

# Chapter 4

Greedy Algorithms



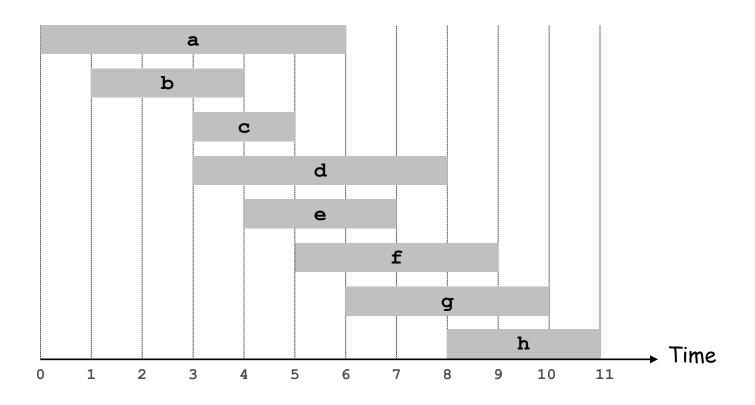
Slides by Kevin Wayne. Copyright © 2005 Pearson-Addison Wesley. All rights reserved.

# 4.1 Interval Scheduling

## Interval Scheduling

### Interval scheduling.

- Job j starts at  $s_j$  and finishes at  $f_j$ .
- Two jobs compatible if they don't overlap.
- Goal: find maximum subset of mutually compatible jobs.



### Interval Scheduling: Greedy Algorithms

### strategy

Greedy template. Consider jobs in some natural order.

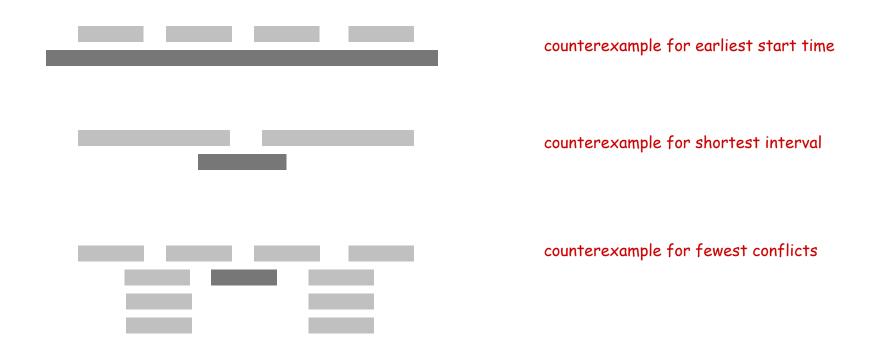
Take each job provided it's compatible with the ones already taken.

- [Earliest start time] Consider jobs in ascending order of  $s_j$ .
- [Earliest finish time] Consider jobs in ascending order of f<sub>j</sub>.
- [Shortest interval] Consider jobs in ascending order of  $f_j s_j$ .
- [Fewest conflicts] For each job j, count the number of conflicting jobs  $c_j$ . Schedule in ascending order of  $c_j$ .

### Interval Scheduling: Greedy Algorithms

Greedy template. Consider jobs in some natural order.

Take each job provided it's compatible with the ones already taken.



### Interval Scheduling: Greedy Algorithm

Greedy algorithm. Consider jobs in increasing order of finish time. Take each job provided it's compatible with the ones already taken.

```
Sort jobs by finish times so that f_1 \leq f_2 \leq \ldots \leq f_n. set of jobs selected A \leftarrow \emptyset for j = 1 to n \in \{j\} and A \leftarrow A \cup \{j\} return A
```

### Implementation. O(n log n).

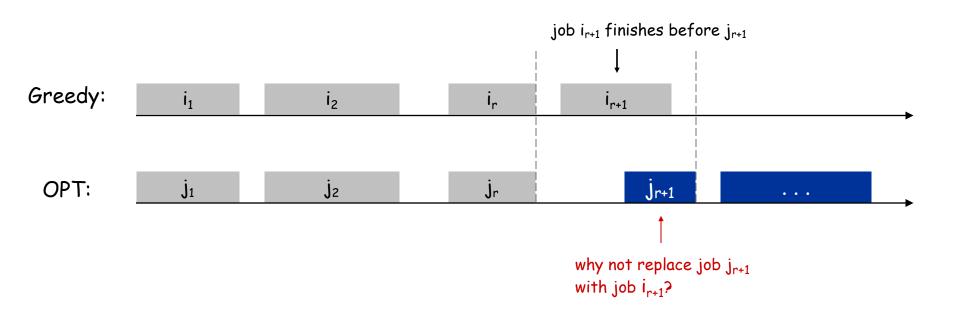
- Remember job j\* that was added last to A.
- Job j is compatible with A if  $s_j \ge f_{j^*}$ .

### Interval Scheduling: Analysis

Theorem. Greedy algorithm is optimal.

### Pf. (by contradiction)

- Assume greedy is not optimal, and let's see what happens.
- Let  $i_1$ ,  $i_2$ , ...  $i_k$  denote set of jobs selected by greedy.
- Let  $j_1$ ,  $j_2$ , ...  $j_m$  denote set of jobs in the optimal solution with  $i_1 = j_1$ ,  $i_2 = j_2$ , ...,  $i_r = j_r$  for the largest possible value of r.

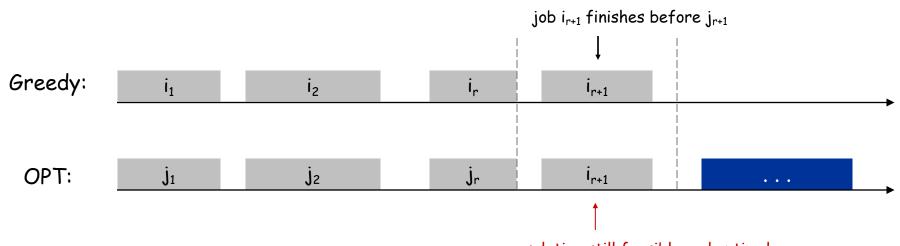


### Interval Scheduling: Analysis

Theorem. Greedy algorithm is optimal.

### Pf. (by contradiction)

- Assume greedy is not optimal, and let's see what happens.
- Let  $i_1$ ,  $i_2$ , ...  $i_k$  denote set of jobs selected by greedy.
- Let  $j_1$ ,  $j_2$ , ...  $j_m$  denote set of jobs in the optimal solution with  $i_1 = j_1$ ,  $i_2 = j_2$ , ...,  $i_r = j_r$  for the largest possible value of r.



solution still feasible and optimal, but contradicts maximality of r.

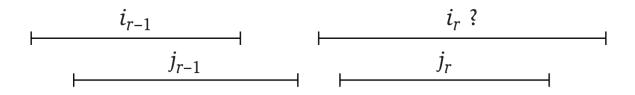
### Interval Scheduling: Analysis

Proof. 4.3 The greedy algorithm returns an optimal set A. Textbook, page 120-121

Greedy set  $A = \{i_1, i_2, ..., i_k\}$ , optimal set  $O = \{j_1, j_2, ..., j_m\}$ Goal: for each  $k \ge r \ge 1$ , we have  $f(i_r) \le f(j_r)$ 

#### Induction:

- 1. Ture for r = 1
- 2. Let r > 1, assume  $f(i_{r-1}) \le f(j_{r-1})$ , can we have  $f(i_r) \le f(j_r)$ ?



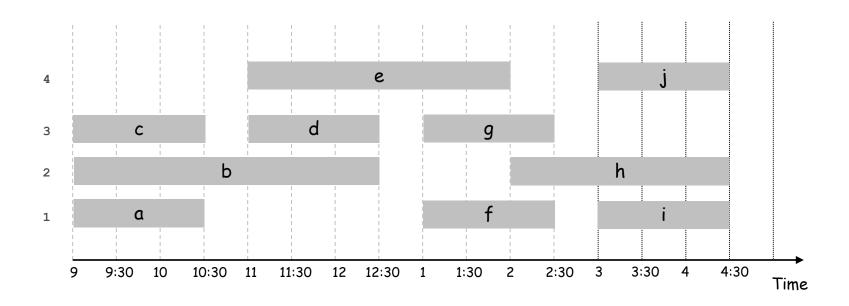
# 4.1 Interval Partitioning

### Interval Partitioning

### Interval partitioning.

- Lecture j starts at  $s_j$  and finishes at  $f_j$ .
- Goal: find minimum number of classrooms to schedule all lectures so that no two occur at the same time in the same room.

Ex: This schedule uses 4 classrooms to schedule 10 lectures.

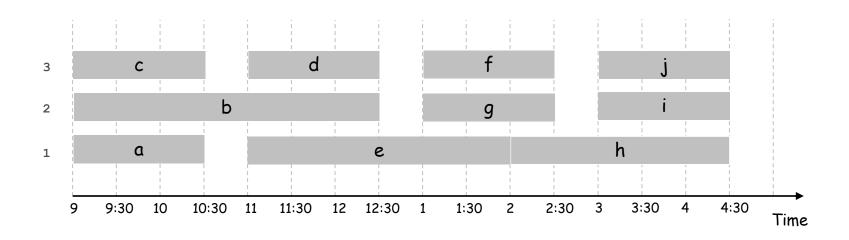


### Interval Partitioning

### Interval partitioning.

- Lecture j starts at  $s_j$  and finishes at  $f_j$ .
- Goal: find minimum number of classrooms to schedule all lectures so that no two occur at the same time in the same room.

Ex: This schedule uses only 3.



### Interval Partitioning: Lower Bound on Optimal Solution

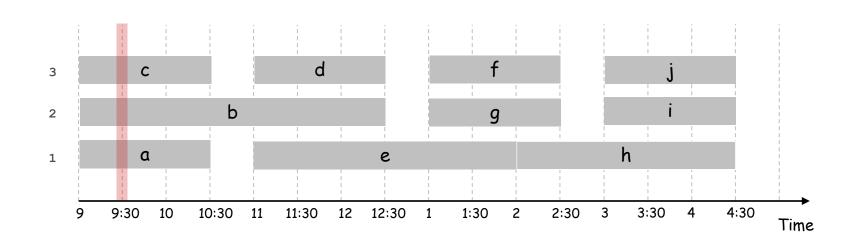
Def. The depth of a set of open intervals is the maximum number that contain any given time.

Key observation. Number of classrooms needed ≥ depth.

Ex: Depth of schedule below =  $3 \Rightarrow$  schedule below is optimal.

a, b, c all contain 9:30

Q. Does there always exist a schedule equal to depth of intervals?



### Interval Partitioning: Greedy Algorithm

Greedy algorithm. Consider lectures in increasing order of start time: assign lecture to any compatible classroom.

```
Sort intervals by starting time so that s_1 \leq s_2 \leq \ldots \leq s_n. d \leftarrow 0 \leftarrow_{\text{number of allocated classrooms}} for j = 1 to n \in \{1 \text{ if (lecture } j \text{ is compatible with some classroom } k\} schedule lecture j \text{ in classroom } k \in \{1 \text{ else}\} allocate a new classroom d + 1 schedule lecture j \text{ in classroom } d + 1 d \leftarrow d + 1 d \leftarrow d + 1
```

### Implementation. O(n log n). (why?)

- For each classroom k, maintain the finish time of the last job added.
- Keep the classrooms in a priority queue.

### Interval Partitioning: Greedy Analysis

Observation. Greedy algorithm never schedules two incompatible lectures in the same classroom.

Theorem. Greedy algorithm is optimal. Pf.

- Let d = number of classrooms that the greedy algorithm allocates.
- Classroom d is opened because we needed to schedule a job, say j, that is incompatible with all d-1 other classrooms.
- These d jobs each end after  $s_j$ .
- Since we sorted by start time, all these incompatibilities are caused by lectures that start no later than  $s_j$ .
- Thus, we have d lectures overlapping at time  $s_j + \varepsilon$ .
- Key observation  $\Rightarrow$  all schedules use  $\ge$  d classrooms.  $\blacksquare$
- (d is the depth)

### Interval Partitioning: Greedy Algorithm (alternative)

### Textbook page 124, alternative

```
Sort the intervals by their start times, breaking ties arbitrarily
Let I_1, I_2, \ldots, I_n denote the intervals in this order
For j = 1, 2, 3, ..., n
  For each interval I_i that precedes I_i in sorted order and overlaps it
     Exclude the label of I_i from consideration for I_i
  Endfor
  If there is any label from \{1, 2, \ldots, d\} that has not been excluded then
    Assign a nonexcluded label to I_i
  Else
    Leave I_i unlabeled
  Endif
Endfor
```

Q: Why using d labels is sufficient?

# 4.2 Scheduling to Minimize Lateness

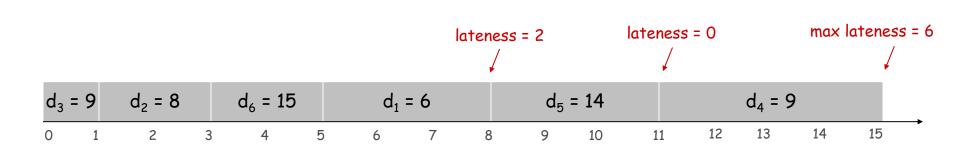
### Scheduling to Minimizing Lateness

### Minimizing lateness problem.

- Single resource processes one job at a time.
- Job j requires  $t_j$  units of processing time and is due at time  $d_j$ .
- If j starts at time  $s_j$ , it finishes at time  $f_j = s_j + t_j$ .
- Lateness:  $\ell_j = \max\{0, f_j d_j\}$ .
- Goal: schedule all jobs to minimize maximum lateness  $L = \max \ell_j$ .

Ex:

	1	2	3	4	5	6
† <sub>j</sub>	3	2	1	4	3	2
$d_{j}$	6	8	9	9	14	15



### Minimizing Lateness: Greedy Algorithms

Greedy template. Consider jobs in some order.

• [Shortest processing time first] Consider jobs in ascending order of processing time  $t_{\rm j}$ .

 [Earliest deadline first] Consider jobs in ascending order of deadline d<sub>j</sub>.

[Smallest slack] Consider jobs in ascending order of slack d<sub>j</sub> - t<sub>j</sub>.

### Minimizing Lateness: Greedy Algorithms

Greedy template. Consider jobs in some order.

• [Shortest processing time first] Consider jobs in ascending order of processing time  $t_j$ .

	1	2
tj	1	10
dj	100	10

counterexample

• [Smallest slack] Consider jobs in ascending order of slack  $d_j - t_j$ .

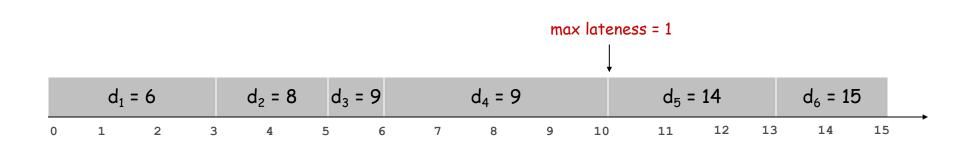
	1	2
† <sub>j</sub>	1	10
dj	2	10

counterexample

### Minimizing Lateness: Greedy Algorithm

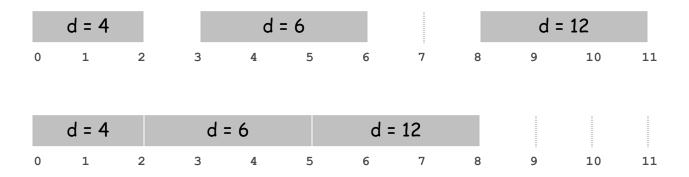
Greedy algorithm. Earliest deadline first.

```
Sort n jobs by deadline so that d_1 \leq d_2 \leq ... \leq d_n t \leftarrow 0 for j = 1 to n  \text{Assign job j to interval } [t, t + t_j]  s_j \leftarrow t, \ f_j \leftarrow t + t_j  t \leftarrow t + t_j output intervals [s_j, f_j]
```



# Minimizing Lateness: No Idle Time

Observation. There exists an optimal schedule with no idle time.

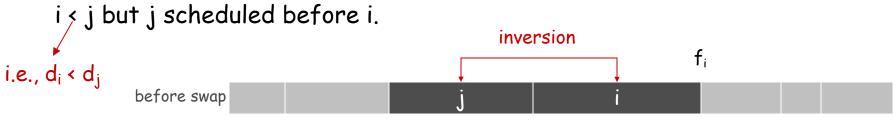


Observation. The greedy schedule has no idle time.

### Minimizing Lateness: Inversions

Def. Given a schedule 5, an inversion is a pair of jobs i and j such that:

i < i but i scheduled before i



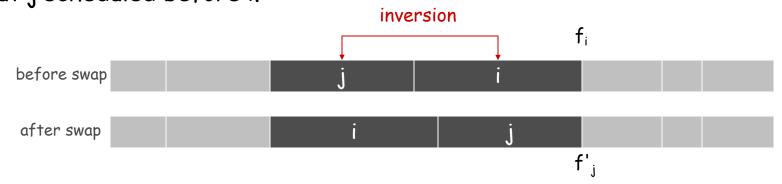
[ as before, we assume jobs are numbered so that  $d_1 \le d_2 \le ... \le d_n$  ]

Observation. Greedy schedule has no inversions.

Observation. If a schedule (with no idle time) has an inversion, it has one with a pair of inverted jobs scheduled consecutively.

### Minimizing Lateness: Inversions

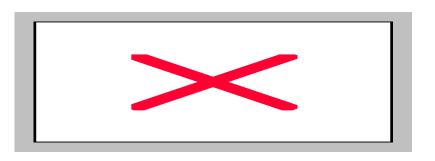
Def. Given a schedule S, an inversion is a pair of jobs i and j such that: i < j but j scheduled before i.



Claim. Swapping two consecutive, inverted jobs reduces the number of inversions by one and does not increase the max lateness.

Pf. Let  $\ell$  be the lateness before the swap, and let  $\ell$  ' be it afterwards.

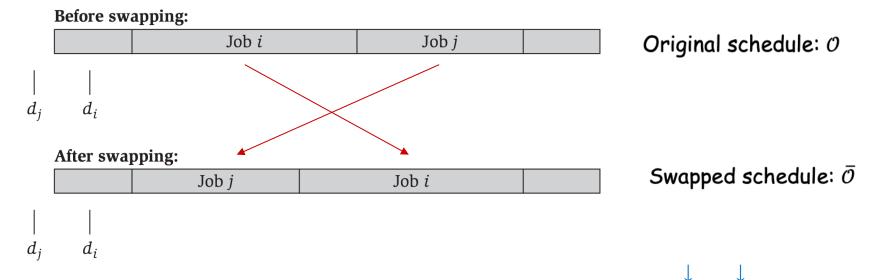
- $\ell'_{k} = \ell_{k}$  for all  $k \neq i, j$
- $\ell'_{i} \leq \ell_{i}$
- If job j is late:
- ℓ'j < ℓj



### Minimizing Lateness: Inversions

Proof of 4.9 (c) The new swapped schedule has a maximum lateness no larger than that of the optimal schedule.

Textbook, page 129-130



Assumption:  $d_i > d_j$ 

Obviously for j, 
$$L_j = f(j) - d_j \Rightarrow \bar{L}_j = \bar{f}(j) - d_j$$

What about i?

### Minimizing Lateness: Analysis of Greedy Algorithm

Theorem. Greedy schedule S is optimal.

Pf. Define S\* to be an optimal schedule that has the fewest number of inversions, and let's see what happens.

- Can assume 5\* has no idle time.
- If  $S^*$  has no inversions, then  $S = S^*$ .
- If S\* has an inversion, let i-j be an adjacent inversion.
  - swapping i and j does not increase the maximum lateness and strictly decreases the number of inversions
  - this contradicts definition of S\*

# Greedy Analysis Strategies

Greedy algorithm stays ahead. Show that after each step of the greedy algorithm, its solution is at least as good as any other algorithm's.

Structural. Discover a simple "structural" bound asserting that every possible solution must have a certain value. Then show that your algorithm always achieves this bound.

Exchange argument. Gradually transform any solution to the one found by the greedy algorithm without hurting its quality.

Other greedy algorithms. Kruskal, Prim, Dijkstra, Huffman, ...

# 4.3 Optimal Caching

# Optimal Offline Caching

### Caching.

- Cache with capacity to store k items.
- Sequence of m item requests  $d_1$ ,  $d_2$ , ...,  $d_m$ .
- Cache hit: item already in cache when requested.
- Cache miss: item not already in cache when requested: must bring requested item into cache, and evict some existing item, if full.

Goal. Eviction schedule that minimizes number of cache misses.

Ex: k = 2, initial cache = ab,
requests: a, b, c, b, c, a, a, b.

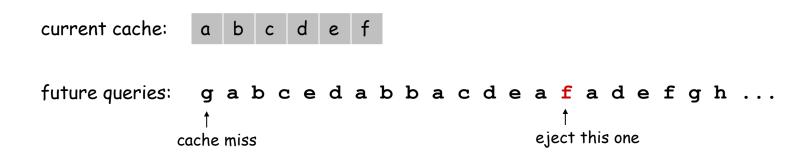
Optimal eviction schedule: 2 cache misses.

red = cache miss

b
a
b
c
b
c
b
c
b
c
b
a
a
b
a
b
requests
cache

# Optimal Offline Caching: Farthest-In-Future

Farthest-in-future. Evict item in the cache that is not requested until farthest in the future.



Theorem. [Bellady, 1960s] FF is optimal eviction schedule. Pf. Algorithm and theorem are intuitive; proof is subtle.

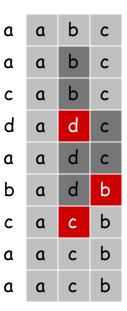
### Reduced Eviction Schedules

Def. A reduced schedule is a schedule that only inserts an item into the cache in a step in which that item is requested.

Intuition. Can transform an unreduced schedule into a reduced one with no more cache misses.

а	а	b	С
а	а	X	С
С	а	d	С
d	а	d	Ь
а	а	С	b
b	а	Х	b
С	а	С	b
а	а	b	С
а	а	b	С

an unreduced schedule



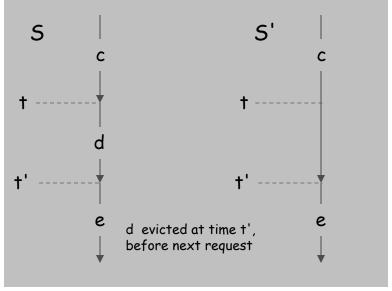
a reduced schedule

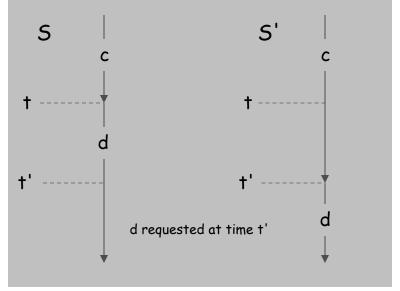
#### Reduced Eviction Schedules

Claim. Given any unreduced schedule 5, can transform it into a reduced schedule 5' with no more cache misses.

Pf. (by induction on number of unreduced items) time

- Suppose 5 brings d into the cache at time t, without a request.
- Let c be the item S evicts when it brings d into the cache.
- Case 1: d evicted at time t', before next request for d.
- Case 2: d requested at time t' before d is evicted. ■





Case 1 Case 2

Theorem. FF is optimal eviction algorithm.

Pf. (by induction on number or requests j)

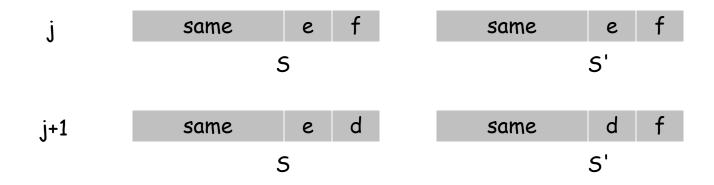
Invariant: There exists an optimal reduced schedule S that makes the same eviction schedule as  $S_{FF}$  through the first j+1 requests.

Let S be reduced schedule that satisfies invariant through j requests. We produce S' that satisfies invariant after j+1 requests.

- Consider  $(j+1)^{s+1}$  request  $d = d_{j+1}$ .
- Since S and  $S_{FF}$  have agreed up until now, they have the same cache contents before request j+1.
- Case 1: (d is already in the cache). S' = S satisfies invariant.
- Case 2: (d is not in the cache and S and  $S_{FF}$  evict the same element). S' = S satisfies invariant.

### Pf. (continued)

- Case 3: (d is not in the cache;  $S_{FF}$  evicts e; S evicts  $f \neq e$ ).
  - begin construction of S' from S by evicting e instead of f



- now S' agrees with  $S_{FF}$  on first j+1 requests; we show that having element f in cache is no worse than having element e

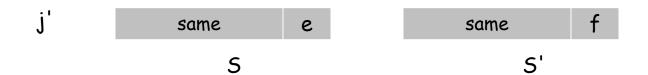
Let j' be the first time after j+1 that S and S' take a different action, and let g be item requested at time j'.  $\uparrow$ must involve e or f (or both)



- Case 3a: g = e. Can't happen with Farthest-In-Future since there must be a request for f before e.
- Case 3b: g = f. Element f can't be in cache of S, so let e' be the element that S evicts.
  - if e' = e, 5' accesses f from cache; now 5 and 5' have same cache
  - if  $e' \neq e$ , S' evicts e' and brings e into the cache; now S and S' have the same cache

Note: S' is no longer reduced, but can be transformed into a reduced schedule that agrees with  $S_{FF}$  through step j+1

Let j' be the first time after j+1 that S and S' take a different action, and let g be item requested at time j'.  $\uparrow$ must involve e or f (or both)



otherwise 5' would take the same action

■ Case 3c:  $g \neq e$ , f. S must evict e. Make S' evict f; now S and S' have the same cache. ■



# Caching Perspective

#### Online vs. offline algorithms.

- Offline: full sequence of requests is known a priori.
- Online (reality): requests are not known in advance.
- Caching is among most fundamental online problems in CS.

LIFO. Evict page brought in most recently.

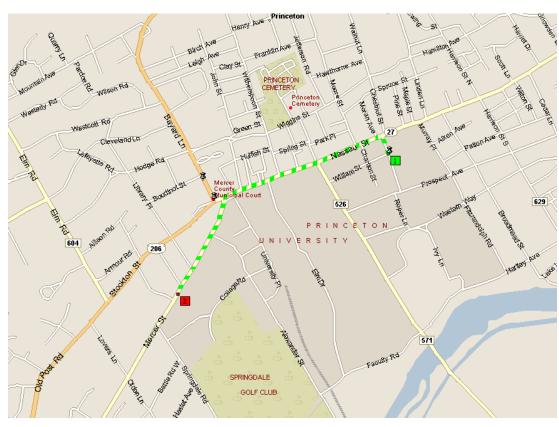
LRU. Evict page whose most recent access was earliest.

| | FF with direction of time reversed!

#### Theorem. FF is optimal offline eviction algorithm.

- Provides basis for understanding and analyzing online algorithms.
- LRU is k-competitive. [Section 13.8]
- LIFO is arbitrarily bad.

# 4.4 Shortest Paths in a Graph



shortest path from Princeton CS department to Einstein's house

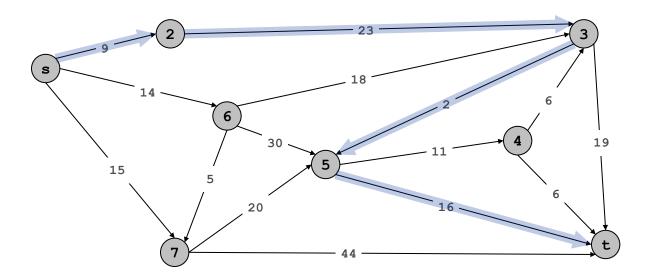
#### Shortest Path Problem

#### Shortest path network.

- Directed graph G = (V, E).
- Source s, destination t.
- Length  $\ell_e$  = length of edge e.

Shortest path problem: find shortest directed path from s to t.

cost of path = sum of edge costs in path

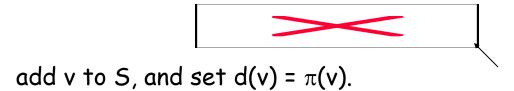


Cost of path s-2-3-5-t = 9 + 23 + 2 + 16 = 50.

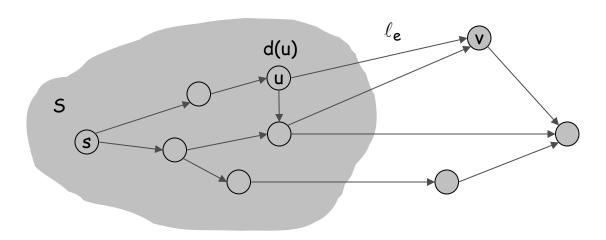
# Dijkstra's Algorithm

#### Dijkstra's algorithm.

- Maintain a set of explored nodes S for which we have determined the shortest path distance d(u) from s to u.
- Initialize  $S = \{s\}, d(s) = 0$ .
- Repeatedly choose unexplored node v which minimizes



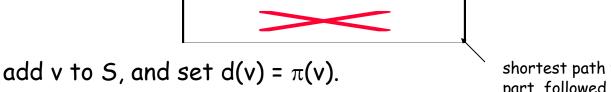
shortest path to some u in explored part, followed by a single edge (u, v)



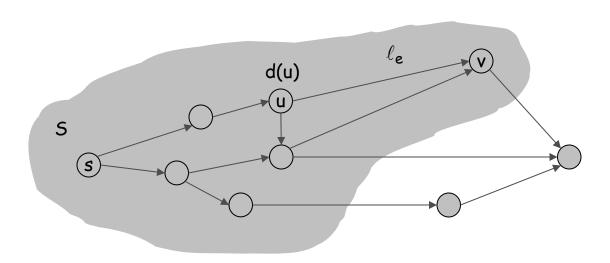
# Dijkstra's Algorithm

#### Dijkstra's algorithm.

- Maintain a set of explored nodes S for which we have determined the shortest path distance d(u) from s to u.
- Initialize  $S = \{s\}, d(s) = 0$ .
- Repeatedly choose unexplored node v which minimizes



shortest path to some u in explored part, followed by a single edge (u, v)



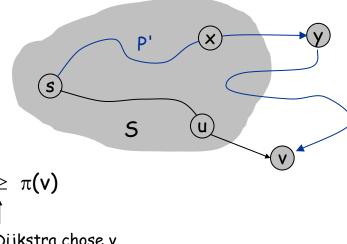
# Dijkstra's Algorithm: Proof of Correctness

Invariant. For each node  $u \in S$ , d(u) is the length of the shortest s-u path. Pf. (by induction on |S|)

Base case: |S| = 1 is trivial.

Inductive hypothesis: Assume true for  $|S| = k \ge 1$ .

- Let v be next node added to S, and let u-v be the chosen edge.
- The shortest s-u path plus (u, v) is an s-v path of length  $\pi(v)$ .
- Consider any s-v path P. We'll see that it's no shorter than  $\pi(v)$ .
- Let x-y be the first edge in P that leaves S, and let P' be the subpath to x.
- P is already too long as soon as it leaves S.



$$\ell \ (P) \ge \ell \ (P') + \ell \ (x,y) \ge \ d(x) + \ell \ (x,y) \ge \ \pi(y) \ge \ \pi(v)$$

$$\uparrow \qquad \qquad \uparrow \qquad \qquad \uparrow$$

$$nonnegative \qquad inductive \qquad defn of \ \pi(y) \qquad Dijkstra \ chose \ v$$

$$weights \qquad hypothesis \qquad instead of \ y$$

# Dijkstra's Algorithm: Implementation

For each unexplored node, explicitly maintain



- Next node to explore = node with minimum  $\pi(v)$ .
- When exploring v, for each incident edge e = (v, w), update



Efficient implementation. Maintain a priority queue of unexplored nodes, prioritized by  $\pi(v)$ .

PQ Operation	Dijkstra	Array	Binary heap	d-way Heap	Fib heap †
Insert	n	n	log n	d log <sub>d</sub> n	1
ExtractMin	n	n	log n	d log <sub>d</sub> n	log n
ChangeKey	m	1	log n	log <sub>d</sub> n	1
IsEmpty	n	1	1	1	1
Total		n <sup>2</sup>	m log n	m log <sub>m/n</sub> n	m + n log n

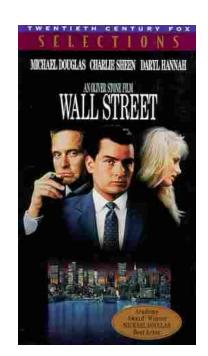
<sup>†</sup> Individual ops are amortized bounds

# Extra Slides

# Coin Changing

Greed is good. Greed is right. Greed works. Greed clarifies, cuts through, and captures the essence of the evolutionary spirit.

- Gordon Gecko (Michael Douglas)





# Coin Changing

Goal. Given currency denominations: 1, 5, 10, 25, 100, devise a method to pay amount to customer using fewest number of coins.

Ex: 34¢.



Cashier's algorithm. At each iteration, add coin of the largest value that does not take us past the amount to be paid.

Ex: \$2.89.



# Coin-Changing: Greedy Algorithm

Cashier's algorithm. At each iteration, add coin of the largest value that does not take us past the amount to be paid.

```
Sort coins denominations by value: c_1 < c_2 < ... < c_n.

coins selected

S \leftarrow \phi

while (x \neq 0) {

let k be largest integer such that c_k \leq x

if (k = 0)

return "no solution found"

x \leftarrow x - c_k

S \leftarrow S \cup \{k\}

}

return S
```

Q. Is cashier's algorithm optimal?

#### Coin-Changing: Analysis of Greedy Algorithm

Theorem. Greed is optimal for U.S. coinage: 1, 5, 10, 25, 100. Pf. (by induction on x)

- Consider optimal way to change  $c_k \le x < c_{k+1}$ : greedy takes coin k.
- We claim that any optimal solution must also take coin k.
  - if not, it needs enough coins of type  $c_1, ..., c_{k-1}$  to add up to x
  - table below indicates no optimal solution can do this
- Problem reduces to coin-changing  $x c_k$  cents, which, by induction, is optimally solved by greedy algorithm. ■

k	c <sub>k</sub>	All optimal solutions must satisfy	Max value of coins 1, 2,, k-1 in any OPT	
1	1	P ≤ 4	-	
2	5	N ≤ 1	4	
3	10	N + D ≤ 2	4 + 5 = 9	
4	25	Q ≤ 3	20 + 4 = 24	
5	100	no limit	75 + 24 = 99	

# Coin-Changing: Analysis of Greedy Algorithm

Observation. Greedy algorithm is sub-optimal for US postal denominations: 1, 10, 21, 34, 70, 100, 350, 1225, 1500.

Counterexample. 140¢.

• Greedy: 100, 34, 1, 1, 1, 1, 1.

• Optimal: 70, 70.

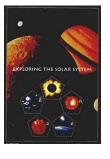


















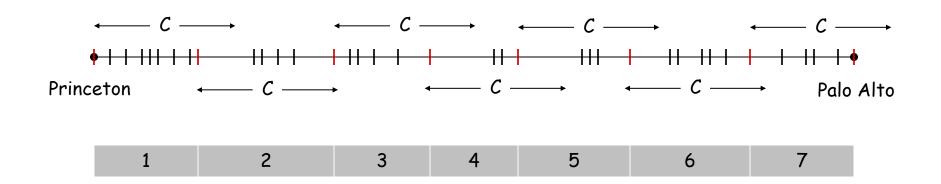
# Selecting Breakpoints

# Selecting Breakpoints

#### Selecting breakpoints.

- Road trip from Princeton to Palo Alto along fixed route.
- Refueling stations at certain points along the way.
- Fuel capacity = C.
- Goal: makes as few refueling stops as possible.

Greedy algorithm. Go as far as you can before refueling.



#### Selecting Breakpoints: Greedy Algorithm

#### Truck driver's algorithm.

```
Sort breakpoints so that: 0 = b_0 < b_1 < b_2 < \ldots < b_n = L S \leftarrow \{0\} \leftarrow \text{breakpoints selected} \\ x \leftarrow 0 \leftarrow \text{current location} while (x \neq b_n) let p be largest integer such that b_p \leq x + C if (b_p = x) return "no solution" x \leftarrow b_p S \leftarrow S \cup \{p\} return S
```

#### Implementation. O(n log n)

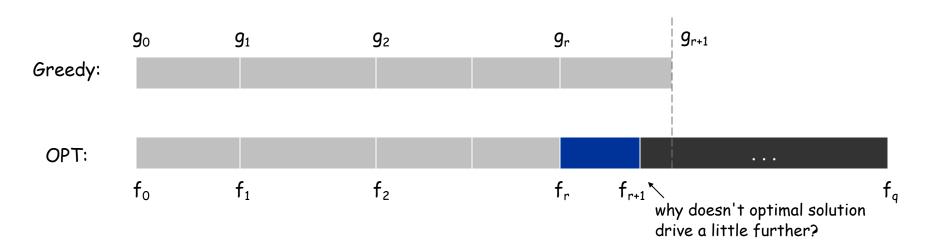
Use binary search to select each breakpoint p.

#### Selecting Breakpoints: Correctness

Theorem. Greedy algorithm is optimal.

#### Pf. (by contradiction)

- Assume greedy is not optimal, and let's see what happens.
- Let  $0 = g_0 < g_1 < ... < g_p = L$  denote set of breakpoints chosen by greedy.
- Let  $0 = f_0 < f_1 < ... < f_q = L$  denote set of breakpoints in an optimal solution with  $f_0 = g_0$ ,  $f_1 = g_1$ , ...,  $f_r = g_r$  for largest possible value of r.
- Note:  $g_{r+1} > f_{r+1}$  by greedy choice of algorithm.

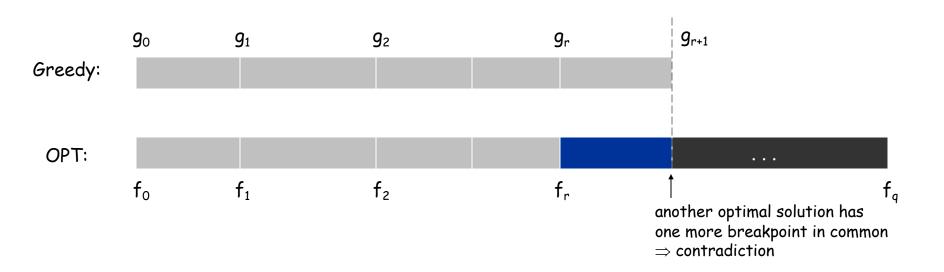


#### Selecting Breakpoints: Correctness

Theorem. Greedy algorithm is optimal.

#### Pf. (by contradiction)

- Assume greedy is not optimal, and let's see what happens.
- Let  $0 = g_0 < g_1 < ... < g_p = L$  denote set of breakpoints chosen by greedy.
- Let  $0 = f_0 < f_1 < ... < f_q = L$  denote set of breakpoints in an optimal solution with  $f_0 = g_0$ ,  $f_1 = g_1$ , ...,  $f_r = g_r$  for largest possible value of r.
- Note:  $g_{r+1} > f_{r+1}$  by greedy choice of algorithm.



# Edsger W. Dijkstra

The question of whether computers can think is like the question of whether submarines can swim.

Do only what only you can do.

In their capacity as a tool, computers will be but a ripple on the surface of our culture. In their capacity as intellectual challenge, they are without precedent in the cultural history of mankind.

The use of COBOL cripples the mind; its teaching should, therefore, be regarded as a criminal offence.

APL is a mistake, carried through to perfection. It is the language of the future for the programming techniques of the past: it creates a new generation of coding bums.

