プログラミング言語Standard ML入門
— Introduction to Standard ML —

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### **Preface**

This is a set of slides used in my lecture "Programming Methodology". (So exists the next slide.)

本資料は、MLの教科書

プログラミング言語Standard ML入門,大堀淳,共立出版, 2001.

を使用して行った講義資料です。この教科書とともにお使いください。

## Programming Methodology?

The right programming methodology is the Holy Grail of the subject. But does such venerated object exist?

The correct answer: No.

A real answer: to use a better programming language such as ML.

The goal of this course : to master ML programming.

### What is ML?

#### Here are some answers:

- It was a Meta Language for LCF system.
- It is a functional programming language.
- It evolved to be a general purpose programming language.
- It is a statically typed language.
- It is a language with firm theoretical basis.

### What are the features of ML?

### Some of its notable features are:

- user-defined (algebraic) data types
- powerful pattern matching
- automatic memory management
- first-class functions
- integrated module systems
- polymorphism
- automatic type inference

# $\mathsf{ML}$ is a cool programming language for cool hackers $^1$ .

<sup>&</sup>lt;sup>1</sup> A person with an enthusiasm for programming or using computers as an end in itself.

#### Historical Notes on ML

- 60's: a meta language for the LCF project.
- 1972: Milner type inference algorithm.
- early 80'S: Cardelli's ML implementation using FAM.
- 80's: a series of proposals for Standard ML in "polymorphism".
- 80's: Standard ML of Edinburgh
- 80's: Caml (so called Caml-heavy later) of INRIA
- late 80's: Caml-light by Leroy.
- early 90's: Standard ML of New Jersey by Appel and MacQueen.
- 90's: Objective Caml by Leroy

• 2008: SML# at Tohoku University. (This is not a joke.)

## Current (and neare futrue) Major Dialects of ML

1. Standard ML

An "official" one described in the following book.

R. Milner, M. Tofte, R. Harper, and D. MacQueen The Definition of Standard ML (revised), MIT Press, 1997.

This is the one we learn using Standard ML of New Jersey system.

2. Objective Caml

A French dialect.

This is also a name of a system developed at INRIA. It deviates from the definition, but it offers high-quality implementation.

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3. SML# (to be released in near future)

#### Textbooks and References

Our lecture will roughly be based on

プログラミング言語Standard ML入門,大堀淳,共立出版.

#### Other resources

- L. Paulson, "ML for the Working Programmer, 2nd Edition", Cambridge University Press, 1996.
   (a very good textbook covering many topics)
- R. Harper, "Programming in Standard ML".
   http://www.cs.cmu.edu/People/rwh/introsml/
   (a textbook available on-line)
- M. Tofte, "Four Lectures on Standard ML" (SML'90) ftp://ftp.diku.dk/pub/diku/users/tofte/FourLectures/sml/ (good tutorial, but based on the old version)

## Some FAQs or Folklore on ML

ML is based on theory. So it is difficult to learn, isn't it?
 It should be the opposite!

Well designed machine with firm foundations is usually easier to use. In ML, one only need to understand a small number of principles, e.g.

- expression and evaluation,
- functions (recursion and first-class functions),
- types, and
- datatypes.

The structure is much simpler than those of imperative languages.

But, I heard that functional programming is more difficult.
 No. At least, it is simpler and more natural.
 Compare implementations of the factorial function:

0! = 1

```
n! = n \times !(n-1)

fun f 0 = 1

| f (int n) {
| int r;
| while (n != 0) {
| r = r * n;
| n = n - 1; }
| return(n); }
```

In general, code in ML is simpler and generally less error prone.

Programming in ML is inefficient due to lots of compile type errors.
 This makes ML a highly productive language!

For example, consider the following erroneous code

ML reports all the type errors at the compile type.

This will make ML programming so efficient.

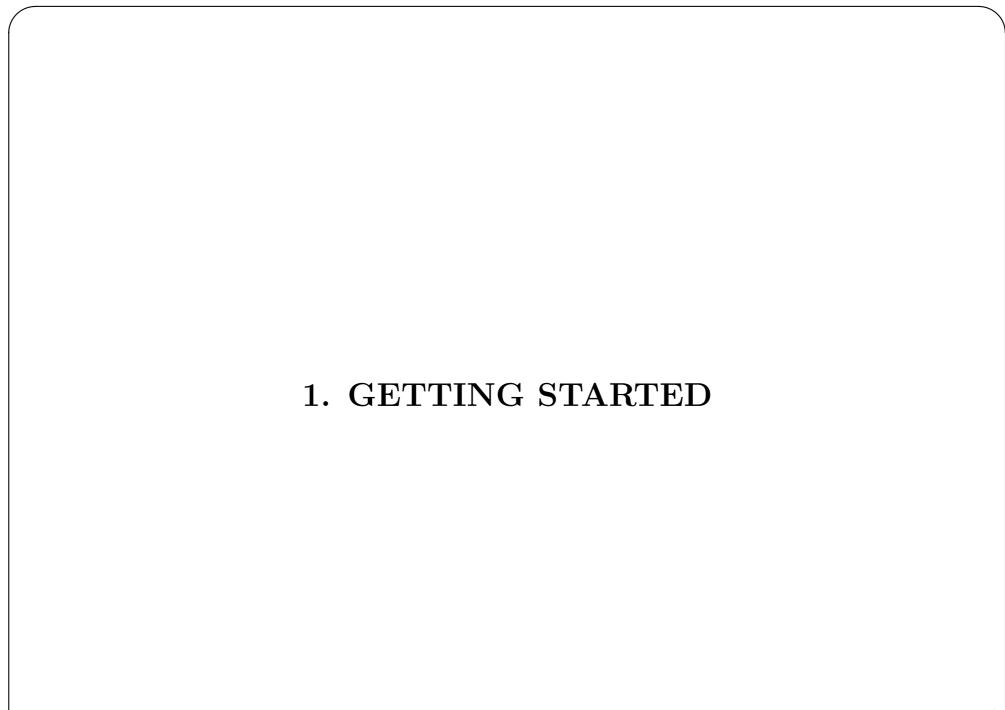
- Programs written in ML are slower.
- ML is a toy for academics,, and not for real production.

:

I would like to challenge these beliefs by developing an industrial strength, next generation ML....

#### Rest of the Contents of the Course

- 1. Getting Started (1,2)
- 2. Value bindings and function definitions (2)
- 3. Recursive and higher-order functions (3)
- 4. Static type system (4)
- 5. ML's built-in data types
- 6. List (5,6)
- 7. Recursive datatype definitions (7)
- 8. Imperative features
- 9. Module systems
- 10.Basis libraries
- 11.Programming project



## Installing SML of NJ

Go to: http://www.smlnj.org/software.html and download the software.

- Windows systems: smlnj.exe (a self-extracting archive.)
- Linux RPM: smlnj-110.0.7-4.i386.rpm
- Other Unix systems:
   Follow the instructions given in the SML NJ page.

It is already installed in JAIST system.

But if you have a PC, do Install the system on it.

#### How to use ML

To start interactive session:

```
% sml (invoking SML/NJ compiler)

Standard ML ..... (opening message)

- (input prompt)
```

After this, the user and the system iterate the following interaction:

- 1. the user inputs a program,
- 2. the system compiles and executes the program, and
- 3. the system prints the result.

### Terminating the session:

- To terminate, type ^D at the top-level.
- To cancel evaluation, type ^C.

## **Expression and Evaluations**

The fundamental principle of functional programming:

a program is an expression that evaluates to a value.

So in your interactive session, you do:

```
-expr; (an expression) val\ it = value: type (the result)
```

#### where

- ";" indicates the end of a compilation unit,
- value is a representation of the computed result,
- type is the type ML compiler inferred for your program, and
- val it means the system remember the result as "it".

## Atomic Expressions

In ML, the "hello world" program is simply:

```
% sml
- "Hi, there!";
val it = "Hi, there!" : string
```

In ML, a simple program is indeed very simple! Compare the above with that of C.

#### Note:

- Here "Hi, there!" is an expression and therefore a complete program!
- This is an example of atomic expressions or value literals.
- The result of an atomic expression is itself.
- ML always infers the type of a program. In this case, it is string.

### Some atomic expressions:

```
- 21;

val it = 21 : int

- 3.14;

val it = true : bool

- 3.14;

val it = false;

val it = false : bool

- 1E2;

val it = 100.0 : real

val it = #"A";

val it = #"A" : char

- true;
```

#### where

- *int* : integers
- *real* : floating point numbers
- bool : boolean values
- *char* : characters

## **Expressions with Primitive Operations**

## Simple arithmetic expressions

```
- ~2;

val \ it = ~2 : int

- 3 + ~2;

val \ it = 1 : int

- 22 - (23 mod 3);

val \ it = 20 : int

- 3.14 * 6.0 * 6.0 * (60.0/360.0);

val \ it = 18.84 : real
```

- $\bullet$   $\tilde{n}$  is a negative number, and  $\tilde{n}$  is the operator to multiply  $\tilde{n}$ 1.
- mod is the remainder operator.

Expression can be of any length.

```
-2 * 4 div (5 - 3)
= * 3 + (10 - 7) + 6;
val it = 21 : int
```

The first = above is the continue-line prompt.

## Conditional and Boolean expressions

In ML, a program is an expression, so

a conditional program is also an expression.

```
- if true then 1 else 2; val \ it = 1 : int
- if false then 1 else 2; val \ it = 2 : int
```

where true and false are boolean literals.

#### Note:

- $\bullet$  if  $E_1$  then  $E_2$  else  $E_3$  is an expression evaluates to a value.
- $\bullet$   $E_1$  can be any boolean expressions.

## A fundamental principle of a typed language:

expressions can be freely combined as far as they are type correct.

## So you can do:

```
- (7 \mod 2) = 2;
val \ it = false : bool
- (7 \mod 2) = (if \ false \ then \ 1 \ else \ 2);
val \ it = false : bool
- if \ (7 \mod 2) = 0 \ then \ 7 \ else \ 7 - 1;
val \ it = 60 : int
- 6 * 10;
val \ it = 60 : int
- (if \ (7 \mod 2) = 0 \ then \ 7 \ else \ 7 - 1) * 10;
val \ it = 60 : int
```

## Expression "it"

The system maintain a special expression it which always evaluates to the last successful result.

```
- 31;

val it = 31 : int

- it;

val it = 31 : int

- it + 1;

val it = 32 : int

- it;

val it = 32 : int
```

## Characters and Strings

```
- if #"A" > #"a" then ord #"A" else ord #"a";
val\ it = 97:int
- chr 97;
val\ it = \#"a" : char
- str it;
val it = "a" : string
- "SML" > "Lisp" ;
val\ it = true : bool
- "Standard " ^ "ML";
val it = "Standard ML" : string
```

- $e_1$   $e_2$  is function application.
- ord e returns the ASCII code of character e.
- chr e returns the character of the ASCII code e.

## Reading programs from a file

To execute programs in a file, do the following:

```
use "file";
```

The system then perform the following:

- 1. open the file
- 2. compile, evaluate, and print the result for each compilation unit separated by ";"
- 3. close the file
- 4. return to the top-level.

## Syntax Error and Type Error

As in other language, ML compiler reports syntax error:

```
- (2 +2] + 4);

stdIn:1.7 Error: syntax error found at RBRACKET
```

It also report the type errors as follows:

```
- 33 + "cat";

stdIn:21.1-21.11 Error: operator and operand don't agree [literal]

operator domain: int * int

operand: int * string

in expression:

33 + "cat"
```

## No type cast or overloading

To achieve complete static typechecking, there is no type cast nor overloading.

```
- 10 * 3.14;

stdIn:2.1-2.10 Error: operator and operand don't agree [literal]

operator domain: int * int

operand: int * real

in expression:

10 * 3.14
```

You need explicit type conversion:

```
- real 10;
val it = 10.0 : real
- it * 3.14;
val it = 31.4 : real
```

#### **Exercise**

- 1. Install the SML/NJ system and perform the interactive session shown in this note.
- 2. Predict the result of each of the following expression.

```
1 44;
2 it mod 3;
3 44 - it;
4 (it mod 3) = 0;
5 if it then "Boring" else "Strange";
Check your prediction by evaluating them in SML/NJ.
```

3. In the ASCII code, uppercase letters A trough Z are adjacent and in this order. The same is true for the set of lowercase letters. Assuming only this fact, write an expression that evaluates to an upper case

- character X to its lower case where X is the name bound to some upper case character.
- 4. In the ASCII code system, the set of uppercase letters and that of lowercase letters are not adjacent. Write an expression that evaluates to the number of letters between these sets. Use this result and write an expression to return a string of the characters between these two sets.

2. VALUE BINDING AND FUNCTION DEFINITIONS	

## Variable binding

The first step of a large program development is to name an expression and use it in the subsequent context using the syntax:

```
val name = exp ;
```

which "binds" name to expr and store the binding in the top-level environment.

```
- val OneMile = 1.6093;
val OneMile = 1.6093 : real
- OneMile;
val it = 1.6093 : real
- 100.0 / OneMile;
val it = 62.1388181197 : real
```

There is no need to declare variables and their types.

```
- val OneMile = 1.609;
val OneMile = 1.609 : real
- val OneMile = 1609;
val OneMile = 1609 : int
- OneMile * 55;
val it = 88495
```

Reference to an undeclared variable results in type error.

```
- onemile * 55;

stdIn:22.1-22.8 Error: unbound variable or constructor: onemile
```

### **Identifiers**

A name can be one of the following identifiers:

- 1. alphabetical Starting with uppercase letter, lowercase letter, or #"", and containing only of letters, numerals, #"", and  $\#"\_"$ . Identifier starting with #"", are for names of type variables.
- 2. symbolic a string consisting of the following characters;

```
! % & $ # + - / : < = > ? @ \ ~ ~ ~ | *
```

## Keywords

abstype and andalso as case datatype do else end eqtype exception fn fun functor handle if in include infix infixr let local nonfix of op open orelse raise rec sharing sig signature struct structure then type val where while with withtype ( ) [ [ { } , : :> ; ... \_ | = => -> #

### **Function Definitions**

A function is defined by fun construct:

```
fun f p = body ;
```

where

- f is the name of the function,
- ullet p is a formal parameter, and
- $\bullet$  *body* is the function body.

This declaration define a function that takes a parameter named p and return the value body computes.

### Simple example:

```
- fun double x = x * 2;

val\ double = fn : int \rightarrow int
```

#### where

- val double = fn indicates that double is bound to a function value.
- int -> int is a type of functions that takes an integer and returns an integer.

This function can be used as:

```
- double 1; val it = 2 : int
```

# Applying a Function

### Typing principle:

f of type  $\tau_1 \rightarrow \tau_2$  can be applied to an expression E of type  $\tau_1$ , yielding a value of type  $\tau_2$ .

This can be written concisely as:

$$\frac{f: \tau_1 \rightarrow \tau_2 \quad E: \tau_1}{f E: \tau_2}$$

Remember our fundamental principle on typed language:

expressions can be freely combined as far as they are type correct.

So E can be any expression and f E can occurs whenever type  $\tau_1$  is allowed.

```
- double (if true then 2 else 3); val\ it = 4:int
- double 3 + 1; val\ it = 7:int
- double (double 2) + 3; val\ it = 11:int
```

### Important note:

Function application  $E_1$   $E_2$  associates tightest and from the left.

So, double 3 + 1 is interpreted as (double 3) + 1.

## Function Definitions with Simple Patterns

```
- fun f (x,y) = x * 2 + y;
val f = fn : int * int -> int
- f (2,3);
val it = 7 : int
```

#### where

- $(E_1, \ldots, E_n)$  is a tuple,
- $\tau_1$  \* · · · \*  $\tau_n$  is a tuple type,
- Function type constructor -> associate weakly than other type constructor, so int \* int -> int is (int \* int) -> int.

Typing rule for tuples is:

$$\frac{E_1:\tau_1 \quad \cdots \quad E_n:\tau_n}{(E_1,\ldots,E_n) \quad : \quad \tau_1 \quad * \quad \cdots \quad * \quad \tau_n}$$

So you can form any form of tuples:

```
- ("Oleo",("Kenny","Drew"),1975);

val it = ("Oleo",("Kenny","Drew"),1975)

: string * (string * string) * int
```

## Evaluation Process of Function Application

The system evaluate  $E_1$   $E_2$  in the following steps:

- 1. Evaluate  $E_1$  to obtain a function definition  $\mathbf{fn} = \mathbf{x} = \mathbf{E}_0$
- 2. Evaluate the argument  $E_2$  to a value  $v_0$ .
- 3. Extend the environment in which the function is defined with the binding  $x \mapsto v_0$ ,
- 4. evaluate the function body  $E_0$  under the extended environment and obtain the result value v.
- 5. v is the result of  $E_1$   $E_2$ .

### Evaluation process:

```
1 \ Eval(\{\}, double (double 2) + 3)
          Eval(\{\}, double (double 2))
                 Eval(\{\}, (double 2))
                      |Eval(\{\}, 2) = 2
5
                      | Eval(\{x \mapsto 2\}, x * 2)|
6
                         | Eval(\{x \mapsto 2\}, x) = 2
                   | \quad | \quad Eval(\{x \mapsto 2\}, 2) = 2
                            | Eval(\{x \mapsto 2\}, 2 * 2) = 4
9
                            = 4
10
                 | Eval(\{x \mapsto 4\}, x * 2)|
11
                     | Eval(\{x \mapsto 4\}, x) = 4
12
                    | Eval(\{\mathbf{x} \mapsto \mathbf{4}\}, \mathbf{2}) = 2
13
                     |Eval(\{x \mapsto 4\}, 4 * 2) = 8
14
15
                      = 8
16
     |Eval(\{\},3)=3|
17
      |Eval(\{\}, 8 + 3) = 11
18
19
        = 11
```

3. RECURSIVE AND HIGHER-ORDER FUNCTIONS	

### **Recursive Functions**

A function definition

$$fun f p = body$$

is recursive if body contains f, the function being defined by this definition.

A simple example: the factorial function

```
0! = 1 fun f n = if n = 0 then 1 n! = n \times (n-1)! else n * f (n - 1)
```

Many complex problems can naturally be solved recursively.

How to design a recursive function:

$$0! = 1$$

$$n! = n \times (n-1)!$$

1. Write the trivial case.

```
if n = 0 then 1
```

2. Decompose a complex case into smaller problems, and solve the smaller problems by using the function you are defining.

$$f (n - 1)$$

3. Compose the results to obtain the solution.

$$n * f (n - 1)$$

How to analyze a recursive function definition:

```
fun f n =

if n = 0 then 1

else n * f (n - 1)
```

1. Assume that f computes the desired function.

```
f n is n!
```

2. Show that body is correct under the assumption above.

```
f 0 is 0!. By the assumption, f (n-1) is (n-1)!. So f n is n!. So the body of the above definition is correct.
```

3. If the above step succeed then f indeed computes the desired function.

Fibonacci sequence is defined as follows:

$$F_0 = 1$$
  
 $F_1 = 1$   
 $F_n = F_{n-2} + F_{n-1}$   $(n \ge 2)$ 

The following function computers this sequence.

```
fun fib n = if n = 0 then 1
else if n = 1 then 1
else fib (n - 1) + fib (n - 2)
```

### Tail Recursive Functions

Consider the definition again:

```
fun f n =

if n = 0 then 1

else n * f (n - 1)
```

This function uses O(n) stack space. However, we can write a C code that only using a few variables.

Is ML inefficient?

The answer is the obvious one:

Efficient ML programs are efficient, and inefficient ML programs are inefficient.

A recursive function definition f un f p = body is tail recursive if all the calls of f in body are in tail calls positions in body.

 $[\ ]$  is a tail call position in T:

```
T:=[]\mid \text{if }E \text{ then }T \text{ else }T\mid (E;\cdots;T) \mid \text{let }decs \text{ in }T \text{ end } \mid \text{case }e \text{ of }p_1\Rightarrow T\mid \cdots\mid p_n\Rightarrow T
```

Examples of tail calls:

- **f** *e*
- if  $e_1$  then f  $e_2$  else f  $e_3$
- $\bullet$  let decls in fe end

Tail recursive functions do not consume stack space and therefore more efficient.

Tail recursive version of fact:

```
fun fact (n, a) = if n = 0 then a else fact (n - 1, n * a); val \ fact = fn : int * int -> int fun factorial n = fact (n,1); val \ factorial = fn : int -> int
```

fact is an auxiliary function for factorial.

# Let Expression

The following let expression introduces local definitions let sequence of val or fun definitions in exp end Simple example: let val x = 1in x + 3end;  $val\ it = 4:int$ 

A function is usually defined using this construct as:

Notes on let decl in exp end:

- This is an expression.
- ullet The type and the result of this expression is those of exp.

```
- let  val pi = 3.141592   fun f r = 2.0 * pi * r   in   f 10.0   end * 10.0;   val it = 628.3184 : real
```

### Local Definitions

The following local special form also introduce local definitions

```
\begin{array}{c} {\rm local} \\ {\it declList} 1 \\ {\rm in} \\ {\it declList} 2 \\ {\rm end} \end{array}
```

- ullet Only the definitions in declList2 are valid after this declaration.
- declList1 is used in declList2.

## **Mutually Recursive Functions**

Functions/problems are often mutually recursive.

Consider that you deposit some money x under the condition that each year's interest rate is determined by some function F from the previous year's balance.

#### Let

- $\bullet$   $A^n_x$  be the amount after n year deposit
- $I_x^n$  the n'th year's interest rate.

They satisfy the following equations:

$$I_x^n = F(A_x^{n-1}) (n \ge 1)$$

$$A_x^n = \begin{cases} x & (n = 0) \\ A_x^{n-1} \times (1.0 + I_x^n) & (n \ge 1) \end{cases}$$

which can be directly programed as follows:

```
- fun I(x,n) = F(A(x,n-1))

and A(x,n) = if n = 0 then x

else A(x,n-1)*(1.0+I(x,n));

val I = fn : real * int -> real

val A = fn : real * int -> real
```

### Recursion and Efficiency

Straightforward implementation of recursive functions results in inefficient programs due to repeated calls on same arguments.

### Consider again:

```
fun fib n = if n = 0 then 1
else if n = 1 then 1
else fib (n - 1) + fib (n - 2)
```

In computing fib n,

- fib n is called once; fib (n 1)) is called once
- fib (n 2)) is called twice; fib (n 3)) is called 3 times
- fib (n 4)) is called 5 times; fib (n 5)) is called 8 times

i

Question: How may times fib 0 is called?

To avoid repeated computation, we consider a function  $G_k$ 

$$G_k(F_{n-1}, F_n) = F_{n+k}$$

which takes two consecutive Fibonacci numbers  $(F_{n-1}, F_n)$  and returns the Fibonacci number  $F_{n+k}$ .

G satisfies the following recursive equations:

$$G_0(a,b) = a$$

$$G_1(a,b) = b$$

$$G_{k+1}(a,b) = G_k(b,a+b)$$

```
fun fastFib n =
  let
  fun G(n,a,b) =
    if n = 0 then a
    else if n = 1 then b
    else G(n-1,b,a+b)
  in
    G(n,1,1)
  end
```

Only one call for G(n,a,b) for each n, so this function computes  $F_n$  in O(n) time.

```
The same is true for A and I in
```

```
fun I(x,n) = F(A(x,n-1))
and A(x,n) = if n = 0 then x
else A(x,n-1)*(1.0+I(x,n));
```

In computing A(x,n),

- A(x,n) is called once,
- A(x,n 1)) is called twice
- $\bullet$  A(x,n 2)) is called 4 times

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Repeated computation is avoided by computing  $A^n_x$  and  $I^n_x$  using a function G defined as

$$G_k(A^n, I^n) = (A^{n+k}, I^{n+k})$$

which satisfies:

$$G_0(a, i) = (a, i)$$
  
 $G_{k+1}(a, i) = G_k(a \times (1 + I), F(a))$ 

So we can define:

## **Higher-Order Functions**

(1) Functions can return a function Consider a function taking 2 arguments:

But in ML, we can also define:

power is a function that takes one argument m and returns a function that takes an argument n and returns  $m^n$ .

#### So we can write:

```
- val cube = power 3;
val cube = fn : int -> int
- cube 2;
val it = 8 : int
```

#### Note:

- function application associates to the left so power (m - 1) n is (power (m - 1)) n.
- -> associates to the right so
   int -> int -> int is int -> (int -> int).

(2) Functions can take functions as its arguments
To understand such a function, let us first consider the following function:

```
- fun sumOfCube n = if n = 1 then cube 1 else cube n + sumOfCube (n - 1); val\ sumOfCube = fn: int \rightarrow int - sumOfCube 3; val\ it = 36: int
```

We note that sumOfCube is a special case of the computation:

$$\sum_{k=1}^{n} f(k) = f(1) + f(2) + \dots + f(n)$$

In ML, we can directly define such computation as a program.

```
- fun summation f n = if n = 1 then f 1 else f n + summation f (n - 1); val\ summation = fn: (int -> int) -> int -> int
```

### Remember:

- (int -> int) -> int -> int is (int -> int) -> (int -> int).
- summation is a function that
  - takes a value of type int -> int, and
  - return a value of type int -> int.

So summation is a function that takes a function as its argument and returns a function.

Remember our principle on typing:

expressions can be freely combined as far as they are type correct.

We can use summation as far as the usage is type consistent.

```
- val newSumOfCube = summation cube;
val\ newSumOfCube = fn: int \rightarrow int
- newSumOfCube 3;
val\ it = 36:int
We can also write:
- val sumOfSquare = summation (power 2);
val\ sumOfSquare = fn: int \rightarrow int
- sumOfSquare 3;
val it = 14
- summation (power 4) 3;
val\ it = 98:\ int
```

## **Function Expressions**

In ML, a function is a value, and therefore representable by expressions.

A function expression of the form

$$fn p \Rightarrow body$$

denotes the function that takes p and returns the value denoted by body.

```
- fn x => x + 1;

val it = fn : int -> int

- (fn x => x + 1) 3 * 10;

val it = 40 : int
```

Using this function expression, we can write a program to generate a function.

To see its usefulness, consider:

```
fun f n m = (fib n) mod m = 0;
```

which computes fib n for each different m. So

```
val g = f 35;
(g 1,g 2,g 3,g 4,g 5);
```

compute fib 35 5 times.

This is clearly redundant, and should be avoided.

We can factor out the computation fib 35 as

```
fun f n = let val a = (fib n)
    in fn m => a mod m = 0
    end
```

This performs the following computation:

- 1. receives n
- 2. computes fib n and binds m to the result,
- 3. return a function  $fn m => a \mod m = 0$ .

So after this,

```
val g = f 35;
(g 1,g 2,g 3,g 4,g 5);
```

will not compute fib 35 again.

# Static Scoping

General principle: defining a name hide the previous definition.

A simple example:

```
- let val x = 3 in (fn x => x + 1) x end; val\ it = 4 : int
```

Names are defined in

- val definition
- fun definition
- $fn x \Rightarrow e$  expression

#### val declaration

```
val x_1 = exp_1
and x_2 = exp_2
:
and x_n = exp_n;
```

The scope of  $x_1, \ldots, x_n$  is the expressions that follow this definition.

```
val x = 1

val y = x + 1

val x = y + 1

and y = x + 1

will binds x to 3 and y to 2.
```

#### Function declarations

```
fun f_1 p_1^1 \cdots p_{k_1}^1 = exp_1 and f_2 p_1^2 \cdots p_{k_2}^2 = exp_2 and f_n p_1^n \cdots p_{k_n}^n = exp_n ;
```

The scope of  $f_1, \ldots, f_n$  are

- $\bullet$   $exp_1, \ldots, exp_n$ , and
- the expressions that follow this definition.

### Note:

In static scoping, new bindings only hid previous binding and do not change them.

```
- val x = 10;
val x = 10 : int
- val y = x * 2;
val y = 20 : int
- val x = 20;
val x = 20 : int
- y;
val it = 20 : int
```

This is also true for function definitions.

```
- val x = 10;
val x = 10 : int
- val y = 20;
val\ y = 20:int
- fun f x = x + y;
val f = fn : int \rightarrow int
- f 3;
val\ it = 23:int
- val y = 99;
val\ y = 99:int
- f 3;
val\ it = 23:int
```

## **Binary Operators**

In ML, operators are functions and functions only take one arguments.

Operator expressions of the form

```
e_1 op e_2 is a syntactic \ sugar for op(e_1,e_2)
```

The following declaration defines operator syntax:

- infix n  $id_1 \cdots id_n$ : left associative operator of strength n.
- infixr n  $id_1 \cdots id_n$ : right associative operator of strength n.

The system pre-defined the following

```
infix 7 * / infix 6 + -
```

You can also define your one operators as:

```
- infix 8 Power;
infix 8 Power
-2 \text{ Power } 3 + 10;
val\ it = 19:int
op id temporarily invalidate operator declarations:
- Power;
stdIn:4.1 Error: nonfix identifier required
- op Power;
val\ it = fn: int * int -> int
- op Power (2,3);
val\ it = 9:int
```

# Exercise Set (2)

- 1. For each of the following, write down a recursive equation, and a recursive program corresponding to the equation.
  - (1)  $S_n = 1 + 2 + \cdots + n$
  - (2)  $S_n = 1 + (1+2) + (1+2+3) + \cdots + (1+2+\cdots+n)$
- 2. Write a tail recursive definition for each of the above.
- 3. Let us represent a  $2\times 2$  matrix  $\begin{pmatrix} a & b \\ c & d \end{pmatrix}$  as (a,b,c,d). Write a function matrixPower(n,A) that takes any matrix A and an integer n, and returns  $A^n$ .
- 4. From the definition of Fibonacci numbers we have:

$$F_n = F_n$$

$$F_{n+1} = F_{n-1} + F_n$$

Let

$$G_n = \begin{pmatrix} F_n \\ F_{n+1} \end{pmatrix}$$

Then we have:

$$G_n = \begin{pmatrix} 0 & 1 \\ 1 & 1 \end{pmatrix} G_{n-1}$$

and therefore

$$G_n = \begin{pmatrix} F_n \\ F_{n+1} \end{pmatrix} = \underbrace{\begin{pmatrix} 0 & 1 \\ 1 & 1 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 1 & 1 \end{pmatrix} \cdots \begin{pmatrix} 0 & 1 \\ 1 & 1 \end{pmatrix}}_{n \text{ times}} \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

Thus if  $A = \begin{pmatrix} 0 & 1 \\ 1 & 1 \end{pmatrix}$  then we have:

$$G_n = A^n \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

Using the above facts, define a function to compute  $F_n$  using matrixPower

- 5. Define a tail recursive version of summation.
- 6. For each of the following, define a function using summation.
  - (1)  $f(x) = 1 + 2 + \cdots + n$
  - (2)  $f(n) = 1 + 2^2 + 3^2 + \dots + n^2$
  - (3)  $f(n) = 1 + (1+2) + (1+2+3) + \dots + (1+2+\dots+n)$
- 7. Define

summation': (int -> real) -> int -> real

that computes  $\sum_{k=1}^{n} f(k)$  for a given function of type int -> real.

8. Let f(x) be a function on real numbers.

$$\int_a^b f(x)dx$$

can be approximated by

$$\sum_{k=1}^{n} \left( f\left(a + \frac{k(b-a)}{n}\right) \times \frac{b-a}{n} \right)$$

for some large n.

Define a function integral that takes f, n, a and b, and compute the above value.

You will need a function real : int -> real that converts a value of type int to the corresponding value of real.

9. summation can be regarded as a special case of the following more general computation scheme:

$$\Lambda_{k=1}^n(h,f,z) = h(f(n),\cdots,h(f(1),z)\cdots)$$

For example,  $\Sigma_{k=1}^n f(k)$  can be defined as  $\Lambda_{k=1}^n(+,f,0)$ .

Write a higher-order function

accumulate h z f n that compute  $\Lambda_{k=1}^n(h,z,f)$ .

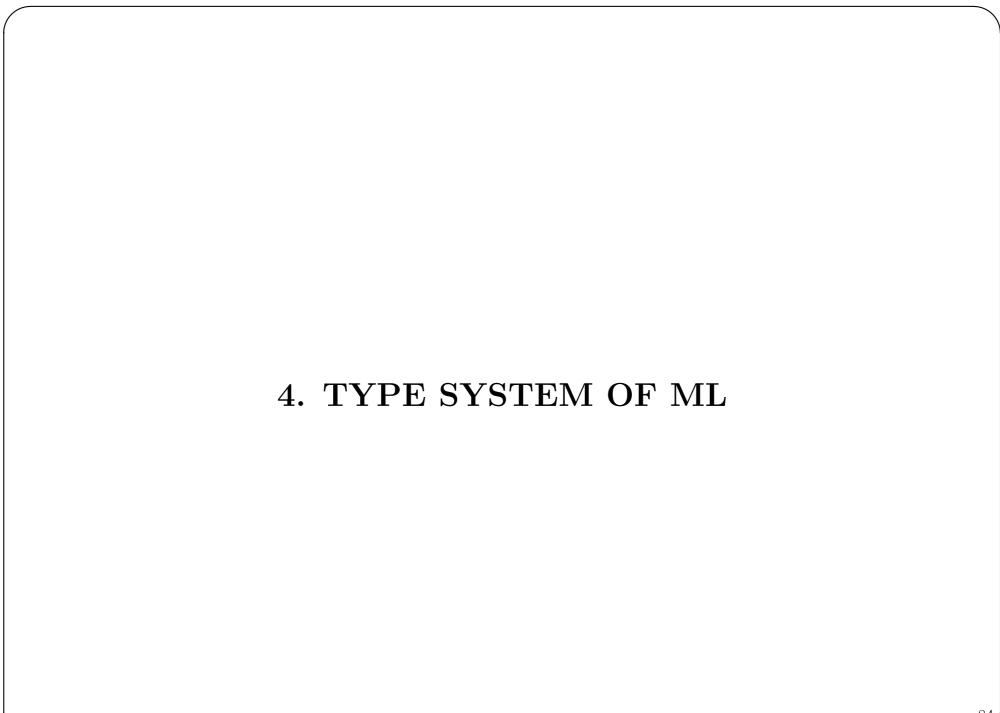
- 10. Define summation using accumulate.
- 11. Define each of the following function using accumulate.
  - (1)  $f_1(n) = 1 + 2 + \cdots + n$
  - (2)  $f_2(n) = 1 \times 2 \times \cdots \times n$
  - (3)  $f_3(n,x) = 1 \times x^1 + 2 \times x^2 + \dots + n \times x^n$
- 12.We can regard a function f of type int  $\rightarrow$  bool as a set of elements for which f returns true. For example,

 $fn x \Rightarrow x = 1 \text{ orelse } x = 2 \text{ orelse } x = 3$ 

can be regarded as a representation of the set  $\{1,2,3\}$ . In this,  $exp_1$  orelse  $exp_2$  is logical or of  $exp_1$  and  $exp_2$ . An expression to denote the logical and of  $exp_1$  and  $exp_2$  is  $exp_1$  and also  $exp_2$ .

Based on the above observation, write the following functions for manipulating sets:

- (1) emptyset to denote the emptyset.
- (2) singleton that returns the singleton set  $\{n\}$  of a given n.
- (3) insert to insert an element n to a set S.
- (4) member to test whether an element n is in a set S.
- (5) set theoretic functions: union, intersection, difference.



# Type Inference and Type Checking

The two most notable features of ML type system:

- 1. It automatically infers a type for any expression.
- 2. It supports polymorphism.

### Automatic Type Inference

As we have seen, ML programs do not require type declarations.

This achieves complete static type checking without type annotation:

```
fun factorial n = products n (fn x => x);
stdIn:1.19-1.40 Error: operator and operand don't agree
    operator domain: int
   operand: Z \rightarrow Z
   in expression: products n ((fn x \Rightarrow x))
This is in contrast to untyped language like LISP:
- (defun factorial (n)
           (products n #'(lambda (x) x)))
factorial
(\cdots \text{ (factorial 4) }\cdots)
Worng type argument: integer-or-marker-p (lambda (x) x)
```

# Examples of type errors:

- 3 \* 3.14
- fun f1 x = (x 1, x true)
- fun f2 x y = if true then x y else y x
- fun f3 x = x x

### Polymorphism

A program has many different types.

```
fun id x = x
id has infinitely many types such as
  int -> int
or
  string -> string.
```

or any other types of the form  $\tau$ ->  $\tau$ .

ML infers a type that represent all the possible types of a given program.

$$val\ id = fn : 'a \rightarrow 'a$$

where 'a is a *type variable* representing arbitrary types.

Type variables are *instantiated* when the program is used.

```
- id 21;
val it = 21 : int
- id "Standard ML";
val it = "Standard ML" : string
- id products;
val it = fn : (int -> int) -> int -> int
- fn x => id id x
val it = fn : 'a -> 'a
```

### More examples:

```
- fn x => id id x;
val it = fn : 'a -> 'a
- fun twice f x = f (f x);
val twice = fn : ('a -> 'a) -> 'a -> 'a
```

```
- twice cube 2; val \ it = 512 : int
- twice (fn x => x ^ x) "ML"; val \ it = "MLMLMLML" : string
- fn x => twice twice x; val \ it = fn : ('a -> 'a) -> 'a -> 'a
- it (fn x => x + 1) 1; val \ it = 5 : int
```

ML infers a polymorphic type by computing a most general solution of type constraints.

Let us trace the process for twice ML proceeds roughly as follows.

1. Assign types to each sub-expressions

fun 
$$\underline{\mathsf{twice}}_{\tau_1} \ \underline{\mathsf{f}}_{\tau_2} \ \underline{\mathsf{x}}_{\tau_3} = \underline{\mathsf{f}} \ \underline{(\mathsf{f} \ \mathsf{x})}_{\tau_4\tau_5};$$

2. Since twice is a function that takes f and x, we must have:

$$\tau_1 = \tau_2 -> \tau_3 -> \tau_5$$

- 3. For  $(f x)_{\tau_4}$  to be type correct, we must have;
  - (1) I's type  $\tau_2$  must be a function type of the form  $\alpha \to \beta$
  - (2)  $\alpha$  must be equal to x's type  $\tau_3$ ,
  - (3)  $\beta$  must be equal to the result type  $\tau_4$  of (f x).

So we have the following equation:

$$\tau_2 = \tau_3 \rightarrow \tau_4$$

4. Similarly, for  $\underline{\mathbf{f}} \ \underline{(\mathbf{f} \ \mathbf{x})_{\tau_4\tau_5}}$  to be type correct, we must have the equation:

$$\tau_2 = \tau_4 \rightarrow \tau_5$$

5. The desired type is a most general solution of the following type equations:

$$\tau_1 = \tau_2 \rightarrow \tau_3 \rightarrow \tau_5$$

$$\tau_2 = \tau_3 \rightarrow \tau_4$$

$$\tau_2 = \tau_4 \rightarrow \tau_5$$

One such solution is

$$\tau_1 = (\tau_3 -> \tau_3) -> \tau_3 -> \tau_3$$

### Explicit Type Declaration

You can specify types as in:

```
- fun intTwice f (x:int) = f (f x);
val intTwice = fn : (int -> int) -> int -> int
or
fun intTwice (f:int -> int) x = f (f x)
fun intTwice f x = f (f x) : int
fun intTwice f x : int = f (f x)
```

Type specification is weaker than function application, so the last example means

```
fun ((intTwice f) x):int = f (f x).
```

Type specification can be polymorphic

```
- fun higherTwice f (x:'a -> 'a) = f (f x); val\ higherTwice = fn: \\ (('a -> 'a) -> 'a -> 'a) -> ('a -> 'a) -> 'a -> 'a)
```

However, you cannot specify a more general type than the actual type.

#### Overloaded Identifiers

Some system defined identifiers have multiple definitions, and disambiguated by the context.

```
- 1 < 2;

val it = true : bool

- "dog" < "cat";

val it = false : bool

- fun comp x y = x < y;

val comp = fn : int -> int -> bool
```

If there is no context to disambiguate, then the default is chosen.

# Some of overloaded identifiers:

id	type scheme	possible $t$	default
div	t * t -> t	word,int	int
mod	$t * t \rightarrow t$	word,int	int
	$ t  * t \rightarrow t$	word,real,int	int
<,>,<=,>=	$ t  * t \rightarrow bool$	word,real,int,string,char	int

## Value Polymorphism

Currently, polymorphism is restricted to values:

$$V := c \mid x \mid (V, \dots, V) \mid$$
fn  $p \Rightarrow e$ 

So

```
(fn x => x, fn y => y);

it = poly\text{-}record : ('a -> 'a) * ('b -> 'b)

is OK, but

- fun f n x = (n,x);

val f = fn : 'a -> 'b -> 'a * 'b

- f 1;

stdIn:21.1-21.4 \ Warning: \ type \ vars \ not \ generalized \ because \ of \ value \ restriction \ are \ instantiated \ to \ dummy \ types \ (X1,X2,...)

val \ it = fn : ?.X1 -> int * ?.X1
```

### Note on "Value Polymorphism"

This is a "theoretical compromise", and is not particularly nice.

There are several alternative solutions. One is to use

rank 1 polymorphism

where one can do the following

```
- fun f n x = (n,x);

val f = fn : ['a.'a -> ['b.'b -> 'a * 'b]]

- f 1;

val it = fn : ['a.'a -> int * 'a]
```

We will come back to this point later.

## **Equality Types**

Equality function "=" tests whether two expressions have the same value or not. However,

we cannot compute equality of functions or reals.

So "=" is restricted to those types on which equality is computable.

```
- op =; val \ it = fn : "a * "a -> bool
```

where "a is a equality type variable ranging over equality types

$$\delta ::= int \mid bool \mid char \mid string \mid \delta * \cdots * \delta \mid ref\tau$$

ref  $\tau$  is a reference type of  $\tau$  we shall learn later.

## Exercise Set (3)

- 1. For each of the following expressions, if it is type correct then infer its most general type, and if it does not have any type then explain briefly the cause of the type error.
  - (1) fun S x y z = (x z) (y z)
  - (2) fun  $K \times y = x$
  - (3) fun A x y z = z y x
  - (4) fun B f g = f g g
  - (5) fun C x = x C
  - (6) fun D p a b = if p a then (b,a) else (a,b)
- 2. What are the type of the following expressions?

```
f x = f x;
f 1;
```

3. Explain the type and the behavior of the following function.

```
local
    fun K x y = x
in
fun f x = K x (fn y => x (x 1))
end
```

- 4. As you can see above, it is possible to constrain the type of expression without using explicit type declaration. Let  $\tau$  be a type not containing any type variable, and suppose E is an expression of type  $\tau$ .
  - (1) Let exp be an expression whose type is more general than that of E. Give an expression whose behavior is the same as that of exp but have the type  $\tau$ .
  - (2) Define a function that behaves as the identity function and its most general type is  $\tau \rightarrow \tau$ .

5. Functions can represent any data structures, including integers. Let us do some arithmetic using functions. Consider the twice again:

```
val twice = fn f \Rightarrow fn x \Rightarrow f (f x)
```

This represents the notion of "doing something two times".

To verify this, we can see by applying it as

```
- twice (fn x => "*" ^ x) "";
val it = "**" : string
- twice (fn x => "<" ^ x ^ ">") "";
val it = "<<>>" : string
```

and of course

```
- twice (fn x => x + 1) 0; val it = 2 : int
```

So we can consider twice as (a representation of) the number 2! So we can define numbers as

```
val one = fn f => fn x => f x
val two = fn f => fn x => f (f x)
val three fn f => fn x => f (f (f x))
val four= fn f => fn x => f (f (f x)))
:
```

and a utility function

```
fun show n = n (fn x \Rightarrow x + 1) 0
```

Let us call these functional representation numerals.

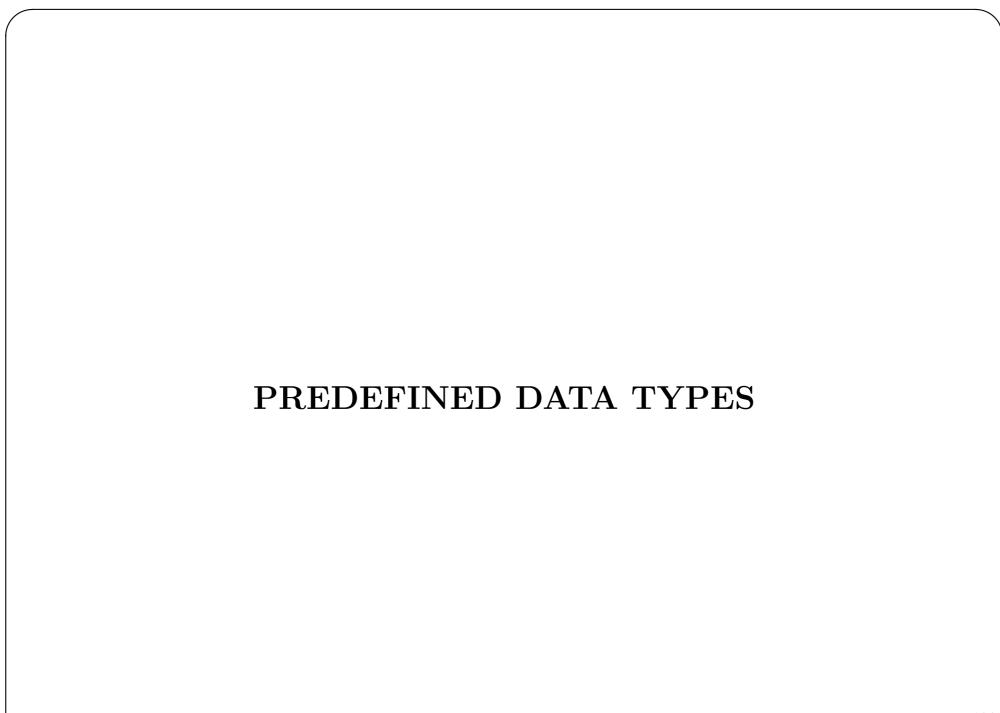
In this exercise, we define various operations on natural numbers based on this idea.

- (1) Define a numeral for the number zero.
- (2) Define a function succ that represents "add one" function. For example, it should behave as:

```
- show(succ one); val it = 2 : int
```

(3) Define functions add, mul, and exp to compute addition, multiplication and exponentiation. For example, we should have:

```
- show(add one two);
val it = 3 : int
- show(mul (add one two) three);
val it = 9 : int
- show(exp two three);
val it = 8 : int
```



## Unit Type

unit type contain () as its only value. It is used for functions whose return values are not important:

```
val use : string -> unit
val print : string -> unit
For example:
  - use "emptyFile";
val it = () : unit
  - print "Hi!";
Hi!val it = () : unit
```

Other functions related to unit are:

```
infix 0 before
val before : 'a * unit -> 'a
val ignore : 'a -> unit

For example:
- 1 before print "One\n";
One
val it = 1 : int
- ignore 3;
val it = () : unit
```

## Booleans: eqtype bool

bool type contains true and false.

The following negation function is defined:

```
val not : bool -> bool
```

In addition, the following special forms are provided:

```
exp_1 and also exp_2 (conjunction) exp_1 or else exp_2 (disjunction) if exp then exp_1 else exp_1 (conditional)
```

These special forms suppress unnecessary evaluation.

- $\bullet \ exp_1$  and also  $exp_2$  evaluates  $exp_2$  only if  $exp_1$  is true.
- ullet  $exp_1$  orelse  $exp_2$  evaluates  $exp_2$  only if  $exp_1$  is false.
- if  $exp_1$  then  $exp_2$  else  $exp_3$  evaluate only one of  $exp_2$  or  $exp_3$  and not both.

## Integers: eqtype int

int represents 2's complement representations of integers in  $-2^{30} \le n \le 2^{30} - 1$ . One bit less than in other languages is current ML's another defect.

#### Integer literals

- usual decimal forms e.g. 123 etc.
- hexadecimal notations of the form  $0 \times nnn$  where n is digit (0 9) or letters from a (or A ) to f (or F).

Negative literals are written as ~123:

```
- 123;

val it = 123 : int

- ~0x10;

val it = ~16 : int
```

Primitive operations on integers.

```
exception Overflow
                               (overflow exception)
exception Div
                               (division by zero)
val ~ : int -> int
                               (negation)
val * : int * int -> int
val div : int * int -> int
val mod : int * int -> int
val + : int * int -> int
val - : int * int -> int
val > : int * int -> bool
val >= : int * int -> bool
val < : int * int -> bool
val <= : int * int -> bool
val abs : int -> int
```

## Reals: type real

real is type of real (rational) numbers in floating point representations.
real literals

- digit sequence containing decimal points e.g. 3.14
- scientific expression e.g.  $x \to n$  for  $x \times 10^n$ .

```
- 3.14; val \ it = 3.14 : real - val C = 2.9979E8 val \ it = 299790000.0 : real
```

```
val ~ : real -> real
                               (negation)
val + : (real * real) -> real
val - : (real * real) -> real
val * : (real * real) -> real
val / : (real * real) -> real
val > : (real * real) -> bool
val < : (real * real) -> bool
val >= : (real * real) -> bool
val <= : (real * real) -> bool
val abs : real -> real
val real : int -> real
val floor : real -> int
val ceil : real -> int
val round : real -> int
val trunc : real -> int
```

#### Some simple examples:

```
- val a = 1.1 / 0.0;
val a = inf : real
- a * ~1.0;
val it = ~inf : real
- a / it;
val it = nan : real
```

#### where

- nan : "not a number" constant
- inf : infinity

#### Characters: eqtype char

ASCII representation of characters. Character literals: #"c" where c may be one of worning(ASCII 7) **\**a backspace(ASCII 8) \b horizontal tab(ASCII 9) \t \n new line(ASCII 10) \v vertical tab(ASCII 11) \f home feed (ASCII 12) \r carrige return(ASCII 13) character "  $\d$ dd the character whose code is ddd in decimal

#### Operations on characters:

```
exception Chr
val chr : int -> char
val ord : char -> int
val str : char -> string
val <= : char * char -> bool
val < : char * char -> bool
val >= : char * char -> bool
val >= : char * char -> bool
```

## Strings: eqtype string

String literals: "...." which may contain special character literals and \.

Multiple line notation:

```
- "This is a single \
\string constant.";

val it = "This is a single string constant." : string
```

```
exception Substring
val size : string -> int
val substring : string * int * int -> string
val concat : string list -> string
val <= : string * string -> bool
val < : string * string -> bool
val >= : string * string -> bool
val > : string * string -> bool
val ^ : string * string -> string (concatenation)
val print : string -> unit
```

```
- "Standard ML";
val\ it = "Standard\ ML" : string
- substring(it,9,2);
val\ it\ "ML":string
- explode it;
val\ it = [\#"M", \#"L"] : char\ list
- map (fn x \Rightarrow ord x + 1) it;
val\ it = [78,77]:int\ list
- map chr it;
val\ it = [\#"N", \#"M"] : char\ list
- implode it;
val it = "NM" : string
- it < "ML";</pre>
val it = false : bool
```

## Programming Example

Problem: find a maximal common substring of two strings  $s_1$  and  $s_2$ .

Data representation:

Represent a common substring of  $s_1$  and  $s_2$  as  $(start_1, start_2, l)$ .  $start_1$  and  $start_2$  are the starting positions of  $s_1$  and  $s_2$ 

We search all the possible pairs  $(start_1, start_2)$  and find a maximal l.

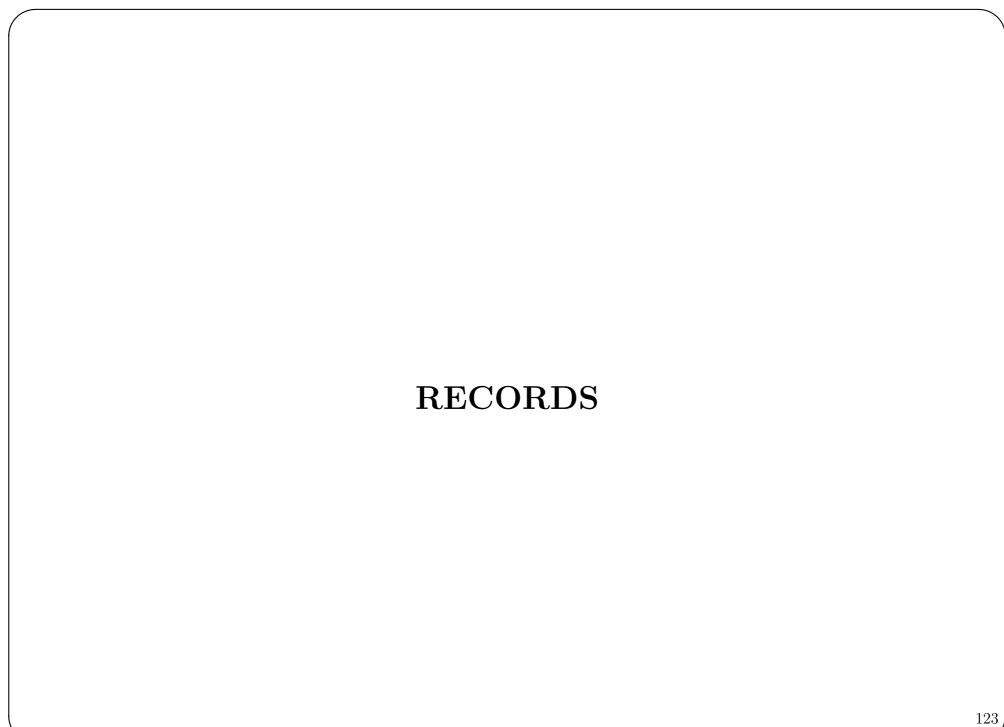
#### Search strategy:

Maintaining the maximum substring  $(start_1, start_2, max)$  found so far, and update this information during the search.

#### Procedure:

- 1. Set the starting position  $(from_1, from_2)$  to (0,0), and set the maximum common substring to  $(start_1, start_2, max)$  to (0,0,0).
- 2. Repeat the following process.
  - 2.1. If the position  $(from_1, from_2)$  is out of the strings, then we are done. Return the maximum substring  $(start_1, start_2, max)$  so far found as the result.
  - 2.2. If  $(from_1, from_2)$  is within the strings, then computes the length of the longest substring starting from this position.
  - 2.3. If n > max then update  $(start_1, start_2, max)$  to  $(from_1, from_2, n)$ .
  - 2.4. Update the starting position  $(from_1, from_2)$  to the next position, and continue.

```
fun match s1 s2 =
  let val maxIndex1 = size s1 - 1
      val maxIndex2 = size s2 - 1
      fun nextStartPos (i,j) = \cdots
      fun findMatch (from1,from2) (start1,start2,max) =
          if from 1 > maxIndex1 orelse from 2 > maxIndex2 then
             (start1, start2, max)
          else let fun advance n = \cdots
                    val newSize = advance 0
                   if max < newSize then
               in
                       findMatch (nextStartPos(from1,from2))
                                 (from1,from2,newSize)
                    else findMatch (nextStartPos(from1,from2))
                                    (start1, start2, max)
               end
  in findMatch (0,0) (0,0,0) end
```



## Record Types and Record Expressions

#### Syntax of record types

```
\{l_1 : \tau_1, \cdots, l_n : \tau_n\}
```

#### where

- $l_1, \dots, l_n$  are pairwise distinct labels,
- ullet a label  $l_i$  can be either an identifier or a numbers, and
- $\tau_1, \dots, \tau_n$  can be any types.

#### Example

#### Syntax of record expressions

```
\{l_1 = exp_1, \cdots, l_n = exp_n\}
```

where  $exp_i$  can be any expression.

Typing rule for records:

```
\begin{array}{c} exp_1:\tau_1 & \cdots exp_n:\tau_n \\ \hline \{l_1=exp_1,\cdots,l_n=exp_n\}:\{l_1:\tau_1,\cdots,l_n:\tau_n\} \\ \hline \end{array} - val myMalt= {Brand = "Glen Moray", Distiller = "Glenlivet", Region = "the Highlands", Age = 28}; val myMalt = {Age = 28, Brand = "Glen Moray", Distiller = "Glenlivet", Region = "the Highlands" } : {Age: int, Brand: string, Distiller: string, Region: string} \end{array}
```

According to our principle:

expressions can be freely combined as far as they are type correct.

records are freely combined with any other constructs:

#### Operations on Records

Functions with record patters of the form:

```
\{l_1 = pat_1, \dots, l_n = pat_n\}
\{l_1 = pat_1, \dots, l_n = pat_n, \dots\}
```

extract record fields.

```
- fun oldMalt {Brand, Distiller, Region, Age} = Age > 18 val\ oldMalt = fn: \{Age:int,\ Brand: 'a,\ Distiller: 'b,\ Region: 'c\} \rightarrow bool
```

```
- val {Brand = brand, Distiller = distiller,
       Age = age, Region = region > = myMalt;
val\ age = 28:int
val\ brand = "Glen\ Moray" : string
val\ distiller = "Glenlivet" : string
val\ region = "the\ Highlands" : string
- val {Region = r, ...} = myMalt;
val \ r = "the \ Highlands" : string
- val {Region, ...} = myMalt;
val Region = "the Highlands" : string
- val distiller = (fn {Distiller,...} => Distiller) myMalt;
val\ distiller = "Glen\ Moray" : string
- fun getRegion ({Region, ...}:malt) = Region;
val getRegion = fn : {Age:'a, Brand:'b, Distiller:'c, Region:'d } -> 'd
- getRegion myMalt;
val\ it = "the\ Highlands" : string
```

# Typing Restriction

The Current ML implementation cannot infer a polymorphic type for function with record operations. So

```
fun name {Name = x, Age =a} = x
is ok but
fun name x = #Name x
stdIn:17.1-17.21 Error: unresolved flex record
(can't tell what fields there are besides #name)
```

Note: This is a defect of the current ML.

In the language we are developing, you can do

```
- fun name x = \#Name x;

val\ name : ['a, 'b. 'a \#Name: 'b -> 'b]
```

# **Tuples**

## Tuples such as

```
- val p = (2,3);
val p = (2,3) : int * int
- fun f (x,y) = x + y;
val f = fn : int * int -> int
- f p;
val it = 5 int
```

# are special case of records

tuple notations	the corresponding record expressions
	$\{1=exp_1, 2=exp_2, \cdots, n=exp_n\}$
$\tau_1 * \tau_2 * \cdots * \tau_n$	$\{1\!:\! au_1$ , $2\!:\! au_2$ , $\cdots$ , $n\!:\! au_n\}$
( $pat_1$ , $pat_2$ , $\cdots$ , $pat_n$ )	$\{1=pat_1, 2=pat_2, \cdots, n=pat_n\}$

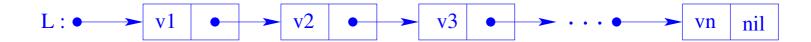
## Indeed you can write:

```
- #2 p;
val it = 3 : int
- val {1=x,2=y} = p;
val x = 2
val y = 3
- f {1=1,2=2};
val it = 3 : int
```



#### List Structure

A list is a finite sequence of values connected by pointers:



From this structure, one can see the following properties:

- 1. A list is represented by a pointer, irrespective of its length.
- 2. The empty list is a special pointer called nil.
- 3. Elements in a list is accessed from the top by traversing the pointers.
- 4. Removing the top element from a list results in a list; adding an element to an list results in a list.

# Mathematical Understanding of Lists

A list can be regarded as a nested pair of the form

$$(v1, (v2, \cdots (vn, nil) \cdots))$$

Let A be the set from which elements  $v_1, v_2, \ldots, v_n$  are taken. Also let Nil to be the set  $\{nil\}$ . Define cartesian product  $A \times B$  of two sets A, B as

$$A \times B = \{(a, b) | a \in A, b \in B\}$$

Then the set of n elements lists can be the following set:

$$\underbrace{A \times (A \times (\cdots (A \times Nil) \cdots))}_{n$$
個の $A$ 

So the set of all finite lists can be given as a solution to the following equation on sets:

$$L = Nil \cup (A \times L)$$

We can obtain the solution in the following steps:

1. Define a sequence of sets  $X_i$  as follows:

$$X_0 = Nil$$

$$X_{i+1} = X_i \cup (A \times X_i)$$

If the above equation has a solution for L, then we can verify that for each i

$$X_i \subseteq L$$

2. Using this sequence of sets. we define

$$X = \bigcup_{i \ge 0} X_i$$

It is easily verify that this set satisfies the equation, and therefore a solution of the equation.

## List Type : $\tau$ list

 $\tau$  list is a type of lists of element of type  $\tau$  where  $\tau$  can be any type. For example:

- int list: integer lists.
- int list list: lists of integer lists.
- (int -> int) list: lists of integer functions.

Using these constructors, list of elements  $v_1, \dots, v_n$  is written as

```
v_1 :: v_2 :: \cdots :: v_n :: nil
```

The following shorthand (syntactic sugar) is also supported.

```
[] \Longrightarrow \mathtt{nil} [exp_1, exp_2, \cdots, exp_n] \Longrightarrow exp_1 \ :: \ exp_2 \ :: \ \cdots \ :: \ exp_n \ :: \ \mathtt{nil}
```

#### Simple list expressions:

```
- nil;
val it = [] : 'a list
- 1 :: 2 :: 3 :: nil;
val it = [1,2,3] : int list
- [[1],[1,2],[1,2,3]];
val it = [[1],[1,2],[1,2,3]] : int list list
- [fn x => x];
val it = [fn] : ('a -> 'a) list
```

#### Simple List Creation

```
An example: create a list of [1,2,3,4,\ldots,n] for a give n.
The first try:
- fun mkList n = if n = 0 then nil
                      else n :: f (n - 1);
val\ mkList = fn: int \rightarrow int\ list
- mkList 3;
val\ it = /3, 2, 1/: int\ list
The second try
- fun mkList n m = if n = 0 then nil
                        else (m - n) :: f (n - 1) m
val\ mkList = fn: int \rightarrow int \rightarrow int liist
- mkList 3 4;
val\ it = [1,2,3]: int\ list
```

#### A better solution:

```
- fun mkList n =
       let
           fun f n L = if n = 0 then L
                         else f (n - 1) (n::L)
       in
          f n nil
       end;
val\ mkList = fn: int \rightarrow intlist
- mkList 3;
val\ it = [1,2,3]: int\ list
```

This is clearer and efficient.

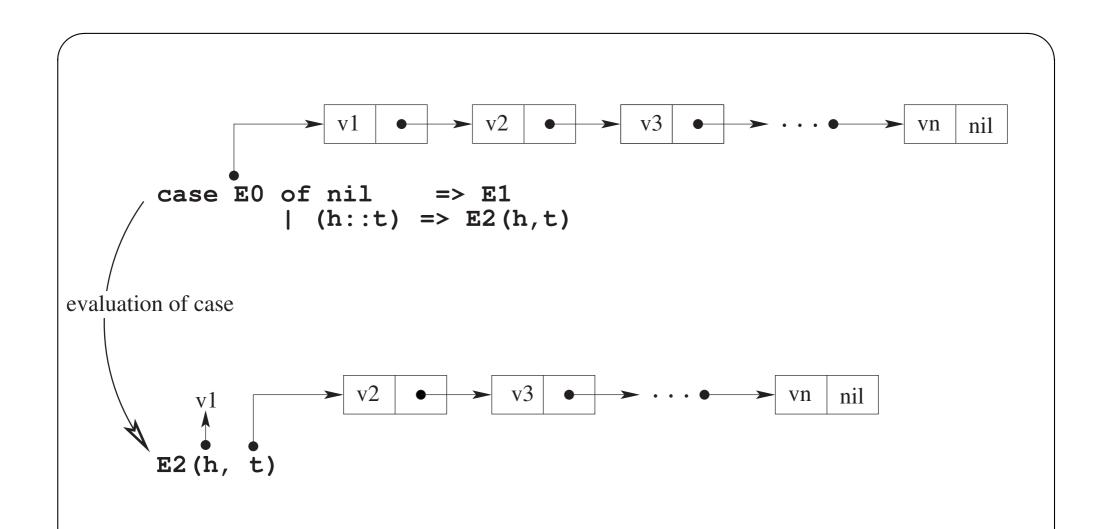
# Decomposing a List with Patters

The basic operation on a list is pattern matching:

```
case E_0 of nil => E1
| (h::t) => E2(h,t)
```

which performs the following actions

- 1. evaluate  $E_0$  and obtain a list L
- 2. if L is nil then evaluate E1.
- 3. if L is of the form h::t, then evaluate E2(h,t) under the binding where h is the head of L and t is the tail of L.



```
- case nil of nil => 0 | (h::t) => h; val \ it = 0 : int - case [1,2] of nil => 0 | (h::t) => h; val \ it = 1 : int
```

A simple program using pattern matching:

```
fun length L = case L of nil => 0  | (h::t) => 1 + length t;
```

The general form of pattern matching

```
case exp of pat_1 \Rightarrow exp_1 \mid pat_2 \Rightarrow exp_2 \mid \cdots \mid pat_n \Rightarrow exp_n where pat_i is a pattern consisting of
```

- constants,
- variables,
- data structure construction (e.g. list). For lists, we can include patterns of the forms:

```
-nil,
-pat_1::pat_2,
-[pat_1,\cdots,pat_n]
```

where "\_ " is the anonymous pattern matching any value.

Patterns can overlap and can be non-exhaustive.

```
fun last L = case L of [x] \Rightarrow x
| (h::t) \Rightarrow last t
```

#### Useful shorthand:

```
fun f pat_1 = exp_1

| f pat_2 = exp_2

| f pat_n = exp_n

fun f x = case x of
pat_1 \Rightarrow exp_1
| pat_2 \Rightarrow exp_2
| pat_n \Rightarrow exp_n
```

fn 
$$pat_1 \Rightarrow exp_1$$
|  $pat_2 \Rightarrow exp_2$ 
|  $pat_n \Rightarrow exp_n$ 

## Some examples:

```
fun length nil = 0
    | length (h::t) = 1 + length t

fun fib 0 = 1
    | fib 1 = 1
    | fib n = fib (n - 1) + fib (n - 1)
```

#### **Built-in Functions for Lists**

```
infixr 5 @
exception Empty
val null : 'a list -> bool
val hd : 'a list -> 'a
val tl : 'a list -> 'a list
val @ : 'a list * 'a list -> 'a list
val rev : 'a list -> 'a list
val length: 'a list -> int
val map : ('a -> 'b) -> 'a list -> 'b list
- map (fn x => (x,x)) [1,2,3,4];
val\ it = [(1,1),(2,2),(3,3),(4,4)]: int * int list
```

## General List Processing Function

Consider the function definition:

This can be regarded as a special case of the following procedure.

- 1. If the give list is nil then return some predefined value Z.
- 2. If the list is of the form h::t then compute a value for t and obtain the result R.
- 3. Compose the final result from h and R by applying some function f. Indeed, if we take Z=0 and f(h,R)=h+R then we get sumList above.

The following higher-function perform this general computation.

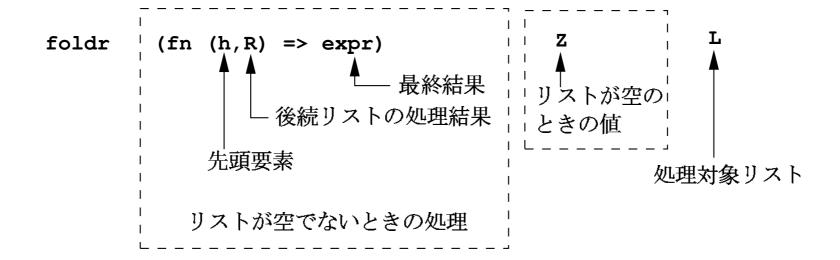
Individual list processing functions can be obtained by specifying f and Z as

```
foldr (fn (h,R) \Rightarrow exp) Z
```

#### where

- Z is the value for nil.
- fn  $(h,R) \Rightarrow exp$  computes the final result form the result R of the tail list and the head.

- val sumList = foldr (fn (h,R) => h + R) 0;  $val\ sum: int\ list$  -> int



# Programming Example

The transitive closure  $R^+$  of a given finite relation R is defined as:

$$R^{+} = \{(x,y) | \exists n \exists z_{1} \cdots \exists z_{n} \ x = z_{1}, y = z_{n}, (z_{1}, z_{2}) \in R, \cdots, (z_{n-1}, z_{n}) \in R \}$$

We develop a program to compute the transitive closer of  ${\cal R}$  in the following steps.

1. Rewrite the definition of  $R^+$  to a constructive one. Considering n of elements in  $R^+$ ,  $R^+$  can be re-written as:

$$R^+ = R^1 \cup R^2 \cup \dots \cup R^N$$

where N can be take as the largest possible one.

2. Give a recursive definition of  $\mathbb{R}^k$ .

$$R^{1} = R$$

$$R^{k} = R \times R^{k-1} \qquad (k \ge 2)$$

where  $\times$  is the following operation

$$R \times S = \{(x,y) | \exists a \ (x,a) \in R, (a,y) \in S\}$$

3. Write a function timesRel and powerRel to compute  $R \times S$  and  $R^n$  respectively.

4. Use accumulate to define  $R^+$ .

 $R^+ = R^1 \cup \cdots \cup R^N \text{ is } \Lambda^N_{k=1}(h,f,z) = h(f(N),\cdots,h(f(1),z)\cdots)$  with h to be the set union and  $f(k) = R^k$ ,  $z = \emptyset$ .

fun tc R =
 accumulate (op @) nil (powerRel R) (length R)

```
- tc [(1,2),(2,3),(3,4)]; val\ it = [(1,4),(1,3),(2,4),(1,2),(2,3),(3,4)]:\ (int\ *\ int)\ list
```

# Exercise Set (4)

- 1. Define the following functions directly by recursion.
  - (1) sumList to compute the sum of a given integer list.
  - (2) member to test whether a given element is in a given list.
  - (3) unique to remove duplicate elements from a given list.
  - (4) filter that takes a function P of type 'a  $\rightarrow$  bool and a list of type 'a list and return the list of elements for which P returns true.
  - (5) **flatten** to convert a list of lists to a list as follows:
    - flatten [[1],[1,2],[1,2,3]];  $val\ it = [1,1,2,1,2,3]:int\ list$
  - (6) splice that takes a list L of strings and a string d and return the string obtained by concatenating the strings in L using d as a delimiter. For example, you should have:

- splice (["","home","ohori","papers","mltext"],"/");

  val it = "/home/ohori/papers/mltext": string
- 2. Define the following functions using foldr.
  - (1) Each of the following map, flatten, member, unique, prefixList, permutations
  - (2) forall which takes a list and a predicate P (a function that return bool) and checks whether the list contains an element that is true of P, and exists which takes a list and a predicate P (a function that return bool) and check whether all the elements of the list is true of P By definition, for any P, exists P nil is false and forall P nil is true.
  - 2.1. **prefixSum** which computes the prefix sum of a given integer lists. Here, the prefix sum of  $[a_1, a_2, \dots, a_n]$  is

$$[a_1, a_1 + a_2, \cdots, a_1 + \cdots + a_{n-1}, a_1 + \cdots + a_n].$$

3. foldr performs the following computation

foldr 
$$f$$
  $Z$   $[a_1,a_2,\cdots,a_n]=f(a_1,f(a_2,f(\cdots,f(a_n,Z)\cdots)))$ 

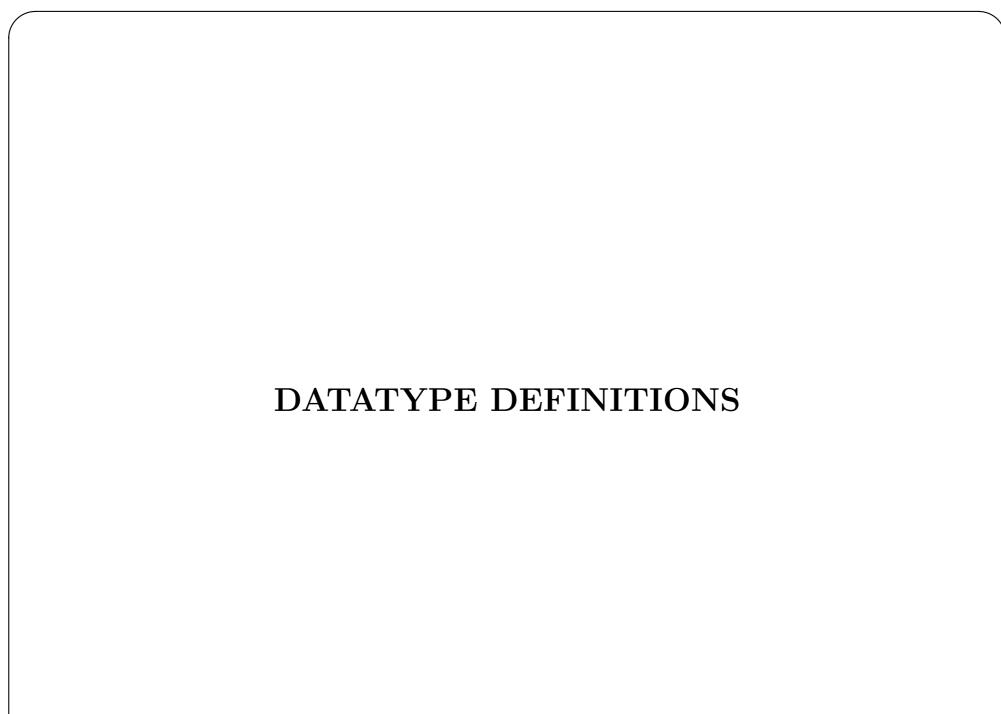
SML also provides fold1 that performs the following computation.

$$\texttt{foldr} \ f \ Z \ [a_1,\cdots,a_{n-1},a_n] = f(a_n,f(a_{n-1},f(\cdots,f(a_1,Z)\cdots)))$$

- (1) Give a definition of foldl.
- (2) Define rev using foldl.
- 4. We say that (a representation of) a relation R is in  $normal\ form$  if R does not contain duplicate entry.
  - (1) Give an example of R in normal form such that tc R is not in normal form.
  - (2) Rewrite tc so that it always return a normal relation for any normal input.

Define the following functions on relations:

- (1) is Related which test whether a given pair (a, b) is related in a given R.
- (2) targetOf which returns the set  $\{x \mid (a, x) \in R\}$  for a given relation R and a given point a.
- (3) sourceOf which returns the set  $\{x \mid (x, a) \in R\}$  for a given R and a.
- (4) inverseRel to compute the inverse  $R^{-1}$  of R.



## Datatype Statement Define a New Type

Example: Binary Trees

The set of binary trees over  $\tau$  is defined inductively as:

- 1. The empty tree is a binary tree.
- 2. If v is a value of  $\tau$  and  $T_1$  and  $T_2$  are binary trees over  $\tau$ , then  $(v, T_1, T_2)$  is a binary tree.

This is defined as:

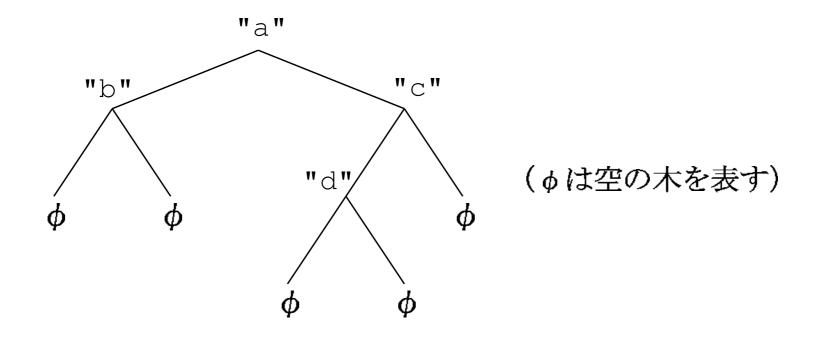
```
- datatype 'a tree =

Empty
| Node of 'a * 'a tree * 'a tree;

datatype 'a tree = Empty | Node of 'a * 'a tree * 'a tree
```

After this definition, Empty and Node are used as data constructors.

```
- Empty;
val it = Empty : 'a tree
- Node;
val it = fn : 'a * 'a tree * 'a tree -> 'a tree
- Node (1,Empty,Empty);
val it = Node (1,Empty,Empty) : int tree
- Node ((fn x => x),Empty,Empty);
val it = Node (fn,Empty,Empty) : ('a -> 'a) tree
```



The pre-order representation a(b())()(c(d()))().

Constructing a binary tree from a string-encoded tree. pre-order encoding of a tree

- Empty is represented as the empty string.
- Node (a, L, R) is represented as  $a(S_L)(S_R)$  where  $S_L$  and  $S_R$  are pre-order representation of R and L.

This representation is obtained by traversing a tree in the following order:

- 1. the root,
- 2. the left subtree,
- 3. the right subtree.

We can write down a tree construction function from a pre-order encoding as:

```
fun fromPreOrder s =
                         let fun decompose s = ...
                                                                      (* decompose a string regarding '(' and ')' as delimiters.*)
                         in if s = "" then Empty
                                        else let val (root,left,right) = decompose s
                                                                   in Node(root, from PreOrder left, from PreOrder right)
                         end
                decompose performs the following action.
         - decompose "a(b()())(c(d()())())";
        val \ it = ("a","b()()","c(d()())()") : string * string
```

```
fun decompose s =
    let fun searchLP s p = ...
           (* return the first left paren from the position p_{\bullet} *)
       fun searchRP s p n = ...
           (* return the position of the nth right paren from p *)
       val lp1 = searchLP s 0
       val rp1 = searchRP s (lp1+1) 0
       val lp2 = searchLP s (rp1+1)
       val rp2 = searchRP s (lp2+1) 0
    in (substring (s,0,lp1),
      substring (s, lp1+1, rp1-lp1-1),
      substring (s,lp2+1,rp2-lp2-1)
    end
```

## The general structure of datatype

```
datatype typeSpec =
Con_1 \langle of type_1 \rangle
| Con_2 \langle of type_2 \rangle
\vdots
| Con_n \langle of type_n \rangle
```

- typeSpec specify the type to be defined
- the right hand side of = specify the type of each component

# Using Data Structures with Patter Matching

In case expression of the form

```
case exp of pat_1 \Rightarrow exp_1 \mid pat_2 \Rightarrow exp_2 \mid \cdots \mid pat_n \Rightarrow exp_n pat_i can contain:
```

- 1. variables,
- 2. constants,
- 3. any data constructors defined in datatype declarations,
- 4. anonymous pattern \_

```
- case Node ("Joe", Empty, Empty) of Empty => "empty" | Node (x,_-,_-) => x; val\ it = "Joe": string
```

## Computing the height of a tree:

- 1. the height of the empty tree (Empty) is 0.
- 2. the height of a tree of the form Node (a, L, R) is  $1 + \max$  (the height of R, the height of L)

So we can code this as:

```
- fun height t = case t of Empty => 0 
 | Node (_-,t1,t2) => 1 + max(height t1, height t2 val\ height=fn: 'a\ tree -> int
```

## Some example using pattern matching

```
fun height Empty = 0
  | height (Node(_,t1,t2)) = 1 + max(height t1, height t2)

fun toPreOrder Empty = ""
  | toPreOrder (Node(s,lt,rt)) =
      s ^ "(" ^ toPreOrder lt ^ ")"
      ^ "(" ^ toPreOrder rt ^ ")"
```

## System Defined Data Types

```
Lists
```

```
infix 5 ::
datatype 'a list = nil | :: of 'a * 'a list
Blooleans
datatype bool = true | false
Special forms for bool are defined as:
```

```
exp_1 and also exp_2 \implies case exp_1 of true \Rightarrow exp_2 | false \Rightarrow false exp_1 or else exp_2 \implies case exp_1 of false \Rightarrow exp_2 | true \Rightarrow true if exp then exp_1 else exp_2 \implies case exp of true \Rightarrow exp_1 | false \Rightarrow exp_2
```

## Other system defined types:

```
datatype order = EQUAL | GREATER | LESS
datatype 'a option = NONE | SOME of 'a
exception Option
val valOf : 'a option -> 'a
val getOpt : 'a option * 'a -> 'a
val isSome : 'a option -> bool
```

## Programming Examples: Dictionary

```
type 'a dict = (string * 'a) tree
val enter : string * 'a * 'a dict -> 'a dict
val lookUp : string * 'a dict -> 'a option
```

- enter returns a new dictionary by extending with a given entry.
- lookUp returns the value of a given key (if exists).

To implement a dictionary efficiently, we use a binary tree as a binary search tree where the following property hold: for every node of the form Node(key, L, R)

- 1. any key in L is smaller than key, and
- 2. any key in R is larger than key.

```
fun enter (key, v, dict) =
    case dict of
         Empty => Node((key,v),Empty,Empty)
       | Node((key', v'), L, R) =>
       if key = key' then dict
       else if key > key' then
            Node((key',v'),L, enter (key,v,R))
       else Node((key',v'),enter (key,v,L),R)
fun lookUp (key,Empty) = NONE
   lookUp (key,Node((key',v),L,R)) =
      if key = key' then SOME v
      else if key > key' then lookUp (key,R)
      else lookUp (key,L)
```

#### Infinite Data Structure

Obviously, we can only deal with finite structure. So

```
fun fromN n = n :: (fromN (n+1));
is useless.
```

The key technique: delay the evaluation until requested.

```
the mechanism to delay evaluation : fn () => exp
```

Simple example of delaying evaluation:

```
fun cond c a b = if c then a () else b(); val\ cond = fn: bool \rightarrow (unit \rightarrow unit) \rightarrow (unit \rightarrow unit) \rightarrow unit) \rightarrow unit cond true (fn () => print "true") (fn () => print "false");
```

```
a datatype for infinite lists:
datatype 'a inflist =
           NIL | CONS of 'a * (unit -> 'a inflist)
Examples:
fun FROMN n = CONS(n, fn () => FROMN (n+1));
- FROMN 1;
val\ it = CONS\ (1,fn): int\ inflist
- val CONS(x,y) = it;
val x = 1 : int
val\ y = fn : unit \rightarrow int\ inflist
- y ();
val\ it = CONS\ (2,fn): int\ inflist
```

```
Functions for inflist
fun HD (CONS(a,b)) = a
fun TL(CONS(a,b)) = b()
fun NULL NIL = true | NULL _ = false
Examples:
- val naturalNumbers = FROMN 0;
val\ naturalNumbers = CONS\ (0,fn): int\ inflist
- HD naturalNumbers;
val\ it = 0:int
- TL naturalNumbers;
val\ it = (1,fn): int\ inflist
- HD (TL(TL(TL it)));
val\ it = 4:int
```

How to design a program on inflist:

- 1. Design a program for ordinary lists using hd, tl and null.
- 2. Replace list processing primitives as:

```
\begin{array}{c} \text{hd} \implies \text{HD} \\ \text{tl} \implies \text{TL} \\ \text{null} \implies \text{NULL} \\ \text{h::t} \implies \text{CONS(h,fn () => t)} \end{array}
```

## Example

A more complicated example:

For finite lists:

#### For infinite lists:

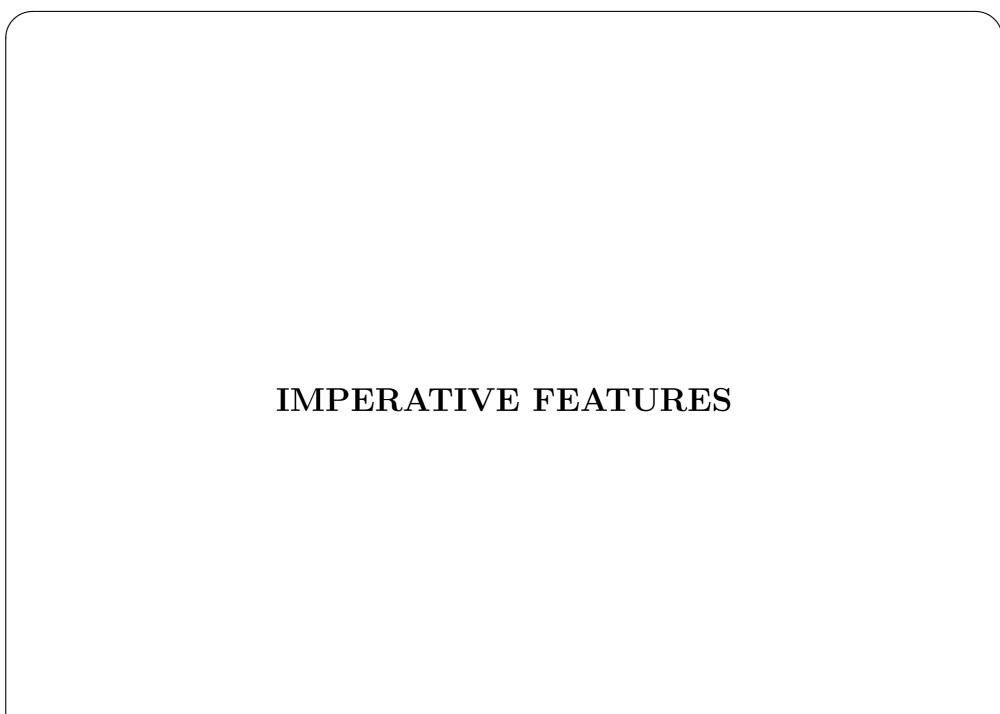
### Sieve of Eratosthenes:

For the infinite list of integers starting from 2, repeat the following:

- 1. Remove the first number and output it.
- 2. Remove all the elements that are divisible by the first number.

### An example code:

```
- val PRIMES = SIFT (FROMN 2); val\ PRIMES = CONS(2,fn): int\ inflist
- TAKE 20 PRIMES; val\ it = [2,3,5,7,11,13,17,19,23,29,31,37,41,43,47,53,59,61,67,71]
:\ int\ list;
- VIEW (10000,10) PRIMES; val\ it = [104743,104759,104761,104773,104779,104789,\\ 104801,104803,104827,104831]:\ int\ list
```



### References

'a ref type and ref data constructor infix 3 :=val ref : 'a -> 'a ref val ! : 'a ref -> 'a val := : 'a ref \* 'a -> unit Simple examples: - val x = ref 1; val x = ref 1 : int ref- !x; val it = 1 : int-x:=2; $val\ it = (): unit$ 

- !x;

 $val\ it = 2:int$ 

References are imperative data structure, for which the order of evaluation is significant.

The order of evaluation of ML

- In let val  $x_1 = exp_1 \cdots val$   $x_n = exp_n$  in exp end, ML evaluates  $exp_1, \ldots, exp_n$  in this order and then evaluate exp.
- Tuples  $(exp_1, \dots, exp_n)$  and records  $\{l_1 = exp_1, \dots, l_n = exp_n\}$  are evaluated left to right.
- For function applications  $epx_1 \ exp_2$ , ML first evaluates  $exp_1$  to obtain a function fn  $x \Rightarrow exp_0$ , and then evaluates  $exp_2$  to obtain the result v, and finally it evaluates  $exp_0$  with x bound to v.

Constructs for controlling the order of evaluation:

- $(exp_1; \cdots; exp_n)$
- $\bullet$   $exp_1$  before  $exp_2$
- ullet while  $exp_1$  do  $exp_2$

### Programming Example

gensym: a program to create a new name.

- It has type gensym : unit -> string.
- It returns a new name every time when it is called.
- It generates alphabet strings in the following order: "a", "b", ..., "z", "aa", "ab", ..., "az", "ba", ...

We use the following data:

- a reference data state to represent the last name generated.
- a function next to generate a representation of the next string from state.
- a function toString to convert state to the string it represents.

Then we can code the function as:

```
Internal representation of a string:
```

represent a string  $s_n s_{n-1} \cdots s_1$  of length k by the reverse list  $[ord(s_1), ord(s_2), \cdots, ord(s_n)]$  of character codes.

next "increments" this list as:

### References and Referential Transparency

The basic principle of mathematical reasoning on programs:

the meaning of a program is determined by the meaning of its components

In other words,

the meaning of a program does not change when we replace some part of it with an equivalent one.

This property is called referential transparency, which is a special form of the basis of mathematical reasoning:

two equal expressions can substitute each other without affecting the meaning of a statement.

### For example:

```
(fn x => x = x) exp
and
exp = exp
```

have the same meaning.

However, with references, ML does not have this property,

```
- (fn x => x = x) (ref 1);
val it = true : bool
- ref 1 = ref 1;
val it = false : bool
```

### References and Value Polymorphism

```
ref has type 'a \rightarrow 'a ref, but since ref exp is not a value
expression, you cannot make a reference to a polymorphic function.
- ref (fn x \Rightarrow x);
stdIn:19.1-19.16 Warning: type vars not generalized because of
   value restriction are instantiated to dummy types (X1, X2,...)
val\ it = ref\ fn: (?.X1 \rightarrow ?.X1)\ ref
If we allow
   ref (fn x \Rightarrow x) : ('a \rightarrow 'a) ref
then we get a problem such as:
val polyIdRef = ref (fn x => x);
polyIdRef := (fn x => x + 1);
(!polyIdRef) "You can't add one to me!";
Value polymorphism is introduced to prevent this kind of inconsistency.
```

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# **Exception Handling**

```
Exception: disciplined "goto". exception defines new exception. exception exnId exception exnId of \tau raise generates exception. raise exnId raise exnId exp
```

### Example:

```
- exception A; 

exception A
- fun f x = raise A; 

val f = fn : 'a \rightarrow 'b
- fn x => (f 1 + 1, f "a" and also true); 

val it = fn : 'a \rightarrow int *bool
```

# System defined exceptions

exception name	meaning
Bind	bind failure
Chr	illegal character code
Div	divide by 0
Empty	illegal usage of hd and th
Match	pattern matching failure
Option	empty option data
Overflow	
Size	array etc too big
Subscript	index out of range

```
exp handle exnPat_1 \Rightarrow handler_1
| exnPat_2 \Rightarrow handler_2
| exnPat_n \Rightarrow handler_n
```

### Exception handling:

- 1. An exception condition is detected.
- 2. The system searches exception handlers in the reverse order of pending evaluation.
- 3. If it finds a handler, it tries matching the exception with exception patterns
- 4. If the system finds the matching pattern then it evaluate the corresponding hander and restart the normal evaluation.
- 5. If no matching pattern is found then the system aborts the evaluation and returns the top level.

```
- exception Undefined;
exception Undefined
- fun strictPower n m = if n = 0 andalso m = 0 then
                               raise Undefined
                           else power n m;
val\ strictPower = fn: int \rightarrow int \rightarrow int
- 3 + strict_power 0 0;
uncaught exception Undefined
- 3 + (strictPower 0 0 handle Undefined => 1);
val\ it = 4:int
```

### Programming Example (1)

```
type 'a dict = (string * 'a ) tree
val enter : (string * 'a) * 'a dict -> 'a dict
val lookUp : string * 'a dict -> 'a option
exception NotFound
fun lookUp (key,Empty) = raise NotFound
  | lookUp (key,Node((key',v),L,R)) =
      if key = key' then v
      else if key > key' then lookUp (key,R)
      else lookUp (key,L)
val lookUp : string * 'a dict -> 'a
fun assoc (nil,dict) = nil
  \mid assoc ((h::t),dict) =
      (h, lookUp (h,dict)):: assoc (t,ditc)
      handle NotFound => (print "Undefined key."; nil)
```

### Programming Example (2)

```
fun lookAll key dictList =
  let exception Found of 'a
    fun lookUp key Empty = ()
        | lookUp key (Node((key',v),L,R)) =
            if key = key' then raise Found v
            else if key > key' then lookUp key R
                 else lookUp key L
    in (map (lookUp key) dictList; raise NotFound)
            handle Found v => v
    end
```

## Exercise Set (5)

1. Complete the function decompose:

```
- decompose "a(b()())(c(d()())())"; val \ it = ("a","b()()","c(d()())()") : string * string
```

- 2. In addition to pre-order encoding, there are also the following encodings for trees:
  - post-order encoding
     This is the string encoding obtained by traversing a tree in the following order:
    - (1) the left subtree,
    - (2) the right subtree,
    - (3) the root.
  - in-order encoding

This is the string encoding obtained by traversing a tree in the following order:

- (1) the left subtree,
- (2) the root,
- (3) the right subtree.

Write the following functions.

- fromPostOrder that constructs a tree from a given post-order representation.
- fromInOrder that constructs a tree from a given post-order representation.
- toPostOrder that return a post-order encoding of a given a tree.
- toInOrder that return a post-order encoding of a given a tree.

- 3. Define the following functions on binary trees.
  - nodes that returns the total number of nodes in a given tree.
  - sumTree that computes the sum of all the values in a given integer tree.
  - mapTree : ('a -> 'b) -> 'a tree -> 'b tree that returns the tree obtained by applying a given function to each node value of a given tree.
- 4. Analogous to foldr for lists, let us define a higher-order function treeFold for recursive processing of trees.

It takes the following arguments:

- (1) a tree t to be processed,
- (2) a value z that should be returned if the given tree is the empty tree,

(3) a function f that computes the final result for a tree of the form Node (x, L, R).

and should have the following structure and type:

- (1) Complete the definition of treeFold.
- (2) Re-define nodes, sumTree, and mapTree using treeFold.
- 5. Define the following function of type 'a list -> 'a option :
  - (1) car that returns the head of a given list.
  - (2) cdr that returns the tail of a given list.
  - (3) last that returns the last element of a given list.

6. Write a function

makeDict : (string \* 'a) list -> 'a dict that return a
dictionary consisting of the data in a given list. Test the function
lookUp using makeDict.

7. Define the following functions

where makeEnter takes a function to compare two keys and returns a function that enters a key-value pair to a dictionary, and makeLookup takes a function to compare two keys and returns a function that

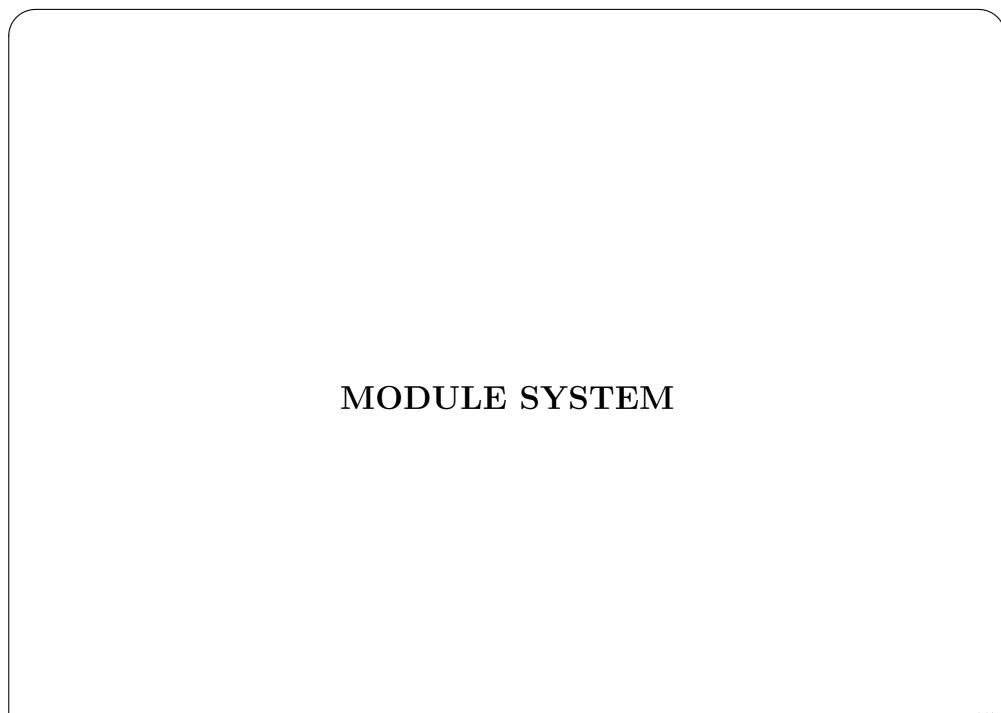
- looks up a dictionary.
- 8. Define enter and lookUp functions for a dictionary of type (int,string) dict where keys are integers and values are strings. Test your functions.
- 9. Define evenNumbers of infinite list of even numbers from naturalNumbers using FILTER, and do some simple tests. For example, you should have the following:
  - NTH 10000000 evenNumbers;  $val\ it = 200000000:int$
- 10.Define the following functions on infinite lists:
  - DROP : int -> 'a inflist -> 'a inflist that returns the list obtained from a given infinite list by removing the first n elements.

- TAKE : int -> 'a inflist -> 'a list that returns the list of the first n elements in a given infinite list.
- VIEW : int \* int -> 'a inflist -> 'a list that returns the list of m elements starting from the nth the element in a given infinite list.
- 11.Complete the definition of genSym.
- 12.Define a function

```
makeGensym : char list -> unit -> string
```

that takes a list of characters and returns a function that generates a string in the order similar to gensym using the given characters. For example, makeGensym [#"S",#"M",#"L"] will return a function that generates "S", "M", "L", "LS", "MS", "MM", "ML", "LS", ....

- 13. Change the definition of enter so that it raises an exception DuplicateEntry if the value being entered is already in a given tree.
- 14.Write a function that computes the product of all the elements in a given integer list. Your function should terminates the process as soon as it detects 0.



### Define a module using structure

```
structure id = struct various definitions end
```

where definitions can contain:

- val declarations
- fun declarations
- exception declarations
- datatype declarations
- type declarations
- structure declarations

```
structure IntQueue = struct
  exception EmptyQueue
  type queue = int list ref
  fun newQueue() = ref nil : queue
  fun enqueue (item,queue) =
      queue := item :: (!queue)
  fun removeLast nil = raise EmptyQueue
    \mid removeLast [x] = (nil,x)
    | removeLast (h::t) =
      let val (t',last) = removeLast t
      in (h::t',last)
      end
  fun dequeue queue =
      let val (rest,last) = removeLast (!queue)
      in (queue:=rest; last)
      end
end
```

### A module has a signature

The following signature (type information) is inferred for IntQueue:

```
structure IntQueue :
   sig
   type queue = int list ref
   exception EmptyQueue
   val dequeue : 'a list ref -> 'a
   val enqueue : 'a * 'a list ref -> unit
   val newQueue : unit -> queue
   val removeLast : 'a list -> 'a list * 'a
   end
```

## Using a Module

Two ways to use a module:

```
1. Explicitly specifying structure name.
  id_2 in a module named id_1 is called as "id_1 . id_2". If structure
  definitions are nested, then specify the nested sequence as
  id_1.id_2\cdots id_{n-1}.id_n.id.
    - val q = IntQueue.newQueue();
    val \ q = ref[] : queue[
    - map (fn x => IntQueue.enque(x,q)) [1,3,5];
    val \ it = [(),(),()] : unit \ list
    - IntQueue.dequeue q;
    val\ it = 1:int
```

2. Using the open primitive The effect of open id is to import all the component defined in the structure id to the current environment.

```
- open IntQueue;
opening IntQueue
    type queue = int list ref
    exception EmptyQueue
    val newQueue : unit -> queue
    val enqueue : 'a * 'a list ref -> unit
    val dequeue : 'a list ref -> 'a
    val removeLast : 'a list -> 'a list * 'a
- dequeue();
val it = 3 : int
```

Example of module programming: functional queue that replace IntQueue.

A functional queue = (newItems list, oldItems list)

- ullet newItems: the elements recently added to the queue
- $\bullet$  oldItems: the old elements that are removed soon.
- ullet and the system maintain the invariant  ${\it entire queue} = new Items @ {\it the reversal of } old Items$  so that if

$$newItems = [a_1, \dots, a_n]$$
  
 $oldItems = [b_1, \dots, b_m]$ 

then

$$L = [a_1, \cdots, a_n, b_m, \cdots, b_1]$$

- ullet enqueue adds a an element to the front of newItems list.
- dequeue perform the following action depending on the status of the queue.
  - if  $oldItems \neq nil$  then removes its head and returns it.
  - if oldItems = nil and  $newItems \neq nil$  then rearrange the queue so that it become

(nil, the reverse of newItems without the top element) and return the top of the reversal of newItems.

if both lists are nil then raise EmptyQueue exception

#### FastIntQueue structure.

```
structure FastIntQueue = struct
  exception EmptyQueue
  type queue = int list ref * int list ref
  fun newQueue () = (ref [],ref []) : queue
  fun enqueue (i,(a,b)) = a := i :: (!a)
  fun dequeue (ref [],ref []) = raise EmptyQueue
    | dequeue (a as ref L, b as ref []) =
        let val (h::t) = rev L
        in (a:=nil; b:=t; h)
        end
    \mid dequeue (a,b as ref (h::t)) = (b := t; h)
end
```

We can achieve better performance by simply changing IntQueue to FastIntQueue,

In order to make this process easy, structure your code as:

```
local
   structure Q = IntQueue
in
   ... (* code using Q *)
end
```

If you want to change IntQueue to FastIntQueue, you simply change the declaration

```
structure Q = IntQueue
structure Q = FastIntQueue.
```

to

# Specifying Module Signature

As we have learn, Standard ML system infers a signature for a structure. However, in many cases, inferred signature contain unnecessary details.

- 1. Unnecessary functions and values
  For example, in IntQueue, removeLast is unnecessary to export.
- 2. Implementation details
  For example, type definition type queue = int list ref in IntQueue should not be disclosed.

These problems can be solved by specifying a signature for a structure as  $signature \ sigId = sig \ various \ specs \ end$ 

In various specs, you can write

- type definition
- datatype declaration
- variables and their types
- exception declarations
- structures and their signatures

Example: signature for queue module.

```
signature QUEUE = sig
  exception EmptyQueue
  type queue
  val newQueue : unit -> queue
  val enqueue : int*queue -> unit
  val dequeue : queue -> int
end
```

## Two Forms of Signature Specification

(1) Transparent Signature Specification

```
structure structId : sigSpec = module
Example:
structure IntQueue : QUEUE =
    struct
    ···the same as before···
    end;
structure IntQueue : QUEUE
```

By this signature specification, any bindings that are not in signature are hidden. But it exports the type information.

```
- val q = IntQueue.newQueue();
val\ a = ref[]: queue
- IntQueue.enqueue (1,q);
val\ it = (): unit
- IntQueue.removeLast q;
stdIn:18.1-18.21 Error: unbound variable or constructor:
removeLast\ in\ path\ FastIntQueue.removeLast
- IntQueue.dequeue q;
val\ it = 1:int
- IntQueue.enqueue (2,q);
val\ it = (): unit
-a := [1];
val\ it = (): unit
- IntQueue.dequeue q;
val\ it = 1:int
```

# (2) Opaque Signature Specification

The following specification also hide type structure.

```
structure \ structId :> sigSpec = module
```

The types specified as type t in the signature can only be used through the functions declared in the signature.

```
- structure AbsIntQueue :> QUEUE = IntQueue;
structure AbsIntQueue : QUEUE
- val q = AbsIntQueue.newQueue();
val q = - : queue
- AbsIntQueue.enque (1,q);
val it = () : unit
- AbsIntQueue.dequeue q;
val it = 1 : int
```

# But you cannot do the following:

```
- q := [1];
stdIn:26.1-26.9 Error: operator and operand
don't agree [tycon mismatch]
operator domain: 'Z ref * 'Z
operand: IntQueue.queue * int list
in expression: q := 1 :: nil
```

### Selective Disclosure of Types

Sometimes it is necessary to disclose only some of the type structures. Example: queues whose element types can change.

Attempt 1:

```
signature POLY_QUEUE = sig
  exception EmptyQueue
  type elem
  type queue
  val newQueue : unit -> queue
  val enqueue : elem*queue -> unit
  val dequeue : queue -> elem
end
```

```
You can then defined a queue for integers as:
structure IntQueue :> POLY_QUEUE = struct
  type elem = int
  type queue = elem list ref
end
and also a queue for strings as:
structure StringQueue :> POLY_QUEUE = struct
  type elem = string
  type queue = elem list ref
end
Unfortunately these definitions are useless.
```

The type elem is opaque, the following functions can not be used.

```
val enqueue : elem * queue -> unit
val dequeue : queue -> elem
```

To solve this problem, you can disclose some of the types by using where type declaration as:

```
exception EmptyQueue
type elem = char
type queue
val newQueue : unit -> queue
val enqueue : elem * queue -> unit
val dequeue : queue -> elem
```

Type elem is now an alias of char.

# Module Programming Example

Breadth-first search algorithm using a queue: It can be programed using a queue:

- 1. Enqueue the root in the queue
- 2. Do the following until the queue become empty:
  - 2.1. dequeue an element from the queue
  - 2.2. if it is not the empty tree then process the node and enqueue the two subtrees.

# 

Define a structure BF that performs breadth-first search:

```
structure BF = struct
   structure Q = STQueue
   fun bf t =
       let val queue = Q.newQueue()
           fun loop () =
               (case Q.dequeue queue of
                     Node(data,1,r) =>
                        (Q.enqueue (1,queue);
                         Q.enqueue (r,queue);
                         data::loop())
               | Empty => loop())
               handle Q.EmptyQueue => nil
       in (Q.enqueue (t,queue); loop())
       end
   end
```

## Modular Programming Using Functor

A functor is a function to generate a structure

```
functor functorId (various spec) = structure definition
```

various specs can be any element that can be specified in signature including:

- val id: type
- type id
- eqtype *id*
- datatype
- ullet structure structId : sigSpec
- $\bullet$  exception exnId of type

```
Example: parametric queue
functor QueueFUN(type elem) :> POLY_QUEUE
                                where type elem = elem
   = struct
        type elem = elem
        type queue = elem list ref * elem list ref
           ... (* same as FastIntQueue *)
   end
```

Functions can be applied to their arguments

functorId(various declarations)

## Example:

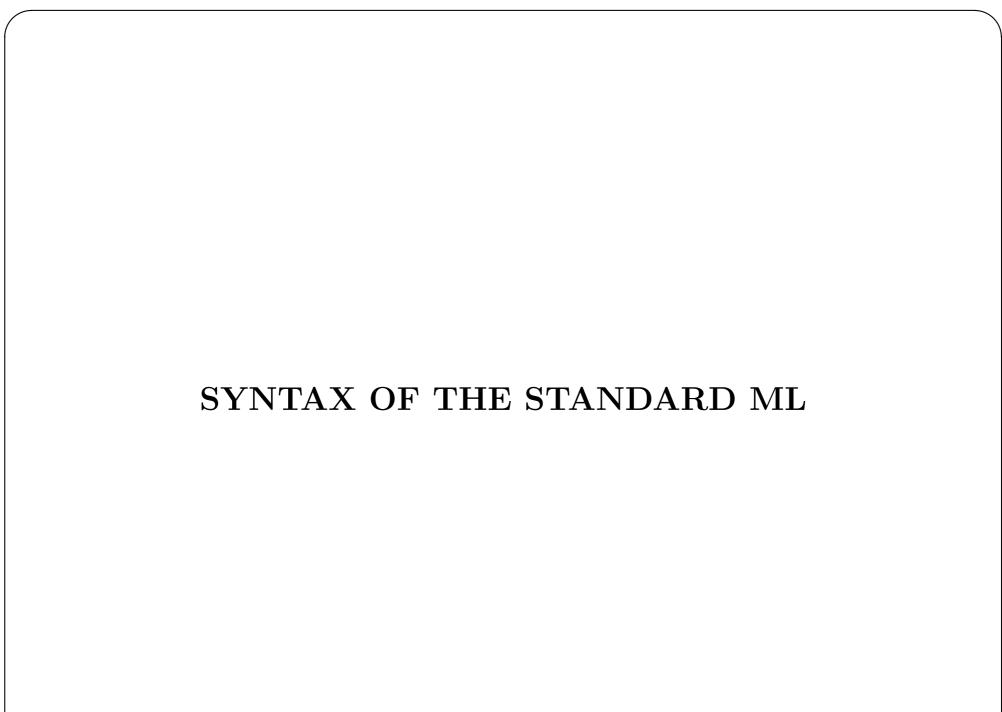
structure ITQuene = QueueFUN(type elem = int tree)

Common usage of functions : a function to create a structure using other structures:

```
signature BUFFER = sig
   exception EndOfBuffer
   type channel
   val openBuffer : unit -> channel
   val input : channel -> char
   val output : channel * char -> unit
end
```

```
functor BufferFUN(structure CQueue : POLY_QUEUE
                            where type elem = char)
        :> BUFFER =
struct
   exception EndOfBuffer
   type channel = CQueue.queue
   fun openBuffer () = CQueue.newQueue()
   fun input ch = CQueue.dequeue ch
   handle CQueue.EmptyQueue => raise EndOfBuffer
   fun output(ch,c) = CQueue.enqueue (c,ch)
end
```

The generated structures can be used just as ordinary structures:



#### **Notations**

#### Constant and Identifiers

```
scon := int \mid word \mid real \mid string \mid char \text{ (constant)}
int := \langle \tilde{\ } \rangle [0-9] +
                                                           (decimals)
          \langle \tilde{a} \rangle 0x[0-9,a-f,A-F] +
                                                          hexa decimal notation
word := 0w[0-9]+
                                                           (unsigned decimal)
          | \text{Owx}[0-9,a-f,A-F]+
                                                           (unsigned hexadecimal)
real := integers \cdot [0-9] + [E,e] \langle \tilde{\ } \rangle [0-9] +
                                                      (reals)
             integers . [0-9]+
             integers [E,e]\langle " \rangle[0-9]+
char := #"[printable, escape]"
                                                           (characters)
string := "[printable, escape] * "
                                                           (strings)
```

printable is the set of printable characters except for  $\setminus$  and ".

```
warning (ASCII 7)
escape := \a
                    backspace(ASCII 8)
                    tab(ASCII 9)
                    new line(ASCII 10)
                    vertical tab(ASCII 11)
                    home feed(ASCII 12)
                    return(ASCII 13)
          r
          //
                   \ itself
                    " itself
           \ddd character having the code ddd in decimal
          f \cdot \cdot \cdot f ignore f \cdot \cdot \cdot f where f is some format character
                  unicode
           \uxxx
```

# Classes of Identifiers Used in the Syntax Definition

class	contents	note
$\overline{vid}$	variables	long
tyvar	type variables startintg with '	
tycon	type constructors	long
lab	record labels	
strid	structure names	long
sigid	signature names	alphanumeric
funid	functor names	alphanumeric

# Long identifiers

$$longX ::= X \mid strid_1 \cdot \cdot \cdot \cdot strid_n \cdot X$$

# Syntax of the Core ML

Some auxiliary definitions:

```
xSeq ::= x one lement empty sequence (x_1, \dots, x_n) finite sequence (n \ge 2)
```

#### Syntax for Expressions

```
exp ::= infix
           exp: ty
            exp andalso exp
           exp orelse exp
           exp handle match
           raise exp
            if exp then exp else exp
           while exp do exp
           case exp of match
           fn match
match ::= mrule \langle | match \rangle
mrule ::= pat \Rightarrow exp
```

# Function Applications and Infix Expressions

```
appexp ::= atexp
| appexp atexp (left associative)
infix ::= appexp
| infix vid infix
```

### **Atomic Expressions**

```
atexp ::= scon
| \langle op \rangle \ longvid
| \{\langle exprow \rangle \} \}
| ()
| (exp_1, \cdots, exp_n)
| [exp_1, \cdots, exp_n]
| (exp_1; \cdots; exp_n)
| let \ dec \ in \ exp_1; \cdots; exp_n \ end
| (exp)
exprow ::= lab = exp \langle, exprow \rangle
```

#### **Patterns**

```
atpat ::= scon
               | \langle \mathsf{op} \rangle \ longvid
                 \{\langle patrow \rangle \}
                   (pat_1,\cdots,pat_n)
                   [pat_1, \cdots, pat_n]
                    (pat)
patrow ::= \dots
                 lab = pat \langle , patrow \rangle
                  \mathsf{vid}\langle :ty \rangle \ \langle \mathsf{as} \ pat \rangle \ \langle , patrow \rangle
pat ::= atpat
                 \langle op \rangle longvid atpat
                   pat vid pat
                 pat: ty
                  \langle op \rangle pat \langle : ty \rangle as pat
```

## **Types**

```
ty ::= tyvar
| \{\langle tyrow \rangle\} \}
| tySeq \ longtycon
| ty_1 * \cdots * ty_n
| ty \rightarrow ty
| (ty)
tyraw ::= lab : \langle , tyraw \rangle
```

#### Declarations (1)

```
::= val tyvarSeq valbind
dec
               fun tyvarSeq funbind
               type tybind
               datatype datbind (withtyp tybind)
               datatype tycon = longtycon
               exception exbind
               local dec in dec end
               opne longstrid_1 \cdots longstrid_n
               dec; dec
               \inf ix \langle d \rangle \ vid_1 \cdots \ vid_n
               \inf \operatorname{infixr} \langle d \rangle \ vid_1 \cdots vid_n
               nonfix vid_1 \cdots vid_n
valbind ::= pat = exp \langle and \ valbind \rangle
               rec valbind
```

## Declarations (2)

```
funbind ::= \langle \text{op} \rangle vid atpat_{11} \cdots atpat_{1n} \langle : ty \rangle = exp_1 (m, n \ge 1)

|\langle \text{op} \rangle vid atpat_{21} \cdots atpat_{2n} \langle : ty \rangle = exp_2

|\cdot \cdots \rangle

|\langle \text{op} \rangle vid atpat_{m1} \cdots atpat_{mn} \langle : ty \rangle = exp_m

tybind ::= tyvarSeq tycon = ty \langle \text{and } tybind \rangle

datbind ::= tyvarSeq tycon = conbind \langle \text{and } datbind \rangle

conbind ::= \langle \text{op} \rangle vid \langle \text{of } ty \rangle \langle \text{l } conbind \rangle

exbind ::= \langle \text{op} \rangle vid \langle \text{of } ty \rangle \langle \text{and } exbind \rangle

|\langle \text{op} \rangle vid = \langle \text{op} \rangle lognvid \langle \text{and } exbind \rangle
```

# Declarations (3)

```
todec ::= strdec \langle topdec \rangle
\mid sigdec \langle topdec \rangle
\mid fundec \langle topdec \rangle
```

#### **Structures**

```
strdec ::= dec
              structure strbind
              local strdec in strdec end
              strdec \langle ; \rangle strdec
strbind ::= strid = strexp \langle and strbind \rangle
              strid: sigexp = strexp \langle and strbind \rangle
              strid :> sigexp = strexp \langle and strbind \rangle
strexp ::= struct strdec end
              longstrid
              strexp: sigexp
              strexp:>sigexp
              funid (strid : sigexp)
              funid (strdec)
```

# Signature

```
egin{array}{lll} sigdec & ::= & signature \ sigbind \\ sigexp & ::= & sig \ spec \ end \\ & & | \ sigid \\ & & | \ sigid \ where \ type \\ & & tyvarSeq \ longtycon= \ ty \\ sigbind & ::= & sigid = \ sigexp \ \langle and \ sigbind \rangle \end{array}
```

### Specifications (1)

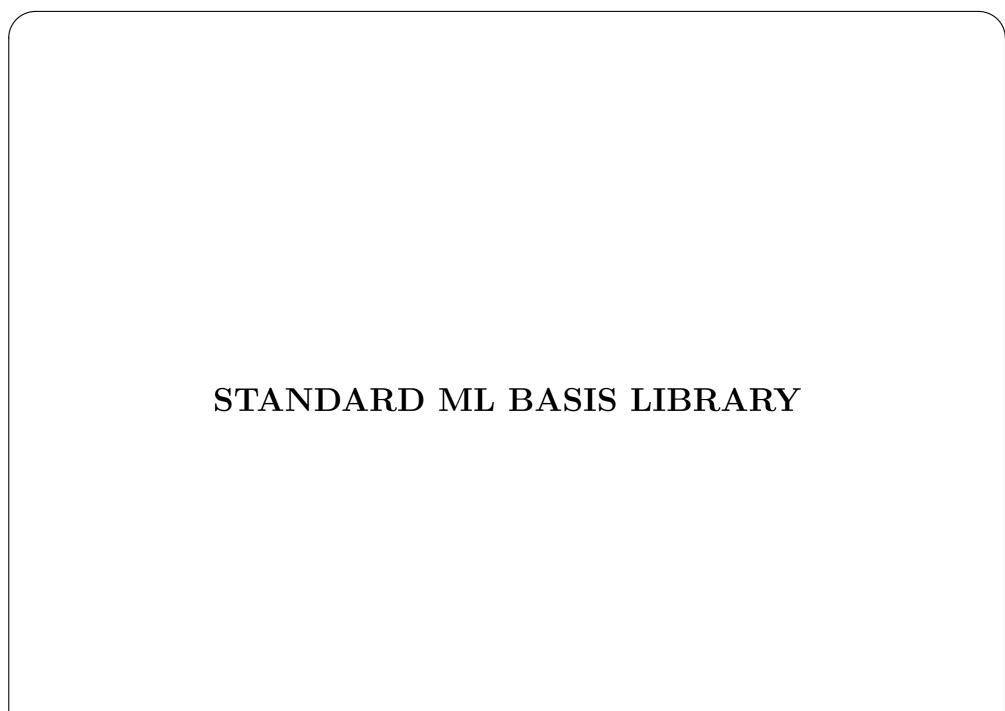
```
spec ::= val \ valdesc
         type typdesc
         eqtype typdesc
         datatype datdesc
         datatype tycon = datatype longtycon
         exception exdesc
         structure strdesc
         include sigexp
         spec \langle ; \rangle spec
         spec sharing type
         longtycon_1 = \cdots = longtycon_n
```

### Specifications (2)

```
valdesc ::= vid : ty \langle and \ valdesc \rangle
typdesc ::= tyvarSeq \ tycon \langle and \ typdesc \rangle
datdesc ::= tyvarSeq \ tycon = condesc \langle and \ datdesc \rangle
condesc ::= vid \langle of \ ty \rangle \langle | \ condesc \rangle
exdesc ::= vid \langle of \ ty \rangle \langle and \ exdesc \rangle
strdesc ::= strid : sigexp \langle and \ strdesc \rangle
```

#### **Functors**

```
\begin{array}{l} \mathit{fundec} & ::= \texttt{functor} \ \mathit{funbind} \\ \mathit{funbind} & ::= \ \mathit{funid} \ (\ \mathit{strid} : \ \mathit{sigexp}) \ \langle : \mathit{sigexp} \rangle = \mathit{strexp} \ \langle \texttt{and} \ \mathit{funbind} \rangle \\ & | \ \mathit{funid} \ (\ \mathit{strid} : \ \mathit{sigexp}) :> \mathit{sigexp} = \mathit{strexp} \ \langle \texttt{and} \ \mathit{funbind} \rangle \\ & | \ \mathit{funid} \ (\ \mathit{spec} \ ) \ \langle : \mathit{sigexp} \rangle = \mathit{strexp} \ \langle \texttt{and} \ \mathit{funbind} \rangle \\ & | \ \mathit{funid} \ (\ \mathit{spec} \ ) \ \langle : > \mathit{sigexp} \rangle = \mathit{strexp} \ \langle \texttt{and} \ \mathit{funbind} \rangle \end{array}
```



## The Contents of the Library

## Mandatory Library

structure名	signature名	remark
Array	ARRAY	polymorphic array
BinIO	BIN_IO	binary IO
BinPrimIO	PRIM_IO	low level IO
Bool	BOOL	
Byte	BYTE	
Char	CHAR	
CharArray	MONO_ARRAY	
CharVector	MONO_VECTOR	
CommandLine	COMMAND_LINE	command line parameters
Date	DATE	
General	GENERAL	

	T	
structure name	signature mane	remark
IEEEReal	IEEE_REAL	
Int	INTEGER	
IO	IO	
LargeInt	INTEGER	
LargeReal	REAL	
LargeWord	WORD	
List	LIST	
ListPair	LIST_PAIR	
Math	MATH	
Option	OPTION	
OS	OS	system interface
OS.FileSys	OS_FILE_SYS	
OS.IO	OS_IO	

structure name	signature name	remark
OS.Path	OS_PATH	
OS.Process	OS_PROCESS	
Position	INTEGER	
Real	REAL	
SML90	SML90	for compatibility
String	STRING	
StringCvt	STRING_CVT	string utilities
Substring	SUBSTRING	substrings
TextIO	TEXT_IO	
TextPrimIO	PRIM_IO	
Time	TIME	
Timer	TIMER	
Vector	VECTOR	

structure name	signature name	remark
Vector	VECTOR	
Word	WORD	
Word8	WORD	
Word8Array	MONO_ARRAY	
Word8Vector	MONO_VECTOR	

## How to Use a Library

Their signature tell how to use them.

Find out the signature of a library module:

```
signature X = MATH;
signature X =
   sig
       type real
       val pi : real
       val e : real
       val sqrt : real -> real
       val sin : real -> real
       val cos : real -> real
       val tan : real -> real
       val asin : real -> real
```

```
val acos : real -> real
   val atan : real -> real
   val atan2 : real * real -> real
   val exp : real -> real
   val pow : real * real -> real
   val ln : real -> real
   val log10 : real -> real
   val sinh : real -> real
   val cosh : real -> real
   val tanh : real -> real
end
```

It is convenient to print out the signatures of the following basic libraries:

- BOOL
- CHAR
- INTEGER
- REAL
- STRING
- LIST
- ARRAY

which serve as "reference cards".

### Boolean Bool: BOOL

```
signature BOOL =
   sig
   datatype bool = false | true
   val not : bool -> bool
   val toString : bool -> string
   val fromString : string -> bool option
   val scan : (char,'a) StringCvt.reader -> (bool,'a) StringC
   end
```

### Character Char: CHAR

```
signature CHAR =
  sig
    eqtype char
    val chr : int -> char
    val ord : char -> int
    val minChar : char
    val maxChar : char
    val maxOrd : int
    val pred : char -> char
    val succ : char -> char
    val < : char * char -> bool
    val <= : char * char -> bool
    val > : char * char -> bool
    val >= : char * char -> bool
```

```
val compare : char * char -> order
val scan : (char,'a) StringCvt.reader -> (char,'a) StringC
val fromString : string -> char option
val toString : char -> string
val fromCString : string -> char option
val toCString : char -> string
val contains : string -> char -> bool
val notContains : string -> char -> bool
val isLower : char -> bool
val isUpper : char -> bool
val isDigit : char -> bool
val isAlpha : char -> bool
val isHexDigit : char -> bool
val isAlphaNum : char -> bool
val isPrint : char -> bool
```

```
val isSpace : char -> bool
val isPunct : char -> bool
val isGraph : char -> bool
val isCntrl : char -> bool
val isAscii : char -> bool
val toUpper : char -> char
val toLower : char -> char
end
```

## Strings String: STRING

```
signature STRING =
 sig
   type string
   val maxSize : int
   val size : string -> int
   val sub : string * int -> char
   val substring : string * int * int -> string
   val extract : string * int * int option -> string
   val concat : string list -> string
   val ^ : string * string -> string
   val str : char -> string
   val implode : char list -> string
   val explode : string -> char list
   val fromString : string -> string option
```

```
val toString : string -> string
  val fromCString : string -> string option
  val toCString : string -> string
  val map : (char -> char) -> string -> string
  val translate : (char -> string) -> string -> string
  val tokens : (char -> bool) -> string -> string list
  val fields : (char -> bool) -> string -> string list
  val isPrefix : string -> string -> bool
  val compare : string * string -> order
 val collate : (char * char -> order) -> string * string ->
  val <= : string * string -> bool
  val < : string * string -> bool
  val >= : string * string -> bool
 val > : string * string -> bool
end
```

### Integer Modules Int: INTEGER

```
signature INTEGER =
 sig
   eqtype int
   val precision: Int31.int option
   val minInt : int option
   val maxInt : int option
    val toLarge : int -> Int32.int
   val fromLarge : Int32.int -> int
   val toInt : int -> Int31.int
   val fromInt : Int31.int -> int
   val ~ : int -> int
   val * : int * int -> int
   val div : int * int -> int
   val mod : int * int -> int
```

```
val quot : int * int -> int
val rem : int * int -> int
val + : int * int -> int
val - : int * int -> int
val abs : int -> int
val min : int * int -> int
val max : int * int -> int
val sign : int -> Int31.int
val sameSign : int * int -> bool
val > : int * int -> bool
val >= : int * int -> bool
val < : int * int -> bool
val <= : int * int -> bool
val compare : int * int -> order
val toString : int -> string
```

### Reals Real: REAL

```
signature REAL =
  sig
    type real
    structure Math:
      sig
        type real
        val pi : real
        val e : real
        val sqrt : real -> real
        val sin : real -> real
        val cos : real -> real
        val tan : real -> real
        val asin : real -> real
        val acos : real -> real
```

```
val atan : real -> real
    val atan2 : real * real -> real
    val exp : real -> real
    val pow : real * real -> real
    val ln : real -> real
    val log10 : real -> real
    val sinh : real -> real
    val cosh : real -> real
    val tanh : real -> real
  end
val radix : int
val precision : int
val maxFinite : real
val minPos : real
val minNormalPos : real
```

```
val posInf : real
val negInf : real
val + : real * real -> real
val - : real * real -> real
val * : real * real -> real
val / : real * real -> real
val *+ : real * real * real -> real
val *- : real * real * real -> real
val ~ : real -> real
val abs : real -> real
val min : real * real -> real
val max : real * real -> real
val sign : real -> int
val signBit : real -> bool
val sameSign : real * real -> bool
```

```
val copySign : real * real -> real
val compare : real * real -> order
val compareReal : real * real -> IEEEReal.real_order
val < : real * real -> bool
val <= : real * real -> bool
val > : real * real -> bool
val >= : real * real -> bool
val == : real * real -> bool
val != : real * real -> bool
val ?= : real * real -> bool
val unordered : real * real -> bool
val isFinite : real -> bool
val isNan : real -> bool
val isNormal : real -> bool
val class : real -> IEEEReal.float_class
```

```
val fmt : StringCvt.realfmt -> real -> string
val toString : real -> string
val fromString : string -> real option
val scan : (char,'a) StringCvt.reader
           -> (real, 'a) StringCvt.reader
val toManExp : real -> {exp:int, man:real}
val fromManExp : {exp:int, man:real} -> real
val split : real -> {frac:real, whole:real}
val realMod : real -> real
val rem : real * real -> real
val nextAfter : real * real -> real
val checkFloat : real -> real
val floor : real -> int
val ceil : real -> int
val trunc : real -> int
```

```
val round : real -> int
val realFloor : real -> real
val realCeil : real -> real
val realTrunc : real -> real
val toInt : IEEEReal.rounding_mode
            -> real -> int
val toLargeInt : IEEEReal.rounding_mode
                 -> real -> Int32.int
val fromInt : int -> real
val fromLargeInt : Int32.int -> real
val toLarge : real -> Real64.real
val fromLarge : IEEEReal.rounding_mode
                -> Real64.real -> real
val toDecimal : real -> IEEEReal.decimal_approx
val fromDecimal : IEEEReal.decimal_approx -> real
```

sharing type Math.real = real
end

### Lists List: LIST

```
signature LIST =
 sig
   datatype 'a list = :: of 'a * 'a list | nil
   exception Empty
   val null : 'a list -> bool
   val hd : 'a list -> 'a
   val tl : 'a list -> 'a list
   val last : 'a list -> 'a
   val getItem : 'a list -> ('a * 'a list) option
   val nth : 'a list * int -> 'a
   val take : 'a list * int -> 'a list
   val drop : 'a list * int -> 'a list
   val length: 'a list -> int
   val rev : 'a list -> 'a list
```

```
val @ : 'a list * 'a list -> 'a list
  val concat : 'a list list -> 'a list
 val revAppend : 'a list * 'a list -> 'a list
  val app : ('a -> unit) -> 'a list -> unit
 val map : ('a -> 'b) -> 'a list -> 'b list
 val mapPartial : ('a -> 'b option) -> 'a list -> 'b list
 val find : ('a -> bool) -> 'a list -> 'a option
  val filter: ('a -> bool) -> 'a list -> 'a list
 val partition : ('a -> bool) -> 'a list -> 'a list * 'a li
 val foldr : ('a * 'b -> 'b) -> 'b -> 'a list -> 'b
 val foldl : ('a * 'b -> 'b) -> 'b -> 'a list -> 'b
 val exists : ('a -> bool) -> 'a list -> bool
 val all : ('a -> bool) -> 'a list -> bool
 val tabulate : int * (int -> 'a) -> 'a list
end
```

### General structures

This structure is already opened at the top-level.

```
signature GENERAL =
  sig
    type unit
    type exn
    exception Bind
    exception Chr
    exception Div
    exception Domain
    exception Fail of string
    exception Match
    exception Overflow
    exception Size
    exception Span
```

```
exception Subscript
datatype order = EQUAL | GREATER | LESS
val ! : 'a ref -> 'a
val := : 'a ref * 'a -> unit
val o : ('a -> 'c) * ('b -> 'a) -> 'b -> 'c
val before : 'a * unit -> 'a
val ignore : 'a -> unit
val exnName : exn -> string
val exnMessage : exn -> string
end
```

## The Top-Level Environment

## Built-in primitive types

type	structure
eqtype unit	General
eqtype int	Int
eqtype word	Word
type real	Real
eqtype char	Char
eqtype string	String
type substring	Substring
type exn	General
eqtype 'a array	Array
eqtype 'a vector	Vector
eqtype 'a ref	

# Pre-defined datatypes

type	structure
datatype bool = false   true	Bool
	Option
datatype order = LESS   EQUAL   GREATER	General
datatype 'a list = nil   :: of ('a * 'a list)	List

## Predefined constants

name	longvid
ref : 'a -> 'a ref	(built-in primitive)
! : 'a ref -> 'a	General.!
:= : 'a ref * 'a -> unit	General.:=
before : 'a * unit -> 'a	General.before
ignore : 'a -> unit	General.ignore
exnName : exn -> string	General.exnName
exnMessage : exn -> string	General.exnMessage
o : ('a -> 'b) * ('c -> 'a) -> 'c -> 'b	General.o
getOpt : ('a option * 'a) -> 'a	Option.getOpt
isSome : 'a option -> bool	Option.isSome
valOf : 'a option -> 'a	Option.valOf
not : bool -> bool	Bool.not

name	defined in
real : int -> real	Real
trunc : real -> int	Real
floor : real -> int	Real
ceil : real -> int	Real
round : real -> int	Real
ord : char -> int	Char
chr : int -> char	Char
size : string -> int	String
str : char -> string	String
concat : string list -> string	String
implode : char list -> string	String
explode : string -> char list	String
<pre>substring : string * int * int -&gt; string</pre>	String

name	defined in
^ : string * string -> string	String
null : 'a list -> bool	List
hd : 'a list -> 'a	List
tl : 'a list -> 'a list	List
length : 'a list -> int	List
rev : 'a list -> 'a list	List
@ : ('a list * 'a list) -> 'a list	List
app : ('a -> unit) -> 'a list -> unit	List
map : ('a -> 'b) -> 'a list -> 'b list	List
foldr : ('a * 'b -> 'b) -> 'b -> 'a list -> 'b	List
foldl : ('a * 'b -> 'b) -> 'b -> 'a list -> 'b	List
print : string -> unit	TextIO
vector : 'a list -> 'a vector	Vector
use : string -> unit	(primitive)

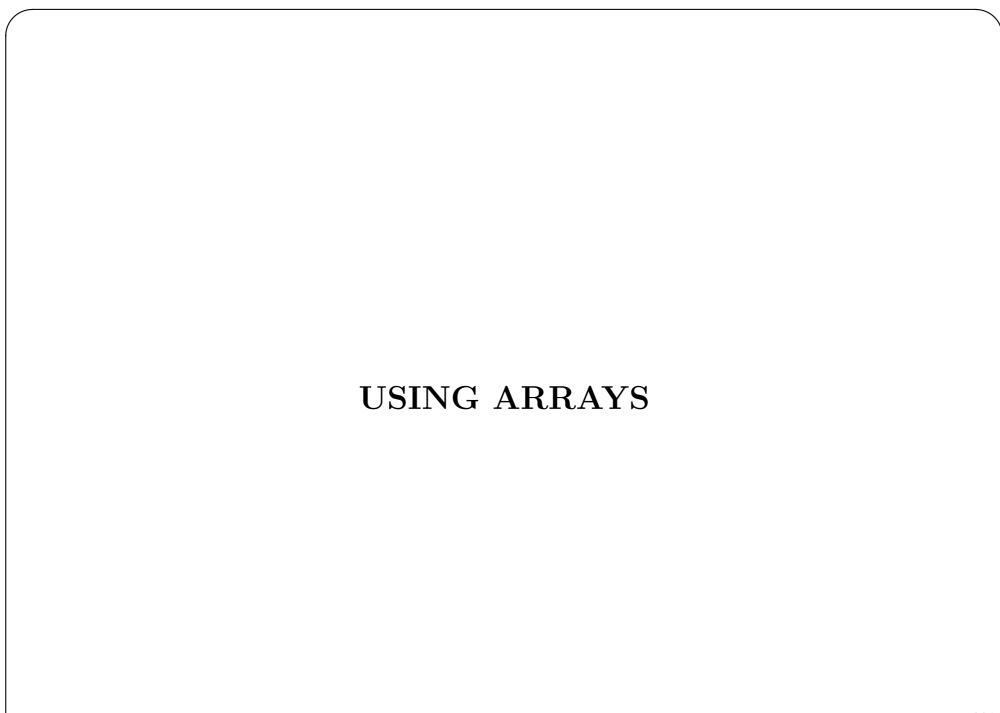
Overloaded identifiers

name	default type
+ : num * num -> num	int * int -> int
- : num * num -> num	int * int -> int
* : num * num -> num	int * int -> int
<pre>div : wordint * wordint -&gt; wordint</pre>	int * int -> int
<pre>mod : wordint * wordint -&gt; wordint</pre>	int * int -> int
/ : real * real -> real	real * real -> real
~ : realint -> realint	int -> int
abs : realint -> realint	int -> int
<pre>&lt; : numtext * numtext -&gt; bool</pre>	int * int -> bool
> : numtext * numtext -> bool	int * int -> bool
<= : numtext * numtext -> bool	int * int -> bool
>= : numtext * numtext -> bool	int * int -> bool

```
text := {string, char}
wordint := {word, int}
realint := {real, int}
num := {word, int, real}
numtext := {string, char, word, int, real}
```

# Binary Operators Declared at the Top-Level

```
infix 7 * / div mod
infix 6 + - ^
infixr 5 :: @
infix 4 = <> > > = < <=
infix 3 := o
infix 0 before</pre>
```



### Array type: eqtype 'a array

au array is a type for arrays over au. Equality on arrays are pointer equality.

```
signature ARRAY =
 sig type 'a array
    type 'a vector
    val maxLen : int
    val array : int * 'a -> 'a array
    val fromList : 'a list -> 'a array
    val tabulate : int * (int -> 'a) -> 'a array
    val length : 'a array -> int
    val sub : 'a array * int -> 'a
    val update : 'a array * int * 'a -> unit
    val copy : {di:int, dst:'a array, len:int option,
                 si:int, src:'a array} -> unit
```

```
val copyVec : {di:int, dst:'a array, len:int option,
                   si:int, src:'a vector} -> unit
    val app : ('a -> unit) -> 'a array -> unit
    val foldl : ('a * 'b -> 'b) -> 'b -> 'a array -> 'b
    val foldr : ('a * 'b -> 'b) -> 'b -> 'a array -> 'b
   val modify : ('a -> 'a) -> 'a array -> unit
    val appi : (int * 'a -> unit)
               -> 'a array * int * int option -> unit
    val foldli : (int * 'a * 'b -> 'b)
                 -> 'b -> 'a array * int * int option -> 'b
    val foldri : (int * 'a * 'b -> 'b)
                 -> 'b -> 'a array * int * int option -> 'b
   val modifyi : (int * 'a -> 'a)
                 -> 'a array * int * int option -> unit
end
```

```
Vectors: immutable arrays.
signature VECTOR =
  sig
    eqtype 'a vector
    val maxLen : int
    val fromList : 'a list -> 'a vector
    val tabulate : int * (int -> 'a) -> 'a vector
    val length : 'a vector -> int
    val sub : 'a vector * int -> 'a
    val concat : 'a vector list -> 'a vector
    val app : ('a -> unit) -> 'a vector -> unit
    val map : ('a -> 'b) -> 'a vector -> 'b vector
    val foldl : ('a * 'b -> 'b) -> 'b -> 'a vector -> 'b
    val foldr : ('a * 'b -> 'b) -> 'b -> 'a vector -> 'b
    val appi : (int * 'a -> unit)
```

```
-> 'a vector * int * int option -> unit

val mapi : (int * 'a -> 'b)

-> 'a vector * int * int option -> 'b vector

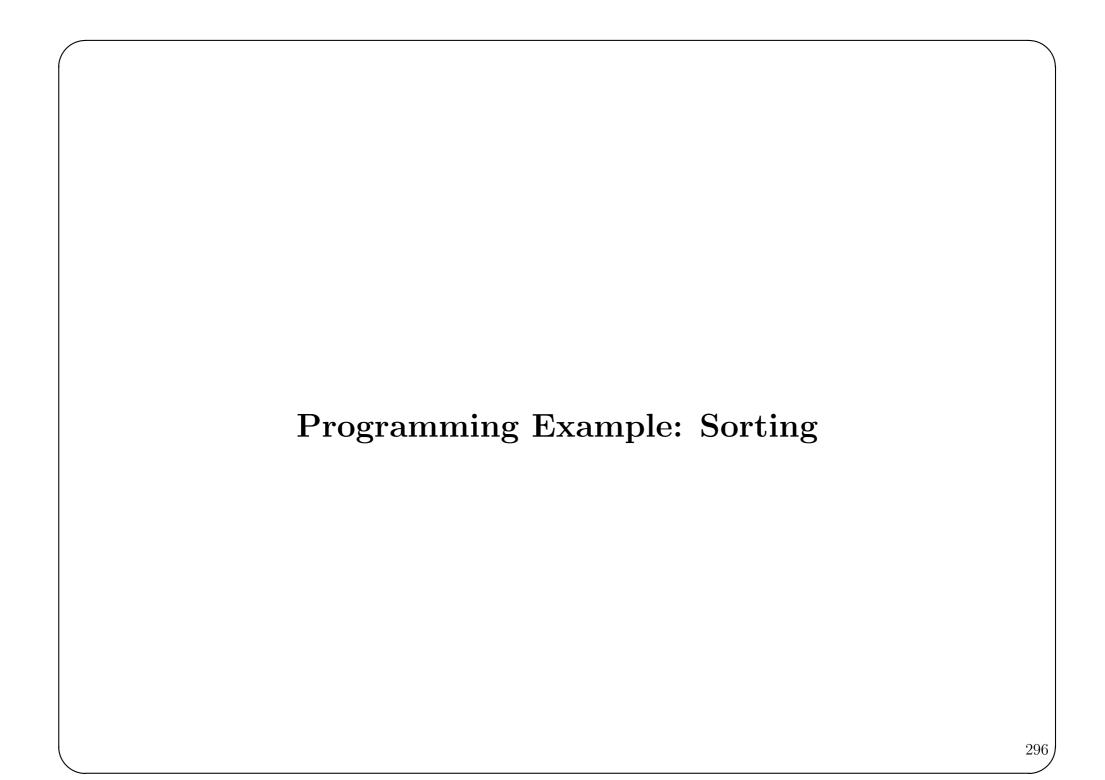
val foldli : (int * 'a * 'b -> 'b)

-> 'b -> 'a vector * int * int option -> 'b

val foldri : (int * 'a * 'b -> 'b)

-> 'b -> 'a vector * int * int option -> 'b

end
```



## Sorting Algorithm

The basis of sorting algorithm: divide and conquer.

The best sorting algorithm: quick sort which proceeds as:

- 1. Select an element p from a sequence.
- 2. Divide the sequence into  $S_1$  and  $S_2$  such that  $p \ge s$  for any  $s \in S_1$  and p < s for any  $s \in S_2$ .
- 3. Recursively sort  $S_1$  and  $S_2$ .
- 4. Return the concatenation of the sequences  $S_1$ , [p] and  $S_2$ .

# A Naive Implementation

- 1. Input data into a list.
- 2. Sort the list with a function something like:

```
fun sort nil = nil
  | sort (p::t) =
    let fun split nil = (nil,nil)
          | split (h::t) =
            let val (a,b) = split t
            in if h > p then (a,h::b) else (h::a,b)
            end
        val(a,b) = split t
    in
        (sort a)@[p]@(sort b)
    end;
```

This is not you should write for an industrial strength sorting program.

#### Let's run the sort function

Making a test data:

- Random.rand: return a "seed" for random number generator.
- Random.randInt : generate one number from the "seed" and update the seed.

Then you can try your sort function by giving a large list.

```
- val data = makeList 1000000; val\ data = [...] : int\ list
- sort data; val\ data = [...] : int\ list
```

As you can see, the previous sort consume lots of space!

This should not happen.

You need to use an array for an industrial strength sort system.

# Signature of Array Sort

```
signature SORT = sig
val sort : 'a array * ('a * 'a -> order) -> unit
end
```

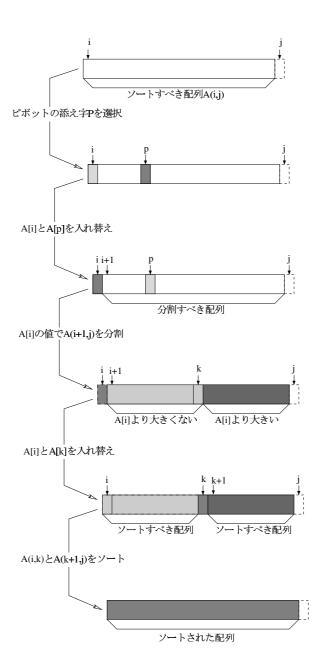
The second parameter is a comparison function. For integers, one can write:

```
fun intcomp (x,y) =
   if x = y then EQUAL
   else if x > y then GREATER
   else LESS
```

# Structure of Array Quick Sort

Let A(i,j) be a sub-array from ith element to j-1'th element, and A[i] be the i'th element of array A.

- 1. Let A(i, j) be the sub-array to be sorted.
- 2. If  $j \leq i + 1$  then we are done.
- 3. Select one index p and let A[p] to be the pivot value.
- 4. Rearrange the array A(i,j) so that there is some k such that elements in A(i,k) is less than or equal to pivot, A[k] = A[p], and elements in A(k+1,j) are greater than pivot.
- 5. Recursively sort A(i, k) and A(k + 1, j).



```
structure ArrayQuickSort : SORT = struct
local open Array
in fun sort (array,comp) =
   let fun qsort (i,j) =
           if j <= i+1 then ()
           else
             let val pivot = ···
                  fun partition (a,b) = \cdots
                  val k = partition (i+1, j-1)
                  val _{-} = (* swapping i'th and k'th elements *)
           in (qsort (i,k); qsort (k+1,j))
           end
   in qsort (0, Array.length array)
   end
end
end
```

#### Pivot selection

- 1. Select three indexes  $i_1$ ,  $i_2$  and  $i_3$ .
- 2. Compares  $A[i_1]$ ,  $A[i_2]$ ,  $A[i_3]$  and select the middle value as the pivot A[p].
- 3. Swap A[p] and A[i].
- 4. Partition A(i+1,j) using A[i] as the pivot. Let k be the largest index such that  $A[k] \leq pivot$ .
- 5. Exchange A[i] and A[k].

# Partitioning a sub-array A(a, b + 1)

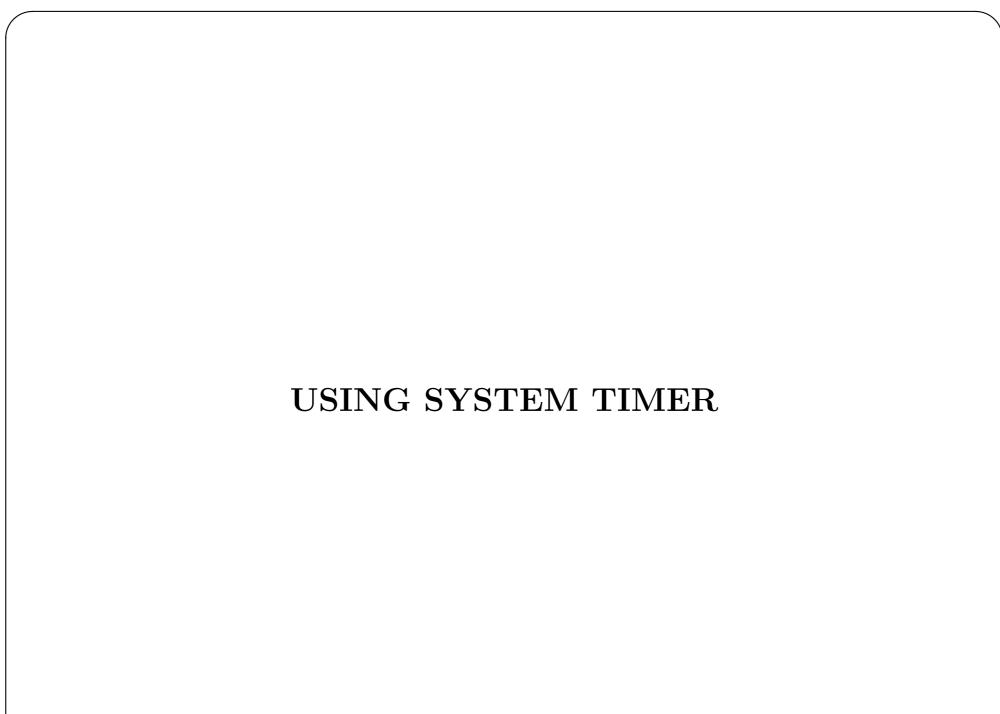
- 1. Scan from a to the right and find the fist k such that A[k] > pivot.
- 2. Scan from b to the left and find the first l such that  $A[l] \leq pivot$ .
- 3. Swap A[k] and A[l]
- 4. Recursively partition A(k+1, l).

```
fun partition (a,b) =
    if b < a then (a - 1)
    else
      let fun scanRight a = \cdots
          val a = scanRight a
          fun scanLeft b = \cdots
          val b = scanLeft b
      in if b < a then (a - 1)
         else (swap(a,b);partition (a+1,b-1))
      end
```

```
Test the Array Sort
Making a test data:
fun randomArray n =
    let
        val r = Random.rand (0,1)
        fun next x = Random.randInt r
    in
        Array.tabulate (n,next)
    end
Now try the sort function
- val a = randomArray 1000000;
val \ a = [ | \dots | ] : int \ array
ArrayQuickSort.sor(a,intcomp);
val\ it = (): unit
```

### Some Optimization

- 1. Quicksort is not optimal for small arrays. If the size of the array is 2 or 3, then hand sort the array.
- 2. If the size of a given array is small (say less than 7), then you should sort it by other simpler sorting method, say insertion sort.
- 3. Sophisticated pivot selection does not pay off for small arrays. If the given array is not large (say less that 30) then select the first element as the pivot.



#### Time and Timer structure

```
TIME signature
signature TIME =
  sig
    eqtype time
    exception Time
    val zeroTime : time
    val fromReal : real -> time
    val toReal : time -> real
    val toSeconds : time -> LargeInt.int
    val fromSeconds : LargeInt.int -> time
    val toMilliseconds : time -> LargeInt.int
    val fromMilliseconds : LargeInt.int -> time
    val toMicroseconds : time -> LargeInt.int
    val fromMicroseconds : LargeInt.int -> time
```

```
val + : time * time -> time
 val - : time * time -> time
 val compare : time * time -> order
 val < : time * time -> bool
 val <= : time * time -> bool
 val > : time * time -> bool
 val >= : time * time -> bool
 val now: unit -> time
 val toString : time -> string
 val fromString : string -> time option
end
```

```
TIMER signature
signature TIMER =
  sig
    type cpu_timer
    type real_timer
    val totalCPUTimer : unit -> cpu_timer
    val startCPUTimer : unit -> cpu_timer
    val checkCPUTimer : cpu_timer -> {sys:Time.time,
                                       usr:Time.time,...}
    val totalRealTimer : unit -> real_timer
    val startRealTimer : unit -> real_timer
    val checkRealTimer : real_timer -> Time.time
  end
```

Measure the execution time of a function.

```
fun timeRun f x =
   let
     val timer = Timer.startCPUTimer()
     val _ = f x
     val tm = Timer.checkCPUTimer timer
     val ut = Time.toMicroseconds (#usr tm)
   in LargeInt.toInt ut
   end
```

Then we can measure the time required to sort an array of 1000000 as:

```
timeRun ArrayQuickSort (randomArray(1000000),intcomp);
```

# Sort Program Evaluation (1)

The lower bound of the sorting problem is nlog(n).

Check the behavior of the sort function:

```
fun checkTime n =
  let
    val array = genArray n
    val tm = timeRun ArrayQuickSort.sort (array,intcomp)
    val nlognRatio = tm / (nlogn n)
    in
        (n, tm div 1000, nlognRatio)
    end
```

and obtain the time required to sort an array of size n:  $c_0 n \log(n)$  (in milliseconds).

# Sort Program Evaluation (2)

The previous result depends on the speed of machine etc.

We can factor out the machine etc by doing the following:

use the average time required to compare two element as a unit

The time required to sort an array of size n:  $c_1 n \log(n)$  (in the number of comparisons)

Obtain the unit time in your system:

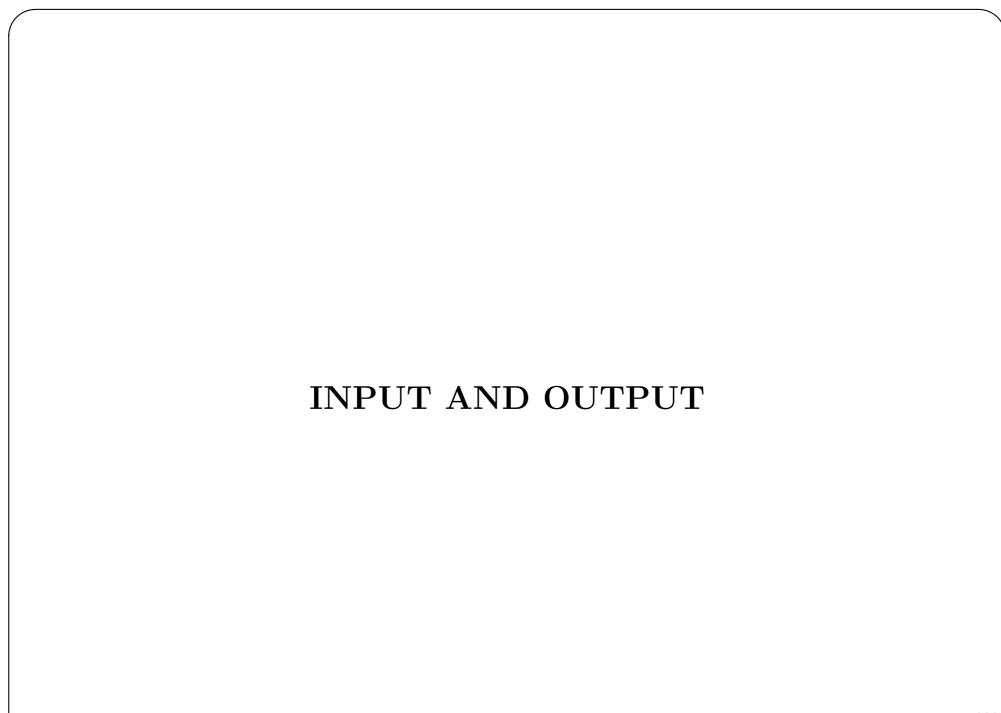
```
fun sample (array,n) =
    let
       val p = Array.sub(array,0)
       val m = n div 2
       fun loop x =
           if x \le m then ()
           else (intcomp(Array.sub(array,n - x),p);
                 intcomp(Array.sub(array,x-1),p);
                 loop (x -1)
    in
       loop n
    end
```

#### **Exercise**

- 1. Write an array sorting module.
- 2. Write an evaluator function for your sort function which takes a list of numbers for array sizes amd shows the following information:

```
-> evalSort [100000,500000,1000000];
Comparison
     time (micro s) micro s./n
 size
  100000
                            0.0000000
 500000
                 20
                            0.0400000
 1000000
                            0.03000000
                 30
                            0.02333333
            avarage
Sorting
         time (mil. s) # of comp / nlogn
 size
```

	avarage	 5.11782082
1000000	2460	5.28952707
500000	1140	5.16144689
100000	190	4.90248850



## Stream datatypes: instream and outstream

External data such as files and processes are represented as streams.

Two models of for input streams:

- functional model
- imperative model

The mode of output stream:

• imperative model

### A Standard Imperative IO Structure: TextIO

```
signature TEXT_IO =
 sig
   type instream
   type outstream
   val stdIn : instream
   val stdOut : outstream
   val stdErr : outstream
   val openIn : string -> instream
   val openString : string -> instream
   val openOut : string -> outstream
   val openAppend : string -> outstream
   val closeIn : instream -> unit
   val closeOut : outstream -> unit
```

```
val input : instream -> string
 val input1 : instream -> char option
 val inputN : instream * int -> string
 val inputLine : instream -> string
 val inputAll : instream -> string
 val canInput : instream * int -> int option
 val lookahead : instream -> char option
 val endOfStream : instream -> bool
 val output : outstream * string -> unit
 val output1 : outstream * char -> unit
 val print : string -> unit
 val outputSubstr : outstream * substring -> unit
 val flushOut : outstream -> unit
end
```

#### Example

```
open TextIO
fun copyStream ins outs =
    if endOfStream ins then ()
    else case input1 ins of
              SOME c => (output1(outs,c);
                         copyStream ins outs)
            | NONE => copyStream ins outs
fun copyFile inf outf =
    let val ins = openIn inf
        val outs = openOut outf
    in (copyStream ins outs;
        closeIn ins; closeOut outs)
    end
```

```
fun filterStream f ins outs =
    if endOfStream ins then ()
    else case input1 ins of
              SOME c => (output1(outs,f c);
              filterStream f ins outs)
            | NONE => filterStream f ins outs
fun filterFile f inf outf =
    let val ins = openIn inf
        val outs = openOut outf
    in (filterStream f ins outs;
        closeIn ins; closeOut outs)
    end
```

#### Functional Stream IO

```
signature TEXT_IO =
  sig
    structure StreamIO:
      sig
        type vector = string
        type elem = char
       type instream
        type outstream
        val input : instream -> vector * instream
        val input1 : instream -> (elem * instream) option
        val inputN : instream * int -> vector * instream
        val inputAll : instream -> vector * instream
```

```
val canInput : instream * int -> int option
    val closeIn : instream -> unit
    val endOfStream : instream -> bool
    val output : outstream * vector -> unit
    val output1 : outstream * elem -> unit
    val flushOut : outstream -> unit
    val closeOut : outstream -> unit
    val inputLine : instream -> string * instream
    val outputSubstr : outstream * substring -> unit
  end
val mkInstream : StreamIO.instream -> instream
val getInstream : instream -> StreamIO.instream
val setInstream : instream * StreamIO.instream -> unit
val mkOutstream : StreamIO.outstream -> outstream
```

```
val getOutstream : outstream -> StreamIO.outstream
val setOutstream : outstream * StreamIO.outstream -> uni
...
end
```

An imperative instream can be regarded as a reference to functional stream.

For example, the imperative instream can be implemented as:

#### Functional Stream Example

```
signature ADVANCED_IO =
  sig
   type instream
   type outstream
   val openIn : string -> instream
   val openOut : string -> outstream
   val inputN : instream * int -> string
   val lookAheadN : instream * int -> string
   val endOfStream : instream -> bool
   val canInput : instream * int -> int option
   val check : instream -> unit
   val reset : instream -> unit
   val output : outstream * string -> unit
```

```
val redirectIn : instream * instream -> unit
val redirectOut : outstream * outstream -> unit
end
```

```
structure AdvancedIO :> ADVANCED_IO = struct
  structure T = TextIO
  structure S = TextIO.StreamIO
  type instream = T.instream * S.instream ref
  type outstream = T.outstream
  fun openIn f = let val s = T.openIn f
                 in (s,ref (T.getInstream s))
                 end
  fun input ((s, ), n) = T.input (s, n)
  fun lookAheadN ((s,_),n) = let val ss = T.getInstream s
                             in #1 (S.inputN (ss,n))
                             end
  fun endOfStream(s,_) = T.endOfStream s
  fun canInput ((s, ), n) = T.canInput (s, n)
```

```
fun check (s,ss) = ss := T.getInstream s
fun reset (s,ref ss) = T.setInstream (s,ss)
fun redirectIn ((s1,_),(s2,_)) =
        T.setInstream(s1,T.getInstream s2)
fun redirectOut (s1,s2) =
        T.setOutstream(s1,T.getOutstream s2)
val openOut = T.openOut
val output = T.output
end
```

# Programming Example: Lexical Analysis

```
- testLex();

dog

ID (dog)

(1,2);

LPAREN

DIGITS (1)

COMMA

DIGITS (2)

RPAREN

val \ it = (): \ unit
```

#### Token datatype:

```
datatype token =
    EOF
                                    ID of string
    DIGITS of string
                                    SPECIAL of char
    BANG
                                    DOUBLEQUOTE
                            *)
                                                            *)
                                    DOLLAR
                                                      (* $ *)
                      (* # *)
    HASH
                      (* % *)
    PERCENT
                                    AMPERSAND
                                                      (* & *)
    QUOTE
                      (* '
                                    LPAREN
                            *)
                                                            *)
                      (*)
                            *)
    RPAREN
                                    TILDE
                                                            *)
    EQUALSYM
                      (* = *)
                                    HYPHEN
                                                            *)
                      (* ^ *)
    HAT
                                    UNDERBAR
                                                            *)
                                                            *)
                      (* \setminus *)
    SLASH
                                    BAR
                      (* 0 *)
                                    BACKQUOTE
                                                            *)
    AT
    LBRACKET
                      (* [
                                    LBRACE
                                                      (* { *})
                            *)
    SEMICOLON
                                    PLUS
                                                      (* + *)
                      (* ; *)
```

COLON | ASTERISK (\*:\*)(\* \* \*)RBRACKET (\* ] \*) | RBRACE (\* } \*) (\* , COMMA \*) LANGLE (\* < \*)(\* > \*)PERIOD (\* . \*) RANGLE 1 | QUESTION (\* / \*) BACKSLASH (\* ? \*)

# The lexical analysis process:

- 1. skip preceding space characters
- 2. determine the kind of token from the first characters
- 3. read a token

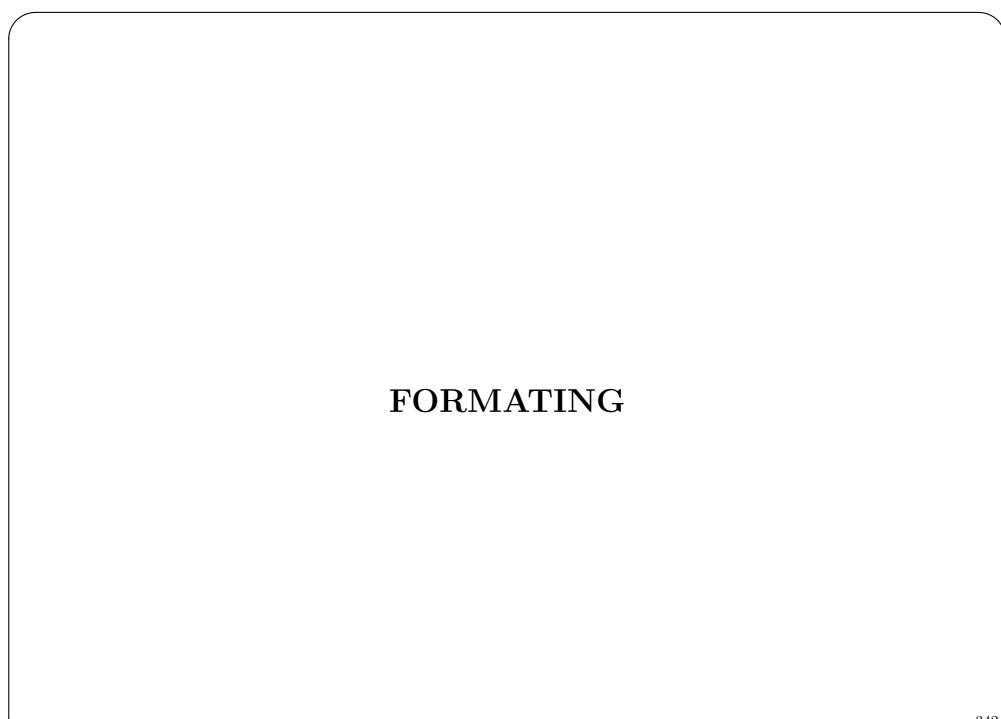
# Skipping space characters

#### Reading each token:

#### The lex function:

```
fun lex ins =
    (skipSpaces ins;
     if T.endOfStream ins then EOF
     else let val c = valOf (T.lookahead ins)
          in if Char.isDigit c then getNum ins
             else if Char.isAlpha c then getID ins
             else case valOf (T.input1 ins) of
                    #"!" => BANG
                    #"" => DOUBLEQUOTE
                   #"#" => HASH
                  ... (* other special characters *)
                  | _ => SPECIAL c
          end)
```

#### A main program:



## Substring structure

Intuitively, a substring is a triple (s, i, n)

- s the underlying string
- *i* start position, and
- n the length of the substring.

Using substring helps making a program faster and more efficient.

```
signature SUBSTRING =
sig type substring
  val base : substring -> string * int * int
  val string : substring -> string
  val substring : string * int * int -> substring
  val extract : string * int * int option -> substring
  val all : string -> substring
```

```
val isEmpty : substring -> bool
val getc : substring -> (char * substring) option
val first : substring -> char option
val triml : int -> substring -> substring
val trimr : int -> substring -> substring
val slice : substring * int * int option -> substring
val sub : substring * int -> char
val size : substring -> int
val concat : substring list -> string
val explode : substring -> char list
val isPrefix : string -> substring -> bool
val compare : substring * substring -> order
val splitl : (char -> bool) -> substring
              -> substring * substring
val splitr : (char -> bool) -> substring
```

```
-> substring * substring
val dropl : (char -> bool) -> substring -> substring
val dropr : (char -> bool) -> substring -> substring
val takel: (char -> bool) -> substring -> substring
val taker : (char -> bool) -> substring -> substring
val position : string -> substring -> substring * substr
val span : substring * substring -> substring
val translate : (char -> string) -> substring -> string
val fields: (char -> bool) -> substring -> substring li
val tokens : (char -> bool) -> substring -> substring li
... end
```

#### StringCvt structure

A collection of utility functions for formating.

```
signature STRING_CVT =
sig
  val padLeft : char -> int -> string -> string
  val padRight : char -> int -> string -> string
  datatype radix = BIN | DEC | HEX | OCT
  datatype realfmt
    = EXACT
    | FIX of int option
    | GEN of int option
    | SCI of int option
  type ('a, 'b) reader = 'b -> ('a * 'b) option
  val splitl : (char -> bool)
                 -> (char, 'a) reader -> 'a -> string * 'a
```

### Reading a data from a string

To read out a data of type  $\tau$ , you can simply do the following.

- 1. Use Substring.getc as a character reader from substring,
- 2. Define a function

```
scan : (char, substring) reader \rightarrow (\tau, substring) reader.
```

3. Apply scan to getc.

For each atomic type, a scan function is already given.

This function translates any given character stream to an integer stream.

```
- val intScan = decScan Substring.getc;
val intScan = fn : (int,substring) StringCvt.reader
val s = Substring.all "123 abc";
val s = _ : substring
- intScan s;
val it = SOME (123,-) : (int * substring) option
```

```
URL parser signature
signature PARSE_URL = sig
  exception urlFormat
  datatype url =
        HTTP of {host:string list,
                 path:string list option,
                 anchor:string option}
      | FILE of {path:string list,
                 anchor:string option}
      | RELATIVE of {path:string list,
                      anchor:string option}
  val parseUrl : string -> url
end
```

```
structure Url:PARSE_URL = struct
  structure SS = Substring
  exception urlFormat
 datatype url = ...
  fun parseHttp s = ...
  fun parseFile s = ...
  fun parseRelative s = ...
  fun parseUrl s =
      let val s = SS.all s
          val (scheme, body) =
              SS.splitl (fn c \Rightarrow c \iff #":") s
      in if SS.isEmpty body then
             RELATIVE (parseRelative scheme)
         else case lower (SS.string scheme) of
                    "http" => HTTP (parseHttp body)
```

```
| "file" => FILE (parseFile body)
| _ => raise urlFormat
end
end
```

You can complete the URL parser by writing a conversion function for each given format.

For http, you can write a function that performs the following.

- 1. Check whether the first 3 characters are "://".
- 2. Split the rest into two fields using "/" as a delimiter.
- 3. Decompose the first string into fields using ". " as a delimiter, and obtain a list of string representing the host name
- 4. Decompose the second half into two fields using "#" as a delimiter.
- 5. Decompose the first half into fields using "/" as a delimiter, and obtain a list of string representing a file path.
- 6. The second half is an anchor string.

```
fun parseHttp s =
let val s = if SS.isPrefix "://" s then
                SS.triml 3 s
             else raise urlFormat
     fun neq c x = not (x = c)
     fun eq c x = c = x
     val (host,body) = SS.splitl (neq #"/") s
     val domain = map SS.string (SS.tokens (eq #".") host)
     val (path,anchor) =
          if SS.isEmpty body then (NONE, NONE)
          else
            let val (p,a) = SS.splitl (neq #"#") body
            in (SOME (map SS.string
                       (SS.tokens (eq #"/") p)),
                if SS.isEmpty a then NONE
```

```
else SOME (SS.string (SS.triml 1 a)))
    end
in {host=domain, path=path, anchor=anchor}
end
```

### Example: Formated Output

Let us write a general purpose print function corresponding to <a href="mailto:printf">printf</a> in C.

The first task is to design a datatype for format specification including the following information:

- datatype and its representing information
  - integers
     radix (binary, decimal, hexadecimal, octal)
  - reals
     printing format (exact, fixed, general, scientific)
- data alignment
- the data length

We define the following format specification.

The next task is to write a function that takes a format specification and a corresponding data and convert the data into a string.

For this purpose, we need to treat data of different types as data of the same type.

We can now write a conversion function as: exception formatError

```
fun formatData {kind, width, align} data=
let val body =
           case (kind, data) of
           (INT radix, I i) => Int.fmt radix i
          (REAL fmt, R r) => Real.fmt fmt r
          (STRING,S s) => s
         | (BOOL, B b) => Bool.toString b
         | _ => raise formatError
 in case width of
         NONE => body
        SOME w => (case align of
                       LEFT => StringCvt.padRight
                                  #" " w body
```

end

We also need to write a function for parsing a format specification string. We consider the following format string:

$$%[-][ddd]type$$

- — is for left align. The default is right align.
- $\bullet$  ddd is the field length. If the given data is shorter than the specified length, then the formatter pads white spaces.
- *type* is one of the following
  - d int in decimal notation
  - x int in hexadecimal notation
  - o int in octal notation
  - f real in fixed decimal point notation
  - e real in scientific notation
  - g real in the system default representation.

To represent a string having these embedded formating strings, we define the following datatype.

LITERAL is a data string to be printed.

A function to parse a format string.

```
fun parse s =
 let val (s1,s) = StringCvt.splitl
                   (fn c=>c <> #"%") SS.getc s
      val prefix = if s1 = "" then nil
                    else [LITERAL s1]
  in if SS.isEmpty s then prefix
     else let val (f,s) = oneFormat s
              val L = parse s
          in prefix@(f::L)
          end
  end
```

A function to convert a formating string into formatSpec.

```
fun oneFormat s =
  let val s = SS.triml 1 s
  in if SS.isPrefix "%" s then
        (LITERAL "%", SS.triml 1 s)
     else
      let val (a,s) = if SS.isPrefix "-" s
                        then (LEFT, SS. triml 1 s)
                      else (RIGHT,s)
          val(w,s) = scanInt s
          val(c,s) = case SS.getc s of
                           NONE => raise formatError
                          | SOME s => s
      in (SPEC {width=w,align=a,
                kind = case c
```

s)

end

end

The formating function.

```
fun format s L =
    let val FL = parse (SS.all s)
        fun traverse (h::t) L =
            (case h of
                LITERAL s => s ^ (traverse t L)
               | SPEC fmt =>
                    (formatData fmt (List.hd L)
                    ^ (traverse t (List.tl L))))
          | traverse nil l = ""
    in (traverse FL L)
    end
```

A formating module.

```
signature FORMAT =
sig datatype kind = INT of StringCvt.radix
                     REAL of StringCvt.realfmt
                     STRING
                     BOOL
    datatype align = LEFT | RIGHT
    datatype format =
             LITERAL of string
           | SPEC of {kind:kind,
                      width: int option,
                      align:align}
    datatype argument = I of int
                       | R of real
                       | S of string
```

| B of bool

exception formatError

val format : string -> argument list -> string

val printf : string -> argument list -> unit

end

## **Exercise**

- 1. Design and implement a balanced binary search tree.
  - Try to implement it as a functor that takes a key type and a comparison function and returns a module.
  - The to implement a polymorphic binary search tree so that it can used for various different values for each given key type.
  - Try to support various useful utility functions such as:
    - a function to create a tree form a list
    - —functions to list keys and items, to foldr a tree, to map a function over a tree, etc.
- 2. Evaluate your search module by creating trees of various sizes and measuring execution speed of various functions.
- 3. Extra Credit. Add a function to delete a node having a given key.

## For Further Study

- MLプログラミング
   L. Paulson, "ML for the Working Programmer, 2nd Edition",
   Cambridge University Press, 1996.
- ML等の近代的言語動作原理 大堀,西村,ガリグ,コンピュータサイエンス入門 アルゴリズム とプログラミング言語,岩波書店
- ML等の近代的言語の実装
   X. Leroy, The ZINC experiment: an economical implementation of the ML language,

## お願い

MLの教科書(Standard ML入門)やその他MLに関する感想(MLにほしい機能、読んでみたいMLの教材など)があったらohoriまでメールください。

教科書の改善や、新しい教材作成、さらに、開発中の次世代MLなどの参考にさせて頂きます。