

Unit 7: Number Theoretic Algorithms

- 7.1. Number Theoretic Notations, Euclid's algorithm and Extended Euclid's Algorithm and Analysis.
- 7.2. Solving Modular Linear Equations, Chinese Remainder Theorem, Miller-Rabin Randomized Primality Test Analysis

Introduction:

- Number theory was once viewed as a beautiful but largely useless subject in pure mathematics.
- But today algorithms related to number theory are used widely e.g. in cryptography, the theory of prime numbers is used.

Number Theoretic concepts and Notations

Divisibility and Divisors: The notation $a|b$, read as “ a divides b ”, means that $b = ka$ for some integer k . we say that b is multiple of a .

Every integer divides 0. If $a|b$ and $a \geq 0$, we say that a is divisor of b . A divisor of non-zero integer b is at least 1 but not greater than $|a|$.

Prime and composite numbers:

The division theorem : For any integer a and any positive integer n , there exist unique integers q and r such that

$$a = qn + r, 0 \leq r < n$$

The value $q = \left\lfloor \frac{a}{n} \right\rfloor$ is the quotient.

The value $r = a \bmod n$ is the remainder.

We have $n|a$ iff $a \bmod n = 0$

Common divisors and GCD:

Relatively prime integers: Two integers a and b are relatively prime if their only common divisor is 1. i.e. if $\gcd(a, b) = 1$

e.g. 8 and 15 are relatively prime.

Unique factorization: For all primes p and all integers a and b , if $p|ab$, then $p|a$ or $p|b$ (or both).

Euclid's algorithm

- Believed to be one of the world's oldest algorithms (300 B.C), Euclid's algorithm is an efficient algorithm for computing the GCD of two integers.

Algorithm

```
Euclid(a, b)
{
    if (b == 0)
        return a
    else
        return Euclid(b, a mod b)
}
```

Example: compute gcd(30,21)
euclid(30,21) = euclid(21,9)
 = euclid(9,3)
 = euclid(3,0)
 = 3

Analysis:

- The overall running time of the algorithm is proportional to the recursive calls it makes.
- It has been shown that Euclid's algorithm makes maximum recursive calls when a and b are consecutive Fibonacci numbers.
- Let $a > b \geq 1$ and F_k be the k^{th} Fibonacci number. Then, $\text{Euclid}(F_{k+1}, F_k)$ makes exactly $k-1$ recursive calls.

Lame's theorem: "For any integer $k \geq 1$, if $a > b \geq 1$ and $b < F_{k+1}$, then the Euclid's algorithm makes fewer than k recursive calls."

- Thus there can be at most k recursive calls when $b \leq F_k$

Now, k^{th} Fibonacci number is approximately

$$F_k \approx \frac{\phi^k}{\sqrt{5}} \text{ Where, } \phi \text{ is the golden ratio}$$

$$\Rightarrow b \leq \frac{\phi^k}{\sqrt{5}} \Rightarrow k = O(\log b)$$

$$\phi = \frac{1+\sqrt{5}}{2}$$

Extended Euclid's algorithm

- Euclid's algorithm can be extended to compute additional useful information.
- Specifically, we use an extended form of Euclid's algorithm to compute integer coefficients x and y such that
$$d = \gcd(a, b) = ax + by$$
- The coefficients x and y are very useful in several places (e.g. for computing modular multiplicative inverse).

Algorithm

```
Ext-Euclid(a, b)  //returns values(d,x,y)
{
  if b=0
    return (a,1,0)
  else
  {
    (d', x', y') = Ext-Euclid(b, a mod b)
    (d, x, y) = (d',y', x'-[a/b]y')
    return (d, x, y)
  }
}
```

Example: gcd(99,78)

a	b	$\left\lfloor \frac{a}{b} \right\rfloor$	d	x	y
99	78				

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  }
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Example: $\gcd(99,78)$

a	b	$\lfloor \frac{a}{b} \rfloor$	d	x	y
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78	21				
21	15				

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    ( $d, x, y$ ) = ( $d', y', x' - \lfloor \frac{a}{b} \rfloor y'$ )
    return ( $d, x, y$ )
  }
}
```

Example: $\gcd(99, 78)$

a	b	$\lfloor \frac{a}{b} \rfloor$	d	x	y
99	78				
78	21				
21	15				
15	6				

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Example: gcd(99,78)

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99	78				
78	21				
21	15				
15	6				
6	3				

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Example: gcd(99,78)

a	b	$\left\lfloor \frac{a}{b} \right\rfloor$	d	x	y
99	78				
78	21				
21	15				
15	6				
6	3				
3	0				

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78	21				
21	15				
15	6				
6	3				
3	0	--	3	1	0

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Example: gcd(99,78)

a	b	$\left\lfloor \frac{a}{b} \right\rfloor$	d	x	y
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78	21				
21	15				
15	6				
6	3	2	3	0	1
3	0	--	3	1	0

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  }
}
```

Example: gcd(99,78)

a	b	$\left\lfloor \frac{a}{b} \right\rfloor$	d	x	y
99	78				
78	21				
21	15				
15	6	2	3	1	-2
6	3	2	3	0	1
3	0	--	3	1	0

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78	21				
21	15	1	3	-2	3
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6	3	2	3	0	1
3	0	--	3	1	0

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    ( $d, x, y$ ) = ( $d', y', x' - \lfloor \frac{a}{b} \rfloor y'$ )
    return ( $d, x, y$ )
  }
}
```

Example: $\gcd(99, 78)$

a	b	$\lfloor \frac{a}{b} \rfloor$	d	x	y
99	78				
78	21	3	3	3	11
21	15	1	3	-2	3
15	6	2	3	1	-2
6	3	2	3	0	1
3	0	--	3	1	0

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- The coefficients x and y are very useful in several places (e.g. for computing modular multiplicative inverse).

Algorithm

```
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    if  $b=0$ 
        return ( $a, 1, 0$ )
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    {
        ( $d', x', y'$ ) = Ext-Euclid( $b, a \bmod b$ )
        ( $d, x, y$ ) = ( $d', y', x' - \lfloor \frac{a}{b} \rfloor y'$ )
        return ( $d, x, y$ )
    }
}
```

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Example: $\gcd(99, 78)$

a	b	$\lfloor \frac{a}{b} \rfloor$	d	x	y
99	78	1	3	-11	14
78	21	3	3	3	-11
21	15	1	3	-2	3
15	6	2	3	1	-2
6	3	2	3	0	1
3	0	--	3	1	0

Hence, $d = 3, x = -11, y = 14$

$$3 = \gcd(99, 78) = 99*(-11) + (78*14)$$

Analysis:

The running time is same as Euclid's algorithm

Solving modular linear equation

- The expression,

$$a \equiv b \pmod{n}$$

read as “*a is congruent to b modulo n*”, means: the *a* and *b* have the same remainder when divided by *n* (or equivalently *a - b* is divisible by *n*). Here, $n > 0$ and it is called the modulus.

- The equation -

$$ax \equiv b \pmod{n}$$

is called modular linear equation.

- The problem of solving MLE is to find all values of *x*, **modulo n**, that satisfy the above relation.

Algorithm

```
Modular-Linear-Eqn-Solver(a, b, n)
{
    (d, x', y') = Ext-Euclid(a, n)
    if d | b
    {
         $x_0 = x'(b/d) \pmod{n}$ 
        for i = 0 to d-1
            print ( $x_0 + i(n/d) \pmod{n}$ )
    }
    else
        print "no solution"
}
```


Algorithm

```
Modular-Linear-Eqn-Solver(a, b, n)
{
  (d, x', y') = Ext-Euclid(a, n)
  if d|b
  {
    x0 = x'(b/d) mod n
    for i = 0 to d-1
      print (x0+i(n/d)) mod n
  }
  else
    print "no solution"
}
```

Example:

$14x \equiv 30 \pmod{100}$

Solution:

Here $a = 14, b = 30, n = 100$
First the algorithm computes (d, x', y')

a	n	$\left\lfloor \frac{a}{n} \right\rfloor$	d	x'	y'
14	100				
100	14				
14	2				
2	0	--	2	1	0

So, $(d, x', y') = (2, -7, 1)$
Now $2|30$

$x_0 = x'(b/d) \pmod n = -7*(30/2) \pmod n = 95$
 $i=0$, First solution = $(x_0+i(n/d)) \pmod n = 95$
 $i=1$, Second sol = $(x_0+i(n/d)) \pmod n = 45$

Chinese Remainder theorem

- Around 100 A.D., Chinese mathematician Sun-Tsu solved the problem of finding those integers x that leave remainders 2,3 and 2 when divided by 3,5 and 7 respectively.
- One such solution is $x = 23$. All solutions are of the form $23+105k$ for any k .
- The CRT provides a correspondence between a system of equations modulo a set of pairwise relatively prime moduli(e.g. 3,5,7) and an equation modulo their product(e.g. 105)

If $m_1, m_2, m_3, \dots, m_k$ are pairwise relatively prime positive integers and if a_1, a_2, \dots, a_k are any integers then, the simultaneous congruences-

$$x \equiv a_1 \pmod{m_1}$$

$$x \equiv a_2 \pmod{m_2}$$

\dots

\dots

$$x \equiv a_k \pmod{m_k}$$

have a solution and the solution is unique, modulo m , where $m = m_1 m_2 m_3 \dots m_k$

Example:

$$x \equiv 2 \pmod{5}$$

$$x \equiv 3 \pmod{13}$$

Here, $a_1 = 2$, $m_1 = 5$, $a_2 = 3$, $m_2 = 13$, $m = 65$

Step 1: Compute:

$$z_1 = m/m_1 = m_2 = 13$$

$$z_2 = m/m_2 = m_1 = 5$$

Step 2: compute:

$$\begin{aligned} y_1 &= z_1^{-1} \pmod{m_1} \\ &= 13^{-1} \pmod{5} \\ &= 2 \end{aligned}$$

$$\begin{aligned} y_2 &= z_2^{-1} \pmod{m_2} \\ &= 5^{-1} \pmod{13} \\ &= 8 \end{aligned}$$

Step 3:

$$w_1 = y_1 z_1 \pmod{m} = 26 \pmod{65}$$

$$w_2 = y_2 z_2 \pmod{m} = 40 \pmod{65}$$

$a^{-1}=b$ means: $ab \equiv 1 \pmod{n}$

Step 4:

The solution is

$$\begin{aligned} x &= a_1 w_1 + a_2 w_2 \\ &= (2 \cdot 26 + 3 \cdot 40) \pmod{65} \\ &= 42 \pmod{65} \end{aligned}$$

Algorithm

chineseRemainder(a , m) // a , m are arrays

```
{  
  int z[], y[], w[]  
  m = 1  
  x = 0  
  
  for (i=0; i<n; i++)  
     $M = m * m_i$   
  
  for (i=0; i<n; i++)  
     $z_i = M / m_i$   
  
  for (i=0; i<n; i++)  
     $y_i = z_i^{-1} \pmod{m_i}$   
  
  for (i=0; i<n; i++)  
     $w_i = y_i z_i \pmod{M}$   
  
  for (i=0; i<n; i++)  
     $x = x + a_i w_i$   
  
  return x  
}
```

Primality testing

- Primality testing is the problem of finding whether a given number is prime or not.
- This problem arises in several applications e.g. In RSA cryptography, we need to find two large prime numbers during the key generation.

Naïve approach: Trial division

- Try dividing n by each integer $2, 3, \dots, \sqrt{n}$
- n is prime if none of above numbers divide n .

```
testPrime( $n$ )  
{  
  for  $i = 2$  to  $\sqrt{n}$   
    if( $i$  divides  $n$ )  
      return "composite"  
  return "prime"  
}
```

- This approach is accurate but inefficient.

Miller-Rabin approach

- Gary L. Miller discovered in 1976. Later, Michael O. Rabin modified it in 1980.
- It is a randomized algorithm.
- The basic idea behind MR approach is:
 1. Choose some random numbers between 1 and $n-1$
 2. Perform the "witness" check to decide the primality of n with each of the random numbers chosen in step 1.
- Since the algorithm is randomized and chooses only a few numbers to check the primality, it does not guarantee correctness.

```

Miller-Rabin(n,s)
{
  for j=1 to s
  {
    a = RANDOM(1, n-1)
    if(witness(a, n))
      return "composite"           //guaranteed
  }
  return "prime" //not 100% sure, but almost sure
}

witness(a, n)
{
  Let  $t \geq 1$ ,  $u$  is odd and  $n-1 = 2^t u$ 
   $x_0 = a^u \bmod n$ 
  for i = 1 to t
  {
     $x_i = x_{i-1}^2 \bmod n$ 
    if( $x_i = 1$ , and  $x_{i-1} \neq 1$  and  $x_{i-1} \neq n-1$ )
      return TRUE
  }
  if( $x_t \neq 1$ )
    return TRUE
  return FALSE
} 21

```

Example: Determine whether $n = 561$ is prime.

Here, $n = 561$

$$n-1 = 560 = 2^4 * 35$$

So $t = 4$ and $u = 35$

Let us choose $a = 7$ (randomly)

Then $x_0 \equiv a^u \bmod n \equiv 241 \pmod{561}$

$$x_1 = 298$$

$$x_2 = 166$$

$$x_3 = 67$$

$$x_4 = 1$$

The “witness” returns TRUE.

Finally, the algorithm returns “Composite”.

End of unit 7

Thank You!