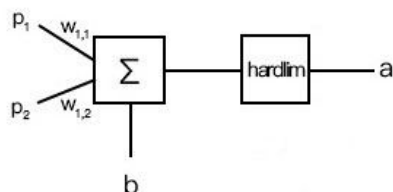


Homework 1

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1.1 单神经元感知机图：（其中， $w_{1,1}=0$; $w_{1,2}=-1$; $b=-0.5$ ）



求解过程：

- 1、将 p_1, p_2, p_3, p_4 四个点放在平面直角坐标系中观察，则易看出判定边界为直线 $y=-0.5$;
- 2、确定一个与判定边界垂直的权值向量 $w^T=[0,-1]$;
- 3、选择判定边界上一点 $(0,-0.5)$ 代入判定式 $w^T p + b = 0$ 中，解得 $b = -0.5$.

1.2 由输入样例验证求解结果：

$$a_1 = \text{hardlim}(w^T p_1 + b) = \text{hardlim}([0, -1] \cdot [1, -1]^T - 0.5) = \text{hardlim}(0.5) = 1 = t_1$$

$$a_2 = \text{hardlim}(w^T p_2 + b) = \text{hardlim}([0, -1] \cdot [-1, -1]^T - 0.5) = \text{hardlim}(0.5) = 1 = t_2$$

$$a_3 = \text{hardlim}(w^T p_3 + b) = \text{hardlim}([0, -1] \cdot [0, 0]^T - 0.5) = \text{hardlim}(-0.5) = 0 = t_3$$

$$a_4 = \text{hardlim}(w^T p_4 + b) = \text{hardlim}([0, -1] \cdot [1, 0]^T - 0.5) = \text{hardlim}(-0.5) = 0 = t_4$$

由以上验证知求解结果是正确的。

1.3 分类样本 p_5, p_6, p_7, p_8 如下：

$$a_5 = \text{hardlim}(w^T p_5 + b) = \text{hardlim}([0, -1] \cdot [-2, 0]^T - 0.5) = \text{hardlim}(-0.5) = 0$$

$$a_6 = \text{hardlim}(w^T p_6 + b) = \text{hardlim}([0, -1] \cdot [1, 1]^T - 0.5) = \text{hardlim}(-1.5) = 0$$

$$a_7 = \text{hardlim}(w^T p_7 + b) = \text{hardlim}([0, -1] \cdot [0, 1]^T - 0.5) = \text{hardlim}(-1.5) = 0$$

$$a_8 = \text{hardlim}(w^T p_8 + b) = \text{hardlim}([0, -1] \cdot [-1, -2]^T - 0.5) = \text{hardlim}(1.5) = 1$$

则有 p_5, p_6, p_7 为一类 (p_3, p_4) ， p_8 为另一类 (p_1, p_2) 。

1.4 p_6, p_7, p_8 的分类与 w, b 的选择无关， p_5 的分类与 w, b 的选择有关。

因为 w, b 的选择所依赖的判定边界的斜率和偏移量都有一定的变化，而 p_5 在此变化范围之内， p_6, p_7, p_8 在此变化范围之外。

1.5 1、应用感知机学习规则修正 $w(0)^T, b(0)$ 值：

$$\text{Iteration1: } a_1 = \text{hardlim}(w(0)^T p_1 + b(0)) = \text{hardlim}([0, 0] \cdot [1, -1]^T + 0) = \text{hardlim}(0) = 1, t_1 = 1$$
$$w(1)^T = w(0)^T = [0, 0]$$

$$b(1) = b(0) = 0$$

$$\text{Iteration2: } a_2 = \text{hardlim}(w(1)^T p_2 + b(1)) = \text{hardlim}([0, 0] \cdot [-1, -1]^T + 0) = \text{hardlim}(0) = 1, t_2 = 1$$
$$w(2)^T = w(1)^T = [0, 0]$$

$$b(2) = b(1) = 0$$

$$\text{Iteration3: } a_3 = \text{hardlim}(w(2)^T p_3 + b(2)) = \text{hardlim}([0, 0] \cdot [0, 0]^T + 0) = \text{hardlim}(0) = 1, t_3 = 0$$
$$w(3)^T = w(2)^T - p_3 = [0, 0]$$

$b(3) = b(2) + t_3 - a_3 = -1$
 Iteration4: $a_4 = \text{hardlim}(w(3)^T p_4 + b(3)) = \text{hardlim}([0,0] \cdot [1,0]^T - 1) = \text{hardlim}(-1) = 0, t_4=0$
 $w(4)^T = w(3)^T = [0,0]$
 $b(4) = b(3) = -1$
 Iteration5: $a_1 = \text{hardlim}(w(4)^T p_1 + b(4)) = \text{hardlim}([0,0] \cdot [1,-1]^T - 1) = \text{hardlim}(-1) = 0, t_1=1$
 $w(5)^T = w(4)^T + p_1 = [1,-1]$
 $b(5) = b(4) + t_1 - a_1 = 0$
 Iteration6: $a_2 = \text{hardlim}(w(5)^T p_2 + b(5)) = \text{hardlim}([1,-1] \cdot [-1,-1]^T + 0) = \text{hardlim}(0) = 1, t_2=1$
 $w(6)^T = w(5)^T = [1,-1]$
 $b(6) = b(5) = 0$
 Iteration7: $a_3 = \text{hardlim}(w(6)^T p_3 + b(6)) = \text{hardlim}([1,-1] \cdot [0,0]^T + 0) = \text{hardlim}(0) = 1, t_3=0$
 $w(7)^T = w(6)^T - p_3 = [1,-1]$
 $b(7) = b(6) + t_3 - a_3 = -1$
 Iteration8: $a_4 = \text{hardlim}(w(7)^T p_4 + b(7)) = \text{hardlim}([1,-1] \cdot [1,0]^T - 1) = \text{hardlim}(0) = 1, t_4=0$
 $w(8)^T = w(7)^T - p_4 = [0,-1]$
 $b(8) = b(7) - t_3 + a_3 = -2$
 Iteration9: $a_1 = \text{hardlim}(w(8)^T p_1 + b(8)) = \text{hardlim}([0,-1] \cdot [1,-1]^T - 2) = \text{hardlim}(-1) = 0, t_1=1$
 $w(9)^T = w(8)^T + p_1 = [1,-2]$
 $b(9) = b(8) + t_1 - a_1 = -1$
 Iteration10: $a_2 = \text{hardlim}(w(9)^T p_2 + b(9)) = \text{hardlim}([1,-2] \cdot [-1,-1]^T - 1) = \text{hardlim}(0) = 1, t_2=1$
 $w(10)^T = w(9)^T = [1,-2]$
 $b(10) = b(9) = -1$
 Iteration11: $a_3 = \text{hardlim}(w(10)^T p_3 + b(10)) = \text{hardlim}([1,-2] \cdot [0,0]^T - 1) = \text{hardlim}(-1) = 0, t_3=0$
 $w(11)^T = w(10)^T = [1,-2]$
 $b(11) = b(10) = -1$
 Iteration12: $a_4 = \text{hardlim}(w(11)^T p_4 + b(11)) = \text{hardlim}([1,-2] \cdot [1,0]^T - 1) = \text{hardlim}(0) = 1, t_4=0$
 $w(12)^T = w(11)^T - p_4 = [0,-2]$
 $b(12) = b(11) - t_3 + a_3 = -2$
 Iteration13~Iteration16: 结果都是正确的，不需要修正。
 即，经过修正得到 $w^T=[0,-2], b=-2$ 。

2、验证(1.3)样本：

$a_5 = \text{hardlim}(w^T p_5 + b) = \text{hardlim}([0,-2] \cdot [-2,0]^T - 2) = \text{hardlim}(-2) = 0$
 $a_6 = \text{hardlim}(w^T p_6 + b) = \text{hardlim}([0,-2] \cdot [1,1]^T - 2) = \text{hardlim}(-4) = 0$
 $a_7 = \text{hardlim}(w^T p_7 + b) = \text{hardlim}([0,-2] \cdot [0,1]^T - 2) = \text{hardlim}(-4) = 0$
 $a_8 = \text{hardlim}(w^T p_8 + b) = \text{hardlim}([0,-2] \cdot [-1,-2]^T - 2) = \text{hardlim}(2) = 1$
 则有 p_5, p_6, p_7 为一类(p_3, p_4)， p_8 为另一类(p_1, p_2)。(同(1.3))

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2.1

LMS 解题详细过程：假设模型是线性的， $h(x) = w_0 + w_1x_1 + w_2x_2$,

损失函数 $J(w) = \frac{1}{2} \sum_{i=1}^m \{h(x^{(i)}) - y^{(i)}\}^2$ ，考虑随机梯度下降算法： $w_i = w_i + \alpha(y^{(i)} - h(x^{(i)}))x_i^j$ 。

即： $w(k+1) = w(k) + 2\alpha e(k)p(k)$ ， $b(k+1) = b(k) + 2\alpha e(k)$ 。

在 python 上实现 w, b 计算及 e-i 的文件输出，通过 matlab 读取 e-i 文件并作图。

```
import numpy as np
import time

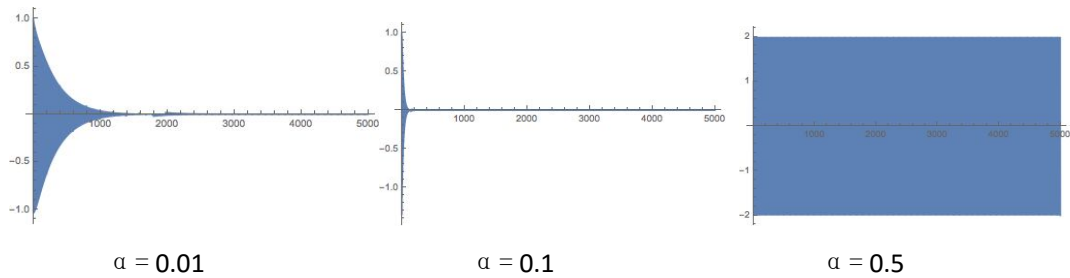
p0 = np.matrix('1;-1')
p1 = np.matrix('-1;-1')
p2 = np.matrix('0;0')
p3 = np.matrix('1;0')

inputs = [p0, p1, p2, p3]
targets = [1, 1, -1, -1]
irritation = 5000 / 4
rates = [0.01, 0.1, 0.5]
deviation = []

def LMS(rate, index):
    file = open('deviations'+ '%d'%index+'.txt', 'w')
    w = np.matrix('0,0')
    b = 0
    for i in range(irritation):
        for j in range(4):
            output = ((w * inputs[j]).tolist())[0][0] + b
            e = targets[j] - output
            if e != 0:
                w = w + 2*rate*e*inputs[j].transpose()
                b = b + 2*rate*e
            file.write('%f'%e+'\n')
    file.close()
    print w, b

for i in range(3):
    LMS(rates[i], i)
file = open('index', 'w')
for i in range(5000):
    file.write('%d'%(i+1)+'\n')
file.close()
```

迭代 5000 次之后的误差(e)-迭代次数(i)曲线:



2.2 最终的 w^T, b 结果:

$\alpha = 0.01$: $w^T = [0, -2]$, $b = -1$

$\alpha = 0.1$: $w^T = [0, -2]$, $b = -1$

$\alpha = 0.5$: $w^T = [0, -3]$, $b = -3$

2.3 感知机和 ADALINE 都能处理题一中的分类问题。

感知机迭代到正确就会停止，而 ADALINE 会一直趋近于正确值。

当 ADALINE 的学习速率适当，且感知机与 ADALINE 都对 $w^T = [0, 0]$, $b=0$ 的初始值进行修正时，它们都逐渐接近 $w^T p + b = 0$ 分界面。其中，感知机基于硬极限函数逐渐接近分类为 1 的点，ADALINE 基于线性函数逐渐接近分类为 0 的点。

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