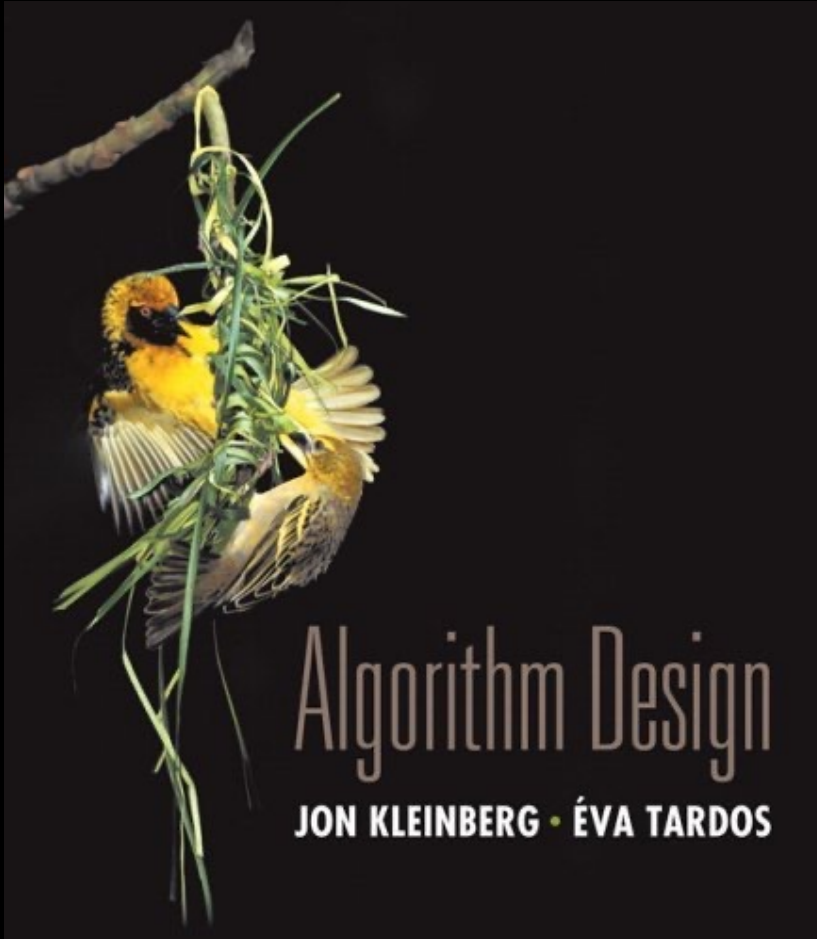


# Chapter 4

## Greedy Algorithms



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# 4.1 Interval Scheduling

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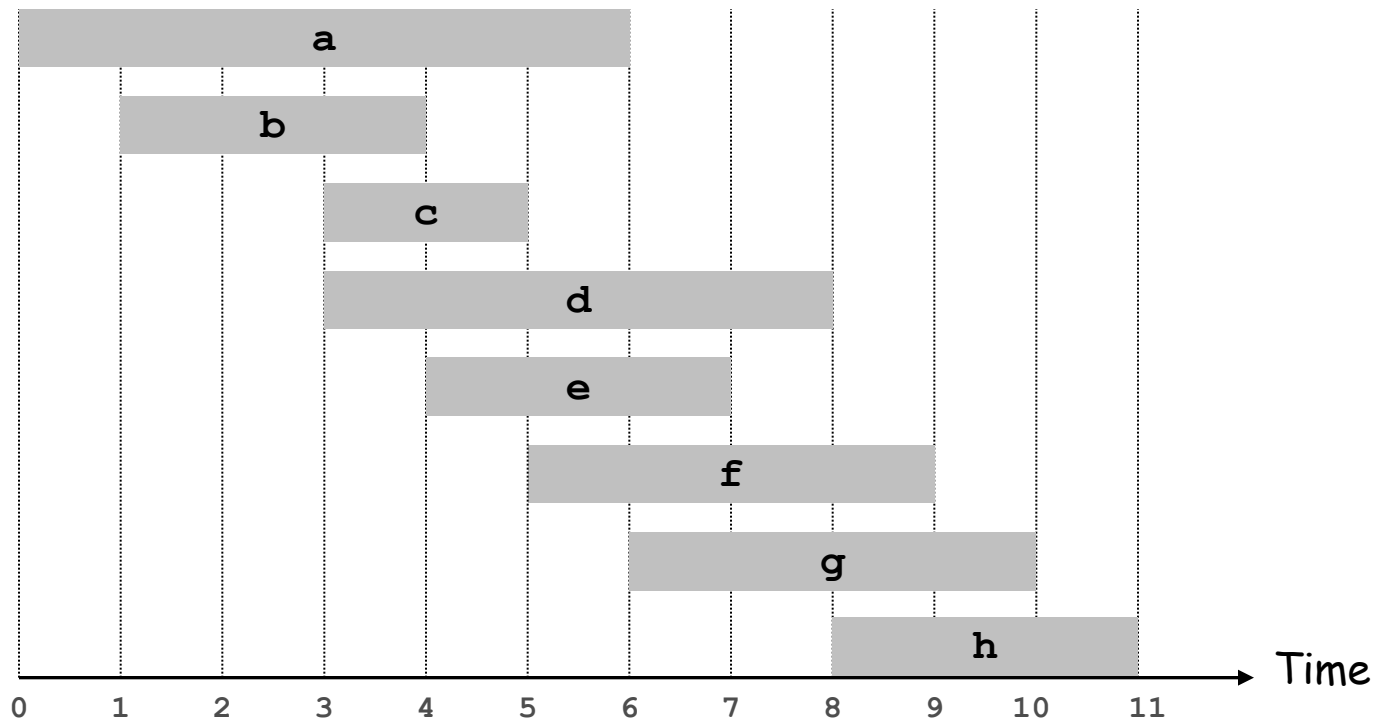
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Annotated and slightly changed by Yang Xu (徐炆)  
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# Interval Scheduling

## Interval scheduling.

- Job  $j$  starts at  $s_j$  and finishes at  $f_j$ .
- Two jobs **compatible** if they don't overlap.
- Goal: find maximum subset of mutually compatible jobs.



# Interval Scheduling: Greedy Algorithms

## strategy

**Greedy template.** Consider jobs in some natural order.  
Take each job provided it's compatible with the ones already taken.

- [Earliest start time] Consider jobs in ascending order of  $s_j$ .
- [Earliest finish time] Consider jobs in ascending order of  $f_j$ .
- [Shortest interval] Consider jobs in ascending order of  $f_j - s_j$ .
- [Fewest conflicts] For each job  $j$ , count the number of conflicting jobs  $c_j$ . Schedule in ascending order of  $c_j$ .

# Interval Scheduling: Greedy Algorithms

*Greedy template.* Consider jobs in some natural order.  
Take each job provided it's compatible with the ones already taken.



counterexample for earliest start time



counterexample for shortest interval



counterexample for fewest conflicts

# Interval Scheduling: Greedy Algorithm

**Greedy algorithm.** Consider jobs in increasing order of finish time. Take each job provided it's compatible with the ones already taken.

```
Sort jobs by finish times so that  $f_1 \leq f_2 \leq \dots \leq f_n$ .
```

↙ set of jobs selected

```
A ←  $\phi$ 
```

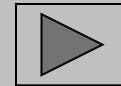
```
for j = 1 to n {
```

```
    if (job j compatible with A)
```

```
        A ← A  $\cup$  {j}
```

```
}
```

```
return A
```



**Implementation.**  $O(n \log n)$ .

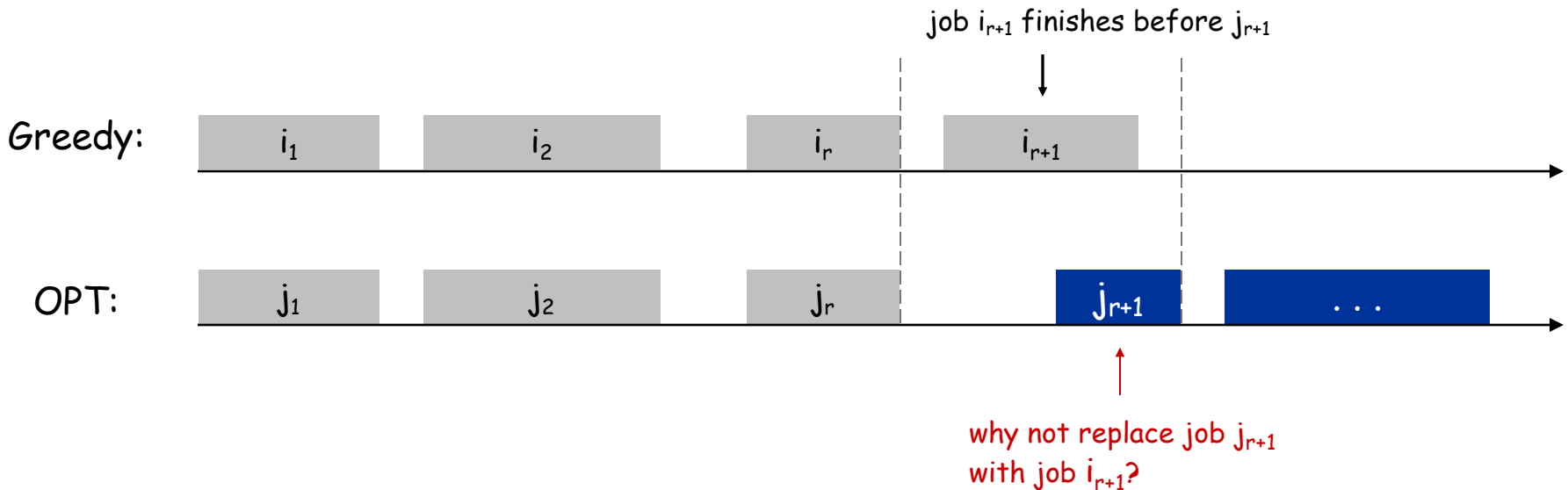
- Remember job  $j^*$  that was added last to A.
- Job j is compatible with A if  $s_j \geq f_{j^*}$ .

# Interval Scheduling: Analysis

**Theorem.** Greedy algorithm is optimal.

**Pf.** (by contradiction)

- Assume greedy is not optimal, and let's see what happens.
- Let  $i_1, i_2, \dots, i_k$  denote set of jobs selected by greedy.
- Let  $j_1, j_2, \dots, j_m$  denote set of jobs in the optimal solution with  $i_1 = j_1, i_2 = j_2, \dots, i_r = j_r$  for the largest possible value of  $r$ .

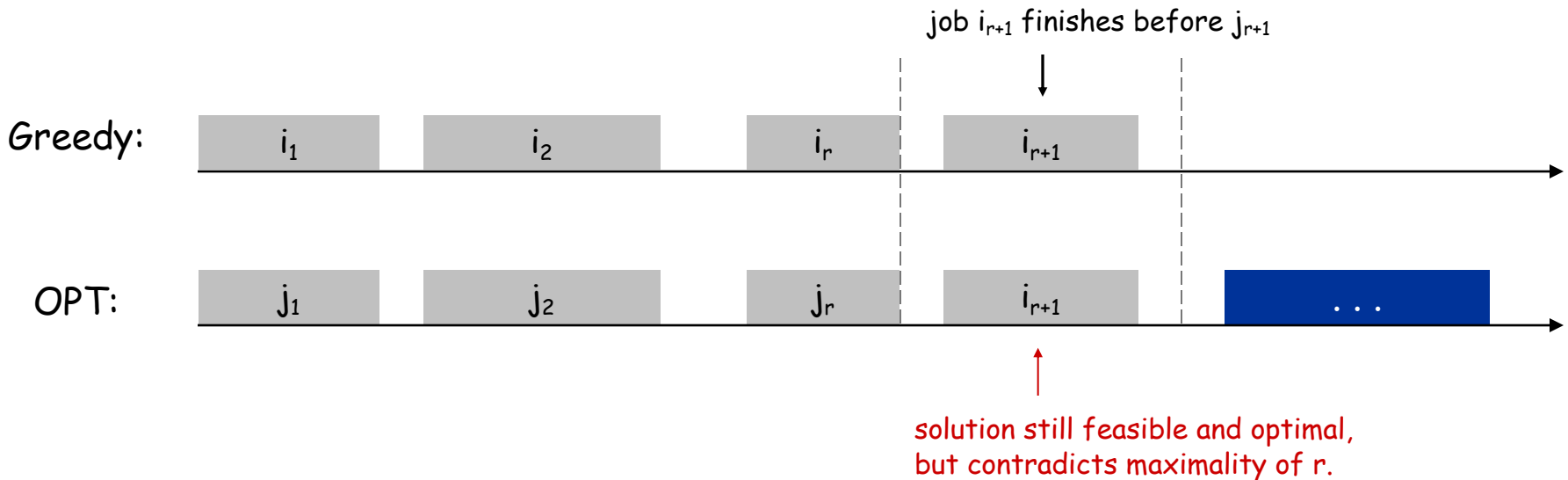


# Interval Scheduling: Analysis

**Theorem.** Greedy algorithm is optimal.

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- Let  $j_1, j_2, \dots, j_m$  denote set of jobs in the optimal solution with  $i_1 = j_1, i_2 = j_2, \dots, i_r = j_r$  for the largest possible value of  $r$ .





# Interval Scheduling: Analysis

Proof. 4.3 The greedy algorithm returns an optimal set  $A$ .

Textbook, page 120-121

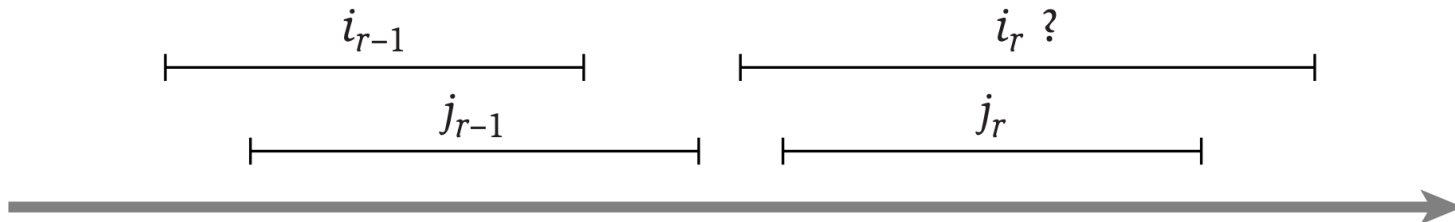
Greedy set  $A = \{i_1, i_2, \dots, i_k\}$ , optimal set  $O = \{j_1, j_2, \dots, j_m\}$

Goal: for each  $k \geq r \geq 1$ , we have  $f(i_r) \leq f(j_r)$

Induction:

1. True for  $r = 1$

2. Let  $r > 1$ , assume  $f(i_{r-1}) \leq f(j_{r-1})$ , can we have  $f(i_r) \leq f(j_r)$ ?



## 4.2 Interval Partitioning

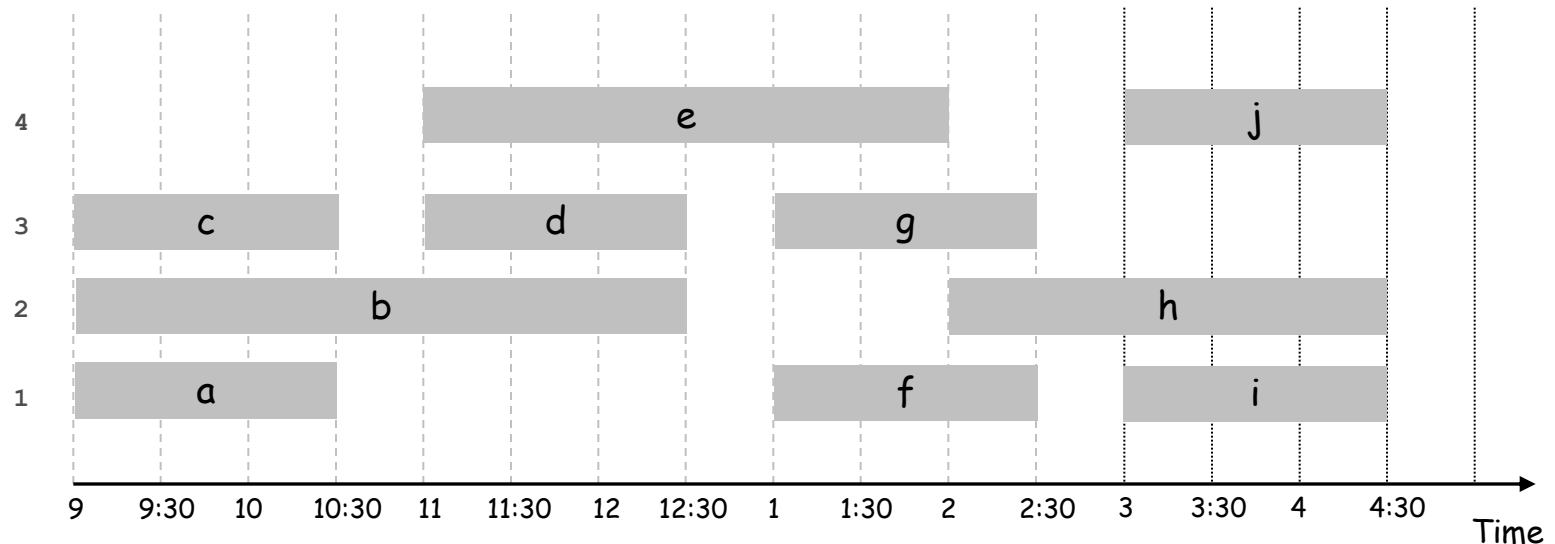
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# Interval Partitioning

## Interval partitioning.

- Lecture  $j$  starts at  $s_j$  and finishes at  $f_j$ .
- Goal: find minimum number of classrooms to schedule all lectures so that no two occur at the same time in the same room.

Ex: This schedule uses 4 classrooms to schedule 10 lectures.

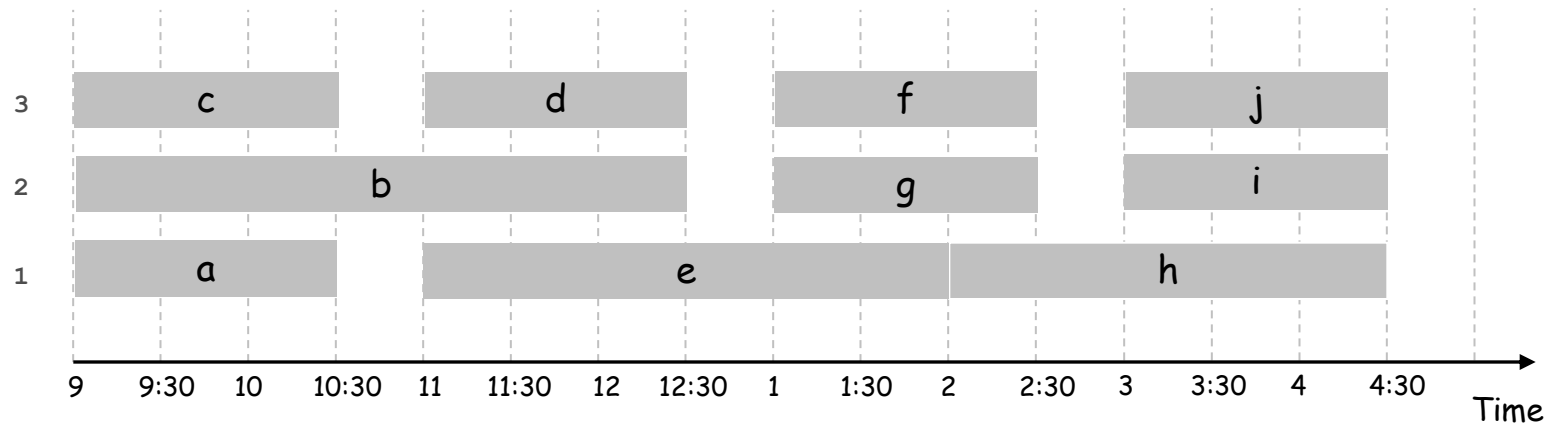


# Interval Partitioning

## Interval partitioning.

- Lecture  $j$  starts at  $s_j$  and finishes at  $f_j$ .
- Goal: find minimum number of classrooms to schedule all lectures so that no two occur at the same time in the same room.

Ex: This schedule uses only 3.



# Interval Partitioning: Lower Bound on Optimal Solution

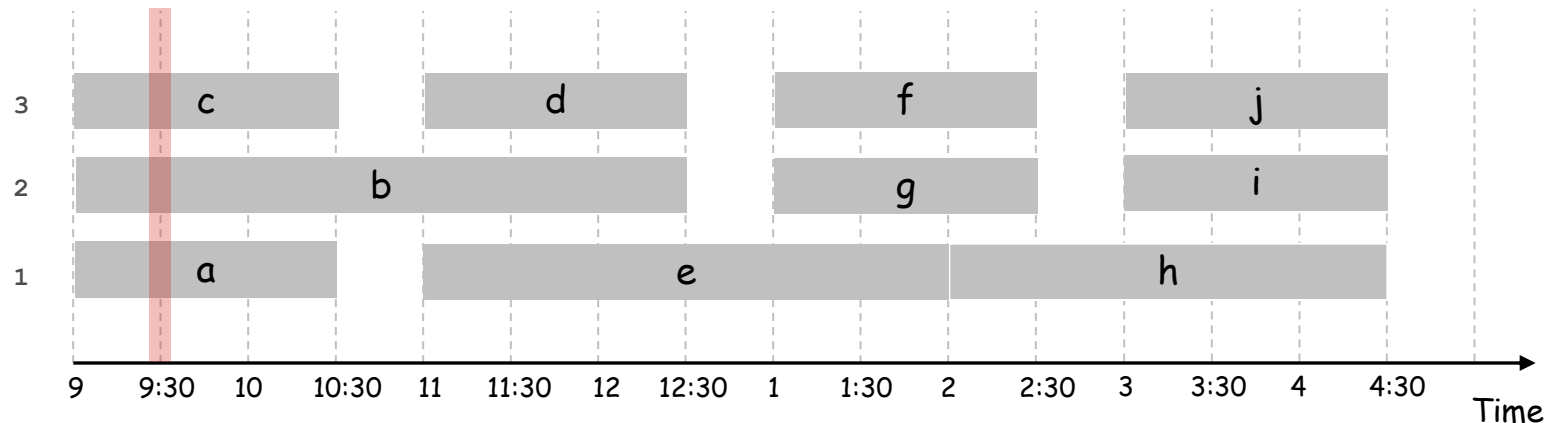
**Def.** The **depth** of a set of open intervals is the maximum number that contain any given time.

**Key observation.** Number of classrooms needed  $\geq$  depth.

**Ex:** Depth of schedule below = 3  $\Rightarrow$  schedule below is optimal.

*a, b, c all contain 9:30*

**Q.** Does there always exist a schedule equal to depth of intervals?



# Interval Partitioning: Greedy Algorithm

**Greedy algorithm.** Consider lectures in increasing order of start time: assign lecture to any compatible classroom.

```
Sort intervals by starting time so that  $s_1 \leq s_2 \leq \dots \leq s_n$ .  
 $d \leftarrow 0$   $\leftarrow$  number of allocated classrooms  
  
for  $j = 1$  to  $n$  {  
    if (lecture  $j$  is compatible with some classroom  $k$ )  
        schedule lecture  $j$  in classroom  $k$   
    else  
        allocate a new classroom  $d + 1$   
        schedule lecture  $j$  in classroom  $d + 1$   
         $d \leftarrow d + 1$   
}
```

**Implementation.**  $O(n \log n)$ . (why?)

- For each classroom  $k$ , maintain the finish time of the last job added.
- Keep the classrooms in a priority queue.

# Interval Partitioning: Greedy Analysis

**Observation.** Greedy algorithm never schedules two incompatible lectures in the same classroom.

**Theorem.** Greedy algorithm is optimal.

**Pf.**

- Let  $d$  = number of classrooms that the greedy algorithm allocates.
- Classroom  $d$  is opened because we needed to schedule a job, say  $j$ , that is incompatible with all  $d-1$  other classrooms.
- These  $d$  jobs each end after  $s_j$ .
- Since we sorted by start time, all these incompatibilities are caused by lectures that start no later than  $s_j$ .
- Thus, we have  $d$  lectures overlapping at time  $s_j + \epsilon$ .
- Key observation  $\Rightarrow$  all schedules use  $\geq d$  classrooms. ■
- ( $d$  is the depth)

# Interval Partitioning: Greedy Algorithm (alternative)

## Textbook page 124, alternative

---

Sort the intervals by their start times, breaking ties arbitrarily

Let  $I_1, I_2, \dots, I_n$  denote the intervals in this order

For  $j = 1, 2, 3, \dots, n$

    For each interval  $I_i$  that precedes  $I_j$  in sorted order and overlaps it

        Exclude the label of  $I_i$  from consideration for  $I_j$

    Endfor

    If there is any label from  $\{1, 2, \dots, d\}$  that has not been excluded then

        Assign a nonexcluded label to  $I_j$

    Else

        Leave  $I_j$  unlabeled

    Endif

Endfor

---

Q: Why using  $d$  labels is sufficient?



## 4.3 Scheduling to Minimize Lateness

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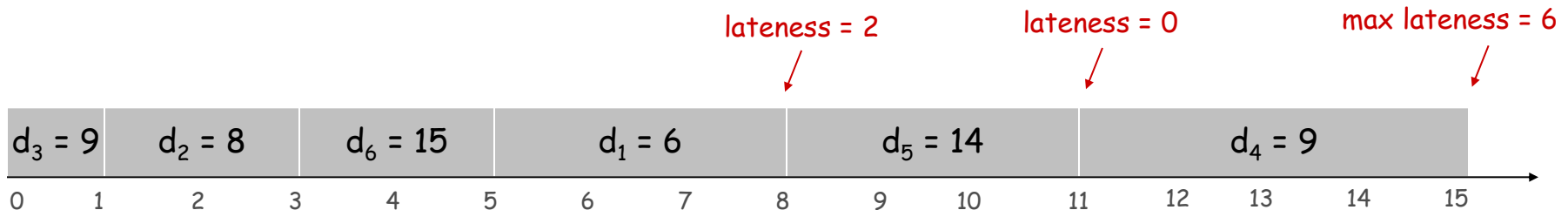
# Scheduling to Minimizing Lateness

## Minimizing lateness problem.

- Single resource processes one job at a time.
- Job  $j$  requires  $t_j$  units of processing time and is due at time  $d_j$ .
- If  $j$  starts at time  $s_j$ , it finishes at time  $f_j = s_j + t_j$ .
- Lateness:  $\ell_j = \max \{ 0, f_j - d_j \}$ .
- Goal: schedule all jobs to minimize **maximum** lateness  $L = \max \ell_j$ .

Ex:

	1	2	3	4	5	6
$t_j$	3	2	1	4	3	2
$d_j$	6	8	9	9	14	15



# Minimizing Lateness: Greedy Algorithms

*Greedy template.* Consider jobs in some order.

- [Shortest processing time first] Consider jobs in ascending order of processing time  $t_j$ .
- [Earliest deadline first] Consider jobs in ascending order of deadline  $d_j$ .
- [Smallest slack] Consider jobs in ascending order of slack  $d_j - t_j$ .

# Minimizing Lateness: Greedy Algorithms

*Greedy template.* Consider jobs in some order.

- [Shortest processing time first] Consider jobs in ascending order of processing time  $t_j$ .

	1	2
$t_j$	1	10
$d_j$	100	10

counterexample

- [Smallest slack] Consider jobs in ascending order of slack  $d_j - t_j$ .

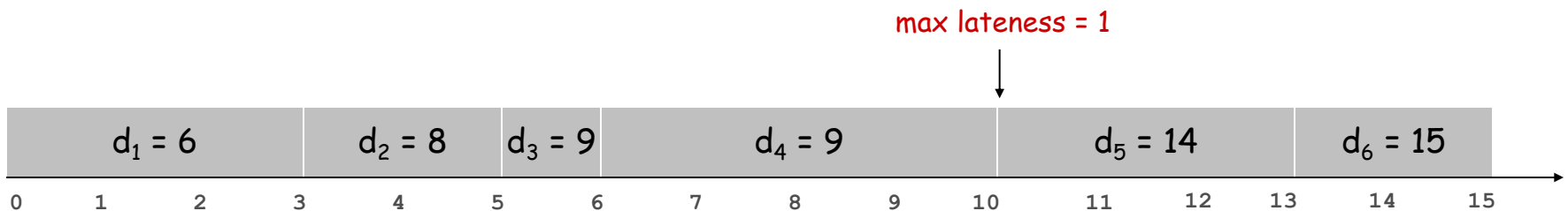
	1	2
$t_j$	1	10
$d_j$	2	10

counterexample

# Minimizing Lateness: Greedy Algorithm

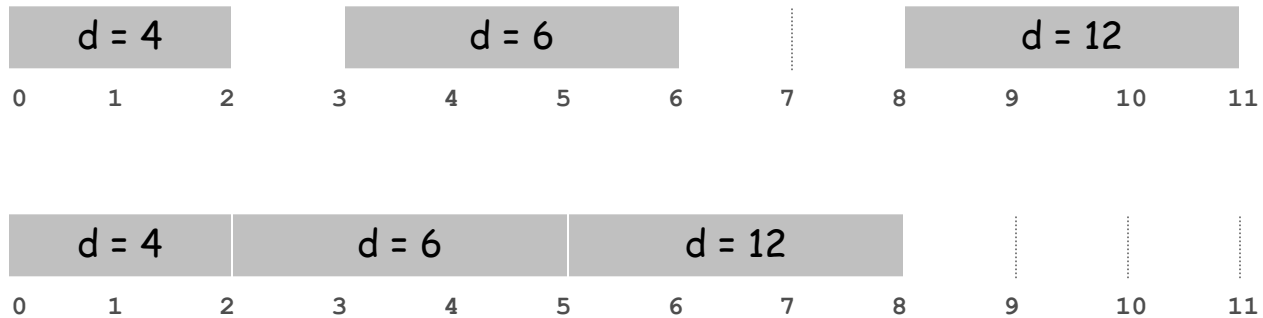
Greedy algorithm. Earliest deadline first.

```
Sort n jobs by deadline so that  $d_1 \leq d_2 \leq \dots \leq d_n$   
  
 $t \leftarrow 0$   
for  $j = 1$  to  $n$   
    Assign job  $j$  to interval  $[t, t + t_j]$   
     $s_j \leftarrow t, f_j \leftarrow t + t_j$   
     $t \leftarrow t + t_j$   
output intervals  $[s_j, f_j]$ 
```



# Minimizing Lateness: No Idle Time

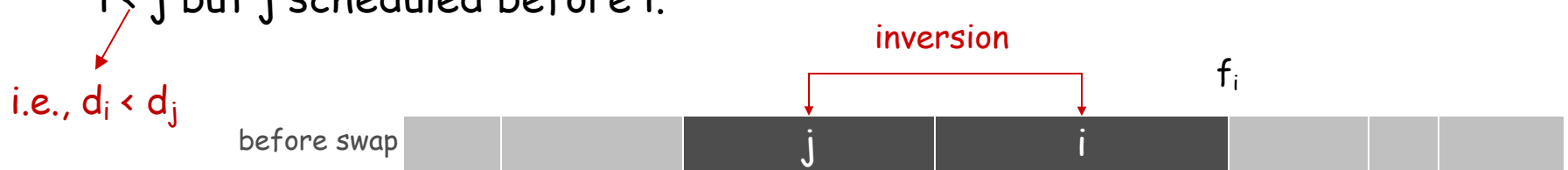
**Observation.** There exists an optimal schedule with no **idle time**.



**Observation.** The greedy schedule has no idle time.

# Minimizing Lateness: Inversions

**Def.** Given a schedule  $S$ , an **inversion** is a pair of jobs  $i$  and  $j$  such that:  $i < j$  but  $j$  scheduled before  $i$ .



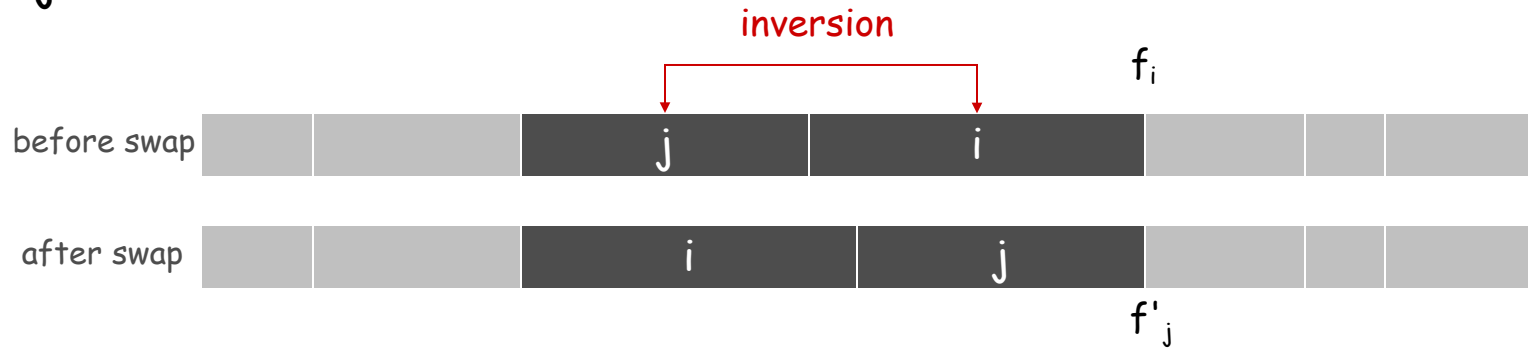
[ as before, we assume jobs are numbered so that  $d_1 \leq d_2 \leq \dots \leq d_n$  ]

**Observation.** Greedy schedule has no inversions.

**Observation.** If a schedule (with no idle time) has an inversion, it has one with a pair of inverted jobs scheduled consecutively.

# Minimizing Lateness: Inversions

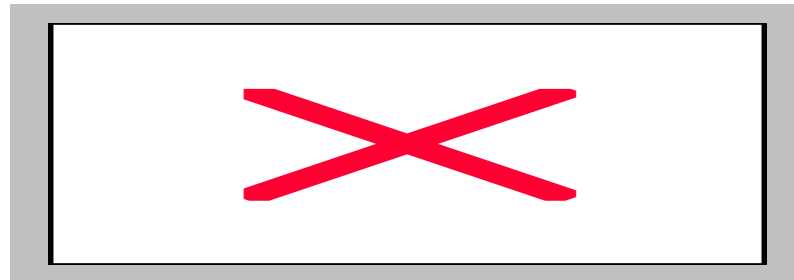
**Def.** Given a schedule  $S$ , an **inversion** is a pair of jobs  $i$  and  $j$  such that:  $i < j$  but  $j$  scheduled before  $i$ .



**Claim.** Swapping two consecutive, inverted jobs reduces the number of inversions by one and does not increase the max lateness.

**Pf.** Let  $\ell$  be the lateness before the swap, and let  $\ell'$  be it afterwards.

- $\ell'_k = \ell_k$  for all  $k \neq i, j$
- $\ell'_i \leq \ell_i$
- If job  $j$  is late:
- $\ell'_j < \ell_j$

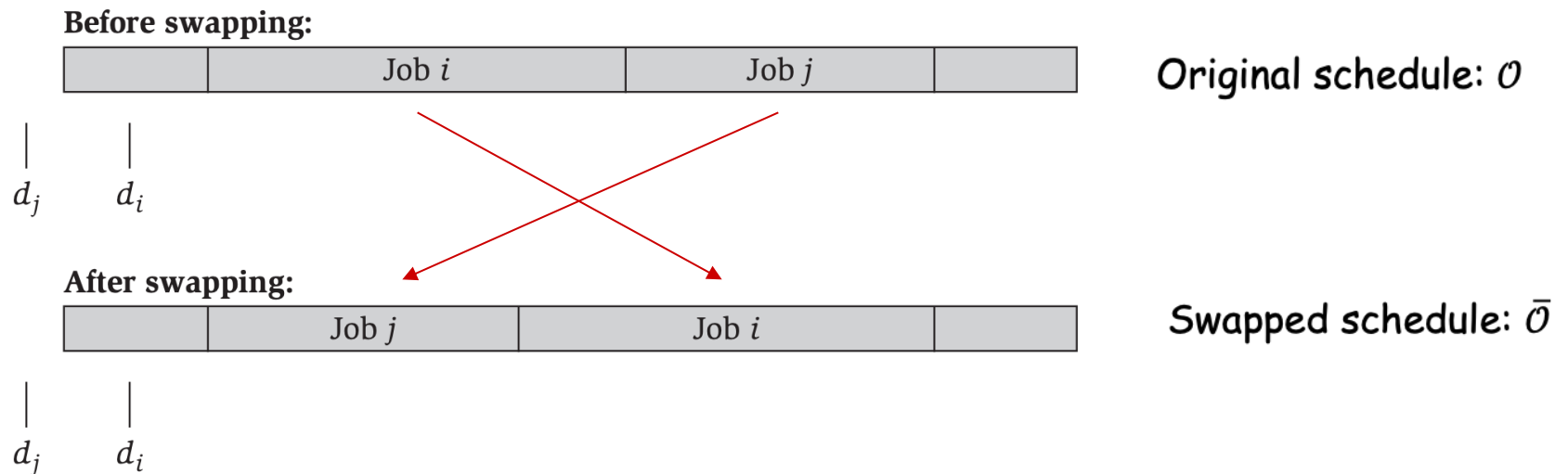




# Minimizing Lateness: Inversions

Proof of 4.9 (c) The new swapped schedule has a maximum lateness no larger than that of the optimal schedule.

Textbook, page 129-130



Assumption:  $d_i > d_j$

Obviously for  $j$ ,  $L_j = f(j) - d_j \Rightarrow \bar{L}_j = \bar{f}(j) - d_j$

What about  $i$ ?  $\bar{L}_i = \bar{f}(i) - d_i = f(j) - d_i < f(j) - d_j = L$

# Minimizing Lateness: Analysis of Greedy Algorithm

**Theorem.** Greedy schedule  $S$  is optimal.

**Pf.** Define  $S^*$  to be an optimal schedule that has the fewest number of inversions, and let's see what happens.

- Can assume  $S^*$  has no idle time.
- If  $S^*$  has no inversions, then  $S = S^*$ .
- If  $S^*$  has an inversion, let  $i$ - $j$  be an adjacent inversion.
  - swapping  $i$  and  $j$  does not increase the maximum lateness and strictly decreases the number of inversions
  - this contradicts definition of  $S^*$  ■

# Greedy Analysis Strategies

**Greedy algorithm stays ahead.** Show that after each step of the greedy algorithm, its solution is at least as good as any other algorithm's.

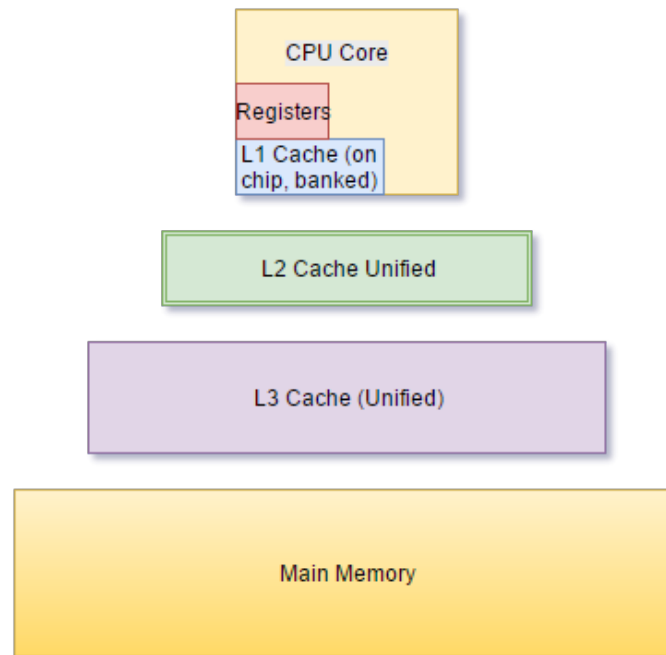
**Structural.** Discover a simple "structural" bound asserting that every possible solution must have a certain value. Then show that your algorithm always achieves this bound.

**Exchange argument.** Gradually transform any solution to the one found by the greedy algorithm without hurting its quality.

**Other greedy algorithms.** Kruskal, Prim, Dijkstra, Huffman, ...

## 4.4 Optimal Caching

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# Optimal Offline Caching

最优离线缓存

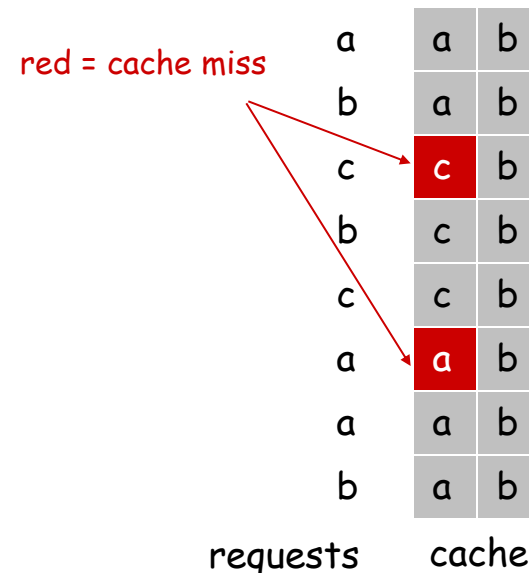
## Caching.

- Cache with capacity to store  $k$  items.
- Sequence of  $m$  item requests  $d_1, d_2, \dots, d_m$ .
- Cache **hit**: item already in cache when requested.
- Cache **miss**: item not already in cache when requested: must bring requested item into cache, and *evict* some existing item, if full.

**Goal.** Eviction schedule that minimizes number of cache misses.

**Ex:**  $k = 2$ , initial cache =  $ab$ ,  
requests:  $a, b, c, b, c, a, a, b$ .

**Optimal eviction schedule:** 2 cache misses.



## Optimal Offline Caching: Farthest-In-Future

**Farthest-in-future.** Evict item in the cache that is not requested until farthest in the future. 每次要弹出一个数据的时候，选择在未来最远的requested的弹出

current cache: 

a	b	c	d	e	f
---	---	---	---	---	---

future queries:    g a b c e d a b b a c d e a f a d e f g h ...

↑  
cache miss

↑  
eject this one

**Theorem.** [Bellady, 1960s] FF is optimal eviction schedule.

**Pf.** Algorithm and theorem are intuitive; proof is subtle.

## Term: online vs. offline caching

# Reduced Eviction Schedules

Reduced: 简化的

**Def.** A **reduced** schedule is a schedule that only inserts an item into the cache in a step in which that item is requested.

**Intuition.** Can transform an unreduced schedule into a reduced one with no more cache misses.      Unreduced/nonreduced: 繁琐的

a	a	b	c
a	a	x	c
c	a	d	c
d	a	d	b
a	a	c	b
b	a	x	b
c	a	c	b
a	a	b	c
a	a	b	c

an unreduced schedule

a	a	b	c
a	a	b	c
c	a	b	c
d	a	d	c
a	a	d	c
b	a	d	b
c	a	c	b
a	a	c	b
a	a	c	b

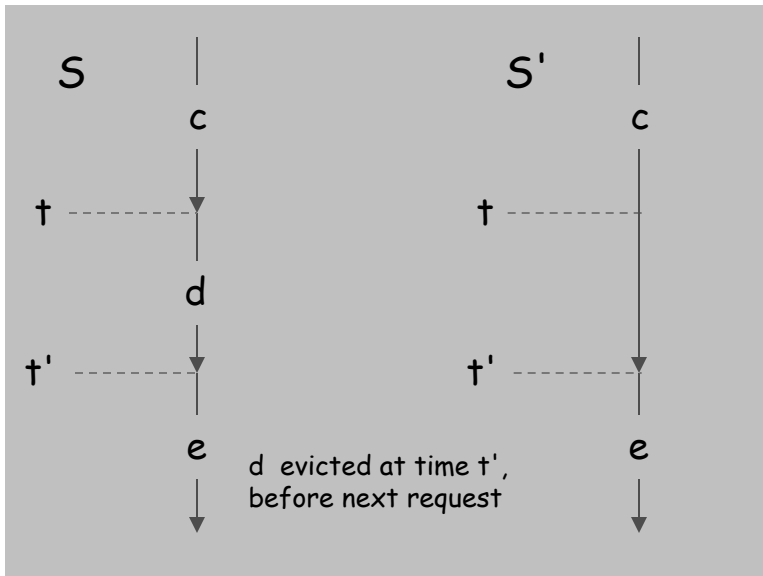
a reduced schedule

# Reduced Eviction Schedules

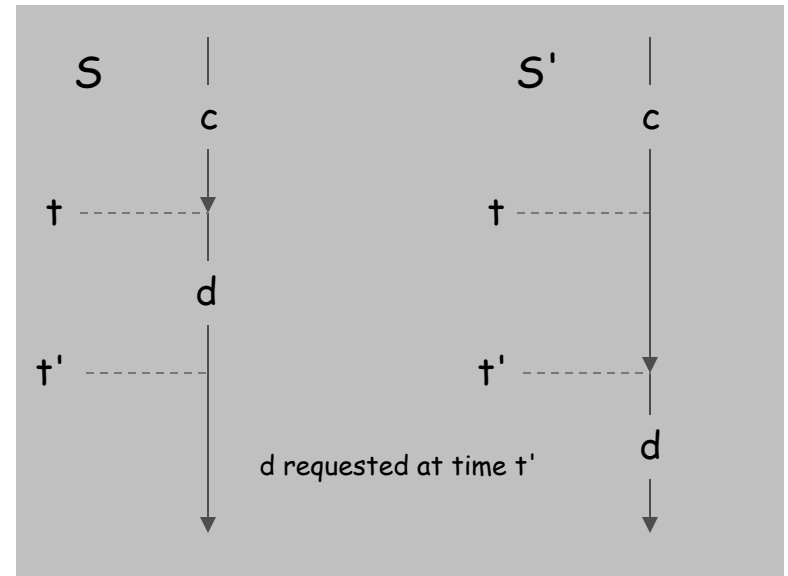
**Claim.** Given any unreduced schedule  $S$ , can transform it into a reduced schedule  $S'$  with no more cache misses.

**Pf.** (by induction on number of unreduced items) ← doesn't enter cache at requested time

- Suppose  $S$  brings  $d$  into the cache at time  $t$ , without a request.
- Let  $c$  be the item  $S$  evicts when it brings  $d$  into the cache.
- Case 1:  $d$  evicted at time  $t'$ , before next request for  $d$ .
- Case 2:  $d$  requested at time  $t'$  before  $d$  is evicted. ■



Case 1



Case 2



# Farthest-In-Future: Analysis

**Theorem.** FF is optimal eviction algorithm.

**Pf.** (by induction on number of requests  $j$ )

Invariant: There exists an optimal reduced schedule  $S$  that makes the same eviction schedule as  $S_{FF}$  through the first  $j+1$  requests.

Let  $S$  be reduced schedule that satisfies invariant through  $j$  requests.

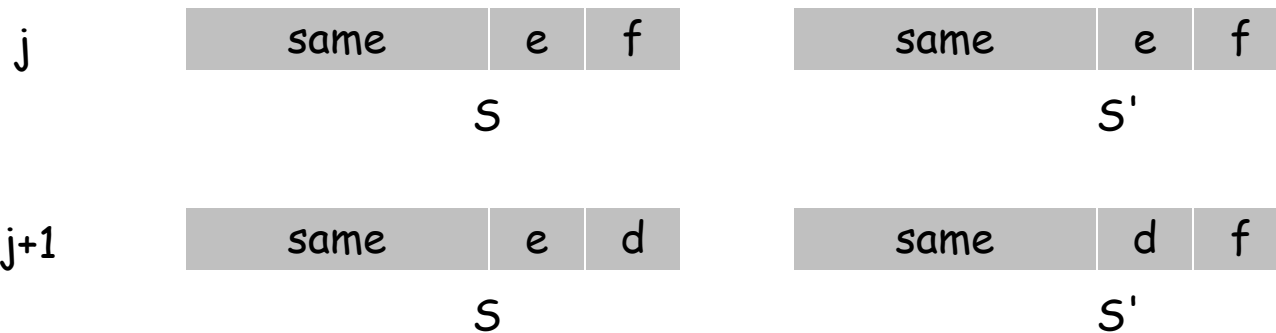
We produce  $S'$  that satisfies invariant after  $j+1$  requests.

- Consider  $(j+1)^{st}$  request  $d = d_{j+1}$ .
- Since  $S$  and  $S_{FF}$  have agreed up until now, they have the same cache contents before request  $j+1$ .
- Case 1: ( $d$  is already in the cache).  $S' = S$  satisfies invariant.
- Case 2: ( $d$  is not in the cache and  $S$  and  $S_{FF}$  evict the same element).  $S' = S$  satisfies invariant.

# Farthest-In-Future: Analysis

Pf. (continued)

- Case 3: ( $d$  is not in the cache;  $S_{FF}$  evicts  $e$ ;  $S$  evicts  $f \neq e$ ).
  - begin construction of  $S'$  from  $S$  by evicting  $e$  instead of  $f$

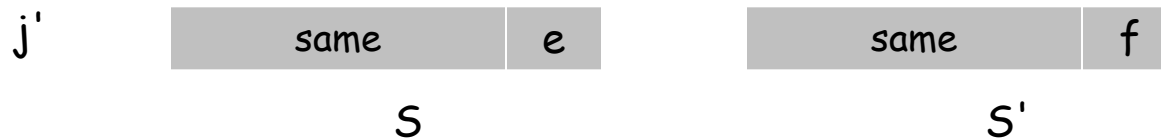


- now  $S'$  agrees with  $S_{FF}$  on first  $j+1$  requests; we show that having element  $f$  in cache is no worse than having element  $e$

# Farthest-In-Future: Analysis

Let  $j'$  be the **first** time after  $j+1$  that  $S$  and  $S'$  take a different action, and let  $g$  be item requested at time  $j'$ .

↑  
must involve  $e$  or  $f$  (or both)



- Case 3a:  $g = e$ . Can't happen with Farthest-In-Future since there must be a request for  $f$  before  $e$ .
- Case 3b:  $g = f$ . Element  $f$  can't be in cache of  $S$ , so let  $e'$  be the element that  $S$  evicts.
  - if  $e' = e$ ,  $S'$  accesses  $f$  from cache; now  $S$  and  $S'$  have same cache
  - if  $e' \neq e$ ,  $S'$  evicts  $e'$  and brings  $e$  into the cache; now  $S$  and  $S'$  have the same cache

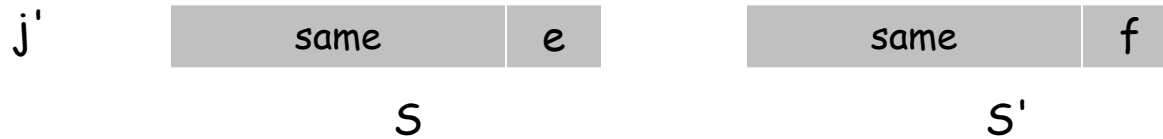
↑

Note:  $S'$  is no longer reduced, but can be transformed into a reduced schedule that agrees with  $S_{FF}$  through step  $j+1$

## Farthest-In-Future: Analysis

Let  $j'$  be the **first** time after  $j+1$  that  $S$  and  $S'$  take a different action, and let  $g$  be item requested at time  $j'$ .

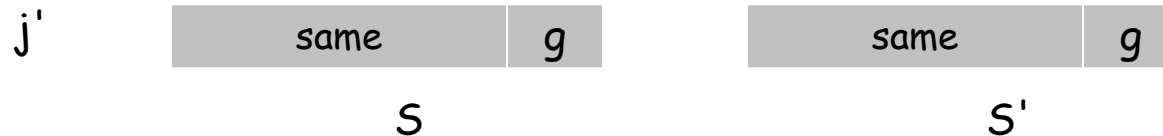
↑  
must involve  $e$  or  $f$  (or both)



otherwise  $S'$  would take the same action



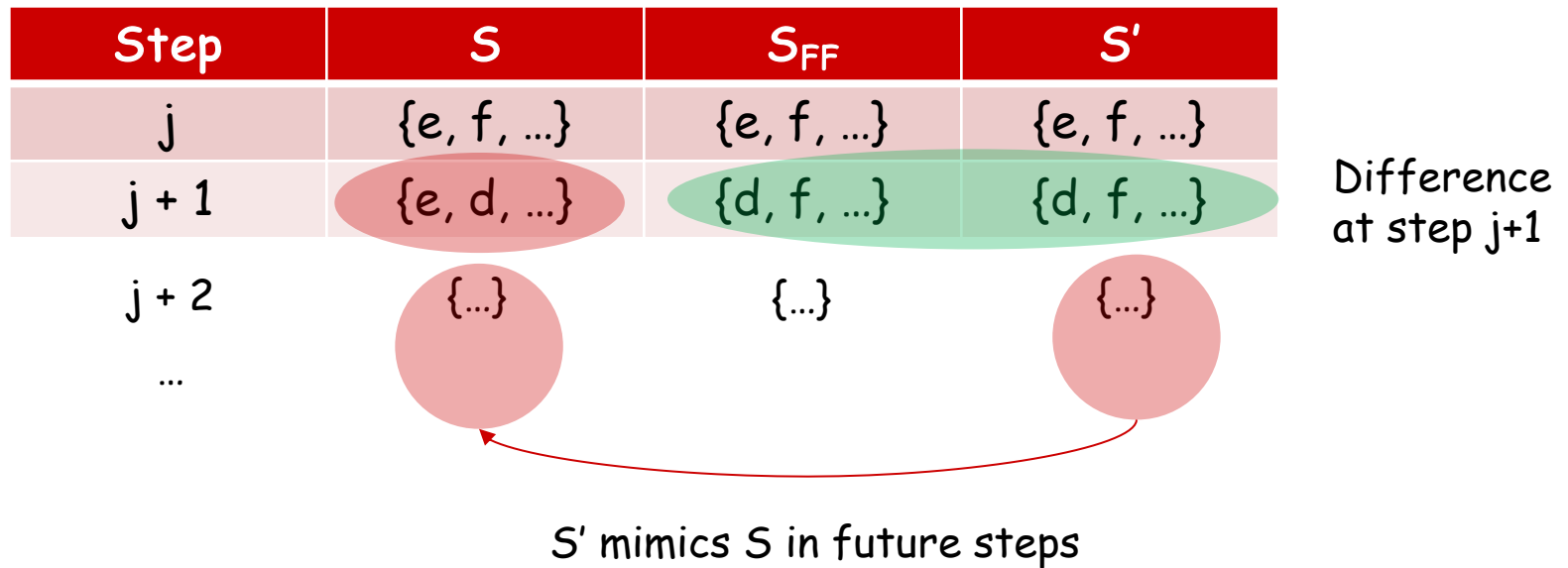
- Case 3c:  $g \neq e, f$ .  $S$  must evict  $e$ .  
Make  $S'$  evict  $f$ ; now  $S$  and  $S'$  have the same cache. ■



# Proof of Farthest-In-Future rephrased

Reference post: [https://blog.csdn.net/qq\\_36665989/article/details/120616786](https://blog.csdn.net/qq_36665989/article/details/120616786)

**Goal of proof:** considering a reduced schedule  $S$ , and a schedule produced by FF algorithm  $S_{FF}$ , satisfying that their **first  $j$**  steps are the same. We can always transform  $S$  into a new schedule  $S'$ , such that  $S'$  and  $S_{FF}$  have the same **first  $j+1$**  steps, and that  $S'$  incurs no more misses than  $S$ .



## Proof of Farthest-In-Future rephrased

How do we let  $S'$  mimic  $S$  as much as possible?

- Because  $S'$  and  $S$  become different since step  $j+1$ , we need to first adjust the elements in  $S'$  to make them the same as  $S$  as soon as possible
- If  $S'$  and  $S$  become identical at some step, then for all the future steps we simply let  $S'$  copy  $S$ .

Step	$S$	$S_{FF}$	$S'$
$j$	$\{e, f, \dots\}$	$\{e, f, \dots\}$	$\{e, f, \dots\}$
$j + 1$	$\{e, d, \dots\}$	$\{d, f, \dots\}$	$\{d, f, \dots\}$


If the incoming data after step  $j+1$  are not  $e$  or  $f$ , then  $S$  and  $S'$  take the same actions

So we focus on the first time after step  $j+1$  that  $S$  and  $S'$  take different actions.

Q: When the first time  $S$  and  $S'$  take different actions after step  $j+1$ , can the data be  $e$ ? Why or why not?

## Proof of Farthest-In-Future rephrased

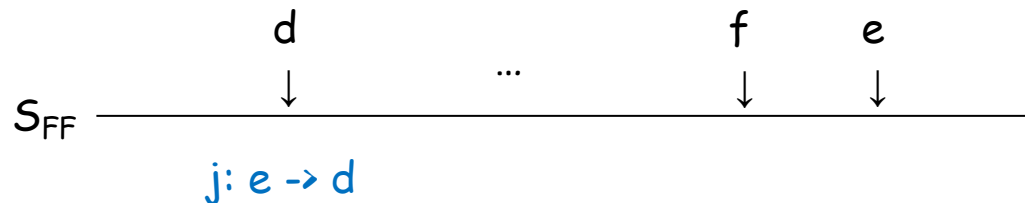
Q: When the first time  $S$  and  $S'$  take different actions after step  $j+1$ , can the data be  $e$ ? Why or why not?



Step	$S$	$S_{FF}$	$S'$
$j$	$\{e, f, \dots\}$	$\{e, f, \dots\}$	$\{e, f, \dots\}$
$j+1$	$\{e, d, \dots\}$	$\{d, f, \dots\}$	$\{d, f, \dots\}$

No! Because  $S_{FF}$  replaces  $e$  instead of  $f$  at step  $j$ , so  $e$  is farther than  $f$  in future events.

In another word, if the input is  $e$  after step  $j+1$ , then  $f$  must come before it.



So, we **do not need to consider  $e$**  for the first time  $S$  and  $S'$  take different actions after step  $j+1$ .

## Proof of Farthest-In-Future rephrased

**Analysis:** What data can it be for the first time after step  $j+1$  that  $S$  and  $S'$  take different actions?

Step	$S$	$S_{FF}$	$S'$
$j$	$\{e, f, \dots\}$	$\{e, f, \dots\}$	$\{e, f, \dots\}$
$j + 1$	$\{e, d, \text{others}\}$	$\{d, f, \text{others}\}$	$\{d, f, \text{others}\}$

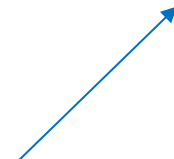
**Case 1:** input is  $f$ .

**1 a:**  $S: e \rightarrow f$ .

$S$  becomes  $\{d, f, \dots\}$ .  $S'$  hit  $f$ .  $S'$  is unchanged

Thus,  $S$  and  $S'$  become the same

$S'$  is unreduced, but  
can be converted to  
reduced. (slide 32)



**1 b:**  $S: x \rightarrow f$ , and  $x$  is some non- $e$  element in  $\text{"others"}$ .

$S$  becomes  $\{e, f, \dots\}$ .  $S'$  hit  $f$ .  $S'$  is unchanged.

But in order to make  $S'$  identical to  $S$ , we can replace the same element  $x$  in  $S'$  with  $f$ .

So  $S'$  also becomes  $\{e, f, \dots\}$ , i.e., same as  $S$ .



## Proof of Farthest-In-Future rephrased

**Analysis:** What data can it be for the first time after step  $j+1$  that  $S$  and  $S'$  take different actions?

Step	$S$	$S_{FF}$	$S'$
$j$	$\{e, f, \dots\}$	$\{e, f, \dots\}$	$\{e, f, \dots\}$
$j + 1$	$\{e, d, \text{others}\}$	$\{d, f, \text{others}\}$	$\{d, f, \text{others}\}$

**Case 2:** input is neither  $e$  nor  $f$ , say  $g$

For  $S$ , if it replaces some non-" $e$ " element, then for  $S'$  we can do the same, then they take the same actions (not the case under discussion)

So  $S$  can only replace  $e$  with  $g$ .  $S$  becomes  $\{g, d, \text{others}\}$

Then  $S'$  can replace  $f$  with  $g$ , and becomes  $\{g, d, \text{others}\}$ , too  
 $S$  and  $S'$  are the same now.

Now, we have proved that no matter what input data is at step  $j+1$ ,  $S$  and  $S'$  can always become the same after taking one-time different actions.

## Proof of Farthest-In-Future rephrased

Recall the **goal of proof**: considering a reduced schedule  $S$ , and a schedule produced by FF algorithm  $S_{FF}$ , satisfying that their **first  $j$**  steps are the same. We can always transform  $S$  into a new schedule  $S'$ , such that  $S'$  and  $S_{FF}$  have the same **first  $j+1$**  steps, and that  $S'$  incurs no more misses than  $S$ .

Step	$S$	$S_{FF}$	$S'$
$j$	$\{e, f, \dots\}$	$\{e, f, \dots\}$	$\{e, f, \dots\}$
$j + 1$	$\{e, d, \dots\}$	$\{d, f, \dots\}$	$\{d, f, \dots\}$

We have proved: if we can find an optimal schedule  $S_0$  that shares the same first  $j$  steps with  $S_{FF}$ , then we can always transform it to a new schedule  $S'$  that shares the same first  $j+1$  steps with  $S_{FF}$ .

It is easy to find  $S_0$  at step 0.

Induction done.  $S_{FF}$  is optimal.

# Caching Perspective

## Online vs. offline algorithms.

- Offline: full sequence of requests is known a priori.
- Online (reality): requests are not known in advance.
- Caching is among most fundamental online problems in CS.

**LIFO.** Evict item brought in most recently.

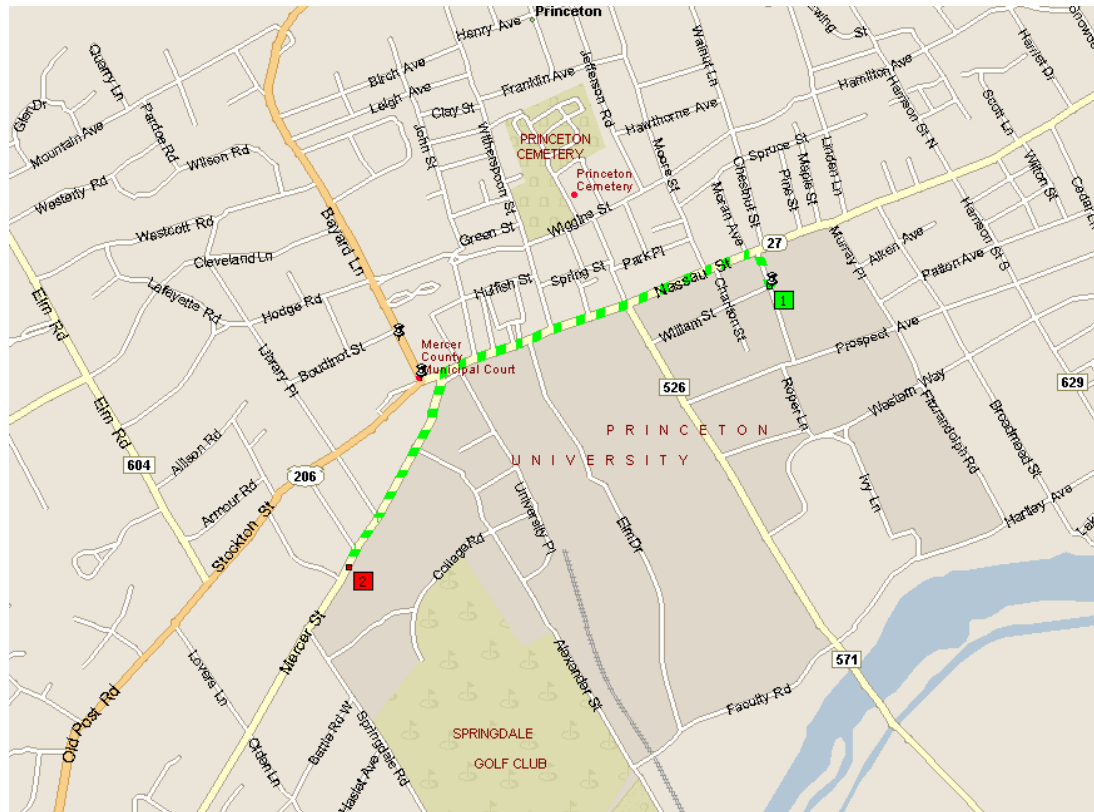
**LRU.** Evict item whose most recent access was earliest.

↑  
FF with direction of time reversed!

**Theorem.** FF is optimal offline eviction algorithm.

- Provides basis for understanding and analyzing online algorithms.
- LRU is  $k$ -competitive. [Section 13.8]
- LIFO is arbitrarily bad.

## 4.5 Shortest Paths in a Graph



shortest path from Princeton CS department to Einstein's house

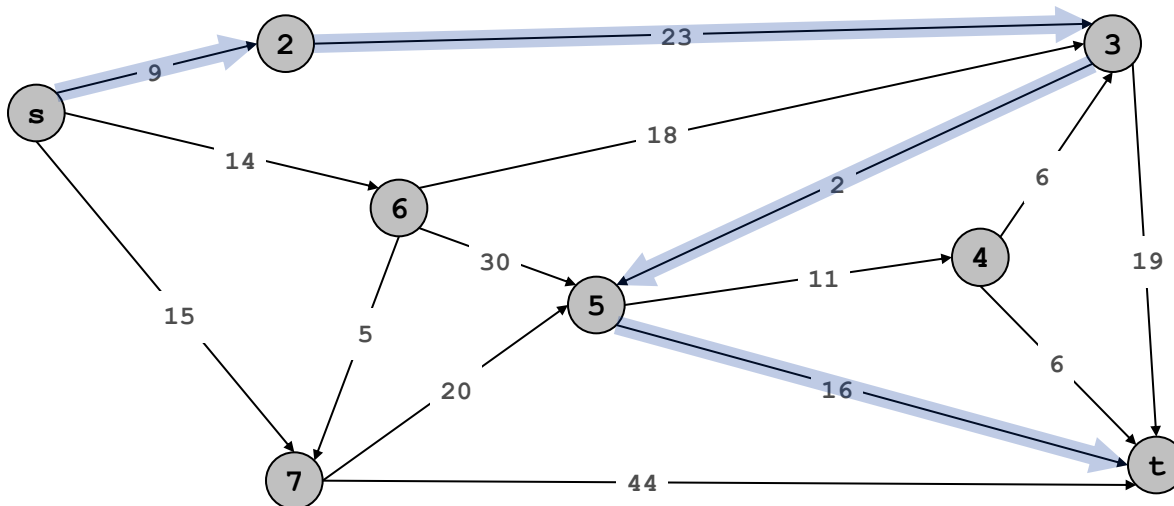
# Shortest Path Problem

## Shortest path network.

- Directed graph  $G = (V, E)$ .
- Source  $s$ , destination  $t$ .
- Length  $\ell_e$  = length of edge  $e$ .

**Shortest path problem:** find shortest directed path from  $s$  to  $t$ .

↑  
cost of path = sum of edge costs in path



Cost of path  $s-2-3-5-t$   
=  $9 + 23 + 2 + 16$   
= 50.

# Dijkstra's Algorithm

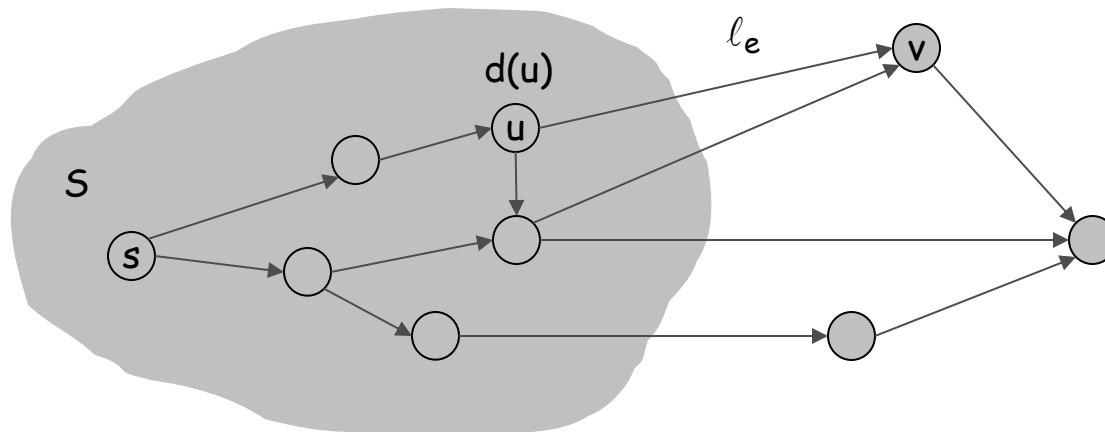
## Dijkstra's algorithm.

- Maintain a set of **explored nodes**  $S$  for which we have determined the shortest path distance  $d(u)$  from  $s$  to  $u$ .  $d(u)$  is a value to be determined
- Initialize  $S = \{s\}$ ,  $d(s) = 0$ .
- Repeatedly choose unexplored node  $v$  which minimizes

$$\pi(v) = \min_{e=(u,v):u \in S} d(u) + \ell_e$$

← shortest path to some  $u$  in explored part, followed by a single edge  $(u, v)$

add  $v$  to  $S$ , and set  $d(v) = \pi(v)$ .



# Dijkstra's Algorithm

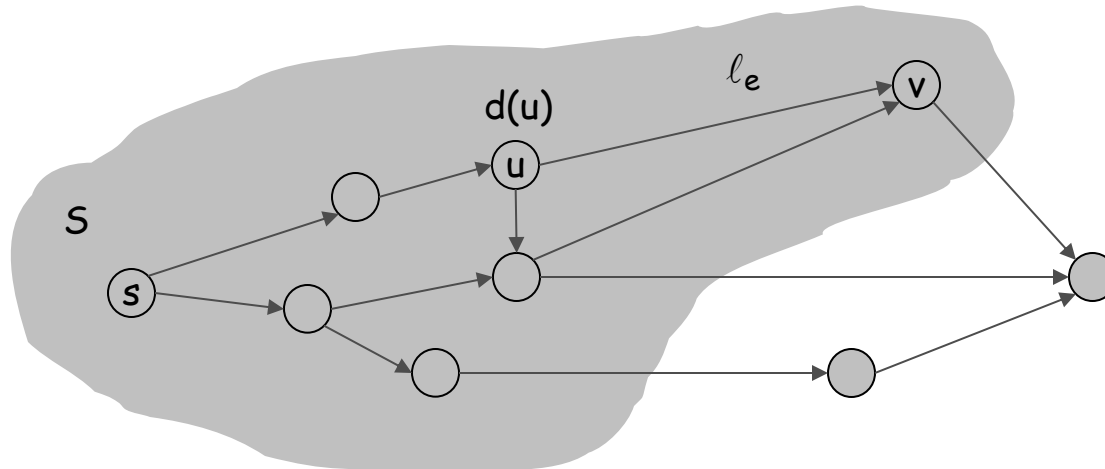
## Dijkstra's algorithm.

- Maintain a set of **explored nodes**  $S$  for which we have determined the shortest path distance  $d(u)$  from  $s$  to  $u$ .
- Initialize  $S = \{s\}$ ,  $d(s) = 0$ .
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$$\pi(v) = \min_{e=(u,v):u \in S} d(u) + \ell_e$$

← shortest path to some  $u$  in explored part, followed by a single edge  $(u, v)$

add  $v$  to  $S$ , and set  $d(v) = \pi(v)$ .



# Dijkstra's Algorithm: Proof of Correctness

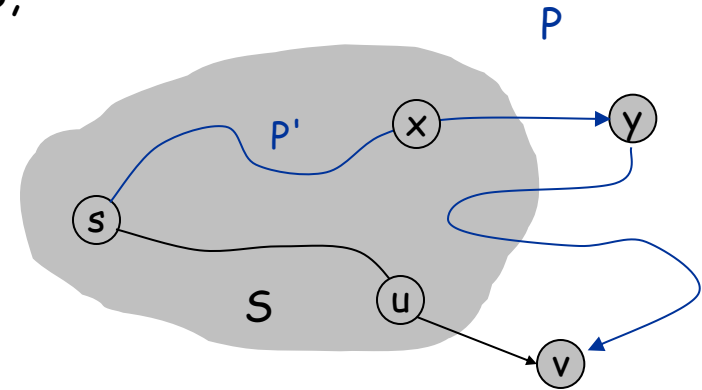
**Invariant.** For each node  $u \in S$ ,  $d(u)$  is the length of the shortest  $s$ - $u$  path.

**Pf.** (by induction on  $|S|$ )

**Base case:**  $|S| = 1$  is trivial.

**Inductive hypothesis:** Assume true for  $|S| = k \geq 1$ .

- Let  $v$  be next node added to  $S$ , and let  $u$ - $v$  be the chosen edge.
- The shortest  $s$ - $u$  path plus  $(u, v)$  is an  $s$ - $v$  path of length  $\pi(v)$ .
- Consider any  $s$ - $v$  path  $P$ . We'll see that it's no shorter than  $\pi(v)$ .
- Let  $x$ - $y$  be the first edge in  $P$  that leaves  $S$ , and let  $P'$  be the subpath to  $x$ .
- $P$  is already too long as soon as it leaves  $S$ .



$$\begin{array}{ccccccc} \ell(P) & \geq & \ell(P') + \ell(x, y) & \geq & d(x) + \ell(x, y) & \geq & \pi(y) \geq \pi(v) \\ \uparrow & & \uparrow & & \uparrow & & \uparrow \\ \text{nonnegative} & & \text{inductive} & & \text{defn of } \pi(y) & & \text{Dijkstra chose } v \\ \text{weights} & & \text{hypothesis} & & & & \text{instead of } y \end{array}$$



# Dijkstra's Algorithm: Implementation

For each unexplored node, explicitly maintain  $\pi(v) = \min_{e=(u,v):u \in S} d(u) + \ell_e$

- Next node to explore = node with minimum  $\pi(v)$ .
- When exploring  $v$ , for each incident edge  $e = (v, w)$ , **update**

$$\pi(v) = \min_{e=(u,v):u \in S} d(u) + \ell_e$$

Q: How to update?

**Efficient implementation.** Maintain a priority queue of unexplored nodes, prioritized by  $\pi(v)$ .



PQ Operation	Dijkstra	Array	Binary heap	d-way Heap	Fib heap <sup>†</sup>
Insert	$n$	$n$	$\log n$	$d \log_d n$	1
ExtractMin	$n$	$n$	$\log n$	$d \log_d n$	$\log n$
ChangeKey	$m$	1	$\log n$	$\log_d n$	1
IsEmpty	$n$	1	1	1	1
Total		$n^2$	$m \log n$	$m \log_{m/n} n$	$m + n \log n$

<sup>†</sup> Individual ops are amortized bounds

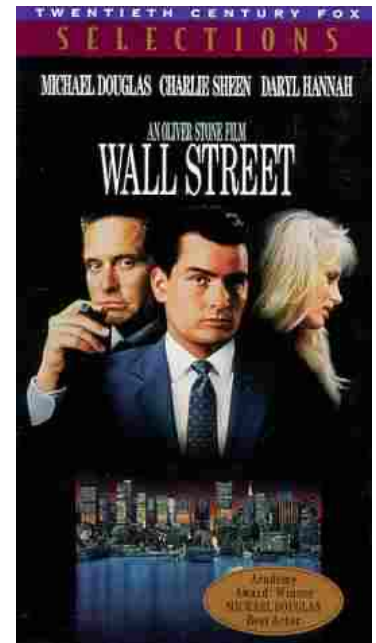
# Extra Slides

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# Coin Changing

Greed is good. Greed is right. Greed works.  
Greed clarifies, cuts through, and captures the  
essence of the evolutionary spirit.

- *Gordon Gecko (Michael Douglas)*



# Coin Changing

**Goal.** Given currency denominations: 1, 5, 10, 25, 100, devise a method to pay amount to customer using fewest number of coins.

**Ex:** 34¢.



**Cashier's algorithm.** At each iteration, add coin of the largest value that does not take us past the amount to be paid.

**Ex:** \$2.89.



# Coin-Changing: Greedy Algorithm

**Cashier's algorithm.** At each iteration, add coin of the largest value that does not take us past the amount to be paid.

```
Sort coins denominations by value:  $c_1 < c_2 < \dots < c_n$ .
```

```
    coins selected  
    ↙  
S ←  $\phi$   
while (x ≠ 0) {  
    let k be largest integer such that  $c_k \leq x$   
    if (k = 0)  
        return "no solution found"  
    x ← x -  $c_k$   
    S ← S ∪ {k}  
}  
return S
```

Q. Is cashier's algorithm optimal?

# Coin-Changing: Analysis of Greedy Algorithm

**Theorem.** Greedy is optimal for U.S. coinage: 1, 5, 10, 25, 100.

**Pf.** (by induction on  $x$ )

- Consider optimal way to change  $c_k \leq x < c_{k+1}$  : greedy takes coin  $k$ .
- We claim that any optimal solution must also take coin  $k$ .
  - if not, it needs enough coins of type  $c_1, \dots, c_{k-1}$  to add up to  $x$
  - table below indicates no optimal solution can do this
- Problem reduces to coin-changing  $x - c_k$  cents, which, by induction, is optimally solved by greedy algorithm. ■

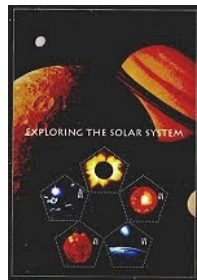
$k$	$c_k$	All optimal solutions must satisfy	Max value of coins 1, 2, ..., $k-1$ in any OPT
1	1	$P \leq 4$	-
2	5	$N \leq 1$	4
3	10	$N + D \leq 2$	$4 + 5 = 9$
4	25	$Q \leq 3$	$20 + 4 = 24$
5	100	no limit	$75 + 24 = 99$

# Coin-Changing: Analysis of Greedy Algorithm

**Observation.** Greedy algorithm is sub-optimal for US postal denominations: 1, 10, 21, 34, 70, 100, 350, 1225, 1500.

**Counterexample.** 140¢.

- Greedy: 100, 34, 1, 1, 1, 1, 1, 1.
- Optimal: 70, 70.



# Selecting Breakpoints

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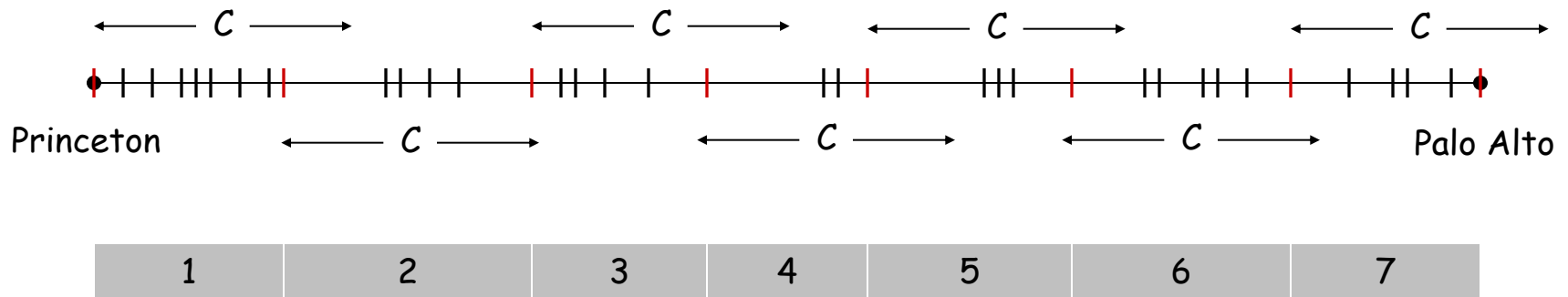


# Selecting Breakpoints

## Selecting breakpoints.

- Road trip from Princeton to Palo Alto along fixed route.
- Refueling stations at certain points along the way.
- Fuel capacity =  $C$ .
- Goal: makes as few refueling stops as possible.

**Greedy algorithm.** Go as far as you can before refueling.



# Selecting Breakpoints: Greedy Algorithm

Truck driver's algorithm.

```
Sort breakpoints so that:  $0 = b_0 < b_1 < b_2 < \dots < b_n = L$ 
```

```
 $S \leftarrow \{0\}$   $\leftarrow$  breakpoints selected
```

```
 $x \leftarrow 0$   $\leftarrow$  current location
```

```
while ( $x \neq b_n$ )
```

```
    let  $p$  be largest integer such that  $b_p \leq x + C$ 
```

```
    if ( $b_p = x$ )
```

```
        return "no solution"
```

```
     $x \leftarrow b_p$ 
```

```
     $S \leftarrow S \cup \{p\}$ 
```

```
return  $S$ 
```

Implementation.  $O(n \log n)$

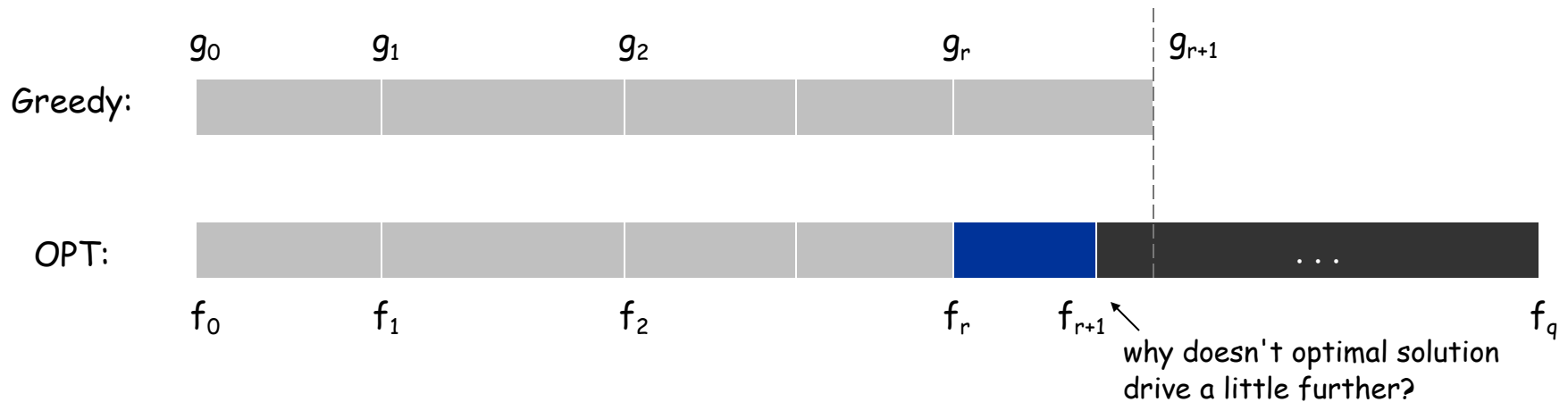
- Use binary search to select each breakpoint  $p$ .

# Selecting Breakpoints: Correctness

**Theorem.** Greedy algorithm is optimal.

**Pf.** (by contradiction)

- Assume greedy is not optimal, and let's see what happens.
- Let  $0 = g_0 < g_1 < \dots < g_p = L$  denote set of breakpoints chosen by greedy.
- Let  $0 = f_0 < f_1 < \dots < f_q = L$  denote set of breakpoints in an optimal solution with  $f_0 = g_0, f_1 = g_1, \dots, f_r = g_r$  for largest possible value of  $r$ .
- Note:  $g_{r+1} > f_{r+1}$  by greedy choice of algorithm.

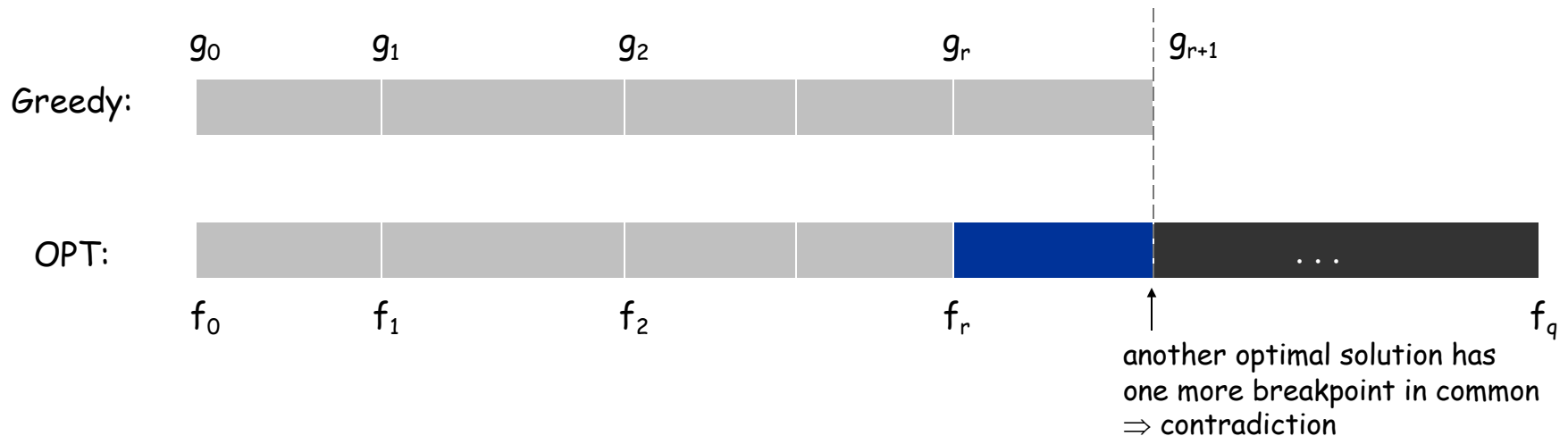


# Selecting Breakpoints: Correctness

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- Note:  $g_{r+1} > f_{r+1}$  by greedy choice of algorithm.



# Edsger W. Dijkstra

The question of whether computers can think is like the question of whether submarines can swim.

Do only what only you can do.

*n.*涟漪;

In their capacity as a tool, computers will be but a ripple on the surface of our culture. In their capacity as intellectual challenge, they are without precedent in the cultural history of mankind.

史无前例

*v.*使残疾

The use of COBOL cripples the mind; its teaching should, therefore, be regarded as a criminal offence.

犯罪行为

APL is a mistake, carried through to perfection. It is the language of the future for the programming techniques of the past: it creates a new generation of coding bums.

