

LL(1) parsing

Top-down parsing

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- ▶ Two well-known top-down parsing methods are **recursive-descent parsing** and **LL(1) parsing**.

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backtracking parsers and **predictive parsers**.
- ▶ A predictive parser attempts to predict the next construction using one or more lookahead tokens.
- ▶ Two well-known top-down parsing methods are **recursive-descent parsing** and **LL(1) parsing**.
- ▶ Recursive descent parsing is the most suitable method for a handwritten parser.

LL(1) parsing

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- ▶ The second “L” refers to the fact that LL(1) parsing determines a leftmost derivation for the input string.
- ▶ The “1” in parentheses implies that LL(1) parsing uses only one symbol of input to predict the next grammar rule that should be used.

LL(1) parsing - Example 1

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- ▶ Parsing action of an LL(1) parser:

	Parsing Stack	Input	Action
1	\$S	()\$	$S \rightarrow (S)S$
2	\$S)S(()\$	match
3	\$S)S)\$	$S \rightarrow \varepsilon$
4	\$S))\$	match
5	\$S	\$	$S \rightarrow \varepsilon$
6	\$	\$	accept

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- ▶ The LL(1) parsing table $M[N, T]$:

$M[N, T]$	()	\$
S	$S \rightarrow (S)S$	$S \rightarrow \varepsilon$	$S \rightarrow \varepsilon$

LL(1) parsing tables

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 1. If $A \rightarrow \alpha$ is a production choice, and there is a derivation $\alpha \Rightarrow^* a\beta$, where a is a token, then we add $A \rightarrow \alpha$ to the table entry $M[A, a]$.
 2. If $A \rightarrow \alpha$ is a production choice, and there are derivations $\alpha \Rightarrow^* \varepsilon$ and $S\$ \Rightarrow^* \beta A a \gamma$, where S is the start symbol and a is a token (or $\$$), then we add $A \rightarrow \alpha$ to the table entry $M[A, a]$.

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- ▶ These rules are difficult to implement directly, so we will develop algorithms involving **first** and **follow sets**.
- ▶ A grammar is an **LL(1) grammar** if the associated LL(1) parsing table has at most one production in each table entry.

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 1. For each token a in $\text{First}(\alpha)$, add $A \rightarrow \alpha$ to the entry $M[A, a]$.

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 1. For each token a in $\text{First}(\alpha)$, add $A \rightarrow \alpha$ to the entry $M[A, a]$.
 2. If ε is in $\text{First}(\alpha)$, for each element a of $\text{Follow}(A)$ (where a is a token or a is \$), add $A \rightarrow \alpha$ to $M[A, a]$.

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 - $\text{Follow}(S) = \{), \$ \}$

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- ▶ In this case we have that
 $\text{First}((S)S) = \{ (\}$
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 $\text{Follow}(S) = \{), \$ \}$
- ▶ Thus we get the following LL(1) parsing table:

$M[N, T]$	(\$		
S	$S \rightarrow (S)S$	$S \rightarrow \varepsilon$	$S \rightarrow \varepsilon$			

First sets - definition

- ▶ Let X be a grammar symbol (a terminal or nonterminal) or ε . Then the set **First**(X) consisting of terminals, and possibly ε , is defined as follows:

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 1. If X is a terminal or ε , $\text{First}(X) = \{X\}$.
 2. If X is a nonterminal, then for each production choice $X \rightarrow X_1X_2...X_n$, $\text{First}(X)$ contains $\text{First}(X_1) - \{\varepsilon\}$. If also for some $i < n$, all the sets $\text{First}(X_1), \dots, \text{First}(X_i)$ contains ε , then $\text{First}(X)$ contains $\text{First}(X_{i+1}) - \{\varepsilon\}$. If all the sets $\text{First}(X_1), \dots, \text{First}(X_n)$ contains ε , then $\text{First}(X)$ also contains ε .

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- ▶ We now define **First**(α) for any string $\alpha = X_1X_2...X_n$ (a string of terminals and nonterminals) as follows:

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- ▶ We now define **First**(α) for any string $\alpha = X_1X_2\dots X_n$ (a string of terminals and nonterminals) as follows:
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 1. First(α) contains First(X_1) $-\{\varepsilon\}$.
 2. For each $i = 2, \dots, n$, if First(X_k) contains ε for all $k = 1, \dots, i - 1$, then First(α) contains First(X_i) $-\{\varepsilon\}$.

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 3. Finally, if for all $i = 1, \dots, n$, First(X_i) contains ε , then First(α) contains ε .

Algorithm for computing First(A) for nonterminals A

```
► for all nonterminals  $A$  do First( $A$ ) := {};  
  while there are changes to any First( $A$ ) do  
    for each production choice  $A \rightarrow X_1 \dots X_n$  do  
       $k := 1$ ; Continue := true;  
      while Continue = true and  $k \leq n$  do  
        add First( $X_k$ ) - { $\epsilon$ } to First( $A$ );  
        if  $\epsilon$  not in First( $X_k$ ) then Continue := false;  
         $k := k + 1$ ;  
      if Continue = true then add  $\epsilon$  to First( $A$ );
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 while there are changes to any First(A) **do**
 for each production choice $A \rightarrow X_1 \dots X_n$ **do**
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 if ϵ not in First(X_k) **then** Continue := false;
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 for all nonterminals A **do** First(A) := $\{\}$;
 while there are changes to any First(A) **do**
 for each production choice $A \rightarrow X_1 \dots X_n$ **do**
 add First(X_1) to First(A);

First sets example 1

- ▶ Consider the simple integer expression grammar:

$exp \rightarrow exp \text{ addop } term \mid term$

$addop \rightarrow + \mid -$

$term \rightarrow term \text{ mulop } factor \mid factor$

$mulop \rightarrow *$

$factor \rightarrow (\text{ exp }) \mid \text{ number}$

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Grammar rule	Pass 1	Pass 2	Pass 3
$exp \rightarrow exp \text{ addop } term$ $exp \rightarrow term$			
			$First(exp) = \{ (, \text{ number} \}$
$addop \rightarrow +$	$First(addop) = \{ + \}$		
$addop \rightarrow -$	$First(addop) = \{ +, - \}$		
$term \rightarrow term \text{ mulop } factor$ $term \rightarrow factor$			
		$First(term) = \{ (, \text{ number} \}$	
$mulop \rightarrow *$	$First(mulop) = \{ * \}$		
$factor \rightarrow (\text{ exp })$	$First(factor) = \{ (\}$		
$factor \rightarrow \text{ number}$	$First(factor) = \{ (, \text{ number} \}$		

First sets example 1 continue

► Thus:

$$\text{First}(\textit{exp}) = \{ (, \textbf{number} \}$$
$$\text{First}(\textit{term}) = \{ (, \textbf{number} \}$$
$$\text{First}(\textit{factor}) = \{ (, \textbf{number} \}$$
$$\text{First}(\textit{addop}) = \{ +, - \}$$
$$\text{First}(\textit{mulop}) = \{ * \}$$

First sets example 2

- Consider the grammar:
 $statement \rightarrow if-stmt \mid other$
 $if-stmt \rightarrow if (exp) statement else-part$
 $else-part \rightarrow else statement \mid \epsilon$
 $exp \rightarrow 0 \mid 1$

First sets example 2

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$statement \rightarrow if-stmt \mid other$

$if-stmt \rightarrow if(exp) statement else-part$

$else-part \rightarrow else statement \mid \varepsilon$

$exp \rightarrow 0 \mid 1$

Grammar rule	Pass 1	Pass 2
$statement \rightarrow if-stmt$		$First(statement) = \{if, other\}$
$statement \rightarrow other$	$First(statement) = \{other\}$	
$if-stmt \rightarrow if(exp) statement else-part$	$First(if-stmt) = \{if\}$	
$else-part \rightarrow else statement$	$First(else-part) = \{else\}$	
$else-part \rightarrow \varepsilon$	$First(else-part) = \{else, \varepsilon\}$	
$exp \rightarrow 0$	$First(exp) = \{0\}$	
$exp \rightarrow 1$	$First(exp) = \{0, 1\}$	

First sets example 2 continue

► Thus:

$\text{First}(\text{statement}) = \{\mathbf{if}, \mathbf{other}\}$

$\text{First}(\text{if-stmt}) = \{\mathbf{if}\}$

$\text{First}(\text{else-part}) = \{\mathbf{else}, \varepsilon\}$

$\text{First}(\text{exp}) = \{0, 1\}$

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 2. If there is a production $B \rightarrow \alpha A \gamma$, then $\text{First}(\gamma) - \{\epsilon\}$ is in Follow(A).

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 1. If A is the start symbol, then \$ is in Follow(A).
 2. If there is a production $B \rightarrow \alpha A \gamma$, then $\text{First}(\gamma) - \{\epsilon\}$ is in Follow(A).
 3. If there is a production $B \rightarrow \alpha A \gamma$ such that ϵ is in $\text{First}(\gamma)$, then Follow(A) contains Follow(B).

Algorithm for the computation of Follow Sets

```
► Follow(start-symbol) := {$};  
for all nonterminals  $A \neq \text{start-symbol}$  do Follow( $A$ ) := {};  
while there are changes to any Follow sets do  
    for each production  $A \rightarrow X_1 \dots X_n$  do  
        for each  $X_i$  that is a nonterminal do  
            add  $\text{First}(X_{i+1} \dots X_n) - \{\epsilon\}$  to Follow( $X_i$ )  
            (* Note: if  $i = n$ , then  $X_{i+1} \dots X_n = \epsilon$  *)  
            if  $\epsilon$  is in  $\text{First}(X_{i+1} \dots X_n)$  then  
                add Follow( $A$ ) to Follow( $X_i$ )
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Follow sets example 1

- ▶ We consider again the grammar:
 $exp \rightarrow exp \text{ addop } term \mid term$
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- ▶ Recall that:
 $First(exp) = \{ (, \mathbf{number} \}$
 $First(term) = \{ (, \mathbf{number} \}$
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- ▶ In the computation of the Follow sets for the grammar we omit the four grammar rule choices that have no possibility of affecting the computation.

Follow sets example 1 continue

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Grammar rule	Pass 1	Pass 2
$exp \rightarrow exp$ $addop\ term$	$Follow(exp) = \{\$, +, -\}$ $Follow(addop) = \{(\, number\}$ $Follow(term) = \{\$, +, -\}$	$Follow(term) = \{\$, +, -, *,)\}$
$exp \rightarrow term$		
► $term \rightarrow term$ $mulop\ factor$	$Follow(term) = \{\$, +, -, *\}$ $Follow(mulop) = \{(\, number\}$ $Follow(factor) = \{\$, +, -, *\}$	$Follow(factor) = \{\$, +, -, *,)\}$
$term \rightarrow factor$		
$factor \rightarrow (exp)$	$Follow(exp) = \{\$, +, -,)\}$	

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► Thus:

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$\text{Follow}(\text{term}) = \{\$, +, -, *,)\}$

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- ▶ One can verify that:
 $Follow(statement) = \{\$, \mathbf{else}\}$
 $Follow(if-stmt) = \{\$, \mathbf{else}\}$
 $Follow(else-part) = \{\$, \mathbf{else}\}$
 $Follow(exp) = \{) \}$

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$M[N, T]$	if	other	else	0	1	\$
statement	statement → if-stmt	statement → other				
if-stmt	if-stmt → if (exp) statement else-part					
▶ else-part			else-part → else statement else-part → ϵ			else-part → ϵ
exp				exp → 0	exp → 1	

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- ▶ We now show the LL(1) parsing actions for the string **if (0) if(1) other else other**
- ▶ We use the following abbreviations:
 $\textit{statement} = S$
 $\textit{if-stmt} = I$
 $\textit{else-part} = L$
 $\textit{exp} = E$
if = i
else = e
other = o

LL(1) parsing example continue

Parsing stack	Input	Action
\$S	i (0) i (1) o e o \$	$S \rightarrow I$
\$I	i (0) i (1) o e o \$	$I \rightarrow i (E) S L$
\$LS)E(i	i (0) i (1) o e o \$	match
\$LS)E((0) i (1) o e o \$	match
\$LS)E	0) i (1) o e o \$	$E \rightarrow 0$
\$LS)0	0) i (1) o e o \$	match
\$LS)) i (1) o e o \$	match
\$LS	i (1) o e o \$	$S \rightarrow I$
\$LI	i (1) o e o \$	$I \rightarrow i (E) S L$
\$LLS)E(i	i (1) o e o \$	match
\$LLS)E((1) o e o \$	match
\$LLS)E	1) o e o \$	$E \rightarrow 1$
\$LLS)1	1) o e o \$	match
\$LLS)) o e o \$	match
\$LLS	o e o \$	$S \rightarrow o$
\$LLo	o e o \$	match
\$LL	e o \$	$L \rightarrow e S$
\$LSe	e o \$	match
\$LS	o \$	$S \rightarrow o$
\$Lo	o \$	match
\$L	\$	$L \rightarrow \varepsilon$
\$	\$	accept

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