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# Implementing GAN on MNIST and SVHN

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## Abstract

We implement DCGAN on MNIST and SVHN dataset. The generated samples for both datasets are great although it takes quite some time to train the model. To help the model converge faster, we implement WGAN as well. The result **TBD**.

## 1 Introduction

Generative Adversarial Nets (GAN), first introduced by Ian Goodfellow in 2014[1], is a model that can be used to generate new images. It has been a hot topic since then.

The core idea of GAN is to create a two-player game: build a generator that creates fake images while the discriminator tells whether the image is true or fake.

Through the training process, the samples generated by the generator is more similar to the true images that the discriminator can hardly tell the difference. And we want to make sure that the ability of the discriminator increases so that it could tell the difference.

The optimum case is that the generate can fully recover the distribution of the data and even the best discriminator cannot tell whether the image is fake or not i.e. the output of discriminator is  $1/2$ .

## 2 Implementation on MNIST

MNIST dataset is a dataset of handwritten images, including 60,000 training images and 10,000 testing images. All digits have been size-normalized and centered in a  $28 \times 28$  fixed-size image[2].

The dataset has been generally used to conduct pattern recognition. In this project, however, we will use the training image to train our GAN model and generate similar images.

### 2.1 Architecture

We used Deep Convolutional GAN (DCGAN)[4] as our structure. It has plenty convolutional layers and does not have max pooling layer. We mainly use transpose convolutional layer to perform upsampling in our model.

#### 2.1.1 Generator

The input of the first dense layer the  $100 \times 1$  random noise vector. We have 12,544 neurons, apply batch normalization and activation function Leaky Relu, and reshape it to  $7 \times 7 \times 256$ .

The second Convolutional Transpose layer outputs  $7 \times 7 \times 128$ .

The third and fourth Convolutional layers have stride 2 and padding *same*, they alter the first two dimensions of the input and make the input from  $7 \times 7$  to  $14 \times 14$  to  $28 \times 28$ . The filter makes the third dimension from 128 to 64 to 1.

Thus the final output is a  $28 \times 28 \times 1$  black and white image.

### 2.1.2 Discriminator

The discriminator takes in a  $28 \times 28 \times 1$  array. After three convolutional layers and one dense layer, the output will be a scalar. This scalar will be applied to a logistics function in future steps to be a probability that lies between 0 and 1. It is the probability of the input being a true image or a fake image.

#### Generator

- First layer: Dense
  - Input:  $100 \times 1$  vector
  - Units: 12,544
  - Batch normalization
  - Activation: leaky relu
  - Reshape 12,544 to  $7 \times 7 \times 256$
- Second layer: Conv2DTranspose
  - Input  $7 \times 7 \times 256$
  - Filter: 128
  - Kernel size: 5
  - Stride: 1
  - Padding: same
  - Batch normalization
  - Activation: leaky relu
- Third layer: Conv2DTranspose
  - Input  $7 \times 7 \times 128$
  - Filter: 64
  - Kernel size: 5
  - Stride: 2
  - Padding: same
  - Batch normalization
  - Activation: leaky relu
- Fourth layer: Conv2DTranspose
  - Input  $14 \times 14 \times 64$
  - Filter: 1
  - Kernel size: 5
  - Stride: 2
  - Padding: same
  - Activation: tanh
  - Output  $28 \times 28 \times 1$

#### Discriminator

- First layer: Conv2D
  - Input:  $28 \times 28 \times 1$  array
  - Filter: 64
  - Kernel size: 5
  - Stride: 2
  - Padding: same
  - Activation: leaky relu
  - Dropout: 0.3
- Third layer: Conv2D
  - Filter: 128
  - Kernel size: 5
  - Stride: 2
  - Padding: same
  - Activation: leaky relu
  - Dropout: 0.3
- Fourth layer: Conv2D
  - Filter: 256
  - Kernel size: 5
  - Stride: 2
  - Padding: same
  - Activation: leaky relu
  - Dropout: 0.3
- Fifth layer: Flatten
- Sixth layer: Dense with output 1

### 2.1.3 Hyperparameters

- Epoch: 100
- Batch: 256
- Learning rate
  - Generator:  $1e-3$
  - Discriminator:  $1e-4$

We have experimented on different batches and epoches and we believe a batch of 256 samples and 100 epoches already produced satisfying result. In fact, the quality of the image does not seem to have significant improvement after 50 epoches.

The reason why we choose the learning rate for the discriminator 10 times smaller than the learning rate for generator is because we noticed the loss of discriminator is much smaller than the loss of generator. To avoid the gradient jumping back and forth around the optimum spot, we lower the learning rate for discriminator. The training result shows that the loss for both of them are at the same magnitude after the modification.

## 2.2 Result

### 2.2.1 Tensorboard

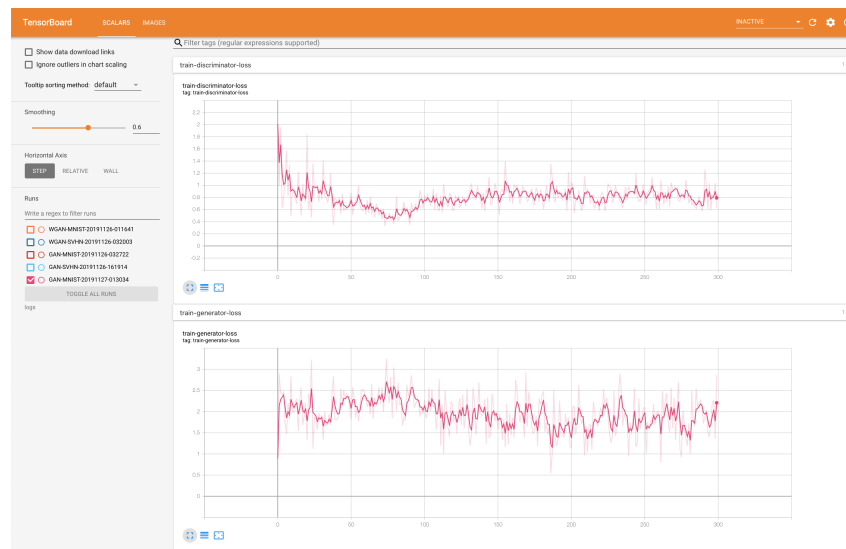


Figure 1: Tensorboard GAN MNSIT

As we can observe from Figure 1, training loss of both generator and discriminator is fluctuating. And generally when the loss of generator decreases, the loss of discriminator increases. This is the representation of the two player game i.e. both players want to minimize their own loss.

Although the loss remains around the same level and does not continue decrease, the quality of the images are in fact improving as we can see in the following section.

### 2.2.2 Generated samples

From Figure 2, we can see the generated samples has a good approximation after 30 epoches.

The samples from the 10th are quite blurry and they cannot have a good representation of number 2, 5, 8, etc.

The latter samples are clearer and the edges of the numbers are smoother. Number 0, 1, 3, 5, 7, 9 are quite ideal.

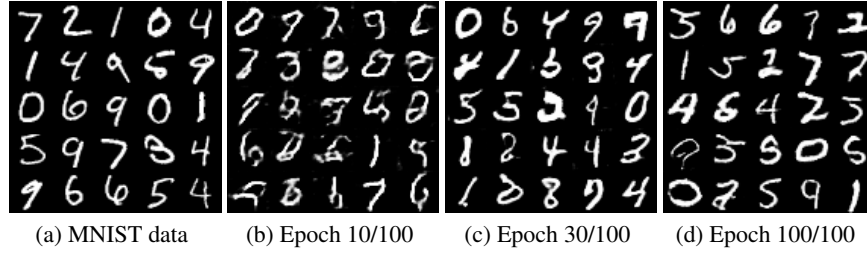


Figure 2: Comparison of MNIST data and generated samples from DCGAN

Although not all samples are of high quality, there are a proportion of the images that have the quality of the samples presented in paper[1] 2(a).

### 3 Implementation on SVHN

SVHN dataset is a dataset similar to MNIST, containing real-world digit images that obtained from house numbers in Google Street View images. The images have been cropped into  $32 \times 32$  fixed-size array with three channels.

In this project, we will again use the training image to train our GAN model and generate similar images.

The challenge of this implementation is that the SVHN images are much more complicated than the MNIST images. There are plenty colors: red, black, blue, green, etc. The fonts are varied. And a proportion of the images are blurry.

#### 3.1 Architecture

##### 3.1.1 Generator

The generator is slightly modified from the generator for MNIST. The output of the first dense layer is changed to  $8 \times 8 \times 256$  and the filter of the fourth layer (Conv2DTranspose) has changed from 1 to 3 so that the output of the whole generator would be a  $32 \times 32 \times 3$  image.

##### 3.1.2 Discriminator

Discriminator is exactly the same as the previous one except the input is  $32 \times 32 \times 3$  instead of  $28 \times 28 \times 1$ .

##### 3.1.3 Hyperparameters

- Epoch: 200
- Batch: 256
- Learning rate
  - Generator:  $1e-3$
  - Discriminator:  $1e-4$

Since the SVHN dataset is more complicated, we train the model with 200 epoches.

#### 3.2 Result

##### 3.2.1 Tensorboard

The training loss is fluctuating as well. We can see from Figure 3 that the training loss of the discriminator keeps increasing after 150 steps (50 epoches). This implies that the generator can generate the samples so well that the discriminator can hardly distinguish the true and fake image.

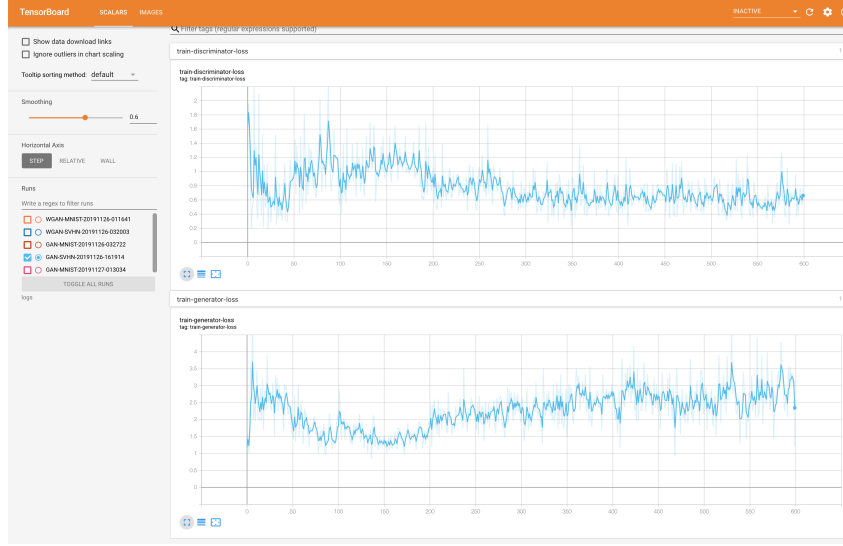


Figure 3: Tensorboard GAN SVHN

### 3.2.2 Generated samples

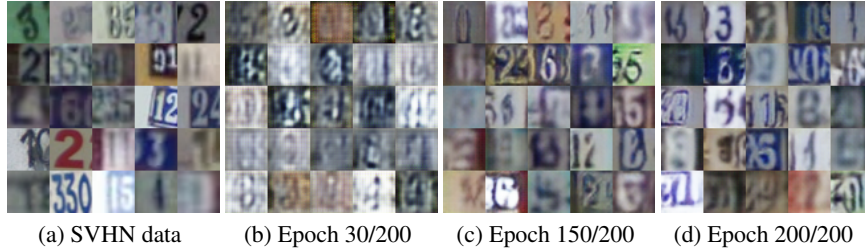


Figure 4: Comparison of SVHN data and generated samples from DCGAN

The quality of the generated samples are not as good as the MNIST samples since the SVHN dataset is more complicated. This complexity makes the model harder to converge i.e. uses more epoches to get good generated samples.

Although complicated as the dataset is, the generated samples demonstrate some qualities such as the variety of the samples. There are different combinations of green, white, and red background with white, blue, and red fonts.

## 4 WGAN

Wasserstein GAN is a modified GAN introduced by Arjovsky, Martin, et al.(2017)[5]. It proposed Wasserstein that has a better property than Jensen-Shannon divergence.

Down to the implementation, the main difference is the loss function. We modified the loss function from *BinaryCrossentropy* to a customized loss function.

The generator and discriminator are exactly the same as the GAN.

The hyperparameters are the same except this time we only train 50 epoches for each model.

### 4.1 WGAN on MNIST

The tensorboard 5 shows that the training process is quite stable. The training loss of the generator rapidly decreases to smaller than 0. within 10 epoches. After that, it remains the low level.

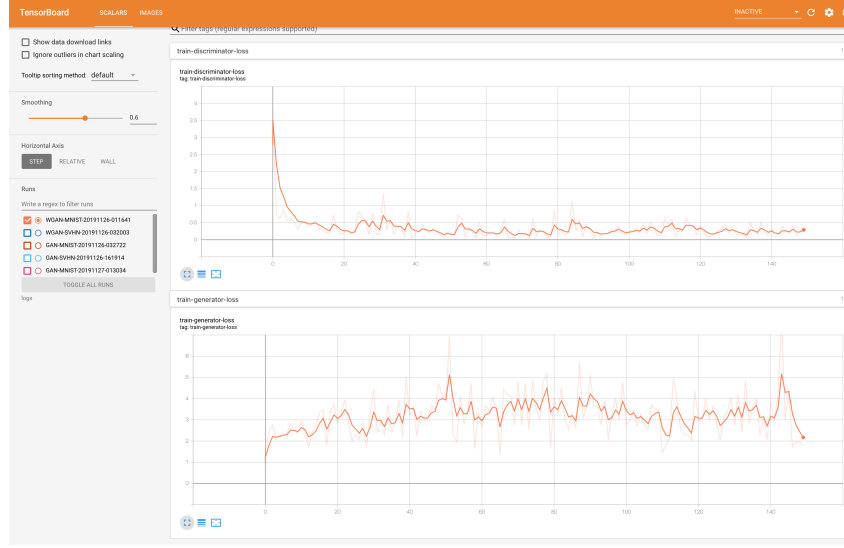


Figure 5: Tensorboard WGAN MNSIT

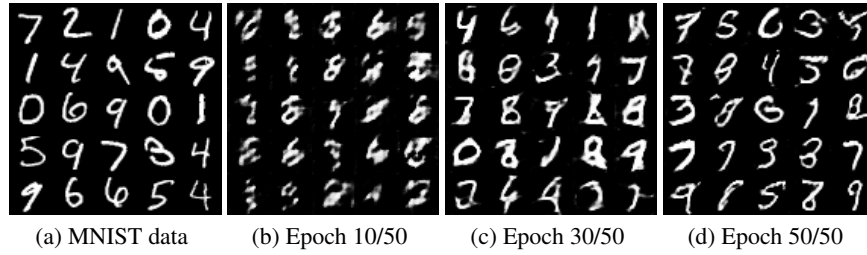


Figure 6: Comparison of MNIST data and generated samples from WGAN

As of the samples, there is no significant difference between the two models. Both qualities are satisfying.

## 4.2 WGAN on SVHN

## 5 Summary

In short, we have studied the generative adversarial nets, especially the DCGAN and WGAN. We implemented these two models to the MNIST and WGAN dataset respectively and obtained satisfying samples.

Since plenty new models have been published other than WGAN, in the future, we can try other models such as triple-GAN, Self-attention neural network, etc..

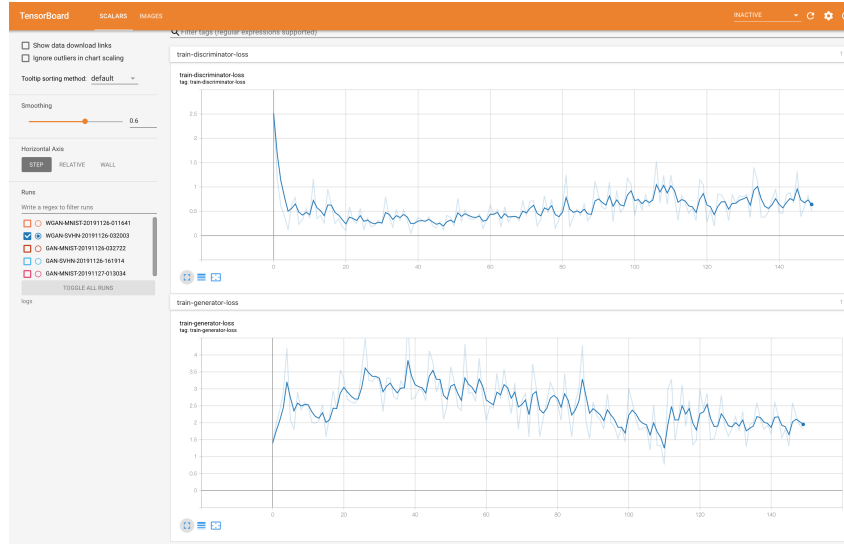


Figure 7: Tensorboard WGAN SVHN

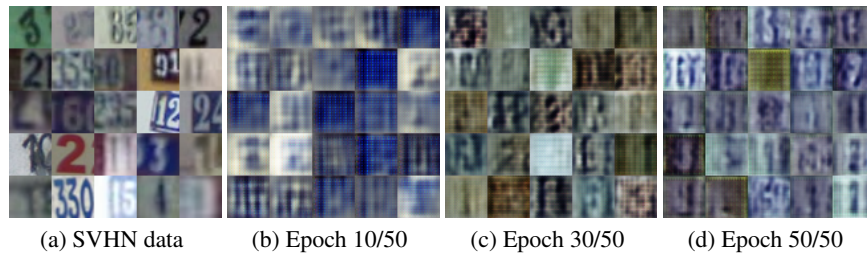


Figure 8: Comparison of SVHN data and generated samples from WGAN

## References

- [1] Goodfellow, Ian, et al. "Generative adversarial nets." Advances in neural information processing systems. 2014.
- [2] LeCun, Yann, et al. "THE MNIST DATABASE." MNIST Handwritten Digit Database, <http://yann.lecun.com/exdb/mnist/>.
- [3] Netzer, Yuval, et al. "Reading digits in natural images with unsupervised feature learning." (2011).
- [4] Radford, Alec, Luke Metz, and Soumith Chintala. "Unsupervised representation learning with deep convolutional generative adversarial networks." arXiv preprint arXiv:1511.06434 (2015).
- [5] Arjovsky, Martin, Soumith Chintala, and Léon Bottou. "Wasserstein gan." arXiv preprint arXiv:1701.07875 (2017).