# CS 539-001 Natural Language Processing, Fall 2019 HW 6: Recurrent Neural Language Models

#### Instructions:

- Submit individually by Monday Dec 9, 11:59pm on Canvas. No late submission will be accepted.
- Only hw6.zip (which contains report.pdf and all your code) should be submitted.
- Worth **12**% of the final grade.
- This HW aims to give you some basic exposure to recurrent neural language models and deep learning.
- $\bullet$  This HW compares NLM with n-gram models from EX2 in many of the same tasks: entropy, random generation, space and vowel recovery.

To make it simple, our TA has trained two recurrent neural language models (NLMs) for you, the smaller one ("base") on your HW2 train.txt, and the larger one ("large") on wiki-2 corpus. This Exercise asks you to train and use char-based n-gram language models. Please download http://classes.engr.oregonstate.edu/eecs/fall2019/cs539-001/hw6/hw6-data.tgz which contains

1. Trigram models (cf. EX2) trained on base and large settings (the first one is almost identical to the one from EX2 solutions)

```
trigram.base.wfsa.norm and trigram.large.wfsa.norm.
```

- 2. NLMs (base and large) in directory saved\_models/\*.
- 3. The NLM code: nlm.py which provides a class NLM.

You need to run these experiments on Pytorch (but you don't need to know anything about it), which we have installed on pelican.eecs.oregonstate.edu machines (all 4 of them, pelican01 to pelican04). This HW does <u>not</u> need GPUs, though each pelican machine does have reasonably good GPUs.

```
$ ssh <ONID>@pelican.eecs.oregonstate.edu
$ /scratch/anaconda3/bin/python3
Python 3.7.3 (default, Mar 27 2019, 22:11:17)
[GCC 7.3.0] :: Anaconda, Inc. on linux
>>> import torch
```

## 1 Playing with the NLM (0 pts)

You can use the NLM to evaluate the probability of a given sequence:

```
0.121 "<s> t h e _": [s: 0.13, c: 0.09, f: 0.08, t: 0.07, p: 0.06, a: 0.05, m: 0.05, r: 0.05, b: 0.05,
0.004 "<s> t h e _ e": [n: 0.24, a: 0.13, x: 0.11, v: 0.08, p: 0.07, r: 0.05, 1: 0.05, m: 0.04, s: 0.04
0.001 "<s> t h e _ e n": [d: 0.41, g: 0.23, t: 0.18, v: 0.03, c: 0.03, o: 0.02, e: 0.01, r: 0.01]
0.000 "<s> t h e _ e n d": [_: 0.84, </s>: 0.05, i: 0.05, e: 0.02, s: 0.02]
   You can also use the NLM to greedily generate (i.e., always choose the most likely next character):
>>> NLM.load("large")
>>> h = NLM()
>>> for i in range(100):
          c, p = max(h.next_prob().items(), key=lambda x: x[1])
          print(c, "%.2f <- p(%s | ... %s)" % (p, c, " ".join(map(str, h.history[-4:]))))
>>>
          h += c
   You get something like:
    t 0.19 <- p(t | ... <s>)
                                                   s 0.13 <- p(s | ... o n d _)
    h 0.82 \leftarrow p(h \mid ... < s > t)
                                                   e 0.21 <- p(e | ... n d _ s)
    e 0.88 <- p(e | ... <s> t h)
                                                   a 0.29 <- p(a | ... d _ s e)
     _ 0.88 <- p(_ | ... <s> t h e)
                                                   s 0.81 \leftarrow p(s \mid ... \_ s e a)
    s 0.13 \leftarrow p(s \mid ... t h e _)
                                                   o 0.99 <- p(o \mid ... s e a s)
    e 0.18 <- p(e | ... h e _ s)
                                                   n 1.00 \leftarrow p(n \mid ... e a s o)
    c 0.31 \leftarrow p(c \mid ... e \_ s e)
                                                   _{0.87} \leftarrow p(_{1} \ldots ason)
    o 0.88 <- p(o \mid ... _ s e c)
                                                   o 0.18 <- p(o | ... s o n _)
                                                   f 0.89 <- p(f | ... o n _ o)
    n 1.00 \leftarrow p(n \mid ... s e c o)
    d 1.00 \leftarrow p(d \mid ... e c o n)
                                                   0.98 \leftarrow p(| \dots n = of)
    _{-} 0.97 <- _{p}(_{-} | ... c o n d)
                                                   t 0.28 <- p(t | ... _ o f _)
    s 0.13 <- p(s | ... o n d _)
                                                   h 0.90 \leftarrow p(h \mid ... \circ f t)
    e 0.22 <- p(e | ... n d _ s)
                                                   e 0.94 <- p(e | ... f _ t h)
    a 0.30 \leftarrow p(a \mid ... d \_ s e)
                                                   _{-} 0.96 <- _{p}(_{-} | \dots _{-} t h e)
    s 0.82 \leftarrow p(s \mid ... \_ s e a)
                                                   s 0.12 <- p(s | ... t h e _)
    o 0.99 \leftarrow p(o \mid ... s e a s)
                                                   e 0.18 <- p(e | ... h e _ s)
                                                   c 0.26 <- p(c | ... e _ s e)
    n 1.00 \leftarrow p(n \mid ... e a s o)
    _{0.88} \leftarrow p(_{1} ) \dots ason
                                                   o 0.86 <- p(o | ... _ s e c)
                                                   n 1.00 \leftarrow p(n \mid ... s e c o)
    o 0.21 <- p(o | ... s o n _)
                                                   d 1.00 \leftarrow p(d \mid ... e c o n)
    f 0.92 <- p(f | ... o n _ o)
                                                   _{-} 0.94 <- p(_{-} | ... c o n d)
    _{0.98} \leftarrow p(_{1} \dots n_{1} o f)
    t 0.28 <- p(t | ... _ o f _)
                                                   s 0.13 <- p(s | ... o n d _)
    h 0.90 \leftarrow p(h \mid ... \circ f t)
                                                   e 0.21 <- p(e | ... n d _ s)
    e 0.94 <- p(e \mid ... f _ t h)
                                                   a 0.29 <- p(a | ... d _ s e)
    _{-} 0.96 <- p(_{-} | ... _{-} t h e)
                                                   s 0.81 \leftarrow p(s \mid ... \_ s e a)
    s 0.12 \leftarrow p(s \mid ... the \_)
                                                   0.99 \leftarrow p(0 \mid ... seas)
    e 0.18 <- p(e | ... h e _ s)
                                                   n 1.00 \leftarrow p(n \mid ... e a s o)
    c 0.26 \leftarrow p(c \mid ... e \_ s e)
                                                   _{-} 0.87 <- _{p}(_{-} | ... a s o n)
    o 0.86 \leftarrow p(o \mid ... \_ s e c)
                                                   o 0.18 <- p(o | ... s o n _)
    n 1.00 \leftarrow p(n \mid ... s e c o)
                                                   f 0.88 <- p(f | ... o n _ o)
                                                   _ 0.98 <- p(_ | ... n _ o f)
    d 1.00 \leftarrow p(d \mid ... e c o n)
```

### 2 Evaluating Entropy (2 pts)

 $_{0.94} \leftarrow p(_{1} ) \dots cond$ 

1. Like EX2, please first evaluate the trigram entropies (base and large) on EX2 test.txt

t 0.28 <- p(t | ... \_ o f \_)

Hint: both should be around 2.9.

Q: Is the large version intuitively better than the small version? If so, why? If not, why?

```
cat test.txt | sed -e 's/ /_/g;s/\(.\)/\1 /g' | awk '{printf("<s> %s </s>\n", $0)}' | carmel -sribI trigram.base.wfsa.norm
```

2.91

```
cat test.txt | sed -e 's/ /_/g;s/\(.\)/\1 /g' | awk '{printf("<s> %s </s>\n", $0)}' | carmel -sribI trigram.large.wfsa.norm
```

2.93

2. Now write a short program to evaluate the entropy of NLMs on the same test.txt.

Entropy from base model -

Entropy from large model -

Hint: they should be around 2.6 and 2.0, respectively.

Q: Which version (base or large) is better? Why?

Large model is better than base model because the larger model has been trained on larger data-set.

3. Is NLM better than trigram in terms of entropy? Does it make sense?

Yes. NLM is better than trigram in terms of entropy because NLM is essentially n-gram model with n tends to infinity which lets the model remember more than what trigram model would remember.

#### 3 Random Generation (2 pts)

1. Random generation from n-gram models.

Use carmel -GI 10 <your\_wfsa> to stochastically generate character sequences. Show the results. Do these results make sense?

Random character sequence generated by base and normal WFSA are mentioned in files random.sentences.base.carmel and random.sentences.large.carmel

2. Write a short code to randomly generate 10 sentences (from <s> to </s>) from NLMs.

Hint: use random.choices() to draw the random sample from a distribution.

Random character sequences generated from base and large models are given in files random.sentences.base and random.sentences.large

3. Compare the results between NLMs and trigrams.

Character sequences generated randomly from NLMs are better than trigrams.

### 4 Restoring Spaces (4 pts)

1. Recall from EX2 that you can use LMs to recover spaces:

 $the {\tt restcan be atotal mess} and {\tt you} can {\tt still readit} without {\tt aproblem}$ 

 $this is because the human \verb|minddoes| not read every letter by itself but the \verb|wordas| awhole.$ 

First, redo the trigram experiments using our provided trigrams, and using Carmel.

What are the precisions, recalls, and F-scores? Hint: F-scores should be around 61% and 64%, respectively.

2. Then design an algorithm to recover spaces using NLMs. Note: you can't use dynamic programming any more due to the infinite history that NLM remembers. You can use beam search instead.

Describe your algorithm in English and pseudocode, and analyze the complexity.

- (a) Initialize beam with initprob as 0 and initial NLM model with start tag.
- (b) For every character of given sentence, we initialize the currbeam as [].
  - i. For every previous prob and model from prevbeam
    - A. add log probability of prev string with current char
    - B. add log probability of prev string with current char + ','
- (c) Prune the currbeam if length of currbeam increases greater than 20.
- (d) prevbeam = currbeam
- 3. Implement it, and report the precisions, recalls, and F-scores.

Hint: F-scores should be around 81% and 94% using beam size of 20.

Base Model - Precision - 80.2% Recall - 82.4% F1-score - 81.3%

Large Model - Precision - 95.5% Recall - 96.9% F1-score - 96.2%

Please find the files with recovered vowels in recover.spaces.base, recover.spaces.large, recover.spaces.huge

### 5 Restoring vowels (4 pts)

1. Redo trigram vowel recovery and report the accuracy.

Hint: should be around 37% and 33%.

2. Now design an algorithm to recover spaces using NLMs.

Describe your algorithm in English and pseudocode, and analyze the complexity.

- (a) Initialize beam with initprob as 0 and initial NLM model with start tag.
- (b) For every character of given sentence, we initialize the currbeam as [].
  - i. For every previous prob and model from prevbeam
    - A. add log probability of prev string with current char
    - B. add log probability of prev string with current char + v1
    - C. for v2 in vowels: add log probability of prev string with current char + v1 + v2
- (c) Prune the currbeam if length of currbeam increases greater than 20.
- (d) prevbeam = currbeam
- 3. Implement it, and report the precisions, recalls, and F-scores.

```
Base Model - Precision - 54.3% Recall - 54.3% F1-score - 54.3%
```

Large Model - Precision - 79.0% Recall - 79.0% F1-score - 79.0%

Huge Model - Precision - 93.2% Recall - 93.2% F1-score - 93.2%

Hint: should be around 50% and 77% using beam size of 40. Please find the files with recovered vowels in recover.vowels.base, recover.vowels.large, recover.vowels.huge

### 6 Extra Credit: Decipherment with Neural LM (5 pts)

Redo HW4 part 5 with NLMs.