

Tutorial on k-means, neural networks and support vector machines

Introduction

- An outline on k-means, back-propagation and support vector machines are given.
- A brief description of each approach is given, with some algorithmic layouts provided.
- For the practicals, please provide your own code and answers

Note that text in blue are web references that can be clicked.

k-means: Data Mining Algorithm

- k-means is used to cluster or group data, such as drawing a circle around data that looks similar
- Formally, to partition data into said groups minimize a cluster sum of squares $\sum_{j=1}^k \sum_{i=1}^n ||x_i^j - c_j||^2$ where c is the center point or centroid.
- Note that k-means is not an optimal clustering technique since clustering cannot be well defined.
- Also note that the *CalculateCentroid* and *UpdateCluster* steps are optimal.
- For the practicals, please provide your own code and answers

k-means algorithm

A visualisation of how the centroid move during the update centroid step can be seen in [Centroid Update Visualisation](#).

Please note that the centroid of each group or cluster of data defines each group associated with that centroid. Said differently, the centroid c_1 is closest to the data associated with centroid c_1 .

Algorithm 1: K-Means Algorithm

Input: $E = \{e_1, e_2, \dots, e_n\}$ (set of entities to be clustered)
 k (number of clusters)
 $MaxIters$ (limit of iterations)
Output: $C = \{c_1, c_2, \dots, c_k\}$ (set of cluster centroids)
 $L = \{l(e) \mid e = 1, 2, \dots, n\}$ (set of cluster labels of E)

```

foreach  $c_i \in C$  do
  |  $c_i \leftarrow e_j \in E$  (e.g. random selection)
end
foreach  $e_i \in E$  do
  |  $l(e_i) \leftarrow \operatorname{argmin}_{j \in \{1 \dots k\}} \operatorname{Distance}(e_i, c_j)$ 
end

 $changed \leftarrow false$ ;
 $iter \leftarrow 0$ ;
repeat
  | foreach  $c_i \in C$  do
  | |  $UpdateCluster(c_i)$ ;
  | end
  | foreach  $e_i \in E$  do
  | |  $minDist \leftarrow \operatorname{argmin}_{j \in \{1 \dots k\}} \operatorname{Distance}(e_i, c_j)$ ;
  | | if  $minDist \neq l(e_i)$  then
  | | |  $l(e_i) \leftarrow minDist$ ;
  | | |  $changed \leftarrow true$ ;
  | | end
  | end
  |  $iter++$ ;
until  $changed = true$  and  $iter \leq MaxIters$ ;
  
```

Figure 1: k-means retrieved from wikibooks.com in pseudo-code[1]

```
#!/usr/bin/python3
import random
studentno = int(input('Please enter your student number'))
random.seed(studentno % 10000)
col1 = [random.randint(0,studentno) for i in range(0,200)]
random.seed(studentno % 1000)
col2 = [random.randint(0,studentno) for i in range(0,200)]
sdata = open('data.txt', 'w')
[print("%s,%s" % (str(col1[i]), str(col2[i])), file=sdata) for i in range(0,200)]
```

Figure 2: Data generation algorithm for the practical hand-in

Practical 1: Implement k-means

Please read to the end for handing in information.

- Task 1

Implement k-means Figure 1 from page in python. Python via Jupyter Notebook can be used for this and subsequent tasks.

Note: installing extra python modules can be done via `pip install <module> --user` if python works on the command line. Otherwise consult the web for more information. Regardless, the following packages are of use: pandas, sklearn, numpy, matplotlib, seaborn. See eg 'pip3 install seaborn -user' on linux.

- Task 2

Use your student number and run the code snippet in Figure 2 on your student number to generate the text file listed. Use the data in the *generated* text file to find the centroids.

Read the next page

Practical 1: Implement k-means

Please read to the end for handing in information.

- Task 3

Explain in words your code and why and how you implemented your code the way you did.

- Task 4 Explain in words how and why the elbow method is used and where the method is useful.

- For handing in:

Please hand in: your implementation in python of k-means, the coordinates describing your *student number generated data* centroid, your word-based explanation, and an explanation of the elbow method.

Neural Networks: Back-propagation

- For this tutorial use [Back-propagation Background](#) for a basic understanding of back-propagation [2]
- In short, back-propagation neural networks are multi-layer recurrent network perceptron based

Back-propagation Outline

Basic outline for backprop:

- An *activation function* "triggers" when a sufficiently large value passes through the activation function in the perceptron
- The first layer is the *input* layer
- The calculated activation function values are then collected, added in some way and then fed through to the next *hidden* layer of the perceptron
- Multiple hidden layers are acceptable
- The last layer is the *output* layer that gives a prediction value

Back-propagation Algorithm Notes

- Please make sure to understand the basics of the activation function before attempting to use the algorithm
- Deep learning is not the focus of what we are considering
- Key to the activation function:
 - A distance measure
 - A usable error based on using the distance measure on the data that provide a predictable output in some way
 - Some calculable minimum used for local and global minima optimization

Back-propagation Algorithm Implementation Notes

- Backprop consists of:
 - A feed-forward component
 - Backpropagation to the output layer;
"trains" the activation function
 - Backpropagation to the hidden layer;
"trains" the activation function
 - Weight updates (actual training of the network)
- If the computed *error* is small then the algorithm ceases training the weights of the network

Practical 2: Implement Back-propagation

Please read to the end for handing in information.

- Task 1

Explain in words the back-propagation neural network algorithm with a bulleted list of steps in an outline fashion, and explain the reason for the last data column in Figure 3.

- Task 2 Adapt your explanation of Practical 2, Task 1 to a pseudo-code implementation

- Task 3 Implement your pseudo-code and explain the basics of weight updates. Make sure the weight updates are clearly explained. There is no need to fully implement back-propagation for this specific task; please make sure that your code is clean and easy to read. Matrix algebra operations libraries may be assumed for this task in the style of `numpy` or `scikit`.

- Task 4 Use your python back-prop implementation in (say) jupyter notebook with the generated data from Figure 3 to generate five *scores* and include these in a separate file called **back-prop-scores.txt** with your submission. Please use the code from Complete Example to generate the cross-validation scores with your use of `scikit` (there should be five values) [3].

- For handing in:

Please hand in: A text file explaining the bulleted backprop algorithm, your pseudo-code implementation in python of back-prop, delta-update code for the neural network weights with properly commented code (your word-based explanation), your data generated file with the back-prop-scores.txt values

```
#!/usr/bin/python3

import random

from sklearn.cluster import KMeans as kmeans
import numpy as np
import matplotlib.pyplot as plot

DATAPOINTS=20

studentno = 1234567
studentno = int(input('Please enter your student number: '))
random.seed(studentno % 10000)
col1 = [random.randint(0,studentno) for i in range(0,DATAPOINTS)]
random.seed(studentno % 1000)
col2 = [random.randint(0,studentno) for i in range(0,DATAPOINTS)]
random.seed(studentno % 100)
col3 = [random.randint(0,studentno) for i in range(0,DATAPOINTS)]
random.seed(studentno % 10)
col4 = [random.randint(0,studentno) for i in range(0,DATAPOINTS)]
random.seed(studentno % 100000)
col5 = [random.randint(0,studentno) for i in range(0,DATAPOINTS)]
col6 = [i % 3 for i in range(0,DATAPOINTS)]
sdata = open('data.txt', 'w')
[print("%s,%s,%s,%s,%s,%s" % (str(col1[i]), str(col2[i]), str(col3[i]),
    str(col4[i]), str(col5[i]), str(col6[i])), file=sdata) for i in range(
0,DATAPOINTS)]
```

Figure 3: Data generation algorithm for Practical 2 hand-in

References

- [1] Wikibooks, “Data mining algorithms in r/clustering/k-means.”
- [2] R. Rojas, *Neural Networks - A Systematic Introduction*. Springer-Verlag, New York, 1996.
- [3] J. Brownlee, “How to code a neural network with backpropagation in python (from scratch),” 2019. <https://machinelearningmastery.com/implement-backpropagation-algorithm-scratch-python/>.