

# **Emergence of Numerals in Multi-Agent Autonomous Communication System**

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2019

# **Abstract**

This project aims to propose a new computational simulation method for the emergence of numerals based on multi-agent autonomous communication system following deep reinforcement learning methodology.

## **Acknowledgements**

Any acknowledgements go here.

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# Chapter 1

## Introduction

Natural language processing (NLP) is an important and long-standing topic in artificial intelligence (AI), in which a core question is natural language understanding (NLU). With the rapid development of deep learning (DL), most current state-of-the-art methods in NLP, e.g. [Socher et al., 2013, Mikolov et al., 2013, Kim, 2014], are based on DL models trained on massive static textual corpora. From an information processing perspective, the information flow of NLP-based human-computer interaction systems is illustrated in Figure 1.1 given as follow. As the diagram shows, the input of NLP systems are various kinds of textual materials generated by human beings to describe their experiences/perceptions (E/P). Under such a perspective, symbols in natural languages are actually feature representations of the original E/P, whereas most current NLP systems directly take these symbols as original features.

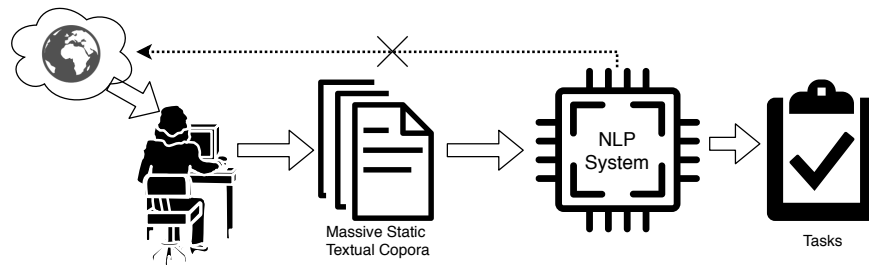


Figure 1.1: An overview of information flow in current NLP systems.

Considering the missing original E/P, grounded language learning (GLL) argues that models need a grounded environment to learn and understand language[Matuszek, 2018]. However, natural languages of the time have been developed for at least tens of thousands of years[Berwick and Chomsky, 2016] and already became very sophisticated. Thus, to verify that computational agents can truly ground symbols in natural

languages to corresponding E/P and can complete the specified tasks, it is necessary to facilitate them to discover and develop various kinds of characteristics of natural language during autonomous communication of agents. There are already lots of works, e.g. [Hill et al., 2017, Havrylov and Titov, 2017, Yu et al., 2018, Kottur et al., 2017], aiming to facilitate the emergence of “natural language” in multi-agent autonomous communication systems. However, one significant limitation of previous works is that, only referential objects/attributes in environments, e.g. shapes and colors, were considered and to which discrete symbols were grounded to.

This project, on the other hand, aims to explore and analyse the grounding of abstractions which are non-referential in the original experiences/perceptions of human beings. However, as it is too huge a topic to tackle, our project is limited to cardinal numerals for the following reasons: i) numeral systems are relatively simple and self-contained [Hurford, 1999]; ii) concepts related to cardinal numerals are more straightforward to model with numeric representations; iii) functions of emergent cardinal numerals can be formalised and verified more reliably in simulation.

In this work, our main contributions are given as follows:

1. We are about the first to explore the problem of transmitting numerical concepts which corresponds to function words in natural languages in multi-agent autonomous communication system.
2. We transformed iterated learning proposed by [Smith et al., 2003] to train DL models and successfully facilitate computational agents to transmit numerical concepts.
3. We further analyse and discuss the compositionality of the emergent communication protocol and **HERE!**.

# Chapter 2

## Background

As we demonstrate in Chapter 1, there are 2 almost disjointly developed research topics that motivates this project, i.e. computer simulation methods in evolutionary linguistics and multi-agent games in GLL. Thus, in the following 2 sections, we will introduce works which are highly related to our project from these 2 different areas.

### 2.1 Computer Simulation Methods in Evolutionary Linguistics

The emergence and evolution of natural language have always been critical questions to the field of evolutionary linguistics [MacWhinney, 2013] and one important issue is to use quantitative methods to overcome the time limit on unpreserved pre-historic linguistic behaviors[Lieberman, 2006, Evans and Levinson, 2009]. Since it was first introduced by [Hurford, 1989], computer simulation methods have attracted a rapidly growing attention, e.g. [Hurford et al., 1998, Knight et al., 2000, Briscoe, 2002, Christiansen and Kirby, 2003, Bickerton and Szathmary, 2009, Cangelosi and Parisi, 2012]. As we introduced in Chapter 1, one of our objectives is to facilitate computational agents to discover and develop various kinds of natural language phenomena, which shares a same objective and motivation of computer simulation methods in evolutionary linguistics.

To imply and verify linguistics theories, there are 2 necessary components: i) environments, in which agents can execute actions and communicate with each other; ii) pre-defined elementary linguistic knowledge that can be manipulated and altered by agents. Further, we could categorise the environments into the following 3 different

types according to their simulation objectives:

- *Iterated learning* introduced by [Kirby, 1999] which aims at simulating cultural transitions from generation to generation.
- *Language games* introduced by [Wittgenstein, 1953] which takes the emergent communication protocol in cooperation between individuals as a prototype of language.
- *Genetic evolution* introduced by [Briscoe, 1998] which aims at simulating evolution of languages as a kind of natural selection procedure [Darwin, 1859].

With environments and pre-defined elementary linguistic knowledge, computational agents can then learn bi-directional meaningutterance mapping functions [Gong and Shuai, 2013]. With different kinds of resulting linguistic phenomena, this simulation procedure can be broadly categorised into 2 classes:

- lexical models, e.g. [Steels, 2005, Baronchelli et al., 2006, Puglisi et al., 2008], whose main concern is whether a common lexicon can form during the communication in agent community;
- syntactic and grammatical models, e.g. [Kirby, 1999, Vogt, 2005], in which agents mainly aim to map meanings (represented in various ways) to utterances (either structured or unstructured).

However, no matter how these mapping functions are learnt, e.g. by neural network models [Munroe and Cangelosi, 2002] or by mathematical equations [Minett and Wang, 2008, Ke et al., 2008], the most basic elements of linguistics, e.g. meanings to communicate about and a signalling channel to employ, are all pre-defined.

In contrast, although we also follow the framework of language games and train agents in an iterated learning fashion, the basic linguistics elements in our project are not provided from the outset any more and computational agents can specify meanings of symbols/utterances by themselves.

## 2.2 Multi-agent Games in Grounded Language Learning

Unlike how we human beings learn and understand language, the current DL-based NLP techniques learn semantics from only large-scaled static textual materials. Thus,



GLL argues that computational agents also need to learn and understand languages by interacting with environments and grounding language into their E/P. With the recent rapid development of deep reinforcement learning (DRL), it is proven that computational agents can master a variety of complex cognitive activities, e.g. [Mnih et al., 2015, Silver et al., 2017]]. Thus, a bunch of works in GLL apply DRL techniques to facilitate agents to learn or invent natural languages<sup>1</sup>, such as [Hermann et al., 2017, Mordatch and Abbeel, 2018, Havrylov and Titov, 2017, Hill et al., 2017].

To verify language abilities of computational agents, previous works in GLL usually follow the framework of language games, of which are mainly variants of referential games introduced by [Lewis, 1969], e.g. [Hermann et al., 2017, Havrylov and Titov, 2017]. Also, some works are more motivated by the competence instead of cooperation such as [Cao et al., 2018].

From another perspective, based on the number of participated agents, we can broadly categorise language games in GLL into the following 2 types:

- *Single-agent games* usually need to be done by one agent and a human participator, in which the main concern is to explore how could computational agents learn the compositionality of semantics.
- *Multi-agent games* are usually completed by an agent population, in which the main concern is to explore how various kinds of natural language phenomena emerge and evolve during communicating among agents.

However, like we mentioned in Chapter 1, whichever kind of language game they follow in previous works of GLL, the objects/attributes the symbols grounded to are all referential. We, on the other hand, aim to explore the feasibility of grounding symbols to non-referential objects (specifically, numerals) during the game.

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<sup>1</sup>Strictly speaking, “invent natural language” should be called as “invent communication protocols sharing compositionality like natural languages”. However, as our project is to facilitate compositionality in multi-agent communication protocols, we thus call these emergent communication protocols a kind of “language” invented by agents

# **Chapter 3**

## **Set Generation Game and Models**

### **3.1 Game Description**

One hypothesis of our work is that, the linguistic hypotheses can be implied by game dynamics.

#### **3.1.1 Game Procedure**

#### **3.1.2 Numerals in the Game**

### **3.2 Proposed Models**

#### **3.2.1 Set2Seq2Seq Models**

#### **3.2.2 Numeral Iterated Learning**

#### **3.2.3 Baseline Models**

## **Chapter 4**

# **Experiment Results and Analysis**

# **Chapter 5**

## **Conclusions**

### **5.1 Final Reminder**

The body of your dissertation, before the references and any appendices, *must* finish by page 40. The introduction, after preliminary material, should have started on page 1.

You may not change the dissertation format (e.g., reduce the font size, change the margins, or reduce the line spacing from the default 1.5 spacing). Over length or incorrectly-formatted dissertations will not be accepted and you would have to modify your dissertation and resubmit. You cannot assume we will check your submission before the final deadline and if it requires resubmission after the deadline to conform to the page and style requirements you will be subject to the usual late penalties based on your final submission time.

### **5.2 Further Discussion**

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# Appendices