though: Neil Strickland's *Functorial Philosophy for Formal Phenomena* [32]. These lecture notes can basically be viewed as an attempt to make it through this paper in the span of a semester.

imagining

Akhil wrote a couple of blog posts about Ochanine's theorem https://amathewand

https://amathew Mentioning a more precise result might lend to a more beefy introduction.

# Case Study 1

## **Unoriented bordism**

Write an introduction for me.

### 1.1 Jan 27: Thom spectra and the Thom isomorphism

Our first case study is a sequence of theorems about the unoriented bordism spectrum *MO*. I wanted to begin by recalling one definition of the spectrum *MO*, since it involves ideas that will be useful to us throughout the semester.

**Definition 1.1.1.** For a spherical bundle  $S^{n-1} \to \xi \to X$ , its Thom space is given by the cofiber

$$\xi \to X \xrightarrow{\text{cofiber}} T(\xi).$$

"Proof" of definition. There is a more classical construction of the Thom space: take the associated disk bundle by gluing an n-disk fiberwise, and add a point at infinity by collapsing  $\xi$ :

$$T(\xi) = (\xi \sqcup_{S^{n-1}}' D^n)^+.$$

To compare this with the cofiber definition, recall that the thickening of  $\xi$  to an n-disk bundle is the same thing as taking the mapping cylinder on  $\xi \to X$ . Since the inclusion into the mapping cylinder is now a cofibration, the quotient by this subspace agrees with both the cofiber of the map and the introduction of a point at infinity.

Before proceeding, here are two important examples:

Example 1.1.2. If  $\xi = S^{n-1} \times X$  is the trivial bundle, then  $T(\xi) = S^n \wedge (X_+)$ . This is supposed to indicate what Thom spaces are "doing": if you feed in the trivial bundle then you get the suspension out, so if you feed in a twisted bundle you should think of it as a *twisted suspension*.

*Example* 1.1.3. Let  $\xi$  be the tautological  $S^0$ -bundle over  $\mathbb{R}P^{\infty} = BO(1)$ . Because  $\xi$  has contractible total space, EO(1), the cofiber degenerates and it follows that  $T(\xi) = \mathbb{R}P^{\infty}$ .

Two people (Mauro and someone else) asked in what generality this "Bh Aut F" construction works.

In lecture, you decided to call these  $Bh \operatorname{Aut}(S^{n-1})$ , which is maybe a healthier choice?

in a remark.

does this mean we are regarding  $h \operatorname{Aut}(S^{n-1})$  as a group? Is  $\operatorname{Aut}(S^{n-1})$  a topological group? Would it make sense to say  $B \operatorname{Aut}(S^{n-1})$ ? To be honest I personally like  $\operatorname{GL}_1S^n$ . It might cause some potential for confusion but it looks more clean. - danny

You can make this section clearer by noticing that the map  $I_n^R$  is directly induced by a map  $O(n) \to h$  Aut  $S^{n-1}$  by the action of O(n) on  $\mathbb{R}^n$ . This makes the commutativity of the relevant diagrams clear, whereas using the Yoneda lemma does not make it clear that we can take the appropriate homotopy colimits.—Mauro

 $J_{\mathbb{R}}^{m}$ , and the right vertical arrow by  $J_{\mathbb{R}}^{n+m}$ ? -Danny

More generally, arguing by cells shows that the Thom space for the tautological bundle over  $\mathbb{R}P^n$  is  $\mathbb{R}P^{n+1}$ .

Now we catalog a bunch of useful properties of the Thom space functor. Firstly, recall that a spherical bundle over X is the same data as a map  $X \to BGL_1S^{n-1}$ , where  $GL_1S^{n-1}$  is the subspace of  $F(S^{n-1}, S^{n-1})$  expressed by the pullback

We can interpret T as a functor off of the slice category over  $BGL_1S^{n-1}$ : maps

$$Y \xrightarrow{f} X \xrightarrow{\xi} BGL_1S^{n-1}$$

induce maps  $T(f^*\xi) \to T(\xi)$ , and T is suitably homotopy-invariant.

Next, the spherical subbundle of a vector bundle gives a common source of spherical bundles. Since rank n vector bundles are also classified by an object BO(n), this begets a map  $J_{\mathbb{R}}^n \colon BO(n) \to BGL_1S^{n-1}$  for each n. Stable homotopy theorists are very interested in the block–inclusion maps  $i^n \colon BO(n) \to BO(n+1)$  and the colimit  $BO = BO(\infty)$ . The suspension functor induces a map  $GL_1S^{n-1} \to GL_1S^n$ , and we are led to ask about the compatibility of these operations. As a route to answering this, the block–inclusion maps are a special case of a more general direct sum map  $\oplus \colon BO(n) \times BO(m) \to BO(n+m)$ , given by the precomposition

$$BO(n) = BO(n) \times * \xrightarrow{\text{id} \times \text{triv}} BO(n) \times BO(1) \xrightarrow{\oplus} BO(n+1).$$

The spaces  $BGL_1S^{n-1}$  enjoy a similar "collective monoid" structure, given by taking the fiberwise join two spherical bundles with a common base.

**Lemma 1.1.4.** The fiberwise join is represented by maps

$$BGL_1S^{n-1} \times BGL_1S^{m-1} \rightarrow BGL_1S^{n+m-1}$$

and these maps commute with the block sum maps on the BO(n) family:

$$BO(n) \times BO(m) \longrightarrow BO(n+m)$$

$$\downarrow \qquad \qquad \downarrow$$
 $BGL_1S^{n-1} \times BGL_1S^{m-1} \longrightarrow BGL_1S^{n+m-1}. \quad \Box$ 

Again taking a cue from K-theory, we take the colimit as n grows large.

**Corollary 1.1.5.** There is a map of H–spaces  $J_{\mathbb{R}}$ :  $BO \to BGL_1\mathbb{S}$  called the stable J–homomorphism.

The right place to address dimension is here. *T*, as defined above, does not extend to a functor off of the system {BhAut(S<sup>n-1</sup>)} unless you reduce each Thom complex by the appropriate dimension shift. So, you should define a stable Thom spectrum of the system of th

Finally, we can ask about the compatibility of T with all of this:

**Lemma 1.1.6.** *T is monoidal: it carries external fiberwise joins to smash products of Thom spaces.* 

We are now prepared to define our spectrum MO. The unstable J-maps  $J_{\mathbb{R}}^n \colon BO(n) \to BGL_1S^{n-1}$  give Thom spaces  $T(J_{\mathbb{R}}^n)$ , equipped with maps

$$\Sigma T(J_{\mathbb{R}}^n) = T(J_{\mathbb{R}}^n \oplus \operatorname{triv}) \to T(J_{\mathbb{R}}^{n+1}).$$

Setting  $MO(n) = \Sigma^{-n}\Sigma^{\infty}T(J^n_{\mathbb{R}})$ , we again assemble this data into a single object:

$$MO := \underset{n}{\operatorname{colim}} MO(n) = \underset{n}{\operatorname{colim}} \Sigma^{-n} T(J_{\mathbb{R}}^{n}).$$

The spectrum MO has several remarkable properties. The most basic such property is that it is a ring spectrum, and this follows immediately from  $J_{\mathbb{R}}$  being a homomorphism of H–spaces (from Lemma 1.1.4). Much more excitingly, we can also deduce the presence of Thom isomorphisms just from the properties stated thus far. That  $J_{\mathbb{R}}$  is a homomorphism means that the following square commutes:

$$BO \times BO \xrightarrow{\sigma} BO \times BO \xrightarrow{\mu} BO$$

$$\downarrow J_{\mathbb{R}} \times J_{\mathbb{R}} \qquad \downarrow J_{\mathbb{R}}$$

$$BGL_{1}S \times BGL_{1}S \xrightarrow{\mu} BGL_{1}S.$$

We have extended this square very slightly by a certain shearing map  $\sigma$  defined by  $\sigma(x,y) = (xy^{-1},y)$ . It's evident that  $\sigma$  is a homotopy equivalence, since just as we can de-scale the first coordinate by y we can re-scale by it. We can calculate directly the behavior of the long composite:

$$J_{\mathbb{R}} \circ \mu \circ \sigma(x,y) = J_{\mathbb{R}} \circ \mu(xy^{-1},y) = J_{\mathbb{R}}(xy^{-1}y) = J_{\mathbb{R}}(x).$$

It follows that the second coordinate plays no role, and that the bundle classified by the long composite can be written as  $J_{\mathbb{R}} \times 0.1$  We are now in a position to see the Thom isomorphism:

Lemma 1.1.7 (Thom isomorphism, universal example). As MO-modules,

$$MO \wedge MO \simeq MO \wedge \Sigma_+^{\infty} BO$$
.

Be careful about dimension here: you really mean a reduced tautological bundle, related to how *BO* has only one connected component.

Fix this equation.

What's wrong with

Question: You essentially defined MO here by piecing together the  $T(I)_{\mathbb{R}}^m/s$ . We should mention that another way to pack all this info together is to say  $MO = T(I_{\mathbb{R}})$  - danny

There should be a Theorem here saying that we recove *MO* as defined on the first day.

σ almost shows up in giving a categorical definition of a G-torsor. I wish I understood this, but I always get tangled

Have you already mentioned that *BO* is not just an *H*-space, but an *H*-group?

I'm confused about the commutativity of this factorization with the rest of the diagram.-danny

Is it clear that this is an equivalence of *MO*–modules? This should come from the *x*–factor being unmolested, right?

Is it furthermore clear that the cohomological version of this gives an action of  $E^*X$  on  $E^*T(\xi)$  by the "Thom diagonal"?

<sup>&</sup>lt;sup>1</sup>This factorization does *not* commute with the rest of the diagram, just with the little triangle it forms.

*Proof.* Stringing together the naturality properties of the Thom functor outlined above, we can thus make the following calculation:

$$T(\mu \circ (J_{\mathbb{R}} \times J_{\mathbb{R}})) \simeq T(\mu \circ (J_{\mathbb{R}} \times J_{\mathbb{R}}) \circ \sigma)$$
 (homotopy invariance)  
 $\simeq T(J_{\mathbb{R}} \times 0)$  (constructed lift)  
 $\simeq T(J_{\mathbb{R}}) \wedge T(0)$  (monoidality)  
 $\simeq T(J_{\mathbb{R}}) \wedge \Sigma_{+}^{\infty} BO$  (Example 1.1.2)  
 $T(J_{\mathbb{R}}) \wedge T(J_{\mathbb{R}}) \simeq T(J_{\mathbb{R}}) \wedge \Sigma_{+}^{\infty} BO$  (monoidality)  
 $MO \wedge MO \simeq MO \wedge \Sigma_{+}^{\infty} BO$ . (definition of  $MO$ )

From here, the general version of Thom's theorem follows quickly:

**Theorem 1.1.8** (Thom isomorphism). Let  $\xi \colon X \to BO$  classify a vector bundle and let  $\varphi \colon MO \to E$  be a map of ring spectra. Then there is an equivalence of E-modules

$$E \wedge T(\xi) \simeq E \wedge \Sigma_+^{\infty} X$$
.

*Modifications to above proof.* To accommodate X rather than BO as the base, we redefine  $\sigma \colon BO \times X \to BO \times X$  by

$$\sigma(x,y) = \sigma(x\xi(y)^{-1},y).$$

Follow the same proof as before with the diagram

what I think is a correct proof of the case. -Krishanu

$$BO \times X \xrightarrow{\sigma} BO \times X \xrightarrow{\xi} BO \times BO \xrightarrow{\mu} BO$$

$$\downarrow J_{\mathbb{R}} \times J_{\mathbb{R}} \qquad \downarrow J_{\mathbb{R}}$$

$$BGL_{1}S \times BGL_{1}S \xrightarrow{\mu} BGL_{1}S.$$

This gives an equivalence  $\theta_{MO}$ :  $MO \wedge T(\xi) \rightarrow MO \wedge \Sigma_+^{\infty} X$ . To introduce E, note that there is a diagram

$$E \wedge T(\xi) \qquad E \wedge \Sigma_{+}^{\infty} X$$

$$\downarrow \eta_{MO} \wedge \mathrm{id} \wedge \mathrm{id} = f \qquad \qquad \downarrow \eta_{MO} \wedge \mathrm{id} \wedge \mathrm{id}$$

$$MO \wedge E \wedge T(\xi) \xrightarrow{\theta_{MO} \wedge E} MO \wedge E \wedge \Sigma_{+}^{\infty} X$$

$$\downarrow (\mu \circ (\varphi \wedge \mathrm{id})) \wedge \mathrm{id} = g \qquad \qquad \downarrow (\mu \circ (\varphi \wedge \mathrm{id})) \wedge \mathrm{id} = h$$

$$E \wedge T(\xi) \xrightarrow{\theta_{E}} E \wedge \Sigma_{+}^{\infty} X$$

The bottom arrow  $\theta_E$  exists by applying the action map to both sides and pushing the map  $\theta_{MO} \wedge E$  down. Since  $\theta_{MO}$  is an equivalence, it has an inverse  $\alpha_{MO}$ . Therefore, the middle

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map has inverse  $\alpha_{MO} \wedge E$ , and we can similarly push this down to a map  $\alpha_E$ , which we now want to show is the inverse to  $\theta_E$ . From here it is a simple diagram chase: we have renamed three of the maps in the diagram to f, g, and h for brevity. Noting that  $g \circ f$  is the identity map because of the unit axiom, we conclude

$$g \circ f \simeq g \circ (\alpha_{MO} \wedge E) \circ (\theta_{MO} \wedge E) \circ f$$

$$\simeq \alpha_E \circ h \circ (\theta_{MO} \wedge E) \circ f \qquad \text{(action map)}$$

$$\simeq \alpha_E \circ \theta_E \circ g \circ f \qquad \text{(action map)}$$

$$\simeq \alpha_E \circ \theta_E. \qquad \Box$$

*Example* 1.1.9. We'll close out today by using this to actually make a calculation. Recall from Example 1.1.3 that  $T(\mathcal{L} \downarrow \mathbb{R}P^n) = \mathbb{R}P^{n+1}$ . By killing all the homotopy elements in positive degrees, we can also see that the map  $MO \to H\mathbb{F}_2$  is a ring map, so that we can apply the Thom isomorphism theorem to the mod–2 homology of Thom complexes coming from real vector bundles:

At least hint that there's a converse to this Theorem, t be explored later.

This requires some justification, like *MO* being connective.

$$\pi_*(H\mathbb{F}_2 \wedge T(\mathcal{L}-1)) \cong \pi_*(H\mathbb{F}_2 \wedge T(0))$$
 (Thom isomorphism)  
$$\pi_*(H\mathbb{F}_2 \wedge \Sigma^{-1}\Sigma^{\infty}\mathbb{R}P^{n+1}) \cong \pi_*(H\mathbb{F}_2 \wedge \Sigma_+^{\infty}\mathbb{R}P^n)$$
 (Example 1.1.3)  
$$\widetilde{H\mathbb{F}}_{2*+1}\mathbb{R}P^{n+1} \cong H\mathbb{F}_{2*}\mathbb{R}P^n.$$
 (generalized homology)

This powers an induction that shows  $H\mathbb{F}_{2*}\mathbb{R}P^{\infty}$  has a single class in every degree. The cohomology version of all this, together with the  $H\mathbb{F}_2^*\mathbb{R}P^n$ -module structure of  $H\mathbb{F}_2^*T(\mathcal{L}-1)$ , also gives the ring structure:

Wouldn't hurt to

$$H\mathbb{F}_2^*\mathbb{R}P^n = \mathbb{F}_2[x]/x^{n+1}.$$

### 1.2 Jan 29: Cohomology rings and affine schemes

Make sure you use  $\mathbb{F}_2$  everywhere, rather than  $\mathbb{Z}/2$ .

An abbreviated summary of this semester is that we're going to put "Spec" in front of rings appearing in algebraic topology and see what happens. Before doing any algebraic topology, let me remind you what this means on the level of algebra. The core idea is to replace a ring R by the functor it corepresents, Spec R. For any "test  $\mathbb{F}_2$ -algebra" T, we set

$$(\operatorname{Spec} R)(T) := \operatorname{\mathsf{Algebras}}_{\mathbb{F}_2}/(R,T) \cong \operatorname{\mathsf{Schemes}}_{/\mathbb{F}_2}(\operatorname{\mathsf{Spec}} T,\operatorname{\mathsf{Spec}} R).$$

More generally, we have the following definition:

esting. I just remembered that the Thom isomorphism theorem I know is actually about cohomology! What's the similar story for cohomology here? We should talk about this. -danny

**Definition 1.2.1.** An *affine*  $\mathbb{F}_2$ –*scheme* is a functor X: Algebras $_{\mathbb{F}_2}/\to$  Sets which is (non-canonically) isomorphic to Spec R for some  $\mathbb{F}_2$ –algebra R. Given such an isomorphism, we will refer to Spec  $R \to X$  as a *parameter* for X and its inverse  $X \to \operatorname{Spec} R$  as a *coordinate* for X.

**Lemma 1.2.2.** There is an equivalence of categories

$$\mathsf{Spec}:\mathsf{Algebras}^{op}_{\mathbb{F}_2/}\to\mathsf{AffineSchemes}_{/\mathbb{F}_2}.\quad \Box$$

The centerpiece of thinking about rings in this way, for us and for now, is to translate between a presentation of R as a quotient of a free algebra and a presentation of  $(\operatorname{Spec} R)(T)$  as selecting tuples of elements in T subject to certain conditions. Consider the following example:

Example 1.2.3. Set  $R_1 = \mathbb{F}_2[x]$ . Then

$$(\operatorname{Spec} R_1)(T) = \operatorname{Algebras}_{\mathbb{F}_2/}(\mathbb{F}_2[x], T)$$

is determined by where x is sent — i.e., this Hom–set is naturally isomorphic to T itself. Consider also what happens when we impose a relation by passing to  $R_2 = \mathbb{F}_2[x]/(x^{n+1})$ . The value

$$(\operatorname{Spec} R_2)(T) = \operatorname{Algebras}_{\mathbb{F}_2/}(\mathbb{F}_2[x]/(x^{n+1}), T)$$

of the associated affine scheme is again determined by where x is sent, but now x can only be sent to elements which are nilpotent of order n + 1. These schemes are both important enough that we give them special names:

$$\mathbb{A}^1 := \operatorname{Spec} \mathbb{F}_2[x], \qquad \mathbb{A}^{1,(n)} := \operatorname{Spec} \mathbb{F}_2[x]/(x^{n+1}).$$

The symbol " $\mathbb{A}^1$ " is pronounced "the affine line" — reasonable, since the value  $\mathbb{A}^1(T)$  is, indeed, a single T's worth of points. Note that the quotient map  $R_1 \to R_2$  induces an inclusion  $\mathbb{A}^{1,(n)} \to \mathbb{A}^1$  and that  $\mathbb{A}^{1,(0)}$  is a constant functor:

$$\mathbb{A}^{1,(0)}(T) = \{ f : \mathbb{F}_2[x] \to T \mid f(x) = 0 \}.$$

Accordingly, we pronounce " $\mathbb{A}^{1,(0)}$ " as "the origin on the affine line" and " $\mathbb{A}^{1,(n)}$ " as "the  $(n+1)^{\text{st}}$  order (nilpotent) neighborhood of the origin in the affine line".

We can also express in this language another common object arising from algebraic topology: the Hopf algebra, which appears when taking the mod–2 cohomology of an H–group. In addition to the usual cohomology, the extra pieces of data are those induced by the H–group multiplication, unit, and inversion maps, which on cohomology beget a diagonal map  $\Delta$ , an augmentation map  $\varepsilon$ , and an antipode  $\chi$  respectively. Running through the axioms, one quickly checks the following:

**Lemma 1.2.4.** For a Hopf  $\mathbb{F}_2$ -algebra R, the functor Spec R is naturally valued in groups. Such functors are called group schemes. Conversely, a choice of group structure on Spec R endows R with the structure of a Hopf algebra.

*Proof.* The functor Spec: Algebras $_{\mathbb{F}_2/}^{\mathrm{op}} \to \mathrm{Funct}(\mathsf{Algebras}_{\mathbb{F}_2/},\mathsf{Sets})$  takes limits into limits. Since tensor products of  $\mathbb{F}_2$ -algebras compute pushouts in Algebras $\mathbb{F}_2$ , we see that Hopf algebras are simply cogroup objects in Algebras $_{\mathbb{F}_2}$ . These remarks imply that Spec takes Hopf algebras into group objects in Funct(Algebras $_{\mathbb{F}_2}$ , Sets). Now, for any small category  $\mathcal{C}$ , one has

$$\mathsf{Grp}(\mathsf{Funct}(\mathcal{C},\mathsf{Sets})) \simeq \mathsf{Funct}(\mathcal{C},\mathsf{Groups}).$$

The conclusion now follows from the fully faithfulness of Spec.

*Example* 1.2.5. The functor  $\mathbb{A}^1$  introduced above is naturally valued in groups: since  $\mathbb{A}^1(T) \cong T$ , we can use the addition on T to make it into an abelian group. When considering  $\mathbb{A}^1$  with this group scheme structure, we notate it as  $\mathbb{G}_a$ . Applying the Yoneda lemma, one deduces the following formulas for the Hopf algebra structure maps:

$$G_a \times G_a \xrightarrow{\mu} G_a \qquad x_1 + x_2 \longleftrightarrow x,$$

$$G_a \xrightarrow{\chi} G_a \qquad -x \longleftrightarrow x,$$

$$\operatorname{Spec} \mathbb{F}_2 \xrightarrow{\eta} G_a \qquad 0 \longleftrightarrow x.$$

Remark 1.2.6. In fact,  $\mathbb{A}^1$  is naturally valued in rings. It models the inverse functor to Spec in the equivalence of categories above, i.e., the elements of a ring R always form a complete collection of  $\widehat{\mathbb{A}}^1$ -valued functions on some affine scheme Spec R.

Example 1.2.7. We define the multiplicative group scheme by

$$\mathbb{G}_m = \operatorname{Spec} \mathbb{F}_2[x,y]/(xy-1).$$

Its value  $G_m(T)$  on a test algebra T is the set of pairs (x, y) such that y is a multiplicative inverse to x, and hence  $\mathbb{G}_m$  is valued in groups. Applying the Yoneda lemma, we deduce the following formulas for the Hopf algebra structure maps:

$$G_m \times G_m \xrightarrow{\mu} G_m \qquad x_1 \otimes x_2 \leftarrow x y_1 \otimes y_2 \leftarrow y, G_m \xrightarrow{\chi} G_m \qquad (y, x) \leftarrow (x, y), Spec  $R \xrightarrow{\eta} G_m \qquad 1 \leftarrow x, y.$$$

*Remark* 1.2.8. As presented above, the multiplicative group comes with a natural inclusion  $\mathbb{G}_m \to \mathbb{A}^2$ . Specifically, the subset  $\mathbb{G}_m \subseteq \mathbb{A}^2$  consists of pairs (x,y) in the graph of the

explained this differently in class these. The idea The functors

We haven't defined

re:danny. Should

this just be  $\mathbb{A}^1$ ?

Ditto with A1 and

hyperbola y = 1/x. However, the element x also gives an  $\mathbb{A}^1$ -valued function  $x \colon \mathbb{G}_m \to \mathbb{A}^1$ , and because multiplicative inverses in a ring are unique, we see that this map too is an inclusion. These two inclusions have rather different properties relative to their ambient spaces, and we'll think harder about these essential differences later on.

*Example* 1.2.9 (cf. Example 4.5.9). The following example shows that it is a bad idea to think of affine group schemes as a schemeified version of linear lie groups. Define the group scheme  $\alpha_2$  to be Spec( $\mathbb{F}_2[x]/(x^2)$ ) with group scheme structure given by

$$\alpha_2 \times \alpha_2 \xrightarrow{\mu} \alpha_2$$
 $x_1 + x_2 \longleftrightarrow x$ ,

 $\alpha_2 \xrightarrow{\chi} \alpha_2$ 
 $-x \longleftrightarrow x$ ,

Spec  $\mathbb{F}_2 \xrightarrow{\eta} \alpha_2$ 
 $0 \longleftrightarrow x$ .

This group scheme has several interesting properties:

- 1.  $\alpha_2$  has the same underlying structure ring as  $\mu_2 = \mathbb{G}_m[2]$  but is not isomorphic to it. The easiest way to see this is that  $\operatorname{Hom}(\mu_2, \mu_2) = \mathbb{Z}/2\mathbb{Z}$  but  $\operatorname{Hom}(\alpha_2, \mu_2) = \alpha_2$  (these homs are in the category of affine group schemes and give out an affine group scheme).
- 2. There is no commutative group scheme *G* of rank four such that  $\alpha_2 = G[2]$ .
- 3. If  $E/\mathbb{F}_2$  is the supersingular elliptic curve, then there is a short exact sequence  $0 \to \alpha_2 \to E[2] \to \alpha_2 \to 0$ . However, this short exact sequence doesn't split (even after making a base change).
- 4. The subgroups of  $\alpha_2 \times \alpha_2$  of order two are parameterized by  $\mathbb{P}^1$ . That is to say. if R is an  $\mathbb{F}_2$ -algebra, then the subgroup schemes of  $(\alpha_2 \times \alpha_2)_R$  of order two defined over R are parameterized by  $\mathbb{P}^1(R)$ .

Additionally, the colimit of the sets  $\operatorname{colim}_{n\to\infty} \mathbb{A}^{1,(n)}(T)$  is of use in algebra: it is the collection of nilpotent elements in T. These kinds of conditions which are "unbounded in n" appear frequently enough that we are moved to give these functors a name too:

**Definition 1.2.10.** An *affine formal scheme* is an ind-system of finiteaffine schemes. The morphisms between such schemes are computed by

FormalSchemes(
$$\{X_{\alpha}\}, \{Y_{\beta}\}$$
) =  $\lim_{\beta} \underset{\alpha}{\operatorname{colim}} \operatorname{Schemes}(X_{\alpha}, Y_{\beta}).$ 

All of these can be proven using Dieudonné theory, which we can write out and stick in a reference to Chapter

You introduced this notation in class, but it hasn't been defined in this document yet. See example 1.2.12.

How is  $\mathbb{Z}/2\mathbb{Z}$  an affine group scheme? Is it just  $Spec(\mathbb{F}_2)$ ?

for jhfung: work this out

How are finite schemes characterized in the functor of points perspective?

questions about the utility of this. Does it just add certain colimits to the category of *finite* affine schemes?

Question: can we reverse the order of taking lim and colim here?-danny

Maybe it would be good at some point to define what Spf means because it's not actually stated explicitly anywhere. *Example* 1.2.11. The individual schemes  $\mathbb{A}^{1,(n)}$  do not support group structures. After all, the sum of two elements which are nilpotent of order n+1 can only be guaranteed to be nilpotent of order 2n+1. It follows that the entire ind-system  $\{\mathbb{A}^{1,(n)}\}=:\widehat{\mathbb{A}}^1$  supports a group structure, even though none of its constituent pieces do. We call such an object a *formal group scheme*, and this particular formal group scheme we denote by  $\widehat{\mathbb{G}}_a$ .

*Example* 1.2.12. Similarly, one can define the scheme  $\mathbb{G}_m[n]$  of elements of unipotent order n:

$$\mathbb{G}_m[n] = \operatorname{Spec} \frac{\mathbb{F}_2[x,y]}{(xy-1,x^n-1)} \subseteq \mathbb{G}_m.$$

These *are* all group schemes, but there is a second filtration along the lines of the one considered above:

$$\mathbb{G}_{m}^{(n)} = \operatorname{Spec} \frac{\mathbb{F}_{2}[x, y]}{(xy - 1, (x - 1)^{n})}.$$

These schemes are only occasionally group schemes — specifically,  $\mathbb{G}_m^{(2^n)}$  is a group scheme, in which case  $\mathbb{G}_m^{(2^n)} \cong \mathbb{G}_m[2^n]$  over  $\mathbb{F}_2$ . This gives an ind-equivalence between these two subsystems, but  $\{\mathbb{G}_m[2^n]\}$  is *not* cofinal in  $\{\mathbb{G}_m[n]\}$ , and the equivalence does not extend across the larger system.

Let's now consider the example that we closed with last time, where we calculated  $H\mathbb{F}_2^*(\mathbb{R}\mathrm{P}^n) = \mathbb{F}_2[x]/(x^{n+1})$ . Putting "Spec" in front of this, we could reinterpret this calculation as

Spec 
$$H\mathbb{F}_2^*(\mathbb{R}P^n) \cong \mathbb{A}^{1,(n)}$$
.

This is such a useful thing to do that we will give it a notation all of its own:

**Definition 1.2.13.** Let X be a finite cell complex, so that  $H\mathbb{F}_2^*(X)$  is a ring which is finite-dimensional as an  $\mathbb{F}_2$ -vector space. We will write

$$X_{H\mathbb{F}_2} = \operatorname{Spec} H\mathbb{F}_2^* X$$

for the corresponding finite affine scheme.

*Example* 1.2.14. Putting together the discussions from this time and last time, in the new notation we have calculated

$$\mathbb{R}P^n_{H\mathbb{F}_2} \cong \mathbb{A}^{1,(n)}$$
.

So far, this example just restates things we knew in a mildly different language. Our driving goal for the remainder of today and for tomorrow is to incorporate as much information as we have about these cohomology rings  $H\mathbb{F}_2^*(\mathbb{R}P^n)$  into this description, which will result in us giving a more "precise" name for this object. Along the way, we will discover why X had to be a *finite* complex and how to think about more general X. For now, though, let's content ourselves with investigating the Hopf algebra structure on  $H\mathbb{F}_2^*\mathbb{R}P^\infty$ .

Mauro was interested in the relationship of this to the punctured formal scheme  $\mathbb{F}_p((q))$ 

The reader invitation is as far as I can tell is either incoherent or impossible due to the condition that the maps making up formal schemes need to be infinitesimal thickenings. I think that correcting it goes as follows: requiring the inverse system to be of infinitesimal thickenings means that the only m such that Gm [m] is an infinitesimal thickening of the identity are the m of the form p<sup>n</sup> which makes the result "obvious" in some sense. EK

The notion of the punctured disk is also wonky in the category of formal schemes. In particular, one has that  $Spf(F_2[[i]])$  has only one point in the underlying topological space. This is part of why formal schemes aren't just schemes where the structure sheaf is of topological rings instead of rings: the points correspond to oper ideals. The "correct" category to take generic fibers of formal schemes is adic spaces, but that is not a discussion that is worth

going into. EK

Later on, you need that there's a map on skeleta  $\mathbb{R}P^n \times \mathbb{R}P^m \to \mathbb{R}P^{m+n}$ . This is made apparent if you inserted another characterization of the H-space structure as the one treating  $\mathbb{R}P^\infty$  as the monic polynomials (of all degrees) over  $\mathbb{R}$ , and then the map is given by multiplication. Ay

*Example* 1.2.15. Recall that  $\mathbb{R}P^{\infty}$  is an H-space in two equivalent ways:

- 1. There is an identification  $\mathbb{R}P^{\infty} \simeq K(\mathbb{Z}/2,1)$ , and the H-space structure is induced by the sum on cohomology.
- 2. There is an identification  $\mathbb{R}P^{\infty} \simeq BO(1)$ , and the H-space structure is induced by the tensor product of real line bundles.

In either case, this induces a Hopf algebra diagonal

$$H\mathbb{F}_2^*\mathbb{R}P^{\infty} \otimes H\mathbb{F}_2^*\mathbb{R}P^{\infty} \xleftarrow{\Delta} H\mathbb{F}_2^*\mathbb{R}P^{\infty}$$

which we would like to analyze. This map is determined by where it sends the class x, and because it must respect gradings it must be of the form  $\Delta x = ax_1 + bx_2$  for some constants  $a, b \in \mathbb{F}_2$ . Furthermore, because it belongs to a Hopf algebra structure, it must satisfy the unitality axiom

$$H\mathbb{F}_{2}^{*}\mathbb{R}P^{\infty} \xleftarrow{\left(\begin{smallmatrix} \varepsilon \otimes \mathrm{id} \\ \mathrm{id} \otimes \varepsilon \end{smallmatrix}\right)} H\mathbb{F}_{2}^{*}\mathbb{R}P^{\infty} \otimes H\mathbb{F}_{2}^{*}\mathbb{R}P^{\infty} \xleftarrow{\Delta} H\mathbb{F}_{2}^{*}\mathbb{R}P^{\infty}.$$

and hence it takes the form

$$\Delta(x) = x_1 + x_2.$$

Noticing that this is exactly the diagonal map in Example 1.2.5, we tentatively identify " $\mathbb{R}P^{\infty}_{H\mathbb{F}_2}$ " with the additive group. This is extremely suggestive but does not take into account the fact that  $\mathbb{R}P^{\infty}$  is an infinite complex, so we haven't allowed ourselves to write " $\mathbb{R}P^{\infty}_{H\mathbb{F}_2}$ " just yet. In light of the above discussion, we have left a very particular point open: it's not clear if we should use the name " $\mathbb{G}_a$ " or " $\widehat{\mathbb{G}}_a$ ". We will straighten this out tomorrow.

### 1.3 Feb 1: The Steenrod algebra

We left off yesterday with an ominous finiteness condition in our definition of  $X_{H\mathbb{F}_2}$ , and we produced a pair of reasonable guesses as to what " $\mathbb{R}P^{\infty}_{H\mathbb{F}_2}$ " could mean. It will turn out that we can answer which of the two guesses is reasonable by rigidifying the target category somewhat. Here are the extra structures we will work toward incorporating:

- 1. Cohomology rings are *graded*, and maps of spaces respect this grading.
- 2. Cohomology rings receive an action of the Steenrod algebra, and maps of spaces respect this action.

- 3. Both of these are complicated further when taking the cohomology of an infinite complex.
- 4. (Cohomology rings for more elaborate cohomology theories are only skew-commutative, but "Spec" requires a commutative input.)

Today we will fix all these deficiencies of  $X_{H\mathbb{F}_2}$  except for #4, which doesn't matter with mod–2 coefficients but which will be something of a bugbear throughout the rest of the semester.

Let's begin by considering the grading on  $H\mathbb{F}_2^*X$ . In algebraic geometry, the following standard construction is used to track gradings:

**Definition 1.3.1** ([33, Definition 2.95]). A  $\mathbb{Z}$ -grading on a ring R is a system of additive subgroups  $R_k$  of R satisfying  $R = \bigoplus_k R_k$ ,  $1 \in R_0$ , and  $R_j R_k \subseteq R_{j+k}$ . Additionally, a map  $f: R \to S$  of graded rings is said to respect the grading if  $f(R_k) \subseteq S_k$ .

Maybe "Zfiltering" is more appropriate.

**Lemma 1.3.2** ([33, Proposition 2.96]). A graded ring R is equivalent data to an affine scheme Spec R with an action by  $\mathbb{G}_m$ . Additionally, a map  $R \to S$  is homogeneous exactly when the induced map Spec  $S \to \operatorname{Spec} R$  is  $\mathbb{G}_m$ -equivariant.

*Proof.* A  $\mathbb{G}_m$ -action on Spec R is equivalent data to a coaction map

$$\alpha^*: R \to R \otimes \mathbb{F}_2[x^{\pm}].$$

Define  $R_k$  to be those points in r satisfying  $\alpha^*(r) = r \otimes x^k$ . It is clear that we have  $1 \in R_0$  and that  $R_j R_k \subseteq R_{j+k}$ . To see that  $R = \bigoplus_k R_k$ , note that every tensor can be written as a sum of pure tensors. Conversely, given a graded ring R, define the coaction map on  $R_k$  by

$$(r_k \in R_k) \mapsto x^k r_k$$

and extend linearly.

This notion from algebraic geometry is somewhat different from what we are used to in algebraic topology, as it is designed to deal with things like polynomial rings (where the difference of two polynomials can lie in lower degree), but in classical algebraic topology we only ever encounter sums of terms with homogeneous degree. We can modify our perspective very slightly to arrive at the algebraic geometers': replace  $H\mathbb{F}_2$  by the periodified spectrum

$$H\mathbb{F}_2 P = \bigvee_{j=-\infty}^{\infty} \Sigma^j H\mathbb{F}_2.$$

This spectrum has the property that  $H\mathbb{F}_2P^0(X)$  is isomorphic to  $H\mathbb{F}_2^*(X)$  as ungraded rings, but now we can make sense of the sum of two classes which used to live in different  $H\mathbb{F}_2$ -degrees. At this point we can manually craft the desired coaction map  $\alpha^*$  so that we

are in the situation of Lemma 1.3.2, but we will shortly find that algebraic topology gifts us with it on its own.

You can do a better job of describing

rod coaction comes from, rather than

could at least justify why the Steenrod al-

bra. Already, that's kind of unclear.

Our route to finding this internally occurring  $\alpha^*$  is by turning to the next supplementary structure: the action of the Steenrod algebra. Naively approached, this does not fit into the framework we've been sketching so far: the Steenrod algebra is a *noncommutative* algebra, and so the action map

$$\mathcal{A}^* \otimes H\mathbb{F}_2^*X \to H\mathbb{F}_2^*X$$

will be difficult to squeeze into any kind of algebro-geometric framework. Milnor was the first person to see a way around this, with two crucial observations. First, the linear-algebraic dual of the Steenrod algebra  $A_*$  is a commutative ring, since the Cartan formula expressing the diagonal on  $A^*$  is evidently symmetric:

$$\operatorname{Sq}^{n}(xy) = \sum_{i+j=n} \operatorname{Sq}^{i}(x) \operatorname{Sq}^{j}(y).$$

Second, if *X* is a *finite* complex, then tinkering with Spanier–Whitehead duality gives rise to a coaction map

$$\lambda^*: H\mathbb{F}_2^*X \to H\mathbb{F}_2^*X \otimes \mathcal{A}_*,$$

which we will then re-interpret as an action map

$$\alpha: \operatorname{Spec} A_* \times X_{H\mathbb{F}_2} \to X_{H\mathbb{F}_2}.$$

Milnor works out the Hopf algebra structure of  $\mathcal{A}_*$ , by defining elements  $\xi_j \in \mathcal{A}_*$  dual to  $\operatorname{Sq}^{2^{j-1}} \cdots \operatorname{Sq}^{2^0} \in \mathcal{A}^*$ . Taking  $X = \mathbb{R}\operatorname{P}^n$  and  $x \in H\mathbb{F}_2^1(\mathbb{R}\operatorname{P}^n)$  the generator, then since  $\operatorname{Sq}^{2^{j-1}} \cdots \operatorname{Sq}^{2^0} x = x^{2^j}$  he deduces the formula

$$\lambda^*(x) = \sum_{j=0}^{\lfloor \log_2 n \rfloor} x^{2^j} \otimes \xi_j.$$

Notice that we can take the limit  $n \to \infty$  to get a well-defined infinite sum, provided we permit ourselves to make sense of such a thing. He then makes the following calculation, stable in n:

$$(\lambda^* \otimes \mathrm{id}) \circ \lambda^*(x) = (\mathrm{id} \otimes \Delta) \circ \lambda^*(x) \qquad \text{(coassociativity)}$$

$$(\lambda^* \otimes \mathrm{id}) \left( \sum_{j=0}^{\infty} x^{2^j} \otimes \xi_j \right) =$$

$$\sum_{j=0}^{\infty} \left( \sum_{i=0}^{\infty} x^{2^i} \otimes \xi_i \right)^{2^j} \otimes \xi_j =$$

$$\sum_{j=0}^{\infty} \left( \sum_{i=0}^{\infty} x^{2^{i+j}} \otimes \xi_i^{2^j} \right) \otimes \xi_j =$$

$$(\mathrm{characteristic 2}).$$

Reminder for jhfung:  $\mathcal{A}^*$  is a Hopf algebra with comultiplication  $\operatorname{Sq}^n \mapsto \sum_{i+j=n} \operatorname{Sq}^i \otimes \operatorname{Sq}^j$ , so  $\mathcal{A}_*$  is also a Hopf algebra.

I see that if X is a finite complex, it has a Spanier-Whitehead dual, but I don't see how to use this. Is  $\lambda^*$  not just the composition  $HF_2^*X = F_2 \otimes HF_2^*X \otimes A^* \otimes A_* \xrightarrow{\lambda \otimes 1} HF_2^*X \otimes A_*$ ?

I think the point is you're using a duality-type thing for  $\mathcal{A}_*$  and  $\mathcal{A}^*$ . Un fortunately, infinite dimensional vector spaces are not dualizable, so strictly what you've written doesn't quite work (for example,  $\mathcal{A}^* \otimes \mathcal{A}_*$  doesn't receive a map from  $\mathbb{F}_2$ ). However, on finite complexes, you only get a finite dimensional part of the Steenrod algebra acting non-trivially, so you can do the duality thing. AY

Then, turning to the right-hand side:

$$\sum_{j=0}^{\infty} \left( \sum_{i=0}^{\infty} x^{2^{i+j}} \otimes \xi_i^{2^j} \right) \otimes \xi_j = (\mathrm{id} \otimes \Delta) \left( \sum_{m=0}^{\infty} x^{2^m} \otimes \xi_m \right)$$
$$\sum_{j=0}^{\infty} \left( \sum_{i=0}^{\infty} x^{2^{i+j}} \otimes \xi_i^{2^j} \right) \otimes \xi_j = \sum_{m=0}^{\infty} x^{2^m} \otimes \Delta(\xi_m),$$

from which it follows that

$$\Delta \xi_m = \sum_{i+j=m} \xi_i^{2^j} \otimes \xi_j.$$

Finally, Milnor shows that this is the complete story:

**Theorem 1.3.3** (Milnor).  $A_* = \mathbb{F}_2[\xi_1, \xi_2, ..., \xi_i, ...]$ .

Flippant proof. There is at least a map  $\mathbb{F}_2[\xi_1, \xi_2, \ldots] \to \mathcal{A}_*$  given by the definition of the elements  $\xi_j$  above. This map is injective, since these elements are distinguished by how they coact on  $H\mathbb{F}_2^*\mathbb{R}P^{\infty}$ . Then, since these rings are of graded finite type, Milnor can conclude his argument by counting how many elements he has produced, comparing against how many Adem and Cartan found (which we will do ourselves in Lecture 4.2), and noting that he has exactly enough.

We are now in a position to uncover the desired map  $\alpha^*$  desired earlier. Suppose that we were interested in re-telling Milnor's story with  $H\mathbb{F}_2P$  in place of  $H\mathbb{F}_2$ . The dual Steenrod algebra is defined topologically by

$$\mathcal{A}_* := \pi_*(H\mathbb{F}_2 \wedge H\mathbb{F}_2),$$

which we replace by

$$\mathcal{A}P_0 := \pi_0(H\mathbb{F}_2 P \wedge H\mathbb{F}_2 P) = H\mathbb{F}_2 P_0(H\mathbb{F}_2 P) = \mathcal{A}_*[\xi_0^{\pm}].$$

**Lemma 1.3.4** ([9, Formula 3.4, Remark 3.14]). *Projecting to the quotient Hopf algebra*  $AP_0 \rightarrow \mathbb{F}_2[\xi_0^{\pm}]$  *gives exactly the coaction map*  $\alpha^*$ .

To study the rest of  $\mathcal{A}P_0$  in terms of algebraic geometry, we need only identify what the series  $\lambda^*(x)$  embodies. Note that this necessarily involves some creativity, and the only justification we can supply will be moral, borne out over time, as our narrative encompasses more and more phenomena. With that caveat in mind, here is one such description. Recall the map induced by the H–space multiplication

$$H\mathbb{F}_2^*\mathbb{R}P^{\infty} \otimes H\mathbb{F}_2^*\mathbb{R}P^{\infty} \leftarrow H\mathbb{F}_2^*\mathbb{R}P^{\infty}.$$

Cite me: Give a reference in Milnor's paper.

Mosher and Tangora also has a proof of this on pp. 50–52.

I think it's a good idea to at least mention that  $\xi_0$  keeps track of the grading, as you explained in class. Also, I see from the wedge axiom that  $HF_2P_0(HF_2P) \cong \prod_j \mathcal{A}_{*j}$  but how do you get the multiplicative structure of  $\xi_0$ ?

Include a proof of this. It doesn't seem obvious — Danny and I spent a while talking about it and couldn't get all our algebra straight. It has something to do with trading the invertible element across the smash product in  $H\mathbb{F}_2P \wedge H\mathbb{F}_2P \wedge H\mathbb{F}_2P \wedge X$ , and also with multiplicativity of the action:  $\psi(vx) = \psi(v)\psi(x)$  with v the homogenizing generator, or something.

Taking a colimit over finite complexes, we produce an coaction of  $A_*$ , and since the map above comes from a map of spaces, it is equivariant for the coaction. Since the action on the left is diagonal, we deduce the formula

$$\lambda^*(x_1 + x_2) = \lambda^*(x_1) + \lambda^*(x_2).$$

**Lemma 1.3.5.** The series  $\lambda^*(x) = \sum_{j=0}^{\infty} x^{2^j} \otimes \xi_j$  is the universal example of a series satisfying  $\lambda^*(x_1 + x_2) = \lambda^*(x_1) + \lambda^*(x_2)$ . The set (Spec  $AP_0$ )(T) is identified with the set of power series f with coefficients in the  $\mathbb{F}_2$ -algebra T satisfying

$$f(x_1 + x_2) = f(x_1) + f(x_2)$$
.  $\square$ 

We close our discussion by codifying what Milnor did when he stabilized against n. Each  $\mathbb{R}\mathrm{P}^n_{H\mathbb{F}_2}$  is a finite affine scheme, and to make sense of the object  $\mathbb{R}\mathrm{P}^\infty_{H\mathbb{F}_2}$  Milnor's technique was to consider the ind-system  $\{\mathbb{R}\mathrm{P}^n_{H\mathbb{F}_2}\}_{n=0}^\infty$  of finite affine schemes. We will record this as our technique to handle general infinite complexes:

**Definition 1.3.6.** When X is an infinite complex, filter it by its subskeleta  $X^{(n)}$  and define  $X_{H\mathbb{F}_2}$  to be the ind-system  $\{X_{H\mathbb{F}_2}^{(n)}\}_{n=0}^{\infty}$  of finite schemes.<sup>2</sup>

This choice collapses our uncertainty about the topological example from last time: *Example* 1.3.7 (cf. Examples 1.2.11 and 1.2.15). Write  $\widehat{\mathbb{G}}_a$  for the ind-system  $\mathbb{A}^{1,(n)}$  with the group scheme structure given in Example 1.2.15. That this group scheme structure filters in this way is a simultaneous reflection of two facts:

- 1. Algebraic: The set  $\widehat{\mathbb{G}}_a(T)$  consists of all nilpotent elements in T. The sum of two nilpotent elements of orders n and m is guaranteed to itself be nilpotent with order at most n+m.
- 2. Topological: There is a factorization of the multiplication map on  $\mathbb{R}P^{\infty}$  as  $\mathbb{R}P^n \to \mathbb{R}P^{n+m}$  purely for dimensional reasons.

As group schemes, we have thus calculated

$$\mathbb{R}P^{\infty}_{H\mathbb{F}_2} \cong \widehat{\mathbb{G}}_a$$
.

*Example* 1.3.8. Additionally, this convention comports with our analysis of Spec  $AP_0$ . Note that the following morphism sets are very different:

$$\begin{split} \mathsf{GroupSchemes}_{/\mathbb{F}_2}(\mathbb{G}_a,\mathbb{G}_a) &\cong \mathsf{HopfAlgebras}_{\mathbb{F}_2/}(\mathbb{F}_2[x],\mathbb{F}_2[x]) \\ \mathsf{FormalGroups}_{/\mathbb{F}_2}(\widehat{\mathbb{G}}_a,\widehat{\mathbb{G}}_a) &\cong \mathsf{HopfProAlgebras}_{\mathbb{F}_2/}(\mathbb{F}_2[\![x]\!],\mathbb{F}_2[\![x]\!]). \end{split}$$

Can you explain this more? In which sense is  $\lambda^*(x)$  universal? How do I get from the first statement to the second?

Is there an off-byone here?

We could be cleare about the two thing that are happening here. First, we are comparing informa schemes for polynomials to power series). Second, we are comparing external Hom-objects to internal Hom-objects (or sets of power series over F<sub>2</sub> to schemes of power series over F<sub>b</sub>-algebras).

<sup>&</sup>lt;sup>2</sup>More canonically, when X is "compactly generated", it can be written as the colimit of its compact subspaces  $X^{(\alpha)}$ , and we define  $X_{H\mathbb{F}_2}$  using the ind-system  $\{X_{H\mathbb{F}_2}^{(\alpha)}\}_{\alpha}$ .

The former is populated by polynomials satisfying the homomorphism condition and the latter is populated by *power series* satisfying the same, which form a much larger set. Since our description of Spec  $AP_0$  involves power series, we will favor the latter interpretation. To record this, first amp up this description of maps to a scheme of its own:

$$\underline{\mathsf{FormalSchemes}}(X,Y)(T) = \left\{ (u,f) \middle| \begin{array}{c} u : \mathsf{Spec}\,T \to \mathsf{Spec}\,\mathbb{F}_2, \\ f : u^*X \to u^*Y \end{array} \right\}$$

and conclude that the correct name for Spec  $AP_0$  is

Spec 
$$AP_0 \cong \underline{\operatorname{Aut}} \widehat{\mathbb{G}}_a$$
.

Finally, the formula  $\mathbb{R}P^{\infty}_{H\mathbb{F}_2} \cong \widehat{\mathbb{G}}_a$  is meant to point out that this language of formal schemes has an extremely good compression ratio — you can fit a lot of information into a very tiny space. This formula simultaneously encodes the cohomology ring of  $\mathbb{R}P^{\infty}$  as the formal scheme, its diagonal as the group scheme structure, and the coaction of the dual Steenrod algebra by the identification with  $\underline{\operatorname{Aut}}\,\widehat{\mathbb{G}}_a$ .

Include a recursive formula for the antipode map, coming from power series inversion.

## 1.4 Feb 3: Hopf algebra cohomology

Today we'll focus on an important classical tool: the Adams spectral sequence. We're going to study this in greater earnest later on, so I will avoid giving a satisfying construction today. But, even without a construction, it's instructive to see how such a thing comes about. Begin by considering the following three self-maps of the stable sphere:

$$S^0 \xrightarrow{0} S^0$$
.  $S^0 \xrightarrow{1} S^0$ .  $S^0 \xrightarrow{2} S^0$ .

If we apply mod-2 cohomology to each line, the induced maps are

$$\mathbb{F}_2 \stackrel{\text{d}}{\leftarrow} \mathbb{F}_2$$
,  $\mathbb{F}_2 \stackrel{\text{id}}{\leftarrow} \mathbb{F}_2$ ,  $\mathbb{F}_2 \stackrel{\text{d}}{\leftarrow} \mathbb{F}_2$ .

We see that mod–2 homology can immediately distinguish between the null map and the identity map just by its behavior on morphisms, but it can't so distinguish between the null map and the multiplication-by-2 map. To try to distinguish these two, we use the only other tool available to us: cohomology theories send cofiber sequences to long exact sequences, and moreover the data of a map f and the data of the inclusion map  $S^0 \to C(f)$  into its cone are equivalent in the stable category. So, we trade our maps 0 and 2 for the following cofiber sequences:

$$\mathbb{S}^0 \longrightarrow C(0) \longrightarrow \mathbb{S}^1, \qquad \mathbb{S}^0 \longrightarrow C(2) \longrightarrow \mathbb{S}^1.$$

This section is written gradedly and probably shouldn't be, for consistency. (In fact, this is the cause of some of the confusion about the  $G_m$ -action used tomorrow to separate out the homotopy degrees...)

It's not clear to me that this introduction should be written in terms of cohomology rather than homology. It's true that yesterday we were talking about cohomology, but it's also true that the spectral sequence we're going to build takes in homology. (More generally, contexts take in homology. still find this a little puzzling, that Strickland's formal schemes don't seem to live in the descent picture.)

Cite me: I first saw this presentation from Matt Ando. He must have learned it from someone. I'd like to know who to attribute this to. Applying cohomology, these again appear to be the same:

$$\bullet \longleftarrow \bullet$$

$$[0] \qquad \bullet \longleftarrow \quad \bullet$$

$$H\mathbb{F}_2^*\mathbb{S}^0 \leftarrow H\mathbb{F}_2^*C(0) \leftarrow H\mathbb{F}_2^*\mathbb{S}^1, \qquad H\mathbb{F}_2^*\mathbb{S}^0 \leftarrow H\mathbb{F}_2^*C(2) \leftarrow H\mathbb{F}_2^*\mathbb{S}^1,$$

It would be nice if the dots aligned directly beneath the spaces in the cofiber sequences above. where we have drawn a " $\bullet$ " for a generator of an  $\mathbb{F}_2$ –vector space, graded vertically, and arrows indicating the behavior of each map. However, if we enrich our picture with the data we discussed last time, we can finally see the difference. Recall the topological equivalences

$$C(0) \simeq \mathbb{S}^0 \vee \mathbb{S}^1$$
,  $C(2) \simeq \Sigma^{-1} \mathbb{R} P^2$ .

In the two cases, the coaction map  $\lambda^*$  is given by

$$\lambda^* : H\mathbb{F}_2^*C(0) \to H\mathbb{F}_2^*C(0) \otimes \mathcal{A}_* \qquad \qquad \lambda^* : H\mathbb{F}_2^*C(2) \to H\mathbb{F}_2^*C(2) \otimes \mathcal{A}_*$$

$$\lambda^* : e_0 \mapsto e_0 \otimes 1 \qquad \qquad \lambda^* : e_0 \mapsto e_0 \otimes 1 + e_1 \otimes \xi_1$$

$$\lambda^* : e_1 \mapsto e_1 \otimes 1, \qquad \qquad \lambda^* : e_1 \mapsto e_1 \otimes 1.$$

Is it possible to work out the coaction on the cones without first identifying their homotopy types? We draw this into the diagram as

$$H\mathbb{F}_2^*\mathbb{S}^0 \leftarrow H\mathbb{F}_2^*C(0) \leftarrow H\mathbb{F}_2^*\mathbb{S}^1, \qquad H\mathbb{F}_2^*\mathbb{S}^0 \leftarrow H\mathbb{F}_2^*C(2) \leftarrow H\mathbb{F}_2^*\mathbb{S}^1,$$

where the vertical line indicates the nontrivial coaction involving  $\xi_1$ . We can now see what trading maps for cofiber sequences has bought us: mod–2 cohomology can distinguish the defining sequences for C(0) and C(2) by considering their induced extensions of comodules over  $\mathcal{A}_*$ . The Adams spectral sequence bundles this thought process into a single machine:

Can this be phrased so as to indicate how this works for longer extensions? I've never tried to think about even what happens for

**Theorem 1.4.1.** There is a convergent spectral sequence of signature

$$\operatorname{Ext}_{A_{+}}^{*,*}(\mathbb{F}_{2},\mathbb{F}_{2}) \Rightarrow (\pi_{*}\mathbb{S}^{0})_{2}^{\wedge}. \quad \Box$$

Mention that there are homological and cohomological  $\mathbb{F}_{2}$ -Adams spectral sequences.

In effect, this asserts that the above process is *exhaustive*: every element of  $(\pi_*S^0)_2^{\wedge}$  can be detected and distinguished by some representative class of extensions of comodules for the dual Steenrod algebra. Mildly more generally, if X is a bounded-below spectrum, then there is even a spectral sequence of signature

$$\operatorname{Ext}_{A_*}^{*,*}(\mathbb{F}_2, H\mathbb{F}_{2*}X) \Rightarrow \pi_* X_2^{\wedge}.$$

Here is where we could divert to talking about the construction of the Adams spectral sequence, but it will fit more nicely into a story later on. Thus, for now we will leave this task for Lecture 3.1. Before moving on, we will record the following utility lemma about the Adams spectral sequence. It is believable based on the above discussion, and we will need to use before we get around to examining the guts of the spectral sequence.

**Lemma 1.4.2.** The 0-line of the Adams spectral sequence contains those elements visible to the Hurewicz homomorphism.

This feels sloppily stated.

Today we will focus on the algebraic input  $\operatorname{Ext}_{\mathcal{A}_*}^{*,*}(\mathbb{F}_2,H\mathbb{F}_{2*}X)$ , which will require us to grapple with the homological algebra of comodules for a Hopf algebra. To begin, it's both reassuring and instructive to see that homological algebra can, in fact, be done with comodules. In the usual development of homological algebra for modules, the key observations are the existence of projective and injective modules, and there is something similar here.

*Remark* 1.4.3. Much of the results below do not rely on working with a Hopf algebra over the field  $k = \mathbb{F}_2$ . In fact, k can usually be taken to be a ring rather than a field.

**Lemma 1.4.4.** Let A be a Hopf k-algebra, let M be an A-comodule, and let N be a k-module. There is a cofree adjunction:

Cite me: Cite these. A1.1-2 ir Ravenel are relevant.

$$\mathsf{Comodules}_A(M, N \otimes_k A) \cong \mathsf{Modules}_k(M, N),$$

where  $N \otimes_k A$  is given the structure of an A-comodule by the coaction map

$$N \otimes_k A \xrightarrow{\mathrm{id} \otimes \Delta} N \otimes_k (A \otimes_k A) = (N \otimes_k A) \otimes_k A.$$

*Proof.* Given a map  $f: M \to N$  of k-modules, we can build the composite

$$M \xrightarrow{\psi_M} M \otimes_k A \xrightarrow{f \otimes \mathrm{id}_A} N \otimes_k A.$$

Alternatively, given a map  $g: M \to N \otimes_k A$  of A-comodules, we build the composite

$$M \xrightarrow{g} N \otimes_k A \xrightarrow{\mathrm{id}_N \otimes \varepsilon} N \otimes_k k = N.$$

**Corollary 1.4.5.** The category Comodules<sub>A</sub> has enough injectives. Namely, if M is an A–comodule and  $M \to I$  is an inclusion of k–modules into an injective k–module I, then  $M \to I \otimes_k A$  is an injective A–comodule under M.

*Remark* 1.4.6. In our case, M itself is always k-injective, so there's already an injective map  $\psi_M : M \to M \otimes A$ : the coaction map. The assertion that this map is coassociative is identical to saying that it is a map of comodules.

Satisfied that "Ext" at least makes sense, we're free to chase more conceptual pursuits. Recall from algebraic geometry that a module M over a ring R gives rise to quasi-coherent sheaf  $\widetilde{M}$  over Spec R. We give a definition that fits with our functorial perspective:

**Definition 1.4.7.** A presheaf (of modules) over a scheme X is an assignment of maps  $\mathcal{F} \colon X(T) \to \mathsf{Modules}_T$ , functorially in T. Such a presheaf is said to be *quasicoherent* when a map  $\mathsf{Spec}\, S \to \mathsf{Spec}\, T \to X$  induces a natural isomorphism  $\mathcal{F}(T) \otimes_T S \cong \mathcal{F}(S)$ .

**Lemma 1.4.8.** An R-module M gives rise to a quasicoherent sheaf  $\widetilde{M}$  on Spec R by the rule  $(\operatorname{Spec} T \to \operatorname{Spec} R) \mapsto M \otimes_R T$ . Conversely, every quasicoherent sheaf over an affine scheme arises in this way.

**<u>Definition 1.4.9.</u>** A map  $f: \operatorname{Spec} S \to \operatorname{Spec} R$  induces maps  $f^* \dashv f_*$  of module sheaf categories, which on the level of quasi-coherent sheaves is given by

$$\begin{array}{c} \mathsf{QCoh}_{\operatorname{Spec} R} \xleftarrow{f^*} \mathsf{QCoh}_{\operatorname{Spec} S} \\ \parallel \qquad \qquad \parallel \\ \mathsf{Modules}_R \xleftarrow{M \mapsto M \otimes_R S} \mathsf{Modules}_S. \end{array}$$

The usual formula for the sheaf cohomology of a sheaf  $\mathcal{F}$  over an S-scheme X with structure map  $\pi\colon X\to S$  is given by  $\operatorname{Ext}(\mathcal{O}_S,\pi_*\mathcal{F})$  which is, indeed, vaguely reminiscent of the formula we were considering above as input to the Adams spectral sequence. Experience in algebraic geometry shows that it is conceptually profitable to consider the six-functors yoga more generally and their accompanying base-change formulas. A very basic example of such a formula is

$$\operatorname{Ext}_X(\pi^*\mathcal{O}_S,\mathcal{F})\cong\operatorname{Ext}_S(\mathcal{O}_S,R\pi_*\mathcal{F}),$$

which describes the functor "Ext" on quasicoherent sheaves of modules over X in terms of the derived functor  $R\pi_*$ .

We are thus moved to study derived base-change for comodules, thought of as sheaves equipped with an action by a group scheme. In particular, we want to understand what it means to "tensor" two comodules together. Unsurprisingly, the solution is dual to that for modules: tensor the two comodules together, then restrict to the elements where the coactions on either factor agree.

Can this definition be motivated?

Is there an easily graspable sense in which it looks like a bundle?

Straighten this out, using fibered categories?

Mention how the usual definition of a sheaf of  $\mathcal{O}_X$ —modules gives rise to such a thing: global sections over Spec T.

There is a todo he that I can't get to compile. See the source.

Cite me: Surely this is in Neil's FSFG somewhere.

Jay was frustrated with which adjoint I put on top (and perhaps which went on which side). Apparently there's some convention, which I should look up and obey.

Rearrange this middle bit some. "Definition of sheaf, six functors for sheaves on affines, definition of cotensor, adjunction assertion for comodules, remark about cotensoring restricting the image of the coaction" seems too convoluted a narrative structure. **Definition 1.4.10.** Given *A*–comodules *M* and *N*, their cotensor product is defined by the coequalizer

$$M\square_A N \to M \otimes_k N \xrightarrow{\psi_M \otimes 1 - 1 \otimes \psi_N} M \otimes_k A \otimes_k N.$$

**Lemma 1.4.11.** Given a map  $f: A \to B$  of Hopf k-algebras, the induced adjunction  $f^* \dashv f_*$  is given at the level of comodules by

Typographical suggestion: can you use a slightly small box for the cotenso product? It's very similar to the "qed box"; see lemma 1.4.13.

Remark 1.4.12. The formula for  $f_*N$  is what one would guess from the formula for pushforward along maps of affine schemes. The comodule  $f_*N$  wants to have as its underlying module N, but the coaction map on N needs to be reduced to lie only in A. The equalizer diagram in the definition of the cotensor product enforces this.

As an example application, cotensoring gives rise to a concise description of what it means to be a comodule map:

**Lemma 1.4.13** ([25, Lemma A1.1.6b]). Let M and N be A-comodules with M projective as a k-module. Then there is an equivalence

Comodules<sub>A</sub>
$$(M, N) = Modules_k(M, k) \square_A N. \square$$

From this, we can deduce the six–functors formula described above:

**Corollary 1.4.14.** *Let*  $N = N' \otimes_k A$  *be a cofree comodule. Then*  $N \square_A k = N'$ .

*Proof.* Picking M = k, we have

$$\mathsf{Modules}_k(k,N') = \mathsf{Comodules}_A(k,N)$$

$$= \mathsf{Modules}_k(k,k)\square_A N$$

$$= k\square_A N.$$

**Corollary 1.4.15.** *There is an isomorphism* 

$$\mathsf{Comodules}_A(k,N) = \mathsf{Modules}_k(k,k) \square_A N = k \square_A N$$

and hence

$$\operatorname{Ext}_A(k,N) \cong \operatorname{Cotor}_A(k,N).$$

Jeremy mentioned it's a stack, but it hasn't been introduced yet. Withou more information, I can't verify this lemma. Perhaps mention that it wibe defined more precisely later?

What is this notation Spec k double

*Proof.* Resolve N using the cofree modules described above, then apply either functor Comodules $_A(k,-)$  or  $k\square_A-$ . In both cases, you get the same complex.

*Example* 1.4.16. Let's contextualize this somewhat. Given a finite group G, we can form a commutative Hopf algebra  $k^G$ , the k-valued functions on G. This Hopf algebra is dual to the Hopf algebra k[G], the group–algebra on G. It is classical that a G-module M is equivalent data to a k[G]-module structure, and if M is suitably finite, we can dualize the action map to produce a coaction map

$$M^* \to k^G \otimes M^*$$
.

Additionally, we have  $M^*\square_{k^G}N^*=(M\otimes_G N)^*$ , so that  $M^*\square_{k^G}k=(H^0(G,M))^*$ .

*Example* 1.4.17. In the previous lecture, we identified  $A_*$  with the ring of functions on the group scheme  $\underline{Aut}_1(\widehat{\mathbb{G}}_a)$ , which is defined by the kernel sequence

$$0 \to \underline{\mathrm{Aut}}_1(\widehat{\mathbb{G}}_a) \to \underline{\mathrm{Aut}}(\widehat{\mathbb{G}}_a) \to \mathbb{G}_m \to 0.$$

Today's punchline is that this is analogous to the example above:  $Cotor_{\mathcal{A}_*}(\mathbb{F}_2, H\mathbb{F}_{2*}X)$  is thought of as "the derived fixed points" of " $G = Aut_1(\widehat{\mathbb{G}}_a)$ " on the "G-module"  $H\mathbb{F}_{2*}X$ .

*Example* 1.4.18. Consider the degenerate case  $X = H\mathbb{F}_2$ . Then  $H\mathbb{F}_{2*}(H\mathbb{F}_2) = \mathcal{A}_*$  is a cofree comodule, and hence Cotor is concentrated on the 0–line:

$$\operatorname{Cotor}_{\mathcal{A}_*}(\mathbb{F}_2, H\mathbb{F}_{2*}(H\mathbb{F}_2)) = \mathbb{F}_2.$$

The Adams spectral sequence collapses to show the wholly unsurprising equality  $\pi_* H \mathbb{F}_2 = \mathbb{F}_2$ , and indeed this is the element in the image of the Hurewicz map  $\pi_* H \mathbb{F}_2 \to H \mathbb{F}_{2*} H \mathbb{F}_2$ .

Example 1.4.19. At the other extreme, we can pick the extremely nondegenerate case X = S, pictured through a range in Figure 1.1.

Technically, we've only identified Spec  $AP_0$ , but at least it's plausible that the sub-group scheme in degree 0 (what does this mean??) gives us Spec  $A_*$ ???

Say the word "strict" here.

How do the duals from the above ar gument play into this? Do they just cancel out?

Label elements? Identify some groups?



Figure 1.1: A small piece of the  $H\mathbb{F}_2$ -Adams spectral sequence for the sphere, beginning at the second page. North and north-east lines denote multiplication by 2 and by  $\eta$ , north-west lines denote  $d_2$ - and  $d_3$ -differentials.

Jon asked: spectral sequences coming from  $\pi_*$  of a Tot tower increase Tot degree. ANSS differentials decrease degree: they run against the multiplicative structure in pictures. What's going on with this? I think this is a duality effect: working with the Steenrod algebra versus its dual

 $\mathcal{A}(1)_*$  is the Hopf algebra for a dihedral group. Is this example appropriate somewhere in this section?

Last time you used  $H\mathbb{F}_{2*}$  instead of  $H\mathbb{F}_2 P_0$ .

Why do you state this Lemma? What's below isn't exactly a Corollary, I don't feel, without saying a lot more about how the diagonal on cohomology behaves (and then knowing something about Cartier duality or coalgebraic formal schemes).

 $H\mathbb{F}_{2}^{*}(BO(n)) -$ 

 $H\mathbb{F}_{2}^{*}(BO(1)^{\times n})$  lands in the W-invariants, where

W is the Weyl group of  $BO(1)^{\times n}$  in BO(n) (this is true because the normalizer N=

 $N_{BO(n)}(BO(1)^{\times n})$ 

on the right term it quotients to an action of the Weyl group). You can easily check that N

is generated by the permutation matrices and  $BO(1)^{\times n}$ , so the Weyl group is  $\Sigma_n$ , and it acts by permuting the factors of BO(1). The invariants in

acts on both by conjugation, and

### 1.5 Feb 5: The unoriented bordism ring

Our goal today is to use the results of the previous lectures to make a calculation of  $\pi_*MO$ , the unoriented bordism ring. The Adams spectral sequence converging to this has signature

$$H_{\mathrm{gp}}^*(\underline{\mathrm{Aut}}_1(\widehat{\mathbb{G}}_a); H\mathbb{F}_2P_0(MO)) \Rightarrow \pi_*MO,$$

and so we see that we need to understand  $H\mathbb{F}_2P_0(MO)$ , together with its comodule structure over the dual Steenrod algebra.

Our first step toward this is the following calculation:

**Lemma 1.5.1.** 
$$H\mathbb{F}_2 P^0 BO(n) \cong \mathbb{F}_2 \llbracket w_1, \ldots, w_n \rrbracket$$
.

*Proof.* The orthogonal groups sit in coset fibration sequences

$$O(n-1) \rightarrow O(n) \rightarrow S^{n-1}$$
,

and delooping the groups gives a rotated spherical fibration

$$S^{n-1} \to BO(n-1) \to BO(n)$$
.

The associated Serre spectral sequence shows that  $H\mathbb{F}_2P^0(BO(n))$  must have one extra free generator in it to receive a differential from the exterior generator of  $H\mathbb{F}_2P^0(S^{n-1})$ . Noting  $BO(1) \simeq \mathbb{R}P^{\infty}$ , our discussion from previous lectures takes care of the base case.

**Corollary 1.5.2.** *There is a triangle* 

$$Sym \, H\mathbb{F}_2 P_0(BO(1))$$

$$\downarrow^{equiv}$$

$$H\mathbb{F}_2 P_0(BO(1)) \longrightarrow H\mathbb{F}_2 P_0(BO). \quad \Box$$

\_We will defer the proof of this until Case Study 2, since it requires knowing that

$$H\mathbb{F}_2 P^0(BO(k)) \to H\mathbb{F}_2 P^0(BO(1)^{\times k})$$

is injective, which we will revisit later anyhow.

With this in hand, however, we can uncover the ring structure on  $H\mathbb{F}_2P_0(MO)$ :

Corollary 1.5.3. There is also a triangle

$$\operatorname{Sym} H\mathbb{F}_2 P_0(MO(1))$$
 
$$\downarrow^{equiv}$$
 
$$H\mathbb{F}_2 P_0(MO(1)) \longrightarrow H\mathbb{F}_2 P_0(MO).$$

In particular,  $H\mathbb{F}_2P_0(MO) \cong \mathbb{F}_2[b_1, b_2, \ldots]$ .

*Proof.* The block sum maps

$$BO(n) \times BO(m) \rightarrow BO(n+m)$$

Thomify to give compatible maps

$$MO(n) \wedge MO(m) \rightarrow MO(n+m)$$
.

Taking the limit in n and m, this gives a ring structure on MO compatible with that on BO. The Corollary then follows from the functoriality of Thom isomorphisms.

We now seek to understand the scheme Spec  $H\mathbb{F}_2P_0(MO)$ , and in particular its action of  $\underline{\operatorname{Aut}}(\widehat{\mathbb{G}}_a)$ . Our launching-off point for this is a topological version of the "freeness" result in the previous Corollary:

#### **Lemma 1.5.4.** *The following square commutes:*

$$\begin{split} \mathsf{Modules}_{\mathbb{F}_2}(H\mathbb{F}_2P_0(MO),\mathbb{F}_2) &\longleftarrow_{equiv} \quad \mathsf{Spectra}(MO,H\mathbb{F}_2P) \\ & \qquad \\ \mathsf{Algebras}_{\mathbb{F}_2/}(H\mathbb{F}_2P_0(MO),\mathbb{F}_2) &\longleftarrow_{equiv} \quad \mathsf{RingSpectra}(MO,H\mathbb{F}_2P). \end{split}$$

*Proof.* The top isomorphism asserts only that  $\mathbb{F}_2$ -cohomology and  $\mathbb{F}_2$ -homology are linearly dual to one another. The second follows immediately from investigating the effect of the ring homomorphism diagrams in the bottom-right corner in terms of the subset they select in the top-left.

**Corollary 1.5.5.** There is a bijection between homotopy classes of ring maps  $MO \to H\mathbb{F}_2P$  and homotopy classes of factorizations



*Proof.* Given a ring map  $MO \to H\mathbb{F}_2P$ , we can restrict it along the inclusion  $MO(1) \to MO$  to produce a particular cohomology class

$$f \in H\mathbb{F}_2 P^0(MO(1)) = \mathsf{Modules}_{\mathbb{F}_2}(H\mathbb{F}_2 P_0(MO(1)), \mathbb{F}_2).$$

Interpreting f as such a function, it is determined by its behavior on the basis of vectors in  $\widehat{H\mathbb{F}_2P_0}(MO(1))$  dual to the powers of the usual coordinate  $x\in H\mathbb{F}_2^1(\mathbb{R}P^\infty)$ . Finally, given *any* module map  $\widehat{H\mathbb{F}_2P_0}(MO(1))\to \mathbb{F}_2$ , we can employ Corollary 1.5.3 and to produce an algebra map  $H\mathbb{F}_2P_0(MO)\to \mathbb{F}_2$ . Lemma 1.5.4 then gives a ring spectrum map  $MO\to H\mathbb{F}_2P$ .

Why is this sentence "Interpreting..." needed?
Also, the Thom isomorphism should appear somewhere right?

**Corollary 1.5.6.** There is an  $\underline{\mathrm{Aut}}(\widehat{\mathbb{G}}_a)$ -equivariant isomorphism of schemes

$$\operatorname{Spec} H\mathbb{F}_2 P_0(MO) \cong \operatorname{Coord}_1(\mathbb{R} P^{\infty}_{H\mathbb{F}_2 P}),$$

where the latter is the scheme of coordinate functions on  $\mathbb{R}P^{\infty}_{H\mathbb{F}_2P} \to \widehat{\mathbb{A}}^1$  which restrict to the canonical identification of tangent spaces  $\mathbb{R}P^1_{H\mathbb{F}_2P} = \widehat{\mathbb{A}}^{1,(1)}$ .

*Proof.* The method of the previous proof is to exhibit a isomorphism between these schemes. To learn that this isomorphism is equivariant for  $\underline{\mathrm{Aut}}(\widehat{\mathbb{G}}_a)$ , you need only know that the image of the map  $MO(1) \to MO$  on mod–2 homology generates  $H\mathbb{F}_2P_0(MO)$  as an alge-

We are now ready to analyze the group cohomology of  $\underline{\mathrm{Aut}}(\widehat{\mathbb{G}}_a)$  with coefficients in the comodule  $H\mathbb{F}_2P_0(MO)$ .

**Theorem 1.5.7.** *The action of*  $\underline{\operatorname{Aut}}_1(\widehat{\mathbb{G}}_a)$  *on*  $\operatorname{Coord}_1(\widehat{\mathbb{G}}_a)$  *is* free.

*Proof.* Recall that  $\underline{Aut}_1(\widehat{\mathbb{G}}_a)$  is defined by the (split) kernel sequence

$$0 \to \underline{\mathrm{Aut}}_1(\widehat{\mathbb{G}}_a) \to \underline{\mathrm{Aut}}(\widehat{\mathbb{G}}_a) \to \mathbb{G}_m \to 0.$$

Consider a point  $f \in \text{Coord}_1(\widehat{\mathbb{G}}_a)(R)$ , which in terms of the standard coordinate can be expressed as

$$f(x) = \sum_{j=1}^{\infty} b_{j-1} x^j,$$

where  $b_0 = 1$ . Decompose this series as  $f(x) = f_2(x) + f_r(x)$ , with

$$f_2(x) = \sum_{k=0}^{\infty} b_{2^k - 1} x^{2^k},$$
  $f_r(x) = \sum_{j \neq 2^k} b_{j-1} x^j.$ 

Note that  $f_2$  gives a point  $f_2 \in \operatorname{Aut}_1(\widehat{\mathbb{G}}_a)(R)$ , so we can de-scale by it to give a new coordinate  $g(x) = f_2^{-1}(f(x))$  with analogous series  $g_2(x)$  and  $g_r(x)$ . Note that  $g_2(x) = x$  and that  $f_2$  is the unique point in  $\operatorname{\underline{Aut}}_1(\widehat{\mathbb{G}}_a)(R)$  that has this property.

Here's the unitality assumption again.

I don't really see what argument is being made about  $\operatorname{Aut}\widehat{G}_a$  equivariance. I don't think that the topological map is surjective...?

bra.

This is clumsily

'd like more details or this argument

So it seems like what you end up using about this theorem for the purposes of the calculation at hand is not just that the action is free, but you have such a nice identification of the quotient. Maybe it would be clearer to include this as part of the statement?

Why is this? I think I have a somewhat incorrect idea of what Coord is. In addition to being a set map that sends the set of nilpotent elements in R to itself, what other conditions does f have to satisfy?

Right, because raising to powers of two satisfies "Freshman's dream", so  $f_2(x + y) = f_2(y)$ , so  $f_2$  is a automorphism of group schemes. What condition does the subscript 1 imply in this case?

**Corollary 1.5.8.**  $\pi_*MO = \mathbb{F}_2[b_i \mid j \neq 2^k - 1, j \geq 1]$  *with*  $|b_i| = j$ .

*Proof.* Set  $M = \mathbb{F}_2[b_j \mid j \neq 2^k - 1]$ . It follows from the above that the  $\mathrm{Aut}_1(\widehat{\mathbb{G}}_a)$ cohomology of  $H\mathbb{F}_2 P_0(MO)$  has amplitude 0:

the base change the orems from the pre

 $\operatorname{Cotor}_{A_*}^{*,*}(\mathbb{F}_2, H\mathbb{F}_2 P_0(MO)) = \operatorname{Cotor}_{A_*}^{*,*}(\mathbb{F}_2, \mathcal{A}_* \otimes_{\mathbb{F}_2} M)$  $= \mathbb{F}_2 \square_{\mathcal{A}_*} (\mathcal{A}_* \otimes_{\mathbb{F}_2} M)$  $= \mathbb{F}_2 \otimes_{\mathbb{F}_2} M = M.$ 

Amplitude just means row, right

Since the Adams spectral sequence

justify the step that looks like reassociation of the tensor ucts. You almost definitely proved this on the previous

$$H_{\mathrm{gp}}^*(\underline{\mathrm{Aut}}_1(\widehat{\mathbb{G}}_a); H\mathbb{F}_2 P_0(MO)) \Rightarrow \pi_* MO$$

to do with  $\mathcal{A}P_0$  instead. Does it make

is concentrated on the 0-line, it collapses. Using the residual  $\mathbb{G}_m$ -action to infer the grading, we thus deduce

 $\pi_*MO = \mathbb{F}_2[b_i \mid j \neq 2^k - 1].$ 

Is there a reason we even in hindsight?

This is pretty remarkable: some big statement about manifold geometry came down to understanding how we could reparametrize a certain formal group, itself a (fairly simple) purely algebraic problem. We could close here, but there's an easy homotopical consequence of this fact that is worth recording before we leave:

**Lemma 1.5.9.** *MO splits as a wedge of shifts of*  $H\mathbb{F}_2$ .

*Proof.* «««< HEAD Referring to Lemma 1.4.2, we have a  $\pi_*$ -injection  $MO \to H\mathbb{F}_2 \land MO$ . Pick an  $\mathbb{F}_2$ -basis  $\{v_\alpha\}_\alpha$  for  $\pi_*MO$  and extend it to a  $\mathbb{F}_2$ -basis  $\{v_\alpha\}_\alpha \cup \{w_\beta\}_\beta$  for  $\pi_*H\mathbb{F}_2 \wedge \mathbb{F}_2$ MO. Altogether, this larger basis can be represented as a single map

$$\bigvee_{\alpha} \Sigma^{m_{\alpha}} \mathbb{S} \vee \bigvee_{\beta} \Sigma^{n_{\beta}} \mathbb{S} \xrightarrow{\bigvee_{\alpha} v_{\alpha} \vee \bigvee_{\beta} w_{\beta}} H\mathbb{F}_{2} \wedge MO.$$

Smashing through with  $H\mathbb{F}_2$  gives an equivalence

$$\bigvee_{\alpha} \Sigma^{m_{\alpha}} H\mathbb{F}_{2} \vee \bigvee_{\beta} \Sigma^{n_{\beta}} H\mathbb{F}_{2} \xrightarrow{\simeq} H\mathbb{F}_{2} \wedge MO.$$

The composite map

 $MO \to H\mathbb{F}_2 \land MO \stackrel{\simeq}{\leftarrow} \bigvee_{\alpha} \Sigma^{m_{\alpha}} H\mathbb{F}_2 \lor \bigvee_{\beta} \Sigma^{n_{\beta}} H\mathbb{F}_2 \to \bigvee_{\alpha} \Sigma^{m_{\alpha}} H\mathbb{F}_2$ 

the degrees of  $v_0$ 

is a weak equivalence.

*Remark* 1.5.10. Just using that  $\pi_*MO$  is connective and  $\pi_0MO = \mathbb{F}_2$ , we can produce a ring spectrum map  $MO \rightarrow H\mathbb{F}_2$ . What we've learned is that this map has a splitting: MOis also an  $H\mathbb{F}_2$ -algebra.

Should you eventually mention the stable cooperations MO<sup>MO</sup>? Rather than coming with a specified logarithm, it's an isomorphism between any pair of additive formal groups — or, I suppose, a pair of logarithms

# Case Study 2

## Complex bordism

Write an introduction for me.

### 2.1 Feb 8: Formal varieties

I think this lecture may be too long. On the other hand, the rational stuff at the end will go rather quickly — which I know from experience in the Pittsburgh talks.

Having totally dissected unoriented bordism, we can now turn our attention to other sorts of bordism theories, and there are many available: oriented, *Spin*, *String*, complex, .... We would like to replicate the results from Case Study 1 for these other contexts, but we quickly see that only one of the listed bordism theories supports this program. The space  $\mathbb{R}P^{\infty} = BO(1)$  was a key player in the unoriented bordism story, and the only other bordism theory with a similar ground object is complex bordism, with  $\mathbb{C}P^{\infty} = BU(1)$ . So, we will focus on it.

The contents of Lecture 1.1 can be replicated essentially *mutatis mutandis*, resulting in the following theorems:

**Theorem 2.1.1.** *There is a complex J-homomorphism* 

Cite me: Give a reference from Lecture 1.1.

$$I_{\mathbb{C}}: BU \to BGL_1\mathbb{S}.$$

**Definition 2.1.2.** The associated Thom spectrum is written "MU" and called *complex bordism*. A map  $MU \rightarrow E$  of ring spectra is said to be a *complex orientation of* E.

**Theorem 2.1.3.** For a complex vector bundle  $\xi$  on a space X and a complex-orientedring spectrum E, there is a natural equivalence

$$E \wedge T(\xi) \simeq E \wedge \Sigma_+^{\infty} X$$
.  $\square$ 

**Corollary 2.1.4.** *In particular, for a complex-oriented ring spectrum* E *it follows that*  $E^*\mathbb{C}P^\infty$  *is isomorphic to a one–dimensional power series ring.* 

Cite me: Give a reference from Lecture 1.1.

Something I've seen more than once is an equivalence  $MU(k) \simeq BU(k)/BU(k-1)$ . It's not immediately obvious to me where this comes from. Where does it come from? Is it helpful to think about?

Cite me: Give a reference from Lecture 1.1.

I don't remember discussing orientations in the last chapter (probably because it's not needed), but maybe you can say something about where Erick has been complaining about this definition for a while, and I think he's right. His sug-

plaining about this definition for a while, and I think he's right. His sug gestion is for each scheme to be a nilpotent thickening over its reduc tion, which is of finite type (over whatever base). I cept compulsively writing "Artinian" but he pointed out that  $\mathbb{Z}[x]/x^n$  is *not* Artinian, and so this can't be the right assumption 'm not sure why vas so stuck on this word... am I forgetting some important

Why Artinian? Also, you used to say finite instead of Artinian, so maybe you can standardize the terminology across chapters.

I'm guessing this means isomorphic to the constant ind system {Spec *R*}?

I don't understand your decorations for the vertical arrows. Shouldn't they be arrows? And why is the left one densely dotted?

You owe a proof of:
Definition of open

You owe a proof of: Definition of closed subscheme without

When is this system one of Artinian schemes? The condition we came to in class was that *I* is its own radical and *R* is Noetherian. I'm very mildly uncomfortable with this condition on *I*.

I thought in class you said that  $\sqrt{I}$  is maximal instead of I is radical?

I have very little intuition for Spf R. Could you define this more precisely, especially as an indsystem from the functors of points perspective?

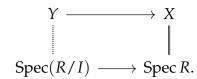
In light of these results, it seems prudent to develop some of the theory of formal schemes and formal varieties outside of the context of  $\mathbb{F}_2$ –algebras.

**Definition 2.1.5.** Fix a scheme S. A formal S-scheme  $X = \{X_{\alpha}\}_{\alpha}$  is an ind-system of Artinian S-schemes  $X_{\alpha}$ . S-schemes  $X_{\alpha}$ .

*Remark* 2.1.6. In the case  $S = \operatorname{Spec} k$  for a field k, "Artinian" means that  $\mathcal{O}_{X_{\alpha}}$  is a finite-dimensional k-vector space.

These ind-systems arise when studying completions of rings. To address the geometric situation, we first owe ourselves a definition of a closed subscheme:

**Definition 2.1.7.** Let X be an affine formal scheme, and pick a chart Spec  $R \to X$ . A subscheme  $Y \subseteq X$  is called *closed* when it has the form



There's a complementary notion of an open subscheme, which we will continue to avoid for now. These definitions are both best stated in a coordinate–free way, but the open subscheme version really *requires* it, so we will postpone it until later. For now, we will proceed with the geometry:

**Definition 2.1.8.** Consider such a closed subscheme Y of an affine S-scheme X, modeled by a map Spec  $R/I \to \operatorname{Spec} R$ . We define the  $n^{th}$  order neighborhood of Y in X to be the scheme  $\operatorname{Spec} R/I^{n+1}$ . The formal neighborhood of Y in X is then the ind-system

$$X_Y^{\wedge} := \left\{ \operatorname{Spec} R/I \to \operatorname{Spec} R/I^2 \to \operatorname{Spec} R/I^3 \to \cdots \right\}.$$

So, formal schemes arise naturally when studying the local geometry of X near a subscheme Y. An exceedingly common situation is for X to be a variety and Y to be a smooth point, so that  $X_Y^{\wedge}$  looks like "a small piece of affine space". We pin this important case down with a definition:

**Definition 2.1.9.** In the case that  $S = \operatorname{Spec} R$  is affine, formal affine n–space over S is defined by

$$\widehat{\mathbb{A}}^n = \operatorname{Spf} R[x_1, \ldots, x_n].$$

A formal affine variety is a formal scheme V which is (noncanonically) isomorphic to  $\widehat{\mathbb{A}}^n$ 

Maybe I'm confused about grading issues, but I thought  $E^*CP^\infty$  was a polynomial ring and  $EP^0CP^\infty$  is the power series ring?

Also, this is a nice argument. Usually this computation proceeds through the AHSS. Can this method be adapted to spaces other than  $C_P^{\infty 2}$ 

for some n. The two maps in an isomorphism pair

$$V \to \widehat{\mathbb{A}}^n$$
,  $V \leftarrow \widehat{\mathbb{A}}^n$ 

are called a *coordinate* (system) and a parameter (system) respectively.

**Lemma 2.1.10.** A pointed map  $\widehat{\mathbb{A}}^n \to \widehat{\mathbb{A}}^m$  is identical to an m-tuple of n-variate power series with no constant term.

Remark 2.1.11. In some sense, Lemma 2.1.10 is a full explanation for why anyone would even think to involve formal geometry in algebraic topology (nevermind how useful the program has been in the long run). Calculations in algebraic topology are frequently expressed in terms of power series rings, and with this Lemma we are provided geometric interpretations for such statements.

Lemma 2.1.10 shows how formal varieties are especially nice, because maps between them can be boiled down to statements about power series. In particular, this allows local theorems from analytic differential geometry to be imported, including a version of the inverse function theorem, which we will now work towards.

**Definition 2.1.12.** Let V be a formal variety and let  $I_V = \widehat{\mathbb{A}}^1(V)$  be the ideal of functions vanishing at the origin. Then, we define the *cotangent space of* V at the origin by

 $T^*V = I_V/I_V^2.$ 

**Lemma 2.1.13.** *There is an isomorphism* 

$$TV \cong \mathsf{Modules}_R(T^*V, R).$$

*Proof.* A point  $f \in V(R[\varepsilon]/\varepsilon^2)$  is given by a map  $f \colon \mathcal{O}_V \to R[\varepsilon]/\varepsilon^2$ . If f is pointed, then it carries the ideal I(0) of functions vanishing at zero to the ideal  $(\varepsilon)$ , and hence also carries  $I(0)^2$  to  $(\varepsilon)^2 = 0$ . Hence, f induces a map

$$I(0)/I(0)^{2} \xrightarrow{f} (\varepsilon)/(\varepsilon)^{2}$$

$$\parallel \qquad \qquad \parallel$$

$$T^{*}V \xrightarrow{f} R,$$

hence a point in  $Modules_R(T^*V, R)$ . This assignment is visibly bijective.

**Theorem 2.1.14.** A map  $f: V \to W$  of finite–dimensional formal varieties is an isomorphism if and only if the induced map  $Tf: TV \to TW$  is an isomorphism of R-modules.

*Proof.* First, reduce to the case where  $V \cong \widehat{\mathbb{A}}^n$  and  $W \cong \widehat{\mathbb{A}}^n$  have the same dimension , and select charts for both. Then, Tf is a matrix of dimension  $n \times n$ . If Tf fails to be

Work an example first of  $\widehat{\mathbb{A}}^1$ , rather than an abstract formal variety.

Strictly speaking, I don't think this notation has been introduced.

Cite me: This is 3.1.8 in the Crystals notes..

you are defining Spf, but there may be something to show here, since you're not actually "reducing" to the case but showing that it 'is" the case invertible, we are done, and if it is invertible, we replace f by  $f \circ (Tf)^{-1}$  so that Tf is the identity matrix.

We now construct the inverse function by induction on degree. Set  $g^{(1)}$  to be the identity function, so that f and  $g^{(1)}$  are mutual inverses when restricted to the first-order neighborhood. So, suppose that  $g^{(r-1)}$  has been constructed, and consider its interaction with f on the  $r^{\text{th}}$  order neighborhood:

$$g_i^{(r-1)}(f(x)) = x_i + \sum_{|J|=r} c_J x_1^{J_1} \cdots x_n^{J_n} + o(r+1).$$

By adding in the correction term

$$g_i^{(r)} = g_i^{(r-1)} - \sum_{|J|=r} c_J x_1^{J_1} \cdots x_n^{J_n},$$

we have 
$$g_i^{(r)}(f(x)) = x_i + o(r)$$
.

We now return to our motivating example of  $\mathbb{C}P_E^{\infty}$  for E a complex-oriented cohomology theory, where we saw that the complex-orientation determines an isomorphism  $\mathbb{C}P_E^{\infty} \cong \widehat{\mathbb{A}}^1$ . However, the object " $E^*\mathbb{C}P^{\infty}$ " is something that exists independent of the orientation map  $MU \to E$ , and we now have the language to tease apart this situation:

**Lemma 2.1.15.** A cohomology theory E is complex orientable (i.e., it is able to receive a ring map from MU) precisely when  $\mathbb{C}P_E^{\infty}$  is a formal curve. A choice of map  $MU \to E$  determines a coordinate  $\mathbb{C}P_E^{\infty} \cong \widehat{\mathbb{A}}^1$ .

As we saw in the first case study,  $\mathbb{C}P_E^{\infty}$  has more structure than just a formal scheme: it also carries the structure of a group. We close today with some remarks about such objects.

<u>**Definition 2.1.16.**</u> A formal group is a formal variety endowed with an abelian group structure.<sup>1</sup>

*Remark* 2.1.17. As with formal schemes, formal groups can arise as formal completions of an algebraic group at its identity point. It turns out that there are many more formal groups than come from this procedure, a phenomenon that is of keen interest to stable homotopy theorists.

**Corollary 2.1.18.** As with physical groups, the formal group addition map on  $\widehat{\mathbb{G}}$  determines the inverse law.

Is this just a formal variety of dimension 1?

Add some kind of reference to a complaint about this? It's not like we're going to talk about TMF much.

<sup>&</sup>lt;sup>1</sup>Formal groups in dimension 1 are automatically commutative if and only if the ground ring has no elements which are simultaneously nilpotent and torsion.

Proof. Consider the shearing map

$$\widehat{\mathbb{G}} \times \widehat{\mathbb{G}} \xrightarrow{\sigma} \widehat{\mathbb{G}} \times \widehat{\mathbb{G}},$$
  
 $(x,y) \mapsto (x,x+y).$ 

The induced map  $T\sigma$  on tangent spaces is evidently invertible, so by Theorem 2.1.14 there is an inverse map  $(x,y) \mapsto (x,y-x)$ . Setting y=0 and projecting to the second factor gives the inversion map.

**Definition 2.1.19.** Let  $\widehat{G}$  be a formal group. In the presence of a coordinate  $\varphi \colon \widehat{G} \cong \widehat{\mathbb{A}}^n$ , the addition law on  $\widehat{G}$  begets a map

Again, the vertical arrows should be arrows, not equal signs?

and hence a *n*-tuple of (2n)-variate power series " $+_{\varphi}$ ", satisfying

$$\underline{x} +_{\varphi} \underline{y} = \underline{y} +_{\varphi} \underline{x}, \qquad \text{(commutativity)}$$

$$\underline{x} +_{\varphi} \underline{0} = \underline{x}, \qquad \text{(unitality)}$$

$$\underline{x} +_{\varphi} (\underline{y} +_{\varphi} \underline{z}) = (\underline{x} +_{\varphi} \underline{y}) +_{\varphi} \underline{z}. \qquad \text{(associativity)}$$

Such a tuple  $+_{\varphi}$  is called a *formal group law*.

Let's now consider two examples of E which are complex-orientable and describe  $\mathbb{C}P_E^{\infty}$  for them.

*Example* 2.1.20. There is an isomorphism  $\mathbb{C}P^{\infty}_{H\mathbb{Z}P} \cong \widehat{\mathbb{G}}_a$ . This follows from reasoning identical to that given in Example 1.3.7.

*Example* 2.1.21. There is also an isomorphism  $\mathbb{C}P_{KU}^{\infty} \cong \widehat{\mathbb{G}}_m$ . Given a complex line bundle  $\mathcal{L}$  over a space X, we use the complex orientation of KU where the total Chern class of  $\mathcal{L}$  is given by

$$c(\mathcal{L}) = 1 - [\mathcal{L}].$$

Given this definition, we perform a manual computation:

$$\begin{split} c(\mathcal{L}_1 \otimes \mathcal{L}_2) &= 1 - [\mathcal{L}_1 \otimes \mathcal{L}_2] = 1 - [\mathcal{L}_1][\mathcal{L}_2] \\ &= -1 + [\mathcal{L}_1] + [\mathcal{L}_2] - [\mathcal{L}_1][\mathcal{L}_2] + 1 - [\mathcal{L}_1] + 1 - [\mathcal{L}_2] \\ &= (1 - [\mathcal{L}_1]) + (1 - [\mathcal{L}_2]) - (1 - [\mathcal{L}_1])(1 - [\mathcal{L}_2]) \\ &= c(\mathcal{L}_1) + c(\mathcal{L}_2) - c(\mathcal{L}_1)c(\mathcal{L}_2). \end{split}$$

In this coordinate on  $\mathbb{C}P_{KU}^{\infty}$ , the group law is then  $x +_! y = x + y - xy$ .

distinguish c from  $c_1$ , things should fall into place bette

Make it clear that we're using the cordinate 1 - t on  $G_m$ .

Why is the notation

We will close today by showing that the rational theory of formal groups is highly degenerate, similar to the rational theory of spectra.

**Definition 2.1.22.** The module of *Kähler differentials* on a k-algebra R is an R-module  $\Omega^1_{R/k}$ . It is generated by symbols dr for each element  $r \in R$ , subject to the two families of relations

$$ds = 0, s \in k$$
 (differentiation is linear for "scalars")  $d(rr') = rdr' + r'dr$ . ( $d$  is a derivation)

Elements of  $\Omega_{R/k}^1$  are referred to as 1–*forms*.

**Lemma 2.1.23.** The module  $\Omega^1_{R/k}$  is universal for derivations into R–modules:

$$\mathsf{Derivations}_k(R,M) = \mathsf{Modules}_R(\Omega^1_{R/k},M). \quad \Box$$

These definitions are interesting in this level of generality, but suppose now that k is a Q-algebra and that  $R = k[\![x]\!]$  is the coordinatized ring of functions on a formal line over k. What's special about this rational curve case is that differentiation gives an isomorphism between  $\Omega^1_{R/k}$  and the ideal (x) of functions vanishing at the origin, i.e., the ideal sheaf selecting the closed subscheme Spec  $k \to \operatorname{Spf} R$ . Its inverse is formal integration:

$$\int : \left(\sum_{j=0}^{\infty} c_j x^j\right) dx \mapsto \sum_{j=0}^{\infty} \frac{c_j}{j+1} x^{j+1}.$$

Taking a cue from classical Lie theory, we attempt to define exponential and logarithm functions for a given formal group law F. This is typically accomplished by studying left–invariant differentials: a 1–form f(x)dx is said to be left–invariant under F when

$$f(x)dx = f(y +_F x)d(y +_F x) = f(y +_F x)\frac{\partial(y +_F x)}{\partial x}dx.$$

Restricting to the origin by setting x = 0, we deduce the condition

$$f(0) = f(y) \cdot \frac{\partial (y +_F x)}{\partial x} \bigg|_{x=0}$$
.

If R is a Q-algebra, then setting the boundary condition f(0) = 1 and integrating against y yields

$$\log_F(y) = \int f(y) \, dy = \int \left( \left. \frac{\partial (y +_F x)}{\partial x} \right|_{x = 0} \right)^{-1} dy.$$

To see that the series log, has the claimed homomorphism property, note that

$$\frac{\partial \log_F(y+_F x)}{\partial x} dx = f(y+_F x)d(y+_F x) = f(x)dx = \frac{\partial \log_F(x)}{\partial x} dx,$$

Do we ever use this? Does it provide intuition? Hmph.

Also define the *sheaf* of invariant differentials, since you use that in a couple of days.

ere that the sub

ent to the module of tangent vectors.

The condition  $\omega$   $\Gamma_y^*\omega$  looks more

While the notatio log is suggestive, I don't think you claimed anything yet at this point.

so  $\log_F(y +_F x)$  and  $\log_F(x)$  differ by a constant. Checking at y = 0 shows that the constant is  $\log_F(x)$ , hence

$$\log_F(x +_F y) = \log_F(x) + \log_F(y).$$

In all, this argument bundles into the following coordinate-free theorem:

Theorem 2.1.24. *There is a unique isomorphism* 

This feels like a bit of a jump. "⊗", for instance?

$$\widehat{\mathsf{G}} \xrightarrow{\log} \operatorname{Lie} \widehat{\mathsf{G}} \otimes \widehat{\mathsf{G}}_a$$
.  $\square$ 

First you have map  $\widehat{\mathbb{G}}\otimes (\Omega^1)^F \to \widehat{\mathbb{G}}_a$ , and then you move  $(\Omega^1)^F$  to the right, changing it to Lie  $\widehat{\mathbb{G}}$ 

## 2.2 Feb 10: Divisors on formal curves

Cite me: Section 2.4.2 of the AHS

dard logarithm for

We now have a solid foundation for the most important case of the complex-oriented cohomology of a space:  $E^*\mathbb{C}\mathrm{P}^\infty$ . We turn next to an algebro-geometric model for the other topological operation complex-oriented cohomology theories are well-suited for: the formation of Thom complexes. Recall the theorem from the beginning of last time:

**Theorem 2.2.1** (Theorem 2.1.3). For a complex vector bundle  $\xi$  on a space X and a complex-oriented ring spectrum E, there is a natural equivalence

$$E \wedge T(\xi) \simeq E \wedge \Sigma_+^{\infty} X$$
.  $\square$ 

Recalling also the perspective on modules as quasicoherent sheaves from Lecture 1.4, we are thus moved to study sheaves of modules on  $X_E$  which are 1–dimensional — i.e., line bundles. Having said all that, we will leave the topology for tomorrow and focus on the algebra today. We fix the following three pieces of data:

- *S* is our "base" formal scheme.
- *C* is a formal curve over *S*.
- $\zeta: S \to C$  is a distinguished point on C.

Recall that yesterday we defined what it meant for a subscheme to be closed. The notion of a divisor on a formal curve is a particular sort of closed subscheme:

**Definition 2.2.2.** An *effective Weil divisor* D on C is a closed S-subscheme of C whose structure map  $D \to S$  is flat and whose ideal sheaf  $\mathcal{I}_D$  is free of rank 1 as an  $\mathcal{O}_S$ -module. We say that the *rank* of D is n when its ring of functions  $\mathcal{O}_D$  is free of rank n over  $\mathcal{O}_S$ .

Cite me: Def 2.33 of AHS preprint.

Is there a distinction between free and locally free? I guess not because everything is affin

Is this last condition easy to unpack? I'd hope it means something about monicity. Maybe see Lemma 17.1 of FPFP?

How do you even show that f can be chosen to be a polynomial, and not just a power series? Weierstrass?

I wonder if it's possible to frame this argument with Theorem A.3.1. The proof given here is Prop 5.2 of FSFG.

as real content!

nilpotent, then Weierstrass factor

oolynomial. But,

tending to leave it for much later. Maybe we should

ve haven't talked

Consider the case of interest to us, where we have selected a coordinate x on C. In that case, there are isomorphisms  $S = \operatorname{Spec} E_*$  and  $C \cong \operatorname{Spf} E^*[x]$ , so that a divisor D must be of the form  $D \cong \operatorname{Spf} E^*[x]/f$  for some f not a zero-divisor. We see then that  $\mathcal{I}_D$  corresponds to the principal ideal  $E^*[x] \cdot f \cong E^*[x]$ , and D is a divisor exactly when  $E^*[x]/f$  is a flat  $E^*$ -module.

Before considering their connection to line bundles, we will study the concept of a divisor in isolation.

**Lemma 2.2.3.** The scheme of such effective Weil divisors of rank n exists:  $\operatorname{Div}_n^+ C$ . It is a formal variety of dimension n. In fact, a coordinate x on C determines an isomorphism  $\operatorname{Div}_n^+ C \cong \widehat{\mathbb{A}}^n$ .

Proof. Begin with the definition

$$\operatorname{Div}_{n}^{+}(C)(R) = \left\{ (a, D) \middle| \begin{array}{c} a : \operatorname{Spec} R \to S, \\ D \text{ is an effective divisor on } C \times_{S} \operatorname{Spec} R \end{array} \right\}.$$

To show that it is a formal variety, we pick a coordinate x on C and consider a point  $(a, D) \in \operatorname{Div}_n^+(C)(R)$ . In this case,  $C \times \operatorname{Spec} R$  is presented as

$$C \times_X \operatorname{Spec} R = \operatorname{Spf} R[\![x]\!]$$

and hence D can be presented as the closed subscheme

$$D = \operatorname{Spf} R[x]/(x^{n} - g(x)), \quad g(x) = \sum_{j=0}^{n-1} a_{j}(D)x^{j}.$$

One checks that  $a_j(D)$  is a nilpotent element of R for all j, and hence determines a map  $\operatorname{Spec} R \to \widehat{\mathbb{A}}^n$ . Conversely, given such a map, we can form the polynomial g(x) and hence the divisor D.

This proof lays bare the moral value of this scheme: it parametrizes collections of points on *C* which arise as zero loci of polynomials. It's well-known how basic operations on polynomials affect their zero loci, and these operations are also reflected on the level of divisor schemes. For instance, there is a unioning map:

Lemma 2.2.4. There is a map

$$\operatorname{Div}_{n}^{+} C \times \operatorname{Div}_{m}^{+} C \to \operatorname{Div}_{n+m}^{+} C,$$
  
 $(D_{n}, D_{m}) \mapsto D_{n} \sqcup D_{m}. \quad \Box$ 

*Remark* 2.2.5. On the level of the polynomials  $g_n$ ,  $g_m$ , and  $g_{n+m}$ , this map is given by

$$(g_n, g_m) \mapsto x^{n+m} - (x^n - g_n(x)) \cdot (x^m - g_m(x)) =: g_{n+m}(x).$$

and Jun Hou point out that Weierstrass preparation requires hypotheses on the ground scheme (like: it's Spf of a complete and local ring) that aren't necessarily satisfied here. So, what geometric thing do we really mean?

What was wrong with  $g_{n+m} := g_n g_m$  from class? The current  $g_{n+m}$  has degree  $< n + m \dots$ 

Note that there is a canonical isomorphism  $C \to \operatorname{Div}_1^+ C$ . Iterating the above addition map gives the vertical map in the following triangle:

$$C_{\Sigma_n}^{\times n} \xrightarrow{\cong} \operatorname{Div}_n^+ C.$$

**Lemma 2.2.6.** *The object*  $C_{\Sigma_n}^{\times n}$  *exists, it factors the iterated addition map, and the dotted arrow is an isomorphism.* 

Make a point that the other arrow is not surjective.

*Proof.* The first assertion is a consequence of Newton's theorem on symmetric polynomials: the subring of symmetric polynomials in  $R[x_1, ..., x_n]$  is itself polynomial on generators

$$\sigma_j(x_1,\ldots,x_n) = \sum_{\substack{S \subseteq \{1,\ldots,n\}\\|S|=j}} x_{S_1}\cdots x_{S_j},$$

and hence

$$R[\sigma_1,\ldots,\sigma_n]\subseteq R[x_1,\ldots,x_n].$$

Picking a coordinate on C allows us to import this fact into formal geometry to deduce the existence of  $C_{\Sigma_n}^{\times n}$ . The factorization then follows by noting that the iterated  $\square$  map is symmetric. Finally, Remark 2.2.5 shows that the horizontal map pulls the coordinate  $a_j$  back to  $\sigma_j$ , so the third assertion follows.

We now consider the effects of maps  $q: C \rightarrow C'$  between curves.

**Lemma 2.2.7.** Let  $q: C \to C'$  be a map of formal curves over S, and let  $D \subseteq C$  be a divisor on C. Then the composite  $D \to C \to C'$ , denoted  $q_*D$ , is also a divisor.

*Proof.* The structure map map  $D \to S$  is unchanged and hence still flat, and the ideal sheaf  $\mathcal{I}_{q_*D} \subseteq \mathcal{O}_{C'}$  is given by tensoring up the original ideal sheaf:

$$\mathcal{I}_{q_*D} = \mathcal{I}_D \otimes_{\mathcal{O}_C} \mathcal{O}_{C'}.$$

Hence, it is still free of rank 1.

*Remark* 2.2.8. For a general map q, the pullback  $D \times_{C'} C$  of a divisor  $D \subseteq C'$  will not be a divisor on C. However, conditions on q can be imposed so that this is so, and in this case q is called an *isogeny*. We will return to this in the future.

Now we use the pointing  $\zeta: S \to C$ . Together with the  $\sqcup$  map, this gives a composite

$$\operatorname{Div}_n^+ C \longrightarrow C \times \operatorname{Div}_n^+ C \longrightarrow \operatorname{Div}_1^+ C \times \operatorname{Div}_n^+ C \longrightarrow \operatorname{Div}_{n+1}^+ C$$
,

$$D \longmapsto (\zeta, D) \longmapsto ([\zeta], D) \longmapsto [\zeta] \sqcup D.$$

**Definition 2.2.9.** We define the following variants of "stable divisor schemes":

$$\operatorname{Div}^{+} C = \coprod_{n \geq 0} \operatorname{Div}_{n}^{+} C,$$

$$\operatorname{Div}_{n} C = \operatorname{colim} \left( \operatorname{Div}_{n}^{+} C \xrightarrow{[\zeta]^{+-}} \operatorname{Div}_{n+1}^{+} C \xrightarrow{[\zeta]^{+-}} \cdots \right),$$

$$\operatorname{Div} C = \operatorname{colim} \left( \operatorname{Div}^{+} C \xrightarrow{[\zeta]^{+-}} \operatorname{Div}^{+} C \xrightarrow{[\zeta]^{+-}} \cdots \right)$$

$$\cong \coprod_{n \in \mathbb{Z}} \operatorname{Div}_{n} C.$$

**Theorem 2.2.10.** The scheme  $Div^+C$  models the free formal monoid on the formal curve C. The scheme Div C models the free formal group on the formal curve  $C^2$ . The scheme Div<sub>0</sub> C simultaneously models the free formal monoid and the free formal group on the pointed formal curve

naps would come rom w/o reference

ou owe a proof

We will postpone the proof of this theorem until later, once we've developed a theory of coalgebraic formal schemes.

*Remark* 2.2.11. This gives another way to interpret Lemma 2.2.7. A map  $q: C \to C'$  postcomposes to give a map  $C \to C' \to \text{Div } C'$ . Since the target of this map is a formal group scheme, universality induces a map  $q_*$ : Div  $C \to \text{Div } C'$ .

To close today, we finally link divisors to the study of line bundles.

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**Definition 2.2.12.** Suppose that  $\mathcal{L}$  is a line bundle on C and select a section u of  $\mathcal{L}$ . There is a largest closed subscheme  $D \subseteq C$  where the condition  $u|_{D} = 0$  is satisfied. If D is a divisor, u is said to be *divisorial* and  $D = \operatorname{div} u$ .

**Lemma 2.2.13.** Let u be a divisorial section of  $\mathcal{L}$ . Then, u gives a trivialization of  $\mathcal{L} \otimes \mathcal{I}_D$ , so that  $\mathcal{L} \cong \mathcal{I}_D^{-1}$ . 

**Lemma 2.2.14.** This construction is suitably monoidal: if u and v are divisorial sections of  $\mathcal{L}$  and  $\mathcal M$  respectively, then  $u\otimes v$  is a divisorial section of  $\mathcal L\otimes \mathcal M$  and  $\operatorname{div}(u\otimes v)=\operatorname{div} u+\operatorname{div} v$ .

This Lemma induces us to consider the extension of this concept to meromorphic functions:

**Definition 2.2.15.** A meromorphic divisorial section of a line bundle  $\mathcal{L}$  is a decompositon  $\mathcal{L}\cong\mathcal{L}_1\otimes\mathcal{L}_2^{-1}$  together with an expression of the form  $u_+/u_-$ , where  $u_+$  and  $u_-$  are divisorial sections of  $\mathcal{L}_1$  and  $\mathcal{L}_2$  respectively. We set  $\operatorname{div}(u_+/u_-) = \operatorname{div} u_+ - \operatorname{div} u_-$ .

The fundamental theorem is that, in the case of a curve C, meromorphic functions (sometimes called "Cartier divisors") and Weil divisors essentially agree.

other line bundles hings that literally ren't this counterexample, but which are close naming properties, so we invent a new

of AHS preprint.

<sup>&</sup>lt;sup>2</sup>That is, the group-completion of Div<sup>+</sup> C gives Div C, even in absence of a pointing on C.

**Definition 2.2.16.** The ring of meromorphic functions on C,  $\mathcal{M}_C$ , is obtained by inverting all coordinates in  $\mathcal{O}_C$ .<sup>3</sup>

A particular meromorphic function spans a 1–dimensional  $\mathcal{O}_C$ –submodule sheaf of  $\mathcal{M}_C$ , and hence it determines a line bundle. Conversely, a line bundle is determined by local gluing data, which is exactly the data of a meromorphic function. However, it is clear that there is some overdeterminacy in this first operation: scaling a meromorphic function by a nowhere vanishing entire function will not modify the submodule sheaf. This suggests the following operation: to a meromorphic function f, we assign the difference of its zero locus and its infinite locus, considered as a divisor. This determines a map

$$\mathcal{M}_{C}^{\times} \to (\text{Div } C)(S).$$

**Definition 2.2.17.** We then augment this to a scheme  $Mer(C, \mathbb{G}_m)$  of meromorphic functions on C by

$$\operatorname{Mer}(C,\mathbb{G}_m)(R) := \left\{ (u,f) \middle| \begin{array}{l} u : \operatorname{Spec} R \to S, \\ f \in \mathcal{M}_{C \times_S \operatorname{Spec} R}^{\times} \end{array} \right\}.$$

Theorem 2.2.18. In the case of a formal curve C, there is a short exact sequence of formal groups.

Cite me: This is Prop 5.26 in FSFG...

$$0 \to \underline{\mathsf{FormalSchemes}}(C,\mathbb{G}_m) \to \mathrm{Mer}(C,\mathbb{G}_m) \to \mathrm{Div}(C) \to 0. \quad \Box$$

## 2.3 Feb 12: Projectivization and Thom spaces

Today we will exploit all of the algebraic geometry we set up yesterday to deduce a load of topological results.

**Definition 2.3.1.** Let E be a complex-orientable theory and let  $V \to X$  be a complex vector bundle over a space X. According to Theorem 2.1.3, the cohomology of the Thom space  $E^*T(V)$  forms a 1–dimensional  $E^*X$ –module. We denote the associated line bundle over  $X_E$  by  $\mathbb{L}(V)$ .

This construction enjoys many properties already established.

**Corollary 2.3.2.** *If*  $f: X \to Y$  *is a map and* V *is a virtual bundle over* Y, *then there is an isomorphism* 

$$\mathbb{L}(f^*V) \cong (f_E)^*\mathbb{L}(V).$$

Using Lemma 1.1.6, there is also is a canonical isomorphism

$$\mathbb{L}(V \oplus W) = \mathbb{L}(V) \otimes \mathbb{L}(W).$$

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<sup>&</sup>lt;sup>3</sup>In fact, it suffices to invert any single one.

Finally, this property can then be used to extend the definition of  $\mathbb{L}(V)$  to virtual bundles:

$$\mathbb{L}(V-W) = \mathbb{L}(V) \otimes \mathbb{L}(W)^{-1}. \quad \Box$$

*Remark* 2.3.3. One of the main utilities of this definition is that it only uses the *property* that E is complex-orientable, and it begets only the *property* that  $\mathbb{L}(V)$  is a line bundle.

The following example connects this topic with that of Lecture 2.1:

Example 2.3.4. If  $\mathcal{L}$  denotes the canonical line bundle over  $\mathbb{C}P^{\infty}$ , then the zero section identifies  $E^0(\mathbb{C}P^{\infty})^{\mathcal{L}}$  with the augmentation ideal in  $E^0\mathbb{C}P^{\infty}$ , and so we have an isomorphism  $\mathbb{L}(\mathcal{L}) \cong \mathcal{I}(0)$ . Then, consider the map  $\varepsilon : * \to \mathbb{C}P^{\infty}$ , which classifies a line bundle that Thomifies to  $\mathbb{C}P^1 \to \mathbb{C}P^{\infty}$ . Using naturality, we see

$$\widetilde{\pi_2 E} \cong \mathbb{L}(* \to \mathbb{C}P^{\infty}) \cong 0^* \mathcal{I}(0) \cong \omega_{\widehat{\mathbb{G}}_E},$$

where  $\widehat{\mathbb{G}}_E = \mathbb{C}\mathrm{P}_E^{\infty}$  is the formal group associated to E-theory and  $\omega_{\widehat{\mathbb{G}}_E}$  is its sheaf of invariant differentials<sup>5</sup>. More generally, if  $k\varepsilon$  is the trivial bundle of dimension k over a point, then  $\mathbb{L}(k\varepsilon) \cong \omega_{\widehat{\mathbb{G}}_E}^{\otimes k}$ . If  $f \colon E \to F$  is an E-algebra (e.g.,  $F = E^{X_+}$ ), then this gives an interpretation of  $\pi_{2k}F$  as  $f_E^*\omega_{\widehat{\mathbb{G}}_F}^{\otimes k}$ .

Aside from this example, though, this construction on its own does not allow for the ready manipulation of line bundles. However, our discussion yesterday centered on an equivalent presentation of line bundles on a formal curve: their corresponding divisors. Following that cue, we now seek out a topological construction on vector bundles  $V \to X$  which produces finite schemes over  $X_E$ . A quick browse through the literature will lead one to the following:

**Definition 2.3.5.** Let  $\xi$  be a complex vector bundle of rank n over a base X. Define  $\mathbb{P}(\xi)$ , the *projectivization of*  $\xi$ , to be the  $\mathbb{C}\mathrm{P}^{n-1}$ -bundle over X whose fiber of  $x \in X$  is the space of complex lines in the original fiber  $\xi|_{x}$ .

**Theorem 2.3.6.** *Take E to be complex-oriented. The E–cohomology of*  $\mathbb{P}(\xi)$  *is given by the formula* 

$$E^*\mathbb{P}(\xi) \cong E^*(X)[t]/c(\xi)$$

for a certain monic polynomial

$$c(\xi) = t^n - c_1(\xi)t^{n-1} + c_2(\xi)t^{n-2} - \dots + (-1)^n c_n(\xi).$$

Make this clearer: point out that the cofiber definition of the Thom space helps you make the first identification (that's what the "zero section" refers to), and then point out that  $\zeta^*(M) = M/\mathcal{I}(0) \cdot M$  helps you over the last hump.

In class you wrote  $\mathcal{L}-1$  instead of  $\mathcal{L}$  almost everywhere in this example.

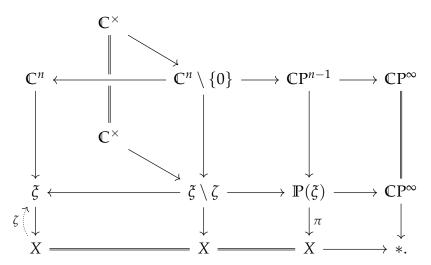
I think you should mention that the tilde means the sheaf associated to the module. Also, in class you wrote  $\pi_{-2}E$  instead of  $\pi_2E$ 

It might be confusing using  $\varepsilon$  for two different things in the same paragraph

<sup>&</sup>lt;sup>4</sup>What does this notation mean? I would guess it's something like maps  $\mathcal{L} \to E^0(\mathbb{C}P^\infty)$ , but this doesn't seem to make sense.

<sup>&</sup>lt;sup>5</sup>The identification of this with the sheaf of invariant differentials is something of a choice. Certainly it is naturally isomorphic to  $T_0^*\mathbb{C}\mathrm{P}_E^\infty$ , and this in turn is naturally isomorphic to  $\omega_{\widehat{\mathrm{G}}_E}$ , but deciding which of these two to write is a decision to be borne out as "correct".

*Proof.* We fit all of the fibrations we have into a single diagram:



We read this diagram as follows: on the far left, there's the vector bundle we began with, as well as its zero-section  $\zeta$ . Deleting the zero-section gives the second bundle, a  $\mathbb{C}^n \setminus \{0\}$ -bundle over X. Its quotient by the scaling  $\mathbb{C}^\times$ -action gives the third bundle, a  $\mathbb{C}P^{n-1}$ -bundle over X. Additionally, the quotient map  $\mathbb{C}^n \setminus \{0\} \to \mathbb{C}P^{n-1}$  is itself a  $\mathbb{C}^\times$ -bundle, and this induces the structure of a  $\mathbb{C}^\times$ -bundle on the quotient map  $\xi \setminus \zeta \to \mathbb{P}(\xi)$ . Thinking of these as complex line bundles, they are classified by a map to  $\mathbb{C}P^\infty$ , which can itself be thought of as the last vertical fibration, fibering over a point.

Note that the map between these two last fibers is surjective on E-cohomology. It follows that the Serre spectral sequence for the third vertical fibration is degenerate, since all the classes in the fiber must survive. We thus conclude that  $E^*\mathbb{P}(\xi)$  is a free  $E^*(X)$ -module on the classes  $\{1,t,t^2,\ldots,t^{n-1}\}$  spanning  $E^*\mathbb{C}P^{n-1}$ . To understand the ring structure, we need only compute  $t^{n-1} \cdot t$ , which must be able to be written in terms of the classes which are lower in t-degree:

$$t^{n} = c_{1}(\xi)t^{n-1} - c_{2}(\xi)t^{n-2} + \dots + (-1)^{n-1}c_{n}(\xi)$$

for some classes  $c_i(\xi) \in E^*X$ . The theorem follows.

In coordinate-free language, we have the following Corollary:

Corollary 2.3.7 (Theorem 2.3.6 redux). Take E to be complex-orientable. The map

$$\mathbb{P}(\xi)_E \to X_E \times \mathbb{C}\mathrm{P}_E^{\infty}$$

is a closed inclusion of  $X_E$ -schemes, and the structure map  $\mathbb{P}(\xi)_E \to X_E$  is free and finite of rank n. It follows that  $\mathbb{P}(\xi)_E$  is a divisor on  $\mathbb{C}\mathrm{P}_E^\infty$  (considered over  $X_E$ ).

The next major theorems concerning projectivization are the following:

Be more careful about this "over X<sub>E</sub>" thing. Maybe just emphasize that having a Chern polynomial with coefficients in E\*X really forces you to take this perspective to make things typecheck.

<sup>&</sup>lt;sup>6</sup>This is called the Leray–Hirsch theorem.

Proposition 8.31 in FSFG shows that the isomorphism  $BU(n)_E \cong \text{Div}_n^+ \text{ CP}_E^\infty$  is independent of coordinate. Read it.

eries ring? Or are you considering the

 $P^0BU(n)$ ?

**Corollary 2.3.8.** The sub-bundle of  $\pi^*(\xi)$  consisting of vectors  $(v, (\ell, x))$  such that v lies along the line  $\ell$  splits off a canonical line bundle.

**Corollary 2.3.9** ("Splitting principle" / "Complex–oriented descent"). *Associated to any* n–dimensional complex vector bundle  $\xi$  over a base X, there is a canonical map  $i_{\xi} \colon Y_{\xi} \to X$  such that  $(i_{\xi})_E \colon (Y_{\xi})_E \to X_E$  is finite and faithfully flat, and there is a canonical splitting into complex line bundles:

$$i_{\xi}^*(\xi) \cong \bigoplus_{i=1}^n \mathcal{L}_i.$$

This last Corollary is extremely important. Its essential contents is to say that any question about characteristic classes can be checked for sums of line bundles. Specifically, because of the injectivity of  $i_{\xi}^*$ , any relationship among the characteristic classes deduced in  $E^*Y_{\xi}$  must already be true in the ring  $E^*X$ . The following theorem is a consequence of this principle:

**Theorem 2.3.10.** Again take E to be complex-oriented. The coset fibration

$$U(n-1) \rightarrow U(n) \rightarrow S^{2n-1}$$

deloops to a spherical fibration

$$S^{2n-1} \to BU(n-1) \to BU(n)$$
.

The associated Serre spectral sequence

$$E_2^{*,*} = H^*(BU(n); E^*S^{2n-1}) \Rightarrow E^*BU(n-1)$$

degenerates at  $E_{2n}$  and induces an isomorphism

$$E^*BU(n) \cong E^*\llbracket \sigma_1, \ldots, \sigma_n \rrbracket.$$

Now, let  $\xi \colon X \to BU(n)$  classify a vector bundle  $\xi$ . Then the coefficient  $c_j$  in the polynomial  $c(\xi)$  is selected by  $\sigma_j$ :

$$c_i(\xi) = \xi^*(\sigma_i).$$

*Proof sketch.* The first part is a standard calculation. To prove the relation between the Chern classes and the  $\sigma_j$ , the splitting principle states that we can factor complete the map  $\xi \colon X \to BU(n)$  to a square

$$Y_{\xi} \xrightarrow{\bigoplus_{i=1}^{n} \mathcal{L}_{i}} BU(1)^{\times n}$$

$$\downarrow f_{\xi} \qquad \qquad \downarrow \oplus$$

$$X \xrightarrow{\xi} BU(n).$$

The equation  $c_i(f_{\xi}^*\xi) = \xi^*(\sigma_i)$  can be checked in  $E^*Y_{\xi}$ .

We now see that not only does  $\mathbb{P}(\xi)_E$  produce a point of  $\mathrm{Div}_n^+(\widehat{\mathbb{G}}_E)$ , but actually the scheme  $\mathrm{Div}_n^+(\widehat{\mathbb{G}}_E)$  itself appears internally to topology:

**Corollary 2.3.11.** For a complex orientable cohomology theory E, there is an isomorphism

$$BU(n)_E \cong \operatorname{Div}_n^+ \mathbb{C}\mathrm{P}_E^{\infty}$$
,

so that maps  $\xi \colon X \to BU(n)$  are transported to divisors  $\mathbb{P}(\xi)_E \subseteq \mathbb{C}P_E^{\infty} \times X_E$ . Selecting a particular complex orientation of E begets two isomorphisms

$$BU(n)_E \cong \widehat{\mathbb{A}}^n$$
,  $\operatorname{Div}_n^+ \mathbb{C} P_E^{\infty} \cong \widehat{\mathbb{A}}^n$ ,

and these are compatible with the centered isomorphism above.<sup>7</sup>

What's most remarkable about the description in this theorem is its coherence with topological facts we know about BU(n). The theorem follows from the projectivization construction, but there are natural operations on both sides of the isomorphism that continue to match up. For instance, the Whitney sum map  $BU(n) \times BU(m) \to BU(n+m)$  has the following behavior:

#### Lemma 2.3.12. The sum map

$$BU(n) \times BU(m) \xrightarrow{\oplus} BU(n+m)$$

induces on Chern polynomials the identity

$$c(\xi \oplus \zeta) = c(\xi) \cdot c(\zeta).$$

In terms of divisors,

$$\mathbb{P}(\xi \oplus \zeta)_E = \mathbb{P}(\xi)_E \sqcup \mathbb{P}(\zeta)_E,$$

and hence there is an induced square

$$BU(n)_{E} \times BU(m)_{E} \xrightarrow{\oplus} BU(n+m)$$

$$\parallel \qquad \qquad \parallel$$

$$\operatorname{Div}_{n}^{+} \mathbb{C}P_{E}^{\infty} \times \operatorname{Div}_{m}^{+} \mathbb{C}P_{E}^{\infty} \xrightarrow{\sqcup} \operatorname{Div}_{n+m}^{+} \mathbb{C}P_{E}^{\infty}. \quad \Box$$

The following is a consequence of combining this Lemma with the splitting principle:

<sup>&</sup>lt;sup>7</sup>Something to take away from this Theorem is the *faithfulness* of this interpretation of the *E*–cohomology of vector bundles. That this map is an isomorphism means that  $\operatorname{Div}_n^+$  captures everything that *E*–cohomology can see. There's nothing left in the theory of characteristic classes that is left untouched.

Draw a table comparing the different notions of vector bundles (stable vs unstable, rank *n*) to the different notions of Weil divisors.

**Corollary 2.3.13.** The map  $Y_E \xrightarrow{f_{\xi}} X_E$  pulls  $\mathbb{P}(\xi)_E$  back to give

$$Y_E \times_{X_E} \mathbb{P}(\xi)_E \cong \bigoplus_{i=1}^n \{c_1(\mathcal{L}_i)\}. \quad \Box$$

This says that the splitting principle is a topological enhancement of the claim that a divisor can be base-chnaged along a finite flat map where it splits as a sum of points. The other theorems from yesterday are also easily matched up with topological counterparts:

**Corollary 2.3.14.** There are natural isomorphisms  $BU_E \cong \operatorname{Div}_0 \mathbb{C}\mathrm{P}_E^\infty$  and  $(BU \times \mathbb{Z})_E \cong \operatorname{Div} \mathbb{C}\mathrm{P}_E^\infty$ . Additionally,  $(BU \times \mathbb{Z})_E$  is the free formal group on the curve  $\mathbb{C}\mathrm{P}_E^\infty$ .

**Corollary 2.3.15.** *There is a commutative diagram* 

$$BU(n)_{E} \times BU(m)_{E} \xrightarrow{\otimes} BU(nm)_{E}$$

$$\parallel \qquad \qquad \parallel$$

$$\operatorname{Div}_{n}^{+} \mathbb{C}\operatorname{P}_{E}^{\infty} \times \operatorname{Div}_{m}^{+} \mathbb{C}\operatorname{P}_{E}^{\infty} \xrightarrow{\cdot} \operatorname{Div}_{nm}^{+} \mathbb{C}\operatorname{P}_{E}^{\infty},$$

where the bottom map acts by

$$(D_1, D_2 \subseteq \mathbb{C}P_E^{\infty} \times X_E) \mapsto (D_1 \times D_2 \subseteq \mathbb{C}P_E^{\infty} \times \mathbb{C}P_E^{\infty} \xrightarrow{\mu} \mathbb{C}P_E^{\infty}),$$

and  $\mu$  is the map induced by the H-space multiplication  $\mathbb{C}P^{\infty} \times \mathbb{C}P^{\infty} \to \mathbb{C}P^{\infty}$ .

*Proof.* By the splitting principle, it is enough to check this on sums of line bundles. A sum of line bundles corresponds to a totally decomposed divisor, and on a pair of such divisors  $\bigsqcup_{i=1}^{n} [a_i]$  and  $\bigsqcup_{i=1}^{m} [b_i]$ , the map acts by

$$\left(\bigsqcup_{i=1}^{n} [a_i]\right) \left(\bigsqcup_{j=1}^{m} [b_j]\right) = \bigsqcup_{i,j} [\mu_{\mathbb{C}\mathrm{P}_E^{\infty}}(a_i, b_j)]. \qquad \Box$$

Finally, we can connect our analysis of the divisors coming from topological vector bundles with the line bundles studied at the start of the section.

**Lemma 2.3.16.** Let  $\zeta: X_E \to X_E \times \mathbb{C}P_E^{\infty}$  denote the pointing of the formal curve  $\mathbb{C}P_E^{\infty}$ , and let  $\mathcal{I}(\mathbb{P}(\xi)_E)$  denote the ideal sheaf on  $X_E \times \mathbb{C}P_E^{\infty}$  associated to the divisor subscheme  $\mathbb{P}(\xi)_E$ . There is a natural isomorphism of sheaves over  $X_E$ :

$$\zeta^* \mathcal{I}(\mathbb{P}(\xi)_E) \cong \mathbb{L}(\xi). \quad \Box$$

Remark 2.3.17. In terms of a complex-oriented E and Theorem 2.3.6, the effect of pulling back along the zero section is to set t = 0, which collapses the Chern polynomial to just the top class  $c_n(\xi)$ . This element, called the Euler class of  $\xi$ , provides the  $E^*X$ -module generator of  $E^*T(\xi)$  — or, equivalently, the trivializing section of  $\mathbb{L}(\xi)$ .

**Theorem 2.3.18.** A trivialization  $t: \mathbb{L}(\mathcal{L}-1) \cong \mathcal{O}_{\mathbb{CP}_E^{\infty}}$  of the Thom sheaf associated to the canonical bundle induces a ring map  $MU \to E$ .

*Proof.* Suppose that  $\xi$  is a rank n vector bundle over X, and let  $f: Y \to X$  be the space guaranteed by the splitting principle to provide an isomorphism  $f^*\xi \cong \bigoplus_{j=1}^n \mathcal{L}_j$ . The chosen trivialization t then pulls back to give a trivialization of  $\mathcal{I}(\mathbb{P}(f^*\xi)_E)$ , and by finite flatness this descends to also give a trivialization of  $\mathcal{I}(\mathbb{P}(\xi)_E)$ . Pulling back along the zero section gives a trivialization of  $\mathbb{L}(\xi)$ . Then note that the system of trivializations produced this way is multiplicative, as a consequence of  $\mathbb{P}(\xi \oplus \zeta)_E \cong \mathbb{P}(\xi)_E \sqcup \mathbb{P}(\zeta)_E$ . In the universal examples, this gives a sequence of compatible maps  $MU(n) \to E$  which assemble on the colimit  $n \to \infty$  to give the desired map of ring spectra.

# I think another definition of the Thom space is as the cofiber of $\mathbb{P}(V) \to \mathbb{P}(V \oplus \mathbb{C})$ This might come ir handy

## 2.4 Feb 17: Operations and a model for cobordism

Our eventual goal, like in Case Study 1, is to give an algebro-geometric description of  $MU_*(*)$  and of the cooperations  $MU_*MU$ . There is such a description that passes through the Adams spectral sequence, also like last time, but  $MU_*(*)$  is an integral algebra and so we cannot make do with working out the mod–2 Adams spectral sequence alone. We would have to at least work out the mod–p Adams spectral sequence for every p, but there is the following unfortunate theorem:

**Theorem 2.4.1.** *There is an isomorphism* 

$$H\mathbb{F}_p P_0 H\mathbb{F}_p P \cong \mathbb{F}_p[\xi_0^{\pm}, \xi_1, \xi_2, \ldots] \otimes \Lambda[\tau_0, \tau_1, \ldots]$$
 with  $|\xi_i| = 2p^j - 2$  and  $|\tau_i| = 2p^j - 1$ .

There are odd–dimension classes in this algebra, and because we are no longer working in characteristic 2 we see that the dual mod-p Steenrod algebra is *graded-commutative*. This is the first time we have encountered Hindrance #4 from Lecture 1.3 in the wild, and for now we will simply avoid these methods and find another approach.

There is such an alternative proof, due to Quillen, that bypasses the Adams spectral sequence. This approach has some deficiencies of its own: it requires studying the algebra of operations  $MU^*MU$ , which we do not expect to be at all commutative, and it requires studying *power operations*, which are in general very technical creatures. However, we will eventually want to talk about power operations anyway, and because this is the road less traveled we will elect to take it. Our job today is to define these two kinds of cohomology operations, as well as revisit the model of complex cobordism Quillen uses.

Say that the top Chern class is the Euler class / the Thom class.

$$E^*BU \cong E^*[\sigma_1, \sigma_2, \ldots, \sigma_j, \ldots],$$

and we gave a rich interpretation of this in terms of divisor schemes:

$$BU_E \cong \operatorname{Div}_0 \mathbb{C} P_E^{\infty}$$
.

Two lectures ago, we learned that the stable divisor scheme has a universal property: it is the free formal group on the formal curve  $\mathbb{C}P_E^{\infty}$ . Another avatar of this same fact is a description of the *homology ring*, using the maps

$$E_*BU(n) \otimes E_*BU(m) \rightarrow E_*BU(n+m)$$

to induce a multiplicative structure on  $E_*BU$ :

**Corollary 2.4.2.** *Let E be a complex-orientable cohomology theory. Then:* 

$$E_*BU \cong \operatorname{Sym}_{E_*} \widetilde{E}_* \mathbb{C}P^{\infty}.$$

A specific complex orientation of E begets

$$E_*\mathbb{CP}^\infty \cong E_*\{\beta_0, \beta_1, \ldots, \beta_n, \ldots\}$$

and hence

$$E_*BU \cong \text{Sym}_{E_*} E_* \{\beta_1, \beta_2, \ldots\} = E_* [b_1, b_2, \ldots]. \quad \Box$$

Thomifying these " $\oplus$ " maps gives maps

$$E_*MU(n) \otimes E_*MU(m) \rightarrow E_*MU(n+m),$$

and the naturality of the *E*–Thom isomorphism produces an additional corollary:

**Corollary 2.4.3.** The Thom isomorphism  $E_*BU \cong E_*MU$  respects both the  $E_*$ -module structure and the ring structure. Hence,

$$E_*MU \cong E_*[c_1, c_2, \ldots, c_n, \ldots],$$

where  $c_i$  is the image of  $b_i$  under the Thom map.

This compact description of  $E_*MU$  as an algebra will be useful to us later, but right now we are interested in  $E^*MU$  and especially in  $MU^*MU$ . The former is *not* a ring, and although the latter is a ring its multiplication is exceedingly complicated. Instead, we will content ourselves with an  $E_*$ -module basis:

A corollary of the splitting principle is supposed to be that a Thom isomorphism for  $\mathbb{CP}^{\infty}$  begets Thom isomorphisms for everything, and hence a ring spectrum map  $MU \to E$ . We should produce that corollary now. This is Lemma II.4.6 in Adams's blue book.

**Definition 2.4.4.** Let  $\alpha = (\alpha_1, ..., \alpha_n, ...)$  denote a multi-index where every entry is non-negative and almost every entry is zero, and let  $c_{\alpha}$  denote the corresponding monomial

$$c_{\alpha} = \prod_{j=1}^{\infty} c_j^{\alpha_j}.$$

Additionally, we let  $s_{\alpha} \in E^*MU$  denote the image of  $c_{\alpha}$  under the duality isomorphism

$$E^*MU = Modules_{E_*}(E_*MU, E_*).$$

It is called the  $\alpha^{th}$  Landweber–Novikov operation (from MU to E).

Remark 2.4.5. Let E = MU. The Landweber–Novikov operations are the *stable* operations acting on MU–cohomology, analogous to the Steenrod operations we started the semester talking about. They satisfy the following properties:

Cite me: I.5.1 in Adams's blue boo

- $s_0$  is the identity.
- $s_{\alpha}$  is natural:  $s_{\alpha}(f^*x) = f^*(s_{\alpha}x)$ .
- $s_{\alpha}$  is stable:  $s_{\alpha}(\sigma x) = \sigma(s_{\alpha}x)$ .
- $s_{\alpha}$  is additive:  $s_{\alpha}(x+y) = s_{\alpha}(x) + s_{\alpha}(y)$ .
- $s_{\alpha}$  satisfies a Cartan formula. Define

$$s_{\mathbf{t}}(x) = \sum_{\alpha} s_{\alpha}(x) \cdot t_1^{\alpha_1} t_2^{\alpha_2} \cdots t_n^{\alpha_n} \cdots =: \sum_{\alpha} s_{\alpha}(x) \mathbf{t}^{\alpha}$$

for an infinite sequence of indeterminates  $t_1, t_2, \ldots$  Then:

$$s_{\mathbf{t}}(xy) = s_{\mathbf{t}}(x) \cdot s_{\mathbf{t}}(y).$$

• Let  $\xi \colon X \to BU(n)$  classify a vector bundle and let  $\varphi$  denote the Thom isomorphism

$$\varphi \colon MU^*X \to MU^*T(\xi).$$

Then the Chern classes of  $\xi$  are related to the Landweber–Novikov operations on the Thom spectrum by the formula

$$\sum_{\alpha} \varphi c_{\alpha}(\xi) \mathbf{t}^{\alpha} = \sum_{\alpha} s_{\alpha} \varphi(1) \mathbf{t}^{\alpha}.$$

Jeremy Hahn, following Rudyak, produced a proof of the incidence relation which doesn't rely on this (particular) geometric model of complex bordism. His write-up of the p = 2 case is elsewhere in the repository. The end of this lecture and all of the next one should be reworked to use this other perspective! Manifolds are gross.

We now turn to the construction of the other cohomology operations we will be interested in: the power operations. Power operations get their name from their *multiplicative* properties, and correspondingly we do not (*a priori*) expect them to be additive operations, so they are quite distinct from the Landweber–Novikov operations. Power operations arise from " $E_{\infty}$ " structures on ring spectra<sup>8</sup>, but most such structures arise in nature from geometric models of cohomology theories. To produce them for complex cobordism, we will use a particular model, alluded to in Lecture 0.1.

It is very annoying that you tend to switch f, i, and j; X, Y, and Z; what is attached to what; and what is drawn in what direction. You'd do well to standardize this

**Definition 2.4.6.** Let  $f: Y \to X$  be a map of manifolds. A *complex-orientation on the map f* is the data of a factorization

$$\begin{array}{ccc}
 & E \\
 & \downarrow \\
 & \downarrow \\
 & Y & \longrightarrow X
\end{array}$$

Account for the odd-dimensional case and the dimension-jumping case.

through a complex vector bundle E on X such that i is an embedding and its normal bundle  $v_i$  has a complex structure. Two such factorizations are *equivalent* when they appear as subbundles of a larger bundle and the embeddings are isotopic, compatibly with the structures on their normal bundles.

**Lemma 2.4.7.** For dim  $E \gg 0$ , this equivalence class is unique, if it exists.

**Definition 2.4.8.** Two complex-oriented maps  $f_0: Y_0 \to X$  and  $f_1: Y_1 \to X$  are called *cobordant* when there is a complex-oriented map  $W \to X \times \mathbb{R}$  and elements  $b_0, b_1 \in \mathbb{R}$  such that

$$Y_0 \longrightarrow X \times \{b_0\} \qquad Y_1 \longrightarrow X \times \{b_1\}$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow$$

$$W \longrightarrow X \times \mathbb{R} \qquad W \longrightarrow X \times \mathbb{R}$$

become pull-back squares of complex-oriented maps of manifolds.

Cite me: This is cited as [Tho51] in Matt's thesis.

**Theorem 2.4.9** (Thom). For a manifold X,  $MU^{-q}(X)$  is canonically isomorphic to the cobordism classes of complex-oriented maps of dimension q.

Remark that this, as expected, puts the cobordism ring into negative degrees.

*Remark* 2.4.10. This model has a variety of nice features. For instance, its two variances are visible from the construction. For a map  $g: X' \to X$ , there is an induced map  $g^*: MU^*X \to MU^*X'$  given by selecting a class  $f: Y \to X$ , perturbing g so that it is transversal to f, and taking the pullback

<sup>&</sup>lt;sup>8</sup>Or, by some accounts, " $H_{\infty}$ " structures.

$$\begin{array}{ccc}
Y \times_X X' & \longrightarrow & Y \\
\downarrow & & \downarrow \\
X' & \longrightarrow & X.
\end{array}$$

But, also, if *g* is additionally proper and complex-orientable, then it induces a map

$$g_*: MU^qX' \to MU^{q-d}X,$$

where d is the dimension of g. This is simply by postcomposition: a representative  $f' \colon Y' \to X'$  begets a new representative  $g_*f' = g \circ f'$ . This construction goes by various names: the *Gysin map*, the *complex-oriented pushforward*, the *shriek map*, . . . .

Additionally, these push and pull maps are related:

**Lemma 2.4.11.** Consider a Cartesian square of manifolds

$$\begin{array}{ccc}
Y \times_X Z & \xrightarrow{g'} & Z \\
\downarrow^{f'} & & \downarrow^f \\
Y & \xrightarrow{g} & X_{\bullet}
\end{array}$$

where g is transversal to f, f is proper and complex-oriented, and f' is endowed with the pull-back of the complex orientation of f. Then

$$g^*f_* = f'_*(g')^* \colon h(Z) \to h(Y). \quad \Box$$

We are now in a position to describe the power operations.

**Definition 2.4.12.** Consider a class in  $MU^{-2q}(X)$  represented by a proper complex-oriented map  $f \colon Y \to X$ . Its n-fold Cartesian product determines a class  $f^{\times n} \colon Y^{\times n} \to X^{\times n}$ , and taking the homotopy quotient by a group G acting on  $\{1, \ldots, n\}$  gives a class

$$Y^{\times n} \to X^{\times n} \to EG \times_G X^{\times n}$$

and hence an external power operation

$$P^{\mathrm{ext}} \colon MU^{-2q}(X) \to MU^{-2qn}(EG \times_G X^{\times n}).$$

Pulling back along the diagonal  $\Delta: X \to X^{\times n}$  gives the the *internal power operation* 

$$P: MU^{-2q}(X) \to MU^{-2qn}(BG \times X).$$

Its action on the class represented by a proper complex-oriented even-dimensional map  $f \colon Z \to X$  can also be written as

$$P(f_*1) = \Delta^* f_{hG}^{\times n} {}_*1.$$

Remark 2.4.13. It's apparent that we've really needed this geometric model to accomplish this construction: we needed to understand how to take Cartesian powers of maps in a way that inherited a G-action. This is not data that a ring spectrum is naturally equipped with, and if we were to tease out exactly what extra information we need to encode this operation, we would eventually arrive at the notion of an  $E_{\infty}$ -ring spectrum.

Remark 2.4.14. A picky reader will (rightly) point out that BG is not a manifold, and so we shouldn't be mixing it with out geometric model for MU. This is a fair point, but since BG can be approximated through any cellular dimension by a manifold, we won't worry about it.

Remark 2.4.15. The chain model for ordinary homology is actually rigid enough to define power operations there, too. Curiously, they are all generated by the quadratic power operations (i.e., the "squares"), and all the quadratic power operations turn out to be additive — that is, you just get the Steenrod squares again! This appears to be a lucky degeneracy, but tomorrow we will exploit something very similar with a particular power operation in complex cobordism.

of the formal properties of power op-erations? Multiolicativity, say?

#### Feb 19: An incidence relation among operations 2.5

Our goal today is to apply a version of Lemma 2.4.11 to the push-pull definition of the power operation for MU given in Definition 2.4.12. The relevant Cartesian square in that case has the form

$$W \longrightarrow EG \times_G Y^{\times k}$$

$$\downarrow g \qquad \qquad \downarrow f_{hG}^{\times k}$$

$$BG \times X \stackrel{\Delta}{\longrightarrow} EG \times_G X^{\times k}.$$

However, since we have so little control over vertical map  $f_{hG}^{\times k}$ , we can't rely on the other hypotheses of Lemma 2.4.11 to be satisfied. So, we investigate the following slightly more general situation.

**Definition 2.5.1.** Let X be a manifold. Two closed submanifolds Y and Z are said to intersect cleanly when  $W = Y \cap Z$  is a submanifold and for each  $w \in W$ , the tangent space of *W* at *w* is given by  $T_wW = T_wY \cap T_wX$ . In this case, we draw a Cartesian square

$$\begin{array}{ccc} W & \stackrel{j'}{\longrightarrow} & Z \\ \downarrow_{i'} & & \downarrow_i \\ Y & \stackrel{j}{\longrightarrow} & X. \end{array}$$

Danny pointed out that this is a little confused about fixed points versus orbits and homo-topy vs genuine. straightened out.

diagrams in this section were type set with the little pullback corners

We had to stare at this in class to decide that it was reasonable.

The excess bundle of the intersection, F, is defined by the exact sequence

$$0 \longrightarrow \nu_{i'} \xrightarrow{(i')^*TY} (j')^*\nu_i \longrightarrow F \longrightarrow 0.$$

*Remark* 2.5.2. The submanifolds Y and Z intersect transversally exactly when F = 0.

The proof of the following Lemma is fairly easy, but geometric, so we omit it.

**Lemma 2.5.3** ([23, Proposition 3.3]). Suppose that  $v_{i'}$ ,  $v_i$ , and F are endowed with complex structures compatible with this exact sequence. For  $z \in MU^*(Z)$ ,

$$j^*i_*z = i'_*(e(F) \cdot (j')^*z)$$

in  $MU^{*+a}(Y, Y \setminus W)$ , where  $a = \dim v_i$ .

Mention what e(F) is (the Euler class of F, right?)

Now let *G* be a finite group and let  $i: Z \to X$  be an embedding of *G*–manifolds. Then the *G*–fixed submanifold  $X^G$  and Z intersect cleanly in the diagram

$$Z^{G} \xrightarrow{r_{Z}} Z$$

$$\downarrow_{i^{G}} \qquad \downarrow_{i}$$

$$X^{G} \xrightarrow{r_{X}} X.$$

Since  $r_Z^*(\nu_i)$  is a G-bundle over a trivial G space, there is a decomposition  $r_Z^*(\nu_i) = \nu_{iG} \oplus \mu_i$ , where  $\nu_{iG}$  has no G-action and  $\mu_i = F$ , the excess bundle, carries all of the non-trivial G-action. Applying  $EG \times_G (-)$  to the diagram and picking  $z \in MU^*(EG \times_G Z)$ , Lemma 2.5.3 then gives

$$r_X^*i_*z = i_*^G(e(\mu_i) \cdot r_Z^*z) \in MU^*(BG \times X^G, (BG \times X^G) \setminus (BG \times Z^G)).$$

Replacing the embedding condition with orientability, this gives the following:

**Lemma 2.5.4** ([23, Proposition 3.8]). Let  $f: Z \to X$  be a proper complex-oriented G-map, represented by a factorization

$$Z \xrightarrow{i} E \xrightarrow{p} X.$$

Let  $\mu(E)$  be excess summand of  $r_X^*E$  corresponding to the part of E on which G acts nontrivially, where, as before,  $r_X$  is the inclusion of the fixpoint submanifold  $X^G \subseteq X$ . Then, for  $z \in MU^*(EG \times_G Z)$ , we have:

$$e(\mu(E)) \cdot r_X^* f_* z = f_*^G(e(\mu_i) \cdot r_Z^* z) \in MU^*(BG \times X^G).$$

We are now in a position to apply Lemma 2.5.4 to our power operation square.

**Lemma 2.5.5** ([23, Proposition 3.12]). Suppose G acts transitively on  $\{1, \ldots, k\}$  and let  $\rho$  denote the induced reduced regular G-representation. Suppose that  $f: Z \to X$  is a proper complexoriented map of dimension 2q and that m is an integer larger than the dimension of Z, so that  $m\varepsilon + v_f$  is a vector bundle over Z, well-defined up to isomorphism, where  $\varepsilon$  is the trivial complex line bundle. Then

There's no reason tuse *m*, then *r*, then change *r*'s name to *n* in Lecture 2.6. Straighten out this terrible naming scheme.

Make it clearer what you mean here. You want the witness to the complex-orientability of f to be homotopically independent

$$e(\rho)^m P(f_*1) = f_* e(\rho \otimes (m\varepsilon + \nu_f)) \in MU^{2m(k-1)-2qk}(BG \times X).$$

*Proof.* We can take m large enough that the complex-orientation on f can be represented by a factorization

$$Z \xrightarrow{i} m\varepsilon \xrightarrow{p} X$$

and consider its  $k^{th}$  power

$$Z^{\times k} \xrightarrow{i^{\times k}} (m\varepsilon)^{\times k} \xrightarrow{p^{\times k}} X^{\times k}.$$

We calculate the excess bundles to be

$$\mu_{i^{\times k}} = \rho \otimes \nu_i, \qquad \qquad \mu((m\varepsilon)^{\times k}) = \rho \otimes m\varepsilon.$$

Since G acts transitively,  $\Delta: X \to X^{\times k}$  represents the inclusion of the G-fixed points. Packaging all this into Lemma 2.5.4 gives

$$e(\rho \otimes m\varepsilon) \cdot \Delta^* f_{hG^*}^{\times k}(1) = f_*(e(\rho \otimes \nu_i) \cdot r_{W \to Z^{\times k}}^*(1)).$$

We then investigate each part separately:

$$e(\rho \otimes m\varepsilon) = e(\rho^{\oplus m}) = e(\rho)^{m}, \qquad \Delta^{*}f_{hG}^{\times k}(1) = P(f_{*}1),$$
  

$$e(\rho \otimes \nu_{i}) = e(\rho \otimes (m\varepsilon + \nu_{f})), \qquad r_{W \to Z^{\times k}}^{*}(1) = 1$$

from which the claim follows.

The utility of this theorem comes from our ability to compute just a little bit about the Euler classes involved in its statement.

**Corollary 2.5.6** ([23, Proposition 3.17]). *Specialize to*  $G = C_k$ , and let  $\eta$  denote the line bundle on BG owing to the inclusion  $C_k \subseteq U(1)$ . Set  $e(\eta) = v$  and  $e(\rho) = w$ . Then, the Steenrod operation and Landweber operations are related by the formula

$$w^{r+q}Px = \sum_{|\alpha| \le r} w^{r-|\alpha|} a(v)^{\alpha} s_{\alpha}(x)$$

for  $x \in MU^{-2q}(X)$  and r is any integer sufficiently large with respect to dim X and q, where  $a_j(T)$  are power series with coefficients in the subring C generated by the tautological formal group law on  $MU^*(*)$ .

The claim about  $i = m\varepsilon + v_f$  is a title mysterious. We had to stare at it to before it became elievable.

arbitrary vector bundles, not *E*.

Should we use

*Proof.* The bundle  $\rho$  splits as  $\bigoplus_{i=1}^{k-1} \eta^{\otimes i}$ . Then, if  $\mathcal{L}$  is any other line bundle with a trivial G-action,

$$e(\rho \otimes \mathcal{L}) = e\left(\bigoplus_{i=1}^{k-1} \eta^i \otimes \mathcal{L}\right) = \prod_{i=1}^{k-1} e(\eta^i \otimes \mathcal{L})$$
$$= \prod_{i=1}^{k-1} F([i]_F(v), e(\mathcal{L})) = w + \sum_{j=1}^{\infty} a_j(v) e(\mathcal{L})^j,$$

where

$$w = e(\rho) = (k-1)!v^{k-1} + \sum_{j \ge k} b_j v^j$$

for  $b_i \in C$ . In general, the splitting principle shows that  $e(\rho \otimes E)$  has

$$e(\rho \otimes E) = \sum_{|\alpha| < r} w^{r-|\alpha|} a(v)^{\alpha} c_{\alpha}(E).$$

Setting  $E=m\varepsilon+\nu_f$ , we calculate  $r=\dim(m\varepsilon+\nu_f)=m-q$ . Inserting this into Lemma 2.5.5 then gives

$$w^{m}P(f_{*}1) = f_{*}\left(\sum_{|\alpha| \leq r} w^{r-|\alpha|} a(v)^{\alpha} c_{\alpha}(m\varepsilon + \nu_{f})\right)$$

$$w^{r+q}P(f_{*}1) = \sum_{|\alpha| \leq r} w^{r-|\alpha|} a(v)^{\alpha} f_{*} c_{\alpha}(m\varepsilon + \nu_{f})$$

$$= \sum_{|\alpha| \leq r} w^{r-|\alpha|} a(v)^{\alpha} s_{\alpha}(f_{*}1).$$

This formula is quite remarkable — it says that a certain power operation defined for MU is, in fact, additive and stable (after multiplying by w some)! This is certainly not the case in general, and I'm not aware of an *a priori* reason to expect this to have happened all along. Tomorrow, we will use it to power an induction to say something about the coefficient ring  $MU_*$ .

Check that this last line is right. Can you pull Gysin maps past Euler classes? What happened to me? — are you using the definition of Landweber-Novikov operation for  $v_i$  instead of  $v_f$  Why?

## 2.6 Feb 22: Quillen's theorem

With Corollary 2.5.6 in hand, we deduce Quillen's major structural theorem about  $MU_*$ . We will continue to use the following notations:

• *C* is the subring of  $MU_*$  generated by the coefficients of the formal group law associated to the identity complex–orientation.

- $G = C_k$  acts by cyclic permutation on  $\{1, ..., k\}$ . In particular, the action is transitive.
- $\rho$  is the associated regular representation, and  $w = e(\rho)$  its Euler class.
- $\eta$ :  $BC_k \to BU(1)$  is the associated line bundle, and  $v = e(\eta)$  its Euler class.

**Theorem 2.6.1** ([23, Theorem 5.1]). *If X has the homotopy type of a finite complex, then* 

$$MU^*(X) = C \cdot \sum_{q \ge 0} MU^q(X),$$
  
 $\widetilde{MU}^*(X) = C \cdot \sum_{q > 0} MU^q(X).$ 

*Proof.* We can focus on the claim

$$\widetilde{MU}^{2*}(X) \stackrel{?}{=} C \cdot \sum_{q>0} MU^{2q}(X) =: R^{2*},$$

since  $MU^{2*+1}(*) = 0$  and  $\widetilde{MU}^{2*+1}(X)$  can be handled by suspending X once, and then the unreduced case follows directly. We will show this by working p–locally and inducting on the value of "\*". Suppose that

$$R_{(p)}^{-2j} = \widetilde{MU}^{-2j}(X)_{(p)}$$

for j < q and consider  $x \in \widetilde{MU}^{-2q}(X)$ . Then, for  $n \gg 0$ , we have

$$w^{n+q}Px = \sum_{|\alpha| < n} w^{n-|\alpha|} a(v)^{\alpha} s_{\alpha} x.$$

Recall that w is a power series in v with coefficients in C and leading term  $(p-1)!v^{p-1}$ , so that  $v^{p-1} = w \cdot \theta(v)$  for some invertible series  $\theta$  with coefficients in C. Since  $s_{\alpha}$  lowers degree, we have  $s_{\alpha}x \in R$  by the inductive hypothesis, so we may write

$$v^m(w^qPx-x)=\psi_x(v)$$

with  $\psi_{x}(T) \in R_{(p)}[\![T]\!]$ .

Suppose  $m \ge 1$  is the least integer for which we can write such an equation — we will show m = 1 in a moment. Applying the inclusion  $i: X \to X \times B\mathbb{Z}/p$  to this equation sets v = 0 and yields  $\psi_x(0) = 0$ , hence  $\psi_x(T) = T\varphi_x(T)$  and

$$v(v^{m-1}(w^q Px - x) - \varphi_x(v)) = 0.$$

Since v annihilates this equation, we can use the Gysin sequence associated to the spherical bundle

$$S^1 \to S(\eta) \to BC_p$$

It's not clear (from this presentation) why  $\langle p \rangle (v)$  is involved in this sequence or where the shift by -1in the dimension went. I'm a little confused about Quillen's presentation of the total space as " $S^{\infty} \times_{C_n} S^{1,n}$ , too. to produce a class  $y \in \widetilde{MU}^{2(m-1)-2q}(X)$  with

$$v^{m-1}(w^q Px - x) = \varphi_x(x) + y\langle p \rangle(v).$$

If m > 1, then  $y \in R$  for degree reasons and hence the right-hand side gives an equation contradicting our minimality hypothesis. So, m = 1, and the outer factor of  $v^{m-1}$  is not present in the last expression. Restricting along i again, we obtain the equation

$$\begin{cases}
-x & \text{if } q > 0 \\
x^p - x & \text{if } q = 0
\end{cases} = \varphi_x(0) + py.$$

In the first case, where q > 0, it follows that  $MU^{-2q}(X) \subseteq R^{-2q} + pMU^{-2q}(X)$ , and since  $MU^{-2q}(X)$  has finite order torsion, it follows that  $MU^{-2q}(X) = R^{-2q}$ . In the other case, x can be rewritten as a sum of things in  $R^0$ , things in  $pMU^0(X)$ , and things in  $(MU^0)^p$ . Since the ideal  $\widetilde{MU}^0(X)$  is nilpotent, it follows that  $\widetilde{MU}^0(X) = R^0$ , and induction proves the theorem.

**Corollary 2.6.2.** The coefficients of the formal group law span  $MU_*$ .

*Remark* 2.6.3. This proof actually also goes through for MO as well. In that case, it's even easier, since the equation 2 = 0 in  $\pi_0 MO$  causes much of the algebra to collapse. One can try to further perturb this proof in two ways:

- 1. One can try to replace the identity complex–orientation  $MU \xrightarrow{\mathrm{id}} MU$  with a nontrivial complex–orientation  $MU \xrightarrow{\varphi} E$  which is suitably compatible with power operations. It would be nice to understand why this doesn't give more information about E than what's visible in the Hurewicz image of  $\varphi$ . Or, conversely, it would be nice to understand a proof of Mahowald's theorem that the free  $E_2$ –algebra with p=0 is  $H\mathbb{F}_p$ , which this proof portends to give information about.
- Straighten this out
- 2. One can also try to replace *MO* and *MU* with *MSp* or *MSO*. These, too, have presentations in terms of bordism theories and hence similar power operations to the ones we used above. On the other hand, the Euler classes in *MSp*–theory, while simple, are not so well-behaved, because they are not controlled by a formal group law. Characteristic classes in *MSO*–theory are not even simple.

This isn't wellstated either.

We now have a foothold on  $\pi_*MU$ , and this alone is enough to move us to study  $\mathcal{M}_{fgl}$ , the moduli scheme of formal group laws. However, while we're here, it's possible for us to prove the rest of Quillen's theorem, if we get just slightly ahead of ourselves and assume one algebraic fact about  $\mathcal{O}_{\mathcal{M}_{fgl}}$ . The place to start is with the following topological observation about mixing complex–orientations:

**Lemma 2.6.4** ([1, Lemma 6.3 and Corollary 6.5]). *Let*  $\varphi$ :  $MU \to E$  *be complex–oriented and consider the two orientations* 

$$\mathbb{S} \wedge MU \xrightarrow{\eta_E \wedge 1} E \wedge MU$$
,  $MU \wedge \mathbb{S} \xrightarrow{\varphi \wedge \eta_{MU}} E \wedge MU$ .

The two induced coordinates  $x^E$  and  $x^{MU}$  on  $\mathbb{C}P^{\infty}_{E \wedge MU}$  are related by the formulas

$$x^{MU} = \sum_{j=0}^{\infty} b_j^E(x^E)^{j+1} = g(x^E),$$
$$g^{-1}(x^{MU} +_{MU} y^{MU}) = g^{-1}(x^E) +_E g^{-1}(y^E).$$

where  $E_*MU \cong E_*[b_1, b_2, \ldots]$ .

*Proof.* The second formula is a direct consequence of the first. The first formula comes from taking the module generators  $\beta_{j+1} \in E_{2(j+1)}\mathbb{C}P^{\infty} = E_{2j}MU(1)$  and pushing them forward to get the algebra generators  $b_j \in E_{2j}MU$ . Then, the triangle

$$[\mathbb{C}\mathrm{P}^{\infty},MU] \xrightarrow{\cong} [\mathbb{C}\mathrm{P}^{\infty},E\wedge MU]$$

$$\mathrm{Modules}_{E_*}(E_*\mathbb{C}\mathrm{P}^{\infty},E_*MU)$$

allows us to pair  $x^{MU}$  with  $(x^E)^{j+1}$  to determine the coefficients of the series.

**Corollary 2.6.5** ([1, Corollary 6.6]). *In particular, for the orientation MU*  $\rightarrow$  HZ we have

$$x_1 +_{MU} x_2 = \exp^H(\log^H(x_1) + \log^H(x_2)),$$

where 
$$\exp^H(x) = \sum_{j=0}^{\infty} b_j x^{j+1}$$
.

However, one also notes that  $H\mathbb{Z}_*MU = \mathbb{Z}[b_1, b_2, \ldots]$  carries the universal example of a formal group law with a logarithm — this observation is independent of any knowledge about  $MU_*$ . It turns out that this brings us one step away from understanding  $MU_*$ :

**Theorem 2.6.6** (To be proven as Theorem 3.2.3). There is a ring  $\mathcal{O}_{\mathcal{M}_{\mathrm{fgl}}}$  carrying the universal formal group law, and it is free: it is a polynomial ring over  $\mathbb{Z}$  in countably many generators.  $\square$ 

**Corollary 2.6.7.** The map  $\mathcal{O}_{\mathcal{M}_{\mathbf{fgl}}} \to MU_*$  is an isomorphism.

*Proof.* We proved in Corollary 2.6.2 that this map is surjective. We also proved in Theorem 2.1.24 that every rational formal group law has a logarithm, i.e., the long composite

$$\mathcal{O}_{\mathcal{M}_{\mathbf{fgl}}} \otimes \mathbb{Q} \to MU_* \otimes \mathbb{Q} \xrightarrow{\cong} (H\mathbb{Z}_*MU) \otimes \mathbb{Q}$$

is an isomorphism. Using Theorem 2.6.6, it follows that the map is also injective, hence an isomorphism.  $\Box$ 

**Corollary 2.6.8.** The ring  $\pi_*(MU \land MU)$  carries the universal example of two strictly isomorphic formal group laws. Additionally, the ring  $\pi_0(MUP \land MUP)$  carries the universal example of two isomorphic formal group laws.

*Proof.* Combine Lemma 2.6.4 and Corollary 2.6.7.

There's buzz about a "Frobenius map" for structured rings going around these days. I guess the point is that an  $E_2$ -algebra structure is enough to get a multiplicative map  $E^0X \to E^0X \otimes E^0BC_p$ . This isn't additive, so it can't come from an infinite loop map, but it becomes additive when passing to the Tate construction:  $E^X \to (E^X)^{tCp}$ , using the fact that the genuine  $C_p$  fixed points of  $E^{X \times p}$  is  $E^X$ , and the square relating genuine, homotopy, and geometric fixed points. Mike has been claiming that these results of Quillen's can be interpreted in this way, but I'm not sure what the interpretation is. He says it has something to do with inverting the Euler class and the part of Quillen's argument that involves walking down the multiples of Euler classes on both sides of the equation.

Make a point about the difference between the two "moduli problems" here (or in the context lecture, Lecture 3.1): the natural map RingSpectra ( $MU/MIMIL(E) \rightarrow Mfg(E*)$  given by passing to homotopy groups hits at most one connected component.

# Case Study 3

# Finite spectra

Write an introduction for me.

still need to talk about closed and open subschemes, their basic properties

Do you need to come to grips with ind-coherent sheaves?

Cite me: Pridham's article Presenting higher stacks as simplicial schemes seems like a good reference? Maybe some Toen things are appropriate? I don't really know

where this simpli

cial scheme stuff is written down..

## 3.1 Feb 24: The context of a spectrum

I don't think I mention Hopf algebroids during this lecture! This is a miserable oversight that *must* be corrected. Also, I should mention the cotensor product for Hopf algebroids. Update by d.s.: fixed. My reference was appendix I of Ravenel. Feel free to delete anything you think is unnecessary for our purposes. I think some of the homological algebra near the end can be left out.

**Definition 3.1.1.** A *Hopf Algebroid* over a commutative ring K is a pair  $(A, \Gamma)$  of commutative K-algebras with structure maps such that for any other commutative K-algebra B, the sets Hom(A, B) and  $\text{Hom}(\Gamma, B)$  are the objects and morphisms of a groupoid. The structure maps are

- 1.  $\eta_L : A \to \Gamma$  (source)
- 2.  $\eta_R : A \to \Gamma$  (target)
- 3.  $\Delta: \Gamma \to \Gamma \otimes_A \Gamma$  (composition)
- 4.  $\varepsilon: \Gamma \to A$  (identity)
- 5.  $c: \Gamma \to \Gamma$  (inverse)

There are some relations among the structure maps that mimics the defining properties of a groupoid. I won't mention them here but they can be found in Ravenel's green book, appendix I. A graded Hopf algebroid is *connected* if the left and right sub *A*-modules generated by  $\Gamma_0$  are both isomorphic to *A*. If  $\eta_R = \eta_L$ , then  $\Gamma$  is a commutative Hopf algebra over *A*.

**Definition 3.1.2.** A left Γ-comodule M is a left A-module M together with a left A-linear map  $\psi : M \to \Gamma \otimes_A M$  that is both counitary and coassociative.

From now on, we assume that  $\Gamma$  is flat over A.

**Definition 3.1.3.** Let M be a right Γ-comodule, and N a left Γ-comodule. Their *cotensor* product over Γ is the K-module defined by the exact sequence  $0 \to M \square_{\Gamma} N \to M \otimes_A N \stackrel{\psi \otimes N - M \otimes \psi}{\longrightarrow} M \otimes_A \Gamma \otimes_A N$ , where  $\psi$  are the comodule structure maps for M and N.

Notice that if *M* is a left comodule, then it can be given the structure of a right comodule by the composition

$$M \xrightarrow{\psi} \Gamma \otimes M \xrightarrow{T} M \otimes \Gamma \xrightarrow{M \otimes c} M \otimes \Gamma$$
,

where T swaps the two factors and c is the conjugation map. From this, it is easy to deduce that  $M\Box_{\Gamma}N = N\Box_{\Gamma}M$ . The following lemma relates cotensor products to Hom.

**Lemma 3.1.4.** Let M and N be left  $\Gamma$ -comodules with M projective over A. Then

- 1. Hom<sub>A</sub>(M, A) is a right Γ-module.
- 2.  $Hom_{\Gamma}(M, N) = Hom_{A}(M, A) \square_{\Gamma} N$ . In particular, when M = A, we have  $Hom_{\Gamma}(A, N) = A \square_{\Gamma} N$ .

*Proof.* There exist maps  $\psi_M^*$ ,  $\psi_N^*$ :  $\operatorname{Hom}_A(M,N) \to \operatorname{Hom}_A(M,\Gamma \otimes_A N)$ , defined by

$$M \xrightarrow{\psi_M} \Gamma \otimes M \xrightarrow{\Gamma \otimes f} \Gamma \otimes_A N$$

$$M \stackrel{f}{\longrightarrow} N \stackrel{\psi_N}{\longrightarrow} \Gamma \otimes_A N.$$

Since *M* is projective over *A*, there is a canonical isomorphism

$$\operatorname{Hom}_A(M,A) \otimes_A N \simeq \operatorname{Hom}_A(M,N).$$

When N = A, we obtain the map

$$\psi_M^* : \operatorname{Hom}_A(M, A) \longrightarrow \operatorname{Hom}_A(M, A) \otimes_A \Gamma.$$

It is easy to check that this map satisfies the coassociativity axiom.

For the second part, note that by definition, we have

$$\operatorname{Hom}(M,N) = \ker(\psi_M^* - \psi_N^*) \subset \operatorname{Hom}_A(M,N),$$
  
 $\operatorname{Hom}_A(M,A) \square_{\Gamma} N = \ker(\psi_M^* \otimes N - \operatorname{Hom}_A(M,A) \otimes \psi_N).$ 

The claim then follows from the following commutative diagram:

$$\operatorname{Hom}(M,A) \otimes N \xrightarrow{\simeq} \operatorname{Hom}_{A}(M,N)$$

$$\psi_{M}^{*} \otimes N \downarrow \downarrow \operatorname{Hom}(M,A) \otimes \psi_{N} \qquad \psi_{M}^{*} \downarrow \downarrow \psi_{N}^{*}$$

$$\operatorname{Hom}(M,A) \otimes \Gamma \otimes N \xrightarrow{\simeq} \operatorname{Hom}_{A}(M,\Gamma \otimes_{A} N)$$

**Definition 3.1.5.** A map of Hopf algebroids  $f:(A,\Gamma)\to(B,\Sigma)$  is a pair of K-algebra maps  $f_1: A \to B, f_2: \Gamma \to \Sigma$  such that  $f_1\varepsilon = \varepsilon f_2, f_2\eta_R = \eta_R f_1, f_2\eta_L = \eta_L f_1, f_2c = cf_2$ , and  $\Delta f_2 = (f_2 \otimes f_2)\Delta.$ 

Now we will discuss some homological algebra of Hopf algebroids. It turns out that the category of  $\Gamma$ -comodules has enough injectives, and so we can make the following definition:

**Definition 3.1.6.** For left Γ-comodules M and N,  $\operatorname{Ext}_{\Gamma}^{i}(M,N)$  is the ith right derived functor of  $\operatorname{Hom}_{\Gamma}(M,N)$ , regarded as a functor of N. For M a right  $\Gamma$ -module,  $\operatorname{Cotor}_{\Gamma}^{\iota}(M,N)$  is the *i*th right derived functor of  $M\square_{\Gamma}N$ , also regarded as a functor of N. The corresponding graded groups are denoted  $\operatorname{Ext}_{\Gamma}(M,N)$  and  $\operatorname{Cotor}_{\Gamma}(M,N)$ , respectively.

The next lemma shows that the resolution can satisfy a weaker condition than being injective.

#### **Lemma 3.1.7.** *Let*

$$0 \rightarrow N \rightarrow R^0 \rightarrow R^1 \rightarrow \cdots$$

be a long exact sequence of left  $\Gamma$ -comodules such that  $\operatorname{Cotor}^n_{\Gamma}(M, R^i) = 0$  for all n > 0. Then  $Cotor_{\Gamma}(M, N)$  is the cohomology of the complex

$$Cotor^0_{\Gamma}(M, R^0) \xrightarrow{\delta_0} Cotor^0_{\Gamma}(M, R^1) \xrightarrow{\delta_1} \cdots$$

There are many candidates that satisfy the condition of 3.1.7. If M is a projective Amodule and N is an A-module, then  $\operatorname{Cotor}_{\Gamma}^{i}(M, \Gamma \otimes_{A} N) = 0$  for i > 0 and  $\operatorname{Cotor}_{\Gamma}^{0}(M, \Gamma \otimes_{A} N)$ N) =  $M \otimes_A N$ . A relative injective Γ-comodule is a direct summand of comodules of the form  $\Gamma \otimes_A N$ .

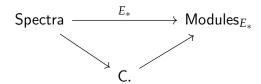
**Definition 3.1.8.** (Cobar Resolution) Let M be a left Γ-comodule. For a right Γ-comodule Lthat is projective over A, the cobar complex  $C^*_{\Gamma}(L, M)$  is  $C^s_{\Gamma}(L, M) = L \otimes_A^s \overline{\Gamma}^{\otimes s} \otimes_A M$  (with the obvious differential). When  $L = \Gamma$ ,  $D_{\Gamma}(M) = C_{\Gamma}(\Gamma, M)$  is called the cobar resolution of M.

It turns out that  $D_{\Gamma}(M)$  is a resolution of M by relative injectives, and we have the following proposition:

**Proposition 3.1.9.** *If* L *is projective over* A, *then*  $H(C^*_{\Gamma}(L, M)) = Cotor_{\Gamma}(L, M)$ . *In particular, if* L = A, *then*  $H(C^*_{\Gamma}(A, M)) = Ext_{\Gamma}(A, M)$ .

#### end of Hopf algebroid. Actual class starts

Today we will make good on our promise, made during our investigation of the unoriented bordism ring, to explain where the Adams spectral sequence comes from. This story neatly divides into two parts, and the first half is just an investigation of how rich of an algebraic category C we can find that supports a factorization



Our answer to this question will come out of considering Grothendieck's framework of descent. Classically, descent concerns itself with a map  $f \colon R \to S$  of a rings and an S-module N, and it asks questions like:

- When is there an *R*–module *M* such that  $N \cong M \otimes_R S = f^*M$ ?
- What extra data can be placed on N, called *descent data*, so that the category of descent data for N is equivalent to the category of R-modules under the map  $f^*$ ?
- What conditions can be placed on *f* so that the category of descent data for any given module is always contractible, called *effectivity*?

The essential structure of these answers is easy to guess if we proceed by example, using the few tools available to us. Suppose that we begin instead with an R-module M and we set  $N = M \otimes_R S$ . By tensoring up, we have two R-algebra maps  $S \to S \otimes_R S$ , given by including along either factor, and we can further tensor N up to  $N \otimes_R S$  or  $S \otimes_R N$ . Since N came from the R-module M, these are canonically isomorphic:

$$\varphi \colon ((f \otimes 1) \circ f)^* M \cong ((1 \otimes f) \circ f)^* M.$$

Repeating this process produces more isomorphisms which compose according to the triangle

$$N \otimes_R S \otimes_R S \xrightarrow{\varphi_{13}} S \otimes_R S \otimes_R N \otimes_R S \otimes_R N \otimes_R S \otimes_R N \otimes_R S,$$

Cite me: Allen said he knew a good reference for this descent picture.

where  $\varphi_{ij}$  denotes applying  $\varphi$  to the  $i^{th}$  and  $j^{th}$  coordinates.

**Definition 3.1.10.** Let  $f: R \to S$  be a map of rings as above. An S-module N equipped with an isomorphism  $S \otimes_R N \cong N \otimes_R S$  of  $S \otimes_R S$ -modules which causes the above triangle to commute is called a *descent datum* for the map f.

*Remark* 3.1.11. There are other ways to view this data. For example, later on we will revisit it from the categorical perspective of *comonads*. However, there is another perspective which we have already encountered earlier on: that of the *canonical coalgebra* or *Amitsur complex*. Associated to the map  $f: R \to S$ , we can form the ring  $S \otimes_R S$ , which supports a map

$$S \otimes_R S \simeq S \otimes_R R \otimes_R S \to S \otimes_R S \otimes_R S \simeq (S \otimes_R S) \otimes_S (S \otimes_R S).$$

One can check that descent data on a S-module is the same as the data of a coaction against  $S \otimes_R S$ . As a first step, notice the similarity of function signatures:

$$N \xrightarrow{\psi} N \otimes_S (S \otimes_R S) \simeq N \otimes_R S.$$

The following theorem is the usual culmination of an initial investigation into descent:

**Theorem 3.1.12** (Grothendieck). *If*  $f: R \to S$  *is faithfully flat, then there is an equivalence of* R-modules and S-modules equipped with descent data.

*Jumping off point.* The basic observation is that  $0 \to R \to S \to S \otimes_R S$  is an exact sequence of R-modules. This makes much of the homological algebra involved work out.

For details and additional context, see Section 4.2.1 of [34]; the story in the context of Hopf algebroids is also spelled out in detail in [21].

In our situation, this hypothesis will essentially never be satisfied, so we will pursue a less dramatic statement of the properties of descent. To see what kind of theorem one might expect, consider the example of  $f: \mathbb{Z} \to \mathbb{F}_p$ , which is neither faithful nor flat. Then, consider the following list of problems (and their partial solutions):

- The tensor functor  $f^*$  cannot distinguish even between the  $\mathbb{Z}$ -modules  $\mathbb{Z}$  and  $\mathbb{Z}/p$ . However, if we use  $Lf^*$  and resolve  $\mathbb{Z}/p$  as  $\mathbb{Z} \xrightarrow{p} \mathbb{Z}$ , the complexes  $Lf^*(\mathbb{Z})$  and  $Lf^*(\mathbb{Z}/p)$  do look distinct.
- Once we pass to the derived category, then we are no longer in a situation where we can expect the single cocycle condition from the descent data above to suffice. Instead, we can form a simplicial scheme, called the *descent object*, by the formula

$$\mathcal{D}_{\mathbb{Z} \to \mathbb{F}_p} := \left\{ \begin{array}{cccc} & \longleftarrow & \longleftarrow & \longleftarrow \\ & \longleftarrow & \operatorname{Spec} \mathbb{F}_p & \longrightarrow \\ & \longleftarrow & \operatorname{Spec} \mathbb{F}_p & \longrightarrow & \times_{\operatorname{Spec} \mathbb{Z}} & \longleftarrow \\ & \longleftarrow & \operatorname{Spec} \mathbb{F}_p & \longrightarrow & \times_{\operatorname{Spec} \mathbb{Z}} & \longleftarrow \\ & \longleftarrow & \operatorname{Spec} \mathbb{F}_p & \longrightarrow & \times_{\operatorname{Spec} \mathbb{Z}} & \longleftarrow \\ & \longleftarrow & \operatorname{Spec} \mathbb{F}_p & \longrightarrow & \times_{\operatorname{Spec} \mathbb{Z}} & \longleftarrow \\ & \longleftarrow & \operatorname{Spec} \mathbb{F}_p & \longrightarrow & \longleftarrow \end{array} \right\}.$$

I added the citation requested above, but make a pass over this since I may have put it in an awkward place AY. This is meant to look like the Čech nerve for the "cover" Spec  $\mathbb{F}_p \to \operatorname{Spec} \mathbb{Z}$ .

• Accordingly, we need to update our notion of quasicoherent sheaf to live over a simplicial scheme [30, Tag 09VK]. Given a simplicial scheme X, a sheaf  $\mathcal{F}$  on X will be a sequence of sheaves  $\mathcal{F}[n]$  on X[n] as well as, for each map  $\varphi:[m]\to[n]$  in the simplicial indexing category inducing a map  $X(\varphi):X[n]\to X[m]$ , a choice of map of sheaves

$$\mathcal{F}(\varphi)_* \colon \mathcal{F}[m] \to X(\varphi)_* \mathcal{F}[n].$$

Such a sheaf will be called *quasicoherent* when it is levelwise quasicoherent.

• Finally, we can characterize the structure a quasicoherent sheaf over  $\mathcal{D}_{\mathbb{Z} \to \mathbb{F}_p}$  receives when it is tensored down from  $\mathbb{Z}$ . Such a sheaf enjoys that the adjoint map

$$\mathcal{F}(\varphi)^* \colon X(\varphi)^* \mathcal{F}[m] \to \mathcal{F}[n]$$

is an isomorphism, and in this case we say that  $\mathcal{F}$  is *Cartesian*.

**Lemma 3.1.13.** Without passing to the derived category, there is an equivalence of categories between Cartesian quasicoherent sheaves on the descent object and quasicoherent sheaves equipped with descent data.

The real utility of this framework is that it pulls apart the question of descent into two distinct pieces, summarized in the following theorem:

**Theorem 3.1.14.** *Let*  $i: A \to X$  *be a closed subscheme, and consider the formal completion* 

$$A \xrightarrow{i} X$$

$$X_{A}^{\wedge}.$$

If X is Noetherian, then  $k^*$  is flat as a functor of sheaves,  $j^*$  is conservative as a functor in the derived category of sheaves, and there is an equivalence of derived categories of sheaves over  $X_A^{\wedge}$  and sheaves over the descent object  $\mathcal{D}_{A \to X}$ .

*Remark* 3.1.15. The usual theorem about faithfully flat descent then follows by using the hypotheses on i to deduce that, e.g., if  $i^*$  and  $j^*$  are both conservative, then so must  $k^*$  be.

We now transfer what we've learned to the situation of homotopical algebra. Recalling that spectra are equivalent to S–modules, S the usual sphere spectrum, then any other ring spectrum comes equipped with a unit map  $\eta : S \to E$  and hence push and pull functors

$$\eta_* \colon M \mapsto M, \qquad \eta^* \colon X \mapsto E \wedge X.$$

Correspondingly, to any spectrum *X* we can define the following cosimplicial spectrum:

Cite me: Hovey's Morita theory for Hopf algebroids and presheaves of groupoids..

you haven't mentioned quasi. coh.
sheaves equipped
with descent data.
But I take it that
it's obvious from
the previous paragraphs that it's the
same thing as modules equipped with
descent data? A
concrete definition about quasicoherent sheaves
equipped with descent data would be
nice here - d.s.

Surely you're supposed to be saying "bounded" sometimes when you talk about the derived category.

Is this true? Ha well, I hope so. **Definition 3.1.16.** Let  $\mathcal{D}_E(X)$  be the cosimplicial spectrum determined by the formula

$$\mathcal{D}_{E}(X) := \left\{ egin{array}{ccccc} & & & & \longrightarrow & E & \longleftarrow & \ & & & & \longleftarrow & & \wedge & \longrightarrow & \ E & & & & & \wedge & \longrightarrow & E & \longleftarrow & \ & & & & \wedge & & \longrightarrow & E & \longleftarrow & \ & & & & & \wedge & \longrightarrow & E & \longleftarrow & \ & & & & & & X & & \wedge & \longrightarrow & X \end{array} 
ight\}.$$

It is called *the descent object for X from E to* S.

**Lemma 3.1.17.** When E is an  $A_{\infty}$ -ring spectrum, the descent object  $\mathcal{D}_E(X)$  can be naturally considered as a cosimplicial object in the  $\infty$ -category of spectra.

**Definition 3.1.18.** Let E be an  $A_{\infty}$ -ring spectrum. Then  $X_E^{\wedge} := \text{Tot } \mathcal{D}_E(X)$  is called the E-nilpotent completion of X. The spectral sequence resulting from the coskeletal filtration is called the E-Adams spectral sequence (for X).

I don't intend to prove this, but maybe we could say some mealy words about why it's true. At worst, we could give reference to the relevan part of Higher Algebra.

It is not always the case that  $X_E^{\wedge}$  can be lifted from a cosimplicial object in the homotopy category to a sufficiently structured cosimplicial object that we could take its totalization or homotopy colimit.

In general, it's quite rare that the *E*–nilpotent completion of a spectrum *X* recovers *X*, but in the nice cases we typically work in, it has been known to happen. In particular, there is the following theorem:

**Lemma 3.1.19.** Let E be a connective  $A_{\infty}$  ring spectrum and let X be any connective spectrum. Then  $X_E^{\wedge}$  is equivalent to the " $\pi_0E$ -localization" of X, i.e., for a prime p the spectrum  $X_E^{\wedge}$  is p-local if  $\pi_0E$  is p-local, it is p-complete if  $\pi_0E$  is p-torsion, and otherwise it is just X.

Cite me: Ravenel - Localizations w/r/t .. paper.

*Proof sketch.* 

You really can just look at the Adams

Finally, we can compare the topological situation with the algebraic situation. To have any hope of applying algebra and algebraic geometry, we must impose some nicety properties. Here is the first:

**Definition 3.1.20.** *E* satisfies **CH**, the Commutativity Hypothesis, when  $\pi_* E^{\wedge j}$  is commutative for all  $j \geq 1$ .

**Definition 3.1.21.** Suppose that *E* is a ring spectrum satisfying **CH**. We define a simplicial

scheme associated to *E*, called its *context*, to be

$$\mathcal{M}_{E} := \operatorname{Spec} \pi_{*} \mathcal{D}_{E}(\mathbb{S})$$

$$= \left\{ \begin{array}{c} \longleftarrow \\ \operatorname{Spec} \pi_{*} E & \longleftarrow \\ \longleftarrow \\ \longleftarrow \end{array} \right. \operatorname{Spec} \pi_{*} \left( \begin{array}{c} E \\ \wedge \\ E \end{array} \right) \xrightarrow{\longleftarrow} \left( \begin{array}{c} E \\ \wedge \\ E \end{array} \right) \xrightarrow{\longleftarrow} \cdots \right\}.$$

The context is the wellspring of the algebraic category C dreamed of in the introduction to this lecture.

**Definition 3.1.22.** For a ring spectrum *E* satisfying **CH** and input spectrum *X*, we define the following diagram of abelian groups:

The  $j^{\text{th}}$  object is a module for  $\mathcal{O}(\mathcal{M}_E[j])$ , and hence determines a quasicoherent sheaf over the scheme  $\mathcal{M}_E[j]$ . Suitably interpreted, the maps of abelian groups determine maps of pushforwards so that  $\mathcal{M}_E(X)$  is a quasicoherent sheaf over the simplicial scheme  $\mathcal{M}_E$ .

There is also a common hypothesis on *E* that brings us back into the world of coalgebra, down from simplicial schemes.

**Definition 3.1.23.** Take  $E_*E$  to be an  $E_*$ -module using the left-unit map. We will say that E satisfies **FH**, the Flatness Hypothesis, when the right-unit map  $E_* \to E_*E$  is a flat map of  $E_*$ -modules.<sup>1</sup>

*Remark* 3.1.24. The main utility of this is that it obviates us from working through the homological algebra of sheaves over simplicial schemes. Instead, since **FH** causes  $\mathcal{M}_E$  to

<sup>&</sup>lt;sup>1</sup>The essential point of this is that it causes  $E_*E \otimes_{E_*} E_*X$  to become a homology theory and  $E_*E \otimes_{E_*} E_*X \to (E \wedge E)_*X$  to become an isomorphism on a point. Alternatively, this can be viewed as a degeneration condition on the Künneth spectral sequence for  $E_*(E \wedge E)$ .

become 1–truncated, we can refer to Remark 3.1.11 and simply refer back to the homological algebra of comodules. In light of the discussion in Examples 1.4.16 and 1.4.17, we also see an interpretation of these groupoid–valued simplicial schemes: they are valued in sets equipped with an action by Spec  $E_*E$ , which acts also on the base Spec  $E_*$ . To denote this "homotopical quotient" or "action groupoid", we will write

Spec 
$$E_*$$
 // Spec  $E_*E$ .

Such affine groupoid–valued schemes are themselves quite tangible: their rings of functions form *Hopf algebroids*, and Cartesian quasicoherent sheaves on the groupoid scheme correspond to comodules for the Hopf algebroid.

Remark 3.1.25. This homotopical perspective is quite useful — for instance, a map of groupoid–schemes which induces on points a natural weak equivalence of groupoids also induces an equivalence of comodule categories. In fact, the *derived* comodule category depends only upon the stack associated to the groupoid–scheme, which allows still more contexts to be identified. We won't need this observation in what's to come, though, and it introduces substantial technical distractions. **However, we may got sloppy and say "stack" from time to time.** 

*Example* 3.1.26. Most of the homology theories we will discuss have this property. For an easy example,  $H\mathbb{F}_2P$  certainly has this property: there is only one possible algebraic map  $\mathbb{F}_2 \to \mathcal{A}_*$ , so **FH** is necessarily satisfied. This grants us access to a description of the context for  $H\mathbb{F}_2$ :

$$\mathcal{M}_{H\mathbb{F}_2P} = \operatorname{Spec} F_2 /\!/ \operatorname{\underline{Aut}} \widehat{\mathbb{G}}_a.$$

*Example* 3.1.27. The context for *MUP* is considerably more complicated, but Quillen's theorem can be equivalently stated as giving a description of it. It is isomorphic to the moduli of formal groups:

$$\mathcal{M}_{MUP} \simeq \mathcal{M}_{\mathbf{fg}} := \mathcal{M}_{\mathbf{fgl}} / / \mathcal{M}_{\mathbf{ps}}^{\mathbf{gpd}}$$

where  $\mathcal{M}_{ps} = \underline{\text{End}}(\widehat{\mathbb{A}}^1)$  is the moduli of self-maps of the affine line (i.e., of power series) and  $\mathcal{M}_{ps}^{gpd}$  is the multiplicative subgroup of invertible such maps.

Remark 3.1.28. If E is a complex-oriented ring spectrum, then the simplicial sheaf  $\mathcal{M}_{MU}(E)$  has an extra degeneracy, cause the MU-based Adams spectral sequence for E to degenerate. In this sense, the "stackiness" of  $\mathcal{M}_{MU}(E)$  is a measure of the failure of E to be orientable.

Cite me: A lot of this could use citation. Most of it is in Ravenel's appendix or Hovey's paper...

I'm still hazy over these two remarks. I understand what they are trying to say but I'm feeling hazy on the details. You should explain it to me sometime d.s.

The standard Johnson-Wilson chart for  $\mathcal{M}_{\mathbf{fg}}^{\leq d}$  is a standard example of a place where stackiness is actually relevant. Admit to this here and put a forward reference.

Could also explain the difference: levelwise sheaves of 0-types vs sheaves of ∞-types.

I think there is a notion of quasico-herent sheaf directly over  $\mathcal{D}_{\mathcal{F}}$  and an interpretation of Cartesian sheaves in that setting. I think that a different view on FH is that it causes the functor  $\pi_*$  to preserve Cartesianness.

Cite me: Mike's Talbot talk, which is in the TMF vol

Say what open, closed, flat maps of simplicial schemes

Jon thinks that this picture can be instructively recast in terms of the cotangent complex. I'm not sure how, but it's something to keep in mind for

# 3.2 Feb 26: Fiberwise analysis and chromatic homotopy theory

Andy Senger correctly points out that "stalkwise" is the wrong word to use in all this (if we mean to be working in the Zariski topology, which surely we must). The stalks are selected by maps from certain local rings;  $E_{\Gamma}$  selects the formal neighborhood of the special point inside of this; and  $K_{\Gamma}$  selects the special point itself. Is "fiberwise" enough of a weasel word to get out of this?

Our first goal for today is to outline a program for the rest of this Case Study. Yesterday, we developed a rich target for E-homology: sheaves over an algebro-geometric object  $\mathcal{M}_E$ . Furthermore, we have explored in Example 3.1.27 an identification  $\mathcal{M}_{MUP} \simeq \mathcal{M}_{fg}$ , where  $\mathcal{M}_{fg}$  is the "moduli of formal groups". Our initial goal for today is to outline a program by which we can leverage this to study MUP. Abstractly, one can hope to study any sheaf, including  $\mathcal{M}_{MUP}(X)$ , by analyzing its stalks. The main utility of Quillen's theorem is that it gives us access to a concrete model of  $\mathcal{M}_{MUP}$ , so that we can determine where to even look for those stalks.

With this in mind, given a map f in the diagram

$$\operatorname{Spec} R \xrightarrow{f} \mathcal{M}_{\mathbf{fgl}} = \operatorname{\mathcal{M}}_{MUP}[0] = \operatorname{Spec} MUP_0$$

$$\downarrow \qquad \qquad \downarrow$$

$$\mathcal{M}_{\mathbf{fg}} = \operatorname{\mathcal{M}}_{MUP},$$

please check the P's, 0's, and labels of arrows? I tried to fudge around with it since it looked funny but I want to make sure I didn't mess anything up, AY

The bottom of COCTALOS page

68 has a better in-

group law has to do with anything, I

should this (and the one later) be R(X) and not  $R_0$ ? For example when  $R = MUP_0$  life would be easiest if the R-module determined by  $f^*\mathcal{M}_{MUP}(X)$  were itself the value of a homology theory  $R_0(X) = MUP_0X \otimes_{MUP_0} R$ . After all, the pullback of some arbitrary sheaf along some arbitrary map has no special behavior, but homology functors do have familiar special behaviors which we could hope to exploit. Generally, this is unreasonable to expect: homology theories are functors which convert cofiber sequences of spectra to long exact sequences of groups, but base–change from  $\mathcal{M}_{fg}$  to Spec R preserves exact sequences exactly when the diagonal arrow is flat. In that case, this gives the following theorem:

Theorem 3.2.1 (Landweber). Given such a diagram where the diagonal arrow is flat, the functor

$$R_0(X) := MUP_0(X) \otimes_{MUP_0} R$$

is a homology theory.

In the course of proving this theorem, Landweber devised a method to recognize flat maps. Recall that a map f is flat exactly when for any closed substack  $i \colon A \to \mathcal{M}_{fg}$  with ideal sheaf  $\mathcal{I}$  there is an exact sequence

$$0 \to f^* \mathcal{I} \to f^* \mathcal{O}_{\mathcal{M}_{\mathbf{fg}}} \to f^* i_* \mathcal{O}_A \to 0.$$

Landweber classified the closed substacks of  $\mathcal{M}_{fg}$ , thereby giving a method to check maps for flatness.

This appears to be a moot point, however, as it is unreasonable to expect this idea to apply to computing stalks: the inclusion of a closed substack (and so, in particular, a closed point  $\Gamma$ ) is flat only in highly degenerate cases. We saw in Theorem 3.1.14 that this can be repaired: the inclusion of the formal completion of a closed substack of a Noetherian<sup>2</sup> stack is flat, and so we naturally become interested in the infinitesimal deformation spaces of the closed points  $\Gamma$  on  $\mathcal{M}_{fg}$ . If we can analyze those, then Landweber's theorem will produce homology theories called  $E_{\Gamma}$ . Moreover, if we find that these deformation spaces are *smooth*, it will follow that their deformation rings support regular sequences. In this excellent case, by taking the regular quotient we will be able to recover a *homology theory*  $K_{\Gamma}$  which plays the role of computing the stalk of  $\mathcal{M}_{MUP}(X)$  at  $\Gamma$ .<sup>3</sup>

We have thus assembled a task list:

- Describe the open and closed substacks of  $\mathcal{M}_{\mathrm{fg}}$ .
- ullet Describe the geometric points of  $\mathcal{M}_{\mathrm{fg}}$ .
- Analyze their infinitesimal deformation spaces.

This will occupy us for the next few lectures. Today, we will embark on this analysis by studying the scheme  $\mathcal{M}_{fgl}$  which naturally covers the stack  $\mathcal{M}_{fg}$ .

**Definition 3.2.2.** There is an affine scheme  $\mathcal{M}_{fgl}$  classifying formal group laws. Begin with the scheme classifying all bivariate power series:

Spec 
$$\mathbb{Z}[a_{ij} \mid i, j \geq 0] \leftrightarrow \{\text{bivariate power series}\}\$$
,  $f \in \operatorname{Spec} \mathbb{Z}[a_{ij} \mid i, j \geq 0](R) \leftrightarrow \sum_{i,j \geq 0} f(a_{ij}) x^i y^j.$ 

Then, set  $\mathcal{M}_{fgl}$  to be the closed subscheme selected by the formal group law axioms in Definition 2.1.19.

This presentation of  $\mathcal{M}_{fgl}$  as a subscheme appears to be extremely complicated in that its ideal is generated by many hard-to-describe elements, but  $\mathcal{M}_{fgl}$  itself is actually not complicated at all. We will prove the following theorem:

**Theorem 3.2.3** ([17, Théorème II]). There is a noncanonical isomorphism

$$L_{\infty} := \mathcal{O}_{\mathcal{M}_{\mathbf{fgl}}} \cong \mathbb{Z}[t_n \mid 1 \leq n < \infty]. \quad \Box$$

The most important consequence of this is *smoothness*:

 $<sup>{}^2\</sup>mathcal{M}_{fg}$  is not Noetherian, but we will find that each closed point except  $\widehat{\mathbb{G}}_a$  lives in an open substack that happens to be Noetherian.

<sup>&</sup>lt;sup>3</sup>Incidentally, this program has no content when applied to  $\mathcal{M}_{H\mathbb{F}_2}$ , as Spec  $\mathbb{F}_2$  is simply too small.

**Corollary 3.2.4.** Given a formal group law  $\varphi$  over a ring R and a surjective ring map  $f: S \to R$ , there exists a formal group law  $\widetilde{\varphi}$  over S with

$$\varphi = f^*\widetilde{\varphi}$$
.  $\square$ 

*Remark* 3.2.5. One might hope that the filtration above has an immediate geometric realization. After all, one can consider the  $n^{\text{th}}$  order formal neighborhood  $\widehat{\mathbb{A}}^{1,(n)}$  of Example 1.2.3. The appropriate analogue of Lemma 2.1.10 shows that a map

$$\widehat{\mathbb{A}}^{1,(n)} \times \widehat{\mathbb{A}}^{1,(n)} \to \widehat{\mathbb{A}}^{1,(n)}$$

is represented by a bivariate power series, *modulo the ideal*  $(x^{n+1}, y^{n+1})$ . This ideal is distinct from  $(x, y)^{n+1}$ , and so the source scheme of a formal n-bud is not the square of  $\widehat{\mathbb{A}}^{1,(n)}$ , and a formal n-bud does *not* determine a group object on some finite scheme. This is actually a good thing: there are structure theorems preventing many of these intermediate group structures on finite schemes from existing.

Cite me: Akhil is who reminded me of this, back in Berkeley..

There's some hidden text here about mebuds, but I don't think we ever care about it.

*Proof of Theorem 3.2.3.* Let  $U = \mathbb{Z}[b_0, b_1, b_2, \ldots]/(b_0 - 1)$  be the universal ring supporting a "strict" exponential

$$\exp(x) := \sum_{j=0}^{\infty} b_j x^{j+1}$$

with compositional inverse

$$\log(x) := \sum_{j=0}^{\infty} m_j x^{j+1}.$$

They induce a formal group law on *U* by the formula

$$x +_{U} y = \exp(\log(x) + \log(y)),$$

classified by a map  $u: L_{\infty} \to U$ . Modulo decomposables, this map can be computed as

$$u(a_{i(n-i)}) = \binom{n}{i} b_{n-1} \pmod{\text{decomposables}}.$$

Writing  $d_n = \gcd(\binom{n}{i})|0 < k < n)$ , the map Qu on degree 2n has image the subgroup generated by  $d_{n+1}b_n$ . We write  $T_{2n}$  for this subgroup. Using the splitting of Qu from Lemma 3.2.7.4 below, we use the freeness of U to *choose* an algebra splitting

$$U \xrightarrow{v} L_{\infty} \xrightarrow{u} U.$$

The map v is an isomorphism because uv is injective and because we have checked that v is surjective on indecomposables.

**Definition 3.2.6.** In order to prove the missing Lemma 3.2.7, it will be useful to study the series  $+_{\varphi}$  "up to degree n", i.e., modulo  $(x,y)^{n+1}$ . Such a truncated series satisfying the analogues of the formal group law axioms is called a *formal n-bud*. Additionally, a *symmetric* 2–*cocycle* is a symmetric polynomial f(x,y) satisfying the equation

$$f(x,y) - f(t+x,y) + f(t,x+y) - f(t,x) = 0.$$

**Lemma 3.2.7** (Symmetric 2–cocycle lemma (Part 1)). *The following are equivalent:* 

1. Symmetric 2–cocycles that are homogeneous polynomials of degree n are spanned by

$$c_n = \frac{1}{d_n} \cdot ((x+y)^n - x^n - y^n).$$

- 2. For F is an r-bud, the set of (r+1)-buds extending F form a torsor under addition for  $R_{2n-2} \otimes c_r$ .
- 3. Any homomorphism  $(QL)_{2n} \to A$  factors through the map  $(QL)_{2n} \to T_{2n}$ .
- 4. There is a canonical splitting  $T_{2n} \rightarrow (QL)_{2n}$ .

*Equivalences.* Verifying that Claims 1 and 2 are equivalent is a matter of writing out the purported (r + 1)-buds and taking their difference. To see that Claim 2 is equivalent to Claim 3, follow the chain

$$\mathsf{Groups}((QL)_{2n},A) \cong \mathsf{Rings}(\mathbb{Z} \oplus (QL)_{2n},\mathbb{Z} \oplus \Sigma^{2n}A) \cong \mathsf{Rings}(L,\mathbb{Z} \oplus \Sigma^{2n}A).$$

This shows that such a homomorphism of groups determines an extension of the n-bud  $\widehat{\mathbb{G}}_a$  to an (n+1)-bud, which takes the form of a 2–cocycle with coefficients in A, and hence factors through  $T_{2n}$ . Finally, Claim 4 is the universal case of Claim 3.

We will prove Claim 1 tomorrow.

## 3.3 Feb 29: The structure of $\mathcal{M}_{fg}$ I: Distance from $\widehat{\mathbb{G}}_a$

We begin by finishing up our proof of Lazard's theorem (and, specifically, the Symmetric 2–cocycle Lemma).

**Lemma 3.3.1** (Symmetric 2–cocycle lemma (Part 2): Claim 1 of Lemma 3.2.7). *Symmetric* 2–cocycles that are homogeneous polynomials of degree n are spanned by

$$c_n = \frac{1}{d_n} \cdot ((x+y)^n - x^n - y^n).$$

Things suddenly become graded he — and you really make use of this. Explain yourself.

Cite me: This fo lows Chapter 3 of COCTALOS..

Rephrase this in terms of localizations.

*Proof.* It suffices to show the Lemma over a finitely generated ring. In fact, the Lemma is true for  $A \oplus B$  if and only if it's true for A and for B, so the structure theorem for finitely generated abelian groups reduces to the cases of  $\mathbb{Z}$  and  $\mathbb{Z}/p^r$ . If  $A \subseteq B$  and the Lemma is true for B, it's true for A, so we can further reduce the  $\mathbb{Z}$  case to  $\mathbb{Q}$ . We can also reduce from  $\mathbb{Z}/p^r$  to  $\mathbb{Z}/p$  using an inductive, Bockstein-style argument. Hence, we can now freely assume that our ground object is a prime field.

For a formal group scheme  $\widehat{\mathbb{G}}$ , we can form a simplicial scheme  $B\widehat{\mathbb{G}}$  in the usual way:

$$B\widehat{\mathbf{G}} := \left\{ \begin{array}{cccc} * & \longleftarrow & \\ * & \longleftarrow & \times & \longrightarrow \\ * & \longleftarrow & \times & \longrightarrow & \widehat{\mathbf{G}} & \longleftarrow \\ \times & \longrightarrow & \widehat{\mathbf{G}} & \longleftarrow & \times & \longrightarrow & \cdots \\ * & \longleftarrow & \times & \longrightarrow & \widehat{\mathbf{G}} & \longleftarrow \\ * & \longleftarrow & \times & \longrightarrow & \\ * & \longleftarrow & \times & \longrightarrow & \\ * & \longleftarrow & \times & \longrightarrow & \end{array} \right\}.$$

By applying the functor  $\underline{\mathsf{FormalGroups}}(-,\widehat{\mathbb{G}}_a)(k)$ , we get a cosimplicial abelian group, hence a cochain complex, of which we can take the cohomology. In the case  $\widehat{\mathbb{G}} = \widehat{\mathbb{G}}_a$ , the 2–cocycles in this cochain complex are *precisely* the things we've been calling 2–cocycles<sup>4</sup>, so we are interested in computing  $H^2$ . The first observation in this direction is that  $d^1(x^k) = d_k c_k$ . Secondly, one may check that this complex also computes

$$\operatorname{Cotor}_{\mathcal{O}_{\widehat{G}}^*}(k,k) \cong \operatorname{Ext}_{\mathcal{O}_{\widehat{G}}^*}(k,k),$$

which we're now going to compute using a more efficient complex.

Q: There is a resolution

$$0 \to \mathbb{Q}[t] \xrightarrow{\cdot t} \mathbb{Q}[t] \to \mathbb{Q} \to 0,$$

from which this follows:

$$H^* \underline{\mathsf{FormalGroups}}(B\widehat{\mathbb{G}}_a, \widehat{\mathbb{G}}_a)(\mathbb{Q}) = \begin{cases} \mathbb{Q} & \text{when } * = 0, \\ \mathbb{Q} & \text{when } * = 1, \\ 0 & \text{otherwise.} \end{cases}$$

This means that every 2–cocycle is a coboundary, symmetric or not.

 $\mathbb{F}_p$ : Again, we switch to working with Ext over a free divided power algebra. Such an algebra splits as a tensor of truncated polynomial algebras, and again computing a minimal free resolution results in the calculation

$$H^*$$
 FormalGroups $(B\widehat{\mathbb{G}}_a,\widehat{\mathbb{G}}_a)(\mathbb{F}_p) = \Lambda[\alpha_k \mid k \geq 0] \otimes \mathbb{F}_p[\beta_k \mid k \geq 0],$ 

<sup>&</sup>lt;sup>4</sup>They aren't obligated to be symmetric, though.

with  $\alpha_k \in \operatorname{Ext}^1$  and  $\beta_k \in \operatorname{Ext}^2$ . In fact,  $\alpha_k$  is represented by  $x^{p^k}$  and  $\beta_k$  is represented by  $c_{p^k}(x,y)$ , and in the case p=2 the exceptional class  $\alpha_{k-1}^2$  is represented by  $C_{2^k}(x,y)$ . Since we have representatives for the surviving homology classes and we know where the bounding class lives, it follows that  $c_n(x,y)$  and  $x^{p^a}y^{p^b}$  give a basis for *all* of the 2–cocycles. It's easy to select the symmetric ones, and it agrees with the prediction of the statement of the Lemma.

I'm not sure how to do any of these

This finally concludes the proof of Theorem 3.2.3.

Section 12 of Neil's FG notes talk about the infinite height subscheme of  $\mathcal{M}_{\mathbf{fgl}}$ . He compares it to  $H_*MO$  and to the Hurewicz image  $\pi_*MU \to H_*(MU; \mathbb{F}_p)$ .

Having described the structure of  $\mathcal{M}_{fgl}$ , we turn to understanding the quotient stack  $\mathcal{M}_{fg}$ . Earlier, we proved the following theorem:

Also, people seem to say things abou the Mischenko logarithm rather than the invariant differ ential, but I wonder if we should phrase things in

Be careful about # isomorphisms ver sus isomorphisms

**Theorem 3.3.2.** *Let k be any field of characteristic* 0. *Then there is a unique map* 

Spec  $k \to \mathcal{M}_{\mathbf{fg}}$ .  $\square$ 

*Proof.* This is a rephrasing of Theorem 2.1.24 in the language of stacks.

We would like to have a similar classification of the closed points in positive characteristic. We proved the theorem above by solving a certain differential equation, which necessitated integrating a power series. Integration is what we expect to fail in positive characteristic. The following definition tracks *where* it fails:

**Definition 3.3.3.** Let  $+_{\varphi}$  be a formal group law. Let n be the largest degree such that there exists a formal power series  $\ell$  with

$$\ell(x +_{\varphi} y) = \ell(x) + \ell(y) \pmod{(x,y)^n},$$

i.e.,  $\ell$  is a logarithm for the n-bud determined by  $+_{\varphi}$ . The p-height of  $+_{\varphi}$  is defined to be  $\log_{p}(n)$ .

We will show that this definition is well-behaved, in the following sense:

**Lemma 3.3.4.** Over a field of positive characteristic, the p-height of a formal group law is always an integer. (That is,  $n = p^d$  for some natural number d.)

We will have to develop some machinery to get there. First, notice that this definition of height really depends on the formal group rather than the formal group law.

**Lemma 3.3.5.** The height of a formal group law is an isomorphism invariant, i.e., it descends to a function on  $\mathcal{M}_{fg}$ .

*Proof.* The series  $\ell$  is a partial logarithm for the formal group law  $\varphi$ , i.e., an isomorphism between the formal group defined by  $\varphi$  and the additive group. Since isomorphisms compose, this statement follows.

With this in mind, we look for a more standard form for formal group laws, where Lemma 3.3.4 will hopefully be obvious. In light of our goal, the most obvious standard form is as follows:

**Definition 3.3.6.** Suppose that a formal group law  $+_{\varphi}$  does have a logarithm. We say that  $+_{\varphi}$  has a *p*-typical logarithm in the case that its logarithm has the form

$$\log_{\varphi}(x) = \sum_{j=0}^{\infty} \ell_j x^{p^j}.$$

**Lemma 3.3.7.** Every formal group law  $+_{\varphi}$  with a logarithm  $\log_{\varphi}$  is naturally isomorphic to one whose logarithm is p-typical, called the p-typification of  $+_{\varphi}$ .

*Proof.* Let  $\widehat{\mathbb{G}}$  denote the formal group associated to  $+_{\varphi}$ , and consider its inherited coordinate

$$g_0: \widehat{\mathbb{A}}^1 \xrightarrow{\cong} \widehat{\mathbb{G}},$$

so that

Cite me: This is due to Hazewinkel

$$\log_{\varphi} = \log \circ g_0 = \sum_{n=1}^{\infty} a_n x^n.$$

Our goal is to perturb this coordinate to a new coordinate *g* which has the property that it couples with the logarithm

$$\widehat{\mathbb{A}}^1 \xrightarrow{g} \widehat{\mathbb{G}} \xrightarrow{\log} \widehat{\mathbb{G}}_a$$

to give a power series expansion

$$\log(g(x)) = \sum_{n=0}^{\infty} a_{p^n} x^{p^n}.$$

To do this, we introduce four operators on *curves*:

• Given  $r \in R$ , we can define a *homothety* by rescaling the coordinate by r:

$$\log(g(rx)) = \sum_{n=1}^{\infty} (a_n r^n) x^n.$$

• For  $\ell \in \mathbb{Z}$ , we can define a shift operator (or *Verschiebung*) by

$$\log(V_{\ell}g(x)) = \log(g(x^{\ell})) = \sum_{n=1}^{\infty} a_n x^{n\ell}.$$

• Given an  $\ell \in \mathbb{Z}_{(p)}$ , we define the  $\ell$ -series by

$$\log([\ell](g(x))) = \ell \log(g(x)) = \sum_{n=1}^{\infty} \ell a_n x^n.$$

• For  $\ell \in \mathbb{Z}$ , we can define a *Frobenius operator* by

$$\log(F_{\ell}g(x)) = \log\left(\sum_{j=1}^{\ell} {}_{\widehat{G}}g(\zeta_{\ell}^{j}x^{1/\ell})\right) = \sum_{n=1}^{\infty} \ell a_{n\ell}x^{n},$$

where  $\zeta_{\ell}$  is a primitive  $\ell^{\text{th}}$  root of unity. Because this formula is Galois–invariant in choice of primitive root, it actually expands to a series which lies over the ground ring (without requiring an extension by  $\zeta_{\ell}$ ). But, by pulling the logarithm through and noting

$$\sum_{j=1}^{\ell} \zeta_{\ell}^{jn} = \begin{cases} \ell & \text{if } \ell \mid n, \\ 0 & \text{otherwise,} \end{cases}$$

we can explicitly compute the behavior of  $F_{\ell}$ .<sup>5</sup>

Stringing these together, for  $p \nmid \ell$  we have

$$\log([1/\ell]V_{\ell}F_{\ell}g(x)) = \sum_{n=1}^{\infty} a_{n\ell}x^{n\ell}.$$

Hence, we can consider the curve  $g -_{\widehat{G}} \sum_{p \nmid \ell} [1/\ell] V_{\ell} F_{\ell} g$ , which is another coordinate on the same formal group  $\widehat{G}$ , but with a p-typical logarithm.

Of course, not every formal group law supports a logarithm — after all, this is the point of "height". There are two ways around this: one is to pick a surjection  $S \to R$  from a torsion-free ring S, choose a lift of the formal group law to S, then pass to  $S \otimes \mathbb{Q}$  and study how much of the resulting logarithm descends to R. However, it is not clear that this procedure is independent of choice. We therefore pursue an alternative approach: an intermediate definition that applies to all formal group laws and which specializes to the one above in the presence of a logarithm. To do this, we consider what computations are made easier with this sort of formula for a logarithm, and we arrive at the following:

**Definition 3.3.8.** The *p*–series of a formal group law  $+_{\varphi}$  is given by the formula

$$[p]_{\varphi}(x) := \overbrace{x +_{\varphi} \cdots +_{\varphi} x}^{p \text{ times}}.$$

<sup>&</sup>lt;sup>5</sup>The definition of Frobenius comes from applying the Verschiebung to the character group (or "Cartier dual") of  $\widehat{G}$ .

**Lemma 3.3.9.** If  $+_{\varphi}$  is a formal group law with p-typical logarithm, then there are elements  $v_n$  with

$$[p]_{\varphi}(x) = px +_{\varphi} v_1 x^p +_{\varphi} v_2 x^{p^2} +_{\varphi} \cdots +_{\varphi} v_n x^{p^n} +_{\varphi} \cdots$$

Proof sketch. This comes from comparing the two series

$$\log_{\varphi}(px) = px + \cdots,$$
  
 
$$\log_{\varphi}([p]_{\varphi}(x)) = p \log_{\varphi}(x) = px + \cdots.$$

The difference is concentrated in degrees of the form  $p^d$ , beginning in degree p, so one can find an element  $v_1$  so that

$$p \log_{\varphi}(x) - (\log_{\varphi}(px) + \log_{\varphi}(v_1x^p))$$

starts in degree  $p^2$ , and so on. In all, this gives the equation

$$p \log_{\varphi}(x) = \log_{\varphi}(px) + \log_{\varphi}(v_1 x^p) + \log_{\varphi}(v_2 x^{p^2}) + \cdots$$

at which point we can use formal properties of the logarithm to deduce

$$\log_{\varphi}[p]_{\varphi}(x) = \log_{\varphi} \left( px +_{\varphi} v_{1}x^{p} +_{\varphi} v_{2}x^{p^{2}} +_{\varphi} \dots +_{\varphi} v_{n}x^{p^{n}} +_{\varphi} \dots \right)$$
$$[p]_{\varphi}(x) = px +_{\varphi} v_{1}x^{p} +_{\varphi} v_{2}x^{p^{2}} +_{\varphi} \dots +_{\varphi} v_{n}x^{p^{n}} +_{\varphi} \dots$$

**Definition 3.3.10.** A formal group law is itself said to be p–typical when its p–series has the above form. (In particular, the logarithm of a p–typical formal group law is a p–typical logarithm.)

**Corollary 3.3.11** (Lemma 3.3.7). Every formal group law is naturally isomorphic to a p-typical one.

*Proof.* The procedure applied to the formal group law  $+_{\varphi}$  in the proof of Lemma 3.3.7 applies equally well to an arbitrary formal group law, even without a logarithm — it just wasn't clear what was being gained. Now, it is clear: we are gaining the conclusion of this Corollary.

Remark 3.3.12. There is an inclusion of groupoid–valued sheaves from p–typical formal group laws with isomorphisms to all formal group laws with isomorphisms. Corollary 3.3.11 can be viewed as presenting this inclusion as a deformation retraction, and in particular the inclusion is a natural *equivalence* of groupoids. It follows that they both present the same stack:  $\mathcal{M}_{fg}$ . In fact, the moduli of p–typical formal group laws is isomorphic to Spec  $\mathbb{Z}_{(p)}[v_1, v_2, \ldots, v_d, \ldots]$  — every possible p–series is realized by a unique p–typical formal group law.

*Remark* 3.3.13. In fact, the rational logarithm coefficients can be recursively recovered from the coefficients  $v_d$ , using a similar manipulation:

$$p \log_{\varphi}(x) = \log_{\varphi}([p]_{\varphi}(x))$$

$$p \sum_{n=0}^{\infty} m_n x^{p^n} = \log_{\varphi}\left(\sum_{d=0}^{\infty} \varphi v_d x^{p^d}\right) = \sum_{d=0}^{\infty} \log_{\varphi}\left(v_d x^{p^d}\right)$$

$$\sum_{n=0}^{\infty} p m_n x^{p^n} = \sum_{d=0}^{\infty} \sum_{j=0}^{\infty} m_j v_d^{p^j} x^{p^{d+j}} = \sum_{n=0}^{\infty} \left(\sum_{k=0}^{n} m_k v_{n-k}^{p^k}\right) x^{p^n},$$

implicitly taking  $m_0 = 1$  and  $v_0 = p$ .

*Proof of Lemma 3.3.4.* Replace the formal group law by its p-typification. Based on Remark 3.3.13, we see that the height of a p-typical formal group law over a field of characteristic p is coincides with the appearance of the first nonzero coefficient in its p-series.

3.4 Mar 2: The structure of  $\mathcal{M}_{fg}$  II: Large scales

With the notion of "height" firmly in hand, we are now in a position to classify the geometric points of  $\mathcal{M}_{fg}$ .

**Theorem 3.4.1** ([17, Théorème IV]). Let  $\bar{k}$  be an algebraically closed field of positive characteristic. There is a bijection between maps

$$\Gamma:\operatorname{Spec}\bar{k}\to\mathcal{M}_{\mathbf{fg}}$$

and numbers  $1 \le d \le \infty$  given by  $\Gamma \mapsto ht(\Gamma)$ .

*Proof.* The easy part of the proof is surjectivity: recalling Remark 3.3.12, take the p-typical formal group law over  $\mathbb{F}_p$  determined by the p-series  $[p]_{\varphi_d}(x) = x^{p^d}$ , sometimes called the *Honda formal group law*.

To show injectivity, we must show that every p-typical formal group law  $\varphi$  over  $\bar{k}$  is isomorphic to the appropriate Honda group law. Suppose that the p-series for  $\varphi$  begins

$$[p]_{\varphi}(x) = x^{p^d} + ax^{p^{d+k}} + \cdots.$$

Then, we will construct a coordinate transformation  $g(x) = \sum_{j=1}^{\infty} b_j x^j$  satisfying

$$g(x^{p^d}) \equiv [p]_{\varphi}(g(x)) \qquad (\text{mod } x^{p^{d+k}+1})$$

$$\sum_{j=1}^{\infty} b_j x^{jp^d} \equiv \sum_{j=1}^{\infty} b_j^{p^d} x^{jp^d} + \sum_{j=1}^{\infty} a b_j^{p^{d+k}} x^{jp^{d+k}} \qquad (\text{mod } x^{p^{d+k}+1}).$$

You could be clearer about the varying assumptions on the ground rings in these different theorems. Some need to work over k, others work over any  $\mathbb{Z}_{(p)}$ -algebra.

11.2 in Neil's FG

For g to be a coordinate transformation, we must have  $b_1 = 1$ , which in the critical degree  $x^{p^{d+k}}$  forces the relation

$$b_{p^k} = b_{p^k}^{p^d} + a.$$

Since  $\bar{k}$  is algebraically closed, this relation is solvable, and the coordinate can be perturbed so that the term  $x^{p^{d+k}}$  does not appear in the p-series. If we set the earlier terms in the series to be 0, then we can induct on d.

<u>Remark 3.4.2.</u> From this, it follows that the "coarse moduli of formal groups" — i.e., the functor from rings to isomorphism classes of formal groups over that ring — is not representable by a scheme. The infinitely many isomorphism classes over Spec  $\mathbb{F}_p$  produce infinitely many over Spec  $\mathbb{Z}$  as well. On the other hand, there is a single  $\mathbb{Q}$ -valued point of the coarse moduli, whereas the  $\mathbb{Z}$ -points of a representable functor would inject into its  $\mathbb{Q}$ -points.

We now turn to the closed substacks of  $\mathcal{M}_{fg}$ , which also admit a reasonable presentation in terms of height.

**Lemma 3.4.3** ([35, Theorem 4.6]). *Recall that the moduli scheme of p-typical formal group laws is presented as* 

$$\mathcal{M}_{\mathbf{fgl}}^{p ext{-typ}} = \operatorname{Spec} \mathbb{Z}_{(p)}[v_1, v_2, \dots, v_d, \dots].$$

Suppose  $g(x) = \sum_{j=0}^{\infty} {}_{L}t_{j}x^{p^{j}}$  is the universal coordinate transformation, which we can use to conjugate the universal group law " $+_{L}$ " to a second p-typical group law " $+_{R}$ ", whose p-series has the form

$$[p]_R(x) = \sum_{d=0}^{\infty} {}_R \eta_R(v_d) x^{p^d}.$$

*Modulo p, there is the relation:* 

$$\sum_{\substack{i\geq 0\\j>0}} {}_L t_i \eta_R(v_j)^{p^i} \equiv \sum_{\substack{i>0\\j\geq 0}} {}_L v_i t_j^{p^i} \pmod{p}.$$

*Proof sketch.* Work modulo p, one can Freshman's Dream the identity  $[p]_L(g(x)) = g([p]_R(x))$  to death.

**Corollary 3.4.4** ([35, Lemmas 4.7-8]). Write  $I_d$  for the ideal  $I_d = (p, v_1, ..., v_{d-1})$ . Then

$$\eta_R(v_d) \equiv v_d \pmod{I_d}.$$

It follows that the ideals  $I_d$  are invariant for all d.

What is *much* harder to prove is the following:

**Theorem 3.4.5** ([35, Theorem 4.9]). If I is an invariant prime ideal, then  $I = I_d$  for some d.

*Proof sketch.* Inductively assume that  $I_d \subseteq I$ . If this is not an equality, we want to show that  $I_{d+1} \subseteq I$  is forced. Take  $y \in I \setminus I_d$ ; if we could show

$$\eta_R(y) = av_d^j t^K + \cdots,$$

we could proceed by primality to show that  $v_d \in I$  and hence  $I_{d+1} \subseteq I$ . This is possible (and, indeed, this is how the proof goes), but it requires serious bookkeeping. 

The equivalent statement in terms of stacks is:

ing this proof up a

**Theorem 3.4.6** (Landweber). The unique closed substack of  $\mathcal{M}_{fg,(p)} := \mathcal{M}_{fg} \times \operatorname{Spec} \mathbb{Z}_{(p)}$  of ber must have a paper? codimension d is selected by  $\mathcal{O}_{\mathcal{M}_{\mathbf{fol}}^{p-\mathsf{typ}}}/(p,v_1,\ldots,v_{d-1})$ .

*Remark* 3.4.7. The complementary open substack of dimension d is harder to describe. From first principles, we can say only that it is the locus where the coordinate functions  $p, v_1, \ldots, v_d$  do not all simultaneously vanish. It turns out that:

- 1. On a cover, at least one of these coordinates can be taken to be invertible.
- 2. Once one of them is invertible, a coordinate change on the formal group law can be used to make  $v_d$  (and perhaps others in the list) invertible. Hence, we can use  $v_d^{-1}\mathcal{O}_{\mathcal{M}_{\mathbf{fg}}^{p\text{-typ}}}$  as a coordinate chart.
- 3. Over a further base extension and a further coordinate change, the higher coefficients  $v_{d+k}$  can be taken to be zero. Hence, we can also use  $v_d^{-1}\mathbb{Z}_{(p)}[v_1,\ldots,v_d]$  as a coordinate chart.

know: What, exactly, is required

We can now rephrase Theorem 3.2.1 in terms of algebraic conditions.

Theorem 3.4.8 (Landweber, cf. Theorem 3.2.1, see also [11, Theorem 21.4 and Proposition 21.5]). Let M be a module over

Cite me: Find nal paper.

$$\mathcal{O}_{\mathcal{M}^{p ext{-typ}}_{\mathbf{fg}}}\cong \mathbb{Z}_{(p)}[v_1,\ldots,v_d,\ldots].$$

*If*  $(p, v_1, \ldots, v_d, \ldots)$  *forms an infinite regular sequence on M, then* 

$$X \mapsto M \otimes_{\mathcal{O}_{\mathcal{M}^{p-\mathrm{typ}}_{\mathbf{fg}}}} MU_0(X)$$

determines a homology theory on finite spectra X. Moreover, if  $M/I_d = 0$  for some  $d \gg 0$ , then the same formula determines a homology theory on all spectra *X*.

*Proof.* This is a direct consequence of the classification of closed substacks of  $\mathcal{M}_{fg,(p)}$  in Theorem 3.4.6. Specifically, M determines a flat quasicoherent sheaf on  $\mathcal{M}_{fg,(p)}$  when  $Tor_1(M,N)=0$  for any other comodule N. Using the classification of closed substacks and the regularity condition, one can iteratively use the short exact sequences

$$0 \to M/I_d \xrightarrow{\cdot v_d} M/I_d \to M/I_{d+1} \to 0$$

to trade this condition for the list of conditions

- $\operatorname{Tor}_1(p^{-1}M, N) = 0.$
- $\operatorname{Tor}_2(v_1^{-1}M/p, N) = 0.$
- ...
- $\operatorname{Tor}_d(v_{d-1}^{-1}M/I_{d-1},N)=0.$
- $\operatorname{Tor}_{d+1}(M/I_d, N) = 0.$

for any d. If N is coherent, as in the case  $N = MU_*(X)$  for a finite spectrum X, then this final condition is satisfied automatically for  $d \gg 0$ . (Alternatively, we can assume that M eventually satisfies this condition on its own.) By observing the length of the Koszul resolution associated to the cover  $v_d^{-1}\mathbb{Z}_{(p)}[v_1,\ldots,v_d]$ , one finally sees that

$$\operatorname{Tor}_d(v_{d-1}^{-1}M/I_{d-1},N)=0$$

is satisfied for any quasicoherent sheaf.

Remark 3.4.9. It's worth pointing out how strange all of this is. In Euclidean geometry, open subspaces are always top-dimensional, and closed subspaces can drop dimension. Here, proper open substacks of every dimension appear, and every nonempty closed substack is  $\infty$ -dimensional (albeit of positive codimension).

### 3.5 Mar 4: The structure of $\mathcal{M}_{fg}$ III: Small scales

We now turn to the deformation theory of formal groups, which is about the appearance of formal groups in families. Specifically, following Lecture 3.2 we will be interested in infinitesimal deformations of formal groups over fields of positive characteristic.

**Definition 3.5.1.** Given a formal group Γ classified by a map Spec  $k \to \mathcal{M}_{fg}$ , then a *deformation of* Γ *to a scheme X* is a factorization

Spec 
$$k \to X \to \mathcal{M}_{\mathbf{fg}}$$
.

If *X* is a nilpotent thickening of Spec *k* (or an ind-system of such), then the deformation is said to be *infinitesimal*.

Is this true? There's some going-around / extension-problem stuff that I've never understood, and it's gotten me in trouble before.

confusing section

The study of all possible infinitesimal deformations of a particular map Spec  $k \to \mathcal{M}_{fg}$  has a geometric interpretation, embodied by the following Lemma:

**Lemma 3.5.2.** *Let* Spec  $k \to Y$  *be any map, and let* Spec  $k \to X \to Y$  *be a factorization through a nilpotent thickening* X *of* Spec k. *Then there is a natural further factorization* 

Actually, maybe this came up at the beginning of the previous day?

$$\operatorname{Spec} k \to X \dashrightarrow Y_X^{\wedge} \to Y.$$

The spirit of the Lemma, then, is that the study of infinitesimal deformations of  $\Gamma$ : Spec  $k \to \mathcal{M}_{fg}$  is equivalent to the study of  $(\mathcal{M}_{fg})^{\wedge}_{\Gamma}$  itself. So, this fits into our program of analyzing the (local) structure of  $\mathcal{M}_{fg}$ .

*Example* 3.5.3. It's also helpful to expand what an infinitesimal deformation is in our case of interest. Set  $Y = \mathcal{M}_{fg}$ , and fix a map  $\Gamma$ : Spec  $k \to \mathcal{M}_{fg}$  classifying a formal group  $\Gamma$  over Spec k. Let S be a local ring with maximal ideal  $\mathfrak{m}$  so that S is a nilpotent thickening of  $S/\mathfrak{m}$ . A deformation of  $\Gamma$  to S is the data of a formal group  $\widehat{G}$  over Spec S, an identification i: Spec  $k \to \operatorname{Spec} S/\mathfrak{m}$ , and a choice of an isomorphism f fitting together into the following diagram:

$$\Gamma \xrightarrow{f} i^* j^* \widehat{\mathbb{G}} \longrightarrow \widehat{\mathbb{G}}$$

$$\downarrow \qquad \qquad \downarrow$$

$$\operatorname{Spec} k \xrightarrow{i} \operatorname{Spec} S/\mathfrak{m} \xrightarrow{j} \operatorname{Spec} S.$$

*Example* 3.5.4. Consider the case of an infinitesimal parameter space  $X = \widehat{\mathbb{A}}^1$ . A map  $\widehat{\mathbb{A}}^1 \to \mathcal{M}_{fg}$  can be presented by a map  $\widehat{\mathbb{A}}^1 \to \mathcal{M}_{fgl}$ , which corresponds to a "family" of formal group laws  $+_{\varphi_h}$  of the form

$$x +_{\varphi_h} y = (x +_{\varphi} y) + h(x +_{\varphi(1)} y) + h^2(x +_{\varphi(2)} y) + \cdots$$

for some series  $+_{\varphi(n)}$ . In particular,  $+_{\varphi(0)}$  is a formal group law over k.

The analysis of  $(\mathcal{M}_{fg})^{\wedge}_{\Gamma}$  is due to Lubin and Tate, but we first follow a more structured approach written down by Lazarev.

Cite me: Cite both of these.

Can this be phrased

**Definition 3.5.5.** Let  $+_{\varphi}$  be a formal group law over R, and let M be an R-module. The deformation complex  $\widehat{C}^*(\varphi; M)$  is defined by

$$M \to M[x_1] \to M[x_1, x_2] \to M[x_1, x_2, x_3] \to \cdots$$

with differential

$$(df)(x_1, \dots, x_{n+1}) = \varphi_1 \left( \sum_{i=1}^n \varphi x_i, x_{n+1} \right) \cdot f(x_1, \dots, x_n)$$

$$+ \sum_{i=1}^n (-1)^i f(x_1, \dots, x_i + \varphi x_{i+1}, \dots, x_{n+1})$$

$$+ (-1)^{n+1} \left( \varphi_2 \left( x_1, \sum_{i=2}^{n+1} \varphi x_i \right) \cdot f(x_2, \dots, x_{n+1}) \right),$$

where we have written

$$\varphi_1(x,y) = \frac{\partial(x+\varphi y)}{\partial x}, \qquad \qquad \varphi_2(x,y) = \frac{\partial(x+\varphi y)}{\partial y}.$$

This complex tracks the data of infinitesimal deformations. For instance, consider a deformed automorphism f of  $+_{\varphi}$ , expressed as

$$f(x) = f_0(x) + h f_1(x) + h^2 f_2(x) + \cdots$$

and satisfying

$$f(x +_{\varphi} y) = f(x) +_{\varphi} f(y).$$

Applying  $\frac{\partial}{\partial h}\Big|_{h=0}$  to this equality gives

$$f_1(x +_{\varphi} y) = \varphi_1(x, y) f_1(x) + \varphi_2(x, y) f_1(y)$$

and thus  $f_1$  is a 1–cocycle in the deformation complex. A similar sequence of observations culminates in the following theorem:

**Theorem 3.5.6** ([18, p. 1320]). Let  $+_{\varphi}$  be a formal group law over a ring R and let  $S \to R$  be a square–zero extension with kernel M.

- 1. Automorphisms of  $+_{\varphi}$  over S covering the identity on R correspond to elements in  $\widehat{Z}^{1}(\varphi; M)$ .
- 2. Extensions of  $+_{\varphi}$  to S correspond to elements in  $\widehat{Z}^{2}(\varphi; M)$ .
- 3. Two such extensions are isomorphic as formal group laws over S if their cocycles differ by an element in  $\widehat{B}^2(\varphi; M)$ .

So, this complex contains all the information we're interested in. Miraculously, we actually already studied the main input to computing this complex yesterday:

**Lemma 3.5.7** ([18, p. 1320]). This is quasi-isomorphic to the usual bar complex:

$$\widehat{C}^*(\varphi; M) o \mathsf{FormalSchemes}(B\widehat{\mathbb{G}}_{\varphi}, M \otimes \widehat{\mathbb{G}}_a)$$

$$f \mapsto \varphi_1 \left(0, \sum_{i=1}^n {}_{\varphi} x_i\right)^{-1} f(x_1, \dots, x_n). \quad \Box$$

Of course, yesterday we computed the specific case of  $\hat{G} = \hat{G}_a$ . However, by filtering the multiplication on  $\hat{G}$  by degree, we can use this specific calculation to get up to the general one.

**Lemma 3.5.8.** Let  $\widehat{\mathbb{G}}$  be a formal group of finite height d over a field k. Then the group  $H^2(\widehat{\mathbb{G}}; M \otimes \widehat{\mathbb{G}}_a)$  classifying isomorphism classes of deformations is a free k–vector space of dimension (d-1).

*Proof (after Hopkins).* Using p-typification, we select a coordinate on  $\widehat{\mathbb{G}}$  of the form

$$x +_{\varphi} y = x + y + (\text{unit})c_{p^d} + \cdots$$

Then, filter  $\hat{G}$  by degree and consider the resulting spectral sequence of signature

$$H^*(\widehat{\mathbb{G}}_a; M \otimes \widehat{\mathbb{G}}_a) \cong M \otimes (\Lambda_k[\alpha_j \mid j \geq 0] \otimes k[\beta_j \mid j \geq 0]) \Rightarrow H^*(\widehat{\mathbb{G}}; M \otimes \widehat{\mathbb{G}}_a).$$

To compute the differentials in this spectral sequence, one computes by hand the formula for the differential in the bar complex, working up to lowest visible degree. In order to compute

$$(x +_{\varphi} y)^{p^r} - (x^{p^r} + y^{p^r}) = (\text{unit}) \cdot c_{p^{d+r}}(x, y) + \cdots,$$

where we used  $c_{p^d}^{p^r} = c_{p^{r+d}}$ . So, we see that there are d-1 things at the bottom of the spectral sequence which are not coboundaries, and we need to check that they are indeed permanent cocycles. To do this, we need only show that they are realized by deformations, which Lubin and Tate accomplish in Lemma 3.5.9.

**Lemma 3.5.9** ([19, Proposition 1.1]). Let W be a local ring with residue field k, and let  $\varphi$  be a group law of height d on k. There is a group law  $\tilde{\varphi}$  over  $W[u_1, \ldots, u_{d-1}]$  restricting to  $\varphi$  on k such that

$$x + \tilde{\varphi} y \equiv x + y + u_j c_{p^j}(x, y) \pmod{u_1, \dots, u_{j-1}, (x, y)^{p^j + 1}}.$$

Picking  $W = \mathbb{W}_p(k)$  to be the ring of Witt vectors, Lemma 3.5.9 produces the universal example of a deformation of a group law  $\varphi$  to  $\tilde{\varphi}$ .

**Theorem 3.5.10.** Let Spf R be an infinitesimal deformation of its residue field Spec k. For each lift of  $\varphi$  to  $\psi$  over Spf R, there is a unique homomorphism

Cite me: You got this from 7.5.1 of the Crystals notes.

$$\alpha \in \mathsf{FormalSchemes}(\mathsf{Spf}\,R,\mathsf{Spf}\,\mathbb{W}_p(k)[\![u_1,\ldots,u_{d-1}]\!])$$

with  $\alpha^*\tilde{\varphi}$  uniquely strictly isomorphic to  $\psi$ .

*Proof.* We will prove this inductively on the neighborhoods of Spec  $k = \operatorname{Spec} R/I$  in Spf R. Suppose that we have demonstrated the Theorem for  $\psi_{r-1} = R/I^{r-1} \otimes \psi$ , so that there is a map  $\alpha_{r-1} \colon \mathbb{W}_p(k)[\![u_1,\ldots,u_{d-1}]\!] \to R/I^{r-1}$  and a strict isomorphism  $g_{r-1} \colon \psi_{r-1} \to \alpha_{r-1}^* \tilde{\varphi}$ . The exact sequence

$$0 \rightarrow I^{r-1}/I^r \rightarrow R/I^r \rightarrow R/I^{r-1} \rightarrow 0$$

exhibits  $R/I^r$  as a square–zero extension of  $R/I^{r-1}$  by  $M = I^{r-1}/I^r$ .

Let  $\beta$  be any lift of  $\alpha_{r-1}$  and h be any lift of  $g_{r-1}$  to  $R/I^r$ , and let A and B be the induced group laws

My source material wants *R* to be Noetherian so that *M* is finite dimensional. This is important?

$$x +_A y = \beta^* \tilde{\varphi},$$
  $x +_B y = h \left( h^{-1}(x) +_{\psi_r} h^{-1}(y) \right).$ 

Since these both deform the group law  $\psi_{r-1}$ , by Lemma 3.5.8 there exist  $m_j \in M$  and  $f(x) \in M[x]$  satisfying

$$(x +_B y) - (x +_A y) = (df)(x,y) + \sum_{j=1}^{d-1} m_j v_j(x,y),$$

where  $v_j(x,y)$  is the 2–cocycle associated to the cohomology 2–class  $\beta_j$ . The following definitions complete the induction:

$$g_r(x) = h(x) - f(x),$$
  $\alpha_r(u_i) = \beta(u_i) + m_i.$ 

Remark 3.5.11. Our calculation  $H^1(\widehat{\mathbb{G}}_{\varphi}; M \otimes \widehat{\mathbb{G}}_a)$  also shows that there are *no* automorphisms of the formal group  $\Gamma$  over the special fiber which induce automorphisms of the universal deformation. Specifically, *any* deformation of a nontrivial automorphism of  $\Gamma$  acts nontrivially on Lubin–Tate space by permuting the deformations living over the various fibers. A consequence of this observation is that the deformation space produced in Theorem 3.5.10 is a *formal scheme*, carrying only the previously-known inertial group of Aut  $\Gamma$  at the special fiber, rather than a full-on stack.

Remark 3.5.12. We also see that our analysis fails wildly for the case  $\Gamma = \widehat{\mathbb{G}}_a$ . The differential calculation in Lemma 3.5.8 are meant to give us an upper bound on the dimensions of  $H^1(\Gamma; \widehat{\mathbb{G}}_a)$  and  $H^2(\Gamma; \widehat{\mathbb{G}}_a)$ , but this family of differentials is zero in the additive case. Accordingly, both of these vector spaces are infinite dimensional — the infinitesimal

Having accomplished all our major goals, we close our algebraic analysis of  $\mathcal{M}_{fg}$  with a diagram summarizing our results.

Cite me: Neil's FEG notes in the first half of section 18 talk about additive extensions and their relation to infinitesimal deformations. In the second half, he (more or less) talks about the de Rham crystal and shows that  $\operatorname{Ext}_{\text{rigid}}(G, \hat{\mathbb{G}}_a) \cong \operatorname{Prim}(H^1_{dR}(G/X))$  in 18.37..

I still have some confusion about the formal similarity between deforming formal group laws over square-zero extensions of the base and deforming formal *n*-buds over the finite order nilpotent neighborhoods of a point. This would be a good place to sort

☼ PICTURE GOES HERE.

#### 3.6 Mar 7: Spectra detecting nilpotence

We have now arrived at the conclusion of our program from Lecture 3.2 for manufacturing interesting homology theories from Quillen's theorem: we have an ample supply of open and closed substacks of  $\mathcal{M}_{fg}$ , and we have analyzed its geometric points as well as their deformation neighborhoods.

**Definition 3.6.1.** We define the following "chromatic" homology theories:

• Recall that the moduli of p-typical group laws is affine, presented by the scheme Spec  $BPP_0$ ,  $BPP_0 := \mathbb{Z}_{(p)}[v_1, v_2, \ldots, v_d, \ldots]$ . Since the inclusion of p-typical group laws into all group laws induces an equivalence of stacks, it follows that this formula determines a homology theory on finite spectra, called Brown-Peterson homology:

$$BPP_0(X) := MUP_0(X) \otimes_{MUP_0} BPP_0.$$

• A chart for the open substack  $\mathcal{M}_{\mathbf{fg}}^{\leq d}$  in terms of  $\mathcal{M}_{\mathbf{fgl}}^{p\text{-typ}} \cong \operatorname{Spec} \mathbb{Z}_{(p)}[v_1, v_2, \dots, v_d, \dots]$  is  $\operatorname{Spec} E(d)P_0 := \operatorname{Spec} \mathbb{Z}_{(p)}[v_1, v_2, \dots, v_d^{\pm}]$ . It follows that there is a homology theory E(d)P, called *the*  $d^{th}$  *Johnson–Wilson homology*, defined on all spectra by

$$E(d)P_0(X) := MUP_0(X) \otimes_{MUP_0} E(d)P_0.$$

• Similarly, for a formal group  $\Gamma$  of height  $d < \infty$ , there is a chart  $\operatorname{Spf} \mathbb{Z}_p[\![u_1, \ldots, u_{d-1}]\!]$  for its deformation neighborhood. Correspondingly, there is a homology theory  $E_{\Gamma}$ , called *the* (*discontinuous*) *Morava* E-*theory for*  $\Gamma$ , determined by

$$E_{\Gamma 0}(X) := MUP_0(X) \otimes_{MUP_0} \mathbb{Z}_p[\![u_1,\ldots,u_{d-1}]\!].$$

- Since  $(p, u_1, ..., u_{d-1})$  forms a regular sequence on  $E_{\Gamma*}$ , we can form the regular quotient  $K_{\Gamma}$  in the homotopy category. This determines a spectrum, and hence determines a homology theory called *the Morava K–theory for*  $\Gamma$ . In the case where  $\Gamma$  comes from the Honda p–typical formal group law (of height d), this spectrum is often written as K(d). As an edge case, we also set  $K(\infty) = H\mathbb{F}_p$ .
- More delicately, there is a version of Morava E-theory which takes into account the formal topology on  $(\mathcal{M}_{fg})^{\wedge}_{\Gamma}$ , called *continuous Morava E-theory*. It is defined by the pro-system  $\{E_{\Gamma}(X)/u^I\}$ , where I ranges over multi-indices and the quotient is taken in the "homotopical sense", i.e.,  $u_j$ -torsion elements contribute to the odd-degree homotopy of the quotient.

<sup>&</sup>lt;sup>6</sup>By Theorem 3.4.1, it often suffices to consider just these spectra to make statements about all  $K_{\Gamma}$ . With more care, it even often suffices to consider formal groups Γ of finite height.

• There is also a homology theory associated to the closed substack  $\mathcal{M}_{fg}^{\geq d}$ . Since  $I_d = (p, v_1, \dots, v_{d-1})$  is generated by a regular sequence on  $BPP_0$ , we can directly define the spectrum P(d)P by a regular quotient:

$$P(d)P = BP/(p, v_1, ..., v_{d-1}).$$

This spectrum does have the property  $P(d)P_0 = BPP_0/I_d$ , but it is *only* the case that  $P(d)P_0 = BPP_0(X)/I_d$  when  $I_d$  forms a regular sequence on  $BPP_0(X)$  — which is reasonably rare among the cases of interest.

*Remark* 3.6.2 ([16, Section 5.2], [31, Theorem 2.13]). Morava K-theory at the even prime is not commutative. Instead, there is a derivation  $Q_d: K(d) \to \Sigma K(d)$  satisfying

$$ab - ba = uQ_d(a)Q_d(b).$$

In particular,  $K(d)^*X$  is a commutative ring whenever  $K(d)^1X = 0$ .

Having constructed these "stalk" homology theories, I want to show that you can actually perform stalkwise analyses of the sheaves coming from bordism theory. Our example case is a famous theorem: the solution of Ravenel's nilpotence conjectures by Devinatz, Hopkins, and Smith. Their theorem concerns spectra which "detect nilpotence" in the following sense:

All the stuff after this point is written graded-ly. I guess we still haven't decided whether this is the right presentation.

**Definition 3.6.3.** A ring spectrum E detects nilpotence if, for any ring spectrum R, the kernel of the Hurewicz homomorphism  $E_* : \pi_* R \to E_* R$  consists of nilpotent elements.

Cite me: Hopkins Smith, or maybe the intro to D-H-S.

First, a word about why one would care about such a condition. The following theorem is classical:

**Theorem 3.6.4** (Nishida). *Every homotopy class*  $\alpha \in \pi_{>1}$ *S is nilpotent.* 

Cite me: Nishida.

However, people studying *K*–theory in the '70s discovered the following phenomenon:

**Theorem 3.6.5** (Adams). Let  $M_{2n}(p)$  denote the mod-p Moore spectrum with bottom cell in degree 2n. Then there is an index n and a map  $v: M_{2n}(p) \to M_0(p)$  such that  $KU_*v$  acts by multiplication by the  $n^{th}$  power of the Bott class. The minimal such n is given by the formula

$$n = \begin{cases} p-1 & \text{when } p \ge 3, \\ 4 & \text{when } p = 2. \end{cases}$$

In particular, this means that v cannot be nilpotent, since a null-homotopic map induces the zero map in any homology theory. Just as we took the non-nilpotent endomorphism

Ravenel's Localization W/R/T,
Corollaries 2.14
and 2.16, is another reference for
this. He, in turn,
cites Yosimura's
Universal coefficien
sequences for cohomolocy theories of

p in  $\pi_0$  End S and coned it off, we can take the endomorphism v in  $\pi_{2p-2}$  End  $M_0(p)$  and cone it off to form a new spectrum called V(1).<sup>7</sup>

One can ask, then, whether the pattern continues: does V(1) have a non-nilpotent self-map, and can we cone it off to form a new such spectrum with a new such map? Can we then do that again, indefinitely? In order to study this question, we are motivated to find spectra E as above, since an E that detects nilpotence cannot send such a nontrivial self-map to zero. In fact, we found one such E already:

Cite me: Devinatz, Hopkins, Smith. **Theorem 3.6.6** (Devinatz–Hopkins–Smith). *Complex cobordism MU detects nilpotence.* □

They also show that the MU is the universal object which detects nilpotence, in the sense that any other ring spectrum can have this property checked stalkwise on  $\mathcal{M}_{MU}$ :

**Corollary 3.6.7** ([12, Theorem 3]). A ring spectrum E detects nilpotence if and only if for all  $0 \le d \le \infty$  and for all primes  $p, K(d)_*E \ne 0$ .

*Proof.* If  $K(d)_*E = 0$  for some d, then the non-nilpotent map  $\mathbb{S} \to K(d)$  lies in the kernel of the Hurewicz homomorphism for E, so E fails to detect nilpotence.

Hence, for any d we must have  $K(d)_*E \neq 0$ . Because  $K(d)_*$  is a field, it follows by picking a basis of  $K(d)_*E$  that  $K(d) \wedge E$  is a nonempty wedge of suspensions of K(d). So, for  $\alpha \in \pi_*R$ , if  $E_*\alpha = 0$  then  $(K(d) \wedge E)_*\alpha = 0$  and hence  $K(d)_*\alpha = 0$ . So, we need to show that if  $K(d)_*\alpha = 0$  for all n and all p then  $\alpha$  is nilpotent. Taking Theorem 3.6.6 as given, it would suffice to show merely that  $MU_*\alpha$  is nilpotent. This is equivalent to showing that the ring spectrum  $MU \wedge R[\alpha^{-1}]$  is contractible or that the unit map is null:

$$\mathbb{S} \to MU \wedge R[\alpha^{-1}].$$

A nontrivial result of Johnson and Wilson shows that if  $MU_*X=0$  for any X, then for any d we have  $K([0,d])_*X=0$  and  $P(d+1)_*X=0$ . (Specifically, it is immediate that  $MU_*X=0$  forces  $P(d+1)_*X=0$  and  $v_{d'}^{-1}P(d')_*(X)=0$  for all d'< d. What's nontrivial is showing that  $v_{d'}^{-1}P(d')_*(X)=0$  if and only if  $K(d')_*(X)=0$  [24, Theorem 2.1.a], [15, Section 3].) Taking  $X=R[\alpha^{-1}]$ , have assumed all of these are zero except for P(d+1). But  $\operatorname{colim}_d P(d+1) \simeq H\mathbb{F}_p \simeq K(\infty)$ , and  $S \to K(\infty) \wedge R[\alpha^{-1}]$  is assumed to be null as well. By compactness of S, that null-homotopy factors through some finite stage  $P(d+1) \wedge R[\alpha]$  with  $d \gg 0$ .

As another example of the primacy of these methods, we can show the following interesting result. Say that R is a field spectrum when every R-module (in the homotopy category) splits as a wedge of suspensions of R. It is easy to check (as mentioned in the proof above) that K(d) is an example of such a spectrum.

<sup>&</sup>lt;sup>7</sup>The spectrum V(1) is actually defined to be a finite spectrum with  $BP_*V(1) \cong BP_*/(p,v_1)$ . At p=2 this spectrum doesn't exist and this is a misnomer. More generally, at odd primes p Nave shows that V((p+1)/2) doesn't exist [22, Theorem 1.3].

**Corollary 3.6.8.** Every field spectrum R splits as a wedge of Morava's K(d) theories.

*Proof.* Set  $E = \bigvee_{\text{primes }p} \bigvee_{d \in [0,\infty]} K(d)$ , so that E detects nilpotence. The class 1 in the field spectrum R is non-nilpotent, so it survives when paired with some K-theory K(d), and hence  $R \land K(d)$  is not contractible. Because both R and K(d) are field spectra, the smash product of the two simultaneously decomposes into a wedge of K(d)s and a wedge of K(d)s.  $\square$  shows that it is a sub-wedge of K(d)s.  $\square$ 

*Remark* 3.6.9. This is interesting in its own right, because field spectra are exactly those spectra which have Künneth isomorphisms. So, even if you weren't neck-deep in algebraic geometry, you might still have struck across these homology theories just if you like to compute things, since Künneth formulas make things computable.

Jake asked if there was a geometric interpretation of these cohomology theories  $K_{\Gamma}$ . At present, there isn't one. Maybe remark on this.

#### 3.7 Mar 9: Periodicity in finite spectra

We're now well-situated to address Ravenel's question about finite spectra and periodic self-maps. The solution to this problem passes through some now-standard machinery for triangulated  $\otimes$ -categories.

**Definition 3.7.1.** A subcategory of the category of a triangulated category (e.g., *p*–local finite spectra) is *thick* if...

Do triangulated categories come with weak equivalences?

- ...it is closed under weak equivalences.
- ...it is closed under retracts.
- ...it has a 2-out-of-3 property for cofiber sequences.

Examples of thick subcategories include:

- The category  $C_d$  of p-local finite spectra which are K(d-1)-acyclic. (For instance, if d=1, the condition of K(0)-acyclicity is that the spectrum have purely torsion homotopy groups.) These are called "finite spectra of type at least d".
- The category  $D_d$  of p-local finite spectra F which have a self-map  $v: \Sigma^N F \to F$ ,  $N \gg 0$ , inducing multiplication by a unit in K(d)-homology. These are called " $v_d$ -self-maps".

Ravenel shows the following useful result interrelating the  $C_d$ :

**Lemma 3.7.2** ([24, Theorem 2.11]). For X a finite complex, there is a bound

$$\dim K(d-2)_*X \le \dim K(d-1)_*X.$$

*In particular, there is an inclusion*  $C_{d-1} \subseteq C_d$ .

Hopkins and Smith show the following classification theorem:

**Theorem 3.7.3** ([12, Theorem 7]). Any thick subcategory C of p–local finite spectra must be  $C_d$  for some finite d.

*Proof.* Since  $C_d$  are nested by Lemma 3.7.2 and they form an exhaustive filtration, it is thus sufficient to show that any object  $X \in C$  with  $X \in C_d$  induces an inclusion  $C_d \subseteq C$ . Write R for the endomorphism ring spectrum R = F(X, X), and write F for the fiber of its unit map:

$$F \xrightarrow{f} \mathbb{S} \xrightarrow{\eta} R.$$

Finally, let *Y* be *any* finite spectrum of type at least *d*.

Now consider applying K(n)-homology (for *arbitrary* n) to the map

$$1 \wedge f \colon Y \wedge F \to Y \wedge S$$
.

The induced map is always zero:

- In the case that  $K(n)_*X$  is nonzero, then  $K(n)_*\eta_R$  is injective and  $K(n)_*f$  is zero.
- In the case that  $K(n)_*X$  is zero, then  $n \le d$  and, because of the bound on type,  $K(n)_*Y$  is zero as well.

By a small variant of local nilpotence detection (Corollary 3.6.7, [12, Corollary 2.5]), it follows for  $j \gg 0$  that

$$Y \wedge F^{\wedge j} \xrightarrow{1 \wedge f^{\wedge j}} Y \wedge \mathbb{S}^{\wedge j}$$

is null-homotopic. Hence, one can calculate the cofiber to be

$$\operatorname{cofib}\left(Y \wedge F^{\wedge j} \xrightarrow{1 \wedge f^{\wedge j}} Y \wedge \mathbb{S}^{\wedge j}\right) \simeq Y \wedge \operatorname{cofib} f^{\wedge j} \simeq Y \vee (Y \wedge \Sigma F^{\wedge j}),$$

so that *Y* is a retract of this cofiber.

We now work to show that this smash product lies in the thick subcategory C of interest. First, note that  $X \wedge Z$  lies in C for any finite complex Z, since Z can be expressed as a finite gluing diagram of spheres and smashing this through with X expresses  $X \wedge Z$  as the iterated cofiber of maps with source and target in C. Next, consider the following smash version of the octahedral axiom: the factorization

$$F \wedge F^{\wedge (j-1)} \xrightarrow{f \wedge 1} \mathbb{S} \wedge F^{\wedge (j-1)} \xrightarrow{1 \wedge f^{\wedge (j-1)}} \mathbb{S} \wedge \mathbb{S}^{\wedge (j-1)}$$

Is this sequence backwards? (Note that it doesn't mat ter: you can just write the factorization in the other order...)

begets a cofiber sequence

$$F \wedge \operatorname{cofib} f^{\wedge (j-1)} \to \operatorname{cofib} f^{\wedge j} \to \operatorname{cofib} f \wedge \mathbb{S}^{\wedge (j-1)}$$
.

Now turn an eye toward induction. Noting that  $\operatorname{cofib}(f) = R = X \wedge DX$  lies in C, we can use the 2-out-of-3 property on the octahedral sequence to see that  $\operatorname{cofib}(f^{\wedge j})$  lies in C. It follows that  $Y \wedge \operatorname{cofib}(f^{\wedge j})$  also lies in C, and using the retraction Y belongs to C as well.

As an application of this classification, they also show the following considerably harder theorem:

**Theorem 3.7.4** ([12, Theorem 9]). A p-local finite spectrum is K(d-1)-acyclic exactly when it admits a  $v_d$ -self-map.

Executive summary of proof. Given the classification of thick subcategories, if a property is closed under thickness then one need only exhibit a single spectrum with the property to know that all the spectra in the thick subcategory it generates also all have that property. Inductively, they manually construct finite spectra  $M_0(p^{i_0}, v_1^{i_1}, \ldots, v_{d-1}^{i_{d-1}})$  for sufficiently large indices  $i_*$  which admit a self-map v governed by a commuting square

$$\begin{split} BP_*M_{|v_d|i_d}(p^{i_0},v_1^{i_1},\ldots,v_{d-1}^{i_{d-1}}) & \stackrel{v}{-\!-\!-\!-} BP_*M_0(p^{i_0},v_1^{i_1},\ldots,v_{d-1}^{i_{d-1}}) \\ & & \parallel \\ & & \qquad \qquad \qquad \parallel \\ & & \qquad \qquad \qquad \qquad \\ \Sigma^{|v_d|i_d}BP_*/(p^{i_0},v_1^{i_1},\ldots,v_{d-1}^{i_{d-1}}) & \stackrel{-\cdot v_d^{i_d}}{-\!-} BP_*/(p^{i_0},v_1^{i_1},\ldots,v_{d-1}^{i_{d-1}}). \end{split}$$

These maps are guaranteed by very careful study of Adams spectral sequences.  $\Box$ 

*Remark* 3.7.5. We ran into the asymptotic condition  $I \gg 0$  yesterday, when we asserted that there is no root of the 2–local  $v_1$ –self–map  $v \colon M_8(2) \to M_0(2)$ .

There is a second interesting application of these ideas, investigated by Paul Balmer as part of a broad attempt to analyze a geometric object through its modules.

**Definition 3.7.6.** Given a triangulated  $\otimes$ -category C, define a thick subcategory C'  $\subseteq$  C to be...

**Cite me:** Reference Balmer's SSS pape throughout this tail

- ... a  $\otimes$ -ideal when it has the additional property that  $x \in C'$  forces  $x \otimes y \in C'$  for any  $y \in C$ .
- ...a prime  $\otimes$ -ideal when  $x \otimes y \in C'$  also forces at least one of  $x \in C'$  or  $y \in C'$ .

Finally, define the *spectrum* of C to be its collection of prime  $\otimes$ –ideals, topologized so that  $U(x) = \{C' \mid x \in C'\}$  form a basis of opens.

Double check that you have the directionality of this right. Is *U* a basic open or a basic closed? It it full of things that contain *x* or that don't contain in *x* or the contain in *x* or the

**Theorem 3.7.7** (Balmer). *The spectrum of*  $D^{perf}(\mathsf{Mod}_R)$  *is naturally homeomorphic to the Zariski spectrum of* R.

Balmer's construction applies much more generally. The category Spectra can be identified with Modules<sub>S</sub>, and so one is moved to attempt to compute the Balmer spectrum of  $Modules_S^{perf} = Spectra^{fin}$ . In fact, we just finished this.

**Theorem 3.7.8.** The Balmer spectrum of Spectra<sup>fin</sup><sub>(p)</sub> consists of the thick subcategories  $C_d$ , and  $\{C_n\}_{n=0}^d$  are its open sets.

*Proof.* Using the characterization of  $C_d$  as the kernel of  $K(d-1)_*$ , we see that it is a prime ⊗-ideal:

$$K(d-1)_*(X \wedge Y) \cong K(d-1)_*X \otimes_{K(d-1)_*} K(d-1)_*Y$$

is zero exactly when at least one of *X* and *Y* is K(d-1)–acyclic.

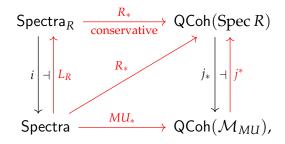
Remark 3.7.9. In fact, our favorite functor  $\mathcal{M}_{MU}(-)$ : Spectra  $\to \mathsf{QCoh}(\mathcal{M}_{MU})$  induces a homeomorphism of the Balmer spectrum of Spectra to that of  $\mathcal{M}_{fg}$ . However, this functor does *not* exist on the level triangulated categories, so this remark has to be interpreted somewhat lightly.



#### 3.8 Mar 11: Chromatic localization

Balmer's construction is remarkably successful at describing the most salient features of the stable category, but it falls a ways short of the rich "spectrum" object we've come to know from algebraic geometry. In particular, we have only a topological space, and not anything like a locally ringed space (or a space otherwise equipped locally with algebraic data). It's also totally unclear why MU plays such an important mediating role between geometry (i.e., the stable category) and algebra (i.e., the moduli of formal groups). Nonetheless, taking that as granted, we can use Bousfield's theory of homological localization to access "local" categories of spectra of the sort that a sheaf of local rings would supply us with.

**Theorem 3.8.1** ([6], [20, Theorem 7.7]). Let  $R_*$  denote the homology theory associated by Landweber's Theorem 3.2.1 to a flat map j: Spec  $R \to \mathcal{M}_{fg}$ . There is then a diagram



such that i is fully faithful, i is left-adjoint to  $L_R$ ,  $j^*$  is left-adjoint to  $j_*$ , i and  $j_*$  are inclusions of full subcategories, the red composites are all equal, and  $R_*$  is conservative on Spectra<sub>R</sub>.<sup>8</sup>

In the case when R models the inclusion of the deformation space around the point  $\Gamma_d$ , we will denote the localizer by

Jay was rightfully fussy about the dif ference between, e.g., the open submoduli and its affine cover. Write this more carefully

$$\mathsf{Spectra} \xrightarrow{\widehat{L}_d} \mathsf{Spectra}_{\Gamma_d}.$$

In the case when R models the inclusion of the open complement of the unique closed substack of codimension d, we will denote the localizer by

$$\mathsf{Spectra} \xrightarrow{L_d} \mathsf{Spectra}_d = \mathsf{Spectra}_{\mathcal{M}^{\leq d}_{\mathbf{fg}}}.$$

We have set up our situation so that the following properties of these localizations either have easy proofs or are intuitive from the algebraic analogue of  $j^* \vdash j_*$ :

1. There is an equivalence

$$L_dX \simeq (L_d\mathbb{S}) \wedge X$$
,

analogous to  $j^*M \simeq R \otimes M$  in the algebraic setting [26, Theorem 7.5.6]. Because  $\widehat{L}_d$  is associated to the inclusion of a formal scheme (i.e., an ind-finite scheme), it has the formula

$$\widehat{L}_d X \simeq \lim_I \left( M_0(v^I) \wedge L_d X \right)$$

analogous to  $j^*M \simeq \lim_j (R/I^j \otimes M)$  in the complete algebraic setting [13, Proof of Lemma 2.3].

2. Because the open substack of dimension d properly contains both the open substack of dimension (d-1) and the infinitesimal deformation neighborhood of the closed point of height d, there are natural factorizations

$$\mathrm{id} o L_d o L_{d-1}, \qquad \qquad \mathrm{id} o L_d o \widehat{L}_d.$$

In particular,  $L_d X = 0$  implies both  $L_{d-1} X = 0$  and  $\widehat{L}_d X = 0$ .

3. The inclusion of the open substack of dimension d-1 into the one of dimension d has relatively closed complement the point of height d. Algebraically, this gives a gluing square (or Mayer-Vietoris square), and this is reflected in homotopy theory by a homotopy pullback square (or chromatic fracture square):

Cite me: Ravenel (and Hopkins).

Also mention that there are results about thinking of this thing as a prospectrum rather than a spectrum? For instance, there the Davis–Lawson result on  $\{M_0(v^I)\}$  forming an  $E_\infty$ -ring in the pro-category.

Also, idempotence?

This deserves a proof or a reference (I spent a moment looking, and I can' actually find a nice "old" reference for chromatic fracture squares in the liter-

 $<sup>^8</sup>$ The meat of this theorem is in overcoming set-theoretic difficulties in the construction of Spectra $_R$ . Bousfield accomplished this by describing a model structure on Spectra for which R-equivalences create the weak–equivalences.

$$\begin{array}{ccc}
L_d & \longrightarrow & \widehat{L}_d \\
\downarrow & & \downarrow \\
L_{d-1} & \longrightarrow & L_{d-1}\widehat{L}_d.
\end{array}$$

*Remark* 3.8.2. More generally, whenever  $L_BL_A=0$ , there is a fracture square

$$\begin{array}{ccc}
L_{A \vee B} & \longrightarrow & L_B \\
\downarrow & & \downarrow \\
L_A & \longrightarrow & L_A L_B.
\end{array}$$

So, this last fact follows from  $L_d \simeq L_{K(0) \lor \cdots \lor K(d)}$  and  $L_{K(d)} L_{K(d-1)} = 0$ . Similarly, there is an "arithmetic fracture square"

$$\begin{array}{ccc} X & \longrightarrow & \prod_p X_p^{\wedge} \\ \downarrow & & \downarrow \\ X_{\mathbb{Q}} & \longrightarrow & \left(\prod_p X_p^{\wedge}\right)_{\mathbb{Q}}, \end{array}$$

which is a topological instantiation of the adèlic decomposition of a Z-module.

There are also considerably more complicated facts known about these functors:

**Theorem 3.8.3** ([26, Theorem 7.5.7]). *The homotopy limit of the tower* 

$$\cdots \rightarrow L_d F \rightarrow L_{d-1} F \rightarrow \cdots \rightarrow L_1 F \rightarrow L_0 F$$

recovers the p-local homotopy type of any finite spectrum F.<sup>9</sup>

This suggests a productive method for analyzing the homotopy groups of spheres: study the homotopy groups of each  $L_d$ S and perform the reassembly process encoded by this inverse limit. Writing  $M_d$  for the fiber in the sequence

$$M_d \rightarrow L_d \rightarrow L_{d-1}$$
,

the "geometric chromatic spectral sequence" associated to this tower takes the form

$$\pi_*M_*\mathbb{S} \Rightarrow \pi_*\mathbb{S}_{(p)}.$$

So,  $M_d$  means the difference between the assembled layers  $L_d$  and  $L_{d-1}$  — but this was also the heuristic job of  $\hat{L}_d$  above. It turns out that these are interrelated by the following two theorems:

**Theorem 3.8.4.** There is a pair of natural equivalences

$$\widehat{L}_d M_d \simeq \widehat{L}_d$$
,  $M_d \widehat{L}_d \simeq M_d$ .  $\square$ 

Theorem 3.8.5. Analogous to "1." above, there is a natural equivalence

Cite me: I forge who this is due to

oulleted list.

$$M_d X \simeq \operatorname{colim}_I \left( M^0(v^I) \wedge L_d X \right)$$
 ,

where  $M^0(v^I)$  denotes a generalized Moore spectrum with top cell in dimension 0.

*Remark* 3.8.6. It is possible to draw the chromatic fracture square and the definition of  $M_d$  in the same diagram:

$$M_{d}X = M_{d}X$$

$$\downarrow \qquad \qquad \downarrow$$

$$L_{d}X = \widehat{L}_{d}X$$

$$\downarrow \qquad \qquad \downarrow$$

$$L_{d-1}X = L_{d-1}\widehat{L}_{d}X.$$

From this, we see that there is a fiber sequence

$$M_dX \to \widehat{L}_dX \to L_{d-1}\widehat{L}_dX$$
.

The case d = 1 gives the prototypical example of the difference between these two presentations of the "exact height d data", where the sequence becomes:

$$\operatorname{colim}_{j}(M^{0}(p^{j}) \wedge L_{1}X) \to \lim_{j}(M_{0}(p^{j}) \wedge L_{1}X) \to \left(\lim_{j}(M_{0}(p^{j}) \wedge L_{1}X)\right)_{\mathbb{Q}}.$$

If, for instance,  $\pi_0 L_1 X = \mathbb{Z}_{(p)}$ , then the long exact sequence of homotopy groups associated to this fiber sequence gives

$$\pi_0 \widehat{L}_1 X \longrightarrow \pi_0 L_0 \widehat{L}_1 X \longrightarrow \pi_{-1} M_1 X \\
\parallel \qquad \qquad \parallel \qquad \qquad \parallel \\
\mathbb{Z}_p^{\wedge} \longrightarrow \mathbb{Q}_p \longrightarrow \mathbb{Z}/p^{\infty}.$$

<sup>&</sup>lt;sup>9</sup>Spectra satisfying this limit property are said to be *chromatically complete*, which is closely related to being *harmonic*, i.e., being local with respect to  $\bigvee_{d=0}^{\infty} K(d)$ . (I believe this a joke about "music of the spheres".) It is known that nice Thom spectra are harmonic (so, in particular, every suspension and finite spectrum), that every finite spectrum is chromatically complete, and that there exist some harmonic spectra which are not chromatically complete.

Show that the action of the stabilizer group lifts to an action on Lubin—Tate space. This is relevant for what you're about to write.

This is a model for what happens generally: the  $v_j$ -torsion–free groups get converted to infinitely  $v_j$ -divisible groups, with some dimension shifts. (*Exactly* what happens is often hard to work out, and I'm not aware of a totally general statement.)

In any case, one sees that it is also profitable to consider the homotopy groups of  $\widehat{L}_dS$ . The spectral version  $\mathcal{D}_{S\to E}(F)$  of  $\mathcal{M}_E(F)$  considered on the first day furnishes us with a tool by which we can approach this:

**Theorem 3.8.7** (Example 2.3.4, Definition 3.1.18, and Remark 3.1.24). The  $E_{\Gamma}$ -based Adams spectral sequence for the sphere converges strongly to  $\pi_* \hat{L}_d S$ . Writing  $\omega$  for the line bundle on  $\mathcal{M}_{E_{\Gamma}}$  of invariant differentials, we have

$$E_2^{*,*} = H^*_{\mathrm{stack}}(\mathcal{M}_{E_{\Gamma}};\omega^{\otimes *}) \Rightarrow \pi_* \widehat{L}_d \mathbb{S}. \quad \Box$$

The utility of this theorem is in the identification with stack cohomology. Recalling the discussion in Examples 1.4.16 and 1.4.17, as well as the identification

$$\mathcal{M}_{E_{\Gamma_d}} = \left(\mathcal{M}_{\mathbf{fg}}\right)_{\Gamma_d}^{\wedge} \simeq \widehat{\mathbb{A}}_{W(k)}^{d-1} /\!\!/ \underline{\mathrm{Aut}}(\Gamma_d)$$

in Remark 3.5.11, we become interested in the action of Aut  $\Gamma_d$  on  $LT_d$ . We will deduce the following description of Aut  $\Gamma_d$  later on:

**Theorem 3.8.8** (Corollary 4.5.10). For  $\Gamma_d$  the Honda formal group law of height d over a perfect field k of positive characteristic p, we compute

$$\operatorname{Aut}\Gamma_d \cong \mathbb{W}_p(k)\langle S\rangle \bigg/ \left(\begin{array}{c} Sw = w^{\varphi}S, \\ S^d = p \end{array}\right),$$

where  $\varphi$  denotes a lift of the Frobenius from k to  $\mathbb{W}_p(k)$ .

As a matter of emphasis, this Theorem does not give a description of the *representation* of Aut  $\Gamma_d$ , which is very complicated. Nonetheless, we have reduced the computation of all of the stable homotopy groups of spheres to an arithmetically–founded problem in profinite group cohomology, so that arithmetic geometry might lend a hand.

*Example* 3.8.9 (Adams). In the case d=1,  $\operatorname{Aut}(\Gamma_1)=\mathbb{Z}_p^\times$  and it acts on  $\pi_*E_1=\mathbb{Z}_p[u^\pm]$  by  $\gamma \cdot u^n \mapsto \gamma^n u^n$ . At odd primes p (so that p is coprime to the torsion part of  $\mathbb{Z}_p^\times$ ), one computes

$$H^{s}(\operatorname{Aut}(\Gamma_{1}); \pi_{*}E_{1}) = \begin{cases} \mathbb{Z}_{p} & \text{when } s = 0, \\ \bigoplus_{j=2(p-1)k} \mathbb{Z}_{p}\{u^{j}\}/(pku^{j}) & \text{when } s = 1, \\ 0 & \text{otherwise.} \end{cases}$$

This, in turn, gives the calculation

$$\pi_t \widehat{L}_1 \mathbb{S}^0 = \begin{cases} \mathbb{Z}_p & \text{when } t = 0, \\ \mathbb{Z}_p/(pk) & \text{when } t = t|v_1| - 1, \\ 0 & \text{otherwise.} \end{cases}$$

These groups are familiar to homotopy theorists: the *J*-homomorphism  $J: BU \to BF$  described on the first day selects exactly these elements (for nonnegative t).

It is a good exercise to work out what this calculation means in terms of the rest of the fracture square and for  $M_1S$ .

# Case Study 4

# **Unstable cooperations**

Write an introduction for me

#### 4.1 Mar 21: Unstable contexts

Today we will take the framework of contexts discussed in Lecture 3.1 and augment it in two<u>important</u> (and very distinct) ways. First, we will assume that X is a *space* rather than a spectrum, and try to encode the extra structure appearing on  $E_*X$  from this assumption. Toward that end, recall that the levels of  $\mathcal{M}_E(X)$  are defined by repeatedly smashing X with E, and that we had arrived at this by considering descent for the adjunction

Fix this intro. Don't name "two" things, for instance.

$$\mathsf{Spectra} = \mathsf{Modules}_{\mathsf{S}} \xrightarrow{-\wedge E} \mathsf{Modules}_{E}$$

induced by the algebra map  $\mathbb{S} \to E$ . Given a spectrum X, our framework was set up to give its best possible approximation  $X_E^{\wedge}$  within E-module spectra.

We will extend this to spaces by sewing this adjunction together with another:

Spaces 
$$\xrightarrow{\Sigma^{\infty}}$$
 Modules<sub>S</sub>  $\xrightarrow{-\wedge E}$  Modules<sub>E</sub>.

We will write E(-) for the induced monad on Spaces, given by the formula

$$E(X) = \operatorname{colim}_{j \to \infty} \Omega^{j}(\underline{E}_{j} \wedge X) = \Omega^{\infty}(E \wedge \Sigma^{\infty} X),$$

where  $E_*$  are the constituent spaces in the  $\Omega$ –spectrum of E. This space has the property that  $\pi_*E(X)=\widetilde{E}_*X$  (in nonnegative dimensions). The monadic structure comes from the

You avoided talking about monadic descent in the previous lectures, and instead you were vague about it. Maybe you have to spell that out now.

Danny didn't like the colimit definition. We also don't need it; everything can be phrased sta bly. Maybe remove it two evident natural transformations:

$$\eta \colon X \simeq S^{0} \wedge X$$

$$\to \underline{E}_{0} \wedge X$$

$$\to \operatorname{colim}_{j \to \infty} \Omega^{j}(\underline{E}_{j} \wedge X) = E(X),$$

$$\mu \colon E(E(X)) = \operatorname{colim}_{j \to \infty} \Omega^{j}\left(\underline{E}_{j} \wedge \operatorname{colim}_{k \to \infty} \Omega^{k}(\underline{E}_{k} \wedge X)\right)$$

$$\to \operatorname{colim}_{\substack{j \to \infty \\ k \to \infty}} \Omega^{j+k}(\underline{E}_{j} \wedge \underline{E}_{k} \wedge X)$$

$$\stackrel{\mu}{\to} \operatorname{colim}_{\substack{j \to \infty \\ k \to \infty}} \Omega^{j+k}(\underline{E}_{j+k} \wedge X) \stackrel{\simeq}{\leftarrow} E(X).$$

Just as in the stable situation, we can extract from this a cosimplicial space:

**Definition 4.1.1.** Consider the descent cosimplicial object

Its totalization gives the *unstable E–completion of X*.

Under suitable hypotheses, we can extract from this an unstable analog of  $\mathcal{M}_E$ . Recall that our goal in Lecture 3.1 was to associate to  $E_*X$  a quasi-coherent sheaf over  $\mathcal{M}_E$ , a fixed object, dependent on E but independent of X. In the presence of further hypotheses called "FH", we saw in Remark 3.1.24 that this same data could be expressed as an  $E_*E$ -comodule structure on  $E_*X$ . In particular, FH caused the marked map in

$$E_*X \xrightarrow{\eta_R} E_*(E \wedge X) \xleftarrow{\star} E_*E \otimes_{E_*} E_*X$$

to become invertible.

In the present setting, consider the analogous composite

$$\pi_m E(X) \xrightarrow{\eta_R} \pi_m E(E(X))$$

$$\xleftarrow{\mu \circ 1} \pi_m E(E(E(X)))$$

$$\xleftarrow{\text{compose}} \pi_m E(E(S^n)) \times \pi_n E(X).$$

**Definition 4.1.2.** The *unstable context of E* is the collection of cosimplicial abelian groups  $\pi_*\mathcal{UD}_E(S^n)$ . In the case n=0, this is a cosimplicial ring, and in the case  $n\neq 0$  the 0-simplices merely form a module over  $\pi_* \mathcal{UD}_E(S^0)[0]$ .

Sort out exactly what structure lives

*Remark* 4.1.3. In the case that *E* has Künneth isomorphisms, the "backwards" maps above become invertible, which is a kind of unstable analogue of the condition FH. This is the situation in which most of the classical work on this topic was done.

Cite me: BCM

Talk about how braically the 0- and of FH will keep us from having to con sider anything fur

Ignoring for the moment what the correct scheme-theoretic analogue of this might be we will press onward and record the algebraic objects appearing in the presence of the unstable analogue of FH.

> specifying the grad ing as such and instead using a  $\widehat{G}_m$

**Definition 4.1.4.** A Hopf ring  $A_{**}$  over a graded ring  $R_{*}$  is itself a graded ring object in the category Coalgebras<sub> $R_*$ </sub>, sometimes called an  $R_*$ -coalgebraic graded ring object. It has the following structure maps:

 $+: A_{s,t} \times A_{s,t} \rightarrow A_{s,t}$  $(A_{s,t} \text{ is an abelian group})$  $: R_{s'} \otimes_{R_*} A_{s,t} \to A_{s+s',t}$  $(A_{*,t} \text{ is a } R_*\text{-module})$  $\Delta\colon\thinspace A_{s,t}\to\bigoplus_{s'+s''=s}A_{s',t}\otimes_{R_*}A_{s'',t}$  $(A_{*.t} \text{ is a } R_*\text{-coalgebra})$ \*:  $A_{s,t} \otimes_{R_*} A_{s',t} \to A_{s+s',t}$ (addition for the ring in  $R_*$ -coalgebras)  $\eta_*\colon R_*\to A_{*,0}$ (null element for ring addition)  $\chi\colon A_{s,t}\to A_{s,t}$ (negation for the ring in  $R_*$ –coalgebras)  $\circ \colon A_{s,t} \otimes_{R_*} A_{s',t'} \to A_{s+s',t+t'}$ (multiplication map for the ring in  $R_*$ -coalgebras)  $\eta_{\circ}\colon R_* \to A_{*,0}$ (null element for ring multiplication).

These are required to satisfy various commutative diagrams. The least obvious is displayed in Figure 4.1, encoding the distributivity of o−"multiplication" over \*−"addition".

Remark 4.1.5. A ring spectrum E with Künneth isomorphisms

$$E_*(\underline{E}_m \times \underline{E}_n) \cong E_*(\underline{E}_m) \otimes_{E_*} E_*(\underline{E}_n)$$

gives rise to a Hopf ring  $E_*\underline{E}_n = \pi_*\mathcal{UD}_E(S^n)[1]$ . For a space X, the homology groups  $E_*X$ form a comodule for this Hopf ring.

One can modify this story in a number of minor ways.

Remark 4.1.6. One can restrict to the additive unstable cooperations by passing to the quotient  $Q^*E_*\underline{E}_*$ . These corepresent the morphisms in a cocategory object in Rings (using the  $\circ$ -product for multiplication, which descends to \*-indecomposables). The ring  $E_*$ corepresents the objects in this cocategory object.

think that commutativity" of -multiplication is fused me for a good while, being most

logical algebra of ules exists only after passing to this quotient. Try to ex

mark, really, (1)

Figure 4.1: The distributivity axiom for \* over  $\circ$  in a Hopf algebra.

*Remark* 4.1.7. The procedure in Remark 4.1.5 can be generalized to the case of two ring spectra, E and F, equipped with Künneth isomorphisms

$$E_*(\underline{F}_m \times \underline{F}_n) \cong E_*(\underline{F}_m) \otimes_{E_*} E_*(\underline{F}_n).$$

Again, the bigraded object  $E_*\underline{F}_*$  forms a Hopf ring. These "mixed cooperations" appear as part of the cooperations for the ring spectrum  $E \vee F$  — or, from the perspective of spectral shemes, for the joint cover  $\{S \to E, S \to F\}$ . The role of the mixed cooperations in this setting is to prevent the  $(E \vee F)$ -based unstable Adams spectral sequence from double-counting homotopy elements visible to both the unstable E- and F-completions.

# 4.2 Mar 23: Unstable cooperations in ordinary homology

The objects discussed in the previous Lecture appear to be almost bottomlessly complicated: there are so many groups and so many structure maps. At first glance, it might seem like it's a hopeless enterprise to actually try to compute  $\mathcal{UM}_E^*$  for any spectrum E, but in fact the plenty of structure maps give enough footholds that this is often feasible, provided we have sufficiently strong stomachs. Today we will treat the case  $E = H\mathbb{F}_2$ , which requires us to introduce all of the relevant tools but whose computations turn out to be very straightforward.

The place to start is with a very old lemma:

I feel that this can be used to take an unstable comodule for E-theory and produce from it an unstable comodule for F-theory (up to a wrong-way map). Martin Bendersky thought this was strange, but I don't think it's so odd, and I would like to understand how to extend the straighter it out.

Does "Cartesian" mean anything in this setting?

Section III.11 of Wilson's *Primer* has a synopsis of how additive unstable operations should be treated.

Cite me: Bendersky Curtis Miller's [4] The unstable Adams spectral sequence for general-

Cite me: Boardman Johnson Wilson's [5] *Unstable*  **Lemma 4.2.1.** *If* E *is a spectrum with*  $\pi_{-1}E = 0$ *, then*  $\underline{E}_1 \simeq B\underline{E}_0$ .

The essential point is that B gives the connective delooping of  $E_0$ , so if E is connective then this will yield the spaces in the  $\Omega$ –spectrum of E. This is useful to us because  $B\underline{E}_0$  comes with a natural skeletal filtration, and this gives rise to a spectral sequence:

clarification: should we specify E to be an  $\Omega$ -spectrum so the condition gives us that it's connective?

**Corollary 4.2.2** ([28, Theorem 2.1]). *There is a convergent spectral sequence of Hopf algebras of signature* 

$$E^1_{*,j} = F_*(\Sigma \underline{E}_0)^{\wedge j} \Rightarrow F_*\underline{E}_1.$$

In the case that F has Künneth isomorphisms of the form

$$F(\Sigma \underline{E}_0)^{\wedge j} \cong F(\Sigma \underline{E}_0)^{\otimes j}$$
,

the  $E^2$ -page is identifiable as

$$E_{*,*}^2 \cong \operatorname{Tor}_{*,*}^{F_*\underline{E}_0}(F_*, F_*). \quad \Box$$

In general, if E is a connective spectrum, we get a family of spectral sequences of signature

$$E_{*,*}^2 \cong \operatorname{Tor}_{*,*}^{F_*\underline{E}_j}(F_*, F_*) \Rightarrow F_*\underline{E}_{j+1}.$$

That this spectral sequence is multiplicative for the \*-product is useful enough, but the situation is actually much, much better than this:

**Lemma 4.2.3** ([28, Theorem 2.2]). <u>Denote by  $E_{*,*}^r(F_*E_j)$  the spectral sequence considered above whose  $E^2$ —term is constructed from Tor over  $F_*E_j$ . There are maps</u>

Cite me: This isn't the right citation. They blam this generality on Thomason–Wilson article..

$$E^r_{*,*}(F_*\underline{E}_i) \otimes_{F_*} F_*\underline{E}_m \to E^r_{*,*}(F_*\underline{E}_{i+m})$$

which agree with the map

$$F_*\underline{E}_{i+1} \otimes_{F_*} F_*\underline{E}_m \xrightarrow{\circ} F_*\underline{E}_{i+m+1}$$

on the  $E^{\infty}$ -page and which satisfy

$$d^r(x \circ y) = (d^r x) \circ y.$$

This Lemma is obscenely useful: it means that differentials can be transported *between* spectral sequences for classes which can be decomposed as  $\circ$ –products. This means that the bottom spectral sequence (i.e., the case j=0) exerts a large amount of control over the others — and this spectral sequence often turns out to be very computable.

We now turn to our example of  $E = H\mathbb{F}_2$  and  $F = H\mathbb{F}_2$ . To ground our induction, we will consider the first spectral sequence

$$\operatorname{Tor}_{*,*}^{H\mathbb{F}_{2*}(\mathbb{F}_2)}(\mathbb{F}_2,\mathbb{F}_2) \Rightarrow H\mathbb{F}_{2*}B\mathbb{F}_2.$$

Using that  $\mathbb{R}P^{\infty}$  gives a model for  $B\mathbb{F}_2$ , we use Example 1.1.9 to analyze this spectral sequence: that Example states that as an  $\mathbb{F}_2$ -module, there is an isomorphism

$$H\mathbb{F}_{2*}B\mathbb{F}_2 \cong \mathbb{F}_2\{a_j \mid j \geq 0\}.$$

Using our further computation in Example 1.2.15, we can also give a presentation of the Hopf algebra structure on  $H\mathbb{F}_{2*}B\mathbb{F}_2$ : it is dual to the primitively-generated polynomial algebra on a single class, so forms a divided power algebra on a single class. In characteristic 2, this decomposes as

$$H\mathbb{F}_{2*}B\mathbb{F}_2 \cong \Gamma[a_{\varnothing}] \cong \bigotimes_{j=0}^{\infty} \mathbb{F}_2[a_{(j)}]/a_{(j)}^2,$$

where we have written  $a_{(j)}$  for  $a_{\emptyset}^{[2^j]}$  in the divided power structure.

**Corollary 4.2.4.** This Tor spectral sequence collapses at the  $E^2$ -page.

*Proof.* As an algebra, the homology  $H\mathbb{F}_{2*}(\mathbb{F}_2)$  of the discrete space  $\mathbb{F}_2$  is presented by the truncated polynomial algebra

$$H\mathbb{F}_{2*}(\mathbb{F}_2) \cong \mathbb{F}_2[\mathbb{F}_2] = \mathbb{F}_2[[1] - [0]]/([1] - [0])^{*2}.$$

The Tor–algebra of this is then divided power on a single class:

$$\operatorname{Tor}_{*,*}^{H\mathbb{F}_{2*}(\mathbb{F}_2)}(\mathbb{F}_2,\mathbb{F}_2) = \Gamma[a_{\emptyset}].$$

In order for the two computations to agree, there can therefore be no differentials in the spectral sequence.  $\Box$ 

Now we turn to the rest of the induction:

**Theorem 4.2.5.**  $H\mathbb{F}_{2*}\underline{H}\mathbb{F}_{2t}$  is the exterior \*-algebra on the t-fold  $\circ$ -products of the generators  $a_{(j)} \in H\mathbb{F}_{2*}B\mathbb{F}_2$ .

*Proof.* Make the inductive assumption that this is true for some fixed value of *t*. It follows that the Tor groups of the bar spectral sequence

$$\operatorname{Tor}_{*,*}^{H\mathbb{F}_{2*}} \underline{H\mathbb{F}_{2t}}(\mathbb{F}_2, \mathbb{F}_2) \Rightarrow H\mathbb{F}_{2*} \underline{H\mathbb{F}_{2t+1}}$$

form a divided power algebra generated by the same t-fold  $\circ$ -products. An analogue of another Ravenel–Wilson lemma [28, Lemma 9.5] gives a congruence

$$(a_{(j_1)} \circ \cdots \circ a_{(j_t)})^{[2^{j_{t+1}}]} \equiv a_{(j_1)} \circ \cdots \circ a_{(j_t)} \circ a_{(j_{t+1})} \pmod{\text{decomposables}}.$$

It follows from Lemma 4.2.3 that the differentials vanish:

$$d((a_{(j_1)} \circ \cdots \circ a_{(j_t)})^{[2^{j_{t+1}}]}) \equiv d(a_{(j_1)} \circ \cdots \circ a_{(j_t)} \circ a_{(j_{t+1})}) \pmod{\text{decomposables}}$$
  
=  $a_{(j_1)} \circ d(a_{(j_2)} \circ \cdots \circ a_{(j_{t+1})}) = 0.$ 

Hence, the spectral sequence collapses. To see that there are no multiplicative extensions, note that the only potentially undetermined multiplications occur as \*-squares of exterior classes. However, the \*-squaring map is induced by the topological map

$$H\mathbb{F}_{2t} \xrightarrow{\cdot 2} H\mathbb{F}_{2t}$$

which is already null on the level of spaces. It follows that there are no extensions and the induction holds.  $\Box$ 

Corollary 4.2.6. It follows that

$$H\mathbb{F}_{2*}\underline{H\mathbb{F}_{2*}} \stackrel{\cong}{\leftarrow} \bigoplus_{t=0}^{\infty} (H_*(\mathbb{R}\mathrm{P}^{\infty};\mathbb{F}_2))^{\wedge t},$$

where  $(-)^{h}$  denotes the  $t^{th}$  exterior power in the category of Hopf algebras.

*Proof.* The leftward direction of this isomorphism is realized by the  $\circ$ -product.

Remark 4.2.7. Our computation of the full Hopf ring of unstable cooperations can be winnowed down to give information about particular classes of cooperations. For instance, the *additive* unstable cooperations are given by passing to the \*-indecomposable quotient.

 $Q_*H\mathbb{F}_{2*}\underline{H\mathbb{F}_{2*}} \cong \mathbb{F}_2\left\{a_{(I_0)} \circ \cdots \circ a_{(I_t)}\right\}$  $\cong \mathbb{F}_2[\xi_0, \xi_1, \xi_2, \ldots].$ 

In terms of Lemma 1.3.5, we have

Spec 
$$Q_*H\mathbb{F}_{2*}\underline{H}\mathbb{F}_{2*}\cong\underline{\mathrm{End}}(\widehat{\mathbb{G}}_a)$$
.

One passes to the *stable* cooperations by taking the colimit along the homology suspension element  $a_{(0)} = \xi_0$ . This has the effect of adjoining a  $\circ$ -product inverse to  $a_{(0)}$ , i.e.,

$$(Q_*H\mathbb{F}_{2*}\underline{H\mathbb{F}_{2*}})[a_{(0)}^{\circ(-1)}] \cong \mathbb{F}_2[\xi_0^{\pm}, \xi_1, \xi_2, \ldots],$$

which is exactly the ring of functions on  $\underline{\mathrm{Aut}}(\widehat{\mathbb{G}}_a)$  considered in Lemma 1.3.5.

Later you use  $Q^*$  instead of  $Q_*$  to denote \*indecomposables.
Settle on one of the two.

Define what the homology suspension element e is. The point is that the equivalence  $\underline{E}_n \simeq \Omega \underline{E}_{n+1}$  is adjoint to a map  $\Sigma \underline{E}_n \rightarrow \underline{E}_{n+1}$ , and the effect of this map on F-homology is  $\circ$ -ing with e

Is this right? What happened to  $A_*$  versus  $AP_0$ ?

*Remark* 4.2.8 ([35, Theorems 8.5 and 8.11]). The odd–primary analogue of this result appears in Wilson's book. In that situation, the bar spectral sequences do not degenerate but rather have a single family of differentials, and the result imposes a single relation on the free Hopf ring. The end result is

Explain this. You messed it up in class.

$$H\mathbb{F}_{p*}\underline{H\mathbb{F}_{p*}} \cong \frac{\bigotimes_{I,J} \mathbb{F}_{p}[e_{1} \circ \alpha_{I} \circ \beta^{J}, \alpha_{I} \circ \beta^{J}]}{(e_{1} \circ \alpha_{I} \circ \beta^{J})^{*2} = 0, (\alpha_{I} \circ \beta^{J})^{*p} = 0, e_{1} \circ e_{1} = \beta_{1}}$$

where  $e_1 \in (H\mathbb{F}_p)_1 \underline{H}\mathbb{F}_{p_1}$  is the homology suspension element,  $\alpha_{(j)} \in (H\mathbb{F}_p)_{2p^j} \underline{H}\mathbb{F}_{p_1}$  are the analogues of the elements considered above, and  $\beta_{(j)} \in (H\mathbb{F}_p)_{2p^j} \mathbb{C}P^{\infty}$  are the algebra generators of the Hopf algebra dual of the ring of functions on the formal group  $\mathbb{C}P^{\infty}_{H\mathbb{F}_p}$  associated to  $H\mathbb{F}_p$  by its natural complex orientation. (In particular, the Hopf ring is *free* on these Hopf algebras, subject to the single interesting relation  $e_1 \circ e_1 = \beta_{(0)}$ .)

I think this relation is supposed to be analogous to  $S^1 \wedge S^1 \simeq S^2 = \mathbb{C}\mathbb{P}^1$ .

Neil's MO answer about  $H_*K(\mathbb{Z},3)$ : http://mathoverflo

# 4.3 Mar 25: Algebraic unstable cooperations

One of our goals for this Case Study is to study the mixed unstable cooperations  $E_*\underline{G}_{2*}$  for complex-orientable cohomology theories E and G. These turn out to behave more regularly than one might expect, in the sense that there is a uniform algebraic model and a comparison map which is often an isomorphism. In order to formulate what will become our main result, we will need to begin with some algebraic definitions.

**Definition 4.3.1.** Let R and S be graded rings. We can form a Hopf ring over R by forming the "ring–ring" R[S]: as an R–module, this is free and generated by symbols [s] for  $s \in S$ . The Hopf ring maps \*,  $\circ$ , and  $\Delta$  are determined by the formulas

$$R[S] \otimes_R R[S] \xrightarrow{*} R[S]$$
  $[s] * [s'] = [s+s'],$   
 $R[S] \otimes_R R[S] \xrightarrow{\circ} R[S]$   $[s] \circ [s'] = [s \cdot s'],$   
 $R[S] \xrightarrow{\Delta} R[S] \otimes_R R[S]$   $\Delta[s] = [s] \otimes [s].$ 

For instance, the distributivity axiom is checked in the calculation

$$[s''] \circ ([s] * [s']) = ([s''] \circ [s]) * ([s''] \circ [s'])$$

$$[s''] \circ [s + s'] =$$

$$[s''(s + s')] =$$

$$= [s''s] * [s''s']$$

$$= [s''s + s''s'].$$

**Definition 4.3.2.** Let C be an R-coalgebra, and let S be an auxiliary ring. We can form a free Hopf ring on C under R[S], which has the property

$$\mathsf{HopfRings}_{R[S]/}(R[S][C],T) \cong \mathsf{Coalgebras}_{R/}(C,T).$$

In terms of elements, it is an R-module spanned by R[S] and C, as well as free \*- and  $\circ$ -products of elements of C, altogether subjected to the Hopf ring relations.

*Remark* 4.3.3. Given an *R*–coalgebra *C*, we can form the free commutative Hopf algebra on *C* by taking its associated symmetric algebra. This is a degenerate case of a free Hopf ring construction, where *S* is taken to be the zero ring.

Now we turn our eyes to topology. Let E and F be two complex-orientable cohomology theories where F has enough Künneth isomorphisms. Set  $R = F_*$ ,  $S = E_*$ , and  $C = F_*\mathbb{CP}^{\infty}$  to form the free Hopf ring  $R[S][C] = F_*[E_*][F_*\mathbb{CP}^{\infty}]$ .

**Lemma 4.3.4.** Orientations of E induce maps  $F_*[E^*][F_*\mathbb{CP}^\infty] \to F_*\underline{E}_*$ .

*Proof.* To construct this map using universal properties, we need to check that  $F_*\underline{E}_*$  is a Hopf ring under  $F_*[E^*]$ , and then we need to produce a map  $F_*\mathbb{C}\mathrm{P}^\infty \to F_*[E^*]$ . For the first task,  $F_*\underline{E}_*$  is already an  $F_*$ -module. An element  $v \in E^n$  corresponds to a path component  $[v] \in \pi_0\underline{E}_n$ , which pushes forward along

$$\pi_0 \underline{E}_n \to F_0 \underline{E}_n$$

to give an element  $[v] \in F_0\underline{E}_n$ . One can check that this determines a map of Hopf rings  $F_*[E^*] \to F_*\underline{E}_*$ .

Next, we will use our assumed data of orientations. The complex-orientation of E gives a preferred class  $\mathbb{C}P^{\infty} \to \underline{E}_2$ , representing the coordinate  $x \in E^2\mathbb{C}P^{\infty}$ . By applying F-homology to this representing map, we get a map of  $F_*$ -coalgebras

$$F_*\mathbb{C}P^\infty \to F_*\underline{E}_2 \subseteq F_*\underline{E}_*.$$

Universality gives the desired map of Hopf rings.

There is no reason to expect  $F_*\underline{E}_*$  to be a free Hopf ring, and so it would be naive to expect this map to be an equivalence. Indeed, Ravenel and Wilson show that orientations of E and F together beget an interesting relation. An orientation on E gives us a comparison map as above, and an orientation on F gives a collection of preferred elements  $\beta_j \in F_{2j}\mathbb{C}\mathrm{P}^\infty$ . Their result is to show that these elements are subject to the formal group laws both of F and of E:

**Theorem 4.3.5** ([27, Theorem 3.8], [35, Theorem 9.7]). Write  $\beta(s)$  for the formal sum  $\beta(s) = \sum_i \beta_i x^j$ . Then, in  $F_*\underline{E}_*[s,t]$ , there is an equation

$$\beta(s+_F t) = \beta(s) +_{[E]} \beta(t),$$

where

$$\beta(s +_{F} t) = \sum_{n} \beta_{n} \left( \sum_{i,j} a_{ij}^{F} s^{i} t^{j} \right)^{n},$$

$$\beta(s) +_{[E]} \beta(t) = \underset{i,j}{*} \left( [a_{ij}^{E}] \circ \left( \sum_{k} \beta_{k} s^{k} \right)^{\circ i} \circ \left( \sum_{\ell} \beta_{\ell} t^{\ell} \right)^{\circ j} \right).$$

Proof sketch. This is a matter of calculating the behavior of

$$\mathbb{C}P^{\infty} \times \mathbb{C}P^{\infty} \xrightarrow{\mu} \mathbb{C}P^{\infty} \xrightarrow{x} \underline{E}_{2}$$

in two different ways: using the effect of  $\mu$  in F-homology and pushing forward in x, or using the effect of  $\mu$  in E-cohomology and pushing forward along the Hurewicz map  $S \to F$ .

Altogether, this motivates our algebraic model for the Hopf ring of unstable cooperations:

**Definition 4.3.6.** Define  $F_*^R \underline{E}_*$  to be the quotient of  $F_*[E^*][F_*\mathbb{CP}^{\infty}]$  by the relation above. There is a natural *comparison map* 

$$F_*^R \underline{E}_* \to F_* \underline{E}_*.$$

We will show that for many such E and G this map is an isomorphism. Before embarking on this, however, we would like to explore the connection to formal groups suggested by the formula in Theorem 4.3.5. Note that the Hopf ring-ring R[S] has a natural augmentation given by  $[s] \mapsto 1$ , so that  $\langle s \rangle = [s] - [0]$  form a generating set of the augmentation ideal.

**Lemma 4.3.7.** *In the* \*-*indecomposable quotient*  $Q^*R[S]$ *, there are the formulas* 

$$\langle s \rangle + \langle s' \rangle = \langle s + s' \rangle,$$
  $\langle s \rangle \circ \langle s' \rangle = \langle ss' \rangle.$ 

*Proof.* Modulo \*-decomposables, we can write

$$0 \equiv \langle s \rangle * \langle s' \rangle = [s] * [s'] - [s] - [s'] + [0] = \langle s + s' \rangle - \langle s \rangle - \langle s' \rangle.$$

We can also directly calculate

$$\langle s \rangle \circ \langle s' \rangle = [ss'] - [0] - [0] + [0] = \langle ss' \rangle. \qquad \Box$$

**Corollary 4.3.8.** *Orientations of E and F induce isomorphisms* 

Spec 
$$Q^*F_*^RE_*\cong \mathsf{FormalGroups}(\mathbb{C}\mathrm{P}_F^\infty,\mathbb{C}\mathrm{P}_F^\infty)$$
.

There is probaoly a natural map o the scheme of nomomorphisms hat doesn't require picking a coordi-

I don't like the upper-R notation. Having a scheme theoretic description of this object should let us pick a better name. I'm also unhappy that "mixed unstable co operations" is an achiral name, mear ing it doesn't indicate which object is the spectrum and which is the infinite loopspace.

Is it useful to say that passing to  $Q^*$  "sends \* to \*" in the sense described below? And that this degenerates to "sends \* to +" in the case of a ring-ring?

what do you mean by sending \* to \*? This doesn't seem to happen below.

This is a little sloppy. Where are the coefficients of E being sent? Is  $Q^*R[S]$  really  $R \otimes like$  Hood calculated? Hm.

*Proof.* This is a matter of calculating  $Q^*F_*^R\underline{E}_*$ . Using Lemma 4.3.7, we have

It follows that

$$Q^* F_*^R \underline{E}_* = F_* [\beta_0, \beta_1, \beta_2, \ldots] / (\beta(s +_F t) = \beta(s) +_E \beta(t)). \qquad \Box$$

Next time, we will investigate  $F_*\underline{E}_*$  in the more modest and concrete setting of  $F = H\mathbb{F}_p$  and E = BP. One might think that this is merely a first guess at a topological computation that seems accomplishable after Lecture 4.2, but we will quickly show that it plays the role of a universal example of this sort of calculation.

You could also include the odd part of the approximation, with  $e \circ e = \beta$  and from that calculate the algebrai model of the stability.

## 4.4 Mar 28: Complex-orientable cooperations

Convention: We will write H for  $H\mathbb{F}_p$  for the duration of the lecture.

Today we are aiming for a proof of the following Theorem:

Theorem 4.4.1 ([27, Theorem 4.2]). The natural homomorphism

$$H_*^R \underline{BP}_{2*} \to H_* \underline{BP}_{2*}$$

is an isomorphism. (In particular,  $H_*\underline{BP}_{2*}$  is even—concentrated.)

This is proved by a fairly elaborate counting argument, and as such our first move will be to produce an upper bound for the size of the source Hopf ring. To begin, consider the following consequence of Lemma 4.3.7:

**Corollary 4.4.2.** *As a*  $\circ$ *–algebra,* 

$$Q^*H_0^R \underline{BP}_{2*} \cong \mathbb{F}_p[[v_n] - [0_{-|v_n|}] \mid n \ge 1],$$

where  $0_{-|v_n|}$  denotes the null element of  $BP^{|v_n|}(*)$ .

Directly from the definition of  $H^R_*\underline{BP}_{2*}$ , we now know that  $Q^*H^R_*\underline{BP}_{2*}$  is generated by  $[v_n]-[0_{-|v_n|}]$  for  $n\geq 1$  and  $b_j$ ,  $j\geq 0$ . In fact, p-typicality shows [27, Lemma 4.14] that it suffices to consider  $b_{p^d}=b_{(d)}$  for  $i\geq 0$ . Altogether, this gives a secondary comparison map

$$A := \mathbb{F}_p[[v_n], b_{(d)} \mid n > 0, d \ge 0] \rightarrow Q^* H_*^R \underline{BP}_{2*}.$$

This map is not an isomorphism, as these elements are subject to the following relation:

Jeremy found a paper (Chan's A simple proof that the unstable (co-)homology of the Brown-Peterson spectrum is torsion-free, see also Wilson's Primer's Section 10) where H\*\*, BP2\*\* is proven to be bipolynomial (and even!) without any Hopf ring rigamarole. It looks like the method of proof is not very different from the Hopf ring one, but it's much shorter... and maybe the result will fall out of the Dieudonne module calculations any-how? Consider it as an option after you break this lecture in two

Cite me: Pages 266–270 of Ravend Wilson, especially **Lemma 4.4.3** ([27, Lemma 3.14], [35, Theorem 9.13]). Write  $I = ([p], [v_1], [v_2], ...)$ , and work in  $Q^*H_*\underline{BP_2}/I^{\circ 2} \circ Q^*H_*\underline{BP_2}$ . For any n we have

$$\sum_{i=1}^{n} [v_i] \circ b_{(n-i)}^{\circ p^i} \equiv 0.$$

*Proof.* Consider the series expansion of  $\beta_0 = \beta(ps) = [p]_{[BP]}(\beta(s))$ .

Let  $r_n$ , the  $n^{th}$  relation, denote the same sum taken in A instead:

$$r_n := \sum_{i=1}^n [v_i] \circ b_{(n-i)}^{\circ p^i}.$$

The Lemma then shows that the pushforward of  $r_n$  into  $Q^*H_*\underline{BP}_{2*}$  is in the ideal generated by  $I^{\circ 2}$ . Ravenel and Wilson show the following well-behavedness result about these relators, by a fairly tedious argument:

**Lemma 4.4.4** ([27, Lemma 4.15.b]). *The sequence*  $(r_1, r_2, ...)$  *is regular in A.* 

This is exactly what we need to get our size bound.

**Lemma 4.4.5.** *Set* 

$$c_{i,j} = \dim_{\mathbb{F}_p} Q^* H_i^R \underline{BP}_{2j},$$
  $d_{i,j} = \dim_{\mathbb{F}_p} \mathbb{F}_p[[v_n], b_{(0)}]_{i,j}.$ 

Then  $c_{i,j} \leq d_{i,j}$  and  $d_{i,j} = d_{i+2,j+1}$ .

*Proof.* We have seen that  $c_{i,j}$  is bounded by the  $\mathbb{F}_p$ -dimension of

$$\mathbb{F}_p[[v_n], b_{(d)} \mid d \geq 0]_{i,j}/(r_1, r_2, \ldots).$$

But, since this ideal is regular and  $|r_j| = |b_{(j)}|$ , this is the same count as  $d_{i,j}$ . The other relation among the  $d_{i,j}$  follows from multiplication by  $b_{(0)}$ , with  $|b_{(0)}| = (2,1)$ .

We now turn to showing that this estimate is *sharp* and that the secondary comparison map is *onto*, and hence an isomorphism, using the bar spectral sequence. Recalling that the bar spectral sequence converges to a the homology of the *connective* delooping, let  $\underline{BP'_{2*}}$  denote the connected component of  $\underline{BP}_{2*}$  containing  $[0_{2*}]$ . We will then demonstrate the following theorem inductively:

**Theorem 4.4.6** ([27, Induction 4.18]). *The following hold for all values of the induction index k:* 

- 1.  $Q^*H_{\leq 2(k-1)}\underline{BP'_{2*}}$  is generated by  $\circ$ -products of the  $[v_n]$  and  $b_{(j)}$ .
- 2.  $H_{\leq 2(k-1)}\underline{BP'_{2*}}$  is isomorphic to a polynomial algebra in this range.
- 3. For  $0 < i \le 2(k-1)$ , we have  $d_{i,j} = \dim_{\mathbb{F}_p} Q^* H_i \underline{BP}_{2j}$ .

There's a missing thought here (which Hood caught in class): why does the death of this element under  $I^{\circ 2}$  say anything about cilling  $r_n$  in the priginal algebra?

is a better version of this argument where formal geo etry gets involved

We also need that

This could be typeset better, by numbering the parts of Theorem 4.4.1 and just referring to numbered claims. Before addressing the theorem, we show that this finishes our calculation:

<u>Proof of Theorem 4.4.1, assuming Theorem 4.4.6 for all k.</u> Recall that we are considering the natural map

$$H_*^R \underline{BP}_{2*} \to H_* \underline{BP}_{2*}$$
.

The first part of Theorem 4.4.6 shows that this map is a surjection. The third part of Theorem 4.4.6 together with our counting estimate shows that the induced map

$$Q^*H_*^R\underline{BP}_{2*} \to Q^*H_*\underline{BP}_{2*}$$

is an isomorphism. Finally, the second part of Theorem 4.4.6 says that the original map, before passing to \*-indecomposables, must be an isomorphism as well.  $\Box$ 

Proof of Theorem 4.4.6. The infinite loopspaces in  $\underline{BP}_{2*}$  are related by  $\Omega^2\underline{BP}'_{2(*+1)} = \underline{BP}_{2*}$ , so we will use two bar spectral sequences to extract information about  $\underline{BP}'_{2(*+1)}$  from  $\underline{BP}_{2*}$ . Since we have assumed that  $H_{\leq 2(k-1)}\underline{BP}_{2*}$  is polynomial in the indicated range, we know that in the first spectral sequence

$$E_{*,*}^2 = \operatorname{Tor}_{*,*}^{H_* \underline{BP}_{2*}}(\mathbb{F}_p, \mathbb{F}_p) \Rightarrow H_* \underline{BP}_{2*+1}$$

the  $E^2$ –page is, in the same range, exterior on generators in Tor–degree 1 and topological degree one higher than the generators in the polynomial algebra. Since differentials lower Tor–degree, the spectral sequence is multiplicative, and there are no classes on the 0–line, it collapses in the range [0, 2k-1]. Additionally, since all the classes are in odd topological degree, there are no algebra extension problems, and we conclude that  $H_*\underline{BP}_{2*+1}$  is indeed exterior up through degree (2k-1).

We now consider the second bar spectral sequence

$$E_{*,*}^2 = \operatorname{Tor}_{*,*}^{H_* \underline{BP}_{2*+1}}(\mathbb{F}_p, \mathbb{F}_p) \Rightarrow H_* \underline{BP}_{2(*+1)}.$$

The Tor algebra of an exterior algebra is divided power on a class of topological dimension one higher. Since these classes are now all in even degrees, the spectral sequence collapses in the range [0,2k]. Additionally, these primitive classes are related to the original generating classes by double suspension, i.e., by circling with  $b_{(0)}$ . This shows the first inductive claim on the *primitive classes* through degree 2k, and we must argue further to deduce our generation result for  $x^{[p^j]}$  of degree 2k with j > 0. By inductive assumption, we can write

$$x = [y] \circ b_{(0)}^{\circ I_0} \circ b_{(1)}^{\circ I_1} \circ \cdots$$

and one may as well consider the element

$$z := [y] \circ b_{(j)}^{\circ I_0} \circ b_{(j+1)}^{\circ I_1} \circ \cdots$$

This element isn't  $x^{[p^j]}$  on the nose, but the diagonal of  $z - x^{[p^j]}$  lies in lower filtration degree — i.e., it is primitive as far as the filtration is concerned — and so we are again done.

The remaining thing to do is to use the size bounds: the only way that the map

$$H_*^R \underline{BP}_{2*} \to H_* \underline{BP}_{2*}$$

could be surjective is if there were multiplicative extensions in the spectral sequence joining  $x^{[p]}$  to  $x^p$ . Granting this, we see that the module ranks of the algebra itself and of its indecomposables are exactly the right size to be a free (i.e., polynomial) algebra, and hence this must be the case.

Having accomplished Theorem 4.4.1, we reduce a general computation to it:

**Corollary 4.4.7** ([27, Corollary 4.7]). For a complex-orientable cohomology theory E, the natural maps

$$E_*^R \underline{MU}_{2*} \to E_* \underline{MU}_{2*}, \qquad \qquad E_*^R \underline{BP}_{2*} \to E_* \underline{BP}_{2*}$$

are isomorphisms of Hopf rings.

*Proof.* First, because  $MU_{(p)}$  splits multiplicatively as a product of BPs, we deduce from Theorem 4.4.1 the case of  $E = H\mathbb{F}_p$ . Since  $H\mathbb{F}_{p*}\underline{BP}_{2*}$  is even, it follows that  $H\mathbb{Z}_{(p)*}\underline{BP}_{2*}$  is torsion–free on a lift of a basis, and similarly (working across primes)  $H\mathbb{Z}_*\underline{MU}_{2*}$  is torsion–free on a simultaneous lift of basis. Next, using torsion–freeness, we conclude from an Atiyah–Hirzebruch spectral sequence that  $MU_*\underline{MU}_{2*}$  is even and torsion–free itself, and moreover that the comparison is an isomorphism. Lastly, using naturality of Atiyah–Hirzebruch spectral sequences, given a complex–orientation  $MU \to E$  we deduce that the spectral sequence

$$E_* \otimes H_*(\underline{MU}_{2*}; \mathbb{Z}) \cong E_* \otimes_{MU_*} MU_* \underline{MU}_{2*} \Rightarrow E_* \underline{MU}_{2*}$$

collapses, and similarly for the case of BP. The theorem follows.

This is an impressively broad theorem: the loopspaces  $\underline{MU}_{2*}$  are quite complicated, and that any general statement can be made about them is remarkable. That this fact follows from a calculation in  $H\mathbb{F}_p$ —homology and some niceness observations is meant to showcase the density of  $\mathbb{C}P^{\infty}_{H\mathbb{F}_p} \cong \widehat{\mathbb{G}}_a$  inside of  $\mathcal{M}_{fg}$ . However, Remark 4.2.7 indicates that this Corollary does not cover all possible cases that the comparison map in Definition 4.3.6 becomes an isomorphism. In the remainder of the Case Study, we will investigate two other classes of E and G where this holds.

## 4.5 Apr 1: Dieudonné modules

Our goal today is strictly algebraic. Because the category of finite type Hopf algebras over a ground field k is an abelian category, it admits a presentation as the module category for some (possibly noncommutative) ring. The description of this ring and of the explicit assignment from a group scheme to linear algebraic data is the subject of *Dieudonné theory*. We will give a survey of some of the results of Dieudonné theory today, including three different presentations of the equivalence.<sup>1</sup>

Start with a formal line V over a ground ring A, let  $\widehat{\mathbb{G}}$  denote V equipped with a group structure, and let  $\Omega^1_{V/k}$  be the module of Kähler differentials on V. We have previously been interested in the *invariant differentials*  $\omega_{\widehat{\mathbb{G}}} \subseteq \Omega^1_{V/A}$  on V, back when we first discussed logarithms in Theorem 2.1.24. Such a differential gave rise to a logarithm through integration, in the case that A was a  $\mathbb{Q}$ -algebra. However, if A had positive characteristic p then there would be an obstruction to integrating terms with exponents of the form -1 (mod p), which in turn led us to the notion of p-height explored in Lecture 3.3.

A slightly different twist on this set-up leads to a new story entirely. Recall that  $\Omega^1_{V/A}$  forms the first level of the *algebraic de Rham complex*  $\Omega^*_{V/A}$ . The translation invariant differentials studied in the theory of the logarithm are those differentials so that the identity  $\mu^* - \pi_1^* - \pi_2^* = 0$  holds at the chain level. We can weaken this to request only that that difference be *exact*, or zero at the level of cohomology of the algebraic de Rham complex. This condition begets a sub-A-module  $D(\widehat{\mathbb{G}}/A)$  of  $H^1_{dR}(\widehat{\mathbb{G}}/A)$  consisting of those 1-forms which are cohomologically translation invariant.

*Example* 4.5.1. Let A be a  $\mathbb{Z}$ -flat ring, let  $\widehat{\mathbb{G}}$  be a formal group over A, and let x be a coordinate on  $\widehat{\mathbb{G}}$ . Set  $K = A \otimes \mathbb{Q}$ , so that  $A \to K$  is an injection. There is then a diagram of exact rows

of exact rows
$$0 \to \left\{\begin{array}{l} \text{integrals with} \\ A \text{ coefficients} \end{array}\right\} \to \left\{\text{all conceivable integrals}\right\} \to \left\{\text{missing integrals}\right\} \to 0$$

$$0 \longrightarrow xA[x] \longrightarrow \left\{f \in xK[x]|f' \in A[x]\right\} \stackrel{d}{\longrightarrow} H^1_{dR}(\widehat{\mathbb{G}}/A) \longrightarrow 0$$

$$0 \longrightarrow xA[x] \longrightarrow \left\{f \in xK[x]| \begin{array}{l} f' \in A[x], \\ \delta f \in A[x,y] \end{array}\right\} \stackrel{d}{\longrightarrow} D(\widehat{\mathbb{G}}/A) \longrightarrow 0,$$

where  $\delta$  is induced by  $\delta[\omega] = (\mu^* - \pi_1^* - \pi_2^*)[\omega]$ .

The flatness condition in the Example is important to getting the calculation to work out right, and of course it is not satisfied when working over a perfect field of positive

Cite me: Weinstein's geometry Lubin-Tate space notes.

<sup>&</sup>lt;sup>1</sup>Emphasis on "some of the results". Dieudonné theory is an enormous subject with many interesting results both internal and connected to arithmetic geometry, which we'll explore almost none of.

characteristic p — our favorite setting in Lecture 3.3 and Case Study 3 more generally. However, de Rham cohomology has the following remarkable lifting property (which we have specialized to  $H_{dR}^1$ ):

**Theorem 4.5.2.** Let A be a  $\mathbb{Z}_{(p)}$ -flat ring, let  $f_1(x), f_2(x) \in A[\![x]\!]$  be power series without constant term. If  $f_1 \equiv f_2 \pmod{pA}$ , then for any differential  $\omega \in A[\![x]\!]dx$  the difference  $f_1^*(\omega) - f_2^*(\omega)$  is exact.

*Proof.* Write  $\omega = dg$  for  $g \in K[x]$ , and write  $f_2 = f_1 + p\Delta$ . Then

$$\int (f_2^* \omega - f_1^* \omega) = g(f_2) - g(f_1) = g(f_1 + p\Delta) - g(f_1)$$
$$= \sum_{n=1}^{\infty} \frac{(p\Delta)^n}{n!} g^{(n)}(f_1).$$

Since  $g' = \omega$  has coefficients in A, so does  $g^{(n)}$  for all n, and the fraction  $p^n/n!$  lies in the  $\mathbb{Z}_{(p)}$ -algebra A.

**Corollary 4.5.3** ( $H_{dR}^1$  is "crystalline"). If  $f_1, f_2 \colon V \to V'$  are maps of pointed formal varieties which agree mod p, then they induce the same map on  $H_{dR}^1$ .

Several well–behavedness results of the functor D follow directly from Corollary 4.5.3. For instance, any map  $f \colon \widehat{\mathbb{G}}' \to \widehat{\mathbb{G}}$  of pointed varieties which is a group homomorphism mod p restricts to give a map  $f^* \colon D(\widehat{\mathbb{G}}/A) \to D(\widehat{\mathbb{G}}'/A)$ . Additionally, if  $f_1$ ,  $f_2$ , and  $f_3$  are three such maps of pointed varieties with  $f_3 \equiv f_1 + f_2 \pmod{p}$  in FormalGroups  $(\widehat{\mathbb{G}}'/p, \widehat{\mathbb{G}}/p)$ , then  $f_3^* = f_1^* + f_2^*$  as maps  $D(\widehat{\mathbb{G}}/A) \to D(\widehat{\mathbb{G}}'/A)$ .

In the case that k is a *perfect* field, the ring  $\mathbb{W}_p(k)$  of p-typical Witt vectors on k is simultaneously torsion-free and universal among nilpotent thickenings of the residue field k. This emboldens us to make the following definition:<sup>2</sup>

**Definition 4.5.4.** Let k be a perfect field of characteristic p > 0, and let  $\widehat{\mathbb{G}}_0$  be a formal group over k. Then, choose a lift  $\widehat{\mathbb{G}}$  of  $\widehat{\mathbb{G}}_0$  to  $\mathbb{W}_p(k)$ , and define the (*contravariant*) Dieudonné module of  $\widehat{\mathbb{G}}_0$  by  $M(\widehat{\mathbb{G}}_0) := D(\widehat{\mathbb{G}}/W(k))$ .

Remark 4.5.5. This is independent of choice of lift up to coherent isomorphism. Given any other lift  $\widehat{\mathbb{G}}'$  of  $\widehat{\mathbb{G}}_0$  to  $\mathbb{W}_p(k)$ , we can find *some* power series — not necessarily a group homomorphism — covering the identity on  $\widehat{\mathbb{G}}_0$ . Corollary 4.5.3 then shows that this map induces an isomorphism between the two potential definitions of  $M(\widehat{\mathbb{G}}_0)$ .

Finally, note that the module  $M(\widehat{\mathbb{G}}_0)$  carries some natural operations:

Maybe cite a reference that does this?

This functor is best adapted to a divisible groups, typically  $\hat{G}_0 = 0$  is disallowed. The definition and the most basic properties seem to work

<sup>&</sup>lt;sup>2</sup>There is a better definition one might hope for, which instead assigns to each potential thickening and lift a "Dieudonne module", and then work to show that they all arise as base-changes of this universal one. This is possible and technically superior to the approach we are taking here.

- Arithmetic:  $M(\widehat{\mathbb{G}}_0)$  is naturally a  $\mathbb{W}_p(k)$ -module, with the action by  $\ell$  corresponding to multiplication–by– $\ell$  on  $G_0$ .
- Frobenius: The map  $x \mapsto x^p$  is a group homomorphism mod p, so by Corollary 4.5.3 induces a  $\varphi$ -semilinear map  $F: M(\widehat{\mathbb{G}}_0) \to M(\widehat{\mathbb{G}}_0)$ . That is,  $F(\alpha v) = \alpha^{\varphi} F(v)$ , where  $\varphi$  is a lift of the Frobenius on k to  $\mathbb{W}_p(k)$ .
- Verschiebung: The Verschiebung map is given by the mysterious formula

$$V \colon \sum_{n=1}^{\infty} a_n x^n \mapsto p \sum_{n=1}^{\infty} a_{pn}^{\varphi^{-1}} x^n.$$

It satisfies anti-semilinearity,  $aV(v) = V(a^{\varphi}v)$ , and also FV = p.

With this, we come to the main theorem of this section:

**Theorem 4.5.6.** The functor M determines a contravariant equivalence of categories between smooth 1-dimensional formal groups over k of finite p-height and finite free  $W_n(k)$ -modules equipped with appropriate operations F and V, called Dieudonné modules.

being uniform and reduced?

Remark 4.5.7. Several invariants of the formal group associated to a Dieudonné module can be read off from the functor M. For example, the  $\mathbb{W}_{\nu}(k)$ -rank of M is equal to the pheight of  $\widehat{G}_0$ . Additionally, the quotient M/FM is canonically isomorphic to the cotangent space  $T_0^*\widehat{\mathbb{G}}_0 \cong \omega_{\widehat{\mathbb{G}}_0}$ .

*Example* 4.5.8. The Dieudonné module associated to  $\widehat{\mathbb{G}}_m$  is the easiest to compute. For xthe usual coordinate, we have  $[p](x) = x^p$ , and hence the Frobenius F acts on  $M(\widehat{\mathbb{G}}_m)$  by Fx = px. It follows that Vx = x and  $M(\widehat{\mathbb{G}}_m) \cong \mathbb{W}_p(k)\{x\}$  with this action.

Example 4.5.9 (cf. Example 1.2.9). This example is not done.

Dieudonné theory admits an extension to finite (flat) group schemes as well, and the torsion quotient of the Dieudonné module of a formal group agrees with the Dieudonné module associated to its torsion subscheme:

$$M(\widehat{\mathbb{G}}_0[p^j]) = M(\widehat{\mathbb{G}}_0)/p^j$$
.

The Dieudonné module associated to  $\widehat{\mathbb{G}}_q$  is the infinite-dimensional torsion  $W_p(k)$ -module  $V_p(k)$ -m  $M(\widehat{\mathbb{G}}_a) = k\{x, Fx, F^2x, \ldots\}$ . Set p = 2, and consider the subgroup scheme  $\alpha_2 \subseteq \widehat{\mathbb{G}}_a$  with Dieudonné module

$$M(\alpha_2) = M(\widehat{\mathbb{G}}_a)/F^2 = k\{x, Fx\}.$$

We can now verify the four claims from Example 1.2.9:

• The group scheme  $\alpha_2$  has the same underlying structure ring as  $\mu_2 = \mathbb{G}_m[2]$  but is not isomorphic to it. This follows from calculating the Dieudonné module of homomorphisms:

- There is no commutative group scheme G of rank four such that  $\alpha_2 = G[2]$ . This follows from calculating the space of rank four objects and noticing that VF = 2 gets you into trouble.
- If  $E/\mathbb{F}_2$  is the supersingular elliptic curve, then there is a short exact sequence

$$0 \to \alpha_2 \to E[2] \to \alpha_2 \to 0.$$

However, this short exact sequence doesn't split (even after making a base change). This follows from calculating the action of F and V: the exact sequence is split as modules, of course, but not as Dieudonné modules.

• The subgroups of  $\alpha_2 \times \alpha_2$  of order two are parameterized by  $\mathbb{P}^1$ . This follows from calculating the Dieudonné module of the product, as well as its space of projections of the appropriate rank.

We can also use Dieudonné theory to compute the automorphism group of a fixed Honda formal group, which is information we wanted back in Lecture 3.8:

**Corollary 4.5.10.** For  $\Gamma_d$  the Honda formal group law of height d over  $\mathbb{F}_{p^d}$ , we compute

$$\operatorname{Aut}\Gamma_d \cong \mathbb{W}_p(k)\langle F \rangle / \left( \begin{array}{c} Fw = w^{\varphi}F, \\ F^d = p \end{array} \right)^{\times}.$$

*Proof.* The Dieudonné module associated to  $\Gamma_d$  satisfies  $F^d = p$ , and hence  $M(\Gamma_d/k)$  is presented as a *quotient* of the ring of operators on Dieudonné modules. The endomorphism ring of such a module is canonically isomorphic to the module itself.

We now turn to alternative presentations of the Dieudonné module functor, which have their own advantages and disadvantages. Let  $\widehat{G}$  again be a formal Lie group over a field k of positive characteristic p, and consider Cartier's functor of curves

$$C\widehat{\mathbb{G}} = \mathsf{FormalSchemes}(\widehat{\mathbb{A}}^1, \widehat{\mathbb{G}}).$$

This is, again, a kind of relaxing of familiar data from Lie theory: rather than studying exponential curves,  $C\widehat{G}$  tracks all possible curves. In Lecture 3.3, we considered three kinds of operations on a given curve  $\gamma \colon \widehat{A}^1 \to \widehat{G}$ :

- Homothety: given a scalar  $a \in A$ , we define  $[a] \cdot \gamma(t) = \gamma(at)$ .
- Verschiebung: given an integer  $n \ge 1$ , we define  $V_n \gamma(t) = \gamma(t^n)$ .
- Arithmetic: given two curves  $\gamma_1$  and  $\gamma_2$ , we can use the group law on  $\widehat{\mathbb{G}}$  to define  $\gamma_1 +_{\widehat{\mathbb{G}}} \gamma_2$ . Moreover, given  $\ell \in \mathbb{Z}$ , the  $\ell$ -fold sum in  $\widehat{\mathbb{G}}$  gives an operator

$$\ell \cdot \gamma = \overbrace{\gamma +_{\widehat{\mathbb{G}}} \cdots +_{\widehat{\mathbb{G}}} \gamma}^{\ell \text{ times}}.$$

This extends to an action by  $\ell \in \mathbb{W}_p(k)$ .

• Frobenius: given an integer  $n \ge 1$ , we define

$$F_n\gamma(t)=\sum_{i=1}^n\widehat{_{\mathbb{G}}}\gamma(\zeta_nt^{1/n}),$$

where  $\zeta_n$  is an  $n^{\text{th}}$  root of unity. (This formula is invariant under permuting the root of unity chosen, so determines a curve defined over the original ground ring.)

**Definition 4.5.11.** A curve  $\gamma$  on a formal group is p-typical when  $F_n\gamma = 0$  for  $n \neq p^j$ . Write  $D_p\widehat{\mathbb{G}} \subseteq C\widehat{\mathbb{G}}$  for the subset of p-typical curves. In the case that the base ring is p-local,  $C\widehat{\mathbb{G}}$  splits as a sum of copies of  $D_p\widehat{\mathbb{G}}$ , and there is a natural section  $C\widehat{\mathbb{G}} \to D_p\widehat{\mathbb{G}}$  called p-typification, given by the same formula as in Lemma 3.3.7.

*Remark* 4.5.12. Precomposing with a coordinate  $\widehat{\mathbb{A}}^1 \cong \widehat{\mathbb{G}}$  allows us to think of a logarithm log:  $\widehat{\mathbb{G}} \to \widehat{\mathbb{G}}_a$  as a curve on  $\widehat{\mathbb{G}}_a$ . The definition of p-typicality given in Definition 3.3.6 coincides with the one given here.

Surprisingly, this construction captures the same data as the previous one.

**Theorem 4.5.13.** The functor  $D_p$  determines a covariant equivalence of categories between smooth 1-dimensional formal groups over k of finite p-height and finite free  $\mathbb{W}_p(k)$ -modules equipped with appropriate operations F and V. In fact,  $D_p(\widehat{\mathbb{G}}) \cong M(\widehat{\mathbb{G}}/k)^*$ .

Add in words about being uniform and reduced?

Finally, we turn to a third presentation of Dieudonné theory using more pedestrian methods, with the aim of developing a theory more directly adapted to algebraic topology. One can show that the category of *finite-type* graded connected Hopf algebras is an abelian category, and hence must admit a presentation as modules over some (perhaps noncommutative) ring. The first step to accessing this presentation is to find a collection of projective generators for this category.

**Theorem 4.5.14** ([29]). Let S(n) denote the free graded-commutative Hopf algebra on a single generator in degree n. There is a projective cover  $H(n) \rightarrow S(n)$ , given by the formula

- If either p=2 and  $n=2^mk$  for  $2 \nmid k$  and m>0 or  $p \neq 2$  and  $n=2p^mk$  for  $p \nmid k$  and m>0, then  $H(n)=\mathbb{F}_p[x_0,x_1,\ldots,x_k]$  with the Witt vector diagonal.
- Otherwise, H(n) = S(n) is the identity.

**Corollary 4.5.15.** The category HopfAlgebras $_{\mathbb{F}_p}^{>0,\mathrm{fin}}$  of finite—type graded connected Hopf algebras is a full subcategory of modules over

$$\bigoplus_{n,m} \mathsf{HopfAlgebras}_{\mathbb{F}_p/}^{>0,\mathsf{fin}}(H(n),H(m)).$$

**Definition 4.5.16.** Let GradedDMods denote the category of graded abelian groups *M* satisfying

Put in a citation about what "the Witt vector diagonal" means: the elements  $w_i = x_0^{p^i} - px_1^{p^{i-1}} + \dots + x_i$  are primitive.

- 1.  $M_{<1} = 0$ .
- 2. If *n* is odd, then  $pM_n = 0$ .
- 3. There are homomorphisms  $V \colon M_{pn} \to M_n$  and  $F \colon M_n \to M_{pn}$  (where n is even if  $p \neq 2$ ), together satisfying FV = p = VF. (These are induced by the inclusion  $H(n) \subseteq H(pn)$  and by the map  $H(pn) \to H(n)$  sending  $x_n$  to  $x_{n-1}^p$ .)

*Remark* 4.5.17. Combining these, if n is even, taking the form  $n = 2p^m k$  with  $p \nmid k$  at odd primes p or  $n = 2^m k$  with  $2 \nmid k$  at p = 2, then  $p^{m+1} M_n = F^{m+1} V^{m+1} M_n = 0$ .

**Theorem 4.5.18.** The functor  $D_*$ : HopfAlgebras $_{\mathbb{F}_p/}^{>0,\text{fin}} \to \text{GradedDMods}$  defined by

$$D_*(H) = \bigoplus_n D_n(H) = \bigoplus_n \mathsf{HopfAlgebras}_{\mathbb{F}_p/}^{>0,\mathsf{fin}}(H(n),H)$$

is an exact equivalence of categories. Moreover,  $D_*H(n)$  is characterized by the equation

$$GradedDMods(D_*H(n), M) = M_n.$$

It would be nice to tie these presentations together, at least with unjustified claims. What curve does a cohomologically left-invariant form get sent to? What does the appearance of the Witt scheme in the third presentation tell you about the relationship to the second presentation?

This last presentation could use some examples too.

Dieudonné theory is also about taking primitives in some sort of cohomology. Can this be connected to the additivity condition on unstable operations?

Weinstein's Section 1 also ends with a discussion of the Dieudonné functor extended to the crystalline site. This is necessary to get access to the period map.

Definition of the inverse functor to the Dieudonné module functor? I think this appears in the formal groups notes.

We know of a connection between  $H_*BU$  and the Wits scheme. Is there a connection between  $E_*MU$  and curves, or  $E_*BP$  and p-typical curves, which is visible from this perspectve? Almost definitely! Also, a connection between curves and divisors: the zero locus of a given curve...

# 4.6 Apr 4: Ordinary cooperations for Landweber flat theories

Convention: We will write H for  $H\mathbb{F}_p$  for the duration of the lecture.

Today we will put Dieudonné modules to work for us in algebraic topology. Our goal is to prove the following Theorem:

**Theorem 4.6.1.** For F = H and E a Landweber flat homology theory, the comparison map

$$H^R_*E_{2*} \rightarrow H_*E_{2*}$$

is an isomorphism of Hopf rings.

The essential observation about this is that the associated Dieudonné module  $D_*H_*\underline{E}_{2*}$  is a *stable object*, in the sense of the following result of Goerss–Lannes–Morel:

**Theorem 4.6.2** ([7, Lemma 2.8]). Let  $X \to Y \to Z$  be a cofiber sequence of spectra. Then, provided n > 1 satisfies  $n \not\equiv \pm 1 \pmod{2p}$ , there is an exact sequence

$$D_n H_* \Omega^{\infty} X \to D_n H_* \Omega^{\infty} Y \to D_n H_* \Omega^{\infty} Z. \quad \Box$$

**Corollary 4.6.3** ([7, Theorem 2.1]). For n > 1 an integer satisfying  $n \not\equiv \pm 1 \pmod{2p}$ , there is a spectrum B(n) satisfying

$$B(n)_n X \cong D_n H_* \Omega^{\infty} X$$
.

(As convention, when  $n \equiv \pm 1 \pmod{2p}$  we set B(n) := B(n-1), and  $B(0) := \mathbb{S}^0$ .)

Remark 2.9 has a helpful discussion of how to extend to the case B(0).

Before exploiting this result to compute something about unstable cooperations, we will prove a sequence of small results making these spectra somewhat more tangible.

**Lemma 4.6.4** ([7, Lemma 3.2]). The spectrum B(n) is connective and p-complete.

*Proof.* First, rearrange:

$$\pi_k B(n) = B(n)_n S^{n-k} = D_n H_* \Omega^{\infty} \Sigma^{\infty} S^{n-k}.$$

If k < 0, n is below the connectivity of  $\Omega^{\infty}\Sigma^{\infty}S^{n-k}$  and hence this vanishes. The second assertion follows from the observation that  $H\mathbb{Z}_*B(n)$  is an  $\mathbb{F}_p$ -vector space. To see this, restrict to the case  $n \not\equiv \pm 1 \pmod{2p}$  and calculate

$$H\mathbb{Z}_k B(n) = B(n)_n \Sigma^{n-k} H\mathbb{Z} = D_n H_* K(\mathbb{Z}, n-k) = [Q^* H_* K(\mathbb{Z}, n-k)]_n. \qquad \Box$$

We can use a similar trick to calculate  $H^*B(n)$ :

**Definition 4.6.5** ([7, Example 3.6]). Let G(n) be the free unstable  $\mathcal{A}$ –module on one generator of degree n, so that

UnstableModules<sub> $A_*$ </sub> $(G(n), M) = M_n$ .

This module admits a presentation as

$$G(n) = \begin{cases} \sum^{n} \mathcal{A} / \{\beta^{\varepsilon} P^{i} \mid 2pi + 2\varepsilon > n\} \mathcal{A} & \text{if } p > 2, \\ \sum^{n} \mathcal{A} / \{\operatorname{Sq}^{i} \mid 2i > n\} \mathcal{A} & \text{if } p = 2. \end{cases}$$

The Spanier–Whitehead dual of this right-module, DG(n), is characterized by the left-module

 $\Sigma^{n}(DG(n))^{*} = \begin{cases} \mathcal{A}/\mathcal{A}\{\chi(\beta^{\varepsilon}P^{i}) \mid 2pi + 2\varepsilon > n\} & \text{if } p > 2, \\ \Sigma^{n}\mathcal{A}/\mathcal{A}\{\chi \operatorname{Sq}^{i} \mid 2i > n\} & \text{if } p = 2. \end{cases}$ 

**Theorem 4.6.6** ([7, Proof of Theorem 3.1]). *There is an isomorphism* 

Be careful about

$$H^*B(n) \cong \Sigma^n(DG(n))^*$$
.

*Proof.* Start, as before, by computing:

$$H_k B(n) = B(n)_n \Sigma^{n-k} H = D_n H_* K(\mathbb{F}_p, n-k).$$

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Is this parenthesiza

$$\mathsf{Modules}_{\mathcal{A}/}(G(n), M) \cong [\Omega^{\infty} M]_n.$$

Hence, we can continue our computation:

$$H_k B(n) = D_n H_* K(\mathbb{F}_p, n - k)$$

$$= \mathsf{Modules}_{\mathcal{A}/} (G(n), \Sigma^{n-k} \mathcal{A})$$

$$= \mathsf{Modules}_{\mathbb{F}_p/} (G(n)_{n-k}, \mathbb{F}_p).$$

We learn immediately that  $H_*B(n)$  is finite. We would like to show, furthermore, that  $H_*B(n)$  is the Spanier–Whitehead dual  $\Sigma^n DG(n)$ . It suffices to show

$$\mathsf{Modules}_{\mathcal{A}/}(G(n), \Sigma^j \mathcal{A}) = \mathsf{Modules}_{\mathcal{A}/}(\mathbb{F}_p, \Sigma^j \mathcal{A} \otimes H_* B(n))$$

for all values of j. This follows from calculating  $B(n)_n \Sigma^{n+j} H$  using the same method. Finally, linear-algebraic duality and Definition 4.6.5 give the Theorem.

Additionally, the following Lemma is almost a consequence of basic understanding of unstable modules over  $A_*$ , with minor fuss at the bad indices  $n \equiv \pm 1 \pmod{p}$ :

**Lemma 4.6.7** ([7, Lemma 3.3]). *There is a natural onto map* 
$$B(n)_n X \to H_n X$$
.

Let's now work toward using the B(n) spectra to analyze the Hopf rings arising from unstable cooperations. We have previously computed that the comparison map

$$H_*^R \underline{BP}_{2*} \to H_* \underline{BP}_{2*}$$

is an isomorphism. We will begin by reimagining this statement in terms of Dieudonné theory.

To begin with, Dieudonné theory as we have described it is concerned with *Hopf algebras* rather than Hopf rings. However, a Hopf ring is not much structure on top of a system of graded Hopf algebras  $A_*$ : it is a map

$$\circ: A_* \boxtimes A_* \to A_*$$

where " $\boxtimes$ " is the tensor product of Hopf algebras. Since  $D_*$  gives an equivalence of categories between graded Hopf algebras and graded Dieudonné modules, we should be able to find an analogous formula for the tensor product of Dieudonné modules.

**Definition 4.6.8.** The naive tensor product  $M \otimes N$  of Dieudonné modules M and N receives the structure of a W(k)[V]-module, where  $V(x \otimes y) = V(x) \otimes V(y)$ . We define the tensor product of Dieudonné modules by

$$M \boxtimes N = \mathbb{W}(k)[F,V] \otimes_{\mathbb{W}(k)[V]} (M \otimes N) / \begin{pmatrix} 1 \otimes Fx \otimes y = F \otimes x \otimes Vy, \\ 1 \otimes x \otimes Fy = F \otimes Vx \otimes y \end{pmatrix}.$$

Cite me: You probably also want to cite Hunton–Turner and Buchstaber–Lazarev.

This definition is easier than it should be in genserality, because not only are you working with a field but you're even working with Fp, which has no Erobenius

There's a wrinkle here: if you want to say that  $D_*$  is an equivalence of symmetric monoidal categories, then there needs to be a unit object. I don't think that's the case in the setting you're in.

**Lemma 4.6.9** ([8, Theorem 7.7]). *The natural map* 

$$D_*(M) \boxtimes D_*(N) \to D_*(M \boxtimes N)$$

is an isomorphism.

**Definition 4.6.10.** For a ring R, a Dieudonné R–algebra  $A_*$  is a graded Dieudonné module equipped with an R–action and an algebra product

$$\circ : A_* \boxtimes A_* \to A_*.$$

*Example* 4.6.11 ([8, Proposition 10.2]). For a complex-oriented homology theory E, we define its Dieudonné  $E_*$ –algebra of algebraic unstable cooperations by

This citation at leas says that the R–W relation holds over the topology  $E_*$ – Dieudonné algebra

$$R_E = E_*[b_1, b_2, \ldots] / (b(s+t) = b(s) +_E b(t)),$$

where V is multiplicative, V fixes  $E_*$ , and V satisfies  $Vb_{pj} = b_j$ . (This determines the behavior of F.) We also write  $D_F = \{D_{2m}H_*E_{2n}\}$  for the even part of the topological Dieudonné algebra, and these come with natural comparison maps

$$R_E \rightarrow D_E \leftarrow D_* H_* \underline{E}_{2*}$$
.

**Theorem 4.6.12** ([8, Theorem 11.7]). Restricting attention to the even parts, the maps

$$R_E \rightarrow D_E \leftarrow D_* H_* \underline{E}_{2*}$$

are isomorphisms for E Landweber flat.

*Proof.* In Corollary 4.4.7, we showed that these maps are isomorphisms for E = BP. However, the right–hand object can be identified via Brown–Gitler juggling:

$$D_n H_* \underline{E}_{2j} = B(n)_n \Sigma^{2j} E = E_{2j+n} B(n).$$

If E is Landweber flat, then the middle– and right–terms are determined by change-of-base from the respective BP terms by definition of flatness. Finally, the left term commutes with change-of-base by its algebraic definition, and the theorem follows.

Remark 4.6.13. The proof of Theorem 4.6.12 originally given by Goerss [8] involved a lot more work, essentially because he didn't want to assume Theorem 4.4.1 or Corollary 4.4.7. Instead, he used the fact that  $\Sigma_+^{\infty}\Omega^2S^3$  is a regrading of the ring spectrum  $\bigvee_n B(n)$ , together with knowledge of  $BP_*\Omega^2S^3$ . Since we already spent time with Theorem 4.4.1, we're not obligated to pursue this other line of thought.

Remark 4.6.14 ([8, Proposition 11.6]). The Dieudonné algebra framework also makes it easy to add in the odd part after the fact. Namely, suppose that E is a torsion–free ring spectrum and suppose that  $E_*B(n)$  is even for all n. In this setting, we can verify the purely topological version of this statement: the map

$$D_E[e]/(e^2-b_1) \to D_*H_*\underline{E}_*$$

is an isomorphism.

To see this, note that because  $E_{2n-2k-1}B(2n) \to D_{2n}H_*\underline{E}_{2k+1}$  is onto and  $E_{2n-2k-1}B(2n)$  is assumed zero, the group  $D_{2n}H_*\underline{E}_{2k+1}$  vanishes as well. A bar spectral sequence argument shows that  $D_{2n+1}H_*\underline{E}_{2k+2}$  is also empty [8, Lemma 11.5.1]. Hence, the map on even parts

$$(D_E[e]/(e^2-b_1))_{*,2n} \to (D_*H_*\underline{E}_*)_{*,2n}$$

is an isomorphism, and we need only show that

$$D_*H_*\underline{E}_{2n} \xrightarrow{e \cdot -} D_*H_*\underline{E}_{2n+1}$$

is an isomorphism as well. Since  $e(Fx) = F(Ve \circ x) = 0$  and  $D_*A/FD_*A \cong Q^*A$  for a Hopf algebra A, we see that e kills decomposables and suspends indecomposables:

$$eD_*H_*\underline{E}_{2n} = \Sigma QH_*\underline{E}_{2n}.$$

This is also what happens in the bar spectral sequence, and the claim follows. In light of Theorem 4.6.12, this means that for Landweber flat *E*, the comparison isomorphism can be augmented to a further isomorphism

$$R_E[e]/(e^2-b_1) \to D_*H_*\underline{E}_*.$$

Compare also with the main result of [10].

Remark 11.4 in the Hopf Ring paper says that the failure of the odd primary case to be an isomorphism is measured by the suspension homomorphism operator *e*, and the kernel of the natural surjective map is exactly the kernel of multiplication by *e*. Have a look

Ask Mike (and Jacob?) if there are analogues of these results for kO which explain Mahowald's generalized K-theoretic Brown-Gitler spectra. 3/29: I did ask Mike, he said he didn't know. I also asked Paul, and he said this seemed unreasonable, since kO isn't valued in co/commutative Hopf algebras. This is a fair point: one would need to invent an "analogue" of Dieudonné the-

# 4.7 Apr 6: Cooperations between geometric points

Throughout today, we will write K for a Morava K–theory  $K_{\Gamma}$  (which, if you like, you can take to be K(d)) and A for a finitely generated abelian group, and H for the associated Eilenberg–Mac Lane spectrum. Our goal is to study the unstable mixed cooperations  $K_*\underline{H}_*$ . Of course, this fits into our broader program of understanding various forms of unstable cooperations, especially if we were to pursue a "stalkwise analysis" of the sort in Case Study 3. However, this calculation is especially interesting because of the appearance of the Eilenberg–Mac Lane spaces  $\underline{H}_*$  in other settings. For instance, if we want to analyze the K-homology of a Postnikov tower (as we will in Case Study 5), we will naturally encounter pieces of  $K_*\underline{H}_*$ , and we would be wise to have a firm handle on these objects.

It is another tribute to the power of structure that the successful way to approach this computation is not one-at-a-time, as one coming from the Postnikov perspective might attempt, but all-at-once, as suggested by the unstable cooperations picture.

Our calculation will eventually turn into an induction, so we will pursue a simple example first: the K-theory of just the classifying space BA, rather than a general Eilenberg–Mac Lane space. Since K-theory has Künneth isomorphisms and  $B(A_1 \times A_2) \simeq BA_1 \times BA_2$ , it suffices to do the computation just for  $A = C_{v^j}$ .

#### **Theorem 4.7.1.** *There is an isomorphism*

Cite me: Theore 5.7 of RW, or Prop 2.4.4 of HL.

$$BS^1[p^j]_K \cong BS^1_K[p^j].$$

*Proof.* Consider the diagram of spherical fibrations:

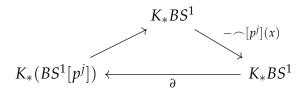
Put in a pullback corner here.

$$S^{1} \longrightarrow B(S^{1}[p^{j}]) \longrightarrow BS^{1}$$

$$\downarrow \qquad \qquad \downarrow^{p^{j}}$$

$$S^{1} \longrightarrow ES^{1} \longrightarrow BS^{1}.$$

The induced long exact sequence (known as the Gysin sequence, or as the couple in the Serre spectral sequence for the first fibration) takes the form



where x is a coordinate on  $BS_K^1$ . Because  $BS_K^1$  is of finite height, the right diagonal map is surjective. It follows that  $\partial = 0$ , and so this gives a short exact sequence of Hopf algebras, which we can reinterpret as a short exact sequence of group schemes

$$B(S^1[p^j])_K \to BS_K^1 \xrightarrow{p^j} BS_K^1.$$

There are a couple of approaches to the rest of this calculation, i.e.,  $K_*\underline{H}_q$  for q>1. The original, due to Ravenel and Wilson, is to complete the calculation for the smallest abelian group  $C_p$  and then induct upward toward more complicated groups like  $C_{p^j}$  and  $C_{p^\infty}$ . More recently, there is also a preprint of Hopkins and Lurie that begins with  $A=C_{p^\infty}$  and then works downward. We will do the *easy* parts of both calculations, to give a feel for their relative strengths and deficiencies.

The Ravenel–Wilson version of the calculation proceeds much along the same lines as Lecture 4.2. We will study the bar spectral sequences

$$\operatorname{Tor}_{*,*}^{K_* \underline{H}_q}(K_*, K_*) \Rightarrow K_* \underline{H}_{q+1}$$

$$K_* \underline{HZ/p^j}_0 = K_*[[1]]/\langle [1]^{p^j} - 1 \rangle = K_*[[1] - [0]]/\langle [1] - [0] \rangle^{p^j}.$$

Then, the Tor–algebra for the truncated polynomial algebra  $K_*[a_{\oslash}]/a_{\oslash}^{p^j}$  is given by the formula

$$\operatorname{Tor}_{*,*}^{K_*[a_{\oslash}]/a_{\oslash}^{p^j}}(K_*,K_*)=\Lambda[\sigma a_{\oslash}]\otimes\Gamma[\varphi a_{\oslash}],$$

the combination of an exterior algebra and a divided power algebra. We know which classes are supposed to survive this spectral sequence, and hence we know where the differentials must be:

$$d(\varphi a_{\emptyset})^{[p^{dj}]} = \sigma a_{\emptyset},$$
  
 $\Rightarrow d(\varphi a_{\emptyset})^{[i+p^{dj}]} = \sigma a_{\emptyset} \cdot (\varphi a_{\emptyset})^{[i]}.$ 

After this differential the spectral sequence collapses, but there are some multiplicative extensions to sort out when j > 1. Of course, these are all determined by already knowing the multiplicative structure on  $K_*H\mathbb{Z}/p^j_1$ .

We now turn to the general finite case:

**Theorem 4.7.2.** *Using the ○–product,* 

$$K_* \underline{HZ/p^j}_q = \operatorname{Alt}^q \underline{HZ/p^j}_1.$$

*Proof sketch.* The inductive step turns out to be extremely index-rich, so I won't be so explicit or complete, but I'll point out the major landmarks. It will be useful to use the shorthand  $a_{(i)} = a_{\emptyset}^{[p^i]}$ , where (i) is thought of as a multi-index with one entry.

We proceed by induction, assuming that  $K_*\underline{HZ/p^j}_q = \mathrm{Alt}^q \underline{HZ/p^j}_1$  for a fixed q. Computing the algebraic homology of  $K_*\underline{HZ/p^j}_q$  yields a tensor of divided power and exterior classes, a pair for each algebra generator of  $K_*\underline{HZ/p^j}_q$ . There is then a wonderful rewriting formula:

$$(\varphi a_{(i_1,...,i_q)})^{[p^j]} \equiv (\varphi a_{(i_1,...,i_{q-1})})^{[p^j]} \circ a_{(i_q+j)} \mod *-\text{decomposables}.$$

Since every class can be so decomposed, all the differentials and extensions are determined by the previous spectral sequence. In particular, classes are hit by differentials exactly when  $i_q + j$  is large enough. It follows that the inductive assumption that  $K_* \underline{HZ/p^j}_{q+1}$  is an exterior power holds, and the class  $(\varphi a_{(i_1,...,i_q)})^{[p^j]}$  represents  $a_{(j,i_1+j,...,i_q+j)}$ .

*Remark* 4.7.3. Note that in the conditions below we are using the induction index to bound the degree of the infinite loopspace, whereas in Lecture 4.4 we used the induction index to bound the topological degree of the homology groups.

Cite me: Theorems 9.2 and 11.1 of RW.

Cite me: Ravenel Wilson, somewhere.

Indicate exactly where the intertwining between different values of happens. Mike has said something about the pairing  $C_{pj} \times C_{pj}^* \to Q/\mathbb{Z}$  not being functorial in j (so as to pass to the direct limit) which gave me pause. I should make sure I'm not messing something up here.

The case j=1 of this proof is messy enough, and the case of a general j requires interrelating the cases using the restriction map  $C_{p^j} \to C_{p^{j+1}}$  and the projection map  $C_{p^{j+1}} \to C_{p^j}$ . Then, these tools are revisited to give a computation in the limiting case  $A=C_{p^\infty}$ , where there's a p-adic equivalence  $HC_{p^\infty}\simeq \widehat{p}\Sigma H\mathbb{Z}$ . The calculation in this setting is the most interesting one of all — after all, it contains the case  $BS_K^1$ , which is of special interest to us. Remarkable, it is easier to access directly than passing through all of this intermediate work. To begin, we need the following algebraic calculation:

Cite me: Theorem

**Theorem 4.7.4.** Suppose we have an exact sequence of Hopf k-algebras

$$k \to A' \to A \xrightarrow{u} A'' \to k$$

such that A is connected and F-divisible, A' is finite-dimensional, and the map u factors through the relative Frobenius  $A''^{(p)} \to A''$ . Write  $\partial : \operatorname{Ext}^1 A' \to \operatorname{Ext}^2 A''$  for the going-around map. The following are true:

Cite me: Theore 2.2.10 of Hopkins Lurie.

Use the same cohomology notation you have been using for the cohomology of formal groups on previou days.

- The Hopf algebra A'' is connected and F-divisible.
- The map  $\partial$  induces an isomorphism

$$\operatorname{Sym}^*\operatorname{Ext}^1A'\to\operatorname{Ext}^*A''.$$

• Let  $y_1, \ldots, y_n$  form a basis for  $\operatorname{Ext}^1 A'$ . Then  $\operatorname{Ext}^* A'$  is freely generated by the elements  $y_*$  as a module over  $\operatorname{Ext}^* A$ .

**Corollary 4.7.5.** *If A is a connected p–divisible Hopf k–algebra, then* 

Cite me: Examp 2.2.12 of Hopkins Lurie.

$$k \to A[p^j] \to A \xrightarrow{p^j} A \to k$$

is such an exact sequence. Hence,  $\operatorname{Ext}^*A$  is isomorphic to the symmetric algebra on  $\operatorname{Ext}^1A[p^j]$ .

Set  $A = K(n)_0G$ . The  $E_2$ -page of the relevant Eilenberg-Moore spectral sequence is given by

Cite me: Proof of 2.4.11 from Hopkins–Lurie.

$$\operatorname{Ext}_{A}^{*} \otimes K_{\Gamma}^{*} \Rightarrow K_{\Gamma}^{*}BG.$$

Since Spf  $A^{\vee}$  is p-divisible, we have an exact sequence of Hopf algebras

$$k \to A[p] \to A \xrightarrow{[p]} A \to k.$$

It's supposed to follow that

$$\dim_k \operatorname{Ext}_{A[p]}^1 = \binom{n}{m-1} - \binom{n-1}{m-1} = \binom{n-1}{m},$$

using  $\operatorname{ht}(A) = \binom{n}{m-1}$ ,  $\operatorname{dim}(A) = \binom{n-1}{m-1}$ , and  $\operatorname{dim}_k \operatorname{Ext}_A^1 = \operatorname{dim} DM(A) / F \cdot DM(A)$  (cf. Remark 2.2.4). It follows from the Example above that the  $E_2$ -page of this spectral sequence is a polynomial k-algebra on  $\binom{n-1}{m-1}$  generators, concentrated in even degrees, so that the spectral sequence collapses. In turn, it follows that  $K(n)^0 BG$  is a power series algebra on as many generators.

Cite me: Proof of 2.4.12 from Hopkins–Lurie.

We could even

provide a quick proof of the stable

alculation? Cf. http://mathover

at-the-johnsonwilson-spectrumNow we have to identify the Hopf algebra structure on this (as an alternating power).

Maybe the right thing to do here is to postpone the proof of 2.4.12 until tomorrow, when we introduce Dieudonné theory? It looks pretty heavy, and it's definitely Dieudonné-y.

Remark 4.7.6.

There's also Chapter 3 of Hopkins–Lurie, which constructs an alternating power group scheme without passing through Dieudonné modules. It should at least be mentioned.

Remark 4.7.7. You'll notice that in  $K_*\underline{H}_{q+1}$  if we let the q-index tend to  $\infty$ , we get the K-homology of a point. This is another way to see that the stable cooperations  $K_*H$  vanish, meaning that the *only* information present comes from unstable cooperations.

Remark 4.7.8. Since everything in sight is even, you also get a calculation of the E-theory for free. In fact, as Hopf algebras,

net/a/99211/1094

$$E_{\Gamma}\underline{H}(\mathbb{Q}/\mathbb{Z})_q \simeq \operatorname{Alt}^q E_{\Gamma}\underline{H}(\mathbb{Q}/\mathbb{Z})_1.$$

*Remark* 4.7.9. Also mention the base–change type formula: as an abelian group, a positive characteristic field k splits as a wedge of  $C_p$ s, so

$$K_*\underline{H}\underline{k}_* = K_*\underline{H}\underline{\mathbb{F}}_{p_*} \otimes_{\underline{\mathbb{F}}_p} k.$$

Maybe talk about some consequences: the Hopkins–Ravenel–Wilson results on finite Postnikov towers and so on?

### Things that belong in this chapter

Theorem 6.1 of R–W *The Hopf ring for complex bordism* sounds like something related to Quillen's elementary proof.

There's also a document by Boardman, Johnson, and Wilson (Chapter 2 of the *Hand-book of Algebraic Topology*) that discusses an equivalence between Steve's approach and "unstable comodules". Please read this.

I was thinking that this would give a counterexample to the idea that the additive unstable cooperations always present the functions on the scheme of homomorphisms, but this example may actually work too. I'm not sure, but I think counting the ranks of  $Q^*K(d)_*H\mathbb{Z}/p_*$  and

FormalGps( $\Gamma_d$ ,  $G_t$  (using Dieudonn theory, or using Callan's tangent space trick) gives the same number

# Case Study 5

# The $\sigma$ -orientation

Write an introduction for me. Use unstable cooperations from Morava's theories to classical complex and real K-theory.

Part of the theme of this chapter should be to use the homomorphism from topological vector bundles to algebraic line bundles — Neil's L construction — as inspiration for what to do, given suitable algebraic background.

## 5.1 Apr 8: Coalgebraic formal schemes

**Definition 5.1.1.** The scheme associated to a coalgebra *C* over a field *k* is defined by the formula

$$(\operatorname{Sch} C)(T) = \left\{ f \in C \otimes T \middle| \begin{array}{c} \Delta f = f \otimes f \in (C \otimes T) \otimes_T (C \otimes T), \\ \varepsilon u = 1 \end{array} \right\}.$$

**Lemma 5.1.2.** *If* A *is a* k-algebra that is finite-dimensional as a k-module, then Spec  $A \cong Sch A^*$ .

**Lemma 5.1.3.** For C a coalgebra over a field k, any finite–dimensional k–linear subspace of C can be finitely enlarged to a subcoalgebra of C. Accordingly, taking the colimit gives a canonical equivalence

$$\operatorname{Ind}(\operatorname{Coalgebras}_k^{\operatorname{fin}}) \xrightarrow{\simeq} \operatorname{Coalgebras}_k. \quad \square$$

This is supposed to indicate that coalgebras want to model formal schemes.

**Corollary 5.1.4.** For C a coalgebra over a field k expressed as a colimit  $C = \operatorname{colim}_k C_k$  of finite subcoalgebras, there is an equivalence

$$\operatorname{Sch} C \cong \{\operatorname{Spec} C_k^*\}_k.$$

This induces an equivalence of categories

$$Coalgebras_k \cong FormalSchemes_{Spec k}$$
.

Do you also need to compare the (Cartesian) monoidal structures?

This covariant algebraic model for formal schemes is very useful. For instance, this equivalence makes the following calculation trivial:

Describe the diagonal map on this guy.

**Lemma 5.1.5.** *Select a coalgebra C over a field k together with a pointing k*  $\rightarrow$  *C. Write M for the coideal M* = C/k. *The free formal group on the pointed formal scheme* Sch  $k \rightarrow$  Sch C *is given by* 

$$F(\operatorname{Sch} k \to \operatorname{Sch} C) = \operatorname{Sch} \operatorname{Sym}_k M.$$

It is unfortunate, then, that when working over an object more general than a field Lemma 5.1.3 fails. Nonetheless, it is possible to bake into the definitions the machinery needed to get an analogue of Corollary 5.1.4.

**Definition 5.1.6.** Let C be an R-coalgebra which is free as an R-module. A basis  $\{x_j\}$  of C is said to be a *good basis* when any finite subcollection of  $\{x_j\}$  can be finitely enlarged to a subcollection that spans a subcoalgebra. The coalgebra C is itself said to be *good* when it admits a good basis. A formal scheme X is said to be *coalgebraic* when it is isomorphic to Sch C for a good coalgebra C.

**Theorem 5.1.7** ([33, Proposition 4.64]). Suppose that  $F: I \to Coalgebras_R$  is a colimit diagram of coalgebras such that each object in the diagram is a good algebra. Then

 $Sch \circ F \colon I \to FormalSchemes$ 

is also a colimit diagram.  $\Box$ Corollary 5.1.8. For X a coalgebraic formal scheme,  $X_{\Sigma_n}^{\times n}$  exists.  $\Box$ 

Prop 6.4 (of Section 6.1 of FSFG): The coproduct  $\coprod_{n\geq 0} X_{\Sigma_n}^{\times n}$  models the free formal monoid

Section 6.2 of FSFG: Free formal groups and how the free pointed monoid on a formal curve is automatically group-complete

Use this to construct the various stable divisor schemes and verify the freeness assertions about them (i.e., compare with the homology of BU)

Cartier duality

Maybe build the ring  $C_*(\widehat{\mathbb{G}})$ ? Or at least indicate that it's possible?

## 5.2 Apr 11: Special divisors and MSU-orientations

Starting today, after our extended interludes on chromatic homotopy theory and cooperations, we are going to return to thinking about bordism orientations directly. To start, we will recall the various perspectives adopted in Case Study 2 when we were studying complex-orientations.

- A complex-orientation of *E* is, definitionally, a map *MUP* → *E* of ring spectra in the homotopy category.
- Such a map is equivalent to specifying a multiplicative system of Thom isomorphisms for complex vector bundles. Using the splitting principle, it suffices to consider just complex line bundles, and just the universal line bundle  $\mathcal{L}$  over  $\mathbb{C}P^{\infty}$  at that. We can phrase what we want algebro-geometrically: a Thom isomorphism is the data of a trivialization of the Thom sheaf  $\mathbb{L}(\mathcal{L})$  over  $\mathbb{C}P_F^{\infty}$ .
- Because  $E_0MU$  is a free  $E_0$ -module, ring spectrum maps  $MUP \to E$  biject with  $E_0$ algebra maps  $E_0MUP \to E_0$ . This, too, can be phrased algebro-geometrically: these
  are elements of (Spec  $E_0MUP$ )( $E_0$ ).

We can summarize our main result about these as follows:

Theorem 5.2.1 ([2, Example 2.53]). The functor

 $(\operatorname{Spec} T \xrightarrow{u} \operatorname{Spec} E_0) \mapsto \{\operatorname{trivializations} \operatorname{of} u^* \mathbb{L}(\mathcal{L}) \operatorname{over} u^* \mathbb{C} \operatorname{P}_E^{\infty}\}$ 

is isomorphic to the affine scheme Spec  $E_0MUP$ . Moreover, the  $E_0$ -points of this scheme biject with ring spectrum maps  $MUP \rightarrow E$ .

An analogous result holds for ring spectrum maps  $MU \to E$  and the line bundle  $\mathcal{L}-1$ , and it again is easiest expressed by an extension of the splitting principle. Specifically, given finite complex X and a rank 0 virtual vector bundle over it classified by a map

$$\tilde{V} \colon X \to BU$$

there exists an integer n so that  $\tilde{V} = V - n \cdot 1$  for an honest rank n vector bundle V over X. Using Corollary 2.3.9, the splitting  $f^*V \cong \bigoplus_{i=1}^n \mathcal{L}_i$  over Y also gives an *internal splitting* of  $\tilde{V}$  as

$$\tilde{V} = V - n \cdot 1 = \bigoplus_{i=1}^{n} (\mathcal{L}_i - 1),$$

where each bundle  $\mathcal{L}_i - 1$  naturally has the structure of a rank 0 virtual vector bundle, and the sum is taken internally to BU. This begets the following extension of the previous result:

**Theorem 5.2.2** ([2, Example 2.54]). *The functor* 

 $\{\operatorname{Spec} T \xrightarrow{u} \operatorname{Spec} E_0\} \to \{\operatorname{trivializations} \operatorname{of} u^* \mathbb{L}(\mathcal{L}-1) \operatorname{over} u^* \mathbb{C} P_E^{\infty}\}$ 

is isomorphic to the affine scheme Spec  $E_0MU$ . Moreover, the  $E_0$ -points of this scheme biject with ring spectrum maps  $MU \to E$ .

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dle  $\mathbb{L}(\mathcal{L}-1)$  as  $\omega \otimes \mathcal{I}(0)^{-1}$ , we can think of sections as elements of  $E^0T(\mathcal{L}-1\to \mathbb{C}P^\infty)$  which restrict to the identity under the inclusion

 $S^0 \to P^{\mathcal{L}-1}$ 

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AHS blame this on an Atiyah– Hirzebruch spectral sequence, but I'm still not sure I believe this.

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The special unitary group *SU* is explicit enough that orientations with source *MSU* can be fully understood along similar lines. Our jumping off point for that story will be, again, an extension of the splitting principle.

**Lemma 5.2.3.** Let X be a finite complex, and let  $\tilde{V}: X \to BU$  classify a virtual vector bundle of rank 0 over X. Select a factorization  $\tilde{V}: X \to BSU$  of  $\tilde{V}$  through BSU. Then, there is a space  $f: Y \to X$  over X and a collection of line bundles  $\mathcal{H}_i$  expressing a BSU-internal decomposition

Identify the restriction orientation  $MU \rightarrow MUP \rightarrow E$  Make absolutely clear that a section f is sent to the normalized object f'(0)/f.

$$\tilde{\tilde{V}} = -\bigoplus_{j=1}^{n} (\mathcal{H}_{j} - 1)(\mathcal{H}'_{j} - 1).$$

*Proof.* Begin by using Corollary 2.3.9 on V to get an equality of BU-bundles

$$\tilde{\tilde{V}} = V' + \mathcal{L}_1 + \mathcal{L}_2 - n \cdot 1.$$

Adding  $(\mathcal{L}_1 - 1)(\mathcal{L}_2 - 1)$  to both sides, this gives

$$\tilde{\tilde{V}} + (\mathcal{L}_1 - 1)(\mathcal{L}_2 - 1) = V' + \mathcal{L}_1 + \mathcal{L}_2 + (\mathcal{L}_1 - 1)(\mathcal{L}_2 - 1) - n \cdot 1 
= V' + \mathcal{L}_1 \mathcal{L}_2 - (n - 1) \cdot 1.$$

By thinking of  $(\mathcal{L}_j - 1)$  as an element of  $kU^2(Y) = \operatorname{Spaces}(Y, BU)$ , we see that  $(\mathcal{L}_1 - 1)(\mathcal{L}_2 - 1)$  has the natural structure of a BSU-bundle and hence so does the sum on the left-hand side<sup>1</sup>. The right-hand side is the rank 0 virtualization of a rank (n-1) vector bundle, hence succumbs to induction. Finally, because SU(1) is the trivial group, there are no nontrivial complex line bundles with structure group SU(1), grounding the induction.

**Corollary 5.2.4.** Ring spectrum maps  $MSU \rightarrow E$  biject with trivializations of

$$\mathbb{L}((\mathcal{L}_1 - 1)(\mathcal{L}_2 - 1)) \downarrow (\mathbb{C}P^{\infty})_E^{\times 2}. \quad \Box$$

If we can show that  $E_0BSU$  is free as an  $E_0$ -module, then this will complete the BSU-analogue of Theorems 5.2.1 and 5.2.2. This is quite easy, following directly from the Serre spectral sequence:

Lemma 5.2.5 ([3, Lemma 6.1]). The Postnikov fibration

$$BSU \to BU \xrightarrow{B \det} BU(1)$$

induces a short exact sequence of Hopf algebras

$$E^0BSU \leftarrow E^0BU \stackrel{c_1 \leftarrow c_1}{\longleftarrow} E^0BU(1). \quad \Box$$

<sup>&</sup>lt;sup>1</sup>Really, we are using the Hopf ring o−product.

#### Corollary 5.2.6. The functor

$$\{\operatorname{Spec} T \xrightarrow{u} \operatorname{Spec} E_0\} \to \{\operatorname{trivializations} \operatorname{of} u^*\mathbb{L}((\mathcal{L}_1 - 1)(\mathcal{L}_2 - 1)) \operatorname{over} u^*\mathbb{C}P_E^{\infty}\}$$

is isomorphic to the affine scheme Spec  $E_0MSU$ . Moreover, the  $E_0$ -points of this scheme biject with ring spectrum maps  $MSU \rightarrow E$ .

However, the use of Lemma 5.2.5 inspires us to spend a moment longer with the associated formal schemes. An equivalent statement is that there is a short exact sequence of formal group schemes

$$BSU_E \longrightarrow BU_E \xrightarrow{B \text{ det}} BU(1)$$

$$\parallel \qquad \qquad \parallel \qquad \qquad \parallel$$

$$SDiv_0 \mathbb{C}P_E^{\infty} \longrightarrow Div_0 \mathbb{C}P_E^{\infty} \xrightarrow{\text{sum}} \mathbb{C}P_E^{\infty},$$

where the scheme "SDiv $_0$   $\mathbb{C}\mathrm{P}^\infty$ " of "special divisors" consists of those divisors which vanish under the summation map. However, where the comparison map  $BU(1)_E \to BU_E$  has a universal property — it presents  $BU_E$  as the universal formal group on the pointed curve  $BU(1)_E$  — the description of  $BSU_E$  as a scheme of special divisors does not bear much immediate resemblance to a free object on the special divisor ([a]-[0])([b]-[0]) classified by

$$(\mathbb{C}\mathrm{P}^{\infty})_{E}^{\times 2} \xrightarrow{(\mathcal{L}_{1}-1)(\mathcal{L}_{2}-1)_{E}} BSU_{E} \to BU_{E} = \mathrm{Div}_{0}\,\mathbb{C}\mathrm{P}_{E}^{\infty}.$$

We will spend the rest of the day straightening this point out. Construct  $C_2\widehat{\mathbb{G}}$ .

$$\varphi_n \colon \widehat{\mathbb{G}}^{\times n} / \Sigma_n \to C_2(\widehat{\mathbb{G}}),$$

$$\sum_{i=1}^n [a_i] \mapsto \sum_{i=1}^n \left[ \sum_{j=1}^i a_j, a_i \right].$$

The map  $\varphi_n$  is invariant under  $\Sigma_n$  and extends as  $n \to \infty$ . It's almost a homomorphism:

$$\varphi_{n+m}(\underline{a},\underline{b}) = \varphi_n(\underline{a}) + \varphi_m(\underline{b}) + [\sigma(a),\sigma(b)].$$

It's also cancellative, if you set

$$\varphi_{n,m}(\underline{a},\underline{b}) = \varphi_n(\underline{a}) - \varphi_m(\underline{b}) - [\sigma(a),\sigma(b)] + [\sigma(b),\sigma(b)]$$

so that

$$\varphi_{n+k,m+k}((\underline{a},\underline{c}),(\underline{b},\underline{c})) = \varphi_{n,m}(\underline{a},\underline{b}).$$

Cite me: Lemma

Cite me: Lemn 2.11.

Cite me: Proposition 2.13.

This means that it extends to a *map* off of  $C_1(\widehat{\mathbb{G}})$ , and it becomes a homomorphism to  $C_2(\widehat{\mathbb{G}})$  when restricted to  $\ker(\sigma)$ . The main claim<u>is that this gives an inverse to  $\delta \colon C_2(\widehat{\mathbb{G}}) \to \ker(\sigma) \subseteq C_1(\widehat{\mathbb{G}})$ .</u>

Note that the Cartier dual object  $C^2(\widehat{\mathbb{G}}, \mathbb{G}_m)$  is much easier to build: given a coordinate on  $\widehat{\mathbb{G}}$ , this has an obvious affine presentation.

Identify the Thom sheaf of the universal bundle on BSU as  $C^2(\widehat{\mathbb{G}}; \mathcal{I}(0))$ , together with its  $C^2(\widehat{\mathbb{G}}, \mathbb{G}_m)$ —torsor structure, and note that this automatically promotes the classifying map  $MSU^E \to C^2(\widehat{\mathbb{G}}; \mathcal{I}(0))$  to an isomorphism.

Identify the restriction orientation  $MSU \to MU \xrightarrow{s} E$  as  $\delta(s_E)$ 

Is it possible to give an example of a complex-oriented theory which receives an MSU orientation which does not factor the complex orientation but does (must, really) factor the unit? Even if one can find an example of this, I think it will be somewhat artificial: the sequence of group schemes

$$0 \to BSU_E \to BU_E \to BU(1)_E \to 0$$

is short exact, and it has a splitting on the level of formal schemes. The splitting is what gives you the isomorphism on points  $BSU_E(T) \times BU(1)_E(T) \cong BU_E(T)$ . On the other hand, because the splitting isn't a map of formal groups, it doesn't survive to the Cartier dual short exact sequence

$$0 \leftarrow BSU^E \leftarrow BU^E \leftarrow BU(1)^E \leftarrow 0,$$

so this will come down to exhibiting a test ring  $T = E_*$  for which  $BU^E(T) \to BSU^E(T)$ , despite being induced by an fppf-surjective map of sheaves, is not surjective on sections over T. Of course, this comes down concretely to solving for a preimage under the map

$$1 - g(x) \mapsto \frac{1 - g(x + \widehat{g}y)}{(1 - g(x))(1 - g(y))},$$

which is more plodding but might offer insight into what sort of ring (and thus orientation) you're looking for.

**Definition 5.2.7.** Let X be a group object in Spaces or Spectra so that  $E_*X$  is concentrated in even degrees. We define

$$X^E := \operatorname{Spec} E_* X.$$

This construction is contravariantly functorial in the choice *X*.

Relationship between  $X^E$  and  $X_E$  for a nice H-space X: linear duality and Cartier duality

 $E_*BU$  is the symmetric algebra on  $E_*\mathbb{CP}^\infty$  and  $E_*(BU \times \mathbb{Z})$  is that tensored with the group ring on  $\mathbb{Z}$ , i.e., with an extra invertible generator. A coordinate is a map  $\widehat{\mathbb{G}}_E \to \widehat{\mathbb{A}}^1$  which is an isomorphism of formal varieties, but not anything special w/r/t the group structure of  $\widehat{\mathbb{G}}_E$ , but  $\widehat{\mathbb{A}}^1$  is also isomorphic to  $\widehat{\mathbb{G}}_a$ , and so it induces a map  $\mathrm{Div}\,\widehat{\mathbb{G}}_E \to \widehat{\mathbb{A}}^1$  off of the free object.

A line bundle is a  $\mathbb{G}_m$ -torsor, btw. If you use  $\mathcal{O}_{\widehat{\mathbb{G}}}$  as the line bundle, all these things should degenerate to the usual ones. And, so, if you have a trivializable bundle, a trivialization of  $\mathcal{L}$  should induce a comparison of the  $\mathcal{L}$ -twisted thing with the untwisted thing.

The map  $\rho_k: P^k \to BU\langle 2k \rangle$  pulls back the tautological bundle over  $BU\langle 2k \rangle$  to V, the external tensor product of the reduced tautological bundles. Passing to Thom spectra gives a map  $s_k: (P^k)^V \to MU\langle 2k \rangle$ , and since  $\mathbb{L}(()\mathcal{L}) = \mathcal{I}(0)$  we get  $\mathbb{L}(V) = \Theta^k(\mathcal{I}(0))$  on  $P_E^k$ . The map  $s_k$  is supposed to give a section of the pullback of  $\Theta^k(\mathcal{I}(0))$  along the

I'm not sure this is sufficient. Possibly  $H_*X$  needs to be even too.

As recently pointed out on ALGTOP-L, there's not actually a category of *H*–spaces, so maybe "functorial" is not a good word here.

Cite me: This is Section 2.4.5 of the published AHS. projection  $MU\langle 2k\rangle^E \to S_E$ . More than that,  $s_k$  is a  $\Theta^k$ -structure, since you can check those cocycle identities on V itself, and so there's a classifying map

$$MU\langle 2k\rangle^E \to C^k(\mathbb{C}\mathrm{P}_E^\infty;\mathcal{I}(0)).$$

Since we calculated  $BU\langle 2k\rangle^E\cong C^k(\mathbb{C}\mathrm{P}_E^\infty,\mathbb{G}_m)$ , we know that  $C^k(\mathbb{C}\mathrm{P}_E^\infty;\mathcal{I}(0))$  is a torsor for the group scheme  $BU\langle 2k\rangle^E$ . So, we just need to check that the displaymode map is a map of torsors. (Along the way, we should be able to check that the natural map  $MU\langle 2k+2\rangle \to MU\langle 2k\rangle$  induces the map  $C^k(\mathbb{C}\mathrm{P}_E^\infty;\mathcal{I}(0)) \to C^{k+1}(\mathbb{C}\mathrm{P}_E^\infty;\mathcal{I}(0))$ .) Matt's proof of this looks kind of vacuous, but I guess a key step is looking at the V situation:

Cite me: Theorem 2.50 of published AHS.

$$T(V \to (\mathbb{C}\mathrm{P}^{\infty})^{k}) \xrightarrow{\Delta} T(V \to (\mathbb{C}\mathrm{P}^{\infty})^{k}) \wedge (\mathbb{C}\mathrm{P}^{\infty})^{k}_{+}$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow$$

$$MU\langle 2k\rangle \xrightarrow{\Delta} MU\langle 2k\rangle \wedge BU\langle 2k\rangle_{+}.$$

# 5.3 Apr 13: Elliptic curves and $\theta$ -functions

Today will constitute something of a résumé on elliptic curves. We'll hardly prove anything, and we also won't cover many topics that a sane introduction to elliptic curves would make a point to cover. Instead, we'll try to restrict attention to those concepts which will be of immediate use to us in the coming couple of lectures.

and it  $\begin{bmatrix} t_a \\ a \end{bmatrix}$ 

To begin with, recall that an elliptic curve in the complex setting is a torus, and it admits a presentation by selecting a lattice  $\Lambda$  of full rank in  $\mathbb{C}$  and forming the quotient

$$\mathbb{C} \xrightarrow{\pi_{\Lambda}} E_{\Lambda} = \mathbb{C}/\Lambda.$$

The meromorphic functions f on  $E_{\Lambda}$  pull back to give meromorphic functions  $\pi_{\Lambda}^* f$  on  $\mathbb{C}$  satisfying a periodicity constraint in the form of the functional equation

$$\pi_{\Lambda}^* f(z + \Lambda) = \pi_{\Lambda}^* f(z).$$

From this, it follows that there are no holomorphic such functions, save the constants — such a function would be bounded, and Liouville's theorem would apply. It is, however, possible to build the following meromorphic special function, which has poles of order 2 at the lattice points:

$$\wp_{\Lambda}(z) = \frac{1}{z^2} + \sum_{\omega \in \Lambda \setminus \{0\}} \frac{1}{(z-\omega)^2} - \frac{1}{\omega^2}.$$

Its derivative is also a meromorphic function satisfying the periodicity constraint:

$$\wp'_{\Lambda}(z) = -2 \sum_{\omega \in \Lambda} \frac{1}{(z - \omega)^3}.$$

I think it's helpful to draw a picture here of an annulus with some identification made. In fact, these two functions generate all other meromorphic functions on  $E_{\Lambda}$ , in the sense that the subsheaf spanned by the algebra generators  $\wp_{\Lambda}$  and  $\wp'_{\Lambda}$  is exactly  $\pi^*_{\Lambda}\mathcal{M}_{E_{\Lambda}}$ . This algebra is subject to the following relation, in the form of a differential equation:

$$\wp'_{\Lambda}(z)^2 = 4\wp_{\Lambda}(z)^3 - g_2(\Lambda)\wp_{\Lambda}(z) - g_3(\Lambda),$$

for some special values  $g_2(\Lambda)$  and  $g_3(\Lambda)$ . Accordingly, writing  $C \subseteq \mathbb{C}P^2$  for the projective curve  $wy^2 = 4x^3 - g_2(\Lambda)w^2x - g_3(\Lambda)w^3$ , there is an analytic group isomorphism

$$E_{\Lambda} \to C$$
,  $z \pmod{\Lambda} \mapsto (1, \wp_{\Lambda}(z), \wp'_{\Lambda}(z)).$ 

This is sometimes referred to as the Weierstrass presentation of  $E_{\Lambda}$ .

There is a second standard embedding of a complex elliptic curve into projective space, using  $\theta$ –functions, which are most naturally expressed multiplicatively. To begin, select a lattice  $\Lambda$  and a basis for it, and rescale the lattice so that the basis takes the form  $\{1,\tau\}$  with  $\tau$  in the upper half-plane. Then, the normalized exponential function  $z\mapsto \exp(2\pi iz)$  has  $1\cdot\mathbb{Z}$  as its kernel, and setting  $q=\exp(2\pi i\tau)$  we get a second presentation of  $E_{\Lambda}$  as  $\mathbb{C}^{\times}/q^{\mathbb{Z}}$ .

The associated  $\theta$ -function is defined by

$$\theta_q(u) = \prod_{m \ge 1} (1 - q^m)(1 + q^{m - \frac{1}{2}}u)(1 + q^{m - \frac{1}{2}}u^{-1}) = \sum_{n \in \mathbb{Z}} u^n q^{\frac{1}{2}n^2}.$$

It vanishes on the set  $\exp(2\pi i(\frac{1}{2}m + \frac{\tau}{2}n))$ , the center of the fundamental annulus. However, since it has no poles it cannot descend to give a function on  $\mathbb{C}^{\times}/q^{\mathbb{Z}}$ . A different obstruction to this descent is its imperfect periodicity relation:

$$\theta_q(qu) = u^{-1}q^{\frac{-1}{2}}\theta_q(u).$$

We can also shift the zero-set of  $\theta_q$  by rational rescalings a of q and b of 1:

$$\theta_q^{a,b}(z) = q^{\frac{a^2}{2}} \cdot u^a \cdot \exp(2\pi i a b) \theta_q(u q^a \exp(2\pi i b)).$$

Remark 5.3.1 ([14, Proposition 10.2.6]). For any N>0, define  $V_{\tau}[N]$  to be the space of entire functions f with f(z+N)=f(z) and  $f(z+\tau)=e^{-2\pi iNz-\pi iN^2\tau}f(z)$ . Then,  $V_{\tau}[N]$  has C-dimension  $N^2$ , and the functions  $\theta_{\tau}^{a,b}$  give a basis by picking representatives  $(a_i,b_i)$  of the classes in  $((1/N)\mathbb{Z}/\mathbb{Z})^2$ .

Even though these functions do not themselves descend to  $\mathbb{C}^{\times}/q^{\mathbb{Z}}$ , we can collectively use them to construct a map to complex projective space, where the quasi-periodicity relations will mutually cancel in homogeneous coordinates.

**Theorem 5.3.2** ([14, Proposition 10.3.2]). Consider the map

$$\mathbb{C}/N(\Lambda) \xrightarrow{f_{(N)}} \mathbb{P}^{N^2-1}(\mathbb{C}),$$

$$z \mapsto [\cdots : \theta_{\tau}^{i/N,j/N}(z) : \cdots].$$

For N > 1, this map is an embedding.

*Example* 5.3.3. One can work out how it goes for N=2, which will cause some of our pesky  $\frac{1}{2}$ 's to cancel. The four functions there are  $\theta_q^{0,0}$  with zeroes on  $\Lambda+\frac{\tau+1}{2}$ ,  $\theta_q^{0,1/2}$  with zeroes on  $\Lambda+\frac{\tau}{2}$ ,  $\theta_q^{1/2,0}$  with zeroes on  $\Lambda+\frac{1}{2}$ , and  $\theta_q^{1/2,1/2}$  with zeroes on  $\Lambda$  exactly. The image of  $f_{(2)}$  in  $\mathbb{P}^{2^2-1}(\mathbb{C})$  is cut out by the equations

$$A^2x_0^2 = B^2x_1^2 + C^2x_2^2$$
,  $A^2x_3^2 = C^2x_1^2 - B^2x_2^2$ 

where

$$x_0 = \theta_{\tau}^{0,0}(2z), \qquad x_1 = \theta_{\tau}^{0,1/2}(2z), \qquad x_2 = \theta_{\tau}^{1/2,0}(2z), \qquad x_3 = \theta_{\tau}^{1/2,1/2}(2z)$$

and

$$A = \theta_{\tau}^{0,0}(0) = \sum_{n} q^{n^2}, \quad B = \theta_{\tau}^{0,1/2}(0) = \sum_{n} (-1)^n q^{n^2}, \quad C = \theta_{\tau}^{1/2,0}(0) = \sum_{n} q^{(n+1/2)^2}$$

upon which there is the additional "Jacobi" relation

$$A^4 = B^4 + C^4.$$

Remark 5.3.4. This embedding of  $E_{\Lambda}$  as an intersection of quadratic hypersurfaces in  $\mathbb{CP}^3$  is quite different from the Weierstrass embedding. Nonetheless, the embeddings are analytically related. Namely, there is an equality

$$\frac{d^2}{dz^2}\log\theta_{\exp 2\pi i\tau}(\exp 2\pi iz) = \wp_{\Lambda}(z).$$

Separately, Weierstrass considered a function  $\sigma_{\Lambda}$ , defined by

$$\sigma_{\Lambda}(z) = z \prod_{\omega \in \Lambda \setminus 0} \left( 1 - \frac{z}{\omega} \right) \cdot \exp \left[ \frac{z}{\omega} + \frac{1}{2} \left( \frac{z}{\omega} \right)^2 \right],$$

which also has the property that its second logarithmic derivative is  $\wp$  and so is "basically  $\theta_q^{1/2,1/2}$ ". In fact, any elliptic function can be written in the form

$$c \cdot \prod_{i=1}^{n} \frac{\sigma_{\Lambda}(z-a_i)}{\sigma_{\Lambda}(z-b_i)}.$$

The  $\theta$ -functions version of the story has two main successes. One is that there is a version of this story for an arbitrary abelian variety. It turns out that all abelian varieties are projective, and the theorem sitting at the heart of this claim is

Cite me: Milne's abelian varieties,

Cite me: Milne Abelian Varieties chapter, Corollar 6.4 and Theorem 7.1.

**Corollary 5.3.5** (Theorem of the cube). Let A be an abelian variety, let  $p_i : A \times A \times A \to A$  be the projection onto the  $i^{th}$  factor, and let  $p_{ij} = p_i +_A p_j$ ,  $p_{ijk} = p_i +_A p_j +_A p_k$ . Then for any invertible sheaf  $\mathcal{L}$  on A, the sheaf

$$\Theta^{3}(\mathcal{L}) := \frac{p_{123}^{*}\mathcal{L} \otimes p_{1}^{*}\mathcal{L} \otimes p_{2}^{*}\mathcal{L} \otimes p_{3}^{*}\mathcal{L}}{p_{12}^{*}\mathcal{L} \otimes p_{23}^{*}\mathcal{L} \otimes p_{31}^{*}\mathcal{L}} = \bigotimes_{I \subseteq \{1,2,3\}} (p_{I}^{*}\mathcal{L})^{(-1)^{|I|-1}}$$

on  $A \times A \times A$  is trivial. If  $\mathcal{L}$  is rigid, then  $\Theta^3(\mathcal{L})$  is canonically trivialized by a section  $s(A; \mathcal{L})$ .

However, the proof of projectivity arising from this method rests on choosing an ample line bundle on A and using some generating global sections to embed into  $\mathbb{P}(\mathcal{L}^{\oplus n})$ . Mumford showed that a choice of " $\theta$ -structure" on A, which is only slightly more data given the terms of Heisenberg representations, gives a canonical identification of  $\mathbb{P}(\mathcal{L}^{\oplus n})$  with a fixed projective space. Separately, Breenshowed that if  $\mathcal{L}$  is a line bundle on A with a chosen trivialization of  $\Theta^3\mathcal{L}$  and  $\pi\colon A'\to A$  is an epimorphism that trivializes  $\mathcal{L}$ , then one can also associate to this a theory of  $\theta$ -functions.

one can also associate to this a theory of  $\theta$ -functions.

An important piece of both of these stories is the  $e_n$ -pairing associated to such a bundle. Given a section u of  $\Theta^3(\mathcal{O}_C)$ , we define

$$e_n(g,h) = \prod_{j=1}^{n-1} \frac{u(g,jg,h)}{u(g,jh,h)}.$$

It is immediate that  $e_n(g,g) = 1$  and  $e_n(g,h) = e_n(h,g)^{-1}$ , and properties of u further show that

$$e_n(g_1 +_{\widehat{G}} g_2, h) = e_n(g_1, h)e_n(g_2, h) \frac{u(ng_1, ng_2, h)}{u(g_1, g_2, nh)},$$
  

$$e_n(g, h_1 +_{\widehat{G}} h_2) = e_n(g, h_1)e_n(g, h_2) \frac{u(ng, h_1, h_2)}{u(g, nh_1, nh_2)}.$$

In particular, when  $e_n$  is restricted to C[n], this map becomes biexponential. In the case of an elliptic curve, this lines up with more traditional definitions — for instance, using the isogeny  $n: C \to C$ . In the case of a *complex* elliptic curve  $\mathbb{C}/(1,\tau)$ , this degenerates further to the assignment

$$\left(\frac{a}{n}, \frac{b}{n}\tau\right) \mapsto \exp\left(-2\pi i \frac{ab}{n}\right).$$

think.

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I wish I knew how to motivate this.

o motivate this.

Cite me: AS Prop 3.3, Lemma 3.7. Cite me: This part of the story is cribbed from AHS Section 2.6.

The other success of the  $\theta$ -functions story is that it gives access to a small piece of the compactified moduli of elliptic curves,  $\overline{\mathcal{M}_{ell}}$ , called the Tate curve. In this parametrized setting, define D' to be the punctured complex disk, and set

$$C'_{\rm an} = \mathbb{C}^{\times} \times D'/(u,q) \sim (qu,q).$$

The fiber of C' over a particular point  $q \in D'$  is the curve  $\mathbb{C}^{\times}/q^{\mathbb{Z}}$  considered above, and  $\theta$  determines a holomorphic function on the total space  $\mathbb{C}^{\times} \times D'$ . The Weierstrass embeddings discussed above give an embedding of  $C'_{an}$  into  $D' \times \mathbb{C}P^2$  described by

$$wy^2 + wxy = x^3 - 5\alpha_3w^2x + -\frac{5\alpha_3 + 7\alpha_5}{12}w^3$$

for certain functions  $\alpha_3$  and  $\alpha_5$  of q. At q=0, these curve collapses to the twisted cubic

$$wy^2 + wxy = x^3,$$

and over the whole open unit disc D we call this extended family  $C_{an}$ .

Now let  $A \subseteq \mathbb{Z}[\![q]\!]$  by the subring of power series which converge absolutely on the open unit disk. It turns out that the coefficients of the Weierstrass cubic (i.e.,  $5\alpha_3$  and  $\frac{1}{12}(5\alpha_3+7\alpha_5)$ ) lie in A, so it determines a generalized elliptic curve C over Spec A, and  $C_{\rm an}$  is the curve given by base-change from A to the ring of holomorphic functions on D. The Tate curve  $C_{\rm Tate}$  is defined to be the "generalized elliptic curve" over the intermediate object  $D_{\rm Tate} = \operatorname{Spec} \mathbb{Z}[\![q]\!]$  as base-changed from A. "Generalized" here means that the fiber over q = 0 is not an elliptic curve — but on its smooth locus it still carries the structure of a group scheme, and so one can still make sense of the associated formal group.

There are three natural coordinates available to us on  $\widehat{C}'_{an}$ :

$$t = x/y$$
,  $\theta_a^{1/2,1/2}(u) = \theta(t)$ ,  $1 - u = 1 - u(t)$ .

Of these, only t gives an algebraic coordinate on  $C'_{an}$  (and in fact on  $C_{an}$ ). The others expand as power series in t to

$$\theta(t) = t + O(t^2),$$
  $1 - u(t) = t + O(t^2).$ 

The coefficients of the powers of t in these series are holomorphic functions on the punctured disk D'. In fact, they extend to D and have integer coefficients. Thus  $\theta(t)$  and u(t) actually lie in A[t], so give functions on  $\widehat{C}$  and  $\widehat{C}_{Tate}$ .

Now, although  $\theta_q^{1/2,1/2}$  does not give a section of  $\mathcal{I}(0)$  on  $C_{\rm an}^3$ , it does descend to trivialize  $\Theta^3\mathcal{I}(0)$ . Then, since meromorphic sections of  $\Theta^3\mathcal{I}(0)$  on  $C^3$  inject into such over  $C_{an}^3$ , the (transcendental) equation  $s(C_{an}^3) = \delta^3\theta_q^{1/2,1/2}(u)$  nonetheless determines the cubical structure on  $\mathcal{I}(0)$  over C and hence over  $C_{\rm Tate}$  and  $\widehat{C}_{\rm Tate}$  as well — it can be expressed by  $\delta^3\theta(t)$ . It follows, finally, that the cubical structure extended over the compactification D is also  $\delta^3\theta(t)$ , since this function extends to D.

... which you see by working over the completion of  $\mathbb{Z}[u^p m][q]$  at (1-u)

Also, the invariant differential is dx/(2y+x) = du/u?

### 5.4 Apr 15: Unstable chromatic cooperations for kU

We're moving to C\* schemes, so we should include a description of the comparison map between SDiv<sub>0</sub> and C<sub>2</sub>.

Cite me: Lemma

**Lemma 5.4.1.** There is a unique  $\lambda \in \mathbb{Z}/n$  such that the following triangle commutes:

$$(B\mathbb{Z}/p^{j})^{\times 2}$$

$$\downarrow^{\lambda\beta\mu} \xrightarrow{d_{n}(\mathcal{L}_{1},\mathcal{L}_{2})} BU[6,\infty).$$

*Proof.* Recall the definition of  $d_n(\mathcal{L}_1, \mathcal{L}_2)$ :

$$d_n(\mathcal{L}_1, \mathcal{L}_2) := \sum_{k=1}^{p^j-1} \left( (\mathcal{L}_1 - 1)(\mathcal{L}_1^k - 1)(\mathcal{L}_2 - 1) - (\mathcal{L}_1 - 1)(\mathcal{L}_2^k - 1)(\mathcal{L}_2 - 1) \right).$$

Forgetting down to BU and working with just virtual bundles rather than lifts of virtual bundles to elements of  $kU^6$ , this gives:

$$= (\mathcal{L}_1 - 1)(\mathcal{L}_2^{p^j} - 1) - (\mathcal{L}_1^{p^k} - 1)(\mathcal{L}_2 - 1).$$

Since we have restricted to  $(B\mathbb{Z}/p^j)^{\times 2}$ ,  $\mathcal{L}_1^{p^k}=1$  and  $\mathcal{L}_2^{p^k}=1$ , so this formula collapses and the composite map

$$(B\mathbb{Z}/p^j)^{\times 2} \xrightarrow{d_n} BU[6,\infty) \xrightarrow{\pi_2} BSU \xrightarrow{\pi_2} BU$$

is null. We now study the lifting problem across the two maps:

 $\pi_1$ : Since the long composite is null, it follows that the shorter composite  $\pi_2 \circ d_n$  factors through the fiber of  $\pi_2$ . But, the fiber of  $\pi_2$  is  $S^1$ , and there are no maps

$$\{(B\mathbb{Z}/p^j)^{\times 2} \to S^1\} \cong H^1((B\mathbb{Z}/p^j)^{\times 2};\mathbb{Z}) = 0.$$

It follows that  $\pi_2 \circ d_n$  is itself null.

 $\pi_2$ : Since  $\pi_2 \circ d_n$  is null,  $d_n$  must factor through the fiber of  $\pi_2$ , which is  $K(\mathbb{Z},3)$ . With identical motives, one considers  $H^3((B\mathbb{Z}/p^j)^{\times 2};\mathbb{Z})$ , which is cyclic of order n and generated by  $\beta \circ \mu$ .

orem 4.2, proved in Section 5.

**Theorem 5.4.2.** The value  $\lambda$  can be taken to be  $\pm 1$  in Lemma 5.4.1.

**Corollary 5.4.3.** The following square commutes (up to sign):

Cite me: Corollary
4.4 of AS.

$$BU[6,\infty)^{E} \xrightarrow{\gamma^{E}} K(\mathbb{Z},3)^{E}$$

$$\downarrow^{\Pi_{3}} \qquad \qquad \downarrow^{b_{*}}$$

$$C^{3}(\mathbb{C}\mathrm{P}_{E}^{\infty};\mathbb{G}_{m}) \xrightarrow{e} \mathrm{Weil}(\mathbb{C}\mathrm{P}_{E}^{\infty}).$$

*Proof.* We will check compatibility on  $\operatorname{Weil}_n(\mathbb{C}\mathrm{P}_E^\infty)$  for arbitrary n. (Note: the sign can't bounce with n because  $\mathbb{C}\mathrm{P}_E^\infty$  is p-divisible.) Since  $\operatorname{Weil}_n(\mathbb{C}\mathrm{P}_E^\infty)$  is a subscheme of  $\operatorname{\underline{FormalSchemes}}(\mathbb{C}\mathrm{P}_E^\infty[n]^\times)$  we can push forward to checking equality here, i.e., of the two maps

$$\mathbb{C}\mathrm{P}_E^{\infty}[n]^{\times 2} \times BU[6,\infty)^E \to \mathbb{G}_m.$$

The construction of adjoint elements from maps of spectra converts sums to products and is natural in the source spectrum. Writing  $z = \prod_{i=1}^3 (1 - \mathcal{L}_i) \in kU^6(\mathbb{C}\mathrm{P}^\infty)^{\times 3}$ , it follows that the adjoint map  $\hat{z}$  is given by the composite

$$\hat{z}\colon (\mathbb{C}\mathrm{P}_E^{\infty})^{\times 3} \times BU[6,\infty)^E \to (\mathbb{C}\mathrm{P}_E^{\infty})^{\times 3} \times C^3(\mathbb{C}\mathrm{P}_E^{\infty};\mathbb{G}_m) \xrightarrow{\mathrm{eval}} \mathbb{G}_m.$$

It follows by naturality that if  $z=(1-\mathcal{L}_1)(1-\mathcal{L}_1^k)(1-\mathcal{L}_2)\in kU^6(\mathbb{C}\mathrm{P}^\infty)^{\times 2}$ , then  $\hat{z}$  corresponds to the map

$$\hat{z}: (g_1, g_2, f) \mapsto f(g_1, kg_1, g_2),$$

and continuing along these lines we see

$$d_n(\mathcal{L}_1,\mathcal{L}_2)$$
:  $(g_1,g_2,f) \mapsto \prod_{k=1}^{n-1} \frac{f(g_1,kg_1,g_2)}{f(g_1,kg_2,g_2)} = e_n(f)(g_0,g_1).$ 

That described the bottom-left arm of the square. For the other arm, take  $w = \beta \circ \mu \in H^3(B\mathbb{Z}/p^j)^{\times 2}$  with adjoint  $\hat{w} \colon \mathbb{CP}_E^{\infty}[p^j]^{\times 2} \times K(\mathbb{Z},3)^E \to \mathbb{G}_m$ . Naturality shows  $\hat{w} \circ \gamma^E = \widehat{\gamma_* w}$ , and the theorem shows  $\gamma_* w = \pm d_n(\mathcal{L}_1, \mathcal{L}_2)$ , hence  $\widehat{\gamma_* w} = (\pm d_n(\mathcal{L}_1, \mathcal{L}_2))$ , which is adjoint to  $(e_n \Pi_3)^{\pm}$ .

**Lemma 5.4.4** (Lemma 5.2.5 + Cartier duality). *There is a short exact sequence* 

$$BSU^E \leftarrow BU^E \leftarrow (\mathbb{C}P^{\infty})^E$$
.

There's a nice construction of the  $\Pi_k$  maps in the AHS preprint where the " $C_k$ " constructions are performed directly on the spectra, then applying  $(-)_E$  carries those constructions to relevant constructions on group schemes, and finally Cartier duality gives the maps  $\Pi_k$  of the sort described above. This is superior to saying "adjoint", in my opinion, though it could be remarked that these are equivalent.

**Lemma 5.4.5.** The adjoint of the map  $E_0\mathbb{C}\mathrm{P}^\infty\to E_0BU$  induces a map  $\Pi_1:BU^E\to C^1(\mathbb{C}\mathrm{P}_E^\infty;\mathbb{G}_m)$  Cite me: Lemma which is an isomorphism. In fact, the Cartier duality isomorphism  $(\mathbb{C}\mathrm{P}^\infty)^E\cong \mathrm{FormalGroups}(\mathbb{C}\mathrm{P}_E^\infty,\mathbb{G}_m)$  fits into a commuting square

Proof.

Include this.

**Theorem 5.4.6.** *The following square commutes:* 

$$BU^{E} \longrightarrow BSU^{E}$$

$$\downarrow_{\Pi_{1}} \qquad \downarrow_{\Pi_{2}}$$

$$C^{1}(\mathbb{C}\mathrm{P}_{E}^{\infty};\mathbb{G}_{m}) \stackrel{\delta}{\longrightarrow} C^{2}(\mathbb{C}\mathrm{P}_{E}^{\infty};\mathbb{G}_{m}).$$

*Proof.* This is a matter of expanding definitions and using

$$(\mathcal{L}_1 - 1)(\mathcal{L}_2 - 1) = \mu^*(\mathcal{L} - 1) - \pi_1^*(\mathcal{L} - 1) - \pi_2(\mathcal{L} - 1).$$

**Theorem 5.4.7.** *The following is a map of short exact sequences:* 

Proof.

**Lemma 5.4.8.** The same holds as in Theorem 5.4.6 with BSU, BU[6,  $\infty$ ),  $C^2$ , and  $C^3$ .

**Lemma 5.4.9.** The map  $C^2 \to C^3$  is injective for  $\mathbb{C}\mathrm{P}_E^{\infty}$  a p-divisible group.

*Proof.* The kernel of this map consists of maps alternating, biexponential maps  $(\mathbb{C}\mathrm{P}_E^\infty)^{\times 2} \to$  $\mathbb{G}_m$ . We can restrict such a map to get a map

$$f\colon \mathbb{C}\mathrm{P}_E^{\infty}[p^j]\times \mathbb{C}\mathrm{P}_E^{\infty}\to \mathbb{G}_m$$
,

where we can calculate

$$f(x, p^{j}y) = f(p^{j}x, y) = f(0, y) = 1.$$

But since  $p^j$  is surjective on  $\mathbb{C}P_E^{\infty}$ , every point on the right-hand side can be so written, so at every left-hand stage the map is trivial. Finally,  $\mathbb{C}P_E^{\infty} = \operatorname{colim}_j \mathbb{C}P_E^{\infty}[p^j]$ , so this filtration is exhaustive and we conclude that the kernel is trivial.

This is not a typo, we don't get right-exactness yet.

**Lemma 5.4.10.** *In fact, the following sequence is exact* 

$$0 \to C^2(\mathbb{C}\mathrm{P}_F^{\infty}; \mathbb{G}_m) \xrightarrow{\delta} C^3(\mathbb{C}\mathrm{P}_F^{\infty}; \mathbb{G}_m) \to \mathrm{Weil}(\mathbb{C}\mathrm{P}_F^{\infty}).$$

Cite me: Lem 7.3 of AS. **Proof.** This is hard work. Breen's idea is to show that picking a preimage under  $\delta$  is the same as picking a trivialization of the underlying symmetric biextension of the cubical structure. Then (following Mumford), one shows that the underlying symmetric biextension is trivial exactly if the Weil pairing is trivial.

These together culminate in a map of exact sequences with marked isomorphisms:

$$0 \longrightarrow BSU^E \longrightarrow BU[6,\infty)^E \longrightarrow K(\mathbb{Z},3)^E \longrightarrow 0$$

$$\downarrow^{\simeq} \qquad \qquad \downarrow^{\simeq} \qquad \qquad \downarrow^{\simeq}$$

$$0 \longrightarrow C^2(\mathbb{C}P_E^{\infty};\mathbb{G}_m) \longrightarrow C^3(\mathbb{C}P_E^{\infty};\mathbb{G}_m) \longrightarrow Weil(\mathbb{C}P_E^{\infty}).$$

**Corollary 5.4.11.** *The map* 

$$BU[6,\infty)^E \to C^3(\mathbb{C}\mathrm{P}_E^\infty;\mathbb{G}_m)$$

is an isomorphism. Also, the map

$$C^3(\mathbb{C}\mathrm{P}_E^\infty;\mathbb{G}_m) \to \mathrm{Weil}(\mathbb{C}\mathrm{P}_E^\infty)$$

is a surjection.

Moving from BU to MU:  $MU\langle 6\rangle^E$  is a  $\widehat{\mathbb{G}}_m$ -torsor over  $BU\langle 6\rangle^E$ , so if you can produce another torsor and any map between them, that automatically gives you an isomorphism and hence a description. This is pretty easy to read about in section 2.4 of the AHS preprint. The big theorem is Theorem 2.42 in Section 2.4.4.

# 5.5 Apr 18: Unstable additive cooperations for kU

Example 10.4 in Goerss's Hopf Rings paper does the case of periodic *K*–theory.

You can do the case  $H\mathbb{F}_2^*BU[6,\infty)$  by hand, using the Serre spectral sequence (and "Wu formulas" for the action of the Steenrod algebra on the Chern classes — which you can probably read off instead from the divisorial description).

The analogues of Wu formulas in mod–*p* cohomology are due to Shay, in *mod*–*p* Wu formulas for the Steenrod algebra and the Dyer–Lashof algebra.

There are also versions of the calculation due to Stong and to Singer, which deserve mention.

At the end of the day, you want to be able to write down the Poincaré series for each of the prime fields and  $BU[6, \infty)$ .

# I'm not sure if this is worth explaining. I guess we just mean elliptic curves with certain singularities allowed far away from the origin. Maybe it is worth explaining: you don't get examples like HZP or K<sup>Tate</sup> without allowing degenera-

# 5.6 Apr 20: Elliptic spectra

**Definition 5.6.1.** An *elliptic spectrum* consists of:

- 1. An even-periodic ring spectrum *E*.
- 2. A (generalized) elliptic curve C over  $S_E$ .
- 3. An isomorphism  $\varphi: C_0^{\wedge} \cong \mathbb{C}P_E^{\infty}$ .

A map of elliptic spectra consists of

- 1. A map of ring spectra  $f: E \to E'$ .
- 2. An *isomorphism* of elliptic curves  $f^*C \to C'$ .

In particular, isogenies of elliptic curves are *not* allowed. This is the realm of power operations.

*Example* 5.6.2. Cohomology with complex coefficients and a selected lattice in the plane:  $HP_{\Lambda}$ . The required isomorphism of formal groups comes from the logarithm map inverse to formally expanding  $\mathbb{C} \to \mathbb{C}/\Lambda$  at the origin.

Example 5.6.3. Integral cohomology with the curve  $zy^2 = x^3$ .

Example 5.6.4. Ordinary K-theory with the curve  $zy^2 + zxy = x^3$ .

Example 5.6.5. Tate K-theory

I want to sketch the reduction for even-periodic elliptic cohomology theories to the case of MUP, then from there to HkP for the prime fields K, then from there to questions about additive cocycles. We certainly don't need to recall any of these calculations, but I think it's a nice example of the philosophy that the additive formal group is such a knotted point of  $\mathcal{M}_{fg}$  that it suffices to check something there to learn it for the rest of the stack. This survives in the published form of AHS, but it's stated pretty clearly as Prop 3.4 in the unpublished version. See also 5.12 of the unpublished version.

From the intro to the AHS preprint: For any lattice  $\Lambda \subseteq \mathbb{C}$ , we get a map  $\Phi: MU[6,\infty) \to HP_{\Lambda}$  which sends (2n)-dimensional bordism classes M to numbers  $\Phi(M;\Lambda) \cdot u_{\Lambda}^n$ . Suppose  $\Lambda$  and  $\Lambda'$  are two lattices with  $\lambda \cdot \Lambda = \Lambda'$ . This induces a map  $HP_{\Lambda'} \to HP_{\Lambda}$  which intertwines the maps  $\Phi$  by  $\Phi(M;\Lambda \cdot \Lambda) = \lambda^{-n}\Phi(M;\Lambda)$ . The usual appearance of a modular form (via  $SL_2$  invariance) can be extracted from the top of page 5, if you want. You can also show that this "functional equation for a modular form" is actually realized by a function by considering the elliptic cohomology theory built out of the bundle of elliptic curves  $\mathfrak{h} \times \mathbb{C}/(1,\tau) \to \mathfrak{h}$  and the ordinary coefficient ring  $\mathcal{O}[u^{\pm}]$ ,  $\mathcal{O}$  the ring of holomorphic functions on  $\mathfrak{h}$ .

Define the classical  $\theta$ -function on the Tate curve by

$$\tilde{\theta}_q(u) = (1-u) \prod_{n>0} (1-q^n u)(1-q^n u^{-1}) \in \mathbb{Z}[u^{\pm}][[q]].$$

Write t = 1 - u for the usual coordinate on the formal multiplicative group; then we can think of  $\tilde{\theta}_q(u)$  as an element of  $\mathbb{Z}[\![q]\!][\![t]\!]$  and thus as a function on  $\hat{\mathbb{G}}_m \times D_{\text{Tate}}$ ,  $D_{\text{Tate}} = \operatorname{Spec} \mathbb{Z}[\![t]\!]$  the Tate domain. In fact,  $\tilde{\theta}_q(u)$  is even a coordinate on this formal group over  $D_{\text{Tate}}$ , which one can identify with  $\hat{C}_{\text{Tate}}$ .

By formal rearrangements one can produce the familiar functional equations

$$\widetilde{\theta}_q(qu) = -u^{-1}\widetilde{\theta}_q(u), 
\widetilde{\theta}_q(q^k u) = q^{-k(k-1)/2}(-u)^{-k}\widetilde{\theta}_q(u).$$

This is actually kind of hard to do algebraically. It's discussed in Appendix A of the AHS preprint. Regarding  $\tilde{\theta}$  as an element of  $C^0(\widehat{C}_{Tate}; \mathcal{L})$ , this gives a cubical structure

$$\delta^3(\tilde{\theta}) \in C^3(\widehat{C}_{Tate}; \mathcal{L}),$$

and one computes  $\delta(\tilde{\theta}) = dt/\theta$  for

$$\theta_q(u) = (1-u) \prod_{n>0} \frac{(1-q^n u)(1-q^n u^{-1})}{(1-q^n)^2} \in \mathbb{Z}[u^{\pm}][q],$$

so you can also apply  $\delta^2$  to this expression. The functional equation has something to say about this cubical structure:

Why would someone find this more familiar? Also, in what sense is  $\delta$  any thing like differentiation?

$$(\delta^{3}\tilde{\theta}_{q})(u,v,w) = \begin{cases} (\delta^{3}\tilde{\theta}_{q})(qu,v,w), \\ (\delta^{3}\tilde{\theta}_{q})(u,qv,w), \\ (\delta^{3}\tilde{\theta}_{q})(u,v,qw). \end{cases}$$

**Theorem 5.6.6.** The cubical structure  $\delta^3(\tilde{\theta})$  is the restriction of  $s(C_{\text{Tate}}/D_{\text{Tate}})$  to  $\widehat{C}_{\text{Tate}}$ .

*Proof.* The ratio  $s(\widehat{C}_{Tate}/D_{Tate})/\delta^3(\tilde{\theta})$  is a power series  $g \in \mathbb{Z}[q,t_0,t_1,t_2]$  and we need to show that g=1. This will hold if we can show that there is a neighborhood of 0 in  $\mathbb{C}^4$  on which g converges to 1, so we can employ complex analytic techniques. Fix  $g \in \mathbb{C}$  with 0 < |g| < 1 and let  $C_q$  be the  $\mathbb{C}$ -analytic elliptic curve fibering over this point in  $D_{Tate} \times \operatorname{Spec} \mathbb{C}$ . The product expansion of  $\tilde{\theta}_q(u)$  converges locally uniformly to an analytic function on  $\mathbb{C}^\times$  vanishing only on  $q^\mathbb{Z}$  and there only to first order. It should suffice to show that  $s(C_q/\mathbb{C}) = \delta^3(\tilde{\theta}_q)$  as analytic functions on  $(\mathbb{C}^\times)^{\times 3}$ . The consequence for  $\delta^3\tilde{\theta}$  of the functional equation for  $\tilde{\theta}$  recalled above shows that  $\delta^3\tilde{\theta}_q$  descends to give a meromorphic 1-form  $\varphi$  on  $C_q^{\times 3}$ . Then, because  $\tilde{\theta}_q$  has only simple poles on  $q^\mathbb{Z}$  and none elsewhere, we deduce that  $\varphi$  is actually a cubical structure, and unicity then finally forces  $\varphi = s(C_q/\mathbb{C})$ .

See also the bottom of page 22 in the AHS preprint for the relevant theory of integration (esp. Prop 2.54), and see Proposition 2.56 for a comparison theorem between the integration theory and  $\sigma_{Tate}$ .

**Definition 5.6.7.** Let  $\gamma$  denote the element  $K_{\text{Tate}}(\mathbb{Z} \times BU)$  determined by the vector bundle operation

$$\gamma: -V \mapsto \prod_{n>0} \sum_{k\geq 0} q^{nk} \operatorname{Sym}^k(V),$$

and let  $\bar{\gamma}$  denote its complex conjuguate. Since  $K^0_{\text{Tate}}(MUP)$  is a module over  $K_{\text{Tate}}(\mathbb{Z} \times BU)$ , we can define an element

$$\sigma_{\mathsf{Tate}} := \gamma \cdot \bar{\gamma} \cdot \alpha$$
,

where  $\alpha$  is the usual orientation  $MP \to KU$  corresponding to the coordinate 1-t on the formal group  $\widehat{\mathbb{G}}_m$ .

Mike has a nice remark about this: the exponents in the iterated functional equation for  $\tilde{\theta}_q$  are quadratic in k and so killed by  $\delta^3$ , which is anothe differentiation-type claim.

Cite me: AHS preprint Prop 2.49

Criteria for the existence of symmetric cocycle schemes.

AHS: they exist

The technical condition guaranteeing the existence of symmetric power schemes is that the symmetric cocycle schemes are coalgebraic formal schemes, since then we have an involutive Cartier duality functor. This comparison essentially comes out of saying that  $C_k$  can be defined by a strong colimit, so if we can check that this strong colimit exists... (cf. Prop 3.3 of the AHS preprint).

Here's what the published version of AHS has to say about the  $\sigma$ -orientation of  $K_{\text{Tate}}$ . (See Section 2.7.)

 $K_{\text{Tate}}$  has multiplicative cohomology theory  $K[\![q]\!]$ , formal group multiplicative (as induced by K-theory), and isomorphism to  $\widehat{C}_{\text{Tate}}$  given by 1-u(t) as in Lecture 5.2. Since the cubical structure on  $\widehat{C}_{\text{Tate}}$  is given as  $\delta^3$  of something, it follows that the  $\sigma$ -orientation factors as

$$MU[6,\infty)$$

$$\downarrow$$

$$MU \longrightarrow MUP \xrightarrow{\tilde{\theta}} K[[q]].$$

Our goal is to express in terms of characteristic classes the induced map on homotopy by the horizontal composite.

To start with, the topological restriction MU o MUP o E sends the coordinate f on  $\widehat{\mathbb{G}}_E$  to the rigid section  $\delta f$  of  $\Theta^1(\mathcal{I}(0)) = \mathcal{I}(0)_0 \otimes \mathcal{I}(0)^{-1}$ . The most straightforward formula for  $\delta f$  is f(0)/f, which is confusing, since f(0) = 0 usually but not as a section of  $\mathcal{I}(0)_0$ . It's probably clearer to express  $\delta f$  in terms of the isomorphism

$$\mathcal{I}(0)_0 \otimes \mathcal{I}(0)^{-1} \cong \omega \otimes \mathcal{I}(0)^{-1}$$
,

where  $\delta f$  is given by the formula

$$\delta f = \frac{f'(0)Dx}{f(x)},$$

Dx the invariant differential with value dx at 0.

In the example of K-theory, the usual complex Atiyah–Bott–Shapiro map  $MP \to K$  corresponds to the coordinate 1-u on the formal completion of  $\mathbb{G}_m = \operatorname{Spec} \mathbb{Z}[u^{\pm}]$ . The invariant differential is D(1-u) = -du/u, and the restriction to MU classifies the  $\Theta^1$ -structure

$$\delta(1-u) = \frac{1}{1-u} \left(-\frac{du}{u}\right).$$

In the more complex example of Tate *K*–theory, the map

$$MU \rightarrow MUP \xrightarrow{\tilde{\theta}} K_{\text{Tate}}$$

factors by the coordinate change map

$$MU \to MU \land MU \simeq MU \land BU_{+} \xrightarrow{\delta(1-u) \land \theta'} K_{Tate}$$

where  $\theta'$  is the element of  $BU^{K_{\text{Tate}}} \cong C^1(\widehat{C}_{\text{Tate}}; \mathbb{G}_m)$  given by the formula

$$\theta' = \prod_{n>1} \frac{(1-q^n)^2}{(1-q^n u)(1-q^n u^{-1})}.$$

In geometric terms, the homotopy groups  $\pi_*MU \wedge BU_+$  are the bordism groups of pairs (M, V) consisting of a stably almost complex manifold M and a virtual complex vector bundle V over M of virtual dimension 0. The map

I need to already know: The Todd genus.

$$\pi_*MU \to \pi_*(MU \land BU_+)$$

sends a manifold M to the pair  $(M, \nu)$  where  $\nu$  is the reduced stable normal bundle. Next, the map  $\pi_*\delta(1-u)$  sends a manifold M of dimension 2n to  $p_!(1) \in K^{-2n}(*)$  where  $p: M \to *$  is the unique map. One has

$$p_!(1) = \operatorname{Td}(M) \left( -\frac{du}{u} \right)^n$$
,

where Td(M) is the Todd genus of M (and it is customary to suppress the grading and write  $p_!(1) = Td(M)$ ). The map  $\theta'$  is where the real work is: it is the stable exponential characteristic class taking the value

$$\prod_{n>1} \frac{(1-q^n)^2}{(1-q^n\mathcal{L})(1-q^n\mathcal{L}^{-1})}$$

on the reduced class  $(1 - \mathcal{L})$  of a line bundle  $\mathcal{L}$ . This stable exponential characteristic class can easily be identified with

$$V \mapsto \bigotimes_{n \ge 1} \operatorname{Sym}_{q^n}(-\bar{V}_{\mathbb{C}}),$$

where  $V_{\mathbb{C}} = V \otimes_{\mathbb{R}} \mathbb{C}$ ,  $\bar{V}_{\mathbb{C}} = V_{\mathbb{C}} - \varepsilon^{\oplus \dim V}$ , and  $\operatorname{Sym}_t(W)$  is defined for (complex) vector bundles W by

$$\mathrm{Sym}_t(W) = \bigoplus_{m \geq 0} \mathrm{Sym}^m(V) t^m \in K(M)[\![t]\!]$$

and extended to virtual bundles using the exponential rule  $\operatorname{Sym}_t(W_1 - W_2) = \operatorname{Sym}_t(W_1) / \operatorname{Sym}_t(W_2)$ . Altogether, the effect of the  $\sigma$ -orientation therefore sends an almost complex manifold M

of dimension 2n to

$$\begin{split} \pi_* \sigma_{K_{\text{Tate}}}(M) &= f_! \left( \bigotimes_{n \geq 1} \operatorname{Sym}_{q^n}(\bar{T}_{\mathbb{C}}) \right) \\ &= \operatorname{Td} \left( M; \bigotimes_{n \geq 1} \operatorname{Sym}_{q^n}(\bar{T}_{\mathbb{C}}) \right) \left( -\frac{du}{u} \right)^n \\ &\in \widetilde{K}[\![q]\!]^0(S^{2n}). \end{split}$$

This is basically Witten's formula for his genus. There is a small caveat: Witten's genus is defined for Spin manifolds. With some care, perhaps we could construct a homotopical square

$$\begin{array}{ccc} MSU & \longrightarrow & MU \\ \downarrow & & \downarrow \\ MSpin & \longrightarrow & K_{Tate}, \end{array}$$

but for the moment we content ourselves with a square of homotopy groups

$$\begin{array}{ccc} \pi_*MSU & \longrightarrow & \pi_*MU \\ \downarrow & & \downarrow \\ \pi_*MSpin & \longrightarrow & \pi_*K_{Tate}. \end{array}$$

Let *M* be a *Spin*–manifold of dimension 2*n*, and use the splitting principle to write

$$TM = \mathcal{L}_1 \oplus \cdots \oplus \mathcal{L}_n$$

for complex line bundles  $\mathcal{L}_i$ . The *Spin*–structure gives a square root of  $\prod \mathcal{L}_i$ , which is equivalent to picking a square root for each  $\mathcal{L}_i$ . Since, for each i, the O(2)–bundles underlying  $\mathcal{L}_i^{1/2}$  and  $\mathcal{L}_i^{-1/2}$  are isomorphic, we can write

$$TM = \sum \mathcal{L}_i + \mathcal{L}_i^{-1/2} - \mathcal{L}_i^{1/2},$$

which is now a sum of SU–bundles. Using this, one easily checks that the  $\sigma$ –orientation of M gives

$$\widehat{A}\left(M;\bigotimes_{n\geq 1}\operatorname{Sym}_{q^n}(\overline{T}_{\mathbb{C}})\right)\left(-\frac{du}{u}\right)^n$$
,

where the  $\widehat{A}$ –genus is the push-forward in KO–theory associated to the unique orientation  $MSpin \to KO$  fitting into the commutative diagram

Is this possible?
Or do we really need the String—prientation to make this happen? Is this exactly the topic for the next day?!!

$$MSU \longrightarrow MU$$

$$\downarrow \qquad \qquad \downarrow$$

$$MSpin \longrightarrow K$$

$$\stackrel{\widehat{A}}{\downarrow}$$

$$KO.$$

Finally, we want to see that for  $[M] \in \pi_{2n}MU[6, \infty)$ ,

$$\Phi(M) := (\pi_{2n}\sigma_{K_{\text{Tate}}})(M) \left(-\frac{du}{u}\right)^{-n} \in \pi_0 K_{\text{Tate}} = Z[\![q]\!]$$

is a modular form. We've at least seen that  $\Phi(M)$  is a holomorphic function on D, with integral q-expansion coefficients. It suffices to show that if  $\pi:\mathfrak{h}\to D$  is the map  $\pi(\tau)=e^{2\pi i\tau}$ , then  $\pi^*\Phi(M)$  transforms correctly under the action of  $SL_2(\mathbb{Z})$ . This is supposed to follow from stuff in the introduction (pp. 600-1, also Example 2.3).

Now that you have an extra few days, you could actually go through the calculation of  $H\mathbb{F}_{p*}\underline{ku_{2k}}$  and  $C^k(\widehat{G}_a;G_m)$ 

### 5.7 Apr 22: Spin and String orientations

Formal schemes for certain real K-theory spaces

The Atiyah–Bott–Shapiro orientation and the fibration  $BSU \rightarrow BSpin$ 

The *String* orientation and  $\Sigma$ -structures

The following definition is meant to curtail the behavior of Hopf algebras at the prime p=2, where the erasure of signs can cause strange things to happen. In particular, Hopf algebras satisfying the following condition split as the tensor product of an exterior odd–dimensional part and a commutative even–dimensional part.

**Definition 5.7.1.** A Hopf algebra is said to be *Restriction A* when it is formed from the following components:

Cite me: KLW Re

- A polynomial algebra on a single even–degree generator.
- A truncated polynomial algebra on a single even-degree generator.
- An algebra on a single even generator x where a large power  $x^{p^d}$  can be rewritten in terms of lower powers.
- An exterior algebra on a single odd–degree generator.
- A "divisible algebra"  $P_{\infty} = k \langle a_0, a_1, \dots, a_n, \dots \rangle$  where  $a_n^p = a_{n-1}$  and  $a_0^p = 0$ .

Pepper these with topological examples.

**Theorem 5.7.2.** Let  $F \xrightarrow{i} E \xrightarrow{r} B$  be a fibration of connected double loopspaces, let K have K inneth isomorphisms, and let  $K_*F$  be a bicommutative Hopf algebra. Then there is a spectral sequence of Hopf algebras

Cite me: Theore 4.2 of KLW.

$$E_{*,*}^2 = \operatorname{Tor}_{*,*}^{K_*F}(K_*E, K_*) = \operatorname{Tor}_{*,*}^{\ker i_*}(K_*, K_*) \otimes \operatorname{coker} i_* \Rightarrow K_*B,$$

where  $\operatorname{coker} i_* = \operatorname{Tor}_{0,*}^{K_*F}(K_*E, K_*)$ . If  $i_*$  is injective, this gives a short exact sequence of Hopf algebras

$$K_* \rightarrow K_*F \rightarrow K_*E \rightarrow K_*B \rightarrow K_*$$
.

If ker  $i_*$  is Restriction A and coker  $i_*$  is even, then coker  $i_*$  injects into  $K_*B$  and all differentials take place in  $\text{Tor}_{**}^{K_*F}(K_*K_*)$ . If

$$\Omega F \rightarrow \Omega E \rightarrow \Omega B$$

induces a short exact sequence of Hopf algebras on K-homology with  $K_*\Omega B$  Restriction A and  $K_*F$  even, then the original fiber sequence also induces a short exact sequence of Hopf algebras.

Cite me: Theorem 4.4 of KLW. **Corollary 5.7.3.** Let  $E \to B' \to B$  be connective coverings of a simply connected double loopspace B. Consider the following diagram of fiber sequences:

$$F' \longrightarrow E \longrightarrow B'$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow$$

$$F \longrightarrow E \longrightarrow B.$$

If the bottom row induces a short exact sequence of Hopf algebras on K-homology, then so does the top row.

Maybe you could prove this. It reies on the finite Postnikov systems result which you may have talked about back in the Dieudonne modules sections.

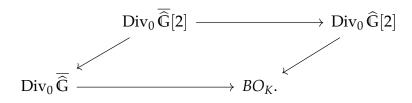
e mostly need see that Postkov sections inace short exact quences. For that, ote that the Keory of EM spaces always Restric-

Include a remark about Section 5.2 on "Bicommutativity".

Ativah–Hirzebruch

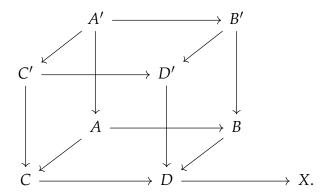
spectral sequence

**Theorem 5.7.4.** *There is a bi-Cartesian square* 



Proof.

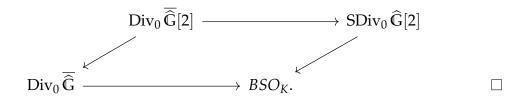
**Lemma 5.7.5.** Consider the cube constructed by taking pointwise fibers of the composite to X.



*If the bottom face is bi-Cartesian, then so is the top.* 

Proof. \_\_\_\_\_ Prove this valid in an abelian cate

### **Corollary 5.7.6.** *There is a bi-Cartesian square*

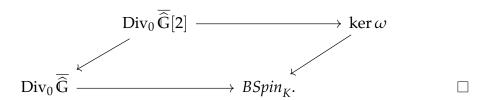


*Proof.* The fibration  $BSO \to BO \to BO(1)$  gives a short exact sequence of Hopf algebras. The composite  $\widehat{\mathbb{G}}[2] \to \widehat{\mathbb{G}}[2]$  acts by zero and the composite  $\widehat{\mathbb{G}}[2] \to \widehat{\mathbb{G}}[2]$  acts by summation. The summation one you can probably prove by comparing with the determinant (or Postnikov) section for BU.

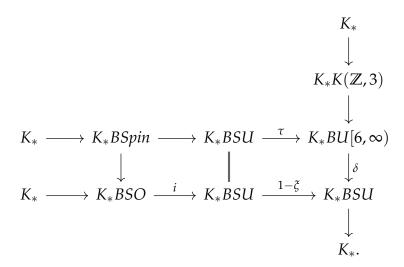
### Corollary 5.7.7. There is a bi-Cartesian square

Write this for real.

Even the statement is bad: see "ker \u0"



*Proof.* This goes similarly to the one above. You can compute that the composite  $\widehat{\mathbb{G}} \to \widehat{\mathbb{G}}[2]^{\wedge 2}$  is zero using an identical technique. To compute the action on the other factor, KLW show that there's a diagram of exact sequences



Since  $(1 - \xi) \circ i = 0$ , we have that  $\delta \circ \tau \circ i = 0$  and hence that  $\tau \circ i$  lifts to  $K_*K(\mathbb{Z},3)$ . Identifying  $\mathrm{SDiv}_0\widehat{\mathbb{G}}[2]$  with  $C_2\widehat{\mathbb{G}}[2]$ , we check that the composites

$$C_2\widehat{\mathbb{G}}[2] \xrightarrow{\omega} \widehat{\mathbb{G}}[2]^{\wedge 2} \xrightarrow{\varepsilon} C_3\widehat{\mathbb{G}}$$

and

$$C_2\widehat{\mathbb{G}}[2] \to C_2\widehat{\mathbb{G}} \xrightarrow{\tau} C_3\widehat{\mathbb{G}}$$

agree. For a point  $[a,b] \in C_2\widehat{\mathbb{G}}$ , this is the claim

$$0 = \varepsilon(a \wedge b) - \tau[a, b]$$
  
=  $[a, a, b] - [b, a, b] - [-a - b, a, b]$   
=  $[a, a, b] - [b + a, a, b] + [b, a + a, b] - [b, a, b],$ 

and this is the expression called R(b, a, a, b), which is forced null in  $C_3\widehat{\mathbb{G}}$ .

I'm a little fuzzy on the coherence of this with the Bockstein: this computes the lift of  $\tau \circ f$  into  $K(\mathbb{Z},3)_K$ , and it does happen to factor through the subscheme  $K(\mathbb{Z}/2,2)_K$  determined by the Bockstein. However, I don't immediately see why this agrees with the bottom Postnikov section of BSO: that's a map off of BSO and this is a rotated map into  $BU[6,\infty)$ , so it's not an immediate consequence of naturality.

What follows is the analysis for MSring. Is the one for MSpin analogous and do-able? Does it involve  $CK_2$  and maybe a clever shoice of MSU-prientation?

The sequence  $Spin/SU \to BU[6,\infty) \to BString$  is exact and right-exact. The kernel of the map  $Spin/SU \to BU[6,\infty)$  is " $CK_3$ ", where

$$CK_j = \bigoplus_{k=j}^{\infty} K_* K(\mathbb{Z}/2, k).$$

More than that, KLW even say where the polynomial and nonpolynomial parts of  $K_*Spin/SU$ -land inside of  $K_*BU[6,\infty)$ . I think that this means that  $K_*BU[6,\infty)$  is a flat  $K_*Spin/SU$ -module at heights  $d \le 2$ .

Anyway, there's always a Tor-spectral sequence owing to the pushout diagram

But I have not checked!

$$\Sigma_{+}^{\infty} Spin/SU \longrightarrow MU[6,\infty)$$

$$\downarrow \qquad \qquad \downarrow$$

$$* \longrightarrow MString$$

of signature

$$\operatorname{Tor}_{*,*}^{K_*Spin/SU}(K_*MU[6,\infty),K_*) \Rightarrow K_*MString.$$

So, under the flatness hypothesis above, there are no higher Tor terms so the spectral sequence collapses to give

$$K_*MString \cong K_*MU[6,\infty)//K_*Spin/SU.$$

So, what remains to be shown is that  $K_*Spin/SU$  picks out the correct extra relation for  $\Sigma$ -structures. Then, we need a density argument to show that this handles all of the atapoint cases of elliptic cohomology.

Some other things that might belong in this chapter:

The cubical structure on a singular (generalized) elliptic curve is not unique, but (published) AHS has an argument showing that the unicity of the choice on the nonsingular "bulk" extends to a unique choice on the "boundary" of the compactified moduli too.

There's also the work of Ando–French–Ganter on factorized / iterated  $\Theta$  structures and how they give rise to the "two–variable Jacobi genus".

# Case Study 6

# **Power operations**

I wish this had a

There should be a context-based presentation of this chapter's material too. What do contexts for structured ring spectra look like? Why would you consider them — what object are you trying to approximate? How do you guess that the algebraic model is reasonable until you're aware of something like Strickland's theorem?

Write an introduc-

Since you spend so much time talking about descent in other parts of these notes, maybe you should also read the end of the AHS  $H_{\infty}$  paper where they claim to recast their results in the usual language of descent.

Conversation with Nat on 2/9 suggests taking the following route in this chapter: contexts for  $E_{\infty}$  mapping spaces in general; Subgroups and level structures; the Drinfel'd ring and the universal level structure; the isogenies pile; power operations and Adams operations, after Ando (naturally indexed vs indexed on subgroups; have a look at the Screenshot you took on this day); comparison of comodules M for the isogenies pile with the action of  $M_n(\mathbb{Z}_p)$  on  $M \otimes_{E_n^n} D_{\infty}$  (this is a modern result due

to Tomer, Tobi, Lukas, and Nat);  $H_{\infty}$  MU-orientations and Matt's thesis; the analogous results for  $\Theta^k$ -structures. In particular, leave character theory, p-divisible groups, and rational phenomena for spillover at the end of the year. They aren't strictly necessary to telling the story; you just need to know a little about the Drinfel'd ring to construct Matt's maps. (If you have time, though, the point is that the rationalized Drinfel'd ring carries the universal level structure which is also an isomorphism.)

The stuff around 4.3.1-2 of Matt's published thesis talks about  $H_{\infty}$ -maps being determined by their values on \* and  $\mathbb{C}P^{\infty}$ , which is an interesting result. You might also compare with Butowiez-Turner.

Work in height 1 (and height 2??) examples through this? K-theory is pretty accessible, and the height 2 examples are somewhat understood (Charles, Yifei), and they're both relevant for the elliptic MString story. (There's also the pile of elliptic curves with isogenies...)

Nat warns that the very end of Matt's thesis uses character theory for S<sup>1</sup>, which you have to be very careful about to pull off correctly. (S<sup>1</sup> is not a finite group, but in certain contexts it can be approximated by its torsion subgroups...)

Yifei warned me that Matt's "there exists a unique coordinate..." Lemma is specifically about lifting the Honda formal group law over  $\mathbb{F}_q$ . If you want to do this with elliptic cohomology or something, then you need a stronger statement (and it's clear what this statement should be, but no one has proven it).

— Here are various notes from conversations with Nat, recorded and garbled well after they happened. —

We could try to understand Matt's thesis's Section 4.2. It identifies the action of the internal power operation on  $E_n$  using the internal theory of quotient isogenies to the Lubin–Tate deformation problem (2.5.4). Conditions 1 and 3 of 4.2.1 are easy to verify: they are 4.2.3 (evaluate on a point) and 4.2.4 (the power operation does raise things to a power) respectively. Condition 2 takes more work, and it's about identifying the divisor associated to the isogeny granted by Condition 1. It's worked out in 4.2.5, which is not very hard, and 4.2.6, which shows that *the* Thom class associated to a vector bundle is sent under a power operation to *some* Thom class. 4.2.5 then uses that the quotient of *some* Thom classes has to be a unit in the underlying ring.

(Q: Can 4.2.5 be phrased about two coordinates on the same formal group, rather than two presentations of the same divisor? There's a comparison between functions on the

quotient with invariant functions on the original group — and perhaps with functions invariant by pulling back along the isogeny?)

Prop 8.3 in "Character of the Total Power Operation" provides an algebro-geometric proof of something in AHS04, using the fact that for R a nice complete local ring and G, G' p-divisible groups over R, there is an injection

$$\operatorname{Isog}_{R}(G, G') \hookrightarrow \operatorname{Isog}_{R/\mathfrak{m}}(G, G').$$

Nat thinks that using the power operation internal to MU is what gets you Lubin's product formula for the quotient (cf. the calculation in Quillen's theorem), and using the power operation internal to E-theory gives you *something* called  $\psi^H$ , which you separately calculate on Euler classes. The point (cf. Ando's thesis's Theorem 1) is to pick a coordinate so that these coincide. (Lubin–Tate theory and Lubin's theory of isogenies says that they always coincide up to unique  $\star$ -isomorphism — after all, automorphisms (and isogenies) don't deform — and the point is that for particular coordinates on particular formal groups, you can take the  $\star$ -isomorphisms to all be the identity.)

Ando's thesis only deals with power operations internal to *E*—theory starting in Section 4. Before then, he shows that the pushforward of the power operations internal to *MU* can be lifted through maps on *E*—theory (although these maps may not be topologically induced). It's not clear to me what the value of this is — if you're constructing the operations on the *E*—theory side, then surely you're going to construct them so that they're on-the-nose equal to the *MU*—operations?

The meaty part of AHS04 is Theorem 6.1, that the necessary condition is sufficient. It falls into steps: first, we can restrict attention to  $\Sigma_p$ , and even inside of there we can restrict attention to  $C_p$ . Then, the two directions around the  $H_{\infty}$  square give two trivializations (cf. 4.2.6 of Ando's thesis)  $g_{cl(ockwise)}$  and  $g_{c(ounter)c(lockwise)}$  of  $\Theta^k \mathcal{I}(0)$ . The fact that they're both trivializations means there's an equation  $g_{cl} = rg_{cc}$  for  $r \in E^0D_{C_p}BU[2k, \infty)^{\times}$ . Then, he wants to study the map

$$E^0D_{C_n}BU[2k,\infty)_+ \xrightarrow{\Delta^* \times i^*} E^0BC_p^* \times BU[2k,\infty) \times E^0BU[2k,\infty)^{\times p},$$

which they know to be an injection by work of McClure, but for some reason they can restrict attention to just the left-hand factor. The left-hand factor is the ring of functions on  $\underline{\mathsf{FormalGps}}(A,\widehat{\mathbb{G}}_F) \times BU[2k,\infty)_E$ , and they can further restrict attention to level structures, where there are only two: the injective one and the null map. They then check these two cases by hand, and it follows that r=0, so the two ways of navigating the diagram agree at the level of topology.

(Section 8 of Hopkins–Lawson has an injectivity proof that smells similar to the above injectivity trick with McClure's map.)

Just working in the case k = 1 (or k = 0), which is supposed to recover the "classical" results of Ando's thesis, we can try to recursively expand the various arguments and definitions. The counterclockwise map appears to be the easy one, and it's discussed

around 4.11. The clockwise map appears to be the hard one, and it's discussed in 3.21. For  $\chi_{\ell} = \chi_{\ell} \times \widehat{\mathbb{G}}$  given by

$$T \times \widehat{\mathbb{G}} \xrightarrow{\chi_{\ell}} \underline{\operatorname{Hom}}(A, \widehat{\mathbb{G}}) \times \widehat{\mathbb{G}},$$

the main content of 3.21 is an equality

$$\chi_{\ell}^* s_{cl} = \psi_{\ell}^{\mathcal{L}}(s_{\mathcal{S}}) = (\psi_{\ell}^{\widehat{\mathbf{G}}/E})^* (\psi_{\ell}^E)^* s_{\mathcal{S}},$$

where  $\psi_{\ell}^{E}$  is defined in 3.9,  $\psi_{\ell}^{\widehat{G}/E}$  is defined in 3.14 and the preceding remarks,  $s_g$  is the section describing the source coordinate (cf. part 2 of 3.21), and  $\psi_{\ell}^{\mathcal{L}}$  is described between the paragraph before 3.16 and Definition 3.20. Trying to rewrite  $\psi_{\ell}^{\mathcal{L}}$  into the form required for 3.21 requires pushing through 8.11 and 10.15.

We spent a lot of time just writing out the definitions of things, trying to get them straight in the universal case (which AHS04 wants to avoid for some reason — maybe they didn't yet have a good form of Strickland's theorem?). It was helpful in the moment, but hard to read now.

All of this rests, most importantly, on how a quotient of the Lubin–Tate universal deformation by a subgroup still gives a Lubin–Tate universal deformation. This is Section 12.3 of AHS04, and it's Section 9 of Neil's Finite Subgroups paper. (Nat says there's something to look out for in here. Watch where they say they have  $E_0$ –algebra maps versus ring maps.)

# 6.1 Apr 25: $E_{\infty}$ ring spectra and their contexts

Mike has suggested looking at the paper *The K-theory localization of an unstable sphere*, by Mahowald and Thompson. In it, they manually construct a resolution of  $S^{2n+1}$  suitable for computing the unstable Adams spectral sequence for K-theory, but the resolution that they build is also exactly what you would use to compute the mapping spectral sequence for  $E_{\infty}(K^{S^{2n-1}}, K)$ . Additionally, because the unstable K-theoretic operations are exhausted by the power operations, these two spectral sequences converge to the same target.

Purely in terms of the  $E_{\infty}$  version, one can consider the composition of spectral sequences

$$\mathrm{Ext}_{\mathbb{Z}[\theta]}(\mathbb{Z}, \mathrm{Der}_{K_*-alg}(K^*X, K^*)) \Rightarrow \mathrm{Der}_{K_*-Dyer-Lashof-alg}(K^*X, K^*) \Rightarrow E_{\infty}(\widehat{\mathbb{S}^0}^X, K_p^{\wedge})$$

and

$$E_{\infty}(\widehat{\mathbb{S}^{0}}^{X}, K_{p}^{\wedge})^{h\mathbb{Z}_{p}^{*}} = E_{\infty}(\widehat{\mathbb{S}^{0}}^{X}, \widehat{\mathbb{S}^{0}})$$

where the first spectral sequence is a composition spectral sequence for derivations in  $K_*$ -algebras and then derivations respecting the Mandell's  $\theta$ -operation. If X is an odd sphere, then  $K^*X$  has no derivations and this composite spectral sequence collapses, making the composition possible. This is also related to recent work of Behrens-Rezk on the Bousfield-Kuhn functor...

Another unpublished theorem of Hopkins and Lurie is that the natural map  $Y = F(*,Y) \to E_{\infty}(E_n^Y, E_n)$  is an equivalence when Y is a finite Postnikov tower in the range of degrees that  $E_n$  can see.

# 6.2 Apr 27: Subgroups and level structures

Something that these notes routinely fail to do is to lead into the algebraic geometry in a believable way. "Today we're going to talk about isogenies" — and then, lo and behold, isogenies appear the next day in algebraic topology. This book would read much better if it showed how these structures were guessed to exist to begin with

Cite me: Definition 5.17 of FSFG.

Here's a definition of an isogeny. Weierstrass preparation can be phrased as saying that a Weierstrass map is a coordinate change and a standard isogeny.

**Definition 6.2.1.** Take C and D to be formal curves over X. A map  $f: C \to D$  is an *isogeny* when the induced map  $C \to C \times_X D$  exhibits C as a divisor on  $C \times_X D$  as D–schemes.

Be sure to compare this definition with the usual one for formal groups: surjections with finite cokernel. These are easy to come up with lots of examples for! (Don't ditch this definition, though, since it's the one that lets you prove something about Weierstrass preparation geometrically)

In fact, every map in positive characteristic can be factored as a coordinate change and an isogeny, which is a weak form of preparation.

Lubin's finite quotients of formal groups. (Interaction with the Lubin–Tate moduli problem? Or does this belong in the next day?)

Write out isogenies of the additive formal group, note that you just get the unstable Steenrod algebra again. This is a remarkable accident.

Push and pull maps for divisor schemes

Moduli of subgroup divisors

The Drinfel'd moduli ring, level structures

Cite me: Prop 6.2 of HKR.

**Lemma 6.2.2.** The following conditions on a homomorphism

$$\varphi: \Lambda_r^* \to F[p^r](R)$$

are equivalent:

- 1. For all  $\alpha \neq 0$  in  $\Lambda_r^*$ ,  $\varphi(\alpha)$  is a unit (resp., not a zero-divisor).
- 2. The Hopf algebra homomorphism

$$R[x]/[p^r](x) \to R^{\Lambda_r^*}$$

is an isomorphism (resp., a monomorphism).

Cite me: Shortly after Prop 6.2 of HKR. Section 7?.

**Lemma 6.2.3.** Let  $\mathcal{L}_r(R)$  be the set of all group homomorphism

$$\varphi:\Lambda_r^*\to F[p^r](R)$$

satisfying either of the conditions 1 or 2 above. This functor is representable by a ring

$$L_r(E^*) := S^{-1}E^*(B\Lambda_r)$$

that is finite and faithfully flat over  $p^{-1}E^*$ . (Here S is generated by the  $\varphi(\alpha)$  with  $\alpha \neq 0$ ,  $\varphi: \Lambda_r^* \to F[p^r](E^*B\Lambda_r)$  the canonical map.)

Section 2: complete local rings

"Galois" means  $R \to S$  a finite extension of integral domains has R as the fixed subring for  $\operatorname{Aut}_R(S)$  and S is free over R. Galois extension of rings implies the extension of fraction fields is Galois. The converse holds for finite (finitely generated as a module) dominant (kernel of f is nilpotent) maps of smooth (regular local ring) schemes.

Section 3: basic facts about formal groups

definition of height

Section 4: basic facts about divisors

Since  $x -_F a \doteq x - a$ , you can treat the divisor [a] (defined in a coordinate by the ideal sheaf generated by x - x(a)) as generated just by x - a.

**Lemma 6.2.4.** Let D and D' be two divisors on  $\widehat{\mathbb{G}}$  over X. There is then a closed subscheme  $Y \leq X$  such that for any map  $a: Z \to X$  we have  $a^*D \leq a^*D'$  if and only if a factors through Y.

Cite me: Prop 4.6

Section 5: quotient by a finite sbgp is again a fml gp

**Definition 6.2.5.** A *finite subgroup* of  $\widehat{\mathbb{G}}$  will mean a divisor K on  $\widehat{\mathbb{G}}$  which is also a subgroup scheme. Let  $\mathcal{O}_{\widehat{\mathbb{G}}/K}$  be the equalizer

$$\mathcal{O}_{\widehat{\mathbb{G}}/K} \longrightarrow \mathcal{O}_{\widehat{\mathbb{G}}} \xrightarrow{\frac{\mu^*}{\pi^*}} \mathcal{O}_K \otimes_{\mathcal{O}_X} \mathcal{O}_{\widehat{\mathbb{G}}}.$$

**Lemma 6.2.6.** Write  $y = N_{\pi}\mu^*x \in \mathcal{O}_{\widehat{\mathbb{G}}}$ . Then  $y \equiv x^{p^m} \pmod{\mathfrak{m}_X}$  and  $\mathcal{O}_{\widehat{\mathbb{G}}/K} = \mathcal{O}_X[\![y]\!]$ . Moreover, the projection  $\widehat{\mathbb{G}} \to \widehat{\mathbb{G}}/K$  is the categorical cokernel of  $K \to \widehat{\mathbb{G}}$ . This all commutes with base change: given  $f: Y \to X$  we have  $f^*\widehat{\mathbb{G}}/f^*K = f^*(\widehat{\mathbb{G}}/K)$ .

Cite me: Theorem 5.3 of Finite Subgroups.

Expand this out in the case of a subgroup scheme given by a sum of point divisors.

cf. also Prop 2.2.2 of Matt's thesis

Section 6: coordinate-free lubin-tate theory

nothing you haven't already seen. in fact, most of it is done in coordinates, with only passing reference to the decoordinatization.

Section 7: level–*A* structures: smooth, finite, flat

As discussed long ago, for finite abelian *p*–groups there's a scheme

$$\underline{\mathsf{FormalGroups}}(A,\widehat{\mathbb{G}})(Y) = \underline{\mathsf{Groups}}(A,\widehat{\mathbb{G}}(Y)).$$

If  $\widehat{\mathbb{G}}$  were a discrete group, we could decompose this as

"FormalGroups
$$(A, \widehat{\mathbb{G}}) = \coprod_{B \le A} \operatorname{Mono}(A/B, \widehat{\mathbb{G}})$$
"

along the different kernel types of homomorphisms, but Mono does not exist as a scheme. Le

Come up with a really compelling example. You had one when you wer talking to Danny and Jeremy. Probably you got it *from* Jeremy.

<sup>&</sup>lt;sup>1</sup>Remember that if  $f: X \to Y$  is a finite flat map, then  $N_f: \mathcal{O}_X \to \mathcal{O}_Y$  is the nonadditive map sending u to the determinant of multiplication by u, considered as an  $\mathcal{O}_Y$ -linear endomorphism of  $\mathcal{O}_X$ .

structures approximate this as best one can be approximating  $\widehat{\mathbb{G}}$  by something essentially discrete: an étale group scheme.

For a map  $\varphi: A \to \widehat{\mathbb{G}}(Y)$ , we write  $[\varphi A] = \sum_{a \in A} [\varphi(a)]$ . We also write  $\Lambda = (\mathbb{Q}_p/\mathbb{Z}_p)^n$ , so that  $\Lambda[p^m] = (\mathbb{Z}/p^m)^{\times n}$ . Note

$$|\mathsf{AbelianGroups}(A,\Lambda)| = |A|^n = \mathsf{rank}\left(\underline{\mathsf{FormalGroups}}(A,\widehat{\mathbb{G}}) \to X\right).$$

**Definition 6.2.7.** A *level–A structure* on  $\widehat{\mathbb{G}}$  over an X-scheme Y is a map  $\varphi: A \to \widehat{\mathbb{G}}(Y)$  such that  $[\varphi A[p]] \leq G[p]$  as divisors. A *level–m structure* means a level– $\Lambda[p^m]$  structure.

**Lemma 6.2.8.** The functor from schemes over X to sets given by

$$Y \mapsto \{level-A \ structures \ on \ \widehat{\mathbb{G}} \ over \ Y\}$$

is represented by a finite flat scheme Level $(A,\widehat{\mathbb{G}})$  over X. It is contravariantly functorial for monomorphisms of abelian groups. Also, if  $\varphi:A\to\widehat{\mathbb{G}}$  is a level structure then  $[\varphi A]$  is a subgroup divisor and  $[\varphi A[p^k]]<\widehat{\mathbb{G}}[p^k]$  for all k. In fact, if  $A=\Lambda[p^m]$  then  $[\varphi A]=\widehat{\mathbb{G}}[p^m]$ .  $\square$ 

In Section 26 of FPFP Neil says there's a decomposition into irreducible components

$$\operatorname{Hom}(A,\widehat{\mathbb{G}}) = \operatorname{Hom}(A,\widehat{\mathbb{G}}_{\operatorname{red}}) = \bigcup_{B} \operatorname{Level}(A/B,\widehat{\mathbb{G}})$$

and this  $\bigcup$  turns into a  $\coprod$  after inverting p. He also mentions this as motivation in Finite Subgroups, but he doesn't appear to prove it?

Section 8: maps among level-A schemes, their Galois behavior

**Theorem 6.2.9.** Let A, B be finite abelian p–groups of rank at most n, and let  $u: A \to B$  be a monomorphism. Then:

1. FormalSchemes $_X(\text{Level}(B,\widehat{\mathbb{G}}),\text{Level}(A,\widehat{\mathbb{G}})) = \text{Mono}(A,B).$ 

- 2. Such homomorphisms are detected by the behavior at the generic point.
- 3. The map  $u^!$ : Level $(B,\widehat{\mathbb{G}}) \to \text{Level}(A,\widehat{\mathbb{G}})$  is finite and flat.
- 4. If  $B \simeq \Lambda[p^m]$ , then  $u^!$  is a Galois covering.
- 5. The torsion subgroup of  $\widehat{\mathbb{G}}(\text{Level}(A,\widehat{\mathbb{G}}))$  is A.

Section 9: epimorphisms of groups become maps of level schemes, quotients by level structures

Let  $\widehat{\mathbb{G}}_0$  be a formal group of height n over  $X_0 = \operatorname{Spec} \kappa$ . For every m, the divisor  $p^m[0]$  is a subgroup of  $\widehat{\mathbb{G}}_0$ . We write  $\widehat{\mathbb{G}}_0\langle p^m\rangle$  for the quotient group  $\widehat{\mathbb{G}}_0/p^m[0]$  and  $\widehat{\mathbb{G}}\langle m\rangle \to X\langle m\rangle$  for the universal deformation of  $\widehat{\mathbb{G}}_0\langle m\rangle \to X_0$ . Note that  $\widehat{\mathbb{G}}_0[p] = p^n[0]$ , which induces isomorphisms  $\widehat{\mathbb{G}}_0\langle m+n\rangle \to \widehat{\mathbb{G}}_0\langle m\rangle$ , and we use this to make as many identifications as we can.

Cite me: Prop 7.2-4 of Finite Subgroups.

I can't imagine proving this. It's worth noting that it's proven by considering just the universal case, which we know to be smooth.

Cite me: Theorem 8.1 of Finite Subgroups.

**Cite me:** 9.1 of Finite Subgroups.

**Lemma 6.2.10.** Let  $u: A \to B$  be an epimorphism of abelian p-groups wit kernel  $|\ker(u)| = p^{\ell}$ . Then u induces a map

$$u_!$$
: Level $(A, \widehat{\mathbb{G}}\langle m \rangle) \to \text{Level}(B, \widehat{\mathbb{G}}\langle m + \ell \rangle)$ .

Also, if  $A = \Lambda[p^m]$ , then  $u_!$  is a Galois covering with Galois group

$$\Gamma = \{ \alpha \in Aut(A) \mid u\alpha = u \}. \quad \Box$$

**Corollary 6.2.11.** *In particular, the map*  $A \rightarrow 0$  *induces a map* 

$$0_! : \text{Level}(A, \widehat{\mathbb{G}}\langle m \rangle) \to \text{Level}(0, \widehat{\mathbb{G}}\langle m + \ell \rangle) = X\langle m + \ell \rangle$$

which extracts quotient formal groups from level structures. In the case  $A = \Lambda[p^{\ell}]$ ,  $0_!$  is just the projection  $0^!$ .

Section 10: moduli of subgroup schemes

Theorem 6.2.12. The functor

Cite me: Theorem 10.1 of Finite Subgroups.

9.1 and 9.2 of Finite

$$Y \mapsto \{ subgroups \ of \ \widehat{\mathbb{G}} \times_X Y \ of \ degree \ p^m \}$$

is represented by a finite flat scheme  $\operatorname{Sub}_{p^m}(\widehat{\mathbb{G}})$  over X of degree  $|\operatorname{Sub}_{p^m}(\Lambda)|$ . The formation commutes with base change.

We can at least give the construction: let D be the universal divisor defined over  $Y = \mathrm{Div}_{p^m}(\widehat{\mathbb{G}})$  with equation  $f_D(x) = \sum_{k=0}^{p^m} c_k x^k$ . There are unique elements  $a_{ij} \in \mathcal{O}_Y$  such that

$$f(x +_F y) = \sum_{i,j=0}^{p^m - 1} a_{ij} x^i y^j \pmod{f(x), f(y)}.$$

Define

$$\operatorname{Sub}_{p^m}(\widehat{\mathbb{G}}) = \operatorname{Spf} \mathcal{O}_Y / (c_0, a_{ij} \mid 0 \leq i, j < p^m).$$

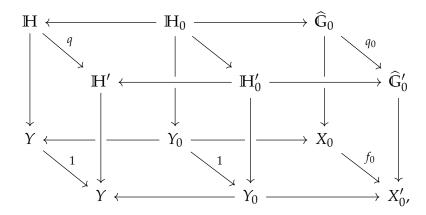
Finiteness, flatness, and rank counting are what take real work, starting with an arithmetic fracture square.

Section 13: deformation theory of isogenies

**Definition 6.2.13.** Suppose we have a morphism of formal groups

$$\widehat{\mathbb{G}}_0 \xrightarrow{q_0} \widehat{\mathbb{G}}'_0 \\
\downarrow \qquad \qquad \downarrow \\
X_0 \xrightarrow{f_0} X'_0$$

such that the induced map  $\widehat{\mathbb{G}}_0 \to f_0^* \widehat{\mathbb{G}}_0'$  is an isogeny of degree  $p^m$ . By a deformation of  $q_0$  we mean a prism



where the middle face is the pullback of the left face, the back-right and front-right faces are pullbacks, so that q is also an isogeny of degree  $p^m$ .

Let  $\widehat{\mathbb{G}}/X$  be the universal deformation of  $\widehat{\mathbb{G}}_0$ , let  $a:\operatorname{Sub}_{p^m}(\widehat{\mathbb{G}})\to X$  be the usual projection, and let  $K< a^*\widehat{\mathbb{G}}$  be the universal example of a subgroup of degree  $p^m$ . As  $\operatorname{Sub}_{p^m}(\widehat{\mathbb{G}})$  is a closed subscheme of  $\operatorname{Div}_{p^m}(\widehat{\mathbb{G}})$  and  $\operatorname{Div}_{p^m}(\widehat{\mathbb{G}})_0=X_0$ , we see that  $\operatorname{Sub}_{p^m}(\widehat{\mathbb{G}})_0=X_0$ . There is a unique subgroup of order  $p^m$  of  $\widehat{\mathbb{G}}_0$  defined over  $X_0$ , viz. the divisor  $p^m[0]=\operatorname{Spf}\mathcal{O}_{\widehat{\mathbb{G}}_0}/x^{p^m}$ . In particular,  $K_0=p^m[0]=\ker(q_0)$ . It follows that there is a pullback diagram as shown below:

$$(a^*\widehat{\mathbb{G}}/K)_0 \xrightarrow{\simeq} \widehat{\mathbb{G}}_0/p^m[0] \xrightarrow{\overline{q}_0,\simeq} \widehat{\mathbb{G}}'_0$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow$$

$$\operatorname{Sub}_{p^m}(\widehat{\mathbb{G}})_0 \xrightarrow{a_0,\simeq} X_0 \xrightarrow{f_0,\simeq} X'_0.$$

We see that  $a^*\widehat{\mathbb{G}} \to a^*\widehat{\mathbb{G}}/K$  is a deformation of  $q_0$ , and it is terminal in the category of such.

Now let  $\widehat{\mathbb{G}}'/X'$  be the universal deformation of  $\widehat{\mathbb{G}}'_0/X'_0$ . The above construction also exhibits  $a^*\widehat{\mathbb{G}}/K$  as a deformation of  $\widehat{\mathbb{G}}'_0$ , so it is classified by a map  $b: \operatorname{Sub}_{p^m}(\widehat{\mathbb{G}}) \to X'$  extending the map  $b_0 = f_0 \circ a_0 : \operatorname{Sub}_{p^m}(\widehat{\mathbb{G}})_0 \to X'_0$ .

**Theorem 6.2.14.** *b* is finite and flat of degree  $|\operatorname{Sub}_{p^m}(\Lambda)|$ .

Cf. Matt's thesis's Prop 2.5.1:  $\Phi$  is a formal group over  $\mathbb{F}_p$ , F a lift of  $\Phi$  to  $E_n$ , H a finite subgroup of  $F(D_k)$ , then F/H is a lift of  $\Phi$  to  $D_k$ . (This is because the quotient map to F/H reduces to  $t \mapsto t^{p^r}$  for some r over  $\mathbb{F}_p$ , which is an endomorphism of  $\Phi$ , so the quotient map over the residue field doesn't do anything!) See also Prop 2.5.4, where he characterizes all isogenies of this sort as arising from this construction.

### Section 14: connections to AT

Neil's *Finite Subgroups of Formal Groups* has (in addition to lots of results) a section 14 where he talks about the action of a generalized Hecke algebra on the *E*–theory of a space.

Let a and b be two points of X, with fibers  $\widehat{\mathbb{G}}_a$  and  $\widehat{\mathbb{G}}_b$ , and let  $q:\widehat{\mathbb{G}}_a\to\widehat{\mathbb{G}}_b$  be an isogeny. Then there's an induced map  $(Z_E)_a\to (Z_E)_b$ , functorial in q and natural in Z. "Certain Ext groups over this Hecke algebra form the input to spectral sequences that compute homotopy groups of spaces of maps of strictly commutative ring spectra, for example." **This sounds like the beginning of an answer to my context question.** 

Section 11: flags of controlled rank ascending to  $\widehat{\mathbb{G}}[p]$  and a map Level $(1,\widehat{\mathbb{G}}) \to \operatorname{Flag}(\lambda,\widehat{\mathbb{G}})$ . Section 12: the orbit scheme  $\operatorname{Type}(A,\widehat{\mathbb{G}}) = \operatorname{Level}(A,\widehat{\mathbb{G}}) / \operatorname{Aut}(A)$ : smooth, finite, flat Section 15: formulas for computation Section 16: examples

**Theorem 6.2.15.** Let R be a complete local domain with positive residue characteristic p, and let F be a formal group of finite height d over R. If O is the ring of integers in the algebraic closure of the fraction field of R, then  $F(O)[p^k] \cong (\mathbb{Z}/p^k)^d$  and  $F(O)_{tors} \cong (\mathbb{Q}_p/\mathbb{Z}_p)^d$ .

Cite me: See Theorem 2.4.1 of Ando's thesis, though he just cites other people.

Section 20 of FPFP is about "full sets of points" and the comparison with the cohomology of the flag variety of a vector bundle.

### Talk with Nat:

- Definitions in terms of divisors.
- Equalizer diagram for quotients by finite subgroups.
- The image of a level structure  $\ell$  is a subgroup divisor.
- The schemes classifying subgroups and level structures (which are hard and easy respectively, and which have hard and easy connections to topology respectively).
- It's easy to give explicit examples of the behavior of level structures based on cyclic groups.
- Galois actions on the rings of level structures.

# 6.3 POST: The Drinfel'd ring and the universal level structure

### Talk with Nat:

- Recall the Lubin–Tate moduli problem.
- Show that quotients of deformations by finite subgroups give deformations again.
- Define the Drinfel'd ring.

- As an  $E^0$ -algebra, it carries the universal level structure.
- As an ind–(complete local ring), it corepresents deformations (by precomposition with the map  $E^0 \to D_n$ ) equipped with level structures.
- Describe the action by  $GL_n(\mathbb{Z}_p)$ . (Hint at the action by  $M_{n\times n}(\mathbb{Z}_p)$  with  $\det \neq 0$ .)
- Describe the isogenies pile and its relation to all this? (This doesn't really fit precisely, but it may be good to put here, on an algebraic day.)

### 6.4 POST: Descending coordinates along level structures

It's not clear to me what theorems about level structures and so forth are best included on this day and which belong back in the lecture above. We should be able to split things apart into stuff desired for character theory and stuff desired for descent.

Ando's Theorem 3.4.4: Let  $D_j$  be the ring extension of  $E_n$  which trivializes the  $p^j$ -torsion subgroup of  $\widehat{G}_{E_n}$ . Let H be a finite subgroup of  $\widehat{G}_{E_n}(D_k)$ . There is an unstable transformation of ring-valued functors

$$E_nX \xrightarrow{\Psi^H} D_j \otimes E_nX$$
,

and if *F* is an Ando coordinate then for any line bundle  $\mathcal{L} \to X$  there is a formula

$$\psi^H(e\mathcal{L}) = \prod_{h \in H} (h +_F e\mathcal{L}) \in D_j \otimes E_n(X).$$

 $D_j$  is Galois over  $E_n$  with Galois group  $GL_n(\mathbb{Z}/p^j)$ . If  $\rho$  is a collection of finite subgroups weighted by elements of  $E_n$  which is stable under the action of the Galois group, then  $\Psi^{\rho}$  descends to take values in just  $E_n$ . (For example, the entire subgroup has this property.)

This is built by a character map. Take  $H \subseteq F(D_j)[p^j]$  to be a finite subgroup again; then there is a map

$$\chi^H: E_n(D_{H^*}X) \to D_i \otimes E_n(X),$$

where  $D_{H^*}$  denotes the extended power construction on X using the Pontryagin dual of H. This composes to give an operation

$$Q^{H}: MU^{2*}(X) \xrightarrow{P_{H^*}} MU^{2|H|*}(D_{H^*}X) \to E_n^{2|H|*}(D_{H^*}X) \xrightarrow{\chi^{H}} D_j \otimes E_n^{2|H|*}(X).$$

Then  $Q^H$  is a ring homomorphism with effects

$$Q^{H}F^{MU} = F/H,$$
  $Q^{H}(e_{MU}\mathcal{L}) = \prod_{h \in H} h +_{F} e\mathcal{L}.$ 

Then we need to factor  $Q^H: MU(X) \to D_j \otimes E_n(X)$  across the orienting map  $MU \to E_n$ . Since  $E_n$  is Landweber flat and  $Q^H$  is a ring map, it suffices to do this for the one–point space, i.e., to construct a ring homomorphism

$$\Psi^H: E_n \to D_i$$

so that  $\Psi^H = \Psi^H(*) \otimes Q^H$ . The first condition above then translates to  $\Psi^H F^{MU} = F/H$ .

**Theorem 6.4.1.** For each  $\star$ -isomorphism class of lift F of  $\Phi$  to  $E_n$ , there is a unique choice of coordinate x on F, lifting the preferred coordinate on  $\Phi$ , such that  $\alpha_*^H F_x = F_x / H$ , or equivalently that  $l_H^x = f_H^x$ , for all finite subgroups H. (These morphisms are arranged in the following diagram:)

Cite me: Theorem 2.5.7 of Matt's the sis.

$$F_{x} \xrightarrow{f_{H}^{x}} F_{x}/H \xrightarrow{g_{H}^{x}} \alpha_{*}^{H}F_{x}$$

$$\downarrow x \uparrow \qquad \qquad \downarrow x_{H} \uparrow \qquad \qquad \downarrow \alpha_{*}x \uparrow \qquad \qquad \downarrow x_{*}f_{x}$$

$$\downarrow x \uparrow \qquad \qquad \downarrow x_{H} \uparrow \qquad \qquad \downarrow x_{*}f_{x}$$

$$\downarrow x \uparrow \qquad \qquad \downarrow x_{H} \uparrow \qquad \qquad \downarrow x_{*}f_{x}$$

$$\downarrow x \uparrow \qquad \qquad \downarrow x_{H} \uparrow \qquad \qquad \downarrow x_{*}f_{x}$$

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$$\downarrow x \uparrow \qquad \qquad \downarrow x_{H} \uparrow \qquad \qquad \downarrow x_{*}f_{x}$$

$$\downarrow x \uparrow \qquad \qquad \downarrow x_{H} \downarrow \qquad \qquad \downarrow x_{H} \downarrow$$

where  $\alpha_H : E_n \to D_k$  is the unique ring homomorphism such that there is a  $\star$ -isomorphism  $g_H : F/H \to \alpha_*^H F$ .

Section 2.7 of Matt's thesis works the example of a normalized coordinate for  $\hat{G}_m$ . It's not the p-typical coordinate. It is the standard one! Cool.

**Lemma 6.4.2.**  $P_r(x+y) = \sum_{j=0}^r \text{Tr}_{j,r}^{MU} d^*(P_j x \times P_{r-j} y)$ 

This expresses the non-additivity of the power operations on MU. It's apparently needed in the proof that Q<sup>H</sup> acts as it should on Euler classes. It involves transfer formulas, which may mean we need to work that section of HKR into that day.

*Proof.* Represent *x* and *y* by maps

$$U \xrightarrow{f} X$$
,  $V \xrightarrow{g} Y$ .

Then  $P_r(x + y)$  is represented by

$$D_r(U \sqcup V) \xrightarrow{D_r(f \sqcup g)} D_r X.$$

There is a decomposition

$$D_r(U \sqcup V) = \coprod_{i=0}^r E\Sigma_r \times_{\Sigma_j \times \Sigma_{r-j}} (U^j \times V^{r-j}),$$

and on the j factor the map  $D_r(f \sqcup g)$  restricts to

This is some serious work, and I don't think we'll prove it. The main point is that  $\alpha_*^p F_x = F_x/p$  can be reimagined as  $f_x^{\infty}(t) = [p]_{F_X}(t)$ , and this already is enough to determine what x is by descending along the power of the maximal ideal in  $E_n$ , the length of a full level structure, and pieces of a smaller level structure inside of the full one. It really is a long argument.

Cite me: Lemm 3.2.7 of Matt's th sis, BMMS86 pag 25, AHS  $H_{\infty}$  appendix.

$$E\Sigma_{r} \times_{\Sigma_{j} \times \Sigma_{r-j}} (U^{j} \times V^{r-j}) \xrightarrow{E\Sigma_{r} \times_{\Sigma_{r-j}} (f^{j} \times g^{r-j})} E\Sigma_{r} \times_{\Sigma_{j} \times \Sigma_{r-j}} X^{r}$$

$$\downarrow \qquad \qquad \downarrow$$

$$D_{r}(U \sqcup V) \xrightarrow{D_{r}(f \sqcup g)} D_{r}X,$$

where the vertical maps are projections. The counterclockwise composite represents the j summand of  $P_r(x+y)$  coming from the decomposition above; the clockwise composite represents the class  $\operatorname{Tr}_{j,r}^{MU} d^*(P_j x \times P_{r-j} y)$ .

There's also this useful naturality Lemma for power operations and Euler classes:  $P_{\pi}(eV) = e(D_{\pi}V \cdot D_{\pi}X)$ . Does that come up in the Quillen chapter? Maybe it should

Cite me: Prop .2.10 of Matt's theis, see also p. 42 of

I need to already know: Matt clain that 3.2.10, the above Lemma, is the beating heart of the paper. Look how similar it look to the formal grou law quotient formula! That's why an expanded formula must be included in the previous days, not jus Neil's geometric scribblings.

**Lemma 6.4.3.** Write  $\Delta : B\pi \times X \to D_{\pi}X$  and let  $\mathcal{L}$  be a complex line bundle on X.

$$\Delta^* P_{\pi}(e\mathcal{L}) = \prod_{u \in \pi^*} \left( e \left( \begin{array}{c} E\pi \times_u \mathbb{C} \\ \downarrow \\ B\pi \end{array} \right) +_{MU} e(\mathcal{L}) \right).$$

Matt in and before Theorem 3.3.2 describes the ring  $D_k$  as the *image* of the localization map  $E_n(B\Lambda_k) \to S^{-1}E_n(B\Lambda_k)$  rather than as the whole target. Why?? He cites HKR for this, but the citation is meaningless because the theorem numbering scheme is so old. Ah, comparing with Lemma 3.3.3 yields a clue:  $D_k$  has a universal property as it sits under  $E_n$ , rather than under  $E_n(B\Lambda_k)$ ...

Now, suppose that we pass down to the  $k^{\text{th}}$  Drinfel'd ring, so that the  $p^k$ -torsion in the formal group is presented as a discrete group  $\Lambda^*[p^k]$ . Pick such a subgroup  $H \subseteq \Lambda^*[p^k]$  with |H| = r, and consider also the dual map  $\pi : \Lambda[p^k] \to H^*$ . We define the character map associated to H to be the composite

$$\chi^H \colon E_n(D_{H^*}X) \xrightarrow{\Delta^*} E_n(BH^*) \otimes_{E_n} E_n(X) \xrightarrow{\chi_{\pi} \otimes 1} D_k \otimes_{E_n} E_n(X) =: D_k(X).$$

This definition is set up so that

$$\chi^H \left( e \left( \begin{array}{c} EH^* \times_u \mathbb{C} \\ \downarrow \\ BH^* \end{array} \right) \right).$$

In the presence of a coordinate *x*, this sews together to give a cohomology operation:

$$Q^{H} \colon MU^{2*}(X) \xrightarrow{P_{G}^{MU}} MU^{2r*}(D_{H^{*}}X)$$

$$\xrightarrow{\Delta^{*}} MU^{2r*}(BH^{*} \times X)$$

$$\xrightarrow{t_{x}} E_{n}(BH^{*} \times X)$$

$$\xrightarrow{\cong} E_{n}BH^{*} \otimes_{E_{n}} E_{n}X$$

$$\xrightarrow{\chi^{H} \otimes 1} D_{k}X.$$

It turns out that  $Q^H$  is a ring homomorphism (cf. careful manipulation of HKR's Theorem C, which may not be worth it to write out, but it seems like the main manipulation is the last line of Proof of Theorem 3.3.8 on pg. 466), so each choice of H (and x) determines a new coordinate on  $D_k$ .

**Theorem 6.4.4.** The effect of  $Q^H$  on Euler classes is

$$Q^H e_{MU} \mathcal{L} = f_H^x e_x \mathcal{L} \in D_k(X),$$

and its effect on coefficients is

$$Q_*^H F_{MU} = F_x / H.$$

*Proof.* We chase through results established so far:

$$Q^{H}(e_{MU}\mathcal{L}) = (\chi^{H} \otimes 1) \circ t_{x} \circ \Delta^{*} \circ P_{G}^{MU}(e_{MU}\mathcal{L})$$

$$= (\chi^{H} \otimes 1) \circ t_{x} \left( \prod_{u \in H^{*}*=H} e_{MU} \begin{pmatrix} EH^{*} \times_{u} \mathbb{C} \\ \downarrow \\ BH^{*} \end{pmatrix} +_{MU} e_{MU}\mathcal{L} \right)$$

$$= (\chi^{H} \otimes 1) \left( \prod_{u \in H} e_{E_{n}} \begin{pmatrix} EH^{*} \times_{u} \mathbb{C} \\ \downarrow \\ BH^{*} \end{pmatrix} +_{F_{x}} e_{E_{n}}\mathcal{L} \right)$$

$$= \prod_{u \in H} (\varphi_{univ}(u) +_{F_{x}} e_{E_{n}}\mathcal{L}) = f_{H}^{x}(e_{E_{n}}\mathcal{L}).$$

Then, "since  $D_k$  is a domain,  $F_x/H$  is completely determined by the functional equation"

$$f_H^x(F_x(t_1,t_2)) = F_x/H(f_H^x(t_1,f_H^x(t_2))).$$

Take  $t_1$  and  $t_2$  to be the Euler classes of the two tautological bundles  $\mathcal{L}_1$  and  $\mathcal{L}_2$  over  $\mathbb{C}P^{\infty} \times \mathbb{C}P^{\infty}$ , so that

$$Q^{H}(e_{MU}\mathcal{L}_{1} +_{MU}e_{MU}\mathcal{L}_{2}) = Q^{H} \left( e_{MU} \begin{pmatrix} \mathcal{L}_{1} \otimes \mathcal{L}_{2} \\ \downarrow \\ \mathbb{C}P^{\infty} \times \mathbb{C}P^{\infty} \end{pmatrix} \right)$$

$$= f_{H}^{x} \left( e_{E_{n}} \begin{pmatrix} \mathcal{L}_{1} \otimes \mathcal{L}_{2} \\ \downarrow \\ \mathbb{C}P^{\infty} \times \mathbb{C}P^{\infty} \end{pmatrix} \right) = f_{H}^{x}(t_{1} +_{F_{x}} t_{2}).$$

On the other hand,  $Q^H$  is a ring homomorphism, so we can also split it over the sum first:

$$Q^{H}(e_{MU}\mathcal{L}_{1} +_{MU}e_{MU}\mathcal{L}_{2}) = Q^{H}(e_{MU}\mathcal{L}_{1}) +_{Q_{*}^{H}F^{MU}}Q^{H}(e_{MU}\mathcal{L}_{2})$$
$$= f_{H}^{x}(t_{1}) +_{Q_{*}^{H}F^{MU}}f_{H}^{x}(t_{2}),$$

hence 
$$f_H^x(t_1) +_{Q_*^H F^{MU}} f_H^x(t_2) = f_H^x(t_1 +_{F_x} t_2)$$
 and  $Q_*^H F^{MU} = F_x / H$ .

Finally, we would like to produce a factorization

$$MU \xrightarrow{\Psi^H} E_n \to D_k$$

of the long natural transformation  $Q^H$ . Since  $E_n$  was built by Landweber flatness, it suffices to do this on coefficient rings, i.e., when applying the functors in the diagram to the one-point space. On a point, our calculations above show that  $\Psi^H$  exists exactly when  $\alpha_*^H F_x = F_x/H$ . We did this algebraic calculation earlier: given any coordinate, there is a unique coordinate P that is  $\star$ -isomorphic to it and through which the operations  $Q^H$  factor to give ring operations  $\Psi^H$  for all subgroups  $H \subseteq \Lambda_k^* = F_P(D_k)[p^k]$ . This solves the problem of giving the operations the right *source*.

Leave a remark in here about this: McClure in BMMS works along similar lines to show that the Quillen idempotent is not  $H_{\infty}$ , but he doesn't get any positive results (and, in particular, he can't complete his analysis as we do because he doesn't have access to the BP-homology of finite groups and to HKR character theory). One won ders whether the stuff here does say something about BP as the height tends toward  $\infty$ . So far as I know, no one has written much about this. Surely it remains a bee in Matt's bonnet.

Now we focus on giving the operations the right *target*. This is considerably easier. The group  $\operatorname{Aut}(\Lambda_k^*)$  acts on the set of subgroups of  $\Lambda_k^*$ , and we define a ring  $\operatorname{Op}^k$  by the fixed points of  $\operatorname{Aut}(\Lambda_k^*)$  acting on the polynomial ring  $E_n[\operatorname{subgroups} \operatorname{of} \Lambda_k^*]$ . Note that  $\operatorname{Op}^k \subseteq \operatorname{Op}^{k+1}$ , and define  $\operatorname{Op} = \operatorname{colim}_k \operatorname{Op}^k$ , which consists of elements  $\rho = \sum_{i \in I} a_i \prod_{H \in \alpha_i} H$ , I a finite set,  $a_i \in E_n$ , and  $\alpha_i$  are certain  $\operatorname{Aut}(\Lambda_k^*)$ —stable lists of subgroups of  $\Lambda_k^*$ ,  $k \gg 0$ , with possible repetitions. For such a  $\rho$ , we define the associated operations

$$Q^{\rho} \colon MU^{2*}(X) \xrightarrow{\sum_{i \in I} a_i \prod_{H \in \alpha_i} Q^H} D_k(X),$$

$$\Psi^{\rho} \colon E_n(X) \xrightarrow{\sum_{i \in I} a_i \prod_{H \in \alpha_i} \Psi^H} D_k(X).$$

The theorem is that these actually land in  $E_n(X)$ , as they definitely land in  $D_k^{\text{Aut}(\Lambda_k^*)} \otimes_{E_n} E_n(X)$ , and Galois descent for level structures says that left–hand factor is just  $E_n$ .

ample of the subgroups  $\hat{G}_m[p^j]$  in padic K-theory and the compares it with some Hopf ring analysis of  $E_nE_{n*}$ 

### 6.5 POST: The moduli of subgroup divisors

Following... the original? Following Nat?

Continuing on from the above, if we expected  $E_n$  to be  $E_\infty$  (or even  $H_\infty$ ) so that it had power operations, then we would want to understand  $E_n B \Sigma_{p^j}$  and match that with the operations we see.

There are union maps

$$B\Sigma_{j} \times B\Sigma_{k} \to B\Sigma_{j+k}$$
,

stable transfer maps

$$B\Sigma_{j+k} \to B\Sigma_j \times B\Sigma_k$$

and diagonal maps

$$B\Sigma_j \to B\Sigma_j \times B\Sigma_j$$
.

These induce a coproduct  $\psi$  as well as products  $\times$  and  $\bullet$  on  $E^0\mathbb{PS}^0$ , where  $\mathbb{PS}^0 = \coprod_{j=0}^{\infty} B\Sigma_j$  is the free  $E_{\infty}$ -ring on  $\mathbb{S}^0$ . This is a Hopf ring, and under  $\times$  alone it is a formal power series ring. The  $\times$ -indecomposables (which, I guess, are analogues of considering additive unstable cooperations) are

$$Q^{\times}E^{0}\mathbb{P}\mathbb{S}^{0}=\prod_{k\geq 0}\left(E^{0}B\Sigma_{p^{k}}/\operatorname{tr}E^{0}B\Sigma_{p^{k-1}}^{p}\right)$$
,

where the  $k^{\text{th}}$  factor in the product is naturally isomorphic to  $\mathcal{O}_{\operatorname{Sub}_{p^k}(\widehat{\mathbb{G}})}$ . The primitives are also accessible as the kernel of the dual restriction map.

Theorem 3.2 shows that  $E^0B\Sigma_k$  is free over  $E^0$ , Noetherian, and of rank controlled by generalized binomial coefficients. Prop 3.4 is the only place where work gets done, and it's all in terms of K-theory and HKR characters.

There's actually an extra coproduct, coming from applying D to the fold map  $S^0 \vee S^0 \to S^0$ .

The main content of Prop 5.1 (due to Kashiwabara) is that  $K_0\mathbb{PS}^0$  injects into  $K_0\underline{BP_0}$ . Grading  $K_0\mathbb{PS}^0$  using the k-index in  $B\Sigma_k$ , you can see that it's of graded finite type, so we need only know it has no nilpotent elements to see that  $K_0\mathbb{PS}^0$  is \*-polynomial. This follows from our computation that  $K_0\underline{BP_0}$  is a tensor of power series and Laurent series rings. Corollary 5.2 is about  $K_0QS^0$ , which is the group completion of  $K_0\mathbb{PS}^0$ , so it's the tensor of  $K_0\mathbb{PS}^0$  with a graded field.

Prop 5.6, using a double bar spectral sequence method, shows that  $K^0QS^2$  is a formal power series algebra. Tracking the spectral sequences through, you'll find that  $Q^{\times}K^0QS^0$  agrees with  $PK^0QS^2$ . (You'll also notice that  $K^0QS^2$  only has one product on it, cf. Remark 5.4.)

Snaith's theorem says  $\Sigma^{\infty}QX = \Sigma^{\infty}\mathbb{P}X$  for connected spaces X. You can also see (just after Theorem 6.2) the nice equivalences

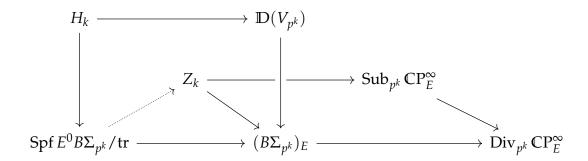
$$\mathbb{P}_k S^2 \simeq B\Sigma_k^{V_k} \simeq \mathbb{P}_k(S^0)^{V_k}$$

where superscript denotes Thom complex. So, for a complex-orientable cohomology theory, you can learn about  $\mathbb{P}_k S^0$  from  $\mathbb{P}_k S^2$ . In particular, we finally learn that  $E^0 \mathbb{P} S^0$  is a formal power series  $\times$ –algebra (once checking that the Thom isomorphism is a ring map). (We already knew the homological version of this claim.)

Section 8 has a nice discussion about indecomposables and primitives, to help move back and forth between homology and cohomology. It probably helps most with the dimension count argument below that we aren't going to get into.

Start again with  $D_{p^k}S^2 \simeq B\Sigma_{p^k}^{V_{p^k}}$ . We can associate to this a divisor  $\mathbb{D}(V_{p^k})$  on  $(B\Sigma_{p^k})_E$ , which we know little about, but it is classified by a map to  $\mathrm{Div}_{p^k}\mathbb{C}\mathrm{P}_E^\infty$ . This receives a

closed inclusion from  $\operatorname{Sub}_{p^k}\operatorname{CP}_E^\infty$ , so their pullback  $Z_k$  is the largest subscheme of  $(B\Sigma_{p^k})_E$  over which  $\mathbb{D}(V_{p^k})$  is a subgroup divisor.



We will show the existence of the dashed map, implying that the restricted divisor  $H_k$  is a subgroup divisor on  $Y_k = \operatorname{Spf} E^0 B \Sigma_{v^k} / \operatorname{tr}$ .

(Prop 9.1:) This proof falls into two parts: first we construct a family of maps to  $(B\Sigma_{p^k})_E$  on whose image  $\mathbb{D}(V_{p^k})$  restricts to a subgroup divisor, and then we show that the union of their images is exactly  $Y_k$ . Let A be an abelian p-subgroup of  $\Sigma_{p^k}$  that acts transitively on  $\{1,\ldots,p^k\}$  (i.e., it is not boosted from some transfer). The restriction of  $V_{p^k}$  to A is the regular representation, which splits as a sum of characters  $V_{p^k}|_A = \bigoplus_{\mathcal{L} \in A^*} \mathcal{L}$ . Identifying  $BA_E = \underline{\text{FormalGroups}}(A^*, \mathbb{C}P_E^{\infty})$ ,  $\mathbb{D}(V_{p^k})$  restricts all the way to  $\Sigma_{\mathcal{L} \in A^*}[\varphi(\mathcal{L})]$ , with  $\varphi: A^* \to \text{"}\Gamma(\operatorname{Hom}(A^*,\widehat{\mathbb{G}}),\widehat{\mathbb{G}})$ ". In Finite Subgroups of Formal Groups (see Props 22 and 32), we learned that the restriction of  $\mathbb{D}(V_{p^k})$  further to Level $(A^*, \mathbb{C}P_E^{\infty})$  is a subgroup divisor. So, our collection of maps are those of the form

$$\operatorname{Level}(A^*,\mathbb{C}\mathrm{P}_E^\infty) \to \underline{\operatorname{FormalGroups}}(A^*,\mathbb{C}\mathrm{P}_E^\infty) = BA_E \to (B\Sigma_{p^k})_E.$$

Here, finally, is where we have to do some real work involving Chern classes and commutative algebra, so I'm inclined to skip it in the lectures. Finally, you do a dimension count to see that  $Z_k$  and  $\operatorname{Spf} E^0 B \Sigma_{p^k} / \operatorname{tr}$  have the same dimension (which requires checking enough commutative algebra to see that "dimension" even makes sense), and so you show the map is injective and you're done.

Here's Neil's proof of the joint images claim. It seems like a clear enough use of character theory that we should include it, if we can make character theory itself clear.

Recall from [18, Theorem 23] that  $\operatorname{Level}(A^*,\widehat{\mathbb{G}})$  is a smooth scheme, and thus that  $D(A) = \mathcal{O}_{\operatorname{Level}(A^*,\widehat{\mathbb{G}})}$  is an integral domain. Using [18, Proposition 26], we see that when  $\mathcal{L} \in A^*$  is nontrivial, we have  $\varphi(\mathcal{L}) \neq 0$  as sections of  $\widehat{\mathbb{G}}$  over  $\operatorname{Level}(A^*,\widehat{\mathbb{G}})$ , and thus  $e(\mathcal{L}) = x(\varphi(\mathcal{L})) \neq 0$  in D(A). It follows that that  $c_{p^k} = \prod_{\mathcal{L} \neq 1} e(\mathcal{L})$  is not a zero-divisor in D(A). On the other hand, if A' is an Abelian p-subgroup of  $\Sigma_{p^k}$  which does not act transitively on  $\{1,\ldots,p^k\}$ , then the restriction of  $V_{p^k}1$  to A' has a trivial summand, and

thus  $c_{p^k}$  maps to zero in D(A'). Next, we recall the version of generalised character theory described in [8, Appendix A].

$$p^{-1}E^0BG = \left(\prod_A p^{-1}D(A)\right)^G$$

where A runs over all Abelian p-subgroups of G. As  $\overline{R}_k = E^0(B\Sigma_{p^k})/ann(c_{p^k})$  and everything in sight is torsion-free, we see that  $p^1\overline{R}_k$  is the quotient of  $p^1E^0B\Sigma_{p^k}$  by the annihilator of the image of  $c_{p^k}$ . Using our analysis of the images of  $c_{p^k}$  in the rings D(A), we conclude that

$$p^{-1}\overline{R}_k = \left(\prod_A p^1 D(A)\right)^{\Sigma_{p^k}},$$

where the product is now over all transitive Abelian p-subgroups. This implies that for such A, the map  $E^0B\Sigma_{p^k}\to D(A)$  factors through  $\overline{R}_k$ , and that the resulting maps  $\overline{R}_k\to D(A)$  are jointly injective. This means that  $Y_k=\operatorname{Spf}\overline{R}_k$  is the union of the images of the corresponding schemes  $\operatorname{Level}(A^*,\widehat{\mathbb{G}})$ , as required.

### 6.6 POST: Interaction with $\Theta$ -structures

The Ando–Hopkins–Strickland result that the  $\sigma$ –orientation is an  $H_{\infty}$ –map

The main classical point is that an  $MU\langle 0\rangle$ -orientation is  $H_{\infty}$  when the following diagram commutes for every choice of A:

$$(BA^* \times \mathbb{C}\mathrm{P}^{\infty})^{V_{reg} \otimes \mathcal{L}} \longrightarrow D_n MU\langle 0 \rangle \longrightarrow D_n E$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow$$

$$MU\langle 0 \rangle \longrightarrow E$$

(This is equivalent to the condition given in the section on Matt's thesis. In fact, maybe I should try writing this so that Matt's thesis uses the same language?) If you write out what this means, you'll see that a given coordinate on E pulls back to give two elements in the E-cohomology of that Thom spectrum (or: sections of the Thom sheaf), and the orientation is  $H_{\infty}$  when they coincide.

Similarly, an  $MU\langle 6 \rangle$ -orientation corresponds to a section of the sheaf of cubical structures on a certain Thom sheaf. Using the  $H_{\infty}$  structures on  $MU\langle 6 \rangle$  and on E give two sections of the pulled back sheaf of cubical structures, and the  $H_{\infty}$  condition is that they agree for all choices of group A.

Then you also need to check that the  $\sigma$ -orientation actually satisfies this.

The AHS document really restrictions attention to  $E_2$ . Is there a version of this story that gives non-supersingular orientations too, or even the  $K_{\text{Tate}}$  orientation? I can't tell if the restriction in AHS's exposition comes from not knowing that  $K_{\text{Tate}}$  has an  $E_{\infty}$  structure or if it comes from a restriction on the formal group. (At one point it looks like they only need to know that p is regular on  $\pi_0 E$ , cf. 16.5...)

Section 3.1: Intrinsic description of the isogenies story for an  $H_{\infty}$  complex orientable ring spectrum, without mention of a specific orientation / coordinate. This is nice: it means that a complex orientation has to be a coordinate which is compatible with the descent picture already extant on the level of formal groups, which is indeed the conclusion of Matt's thesis.

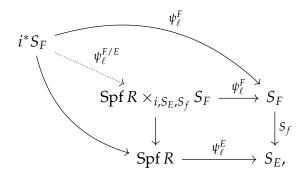
Section 3.2: They define an abelian group indexed extended power construction

$$D_A(X) = \mathcal{L}(U^{A^*}, U) \wedge_{A^*} X^{(A^*)},$$

where  $\mathcal{L}(U^{A^*}, U)$  is the space of linear isometries from the  $A^{*th}$  power of a universe U down to itself. Yuck. Then, given a level structure  $(i: \operatorname{Spf} R \to S_E, \ell: A_{\operatorname{Spf} R} \to i^*\widehat{\mathbb{G}})$ , they construct a map

$$\psi_\ell^E \colon \pi_0 E \xrightarrow{D_A} \pi_0 \mathsf{Spectra}(D_A S^0, E) = \pi_0 E^{BA_+^*} o \mathcal{O}((BA^*)_E) \xrightarrow{\chi_\ell} R,$$

where  $\chi_\ell$  is the map classifying the homomorphism  $\ell$ . This is a continuous map of rings: it's clearly multiplicative, it's additive up to transfers (but those vanish for an abelian group), and it's continuous by an argument in Lemma 3.10. (You don't actually need an abelian group here; you can work in the scheme of subgroups — i.e., in the cohomology of  $B\Sigma_k$  modulo transfers — and this will still work.) This construction is natural in  $H_\infty$  maps  $f: E \to F$ :



begetting the relative map  $\psi_{\ell}^{F/E}$ :  $i^*S_F \to (\psi_{\ell}^E)^*S_F$  as indicated. For example, take  $F = E^{\mathbb{C}\mathrm{P}_+^\infty}$ , so that  $\widehat{\mathbb{G}} = S_F$ , giving the (group) map

$$\psi_{\ell}^{\widehat{\mathbb{G}}/E} \colon i^*\widehat{\mathbb{G}} \to (\psi_{\ell}^E)^*\widehat{\mathbb{G}}.$$

One of the immediate goals is to show that this is an isogeny. A different construction we can do is take V to be a virtual bundle over X and set  $F = E^{X_+}$ . Given  $m \in \pi_0 \operatorname{Spectra}(X^V, E)$  applying the construction of  $D_A$  above gives an element

$$\psi^V_\ell(m) \in R \underset{\chi_\ell, \hat{\pi}_0 E^{BA_+^*}}{\widehat{\otimes}} \hat{\pi}_0 \mathsf{Spectra}((BA^* \times X)^{V_{\mathit{reg}} \otimes V}, E).$$

This map is additive and also  $\psi_\ell^V(xm) = \psi_\ell^F(x)\psi_\ell^V(m)$ , so we can interpret this as a map

$$\psi_{\ell}^{V} \colon (\psi_{\ell}^{F})^{*}\mathbb{L}(V) \to \chi_{\ell}^{*}\mathbb{L}(V_{reg} \otimes V)$$

of line bundles over  $i^*S_F = i^*X_E$ .

**Lemma 6.6.1.** The map  $\psi_{\ell}^{V}$  has the following properties:

Cite me: Lemma 3.19 of AHS  $H_{\infty}$ .

- 1. If m trivializes  $\mathbb{L}(V)$  then  $\psi_{\ell}^{V}(m)$  trivializes  $\chi_{\ell}^{*}\mathbb{L}(V_{reg}\otimes V)$ .
- $2. \ \psi_\ell^{V_1 \oplus V_2} = \psi_\ell^{V_1} \otimes \psi_\ell^{V_2}.$
- 3. For  $f \colon Y \to X$  a map,  $\psi_{\ell}^{f^*V} = f^*\psi_{\ell}^V$ .

In particular, we can apply this to  $X = \mathbb{C}P^{\infty}$  and  $\mathbb{L}(\mathcal{L} - 1) = \mathcal{I}(0)$ . Then 8.11 gives

$$\psi_{\ell}^{\mathcal{L}-1} \colon (\psi_{\ell}^F)^* \mathcal{I}_{\widehat{\mathbf{G}}}(0) \to \chi_{\ell}^* \mathbb{L}(V_{reg} \otimes (\mathcal{L}-1)) = \mathcal{I}_{i^* \widehat{\mathbf{G}}}(\ell).$$

**Theorem 6.6.2.** The map  $\psi_{\ell}^{\widehat{G}/E}$ :  $i^*\widehat{G} \to (\psi_{\ell}^E)^*\widehat{G}$  of 3.15 is an isogeny with kernel  $[\ell(A)]$ . Using  $\psi_{\ell}^{\widehat{G}/E}$  to make the identification

$$(\psi_{\ell}^{\widehat{\mathbb{G}}/E})^*\mathcal{I}_{(\psi_{\ell}^E)^*\widehat{\mathbb{G}}}(0)\cong\mathcal{I}_{i^*\widehat{\mathbb{G}}}(\ell),$$

the map  $\psi_{\ell}^{\mathcal{L}-1}$  sends a coordinate x on  $\widehat{\mathbb{G}}$  to the trivialization  $(\psi_{\ell}^{\widehat{\mathbb{G}}/E})^*(\psi_{\ell}^E)^*x$  of  $\mathcal{I}_{i*\widehat{\mathbb{G}}}(\ell)$ .

3.24 might be interesting.

So far, it seems like the point is that the identity map on  $MU\langle 0 \rangle$  classifies a section of the ideal sheaf at zero of the universal formal group which is compatible with descent for level structures, so any  $H_{\infty}$  map out of  $MU\langle 0 \rangle$  classifies not just a section of the ideal sheaf at zero of whatever other formal group but does so in a way that is, again, compatible with descent for level structures.

**Theorem 6.6.3.** Let  $g: MU\langle 0 \rangle \to E$  be a homotopy multiplicative map, and let  $s = s_g$  be the corresponding trivialization of  $\mathcal{I}_{\widehat{\mathbb{G}}}(0)$ . If the map g is  $H_{\infty}$ , then for any level structure  $\ell: A \to i^*\widehat{\mathbb{G}}$  the section s satisfies the identity

Cite me: Prop

The discussion leading up to this theorem seems interesting, especially equations 4.10,12.

$$N_{\psi_{\ell}^{\widehat{\mathbb{G}}/E}}i^*s=(\psi_{\ell}^E)^*s$$
,

in which the isogeny  $\psi_\ell^{\widehat{\mathbf{G}}/E}$  has been used to make the identification

$$N_{\psi_{\ell}^{\widehat{\mathbf{G}}/E}}i^*\mathcal{I}_{\widehat{\mathbf{G}}}(0)\cong\mathcal{I}_{(\psi_{\ell}^E)^*\widehat{\mathbf{G}}}(0).$$

**Lemma 6.6.4.** For V a vector bundle on a space X and  $V_{reg}$  the (vector bundle over  $BA^*$  induced from) the regular representation on A, there is an isomorphism of sheaves over  $(BA^* \times X)_E$ 

Cite me: Eqn 5.3, generalizes Quillen's splitting formula.

$$\mathbb{L}(V_{reg} \otimes V) \cong \bigotimes_{a \in A} \widetilde{T}_a \mathbb{L}(V).$$

Eqn 5.4 claims to use 5.3 but seems to be using something about the behavior of the norm map on line bundles vs the translated sum of divisors appearing in 5.3.

The beginning of the proof of 6.1 appears to be a simplification of some of the descent arguments appearing in the algebraic parts of Matt's thesis's main calculations. On the other hand, I can't even read what the McClure reference in 6.1 is doing. What's  $\Delta^*$ ??

**Lemma 6.6.5.** Take  $\pi_0 E$  to be a complete local ring and  $\widehat{\mathbb{G}}_E$  to be of finite height. If  $B^* \subset A^*$  is a proper subgroup, then the following composite map of  $\pi_0 E$ -modules is zero:

$$\pi_0 E^{BB_+^*} \xrightarrow{transfer} \pi_0 E^{BA_+^*} \xrightarrow{\chi_\ell} \mathcal{O}(T).$$

*Proof.* It suffices to consider the tautological level structure over Level( $A, \widehat{\mathbb{G}}$ ). We may take A to be a p-group, and indeed for now we set  $A = \mathbb{Z}/p$ , B = 0. For  $t \in \pi_0 E^{\mathbb{CP}_+^{\infty}}$  a coordinate with formal group law F, we have

$$\pi_0 E^{BA_+^*} \cong \pi_0 E[[t]]/[p]_F(t)$$

and  $\tau: \pi_0 E^{BB_+^*} = \pi_0 E \to \pi_0 E^{BA_+^*}$  is given by  $\tau(1) = \langle p \rangle_F(t)$ , where  $\langle p \rangle_F(t) = [p]_F(t)/t$  is the "reduced *p*–series". The result then follows from the isomorphism  $\mathcal{O}(\text{Level}(\mathbb{Z}/p,\widehat{\mathbb{G}}_E)) \cong$  $\pi_0 E[t]/\langle p \rangle_F(t)$ . The result then follows in general by induction:  $B^*$  can be taken to be a *maximal* proper subgroup of  $A^*$ , with cokernel  $\mathbb{Z}/p$ .

*Example 6.6.6.* Let  $\widehat{\mathbb{G}}_m$  be the formal multiplicative group with coordinate x so that the group law is

$$x +_{\widehat{\mathbb{G}}_m} y = x + y - xy$$
,  $[p](x) = 1 - (1 - x)^p$ .

The monomorphism  $\mathbb{Z}/p \to \widehat{\mathbb{G}}_m(\mathbb{Z}[\![y]\!]/[p](y))$  given by  $j \mapsto [j](y)$  becomes the zero map under the base change

$$\mathbb{Z}[y]/[p](y) \to \mathbb{Z}/p,$$
  
 $y \mapsto 0.$ 

Remark 6.6.7. If R is a domain of characteristic 0, then a level structure over R actually induces a monomorphism on points.

**Lemma 6.6.8.** *The natural map* 

$$\mathcal{O}(\overline{\mathsf{FormalGroups}}(\mathbb{Z}/p,\widehat{\mathbb{G}})) \to R \times \mathcal{O}(\operatorname{Level}(\mathbb{Z}/p,\widehat{\mathbb{G}}))$$

lis injective.

gebraic day).

Proof.

I left off at Section 10

Descent along level structures, simplicially (Section 11) –

Write Level(A)  $\rightarrow$  FGps for the parameter space of a formal group equipped with a level—A structure, together with its structure map (to the *coarse moduli of formal groups!!!*). We define a sequence of schemes by: Level<sub>0</sub> = FGps, Level<sub>1</sub> =  $\coprod_{A_0}$  Level( $A_0$ ) for finite abelian groups  $A_0$ , and most generally

$$Level_n = \coprod_{0=A_n \subseteq \cdots \subseteq A_0} Level(A_0).$$

There are two maps Level<sub>1</sub>  $\rightarrow$  Level<sub>0</sub>. One is the structural one, where we simply peel off the formal group and forget the level structure. The other comes from the quotient map:  $\ell \colon A \to \widehat{\mathbb{G}}$  yields a quotient isogeny  $q \colon \widehat{\mathbb{G}} \to \widehat{\mathbb{G}}/\ell$ , and we take the second map Level<sub>1</sub>  $\rightarrow$  Level<sub>0</sub> to send  $\ell$  to  $\widehat{\mathbb{G}}/\ell$ . Then, consider the following Lemma:

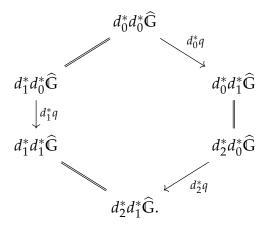
**Lemma 6.6.9.** For  $\ell \colon A \to \widehat{\mathbb{G}}$  a level structure and  $B \subset A$  a subgroup, the induced map  $\ell|_B \colon B \to \widehat{\mathbb{G}}$  is a level structure and the quotient  $\widehat{\mathbb{G}}/\ell|_B$  receives a level structure  $\ell' \colon A/B \to \mathbb{G}$  $\mathbb{G}/\ell|_{B}$ .

This gives us enough compatibility among quotients to use the two maps above to assemble the Level\* schemes into a simplicial object. Most face maps just omit a subgroup, except for the last face map, since the zero subgroup is not permitted to be omitted. Instead, the last face map sends the string of subgroups  $0 = A_n \subseteq A_{n-1} \subseteq \cdots \subseteq A_0$  and level structure  $\ell \colon A_0 \to \widehat{\mathbb{G}}$  to the quotient string  $0 = A_{n-1}/A_{n-1} \subseteq \cdots \subseteq A_0/A_{n-1}$ and quotient level structure  $\ell \colon A_0/A_{n-1} \to \widehat{\mathbb{G}}/\ell|_{A_{n-1}}$ . The degeneracy maps come from lengthening one of these strings by an identity inclusion.

**Definition 6.6.10.** Let  $\widehat{G} \colon F \to FGps$  be a functor over formal groups, and define schemes Cite me: Defini Level $(A, F) = \text{Level}(A) \times_{\widehat{G}} F$  and Level $_n(F) = \text{Level}_n \times_{\widehat{G}} F$ . Then, descent data for level structures on F is the structure of a simplicial scheme on Level<sub>\*</sub>(F), together with a morphism of simplicial schemes Level<sub>\*</sub> $(F) \rightarrow$  Level<sub>\*</sub>. It is enough to specify a map  $d_1$ : Level<sub>1</sub> $(F) \rightarrow$ F, use that to build the simplicial scheme structure as in the above Lemma, and assert that the following square commutes:

$$\begin{array}{ccc}
\text{Level}_1(F) & \longrightarrow & \text{Level}_1 \\
\downarrow^{d_1} & & \downarrow^{d_1} \\
F & \longrightarrow & \text{FGps.}
\end{array}$$

*Example* 6.6.11. Let  $\widehat{\mathbb{G}}$ :  $S \to FGps$  be a formal group of finite height over a p-local formal scheme S. The functor Level $(A, \widehat{\mathbb{G}})$  is exactly the functor defined in Section 9 (see above), and in particular it is represented by an S-scheme. The maps  $\psi_\ell$  and  $f_\ell$  from Definition 3.1 amount to giving a map  $d_1$ : Level<sub>1</sub>( $\widehat{\mathbb{G}}$ )  $\to S$  and an isogeny  $q: d_0^*\widehat{\mathbb{G}} \to d_1^*\widehat{\mathbb{G}}$  whose kernel on Level( $A,\widehat{\mathbb{G}}$ ) is A. The other conditions on Definition 3.1 exactly ensure that (Level<sub>\*</sub>( $\widehat{\mathbb{G}}$ ),  $d_*$ ,  $s_*$ ) is a simplicial functor and over Level<sub>2</sub>( $\widehat{\mathbb{G}}$ ) the relevant hexagonal diagram commutes:



*Example* 6.6.12. We now further package this into a single object. Let  $\widehat{\underline{G}}$  be the functor over FGps whose value on R is the set of pullback diagrams

$$\widehat{\mathbb{G}}' \xrightarrow{f} \widehat{\mathbb{G}}$$

$$\downarrow \qquad \qquad \downarrow$$

$$\operatorname{Spf} R \xrightarrow{i} S$$

such that the map  $\widehat{\mathbb{G}}' \to i^*\widehat{\mathbb{G}}$  induced by f is a homomorphism (hence isomorphism) of formal groups over Spf R. For a finite abelian group A, write Level $(A, \widehat{\underline{\mathbb{G}}})(R)$  for the set of diagrams

$$A_{\operatorname{Spf} R} \xrightarrow{\ell} \widehat{\mathbb{G}}' \xrightarrow{f} \widehat{\mathbb{G}}$$

$$\downarrow \qquad \qquad \downarrow$$

$$\operatorname{Spf} R \xrightarrow{i} S$$

where the square forms a point in  $\underline{\widehat{G}}(R)$  and  $\ell$  is a level–A structure. Giving a map of functors  $d_1$ : Level<sub>1</sub>( $\underline{\widehat{G}}$ )  $\to$   $\underline{\widehat{G}}$  making the above square commute is to give a pullback diagram

$$\widehat{\mathbb{G}}/\ell \longrightarrow \widehat{\mathbb{G}}$$

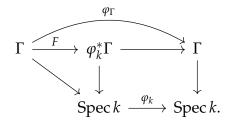
$$\downarrow \qquad \qquad \downarrow$$

$$\text{Level}_1(\widehat{\mathbb{G}}) \longrightarrow S,$$

or equivalently a map of formal schemes  $\operatorname{Level}_1(\widehat{\mathbb{G}}) \to S$  and an isogeny  $q \colon d_0^* \widehat{\mathbb{G}} d_1^* \widehat{\mathbb{G}}$  whose kernel on  $\operatorname{Level}(A,\widehat{\mathbb{G}})$  is A. Therefore, descent data for level structures on the formal group  $\widehat{\mathbb{G}}$  (in the sense of Section 3) are equivalent to descent data for level structures on the functor  $\widehat{\mathbb{G}}$ .

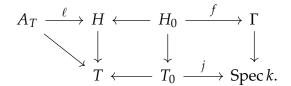
—— Section 12: Descent for level structures on Lubin–Tate groups ——

Let k be perfect of positive characteristic p, and let  $\Gamma$  be a formal group of finite height over k. Recall that this induces a relative Frobenius

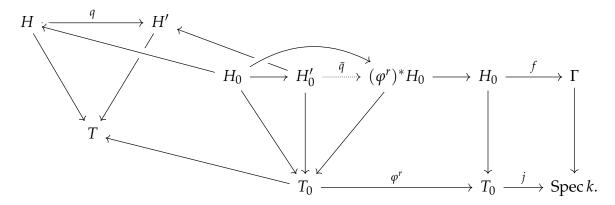


The map F is an isogeny of degree p, with kernel the divisor  $p \cdot [0]$ . Recall also that a deformation H of  $\Gamma$  to T induces a map  $\underline{H} \to \operatorname{Def}(\Gamma)$ , and there is a universal such  $\widehat{\mathbb{G}}$  over the ground scheme  $S \cong \operatorname{Spf} \mathbb{W}(k)[\![u_1,\ldots,u_{d-1}]\!]$  such that  $\underline{\widehat{\mathbb{G}}} \to \operatorname{Def}(\Gamma)$  is an isomorphism of functors over FGps.

Now consider a point in Level(A, Def  $\Gamma$ ):



The level structure  $\ell$  gives rise to a quotient isogeny  $q \colon H \to H'$ . Since A is sent to 0 in  $\mathcal{O}_{T_0}$ , there is a canonical map  $\bar{q}$  fitting into the diagram



The map  $\bar{q}$  combines with the rest of the maps to exhibit H' as a deformation of  $\Gamma$ , and hence we get a natural transformation

$$d_1$$
: Level<sub>1</sub>(Def( $\Gamma$ ))  $\rightarrow$  Def( $\Gamma$ ).

Since  $\varphi^r \varphi^s = \varphi^{r+s}$ , this gives descent data for level structures on  $Def(\Gamma)$ . Identifying this functor with  $\underline{\widehat{G}}$  using Lubin–Tate theory, we equivalently have shown the existence of descent data for level structures on  $\widehat{G}$ .

Incidentally, the descent data constructed here is also the descent data that would come from the structure of an  $E_{\infty}$ -orientation on the Morava E-theory  $E_d$ , essentially because the divisor associated to the kernel of the relative Frobenius on the special fiber is forced to be p[0], and everything is dictated by how the deformation theory *has* to go (and the fact that the topological operations we're studying induce deformation-theoretic-describable operations on algebra).

——Section 15: Level structures on elliptic curves, and the relation to the  $\sigma$ -orientation / the corresponding section of the  $\Theta^3$ -sheaf——

#### Other stuff that goes in this chapter

Dyer–Lashof operations, the Steenrod operations, and isogenies of the formal additive group

Another augmentation to the notion of a context: working not just with  $E_*X$  but with  $E_*(X \times BG)$  for finite G.

Charles's *The congruence criterion* paper codifies the Hecke algebra picture Neil is talking about, and in particular it talks about sheaves over the pile of isogenies.

If we're going to talk about that Hecke algebra, then maybe we can also talk about the period map, since one of the main points of it is that it's equivariant for that action.

Section 3.7 of Matt's thesis also seems to deal with the context question: he gives a character-theoretic description of the total power operation, which ties the behavior of the total power operation to a formula of type "decomposition into subgroups". Worth reading.

The rational story: start with a sheaf on the isogenies pile. Tensor everything with  $\mathbb{Q}$ . That turns this thing into a rational algebra under the Drinfel'd ring together with an equivariant action of  $GL_n\mathbb{Q}_p$ .

Matt's Section 4 talks about the  $E_{\infty}$  structure on  $E_n$  and compatibility with his power operations. It's not clear how this doesn't immediately follow from the stuff he proves in Section 3, but I think I'm just running out of stream in reading this thesis. One of the neat features of this later section is that it relies on calculations in  $E_n D_{\pi} \underline{M} \underline{U}_{2*}$ , which is an interesting way to mix operations coming from instability and from an  $H_{\infty}$ -structure. This is yet another clue about what the relevant picture of a context should look like. He often cites VIII.7 of BMMS.

Mike says that Mahowald–Thompson analyzed  $L_{K(n)}\Omega S^{2n+1}$  by writing down some clever finite resolution. The resolution that they produce by hand is actually exactly what you would get if you tried to understand the mapping spectral sequence for  $E_{\infty}(E_n^{\Omega S^{2n+1}}, E_n)$ .

Mike also says that a consequence of the unpublished Hopkins-Lurie ambidexterity

Cite me: See Neil's Steenrod algebra note, maybe Talk to Mike?.

This is Nat's claim. Check back with him about how this is visible. follow-up is that the comparison map  $Spaces(*,Y) \to E_{\infty}(E_n^Y,E_n^*)$  is an equivalence if Y is a finite Postnikov tower living in the range of degrees visible to Morava E–theory.

# Appendix A

## Loose ends

I'd like to spend a couple of days talking about ways the picture in this class can be extended, finally, some actually unanswered questions that naturally arise. The following two section titles are totally made up and probably won't last.

### **A.1** $E_{\infty}$ geometry

#### The modularity of the MString orientation

 $E_{\infty}$  orientations by *MString*  $tmf, TMF, and Tmf in terms of \mathcal{M}_{ell}$ Thom spectra and  $\infty$ -categories
The Bousfield-Kuhn functor and the Rezk logarithm

# A.2 Rational phenomena: character theory for Lubin–Tate spectra

There's a sufficient amount of reliance on character theory in Matt's thesis that we should talk about it. You should write that action and then backtrack here to see what you need for it.

See Morava's Local fields paper

*Remark* A.2.1. Theorem 2.6 of Greenlees–Strickland for a nice transchromatic perspective. See also work of Stapleton and Schlank–Stapleton, of course.

Flesh this out.

**Theorem A.2.2.** Let E be any complex-oriented cohomology theory. Take G to be a finite group—

and let  $Ab_G$  be the full subcategory of the orbit category of G built out of abelian subgroups of G. Finally, let X be a finite G–CW complex. Then, each of the natural maps

$$E^*(EG \times_G X) \to \lim_{A \in \mathsf{Ab}_G} E^*(EG \times_A X) \to \int_{A \in \mathsf{Ab}_G} E^*(BA \times X^A)$$

becomes an isomorphism after inverting the order of G. In particular, there is an isomorphism

$$\frac{1}{|G|}E^*BG \to \lim_{A \in \mathsf{Ab}_G} \frac{1}{|G|}E^*BA. \quad \Box$$

This is an analogue of Artin's theorem:

**Theorem A.2.3.** *There is an isomorphism* 

$$\frac{1}{|G|}R(G) \to \lim_{C \in \mathsf{Cyclic}_G} \frac{1}{|G|}R(C). \quad \Box$$

HKR intro material connecting Theorem A to character theory:

Recall that classical characters for finite groups are defined in the following situation: take  $L=\mathbb{Q}^{ab}$  to be the smallest characteristic 0 field containing all roots of unity, and for a finite group G let Cl(G;L) be the ring of class functions on G with values in E. The units in the profinite integers  $\widehat{\mathbb{Z}}$  act on E as the Galois group over E0, and since E1 Groups E2 they also act naturally on E3. Together, this gives a conjugation action on E3 for E4 for E5, E6, and E8 for E9, and E9 for E9, and E9 for E9, and E9 for E9, and E9 for E9. Together, this gives a conjugation action on E1 for E9.

$$(\varphi \cdot \chi)(g) = \varphi(\chi(\varphi^{-1}(g))).$$

The character map is a ring homomorphism

$$\chi: R(G) \to Cl(G; L)^{\widehat{\mathbb{Z}}},$$

and this induces isomorphisms

$$\chi: L \otimes R(G) \xrightarrow{\simeq} Cl(G; L)$$

and even

$$\chi: \mathbb{Q} \otimes R(G) \xrightarrow{\simeq} Cl(G; L)^{\widehat{\mathbb{Z}}}.$$

Now take  $E = E_{\Gamma}$  to be a Morava E-theory of finite height  $d = \operatorname{ht}(\Gamma)$ . Take  $E^*(B\mathbb{Z}_p^d)$  to be topologized by  $B(\mathbb{Z}/p^j)^d$ . A character  $\alpha: \mathbb{Z}_p^d \to S^1$  will induce a map  $\alpha^*: E^*\mathbb{C}\mathrm{P}^{\infty} \to E^*B\mathbb{Z}_p^d$ . We define  $L(E^*) = S^{-1}E^*(B\mathbb{Z}_p^d)$ , where S is the set of images of a coordinate on  $\mathbb{C}\mathrm{P}_E^{\infty}$  under  $\alpha^*$  for nonzero characters  $\alpha$ . Note that this ring inherits an  $\operatorname{Aut}(\mathbb{Z}_p^d)$  action by  $E^*$ -algebra maps.

The analogue of Cl(G; L) will be  $Cl_{d,p}(G; L(E^*))$ , defined to be the ring of functions  $\chi: G_{d,p} \to L(E^*)$  stable under G-orbits. Noting that

$$G_{d,p} = \operatorname{Hom}(\mathbb{Z}_p^d, G),$$

one sees that  $\operatorname{Aut}(\mathbb{Z}_p^d)$  acts on  $G_{d,p}$  and thus on  $\operatorname{Cl}_{d,p}(G;L(E^*))$  as a ring of  $E^*$ -algebra maps: given  $\varphi \in \operatorname{Aut}(\mathbb{Z}_p^d)$ ,  $\alpha \in G_{d,p}$ , and  $\chi \in \operatorname{Cl}_{d,p}(G;L(E^*))$  one lets

$$(\varphi \cdot \chi)(\alpha) = \varphi(\chi(\varphi^{-1}(\alpha))).$$

Now we introduce a finite *G*–CW complex *X*. Let

$$\operatorname{Fix}_{d,p}(G,X) = \coprod_{\alpha \in \operatorname{Hom}(\mathbb{Z}_p^d,G)} X^{\operatorname{im}\alpha}.$$

This space has commuting actions of *G* and  $Aut(\mathbb{Z}_p^d)$ . We set

$$Cl_{d,p}(G,X;L(E^*)) = L(E^*) \otimes_{E^*} E^*(\operatorname{Fix}_{d,p}(G,X))^G,$$

which is again an  $E^*$ -algebra acted on by  $\operatorname{Aut}(Z_p^d)$ . We define the character map "componentwise": a homomorphism  $\alpha \in \operatorname{Hom}(\mathbb{Z}_p^d, G)$  induces

$$E^*(EG \times_G X) \to E^*(B\mathbb{Z}_p^d) \otimes_{E^*} E^*(X^{\operatorname{im}\alpha}) \to L(E^*) \otimes_{E^*} E^*(X^{\operatorname{im}\alpha}).$$

Taking the direct sum over  $\alpha$ , this assembles into a map

$$\chi_{d,p}^G: E^*(EG \times_G X) \to Cl_{d,p}(G, X; L(E^*))^{\operatorname{Aut}(Z_p^d)}.$$

**Theorem A.2.4.** The invariant ring is  $L(E^*)^{\operatorname{Aut}(\mathbb{Z}_p^d)} = p^{-1}E^*$ , and  $L(E^*)$  is faithfully flat over  $p^{-1}E^*$ . The character map  $\chi_{d,p}^G$  induces isomorphisms

$$\chi_{d,p}^G \colon L(E^*) \otimes_{E^*} E^*(EG \times_G X) \xrightarrow{\simeq} Cl_{d,p}(G, X; L(E^*)),$$

$$\chi_{d,p}^G \colon p^{-1} E^*(EG \times_G X) \xrightarrow{\simeq} Cl_{d,p}(G, X; L(E^*))^{\operatorname{Aut}(\mathbb{Z}_p^d)}.$$

*In particular, when* X = \**, there are isomorphisms* 

$$\chi_{d,p}^{G} \colon L(E^{*}) \otimes_{E^{*}} E^{*}(BG) \xrightarrow{\simeq} Cl_{d,p}(G; L(E^{*})),$$

$$\chi_{d,p}^{G} \colon p^{-1}E^{*}(BG) \xrightarrow{\simeq} Cl_{d,p}(G; L(E^{*}))^{\operatorname{Aut}(\mathbb{Z}_{p}^{d})}. \quad \Box$$

Nat taught you how to say all thes things with *p*-adic tori, which was *much* clearer.

Cite me: Theorer

Checking this invariant ring claim is easiest done by comparing the fur tors the two thing corepresent. Jack gives an interpretation of this in terms of formal  $\mathcal{O}_L$ -modules.

I also have this summary of Nat's of the classical case:

It's not easy to decipher if you weren't there for the conversation, but here's my take on it. First, the map we wrote down today was the non-equivariant chern character: it mapped non-equivariant  $KU \otimes \mathbb{Q}$  to non-equivariant  $H\mathbb{Q}$ , periodified. The first line on Nat's board points out that if you use this map on Borel-equivariant cohomology, you get nothing interesting:  $K^0(BG)$  is interesting, but  $H\mathbb{Q}^*(BG) = H\mathbb{Q}^*(*)$  collapses for finite G. So, you have to do something more impressive than just directly marry these two constructions to get something interesting.

That bottom row is Nat's suggestion of what "more interesting" could mean. (Not really his, of course, but I don't know who did this first. Chern, I suppose.) For an integer n, there's an evaluation map of (forgive me) topological stacks

$$*//(\mathbb{Z}/n) \times \operatorname{Hom}(*//(\mathbb{Z}/n), *//G) \xrightarrow{\operatorname{ev}} *//G$$

which upon applying a global-equivariant theory like  $K_G$  gives

$$K_{\mathbb{Z}/n}(*) \otimes K_G(\coprod_{\text{conjugacy classes of } g \text{ in } G} *) \stackrel{ev^*}{\longleftarrow} K_G(*).$$

Now, apply the genuine G-equivariant Chern character to the  $K_G$  factor to get

$$K_{\mathbb{Z}/n}(*) \otimes H\mathbb{Q}_G(\llbracket \ \rrbracket *) \leftarrow K_{\mathbb{Z}/n}(*) \otimes K_G(\llbracket \ \rrbracket *),$$

where the coproduct is again taken over conjugacy classes in G. Now, compute  $K_{\mathbb{Z}/n}(*) = R(\mathbb{Z}/n) = \mathbb{Z}[x]/(x^n - 1)$ , and insert this calculation to get

$$K_{\mathbb{Z}/n}(*)\otimes H\mathbb{Q}_G(\coprod *)=\mathbb{Q}(\zeta_n)\otimes (\bigoplus_{\text{conjugacy classes}}\mathbb{Q}),$$

where  $\zeta_n$  is an  $n^{\text{th}}$  root of unity. As n grows large, this selects sort of the part of the complex numbers  $\mathbb{C}$  that the character theory of finite groups cares about, and so following all the composites we've built a map

$$K_G(*) \to \mathbb{C} \otimes (\bigoplus_{\text{conjugacy classes}} \mathbb{C}).$$

The claim, finally, is that this map sends a G-representation (thought of as a point in  $K_G(*)$ ) to its class function decomposition.

#### A.3 Knowns and unknowns

#### **Higher orientations**

TAF and friends

The  $\alpha_{1/1}$  argument: Prop 2.3.2 of Hovey's  $v_n$ -elements of ring spectra

#### **Equivariance**

This is tied up with the theory of power operations in a way I've never really thought about. Seems complicated.

#### Index theorems

Connections with analysis

The Stolz-Teichner program

\_\_\_\_

Contexts for structured ring spectra

Difficulty in computing  $S_d \ Q \ E_d^*$ . (Gross–Hopkins and the period map.)

Barry's *p*-adic measures

Fixed point spectra and e.g.  $L_{K(2)}tmf$ .

Blueshift, A-M-S, and the relationship to A-F-G?

Does  $E_n$  receive an  $E_{\infty}$  orientation? Does BP?

Remark 12.13 of published  $H_{\infty}$  AHS says their obstruction framework agrees with the  $E_{\infty}$  obstruction framework (if you take everything in sight to have  $E_{\infty}$  structures). This is almost certainly related to the discussion at the end of Matt's thesis about the MU-orientation of  $E_d$ .

Hovey's paper on  $v_n$ —periodic elements in ring spectra. He has a nice (and thorough!) exposition on why one should be interested in bordism spectra and their splittings: for instance, a careful analysis of MSpin will inexorably lead one toward studying KO. It would be nice if studying MString (and potentially higher analogues) would lead one toward non-completed, non-connective versions of  $EO_n$ . Talk about BoP, for instance.

Section 12.4 compares doing  $H_{\infty}$  descent with doing  $E_{\infty}$  descent an shows that they're the same (in the case of interest?).

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## Material for lecture

Mike's 1995 announcement is a nice read. There are many snippets you could pull out of it for use here. "HQ serves as the target for the Todd genus, but actually the Todd genus of a manifold is an integer and it turns out that KU refines the Todd genus." The end of section 3, with  $\tau \mapsto 1/\tau$ , is mysterious. In section 4, Mike claims that there's a  $BU[6,\infty)$ -structured splitting principle into sums of things of the form  $(1-\mathcal{L}_1)(1-\mathcal{L}_1)$  $\mathcal{L}_2$ )(1 –  $\mathcal{L}_3$ ). He then says that one expects the characteristic series of a  $BU[6,\infty)$ –genus to be a series of 3 variables, which is nice intuition. Could mention that  $\Theta^k$  is a kind of  $k^{th}$  difference operator, so that things in the kernel of  $\Theta^k$  are " $k^{th}$  order polynomials". (More than this, the theorem of the cube is reasonable from this perspective, since  $\Theta^3$ kills "quadratic things" and the topological object  $H^2(-;\mathbb{Z})$  classifying line bundles is indeed "quadratic".) If the bundle admits a symmetry operation, then the fiber over (x, y, -x - y) is canonically trivialized, so a  $\Sigma$ -structure on a symmetric line bundle is a  $\Theta^3$ -structure that restricts to the identity on these canonical parts. Mike claims (Theorem 6.2) that if  $1/2 \in E^0(*)$  or if E is K(n)-local,  $n \leq 2$ , then  $BString^E$  is the parameter space of  $\Sigma$ -structures on the sheaf of functions vanishing at the identity on  $G_E$ . The map  $MString \rightarrow KO_{Tate}$  actually factors through MSpin, so even though this produces the right *q*-series, you really need to know that *MString* factors through *tmf* and *MSpin doesn't* to deduce the modularity for *String*-manifolds. (You can prove modularity separately for BU[6, in fty]—manifolds, though, by essentially the same technique: refer to the rest of the (complex!) moduli of elliptic curves, which exist as MU[6, infty)-spectra.)

Generally: if X is a space, then  $X_{H\mathbb{F}_2}$  is a scheme with an  $\operatorname{Aut}\widehat{\widehat{\mathbb{G}}}_a$ -action. If X is a spectrum (so it fails to have a diagonal map) then  $(H\mathbb{F}_2)_*X$  is just an  $\mathbb{F}_2$ -module, also with an  $\operatorname{Aut}\widehat{\widehat{\mathbb{G}}}_a$ -action.

The cohomology of a qc sheaf pushed forward from a scheme to a stack along a cover agrees with just the cohomology over the scheme. (In the case of  $* \to *//G$ , this probably uses the cospan  $* \to *//G \leftarrow *$  with pullback G...)

Akhil Mathew has notes from an algebraic geometry class (https://math.berkeley.edu/~amathew/.) where lectures 3–5 address the theorem of the cube.

Equivalences of various sorts of cohomologies: Ext in modules and quasicoherent cohomology (goodness. Hartshorne, I suppose); Ext in comodules and quasicoherent cohomology on stacks (COCTALOS Lemma 12.4); quasicoherent cohomology on simplicial

I think this theorem is motivated by Artin-Mazur forman groups, and th Crystals notes use it to extract a formal group from a Dieudonné module Some motivation could go here. schemes (Stacks project 09VK).

Make clear the distinction between  $E_n$  and E(n). Maybe explain the Devinatz–Hopkins remark that  $r : \widehat{E(n)} \to E_n$  is an inclusion of fixed points and as such does not classify the versal formal group law.

when describing Quillen's model, he makes a lot of use of Gysin maps and Thom / Euler classes. at this point, maybe you can introduce what a Thom sheaf / Thom class is for a pointed formal curve?

**Theorem A.3.1.** Let A be a Noetherian ring and G: AdicAlgebras<sub>A</sub>  $\rightarrow$  AbelianGroups be a functor such that

- 1. G(A) = 0.
- 2. G takes surjective maps to surjective maps.
- 3. There is a finite, free A-module M and a functorial isomorphism

$$I \otimes_A M \to G(B) \to G(B')$$

whenever I belongs to a square-zero extension of adic A-algebras

$$I \rightarrow B \rightarrow B'$$
.

Then,  $G \cong \widehat{\mathbb{A}}^n$  as a functor to sets, where  $n = \dim M$ .

*Proof.* This is 9.6.4 in the Crystals notes.

*MUP* happens to be the Thom spectrum of  $BU \times \mathbb{Z}$ .

- -Formal groups in algebraic topology
- —Day 1
- + Warning: noncontinuous maps of high-dimensional formal affine spaces.
- —Day 2
- + Three definitions of complex orientable / oriented cohomology theories. + Some proofs: the splitting principle, Chern roots, diagrammatic Adam's condition, ... .
  - —Day 3
- + Lemma and proof: homomorphisms  $F \to G$  of  $\mathbb{F}_p$ -FGLs factor as  $F \to G' \to G$ , where  $G' \to G$  is a Frobenius isogeny and  $F \to G'$  is invertible. + Definition of height. Examples:  $\widehat{\mathbb{G}}_a$  and  $\widehat{\mathbb{G}}_m$ . + Redefinition of height as the log-p rank of the p-torsion. + Logarithms for FGLs over torsion-free rings. The integral equation. Height as radius.
  - —Day 4
- + A picture of  $\mathcal{M}_{fg} \times \mathbb{Z}_{(p)}$  + Definition of "deformation" + Plausibility argument for square-zero deformations being classified by "Ext<sup>1</sup>( $\widehat{\mathbb{G}}$ ;  $M \otimes \widehat{\mathbb{G}}_a$ )" + Theorem statement: Ext\*( $\widehat{\mathbb{G}}$ ;  $\widehat{\mathbb{G}}_a$ ) is computed by  $H^*$  Hom( $B\widehat{\mathbb{G}}$ ,  $\widehat{\mathbb{G}}_a$ )(R). + Theorem statement: That cochain

complex is quasi-isomorphic to Lazarev's infinitesimal complex. + Proofs: Infinitesimal homomorphisms gives 1-cocycles, infinitesimal deformations give 2-cocycles. + Theorem statement (LubinâĂŤTate):  $H^0$ ,  $H^1$ ,  $H^2$  calculations. + Implications for Bockstein spectral sequence computing infinitesimal deformations. + Clarification about relative deformations and what "arithmetic deformation" means

- —Day 5
- + The rational complex bordism ring. + QuillenâĂŹs theorem as refining rational complex genera to integral ones. + HondaâĂŹs theorem about  $\zeta$ –functions as manufacturing integral genera. + A statement of Landweber's theorem about regularity, stacky interpretation, no proof. + Definition of forms of a module, map to Galois cohomology + Computation of the Galois cohomology for:  $H\mathbb{F}_p$ , MU/p, KU/p + Computation of the Galois cohomology for  $\widehat{\mathbb{G}}_m$ , explicit description of the invariant via the  $\zeta$ –function + MoravaâĂŹs sheaf over  $L_1(\mathbb{Z}_p^{nr})$ , Gamma-equivariance and transitivity, ConnerâĂŤFloyd + Identification of  $L_1/\Gamma$  with  $\mathcal{M}_{fg}^{\leq 1}$ , connection to LEFT.
  - —Day 6
- + The invariant differential. + de Rham cohomology in positive characteristic. The de Rham cohomology of  $\widehat{\mathbb{A}}^1/\mathbb{F}_p$ . + Cohomologically invariant differentials and the functor D. + Crystalline properties of D. The map F. + The Dieudonne functor, the main theorem.
  - —Future topics
- + The main theorem of class field theory + Lubin and Tate's construction of abelian extensions of local number fields + Description of the Lubin–Tate tower and the local Langlands correspondence + Lazard's theorem + Uniqueness of  $\mathcal{O}_K$ -module structure in characteristic zero + p-typification + Construction of the spectra MU, BP, E(n), K(n),  $E_n$  + Goerss–Hopkins–Miller and Devinatz–Hopkins + Gross–Hopkins period map and the calculation of the Verdier dualizing sheaf on  $LT_n$  + The Ravenel–Wilson calculation, exterior powers of p-divisible groups + Kohlhaase's Iwasawa theory + Lubin's dynamical results on formal power series + Classification of field spectra

#### **Ideas**

- 1. Singer–Stong calculation of  $H^*BU[2k, \infty)$ . [ASIDE:  $H\mathbb{F}_2^*ko$  and the Hopf algebra quotient of  $\widehat{\mathbb{A}}_*$ .]
- 2. Ando, Hopkins, Strickland on  $H_{\infty}$ -orientations and the norm condition
- 3. The rigid, real  $\sigma$ -orientation: AHR. Its effect in homology.
- 4. The Rezk logarithm and the Bousfield-Kuhn functor
- 5. Statement of Lurie's characterization of *TMF*, using this to determine a map from *MString* by AHR
- 6. Dylan's paper on String orientations
- 7. Matt's calculation of  $E_{\infty}$ -orientations of K(1)-local spectra using the short free resolution of MU in the K(1)-local category

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- 8. Cartier duality
- 9. Subschemes and divisors
- 10. Coalgebraic formal schemes
- 11. Forms of K-theory, Elliptic spectra, Tate K-theory, TMF
- 12. What are Weil pairings for geometers?
- 13. The Atiyah–Bott–Shapiro orientation (Is there a complex version of this? I understand it as a splitting of *MSpin*...)
- 14. Sinkinson's calculation and  $MBP\langle m \rangle$ -orientations
- 15. Hovey–Ravenel on nonorientations of  $E_n$  by MO[k, ∞). Other things in H–R?
- 16. Wood's cofiber sequence and  $KO_{(p>3)}$
- 17. The Serre–Tate theorem
- 18. The fundamental domain of  $\pi_{GH}$
- 19. Orientations and the functor  $gl_1$ .

#### **Resources**

Ando, Hopkins, Strickland (Theorem of the Cube)

Ando, Hopkins, Strickland ( $H_{\infty}$  map)

Ando, Strickland

Ando, Hopkins, Rezk

Barry Walker's thesis

Bill Singer's thesis, Bob Stong's Determination

Morava's *Forms of K–theory* 

Neil's Functorial Philosophy for Formal Phenomena

Ravenel, Wilson

Kitchloo, Laures, Wilson

What follows are notes from other talks I've given about quasi-relevant material which can probably be cannibalized for this class.