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# Implementing GAN on MNIST and SVHN

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## Abstract

We implemented DCGAN and WGAN on both MNIST and SVHN datasets. The Jupyter Notebook is well documented and the structures of the coding is elaborated and efficient. The published .html file is readable enough but we still recommend to refer our github repository at <https://github.com/Shengjie-Sun/GR5242-FP-GAN> to review the whole work.

## 1 Introduction

Generative Adversarial Nets (GAN), first introduced by Ian Goodfellow in 2014[1], is a model that can be used to generate new images. It has been a hot topic since then.

The core idea of GAN is to create a two-player game: build a generator that creates fake images while the discriminator tells whether the image is true or fake. Through the training process, the ability of both generator and discriminator will increase simultaneously by fighting with each other.

The optimum case is that the generate can fully recover the distribution of the data and even the best discriminator cannot tell whether the image is fake or not i.e. the output of discriminator is  $1/2$ .

## 2 Implementation DCGAN on MNIST

MNIST dataset is a dataset of handwritten images, including 60,000 training images and 10,000 testing images. All digits have been size-normalized and centered in a  $28 \times 28$  fixed-size image[2].

The dataset has been generally used to conduct pattern recognition. In this project, however, we will use the training image to train our GAN model and generate similar images.

### 2.1 Architecture

We use Deep Convolutional GAN (DCGAN)[4] as our structure. It has plenty convolutional layers and does not have max pooling layer. We mainly use transpose convolutional layer to perform upsampling in our model.

#### 2.1.1 Generator

The input of the first dense layer is a  $100 \times 1$  random noise vector. We project it to 12,544 neurons, apply batch normalization and activation function Leaky Relu, and reshape it to  $7 \times 7 \times 256$ .

The second Convolutional Transpose layer shrinks the size of filter and outputs  $7 \times 7 \times 128$ .

The third and fourth Convolutional layers have stride 2 and padding *same*. They alter the first two dimensions of the input and make the input from  $7 \times 7$  to  $14 \times 14$  to  $28 \times 28$ . The filter makes the third dimension from 128 to 64 to 1. Thus the final output is a  $28 \times 28 \times 1$  black and white image.

### 2.1.2 Discriminator

The discriminator takes in a  $28 \times 28 \times 1$  array. After three convolutional layers and one dense layer, the output will be a scalar. This scalar will be applied to a logistics function in future steps to be a probability that lies between 0 and 1. It is the probability of the input being a true image or a fake image.

#### Generator

- First layer: Dense
  - Input:  $100 \times 1$  vector
  - Units: 12,544
  - Batch normalization
  - Activation: leaky relu
  - Reshape 12,544 to  $7 \times 7 \times 256$
- Second layer: Conv2DTranspose
  - Input  $7 \times 7 \times 256$
  - Filter: 128
  - Kernel size: 5
  - Stride: 1
  - Padding: same
  - Batch normalization
  - Activation: leaky relu
- Third layer: Conv2DTranspose
  - Input  $7 \times 7 \times 128$
  - Filter: 64
  - Kernel size: 5
  - Stride: 2
  - Padding: same
  - Batch normalization
  - Activation: leaky relu
- Fourth layer: Conv2DTranspose
  - Input  $14 \times 14 \times 64$
  - Filter: 1
  - Kernel size: 5
  - Stride: 2
  - Padding: same
  - Activation: tanh
  - Output  $28 \times 28 \times 1$

#### Discriminator

- First layer: Conv2D
  - Input:  $28 \times 28 \times 1$  array
  - Filter: 64
  - Kernel size: 5
  - Stride: 2
  - Padding: same
  - Activation: leaky relu
  - Dropout: 0.3
- Third layer: Conv2D
  - Filter: 128
  - Kernel size: 5
  - Stride: 2
  - Padding: same
  - Activation: leaky relu
  - Dropout: 0.3
- Fourth layer: Conv2D
  - Filter: 256
  - Kernel size: 5
  - Stride: 2
  - Padding: same
  - Activation: leaky relu
  - Dropout: 0.3
- Fifth layer: Flatten
- Sixth layer: Dense with output  $1 \times 1$

### 2.1.3 Hyperparameters

- Epoch: 100
- Batch: 256
- Learning rate
  - Generator:  $1e-3$
  - Discriminator:  $1e-4$

We have experimented on different batches and epochs and we believe a batch of 256 samples and 100 epochs already produced satisfying result. In fact, the quality of the image does not seem to have significant improvement after 50 epochs.

The reason why we choose the learning rate for the discriminator 10 times smaller than the learning rate for generator is because the discriminator is much easier to train compared with generator and giving a smaller learning rate and make the training process more stable.

Actually, the MNIST dataset is fairly simple and the normal parameters setting is good enough and no elaborate hyper-parameters tuning is required.

## 2.2 Result

### 2.2.1 Tensorboard

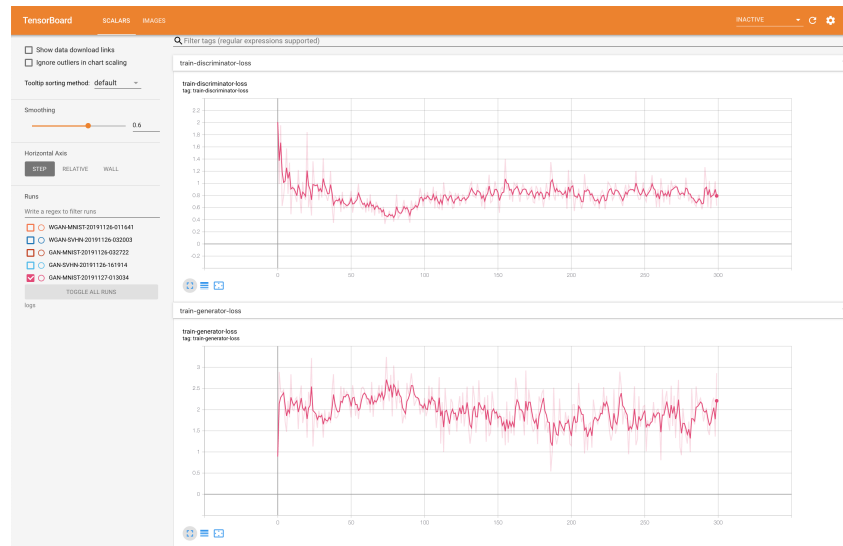


Figure 1 Tensorboard GAN MNSIT.

As we can observe from Figure 1, training loss of both generator and discriminator is fluctuating. And generally when the loss of generator decreases, the loss of discriminator increases. This is the representation of the two player game i.e. both players want to minimize their own loss.

Although the loss remains around the same level and does not continue decrease, the quality of the images are in fact improving as we can see in the following section.

### 2.2.2 Generated samples

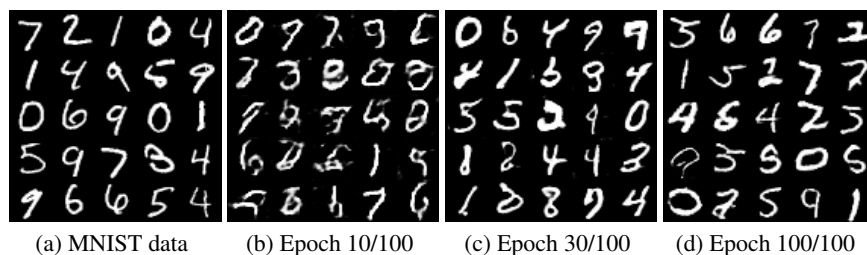


Figure 2 Comparison of MNIST data and generated samples from DCGAN.

From Figure 2, we can see the generated samples has a good approximation after 30 epoches. The samples from the 10th are quite blurry and they cannot have a good representation of number 2, 5, 8, etc. The latter samples are clearer and the edges of the numbers are smoother.



Figure 3 MNSIT GAN generated digits.

From the generated images<sup>3</sup>, we pick out some representative samples for each digit. We find out that

a) some digits such as 5 and 8 have some redundant white marks around the edges. This is a huge difference from the original MNIST dataset whose images are clear and sharp. If discriminator could capture this difference, it might have a better judgement between true images and fake ones.

b) some digits such as 4, 1, 8 is easier to be generated while 2 and 3 is harder to be generated. This conclusion is drawn from the fact that we go through more images to find a good sample of 2 and 3. But it might be because the some digits take up more feaible space of the input noise. We cannot know for sure.

c) Digit 9 tends to transform to other digits. For example, the 1st 9 looks a little like 7 and the 4th 9 looks a little like 8.

Although not all samples are of high quality, there are a proportion of the images that have the quality of the samples presented in paper[1] 2(a).

### 3 Implementation DCGAN on SVHN

SVHN dataset is a dataset similar to MNIST, but more challenging, containing real-world digit images that obtained from house numbers in Google Street View images. The images have been cropped into  $32 \times 32$  fixed-size array with three channels[3].

The challenge of implementing GANs on SVHN images is that generally the quality/resolution of the images are low. Compared to MNIST, there are more colors such as red and blue instead of black and white. The fonts/patterns are varied and thus far more harder for a generators to find/learn the ground-truth distribution of the data.

#### 3.1 Architecture

##### 3.1.1 Generator

The generator is slightly modified from the generator for MNIST. The output of the first dense layer is changed to  $8 \times 8 \times 256$  and the filter of the fourth layer (Conv2DTranspose) has changed from 1 to 3 so that the output of the whole generator would be a  $32 \times 32 \times 3$  image.

##### 3.1.2 Discriminator

Discriminator is exactly the same as the previous one except the input is  $32 \times 32 \times 3$  instead of  $28 \times 28 \times 1$ .

##### 3.1.3 Hyperparameters

- Epoch: 200
- Batch: 256
- Learning rate
  - Generator:  $1e-3$
  - Discriminator:  $1e-4$

Since the SVHN dataset is more complicated, we train the model with 200 epoches.

#### 3.2 Result

##### 3.2.1 Tensorboard

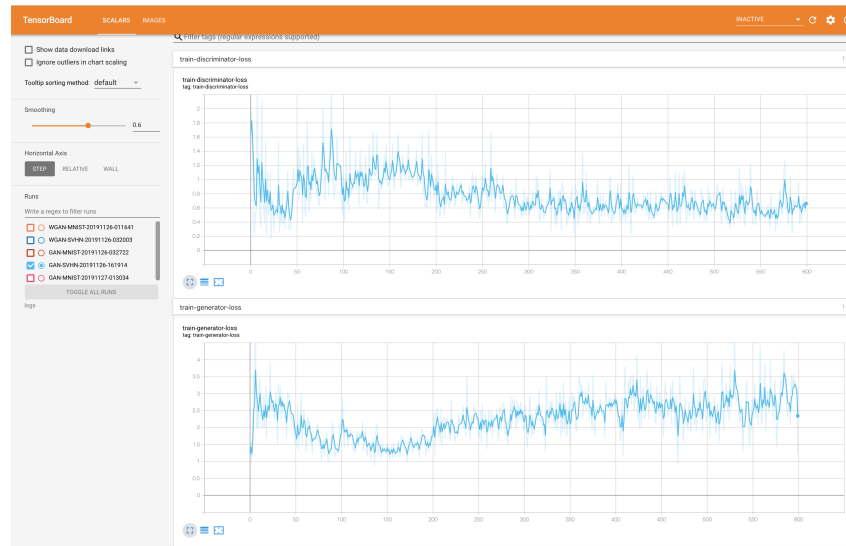


Figure 4 Tensorboard GAN SVHN.

The training loss is fluctuating as well. We can see from Figure 4 that the training loss of the discriminator keeps increasing after 150 steps (50 epoches). This implies that the generator can generate the samples so well that the discriminator can hardly distinguish the true and fake image.

### 3.2.2 Generated samples

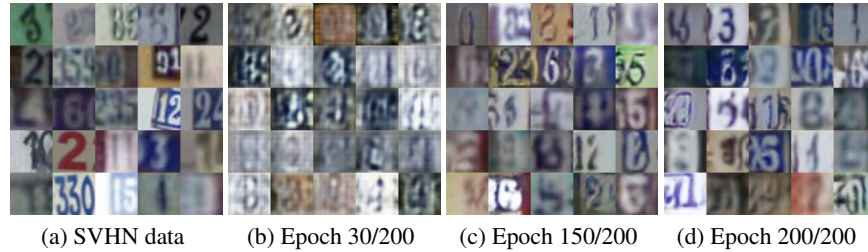


Figure 5 Comparison of SVHN data and generated samples from DCGAN.

The quality of the generated samples are not as good as the MNIST samples since the SVHN dataset is more complicated. This complexity makes the model harder to converge i.e. uses more epoches to get good generated samples.

Although complicated as the dataset is, the generated samples demonstrate some qualities such as the variety of the samples. There are different combinations of green, white, and red background with white, blue, and red fonts. There are also a proportion of one-digit images and a proportion of the two-digits images.

## 4 WGAN

Wasserstein GAN(WGAN) is a modified GAN introduced by Arjovsky, Martin, et al.(2017)[5]. It proposed Wasserstein distance that has a better property, theoretically, than Jensen-Shannon divergence to measure the distance between two distributions.

There is a common problem for naive version of GANs called collapse mode, and at the beginning of training, it's quite hard for generator to learn something. Roughly speaking, this is all caused by gradient saturation which is brought by the property of Jensen-Shannon divergence that for two distributions with no overlap, the JS divergence is always infinity.

In order to use Wasserstein distance, 1-Lipschitz function are required and for the purpose of implementation, gradient penalty is utilized and all we need to do is to modify the loss function a little bit. All the structures and the hyper-parameters are exactly the same as DCGAN.

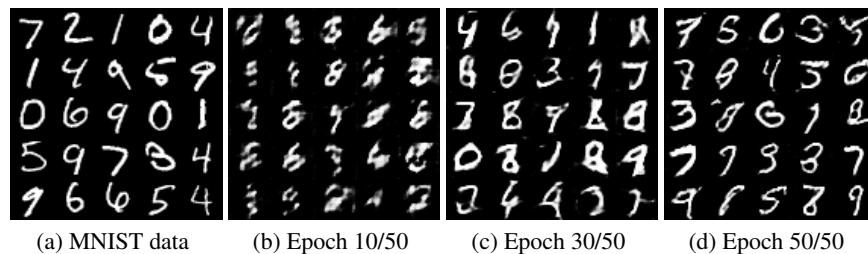


Figure 6 Comparison of MNIST data and generated samples from WGAN.

### 4.1 WGAN on MNIST

As of the samples shown in Figure 6, there is no significant difference between the two models. Both qualities are satisfying. The MNIST is my simple actually, even the most naive GANs can get a good performance with some tuning of parameters. So, at least for me, DCGAN would be my first choice.

The Figure 7 shows that the comparison of DCGAN and WGAN on MNIST. Both are trained for 50 epochs. For the implementation purpose, the original WGAN use weight clipping and a better version is to add gradient penalty. In order to calculate that penalty, a sampling process is required and thus in generally speaking, WGAN will sacrifice some efficiency.

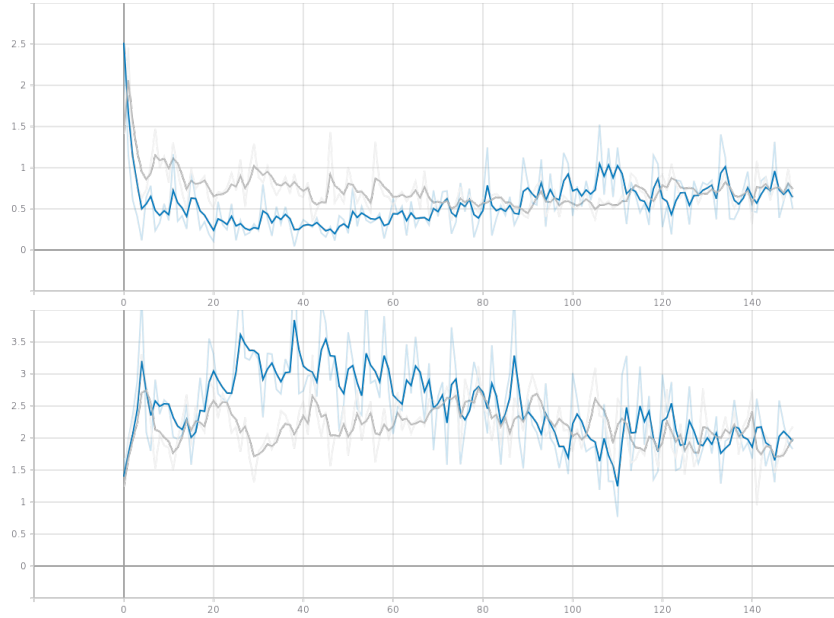


Figure 7 **Comparison of WGAN and DCGAN on SVHN.** The two graphs are downloaded from tensorboard and the above one shows the loss of discriminator and the below one shows the loss of generator. The gray line is the for DCGAN and the blue line is for WGAN.

#### 4.2 WGAN on SVHN



Figure 8 **Comparison of WGAN and DCGAN on SVHN.** The two graphs are downloaded from tensorboard and the above one shows the loss of discriminator and the below one shows the loss of generator. The blue line is the for DCGAN and the green line is for WGAN.

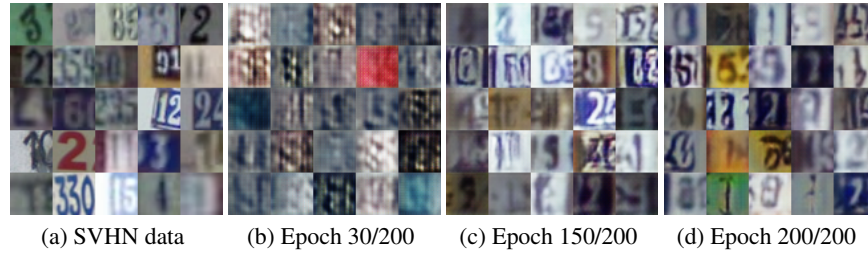


Figure 9 **Comparison of SVHN data and generated samples from WGAN.**

The observation is similar for on the SVHN, even the dataset is more challenging, the DCGAN can converge fast and steady during the training process, the variability of the reconstructed samples are wide/large. Thus, there is no need to use a more complicate and sophisticate structure GAN.

## 5 Summary

In short, we have studied the generative adversarial nets, especially the DCGAN and WGAN. We implemented these two models to the MNIST and SVHN dataset respectively and obtained satisfying samples.

Throughout the project, we have only tuned a few hyperparameters such as learning rate and batches. In the next step, we would tune the dimension of the noise, the structure of the model, etc.

Since plenty new models have been published other than WGAN, in the future, we can try other models such as triple-GAN[6], self-attention neural networkn[7], LOGAN[8] etc.



## References

- [1] Goodfellow, Ian, et al. "Generative adversarial nets." Advances in neural information processing systems. 2014.
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- [4] Radford, Alec, Luke Metz, and Soumith Chintala. "Unsupervised representation learning with deep convolutional generative adversarial networks." arXiv preprint arXiv:1511.06434 (2015).
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