

# **Emergence of Numerals in Multi-Agent Autonomous Communication System**

*Shangmin Guo*

Master of Science

Data Science

School of Informatics

University of Edinburgh

2019

# **Abstract**

This project aims to propose a new computational simulation method for the emergence of numerals based on multi-agent autonomous communication system following deep reinforcement learning methodology.

## **Acknowledgements**

Any acknowledgements go here.

# Table of Contents

<b>1</b>	<b>Introduction</b>	<b>1</b>
<b>2</b>	<b>Background</b>	<b>3</b>
2.1	Computer Simulation Methods in Evolutionary Linguistics . . . . .	3
2.2	Multi-agent Games in Grounded Language Learning . . . . .	4
<b>3</b>	<b>Game, Models and Measurements</b>	<b>6</b>
3.1	Game Description . . . . .	6
3.1.1	Game Procedure . . . . .	7
3.1.2	Functions of Numerals in the Game . . . . .	8
3.1.3	A Variant: Set-Select Game . . . . .	8
3.2	Proposed Models . . . . .	9
3.2.1	Speaker . . . . .	10
3.2.2	Listeners . . . . .	11
3.2.3	Loss/Reward and Learning . . . . .	11
3.2.4	Neural Iterated Learning . . . . .	12
3.2.5	Baseline Models . . . . .	12
3.3	Measurements . . . . .	13
<b>4</b>	<b>Experiment Results and Discussion</b>	<b>14</b>
4.1	Emergence of Language . . . . .	14
4.2	Structure of Emergent Language . . . . .	15
4.2.1	Emergent Languages in Various Games . . . . .	15
4.2.2	Topological Similarities . . . . .	16
4.2.3	Significance Test of Same Numeric Concepts . . . . .	18
4.3	Learning Speed & Iterated Learning . . . . .	18
4.3.1	For Listener . . . . .	19
4.3.2	For Speaker . . . . .	20

4.3.3	Improvement by Iterated Learning . . . . .	20
4.4	Effects of Different Representations . . . . .	21
4.5	Further Discussion . . . . .	22
<b>5</b>	<b>Conclusions</b>	<b>23</b>
5.1	Numeric Representations . . . . .	23
5.2	Future Works . . . . .	23
	<b>Bibliography</b>	<b>24</b>

# Chapter 1

## Introduction

Natural language processing (NLP) is an important and long-standing topic in artificial intelligence (AI), in which a core question is natural language understanding (NLU). With the rapid development of deep learning (DL), most current state-of-the-art methods in NLP, e.g. [Socher et al., 2013, Mikolov et al., 2013, Kim, 2014], are based on DL models trained on massive static textual corpora. From an information processing perspective, the information flow of NLP-based human-computer interaction systems is illustrated in Figure 1.1 given as follow. As the diagram shows, the input of NLP systems are various kinds of textual materials generated by human beings to describe their experiences/perceptions (E/P). Under such a perspective, symbols in natural languages are actually feature representations of the original E/P, whereas most current NLP systems directly take these symbols as original features.

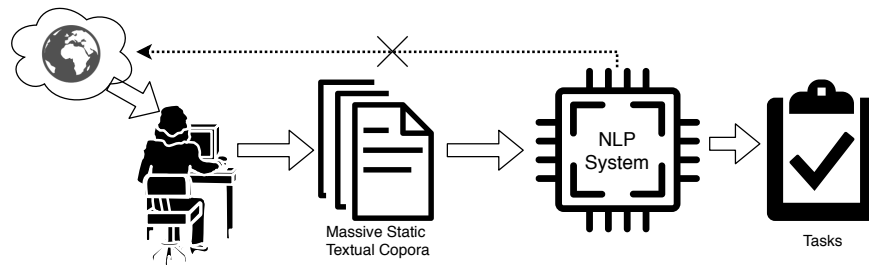


Figure 1.1: An overview of information flow in current NLP systems.

Considering the missing original E/P, grounded language learning (GLL) argues that models need a grounded environment to learn and understand language[Matuszek, 2018]. However, natural languages of the time have been developed for at least tens of thousands of years[Berwick and Chomsky, 2016] and already became very sophisticated. Thus, to verify that computational agents can truly ground symbols in natural

languages to corresponding E/P and can complete the specified tasks, it is necessary to facilitate them to discover and develop various kinds of characteristics of natural language during autonomous communication of agents. There are already lots of works, e.g. [Hill et al., 2017, Havrylov and Titov, 2017, Yu et al., 2018, Kottur et al., 2017], aiming to facilitate the emergence of “natural language” in multi-agent autonomous communication systems. However, one significant limitation of previous works is that, only referential objects/attributes in environments, e.g. shapes and colors, were considered and to which discrete symbols were grounded to.

This project, on the other hand, aims to explore and analyse the grounding of abstractions which are **non-referential (?)** in the original experiences/perceptions of human beings. However, as it is too huge a topic to tackle, our project is limited to cardinal numerals for the following reasons: i) numeral systems are relatively simple and self-contained [Hurford, 1999]; ii) concepts related to cardinal numerals are more straightforward to model with numeric representations; iii) functions of emergent cardinal numerals can be formalised and verified more reliably in simulation.

In this work, our main contributions are given as follows:

1. We propose a language game in which we can verify whether computational agents can communicate numeric concepts with each other, and successfully train agents to autonomously transmit numerical concepts.
2. We further analyse and discuss the structure of the emergent communication protocol, and facilitate the improvement of compositionality by transforming iterated learning proposed by [Smith et al., 2003] to train the DL models.
3. We compare learning speeds of various kinds of languages as well as different representations, and propose an alternative hypothesis for explaining the emergence of words with different types and functions.

# Chapter 2

## Background

As we demonstrate in Chapter 1, there are 2 almost disjointly developed research topics that motivates this project, i.e. computer simulation methods in evolutionary linguistics and multi-agent games in GLL. Thus, in the following 2 sections, we will introduce works which are highly related to our project from these 2 different areas.

### 2.1 Computer Simulation Methods in Evolutionary Linguistics

The emergence and evolution of natural language have always been critical questions to the field of evolutionary linguistics [MacWhinney, 2013] and one important issue is to use quantitative methods to overcome the time limit on unpreserved pre-historic linguistic behaviors[Lieberman, 2006, Evans and Levinson, 2009]. Since it was first introduced by [Hurford, 1989], computer simulation methods have attracted a rapidly growing attention, e.g. [Hurford et al., 1998, Knight et al., 2000, Briscoe, 2002, Christiansen and Kirby, 2003, Bickerton and Szathmary, 2009, Cangelosi and Parisi, 2012]. As we introduced in Chapter 1, one of our objectives is to facilitate computational agents to discover and develop various kinds of natural language phenomena, which shares a same objective and motivation of computer simulation methods in evolutionary linguistics.

To imply and verify linguistics theories, there are 2 necessary components: i) environments, in which agents can execute actions and communicate with each other; ii) pre-defined elementary linguistic knowledge that can be manipulated and altered by agents. Further, we could categorise the environments into the following 3 different



types according to their simulation objectives:

- *Iterated learning* introduced by [Kirby, 1999] which aims at simulating cultural transitions from generation to generation.
- *Language games* introduced by [Wittgenstein, 1953] which takes the emergent communication protocol in cooperation between individuals as a prototype of language.
- *Genetic evolution* introduced by [Briscoe, 1998] which aims at simulating evolution of languages as a kind of natural selection procedure [Darwin, 1859].

With environments and pre-defined elementary linguistic knowledge, computational agents can then learn bi-directional meaningutterance mapping functions [Gong and Shuai, 2013]. With different kinds of resulting linguistic phenomena, this simulation procedure can be broadly categorised into 2 classes:

- lexical models, e.g. [Steels, 2005, Baronchelli et al., 2006, Puglisi et al., 2008], whose main concern is whether a common lexicon can form during the communication in agent community;
- syntactic and grammatical models, e.g. [Kirby, 1999, Vogt, 2005], in which agents mainly aim to map meanings (represented in various ways) to utterances (either structured or unstructured).

However, no matter how these mapping functions are learnt, e.g. by neural network models [Munroe and Cangelosi, 2002] or by mathematical equations [Minett and Wang, 2008, Ke et al., 2008], the most basic elements of linguistics, e.g. meanings to communicate about and a signalling channel to employ, are all pre-defined.

In contrast, although we also follow the framework of language games and train agents in an iterated learning fashion, the basic linguistics elements in our project are not provided from the outset any more and computational agents can specify meanings of symbols/utterances by themselves.

## 2.2 Multi-agent Games in Grounded Language Learning

Unlike how we human beings learn and understand language, the current DL-based NLP techniques learn semantics from only large-scaled static textual materials. Thus,

GLL argues that computational agents also need to learn and understand languages by interacting with environments and grounding language into their E/P. With the recent rapid development of deep reinforcement learning (DRL), it is proven that computational agents can master a variety of complex cognitive activities, e.g. [Mnih et al., 2015, Silver et al., 2017]]. Thus, a bunch of works in GLL apply DRL techniques to facilitate agents to learn or invent natural languages<sup>1</sup>, such as [Hermann et al., 2017, Mordatch and Abbeel, 2018, Havrylov and Titov, 2017, Hill et al., 2017].

To verify language abilities of computational agents, previous works in GLL usually follow the framework of language games, of which are mainly variants of referential games introduced by [Lewis, 1969], e.g. [Hermann et al., 2017, Havrylov and Titov, 2017]. Also, some works are more motivated by the competence instead of cooperation such as [Cao et al., 2018].

From another perspective, based on the number of participated agents, we can broadly categorise language games in GLL into the following 2 types:

- *Single-agent games* usually need to be done by one agent and a human participator, in which the main concern is to explore how could computational agents learn the compositionality of semantics.
- *Multi-agent games* are usually completed by an agent population, in which the main concern is to explore how various kinds of natural language phenomena emerge and evolve during communicating among agents.

However, like we mentioned in Chapter 1, whichever kind of language game they follow in previous works of GLL, the objects/attributes the symbols grounded to are all referential. We, on the other hand, aim to explore the feasibility of grounding symbols to non-referential objects (specifically, numerals) during the game.

---

<sup>1</sup>Strictly speaking, “invent natural language” should be called as “invent communication protocols sharing compositionality like natural languages”. However, as our project is to facilitate compositionality in multi-agent communication protocols, we thus call these emergent communication protocols a kind of “language” invented by agents

# Chapter 3

## Game, Models and Measurements

In this chapter, we first describe the proposed language game and the definition of numerals in our game. We then introduce the architecture of models we used and also the iterated learning for training models.

### 3.1 Game Description

Unlike traditional simulation methods in evolutionary linguistics introduced in Section 2.1, there are 3 necessary components in our architecture and they are given as follows:

- *Environment*: To imply our linguistic assumption as well as make the size of environment limited and thus analysable, all perceptions in the established environment are sequences of objects represented by one-hot vectors. For ease of demonstration, we denote these objects as  $o \in O$  where  $O = \{A, B, C, \dots\}$  is the universal set of all kinds of objects in the following sections.
- *Agents*: There are 2 kinds of agents in our project: i) *speakers*  $S$  that can observe objects in the environment and emit messages  $m_i$ ; ii) *listeners*  $L$  that can receive the messages and generate a sequence of objects.
- *Dynamics*: In this project, the dynamics mean not only the manually designed reward function for agents but also the training mechanism, e.g. iterated learning and blank vocabulary. The details will be introduced in Subsection 3.2.3 and Subsection 3.2.4. It worth mentioning that one premise of our project is that all the linguistic hypotheses are purely implied by dynamics.

### 3.1.1 Game Procedure

The overall view of the proposed Set-Forward game is illustrated in Figure 3.1 given as follow.

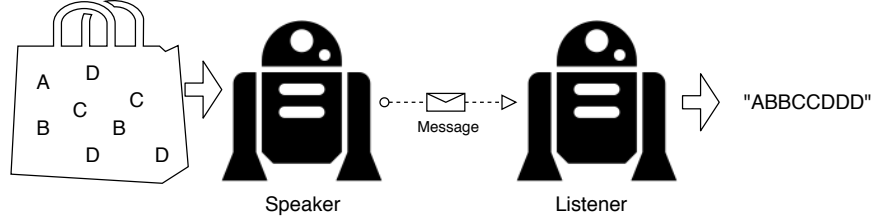


Figure 3.1: Diagram of Game Playing Procedure.

According to the steps of playing games at iteration  $i$ , the components of our games are illustrated as follows:

1. Perceptions: the perception from environments is a **set** of objects, i.e.  $s_i = \{o_{i_1}, o_{i_2}, \dots, o_{i_n}\}$  where  $n$  is the number of elements.
2. Speaker observation and message generation: after observing and encoding the perception, speaker  $S$  would generate a message  $m_i = \{s_{i_1}, s_{i_2}, \dots, s_{|M|}\}$  where  $|M|$  is the maximum length of messages and  $s_k, k \in 1, \dots, |V|$  are selected from a randomly initialised vocabulary such that the symbols in the initially meaningless vocabulary whose size is  $|V|$ ;
3. Listener receiving message and perception reproduction: after receiving and encoding the message  $m_i$ , the listener would generate a **sequence**  $\hat{s}_i = \{\hat{o}_{i_1}, \hat{o}_{i_2}, \dots, \hat{o}_{i_n}\}$  whose symbols are identical to those in the original perception  $s_i$ ;
4. Reward and parameter update: by comparing  $s_i$  and  $\hat{s}_i$ , we take the cross-entropy between them as the reward for both listener and speaker and update parameters of both speaker and listener with respect to it.<sup>1</sup>

One thing that needs to be highlighted is that the perceptions  $s_i$  are sets and thus order of objects would not make any difference. Further, we argue that the only important feature that need to be transmitted is actually the numbers of different objects which corresponds to the function of numerals in natural language.

<sup>1</sup>Different ways of updating parameters are introduced in Section 3.2.

### 3.1.2 Functions of Numerals in the Game

Broadly speaking, numerals are words that can describe the numerical quantities and usually act as determiners to specify the quantities of nouns, e.g. "two dogs" and "three people". Also, under most scenarios, numerals correspond to non-referential concepts [Da Costa and Bond, 2016]. Considering the objective of listeners  $L$  in our language game, we define a numeral as a symbol  $s^n$  at **position**  $i$  indicating a function that reproduce some object  $o_i$  exactly  $n$  times:

$$s^n : o_i \rightarrow \{ \overbrace{o_i, \dots, o_i}^{n \text{ elements}} \} \quad (3.1)$$

Note that, the meaning of a symbol is not only decided by itself but also its position in message, as  $L$  would encode meanings of symbols according to their appearance in messages. Also, in our models, there is no specific mechanism to separate the meanings of symbols from their positions.

From the side of speakers  $S$ , a numeral preferred to be defined as a symbol  $s^n$  at **position**  $i$  that represents the numbers of specific object  $o_i$ . Thus, we expect  $S$  would first learn to count the number of different objects and then encode them into a sequence of discrete symbols. As [Siegelmann and Sontag, 1992] shows that Recurrent Neural Networks (RNNs) are Turing-complete and Long-short Term Memory (LSTM) model proposed by [Hochreiter and Schmidhuber, 1997] is a super set of RNN, it is safe to claim that LSTM is also Turing-complete and thus capable of counting numbers of objects.

### 3.1.3 A Variant: Set-Select Game

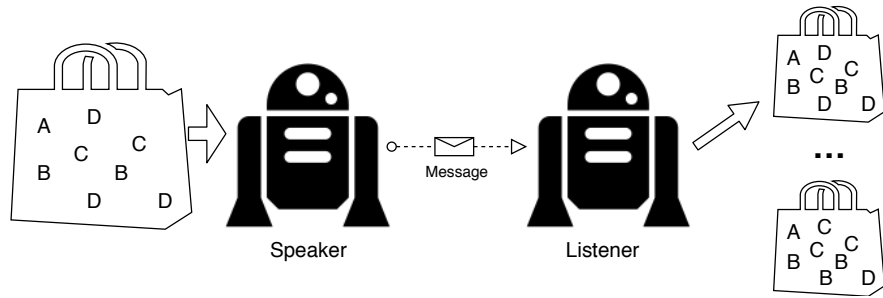


Figure 3.2: Diagram of Referential Game Playing Procedure.

We illustrate the Set-Select game, a referential variant of Set-Forward game, in Figure 3.2 given above. The only difference is that listeners need to select the correct

set of objects among a bunch of distractors<sup>2</sup> instead of generating it.

### 3.2 Proposed Models

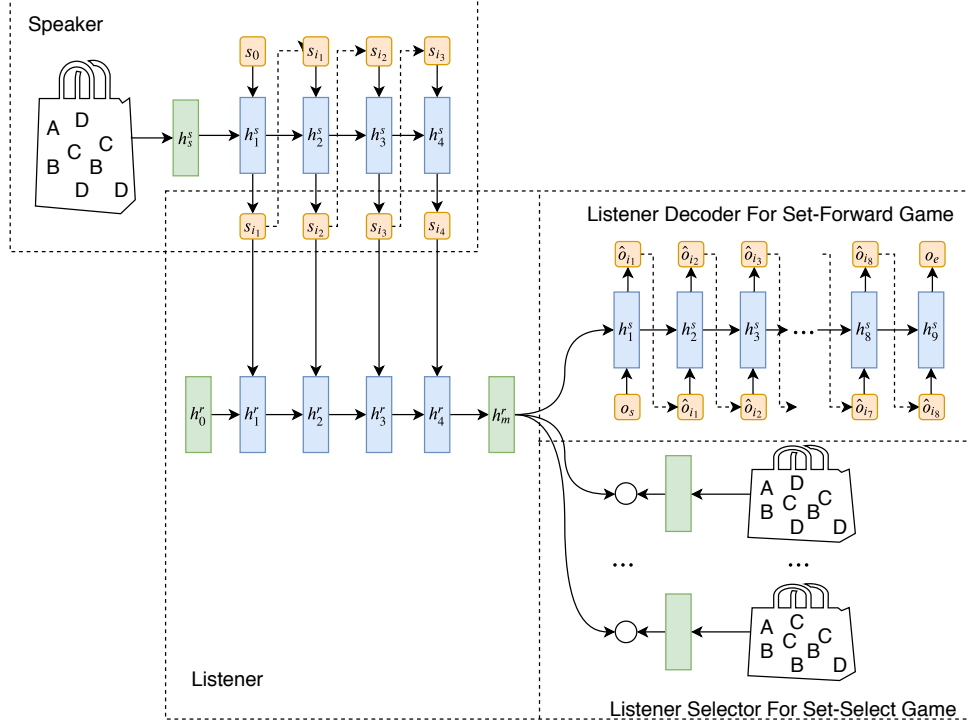


Figure 3.3: Overall Diagram of Model Architectures for Playing Games.

We illustrate the overall architecture of our models in Figure 3.3 given above, in which it is straightforward to see that a speaker  $S$  consists of a set encoder and a standard LSTM sequence decoder that can generate messages. As for a listeners  $L$ , it would first encode messages with a LSTM sequence encoder and get the feature vector  $h_m^l$ . Then, in the Set-Forward game,  $L$  would take  $h_m^l$  as the initial hidden state and predict a sequence of objects with a LSTM sequence decoder. As for in Set-Select game,  $L$  would compare  $h_m^l$  with a bunch of sets which are encoded by set encoders of  $L$  and select the one shown to  $S$  based on the dot product between  $h_m^l$  and feature vectors of each set.

Further details are shown in the following subsections.

<sup>2</sup>A distractor is a set that contains different numbers of objects as the correct one.

### 3.2.1 Speaker

The architecture of our speaking agents is very similar to the Seq-to-Seq model proposed by [Sutskever et al., 2014] except that replace the encoder for input sequences with a set encoder whose details would be introduced in the following subsection. As Seq-to-Seq model is quite popular nowadays, we skip details about how to generate sequences which correpond to the messages in our games, and focus on how to encode sets of objects.

#### 3.2.1.1 Set Encoder

Our set encoder shares an almost same architecture of inputting sets proposed by [Vinyals et al., 2015]. However, as there is an addition in softmax function and it would introduce counting bias into the feature representation of sets, we replace equation (5) in [Vinyals et al., 2015] with the following operation in order to avoid exposing counting system to models:

$$a_{i,t} = \sigma(e_{i,t}) \quad (3.2)$$

where  $\sigma$  is sigmoid function.

In our implementation, the number of attention operations is set to be the same as the number of all types of objects, as we want to help models to represent number of each kind of objects as features in the vector representation of input set.

#### 3.2.1.2 Message Generator

To generate the message  $m_i$ , we follow [Havrylov and Titov, 2017] and adopt a LSTM-based sequence decoder with 2 different kinds of sampling mechanisms: i) direct sampling that directly sample from the correponding categorical distribution specified by  $\text{softmax}(Wh_k + b)$ ; ii) GUMBEL-softmax estimator proposed by [Jang et al., 2016] with straight-through trick introduced in [Bengio et al., 2013]. Beside, the learning mechanisms also vary for these 2 different sampling methods, which is further discussed in Subsection 3.2.3.

Note that the length of each message  $m_i$  is fixed to and symbols  $s_{i_1}, \dots, s_{i_{|M|}}$  are all one-hot vectors that represent different discrete symbols. The effect of number of all discrete message symbols  $|V|$  and length of messages  $|M|$  on the emergent language is further discussed in Chapter 4.

### 3.2.2 Listeners

The architectures of listening agents are specifically designed for handling different kinds of tasks/games and thus vary from Set-Forward game to Set-Select game.

**Listener in Set-Forward Game:** The listener in Set-Forward game has exactly the same architecture as Seq-to-Seq model proposed by [Sutskever et al., 2014]. And, when combined with speaker model, the overall model is called as “Set2Seq2Seq”.

**Listener in Set-Select Game:** The listener in Set-Select game would also first encode messages with a LSTM like it is in standard Seq-to-Seq model. However, as it needs to select among a bunch of candidates, it also needs to encode all these sets with Set Encoder introduced in Subsection 3.2.1.1. Then, the listener would make predictions based on the dot-products between embedding of message  $h_m^r$  and embeddings of each set of objects. Similarly, when combined with speaker model, the overall model is called as “Set2Seq2Choice”.

### 3.2.3 Loss/Reward and Learning

**In Set-Forward game,** as the predictions of listeners are a sequence of objects  $\hat{s}_i = \{\hat{o}_{i_1}, \dots, \hat{o}_{i_n}\}$ , we use cross-entropy between the original set and the predicted sequence as the objective function that needs to be minimised. Formally,

$$\mathcal{L}_{\theta^S, \theta^L}(o_{i_1}, \dots, o_{i_n}) = \mathbb{E}_{m_i \sim p_{\theta^S}(\cdot | s_i)} \left[ - \sum_{k=1}^n o_{i_k} \log(p(\hat{o}_{i_k} | m_i, \hat{o}_{-i_k})) \right] \quad (3.3)$$

where  $\hat{o}_{-i_k}$  represent all predicted objects preceding  $\hat{o}_{i_k}$ .

**In Set-Select game,** we still use the cross entropy between the correct candidate and as the loss to minimise, i.e.

$$\mathcal{L}_{\theta^S, \theta^L}(s_i) = \mathbb{E}_{m_i \sim p_{\theta^S}(\cdot | s_i)} \left[ - \sum_{k=1}^C s_i \log(p(c_k)) \right] \quad (3.4)$$

where  $c_k$  is the predicted logit score for candidate  $k$  among  $C$  candidates.

In the case that we use GUMBEL-softmax for sampling messages from speaker  $S$ , parameters  $\theta^S$  and  $\theta^L$  are learnt by back-propagation. In the case that we use direct sampling,  $\theta^L$  is still learnt by back-propagation, whereas  $\theta^S$  is learnt by REINFORCE estimator [Williams, 1992] with cross-entropy scores as rewards.



### 3.2.4 Neural Iterated Learning

The evolutionary linguistic community has already studied the origins and measurements of language compositionality since [Kirby and Hurford, 2002] which points out a cultural evolutionary account of the origins of compositionality and proposes iterated learning to model this procedure. Thus, to facilitate the emergence of compositionality among the autonomous communication between agents, we transform the Bayesian iterated learning to so called Neural Iterated Learning(NIL).

Following the overall architecture of iterated learning, we also train agents generation by generation. In the beginning of each generation  $t$ , we would instantiate a new speaker  $S_t$  and a new listener  $L_t$  and then execute the following 3 phases:

1. **Speaker Learning phase:** During this phase, we would train  $S_t$  with the set-message pairs generated by  $S_{t-1}$ , and the number of learning rounds is set to be fixed. Note that there is no such phase in the initial generation, as there is no set-message pair for training  $S_t$ .
2. **Game Playing phase:** During this phase, we would let  $S_t$  and  $L_t$  cooperate to complete the game and update  $\theta_t^S$  and  $\theta_t^L$  with loss/reward illustrated in previous section, and use early-stopping to avoid overfitting.
3. **Knowledge Generation phase:** During this phase, we would feed all  $s_i$  in training set into  $S_t$  and get corresponding messages  $m_i$ . Then, we would keep the sampled “language” for  $S_{t+1}$  to learn.

### 3.2.5 Baseline Models

To get the upper bounds of our multi-agent communication systems, we remove the communication between speaker and listener to be the baseline models.

In Set-Forward game, our baseline is Set-to-Seq model which first encodes the input set  $s_i$  with the set encoder introduced in subsection 3.2.1.1 and then directly generate the predicted sequence  $\hat{s}_i$  following the sequence generation in standard seq-to-seq model.

As for in Set-Select game, our baseline is Set-to-Choose model, in which speaker directly transmit representation vector  $h_s^s$  of set  $s_i$  to listener. And, listener compare  $h_s^s$  with all candidate sets to make a selection.

### 3.3 Measurements

With the recent rapid development of GLL, measuring the compositionality of emergent communication protocol attracts more and more attention nowadays, e.g. [Andreas, 2019], [Lowe et al., 2019].

However, as the setting of our game is simple and the space size is limited, we follow [Brighton and Kirby, 2006] and take the topological similarity between meaning space (space of all sets of objects) and message space as the measurement of compositionality. In order to calculate the topological compositionality, we need define the distance measurement for meaning space and message space respectively. Thus, for an input set  $s_i$ , we could first count the number of each kind of object and then concatenate the Arabic numerals as the meaning sequence. Take a set  $s_i = A, A, A, B, B$  for example, the corresponding meaning sequence would be “32” as there are 3  $A$  and 2  $B$  in  $s_i$ .<sup>3</sup> As for the message space, we have several different settings which are further illustrated in subsection 4.2.2, and edit distance as in [Brighton and Kirby, 2006] is also included.

Meanwhile, as we could perfectly encode the meaning of a set into natural language, we could take the speaker as a machine translation model that translates a meaning represented in natural language into emergent language invented by computational themselves. Based on this point of view, we could also use BLEU score proposed by [Papineni et al., 2002] as a measurement of semantic similarities between messages. In our case, the BLEU score between  $m_i$  and  $m_j$  is calculated as follow:

$$BLEU(m_i, m_j) = 1 - \sum_{n=1}^N \omega_n \cdot \frac{\text{Number of common } n\text{-grams}}{\text{Number of total different } n\text{-grams}} \quad (3.5)$$

where  $n$  is the size of  $n$ -grams and  $\omega_n$  is the weight for similarity based on  $n$ -grams.

---

<sup>3</sup>Again, the appearing order of objects would not effect the meaning sequence of a set.

# Chapter 4

## Experiment Results and Discussion

### 4.1 Emergence of Language

First of all, we have to verify that the agents can successfully address the problems by communicating with discrete symbols, so that they communicate meaningful things with each other. Thus, we train both “Set2Seq2Seq” and “Set2Seq2Choice” on different game settings, and the performance of models are given in Table 4.1.

Model	Sampling Method	Performance	Game Setting
Set2Seq2Seq	GUMBEL	99.89%	$ M  = 8,  V  = 10,$ $ O  = 6,  N_o  = 10$
	REINFORCE	89.89%	
	SCST	98.67%	
Set2Seq2Choice	GUMBEL	100%	$ M  = 6,  V  = 10,$ $ O  = 4,  N_o  = 10$
	REINFORCE	76.45%	
	SCST	83.26%	

Table 4.1: Performance of Models and Corresponding Game Settings.

In the above table,  $|M|$  is the length of messages,  $|V|$  is the size of vocabulary<sup>1</sup> for message,  $|O|$  is the number of all kinds of objects and  $|N_o|$  is the maximum number of a single kind of object.

Besides the “REINFORCE” and “GUMBEL” sampling methods introduced in subsection 3.2.1.2, we also tried the self-critic sequence training proposed by [Rennie et al., 2017] as a baseline for REINFORCE algorithm, which is denoted by “SCST”.

---

<sup>1</sup>Note that the meaning of “vocabulary” is not like it is in traditional NLP, but refers to the set of initially meaningless symbols that can be used for communication.

Based on the performance shown in Table 4.1, it is clear that GUMBEL is the most stable training mechanism on all different settings. Thus, unless specifically stated, the following experiments and discussions are all based on training with GUMBEL method.

## 4.2 Structure of Emergent Language

### 4.2.1 Emergent Languages in Various Games

After verifying that computational agents could always complete games through communication, we are curious about the messages produced during their communication. However, unlike what was shown by the previous works in GLL, e.g. [Hill et al., 2017] and [Mordatch and Abbeel, 2018], the emergent language during both Set-Forward and Set-Select games are not “perfectly” compositional. One reason of this phenomenon is that  $|M| > |O|$  in our game settings, as we want to avoid the effects brought by fine-tuning hyperparameters.

To have give an intuitional demonstration of the emergent language, we list all messages transmitted in a Set-Forward game where  $|O| = 2, |N_o| = 5, |M| = 4, |V| = 10$  in Table 4.2 given as follow. In the table, the first row and first column are the basic elements of meanings and each cell is the corresponding message for that meaning. Take cell “1A2B” for example, the original input set is  $s_i = \{A, A, B, B, B\}$  and the corresponding message  $m_i$  is “7751”. Note that the numbers in the message do not correspond to the numerals in natural language.

	0A	1A	2A	3A	4A	5A
0B		7377	7317	3711	3111	3353
1B	7737	7731	7111	1715	1151	5135
2B	7773	7751	7181	7515	1585	5551
3B	7775	7754	7815	7545	8515	4515
4B	7787	7874	7841	8851	8455	4455
5B	7788	7888	7844	8848	8444	4444

Table 4.2: An emergent language in a Set-Forward game.

As we can see from Table 4.2, there is no clear compositional structure in it. To better define the compositional elements, we argue that if a language is said to be

perfect compositional, then it should satisfy the following 2 properties:

- **Mutual Exclusivity:** Symbols describing different values of a same property should be mutually exclusive to each other. For example, “green” and “red” are both used to describe color of an object and they should not appear at the same time as an object can not be green and red at the same time.
- **Orthogonality:** Appearance of symbols for describing a property should be independent from the appearance of symbols used to describe another property. For example, the appearance of symbols used for describing colors of objects should be independent from the appearance of symbols used for describing shapes of objects.

Based on the above assumptions, we could see that the emergent language shown in Table 4.2 satisfies neither of mutual exclusivity nor orthogonality. Thus, the emergent language is not a perfectly compositional one as we expect.

However, as Set-Forward game is a generation task, the agents may transmit more than numeric concepts in order that listeners could generate the original input. Thus, to verify whether this is the case, we illustrate an emergent language in a Set-Select game whose settings are exactly the same as the Set-Forward game illustrated above. The meanings and corresponding messages are shown in Table 4.3 given as follow.

	0A	1A	2A	3A	4A	5A
0B		3335	3352	3522	5232	5222
1B	3333	3313	3435	3555	5533	5522
2B	3323	3033	3131	3445	5453	5555
3B	3232	3023	3003	1311	4443	4545
4B	2323	2302	0302	0130	1131	1441
5B	2232	2202	0222	0022	1000	1111

Table 4.3: An emergent language in a Set-Select game.

Based on the message contents in Table 4.3, we could find that the referential game does not make the emergent language perfectly compositional.

## 4.2.2 Topological Similarities

As introduced in subsection 3.3, we measure the topological similarity between meaning space and message space under a language as the compositionality of it. We list

compositionality scores under different kinds of measurements in Table 4.4 given as follow.

	Ham+Edit	Ham+BLEU	Euclid+Edit	Euclid+BLEU
Compositional	1.00	0.61	0.38	0.24
Set-Forward	0.32	0.27	0.60	0.65
Set-Select	0.13	0.16	0.45	0.52
Holistic	-0.04	-0.04	0.01	0.00

Table 4.4: Topological similarity scores of different languages.

**Ham+Edit:** We first follow the distance measurements in [Brighton and Kirby, 2006]: i) use hamming distances between meaning sequences as the similarity measurement for meaning space; ii) use edit distances between corresponding messages as the similarity measurement for message space.

**Ham+BLEU:** In this setting, we use: i) hamming for meaning space too; ii) BLEU score illustrated in Section 3.3 as the similarity measurement for message space.

**Euclid+Edit:** In this setting, we use: i) Euclidean distance as the measurement for meaning space, e.g. Euclidean distance between “4A2B” and “1A3B” is  $\sqrt{(4-1)^2 + (2-3)^2} = \sqrt{10}$ ; ii) edit distance for message space.

**Euclid+BLEU:** In this setting, we use: i) Euclidean distance for meaning space; ii) BLEU score illustrated in Section 3.3 for message space.

To get the upper bound and lower bound of compositionality, we specifically designed: i) a perfectly compositional language, in which the message is exactly the same as meaning sequence, e.g. “4A2B” is represented as “4829” ( $A \rightarrow 8, B \rightarrow 9$ ); ii) a holistic language, in which messages are randomly generated.

Then, from the above results, we could see that although the emergent languages in Set-Forward and Set-Select games gain low topological similarity scores under Hamming distance for meaning space, they obtain much higher similarity scores under Euclidean distances for meaning space. Therefore, we argue that, although the emergent languages do not look like compositional, they can reflect the underlying structure of the meaning space. Based on the above results, we could also say that the meaning of symbols in the emergent language **is not numerals** defined in Subsection 3.1.2.

### 4.2.3 Significance Test of Same Numeric Concepts

Although the compositionality of emergent language is not like our natural language, we could also see that it reflects the underlying structure of meaning space. Thus, we further verify whether messages for meaning pairs that share same numeric concepts are more similar. To do this, we established 2 different datasets: i) meaning pairs sharing exactly same numeric concepts, e.g. “4A3B” and “3A4B”, and corresponding BLEU similarity scores for their messages; ii) pairs of meaning sequences that share no numeric concept, e.g. “4A3B” and “5A1B”, and corresponding BLEU similarity for their messages.

Then, we establish the following hypotheses for significance test:

- **Null hypothesis:** The BLEU scores between messages are independent from whether meaning pairs share same numeric concepts.
- **Alternative hypothesis:** The BLEU scores between messages are **not** independent from whether meaning pairs share same numeric concepts.

The the  $p$ -values got on different languages are given in Table 4.5 as follow.

Language	Compositional	Set-Forward	Set-Select	Holistic
$p$ -value	$1.93 \times 10^{-69}$	$7.01 \times 10^{-3}$	$2.87 \times 10^{-3}$	0.57

Table 4.5:  $p$ -values of different languages.

The  $p$ -values for compositional language as well as emergent languages in both Set-Forward and Set-Select games are smaller than 0.01. Thus, it is safe to reject null hypothesis and accept the alternative hypothesis. That is, The BLEU scores between messages depend on whether their meaning pairs share same numeric concepts. To be more precise, the messages of meaning pairs share same numeric concepts have more unigrams and bi-grams in common.

## 4.3 Learning Speed & Iterated Learning

From the previous sections, we could see that the emergent languages can reflect the underlying structure of meaning spaces, although they may not be as compositional as

our natural languages. Thus, we are further curious about the motivation of the emergent language. Or, to say, the reasons why computational agents prefer to communicate in such a “non-natural”<sup>2</sup> way.

### 4.3.1 For Listener

The first thing we are curious is whether the emergent language is the most easy one for listeners to understand. To verify this, we test the learning speeds of all kinds of languages with randomly initialised new listeners in both Set-Forward game and Set-Select Game, and the results are shown in Figure 4.1 and Figure 4.2 respectively.

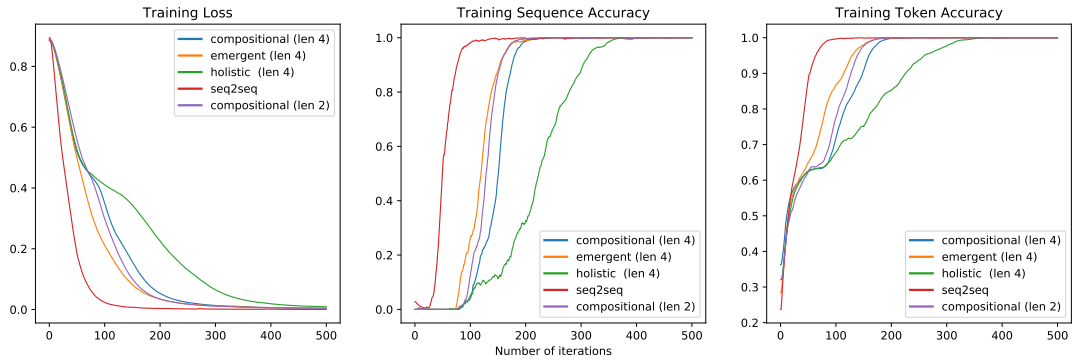


Figure 4.1: Learning curves of different languages in Set-Forward game.

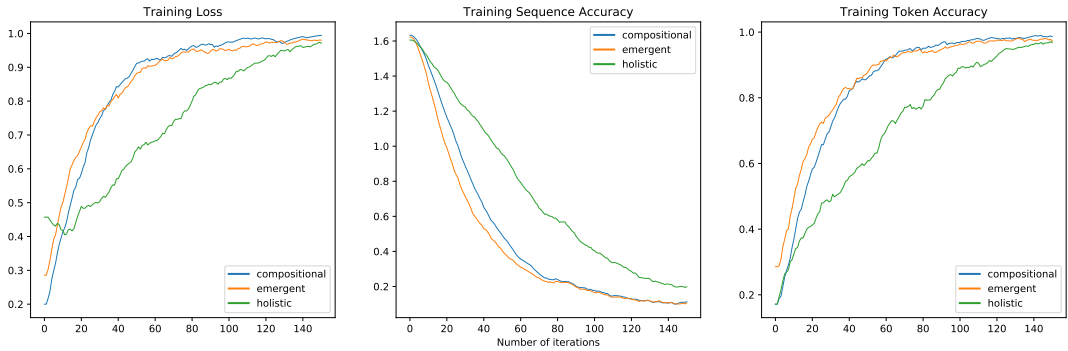


Figure 4.2: Learning curves of different languages in Set-Select game.

From the above figures, we could easily see that emergent languages are learnt faster than compositional and holistic language in whichever the game, which implies that the emergent language has a lower sample complexity[Vapnik, 2013].

<sup>2</sup>From a human perspective, it is not like how we communicate numeric concepts through natural language.



### 4.3.2 For Speaker

We then test the learning speed of different languages on speaker, i.e. we randomly initialise new speakers and let it learn to produce messages of input sets under different languages. Note that the architecture of speaker is identical in Set2Seq2Seq and Set2Seq2Choice model, thus all the curves are shown in Figure 4.3 given as follow.

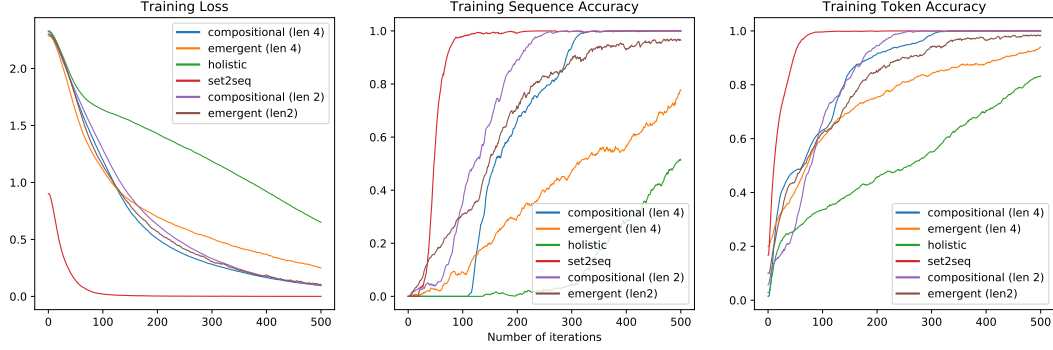


Figure 4.3: Speaker learning curves of different languages.

One thing we need to mention is that we also test an emergent language in Set-Select game with  $|M| = 2, |V| = 10, |O| = 2, |N_o| = 5$  which is denoted as “emergent (len 2)”. Compared learning messages with length 2 and 4, we could easily see that smaller message spaces are always easier to learn than the larger ones.

Meanwhile, it is clear compositional languages are always easier for speaker to learn than the same sized emergent languages, which is contradictory to the situation on listener side. Our hypothesis is that compositional language is smoother function for speak to learn and thus it is easier to be optimised. However, as time is limited, this phenomenon is not further discussed in this work but will be explored in the future works.

### 4.3.3 Improvement by Iterated Learning

Although the iterated learning framework [Kirby and Hurford, 2002] is proven to be effective in experiments with both Bayesian agents and humans, there are several obstacles for directly applying it into our neural agents:

1. we cannot feed prior probability that favors high compositional languages to neural networks;

2. the pre-training procedure in learning phase of original iterated learning need to be re-designed, as speakers and listeners in our game are not inverse functions to each other.

Thus, we adapt iterated learning into our project, which is illustrated in Subsection 3.2.4, and train agent population with respect to normal training mechanism and iterated learning. The results are shown in Figure 4.4. It needs to be pointed out that the distance measurement for meaning space is Euclidean distance for topological similarity, and measurement for message space is edit distance.

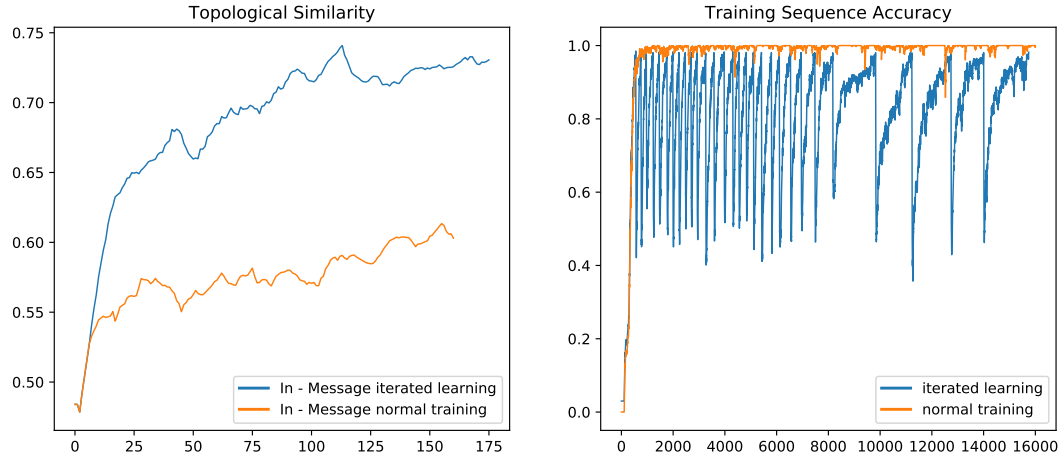


Figure 4.4: Topological similarity curves of iterated learning and normal training in Set-Select game. **Change the axis titles and subfigures later!!!**

By comparing the curves of iterated learning and normal training, we can see a significant improvement of topological similarity in iterated learning, about 0.1. However, although the messages emerged in iterate learning becomes more correlated with Euclidean distances between meanings, the numerica conceptes in them are still not represented like numerals in natural languages.

## 4.4 Effects of Different Representations

Compared our results in Section 4.1 to 4.3 with previous works in GLL, we argue that the different characteristics of emergents languages in our works are due to the feature representations of meanings.

To be specific, in our games, listeners need to generate object sequences or select the correct object sequence according to features representing each kind of objects. For example, the feature representation of set  $\{A, B, A\}$  would be a sequence

$\{[10], [01], [10]\}$  (assume that  $|O| = 2, |N_o| = 5$ ), and the corresponding message would be  $\{2, 1\} = \{[001000], [010000]\}$  (assume that  $|M| = |O| = 2, |V| = |N_o| = 5$ ). Thus, to understand the message, the listneer needs to correctly count the numbers of each kind of obects in the set and ground symbols to the counting results. During this procedure, there are 2 gaps between meanings (or perceptions) and messages: i) from meaning to numeric concpets; ii) from numeric concepts.

To verify which step imports bias towards emergent language, we slightly change the representation of sets in Set-Select game, i.e. we directly encode the numbers of each kind of objects as one-hot vectors and concatenate them to be the representation of the whole set. Take set  $\{A, B, A\}$  as example, its representation would be **vector**  $[001000; 010000]$ , whereas its message is still the **sequence**  $\{[001000], [010000]\}$ .

## 4.5 Further Discussion

# Chapter 5

## Conclusions

### 5.1 Numeric Representations

The body of your dissertation, before the references and any appendices, *must* finish by page 40. The introduction, after preliminary material, should have started on page 1.

You may not change the dissertation format (e.g., reduce the font size, change the margins, or reduce the line spacing from the default 1.5 spacing). Over length or incorrectly-formatted dissertations will not be accepted and you would have to modify your dissertation and resubmit. You cannot assume we will check your submission before the final deadline and if it requires resubmission after the deadline to conform to the page and style requirements you will be subject to the usual late penalties based on your final submission time.

### 5.2 Future Works

# Bibliography

- [Andreas, 2019] Andreas, J. (2019). Measuring compositionality in representation learning. *arXiv preprint arXiv:1902.07181*.
- [Baronchelli et al., 2006] Baronchelli, A., Felici, M., Loreto, V., Caglioti, E., and Steels, L. (2006). Sharp transition towards shared vocabularies in multi-agent systems. *Journal of Statistical Mechanics: Theory and Experiment*, 2006(06):P06014.
- [Bengio et al., 2013] Bengio, Y., Léonard, N., and Courville, A. (2013). Estimating or propagating gradients through stochastic neurons for conditional computation. *arXiv preprint arXiv:1308.3432*.
- [Berwick and Chomsky, 2016] Berwick, R. C. and Chomsky, N. (2016). *Why only us: Language and evolution*. MIT press.
- [Bickerton and Szathmáry, 2009] Bickerton, D. and Szathmáry, E. (2009). *Biological foundations and origin of syntax*, volume 3. Mit Press.
- [Brighton and Kirby, 2006] Brighton, H. and Kirby, S. (2006). Understanding linguistic evolution by visualizing the emergence of topographic mappings. *Artificial life*, 12(2):229–242.
- [Briscoe, 1998] Briscoe, E. J. (1998). Language as a complex adaptive system: co-evolution of language and of the language acquisition device. In *Proceedings of eighth computational linguistics in the Netherlands Conference*, pages 3–40. Cite-seer.
- [Briscoe, 2002] Briscoe, T. (2002). *Linguistic Evolution through Language Acquisition: Formal and Computational Models*. Cambridge University Press.
- [Cangelosi and Parisi, 2012] Cangelosi, A. and Parisi, D. (2012). *Simulating the evolution of language*. Springer Science & Business Media.

- [Cao et al., 2018] Cao, K., Lazaridou, A., Lanctot, M., Leibo, J. Z., Tuyls, K., and Clark, S. (2018). Emergent communication through negotiation. *arXiv preprint arXiv:1804.03980*.
- [Christiansen and Kirby, 2003] Christiansen, M. H. and Kirby, S. (2003). *Language evolution*. OUP Oxford.
- [Da Costa and Bond, 2016] Da Costa, L. M. and Bond, F. (2016). Wow! what a useful extension! introducing non-referential concepts to wordnet. In *Proceedings of the Tenth International Conference on Language Resources and Evaluation (LREC 2016)*, pages 4323–4328.
- [Darwin, 1859] Darwin, C. (1859). On the origin of species by means of natural selection (j. murray, london).
- [Evans and Levinson, 2009] Evans, N. and Levinson, S. C. (2009). The myth of language universals: Language diversity and its importance for cognitive science. *Behavioral and brain sciences*, 32(5):429–448.
- [Gong and Shuai, 2013] Gong, T. and Shuai, L. (2013). Computer simulation as a scientific approach in evolutionary linguistics. *Language Sciences*, 40:12–23.
- [Havrylov and Titov, 2017] Havrylov, S. and Titov, I. (2017). Emergence of language with multi-agent games: Learning to communicate with sequences of symbols. In *Advances in neural information processing systems*, pages 2149–2159.
- [Hermann et al., 2017] Hermann, K. M., Hill, F., Green, S., Wang, F., Faulkner, R., Soyer, H., Szepesvari, D., Czarnecki, W. M., Jaderberg, M., Teplyashin, D., et al. (2017). Grounded language learning in a simulated 3d world. *arXiv preprint arXiv:1706.06551*.
- [Hill et al., 2017] Hill, F., Hermann, K. M., Blunsom, P., and Clark, S. (2017). Understanding grounded language learning agents. *arXiv preprint arXiv:1710.09867*.
- [Hochreiter and Schmidhuber, 1997] Hochreiter, S. and Schmidhuber, J. (1997). Long short-term memory. *Neural computation*, 9(8):1735–1780.
- [Hurford, 1989] Hurford, J. R. (1989). Biological evolution of the saussurean sign as a component of the language acquisition device. *Lingua*, 77(2):187–222.

- [Hurford, 1999] Hurford, J. R. (1999). Artificially growing a numeral system. <http://www.lel.ed.ac.uk/~jim/grownum.html>. Accessed March 12, 2019.
- [Hurford et al., 1998] Hurford, J. R., Studdert-Kennedy, M., and Knight, C. (1998). *Approaches to the evolution of language: Social and cognitive bases*. Cambridge University Press.
- [Jang et al., 2016] Jang, E., Gu, S., and Poole, B. (2016). Categorical reparameterization with gumbel-softmax. *arXiv preprint arXiv:1611.01144*.
- [Ke et al., 2008] Ke, J., Gong, T., and Wang, W. S. (2008). Language change and social networks. *Communications in Computational Physics*, 3(4):935–949.
- [Kim, 2014] Kim, Y. (2014). Convolutional neural networks for sentence classification. *Proceedings of the 2014 Conference on Empirical Methods in Natural Language Processing*.
- [Kirby, 1999] Kirby, S. (1999). *Function, selection, and innateness: The emergence of language universals*. OUP Oxford.
- [Kirby and Hurford, 2002] Kirby, S. and Hurford, J. R. (2002). The emergence of linguistic structure: An overview of the iterated learning model. In *Simulating the evolution of language*, pages 121–147. Springer.
- [Knight et al., 2000] Knight, C., Studdert-Kennedy, M., and Hurford, J. (2000). *The evolutionary emergence of language: social function and the origins of linguistic form*. Cambridge University Press.
- [Kottur et al., 2017] Kottur, S., Moura, J. M., Lee, S., and Batra, D. (2017). Natural language does not emerge ‘naturally’ in multi-agent dialog. *arXiv preprint arXiv:1706.08502*.
- [Lewis, 1969] Lewis, D. (1969). *Convention: A philosophical study*. John Wiley & Sons.
- [Lieberman, 2006] Lieberman, P. (2006). *Toward an evolutionary biology of language*. Harvard University Press.
- [Lowe et al., 2019] Lowe, R., Foerster, J., Boureau, Y.-L., Pineau, J., and Dauphin, Y. (2019). On the pitfalls of measuring emergent communication. In *Proceedings of*

- the 18th International Conference on Autonomous Agents and MultiAgent Systems*, pages 693–701. International Foundation for Autonomous Agents and Multiagent Systems.
- [MacWhinney, 2013] MacWhinney, B. (2013). *The emergence of language*. Psychology Press.
- [Matuszek, 2018] Matuszek, C. (2018). Grounded language learning: Where robotics and nlp meet. In *IJCAI*, pages 5687–5691.
- [Mikolov et al., 2013] Mikolov, T., Sutskever, I., Chen, K., Corrado, G. S., and Dean, J. (2013). Distributed representations of words and phrases and their compositionality. In Burges, C. J. C., Bottou, L., Welling, M., Ghahramani, Z., and Weinberger, K. Q., editors, *Advances in Neural Information Processing Systems 26*, pages 3111–3119. Curran Associates, Inc.
- [Minett and Wang, 2008] Minett, J. W. and Wang, W. S. (2008). Modelling endangered languages: The effects of bilingualism and social structure. *Lingua*, 118(1):19–45.
- [Mnih et al., 2015] Mnih, V., Kavukcuoglu, K., Silver, D., Rusu, A. A., Veness, J., Bellemare, M. G., Graves, A., Riedmiller, M., Fidjeland, A. K., Ostrovski, G., et al. (2015). Human-level control through deep reinforcement learning. *Nature*, 518(7540):529.
- [Mordatch and Abbeel, 2018] Mordatch, I. and Abbeel, P. (2018). Emergence of grounded compositional language in multi-agent populations. In *Thirty-Second AAAI Conference on Artificial Intelligence*.
- [Munroe and Cangelosi, 2002] Munroe, S. and Cangelosi, A. (2002). Learning and the evolution of language: The role of cultural variation and learning costs in the baldwin effect. *Artificial life*, 8(4):311–339.
- [Papineni et al., 2002] Papineni, K., Roukos, S., Ward, T., and Zhu, W.-J. (2002). Bleu: a method for automatic evaluation of machine translation. In *Proceedings of the 40th annual meeting on association for computational linguistics*, pages 311–318. Association for Computational Linguistics.



- [Puglisi et al., 2008] Puglisi, A., Baronchelli, A., and Loreto, V. (2008). Cultural route to the emergence of linguistic categories. *Proceedings of the National Academy of Sciences*, 105(23):7936–7940.
- [Rennie et al., 2017] Rennie, S. J., Marcheret, E., Mroueh, Y., Ross, J., and Goel, V. (2017). Self-critical sequence training for image captioning. In *Proceedings of the IEEE Conference on Computer Vision and Pattern Recognition*, pages 7008–7024.
- [Siegelmann and Sontag, 1992] Siegelmann, H. T. and Sontag, E. D. (1992). On the computational power of neural nets. In *Proceedings of the Fifth Annual Workshop on Computational Learning Theory, COLT '92*, pages 440–449, New York, NY, USA. ACM.
- [Silver et al., 2017] Silver, D., Schrittwieser, J., Simonyan, K., Antonoglou, I., Huang, A., Guez, A., Hubert, T., Baker, L., Lai, M., Bolton, A., et al. (2017). Mastering the game of go without human knowledge. *Nature*, 550(7676):354.
- [Smith et al., 2003] Smith, K., Kirby, S., and Brighton, H. (2003). Iterated learning: A framework for the emergence of language. *Artificial life*, 9(4):371–386.
- [Socher et al., 2013] Socher, R., Perelygin, A., Wu, J., Chuang, J., Manning, C. D., Ng, A., and Potts, C. (2013). Recursive deep models for semantic compositionality over a sentiment treebank. In *Proceedings of the 2013 Conference on Empirical Methods in Natural Language Processing*, pages 1631–1642, Seattle, Washington, USA. Association for Computational Linguistics.
- [Steels, 2005] Steels, L. (2005). The emergence and evolution of linguistic structure: from lexical to grammatical communication systems. *Connection science*, 17(3-4):213–230.
- [Sutskever et al., 2014] Sutskever, I., Vinyals, O., and Le, Q. V. (2014). Sequence to sequence learning with neural networks. In *Advances in neural information processing systems*, pages 3104–3112.
- [Vapnik, 2013] Vapnik, V. (2013). *The nature of statistical learning theory*. Springer science & business media.
- [Vinyals et al., 2015] Vinyals, O., Bengio, S., and Kudlur, M. (2015). Order matters: Sequence to sequence for sets. *arXiv preprint arXiv:1511.06391*.

- [Vogt, 2005] Vogt, P. (2005). On the acquisition and evolution of compositional languages: Sparse input and the productive creativity of children. *Adaptive Behavior*, 13(4):325–346.
- [Williams, 1992] Williams, R. J. (1992). Simple statistical gradient-following algorithms for connectionist reinforcement learning. *Machine learning*, 8(3-4):229–256.
- [Wittgenstein, 1953] Wittgenstein, L. (1953). *Philosophical investigations*. John Wiley & Sons.
- [Yu et al., 2018] Yu, H., Zhang, H., and Xu, W. (2018). Interactive grounded language acquisition and generalization in a 2d world. *arXiv preprint arXiv:1802.01433*.