

# BSCS5002: Introduction to Natural Language Processing

## Dependency Parsing

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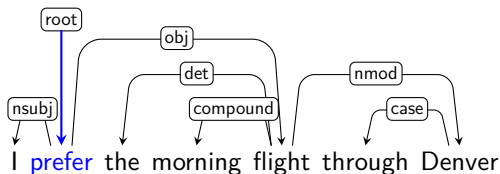
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# Dependency Parsing

- Dependency parsing focuses on analyzing the grammatical structure of sentences by establishing binary grammatical relations between words, forming a typed dependency structure.
- **Dependency Structure:** Each word in a sentence is linked through directed, labeled arcs, representing relationships like subject or object.

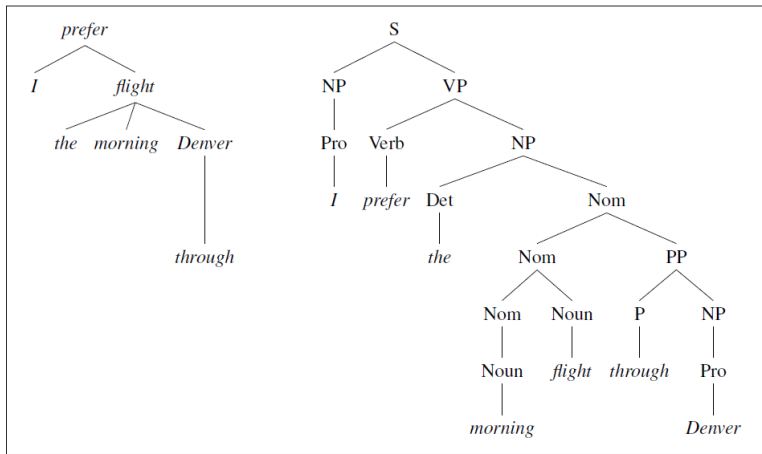
For example, in *I prefer the morning flight through Denver*, the word *prefer* is linked as the root, and all other words depend on it.



# Dependency Parsing

- **Difference from Constituent Parsing:** Dependency parsing focuses on the relations between individual words, not larger phrase structures.
- **Good for Free Word Order Languages:** It works well for languages with flexible word order (e.g., Tamil, Hindi) because it captures relationships between words without needing many grammar rules.
- **Typed Relations:** Relations like `nsubj` (subject), `obj` (object), and `nmod` (modifier) are used to describe the roles of words.
- **Example:** *I prefer the morning flight through Denver*, with relations like `nsubj`, `obj`, and `det`.

# Dependency Relations



Dependency and constituent analyses for *I prefer the morning flight through Denver*.

# Dependency Relations

- **Head-Dependent Relations:** In a dependency structure, each relation consists of a head (central organizing word) and a dependent (modifier). For example, *prefer* is the head, and *flight* is the dependent in *I prefer the flight*.
- **Grammatical Relations:** These relations include core functions like nominal subject (**nsubj**), direct object (**obj**), and clausal complement (**ccomp**). The relationship is not determined solely by word order but by grammatical roles.
- **Flexible Word Order:** In flexible languages (e.g., Tamil, Hindi), grammatical relations are crucial, as word order may not follow the typical subject-verb-object pattern.

# Dependency Relations

- **Universal Dependencies (UD):** The UD project developed a cross-linguistic standard, creating a taxonomy of 37 relations applicable across 150+ languages. For instance, in *United canceled the morning flights to Houston*, we have relations like `nsubj`, `obj`, and `nmod`.
- **Core Relations:** The most common grammatical relations include subject, object, and modifiers like nominal modifiers (`nmod`) and adjectival modifiers (`amod`). Relations are categorized as either clausal (linked to verbs) or nominal (modifying nouns).

# Dependency Relations

<b>Clausal Argument Relations</b>	<b>Description</b>
NSUBJ	Nominal subject
OBJ	Direct object
IOBJ	Indirect object
CCOMP	Clausal complement
<b>Nominal Modifier Relations</b>	<b>Description</b>
NMOD	Nominal modifier
AMOD	Adjectival modifier
APPOS	Appositional modifier
DET	Determiner
CASE	Prepositions, postpositions and other case markers
<b>Other Notable Relations</b>	<b>Description</b>
CONJ	Conjunct
CC	Coordinating conjunction

Some of the Universal Dependency relations (de Marneffe et al., 2021).

# Paninian Dependency and Tags

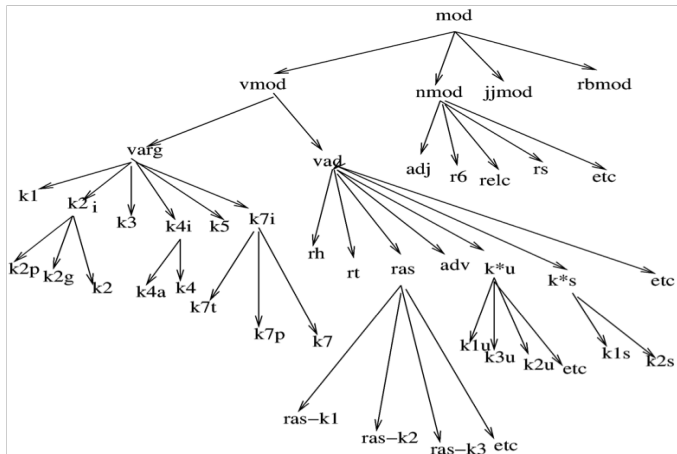
- Paninian Dependency Model is a framework derived from ancient Paninian grammar (Sanskrit), adapted for modern linguistic analysis, especially for free-word order languages like Hindi and Sanskrit.
- Core Idea: The relationship between words is described using Kāraka relations (semantic roles), which define the syntactic and semantic relationship between a verb and its arguments.
- Key Kāraka Relations:
  - Karta (Subject/Agent): Doer of the action.
  - Karma (Object): The object or goal of the action.
  - Karana (Instrument): The means or instrument by which the action is performed.
  - Sampradana (Recipient): The recipient of the action.



# Paninian Dependency and Tags

- Dependency Tags:
  - Similar to modern dependency tags like 'nsubj' (subject), 'obj' (object), the Paninian model uses Kāraka tags to represent semantic roles.
  - Examples:
    - Kartā → Subject (nsubj)
    - Karma → Object (obj) etc.

# Paninian Dependency and Tags



# Dependency Formalisms

- **Graph Representation:** Dependency structures are represented as directed graphs with vertices corresponding to words and arcs representing grammatical functions (head-dependent relations). This formalism helps visually illustrate syntactic dependencies.
- **Arcs and Vertices:** Each word in the sentence is a vertex, and the arcs denote relations.
  - For example, in *We booked her the flight*, the arc shows that *we* is the head of the relation with *booked*, while *her* is dependent on *booked*.

# Dependency Formalisms

- **Connectedness and Root:** A dependency tree must be connected, with a single root node that governs the structure.
  - For example, *prefer* would be the root in the sentence *I prefer the flight*.
- **Acyclic Structures:** Dependency graphs must be acyclic, meaning they cannot have cycles where a word points back to itself. This ensures that there is a clear hierarchical relationship between words.
- **Planarity Constraint:** In addition to acyclic and connected requirements, the structure must often be planar, meaning the graph can be drawn without crossing arcs for simpler, more interpretable diagrams.

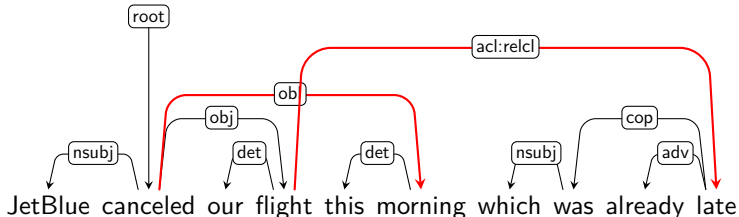
# Dependency Relations

Relation	Examples with <i>head</i> and <b>dependent</b>
NSUBJ	<b>United</b> <i>canceled</i> the flight.
OBJ	United <i>diverted</i> the <b>flight</b> to Reno. We <i>booked</i> her the first <b>flight</b> to Miami.
IOBJ	We <i>booked</i> <b>her</b> the flight to Miami.
COMPOUND	We took the <b>morning</b> <i>flight</i> .
NMOD	<i>flight</i> to <b>Houston</b> .
AMOD	Book the <b>cheapest</b> <i>flight</i> .
APPOS	<i>United</i> , a <b>unit</b> of UAL, matched the fares.
DET	<b>The</b> <i>flight</i> was canceled. <b>Which</b> <i>flight</i> was delayed?
CONJ	We <i>flew</i> to Denver and <b>drove</b> to Steamboat.
CC	We flew to Denver <b>and</b> <i>drove</i> to Steamboat.
CASE	Book the flight <b>through</b> <i>Houston</i> .

Examples of some Universal Dependency relations.

# Projectivity

- **Definition of Projectivity:** An arc between a head and dependent is projective if there is no intervening word between them.
  - For example, the relation between *flight* and *morning* in *the morning flight* is projective because no word interrupts this relationship.
- **Projective vs. Non-Projective:** Non-projective constructions, common in free word-order languages, lead to crossing arcs in a dependency tree. In the example "*JetBlue canceled our flight this morning which was already late*", *late* modifies *flight*, but the intervening words *this morning* create a non-projective arc.



# Dependency Treebanks

- **Purpose of Treebanks:** Dependency treebanks serve as essential resources for training and evaluating parsers. They provide annotated examples of sentences with dependency structures, acting as gold standards for evaluating parser accuracy.
- **Creation of Treebanks:** These can either be created manually by linguists or through automatic parsers corrected by human annotators. For example, the Universal Dependencies project involves extensive human involvement in creating high-quality treebanks across multiple languages.
- **Translation of Phrase-Structure Trees:** Some early treebanks were derived by converting phrase-structure annotations into dependency trees. This deterministic process provides a bridge between different parsing formalisms.

# Dependency Treebanks

- **Universal Dependencies (UD) Project:** The UD project is the largest community-driven initiative for creating and maintaining dependency treebanks.
- It has nearly 200 treebanks in over 150 languages, each with standardized annotations for cross-linguistic comparison.

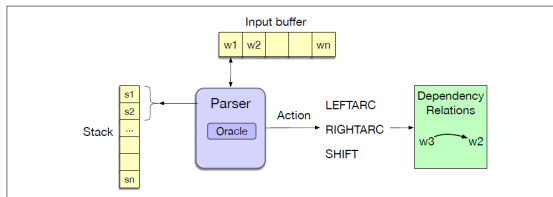


# Transition-Based Dependency Parsing

- **Overview:** Transition-based parsing uses a shift-reduce approach, similar to techniques used in programming language parsing.
- **Stack and Buffer:** A stack is used to hold words being processed, while the buffer stores the remaining input words to be parsed.
- **Oracle:** An oracle determines which action (SHIFT, LEFTARC, or RIGHTARC) should be applied to the words on the stack to create a dependency tree.
- **Efficiency:** Transition-based parsers work in linear time, making a single pass through the sentence to construct the dependency tree.
- **Greedy Nature:** The parser makes greedy decisions without backtracking, which can sometimes result in incorrect parses.

# Transition Operators

- **LEFTARC:** Asserts a head-dependent relation between the top two words on the stack, removing the second word from the stack.
- **RIGHTARC:** Establishes a head-dependent relation between the second word and the top word on the stack, removing the top word from the stack.
- **SHIFT:** Moves the next word from the buffer to the stack, progressing through the sentence.
- **Constraints:** Certain restrictions are applied, such as preventing ROOT from having incoming arcs.



Basic transition-based parser

# Arc Standard Parsing Approach

- **Parsing Mechanism:** Arc standard parsing works by applying transitions between elements at the top of the stack.
- **Element Removal:** Once an element is assigned its head, it is removed from the stack and no longer available for processing.
- **Simple and Effective:** Despite being simple to implement, the arc standard approach is effective for many sentence structures.
- **Example:** For the sentence *Book me the morning flight*, LEFTARC links *flight* to *morning*, and RIGHTARC links *book* to *flight*.

```
function DEPENDENCYPARSE(words) returns dependency tree
  state ← { [root], [words], [] } ; initial configuration
  while state not final
    t ← ORACLE(state) ; choose a transition operator to apply
    state ← APPLY(t, state) ; apply it, creating a new state
  return state
```

A generic transition-based dependency parser

# Illustration

Step	Stack	Word List	Action	Relation Added
0	[root]	[book, me, the, morning, flight]	SHIFT	(book → me)
1	[root, book]	[me, the, morning, flight]	SHIFT	
2	[root, book, me]	[the, morning, flight]	RIGHTARC	
3	[root, book]	[the, morning, flight]	SHIFT	
4	[root, book, the]	[morning, flight]	SHIFT	
5	[root, book, the, morning]	[flight]	SHIFT	(morning ← flight)
6	[root, book, the, morning, flight]	[]	LEFTARC	
7	[root, book, the, flight]	[]	LEFTARC	
8	[root, book, flight]	[]	RIGHTARC	
9	[root, book]	[]	RIGHTARC	
10	[root]	[]	Done	(root → book)

Illustration of the operation of the parser with the sequence of transitions

# Graph-based Syntactic Parsing: Introduction

- Graph-based Syntactic Parsing is a method where parsing is framed as finding the best graph structure to represent the dependencies between words in a sentence.
- The sentence is treated as a graph, where:
  - Each word is a node
  - The dependencies (relationships) between words are edges
- The goal is to find the maximum spanning tree (MST) that captures the correct syntactic structure of the sentence.

# Graph-based Parsing: Process

- Step 1: Treat the sentence as a fully connected graph.
- Step 2: Assign weights to the edges (relationships between words) based on a scoring function.
- Step 3: Use algorithms (e.g., Chu-Liu/Edmonds' algorithm) to find the Maximum Spanning Tree (MST), which represents the most likely syntactic structure.
- Advantages:
  - Works well for global optimization (best structure for the whole sentence).
  - Can handle languages with complex structures.

# Graph-Based Dependency Parsing

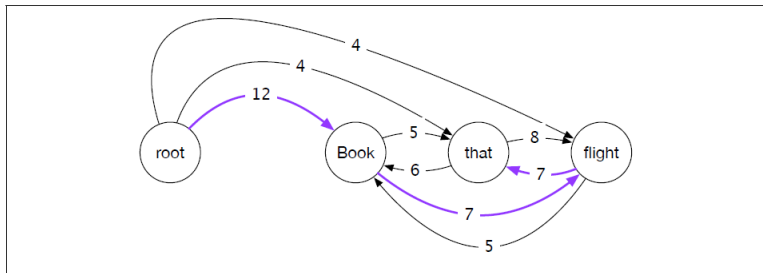
- Graph-based parsing searches the space of all possible trees for a sentence and selects the tree with the maximum score based on dependency edges.
- **Edge-Factored Parsing:** The score of a dependency tree is calculated as the sum of individual edge scores. Each edge represents a head-dependent relation.
- **Global Decisions:** Unlike transition-based parsing, graph-based methods evaluate the entire tree at once, rather than making local, greedy decisions.
- **Handling Non-Projectivity:** Graph-based methods are capable of handling non-projective dependency trees, which are important for languages with free word order.
- **Applications:** Graph-based parsing is especially useful for long sentences and complex syntactic structures, where local decisions may lead to errors.

# Parsing via Maximum Spanning Tree (MST)

- **Directed Graph Representation:** The sentence is represented as a directed graph, where vertices are words and edges represent possible head-dependent relationships.
- **Root Node:** A special ROOT node is included, with edges directed toward every other word. This ROOT node is necessary to form a valid parse tree.
- **Maximum Spanning Tree:** The goal of graph-based parsing is to find the maximum spanning tree (MST) of the directed graph, which represents the optimal dependency structure.
- **Edge Scoring:** Each edge is assigned a score by a scoring function, which considers various features (e.g., word forms, POS tags). The MST algorithm then finds the tree with the highest cumulative score.
- **Example:** For the sentence *Book that flight*, edges represent possible dependencies like *book*  $\rightarrow$  *flight*. The MST selects the tree with the highest overall score .



## Example



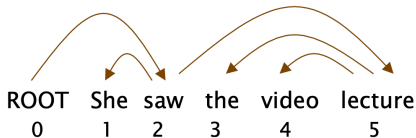
Initial rooted, directed graph for *Book that flight*.

# Evaluation of Dependency Parsing

- **Exact Match (EM):** Measures sentences parsed without any errors.
- **Labeled Attachment Score (LAS):** Percent of words with correct head and dependency label.
- **Unlabeled Attachment Score (UAS):** Percent of words with correct head, ignoring labels.

# Labeled and Unlabeled Attachment Score (LAS and UAS)

- **Labeled Attachment Score (LAS):** Accuracy of both head and dependency label.
- **Unlabeled Attachment Score (UAS):** Accuracy of head assignment only.
- **Label Accuracy Score (LS):** Focuses on the correctness of dependency labels.



$$\text{Acc} = \frac{\text{\# correct deps}}{\text{\# of deps}}$$

$$\text{UAS} = 4 / 5 = 80\%$$

$$\text{LAS} = 2 / 5 = 40\%$$

#### Gold

1	2	She	nsubj
2	0	saw	root
3	5	the	det
4	5	video	nn
5	2	lecture	dobj

#### Parsed

1	2	She	nsubj
2	0	saw	root
3	4	the	det
4	5	video	nsubj
5	2	lecture	ccomp

# Precision and Recall in Parsing

- **Precision:** Percentage of correctly predicted dependency relations.
- **Recall:** Percentage of correct relations retrieved from total correct.
- **F1 Score:** Harmonic mean of precision and recall, indicating overall performance.

# Summary

- **Dependency Grammar Overview:** Describes sentence structure with binary relations between words, focusing on grammatical relationships.
- **Transition-Based Parsing:** Constructs dependency trees with operators like SHIFT, LEFTARC, and RIGHTARC; efficient but can have local errors.
- **Graph-Based Parsing:** Evaluates entire trees, handling non-projective dependencies and long sentences effectively.
- **Dependency Treebanks:** Essential for training and evaluating parsers; Universal Dependencies offers a cross-linguistic standard.
- **Evaluation Metrics:** Metrics include Labeled Attachment Score (LAS), Unlabeled Attachment Score (UAS), precision, and recall.
- **Benefits of Dependency Grammar:** Especially effective for languages with free word order, focusing on word-level relations.
- **Future Research:** Advances in neural models and algorithms are driving progress in parsing multilingual texts.