

# CS 33

## Architecture and Optimization (1)

Many of the slides in this lecture are either from or adapted from slides provided by the authors of the textbook “Computer Systems: A Programmer’s Perspective,” 2<sup>nd</sup> Edition and are provided from the website of Carnegie-Mellon University, course 15-213, taught by Randy Bryant and David O’Hallaron in Fall 2010. These slides are indicated “Supplied by CMU” in the notes section of the slides.

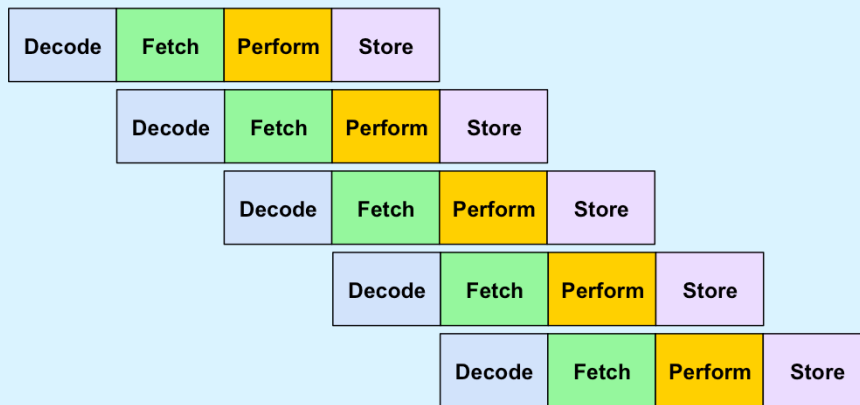
## Simplistic View of Processor

```
while (true) {  
    instruction = mem[rip];  
    execute(instruction);  
}
```

## Some Details ...

```
void execute(instruction_t instruction) {  
    decode(instruction, &opcode, &operands);  
    fetch(operands, &in_operands);  
    perform(opcode, in_operands, &out_operands);  
    store(out_operands);  
}
```

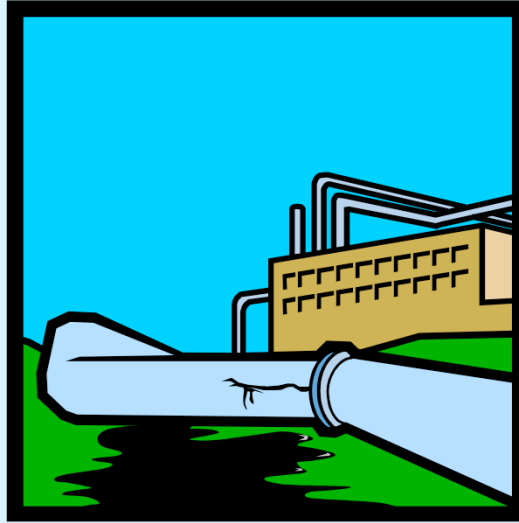
# Pipelines



# Analysis

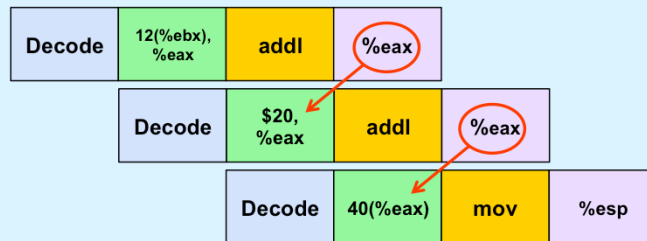
- **Not pipelined**
  - each instruction takes, say, 320 nanoseconds
    - » 320 ns latency
  - 3.125 billion instructions/second (GIPS)
- **Pipelined**
  - each instruction still takes 320 ns
    - » latency still 320 ns
  - an instruction completes every 80 ns
    - » 12.5 GIPS throughput

## Hazards ...

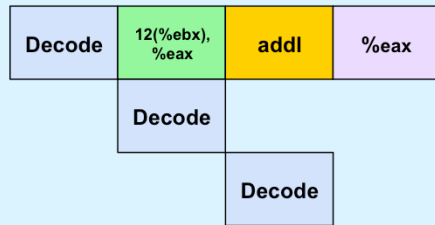


## Data Hazards

```
addl 12(%ebx), %eax
addl $20, %eax
movl 40(%eax), %esp
```



## Coping





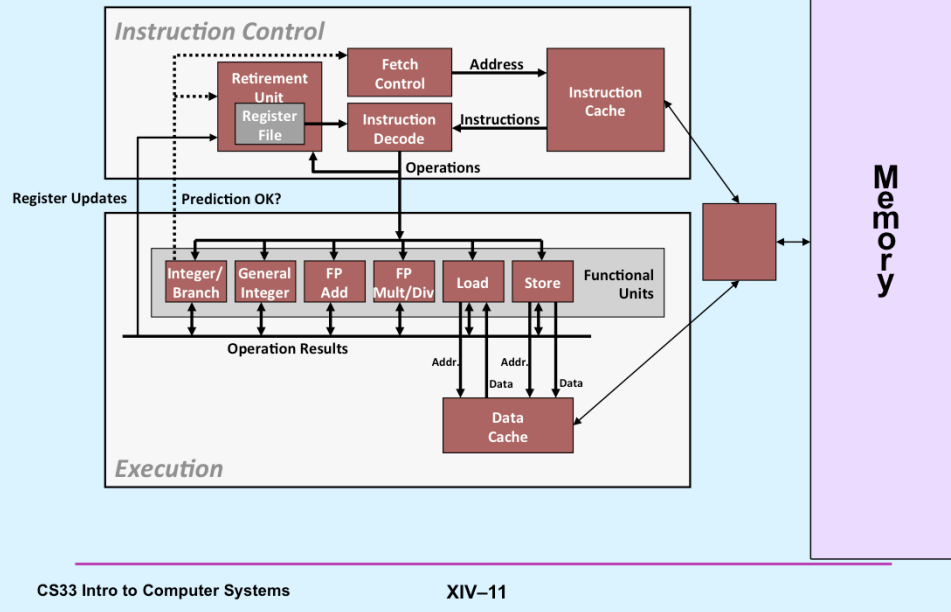
## Control Hazards

```
    movl $0, %ecx  
.L2:  
    movl %edx, %eax  
    andl $1, %eax  
    addl %eax, %ecx  
    shrl $1, %edx  
    jne .L2 # what goes in the pipeline?  
    movl %ecx, %eax  
    ...
```

## Coping: Guess ...

- **Branch prediction**
  - assume, for example, that conditional branches are always taken
  - but don't do anything to registers or memory until you know for sure

# Modern CPU Design



Adapted from slide supplied by CMU.

# Performance Realities

*There's more to performance than asymptotic complexity*

- **Constant factors matter too!**
  - easily see 10:1 performance range depending on how code is written
  - must optimize at multiple levels:
    - » algorithm, data representations, procedures, and loops
- **Must understand system to optimize performance**
  - how programs are compiled and executed
  - how to measure program performance and identify bottlenecks
  - how to improve performance without destroying code modularity and generality

# Optimizing Compilers

- **Provide efficient mapping of program to machine**
  - register allocation
  - code selection and ordering (scheduling)
  - dead code elimination
  - eliminating minor inefficiencies
- **Don't (usually) improve asymptotic efficiency**
  - up to programmer to select best overall algorithm
  - big-O savings are (often) more important than constant factors
    - » but constant factors also matter
- **Have difficulty overcoming “optimization blockers”**
  - potential memory aliasing
  - potential procedure side-effects

Supplied by CMU.

## Limitations of Optimizing Compilers

- Operate under fundamental constraint
  - must not cause any change in program behavior
  - often prevents it from making optimizations that would only affect behavior under pathological conditions
- Behavior that may be obvious to the programmer can be obfuscated by languages and coding styles
  - e.g., data ranges may be more limited than variable types suggest
- Most analysis is performed only within procedures
  - whole-program analysis is too expensive in most cases
- Most analysis is based only on *static* information
  - compiler has difficulty anticipating run-time inputs
- When in doubt, the compiler must be conservative

## Generally Useful Optimizations

- Optimizations that you or the compiler should do regardless of processor / compiler
- Code Motion
  - reduce frequency with which computation performed
    - » if it will always produce same result
    - » especially moving code out of loop

```
void set_row(long *a, long *b,  
            long i, long n){  
    long j;  
    for (j = 0; j < n; j++)  
        a[n*i+j] = b[j];  
}
```



```
long j;  
long ni = n*i;  
for (j = 0; j < n; j++)  
    a[ni+j] = b[j];
```

## Compiler-Generated Code Motion

```
void set_row(long *a, long *b,  
            long i, long n){  
    long j;  
    for (j = 0; j < n; j++)  
        a[n*i+j] = b[j];  
}
```

```
long j;  
long ni = n*i;  
long *rowp = a+ni;  
for (j = 0; j < n; j++)  
    rowp[j] = b[j];
```

```
set_row:  
    testq    %rcx, %rcx          # Test n  
    jle      .L1                 # If 0, goto done  
    imulq    %rcx, %rdx          # i *= n  
    leaq     (%rdi,%rdx,8), %rdi  # rowp = A + n*i*8  
    movl     $0, %eax            # j = 0  
.L3:  
    movq     (%rsi,%rax,8), %rdx  # t = b[j]  
    movq     %rdx, (%rdi,%rax,8)  # rowp[j] = t  
    addq     $1, %rax            # j++  
    cmpq     %rcx, %rax          # Compare n:j  
    jg       .L3                 # If >, goto loop  
.L1:  
    rep ; ret                     # done:
```

Supplied by CMU, updated for current gcc.



## Reduction in Strength

- Replace costly operation with simpler one
- Shift, add instead of multiply or divide
  - $16 * x \quad \rightarrow \quad x \ll 4$
  - utility is machine-dependent
  - depends on cost of multiply or divide instruction
    - » on Intel Nehalem, integer multiply requires 3 CPU cycles
- Recognize sequence of products

```
for (i = 0; i < n; i++)  
  for (j = 0; j < n; j++)  
    a[n*i + j] = b[j];
```



```
int ni = 0;  
for (i = 0; i < n; i++) {  
  for (j = 0; j < n; j++)  
    a[ni + j] = b[j];  
  ni += n;  
}
```

## Share Common Subexpressions

- Reuse portions of expressions
- Compilers often not very sophisticated in exploiting arithmetic properties

```
/* Sum neighbors of i,j */
up = val[(i-1)*n + j];
down = val[(i+1)*n + j];
left = val[i*n + j-1];
right = val[i*n + j+1];
sum = up + down + left + right;
```

```
long inj = i*n + j;
up = val[inj - n];
down = val[inj + n];
left = val[inj - 1];
right = val[inj + 1];
sum = up + down + left + right;
```

3 multiplications:  $i*n$ ,  $(i-1)*n$ ,  $(i+1)*n$

1 multiplication:  $i*n$

```
leaq 1(%rsi), %rax # i+1
leaq -1(%rsi), %r8 # i-1
imulq %rcx, %rsi # i*n
imulq %rcx, %rax # (i+1)*n
imulq %rcx, %r8 # (i-1)*n
addq %rdx, %rsi # i*n+j
addq %rdx, %rax # (i+1)*n+j
addq %rdx, %r8 # (i-1)*n+j
```

```
imulq %rcx, %rsi # i*n
addq %rdx, %rsi # i*n+j
movq %rsi, %rax # i*n+j
subq %rcx, %rax # i*n+j-n
leaq (%rsi,%rcx), %rcx # i*n+j+n
```

## Quiz 1

The fastest means for evaluating

$$n*n + 2*n + 1$$

requires exactly:

- a) 2 multiplies and 2 additions
- b) one multiply and two additions
- c) one multiply and one addition
- d) three additions

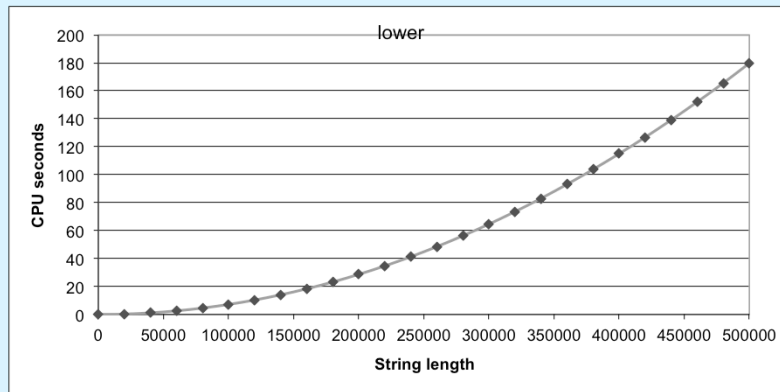
## Optimization Blocker #1: Procedure Calls

- Procedure to convert string to lower case

```
void lower(char *s){  
    int i;  
    for (i = 0; i < strlen(s); i++)  
        if (s[i] >= 'A' && s[i] <= 'Z')  
            s[i] -= ('A' - 'a');  
}
```

## Lower Case Conversion Performance

- Time quadruples when string length doubles
- Quadratic performance



Supplied by CMU.

## Convert Loop To Goto Form

```
void lower(char *s){
    int i = 0;
    if (i >= strlen(s))
        goto done;
loop:
    if (s[i] >= 'A' && s[i] <= 'Z')
        s[i] -= ('A' - 'a');
    i++;
    if (i < strlen(s))
        goto loop;
done:
}
```

- **strlen** executed every iteration

## Calling Strlen

```
size_t strlen(const char *s){
    size_t length = 0;
    while (*s != '\0') {
        s++;
        length++;
    }
    return length;
}
```

- **strlen performance**
  - only way to determine length of string is to scan its entire length, looking for null character
- **Overall performance, string of length N**
  - N calls to strlen
  - overall  $O(N^2)$  performance

## Improving Performance

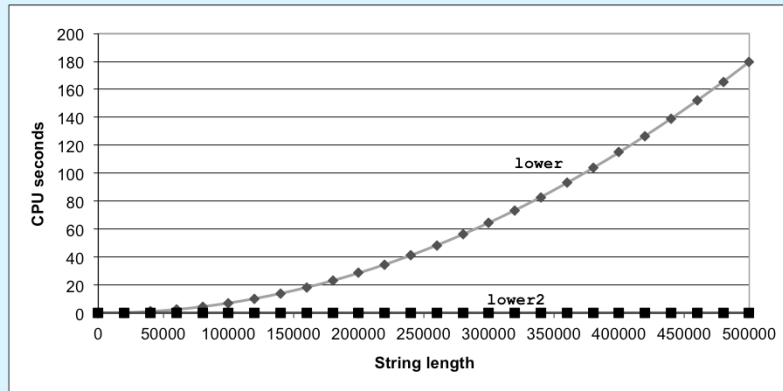
```
void lower2(char *s) {  
    int i;  
    int len = strlen(s);  
    for (i = 0; i < len; i++)  
        if (s[i] >= 'A' && s[i] <= 'Z')  
            s[i] -= ('A' - 'a');  
}
```

- **Move call to `strlen` outside of loop**
  - since result does not change from one iteration to another
  - form of code motion



## Lower-Case Conversion Performance

- Time doubles when string-length doubles
  - linear performance of lower2



Supplied by CMU.

## Optimization Blocker: Procedure Calls

- *Why couldn't compiler move strlen out of inner loop?*
  - procedure may have side effects
    - » alters global state each time called
  - function may not return same value for given arguments
    - » depends on other parts of global state
    - » procedure lower could interact with strlen
- **Warning:**
  - compiler treats procedure call as a black box
  - weak optimizations near them
- **Remedies:**
  - use of inline functions
    - » gcc does this with `-O2`
  - do your own code motion

```
int lencnt = 0;
size_t strlen(const char *s){
    size_t length = 0;
    while (*s != '\0') {
        s++; length++;
    }
    lencnt += length;
    return length;
}
```

## Memory Matters

```
/* Sum rows of n X n matrix a
   and store result in vector b */
void sum_rows1(long *a, long *b, long n) {
    long i, j;
    for (i = 0; i < n; i++) {
        b[i] = 0;
        for (j = 0; j < n; j++)
            b[i] += a[i*n + j];
    }
}
```

```
# sum_rows1 inner loop
.L3:
    movq    (%rdi), %rcx      # rcx = *aptr
    addq    %rcx, (%rsi,%rax,8) # b[i] += rcx
    addq    $8, %rdi          # aptr++
    cmpq    %r8, %rdi
    jne     .L3
```

- Code updates `b[i]` on every iteration
- Why couldn't compiler optimize this away?

Supplied by CMU, updated for current gcc.

Note that `a` is passed as a 1-D array, but interpreted as a 2-D array. This isn't terribly good programming style (gcc, fortunately, refrains from commenting on one's style), but it is definitely the sort of program that gcc must be prepared to deal with.

# Memory Aliasing

```
/* Sum rows of n X n matrix a
   and store result in vector b */
void sum_rows1(int *a, int *b, long n) {
    long i, j;
    for (i = 0; i < n; i++) {
        b[i] = 0;
        for (j = 0; j < n; j++)
            b[i] += a[i*n + j];
    }
}
```

```
int A[9] =
{ 0,  1,  2,
  4,  8, 16,
 32, 64, 128};

int *B = &A[3];

sum_rows1(A, B, 3);
```

## Value of B:

init:	[4, 8, 16]
-------	------------

i = 0:	[3, 8, 16]
--------	------------

i = 1:	[3, 22, 16]
--------	-------------

i = 2:	[3, 22, 224]
--------	--------------

- Code updates `b[i]` on every iteration
- Must consider possibility that these updates will affect program behavior

Supplied by CMU, updated for current gcc.

## Removing Aliasing

```
/* Sum rows of n X n matrix a
   and store result in vector b */
void sum_rows2(int *a, int *b, int n) {
    long i, j;
    for (i = 0; i < n; i++) {
        int val = 0;
        for (j = 0; j < n; j++)
            val += a[i*n + j];
        b[i] = val;
    }
}
```

```
# sum_rows2 inner loop
.L4:
    addq    (%rdi), %rax
    addq    $8, %rdi
    cmpq    %rcx, %rdi
    jne     .L4
```

- No need to store intermediate results

## Optimization Blocker: Memory Aliasing

- **Aliasing**
  - two different memory references specify single location
  - easy to have happen in C
    - » since allowed to do address arithmetic
    - » direct access to storage structures
  - get in habit of introducing local variables
    - » accumulating within loops
    - » **your way of telling compiler not to check for aliasing**

Supplied by CMU.

# C99 to the Rescue

- **New attribute**

- **restrict**

- » applied to a pointer, tells the compiler that the object pointed to will be accessed only via this pointer
    - » compiler thus doesn't have to worry about aliasing
    - » but the programmer does ...
    - » **syntax**

```
int *restrict pointer;
```

## Memory Matters, Fixed

```
/* Sum rows of n X n matrix a
   and store result in vector b */
void sum_rows3(long *restrict a, long *restrict b, long n) {
    long i, j;
    for (i = 0; i < n; i++) {
        b[i] = 0;
        for (j = 0; j < n; j++)
            b[i] += a[i*n + j];
    }
}
```

```
# sum_rows1 inner loop
.L3:
    addq    (%rdi), %rax
    addq    $8, %rdi
    cmpq    %rcx, %rdi
    jne     .L3
```

- Code doesn't update `b[i]` on every iteration

Note: we must give gcc the flag “-std=gnu99” for this to be compiled.



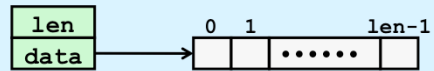
## Exploiting Instruction-Level Parallelism

- **Need general understanding of modern processor design**
  - hardware can execute multiple instructions in parallel
- **Performance limited by data dependencies**
- **Simple transformations can have dramatic performance improvement**
  - compilers often cannot make these transformations
  - lack of associativity and distributivity in floating-point arithmetic

Supplied by CMU.

## Benchmark Example: Datatype for Vectors

```
/* data structure for vectors */
typedef struct{
    int len;
    data_t *data;
} vec_t, *vec_ptr_t;
```



```
/* retrieve vector element and store at val */
int get_vec_element(vec_ptr_t v, int idx, data_t *val){
    if (idx < 0 || idx >= v->len)
        return 0;
    *val = v->data[idx];
    return 1;
}

/* return length of vector */
int vec_length(vec_ptr_t v) {
    return v->len;
}
```

# Benchmark Computation

```
void combinel(vec_ptr_t v, data_t *dest){  
    long int i;  
    *dest = IDENT;  
    for (i = 0; i < vec_length(v); i++) {  
        data_t val;  
        get_vec_element(v, i, &val);  
        *dest = *dest OP val;  
    }  
}
```

Compute sum or  
product of vector  
elements

- **Data Types**

- use different declarations  
for data\_t

- » int
- » float
- » double

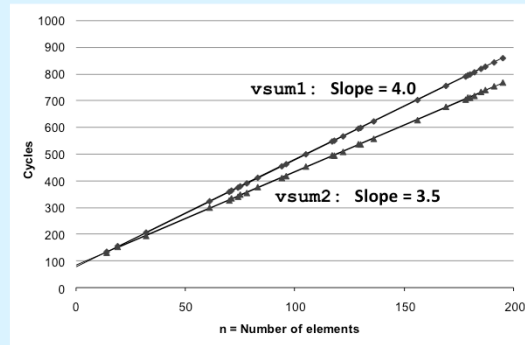
- **Operations**

- use different definitions of  
OP and IDENT

- » +, 0
- » \*, 1

## Cycles Per Element (CPE)

- Convenient way to express performance of program that operates on vectors or lists
- Length =  $n$
- $T = \text{CPE} \cdot n + \text{Overhead}$ 
  - CPE is slope of line



Supplied by CMU.

## Benchmark Performance

```
void combine1(vec_ptr_t v, data_t *dest){
    long int i;
    *dest = IDENT;
    for (i = 0; i < vec_length(v); i++) {
        data_t val;
        get_vec_element(v, i, &val);
        *dest = *dest OP val;
    }
}
```

Compute sum or  
product of vector  
elements

Method	Integer		Double FP	
Operation	Add	Mult	Add	Mult
Combine1 unoptimized	29.0	29.2	27.4	27.9
Combine1 -O1	12.0	12.0	12.0	13.0

Supplied by CMU.

## Move vec\_length

```
void combine2(vec_ptr_t v, data_t *dest){
    long int i;
    long int length = vec_length(v);
    *dest = IDENT;
    for (i = 0; i < length; i++) {
        data_t val;
        get_vec_element(v, i, &val);
        *dest = *dest OP val;
    }
}
```

Method	Integer		Double FP	
Operation	Add	Mult	Add	Mult
Combine1 unoptimized	29.0	29.2	27.4	27.9
Combine1 -O1	12.0	12.0	12.0	13.0
Combine2	8.03	8.09	10.09	12.08

Supplied by CMU.

## Eliminate Procedure Calls

```
void combine3(vec_ptr_t v, data_t *dest){
    long int i;
    long int length = vec_length(v);
    data_t *data = get_vec_start(v);
    *dest = IDENT;
    for (i = 0; i < length; i++) {
        *dest = *dest OP data[i];
    }
}
```

```
data_t *get_vec_start(
    vec_ptr v) {
    return v->data;
}
```

Method	Integer		Double FP	
Operation	Add	Mult	Add	Mult
Combine2	8.03	8.09	10.09	12.08
Combine3	6.01	8.01	10.01	12.02

## Eliminate Unneeded Memory References

```
void combine4(vec_ptr_t v, data_t *dest){
    int i;
    int length = vec_length(v);
    data_t *d = get_vec_start(v);
    data_t t = IDENT;
    for (i = 0; i < length; i++)
        t = t OP d[i];
    *dest = t;
}
```

Method	Integer		Double FP	
Operation	Add	Mult	Add	Mult
Combine1 -O1	12.0	12.0	12.0	13.0
Combine4	2.0	3.0	3.0	5.0

Supplied by CMU.



## Quiz 2

Combine4 is pretty fast; we've done all the "obvious" optimizations. How much faster will we be able to make it? (Hint: it involves taking advantage of pipelining and multiple functional units on the chip.)

- a) 1× (it's already as fast as possible)
- b) 2× – 4×
- c) 16× – 64×