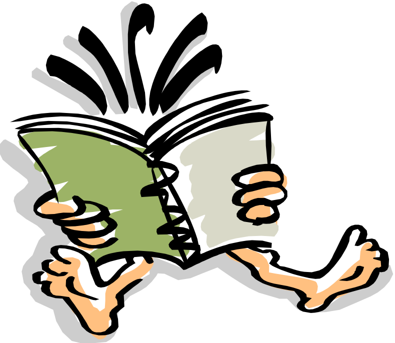
Analysis of Algorithms

Sorting – Part B



Sorting

- Insertion sort
  - Design approach: incremental
  - Sorts in place: Yes
  - Best case: $\Theta(n)$
  - Worst case: $\Theta(n^2)$

- Bubble Sort
  - Design approach: incremental
  - Sorts in place: Yes
  - Running time: $\Theta(n^2)$

Sorting

- Selection sort
  - Design approach: incremental
  - Sorts in place: Yes
  - Running time: $\Theta(n^2)$

- Merge Sort
  - Design approach: divide and conquer
  - Sorts in place: No
  - Running time: Let's see!!

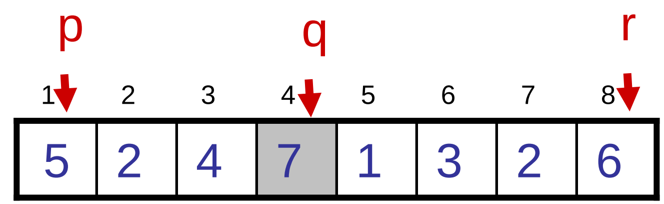
Divide-and-Conquer

- **Divide** the problem into a number of sub-problems
  - Similar sub-problems of smaller size
- **Conquer** the sub-problems
  - Solve the sub-problems <u>recursively</u>
  - Sub-problem size small enough $\Rightarrow$ solve the problems in straightforward manner
- **Combine** the solutions of the sub-problems
  - Obtain the solution for the original problem

Merge Sort Approach

- To sort an array $A[p \,..\, r]$:
- **Divide**
  - Divide the n-element sequence to be sorted into two subsequences of $n/2$ elements each
- **Conquer**
  - Sort the subsequences recursively using merge sort
  - When the size of the sequences is 1 there is nothing more to do
- **Combine**
  - Merge the two sorted subsequences

Merge Sort



Alg.: MERGE-SORT(A, p, r)

if p < r ▷ Check for base case

then $q \leftarrow \lfloor (p + r)/2 \rfloor$ ▷ Divide

MERGE-SORT(A, p, q) ▷ Conquer

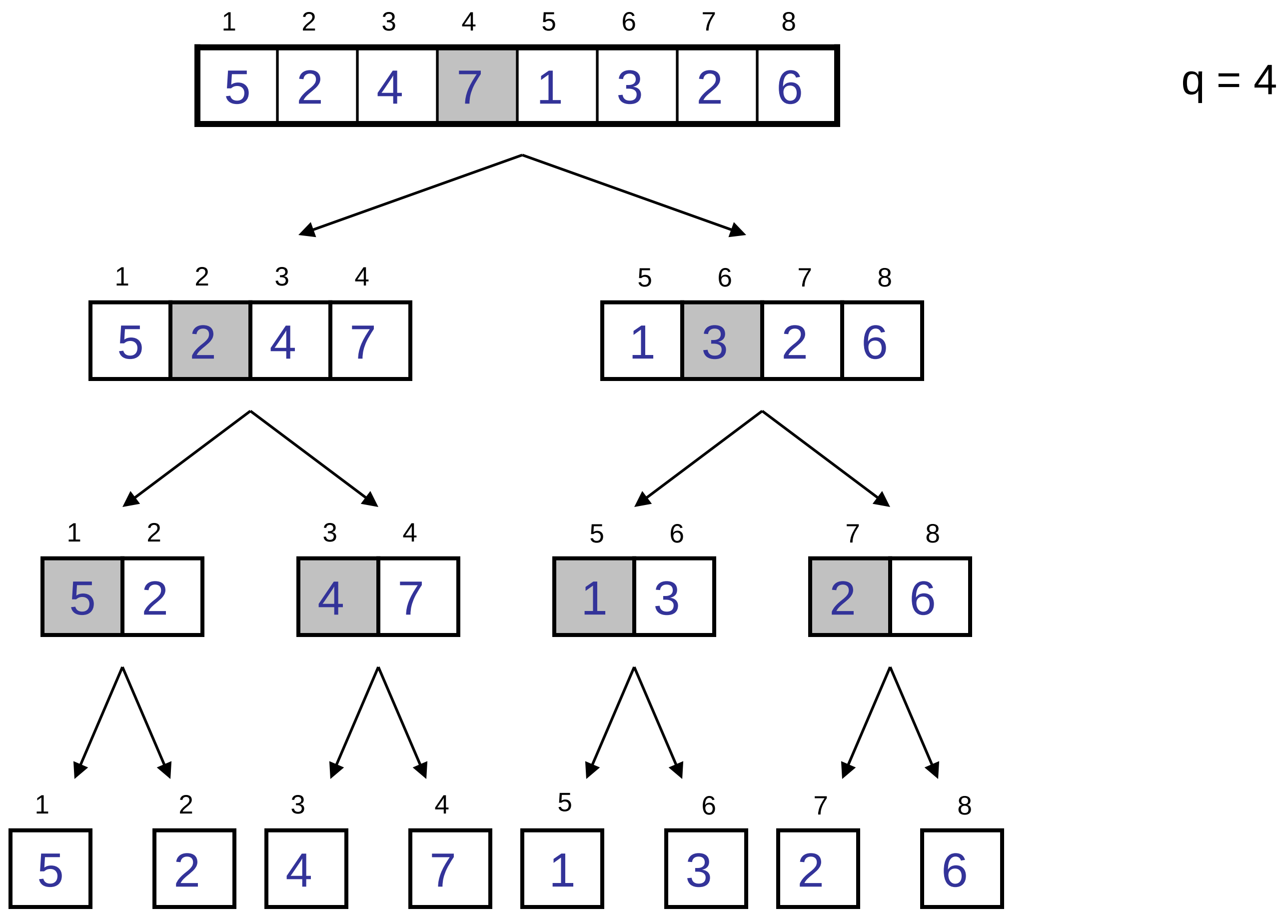
MERGE-SORT(A, q + 1, r) ▷ Conquer

MERGE(A, p, q, r) ▷ Combine

- Initial call: MERGE-SORT(A, 1, n)

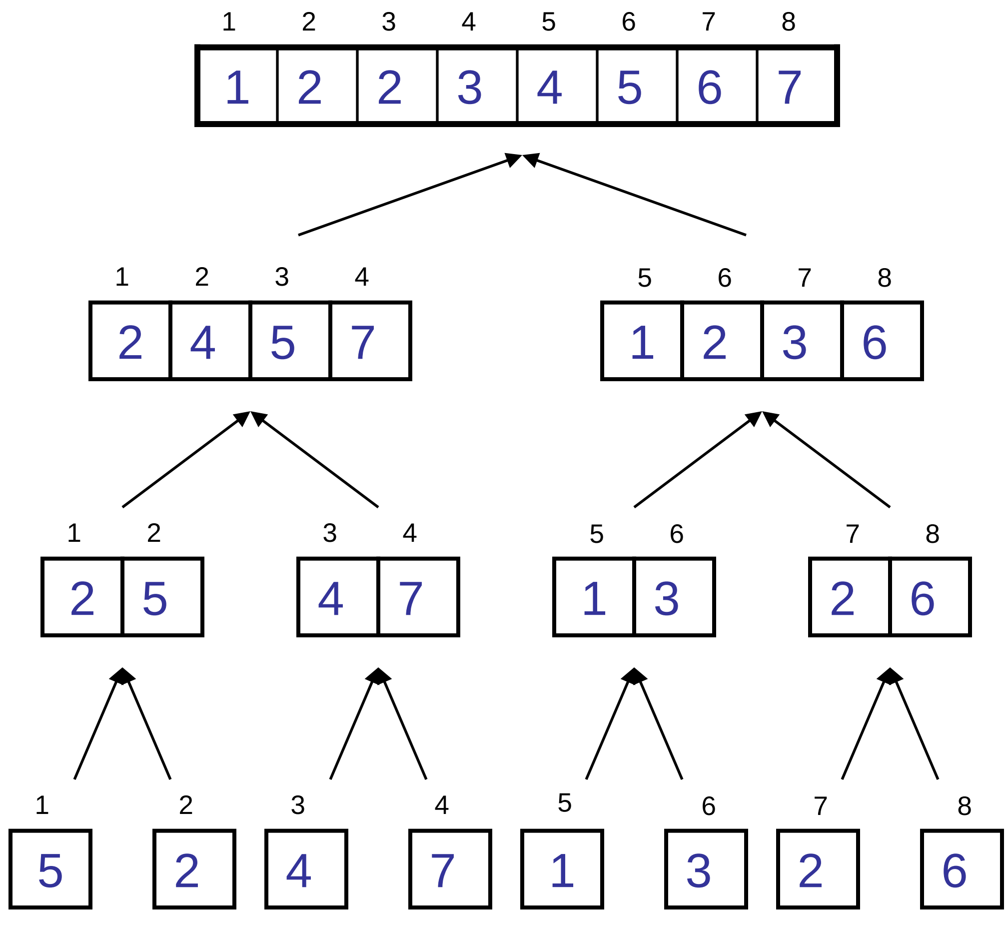
Example – n Power of 2

Divide

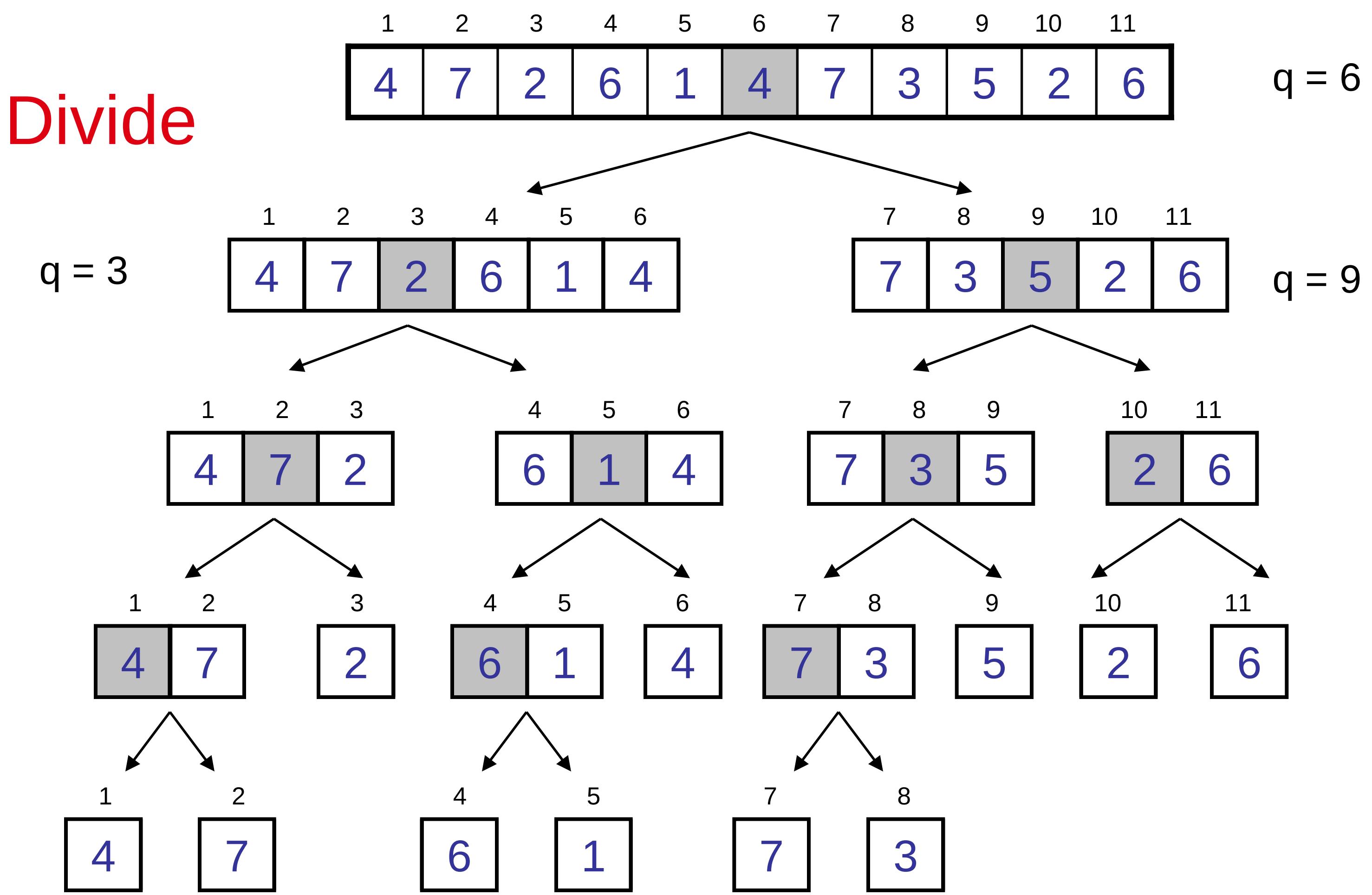


Example – n Power of 2

Conquer and Merge

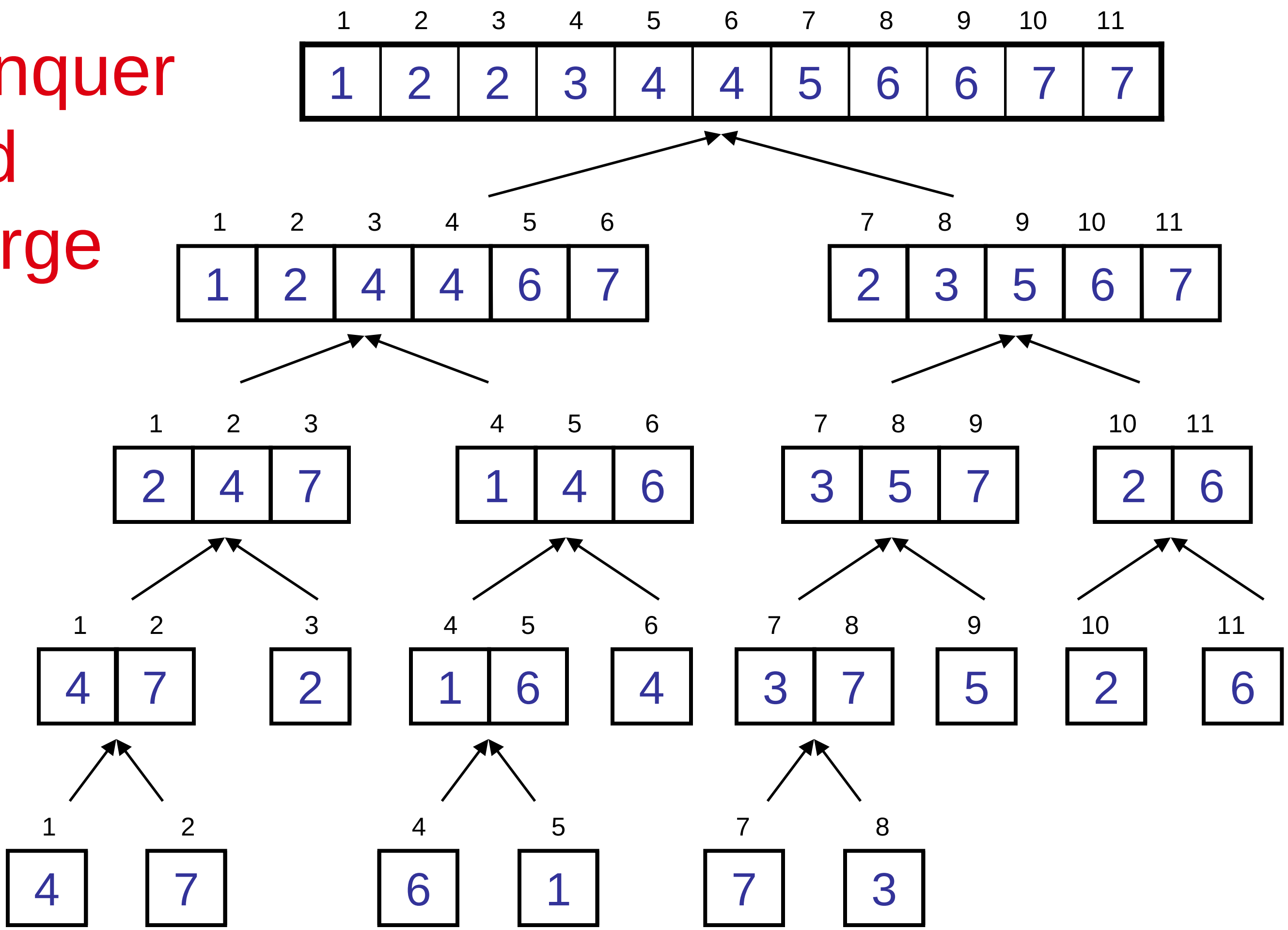


Example – n Not a Power of 2

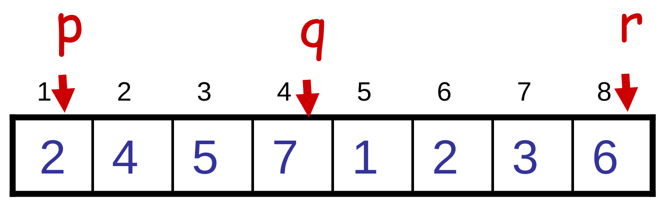


Example – n Not a Power of 2

Conquer and Merge



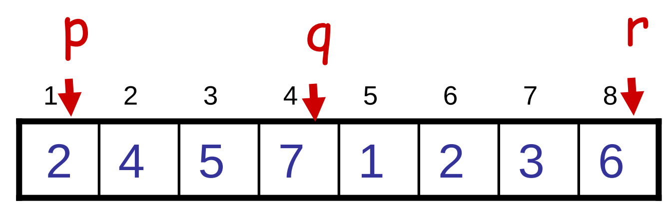
Merging



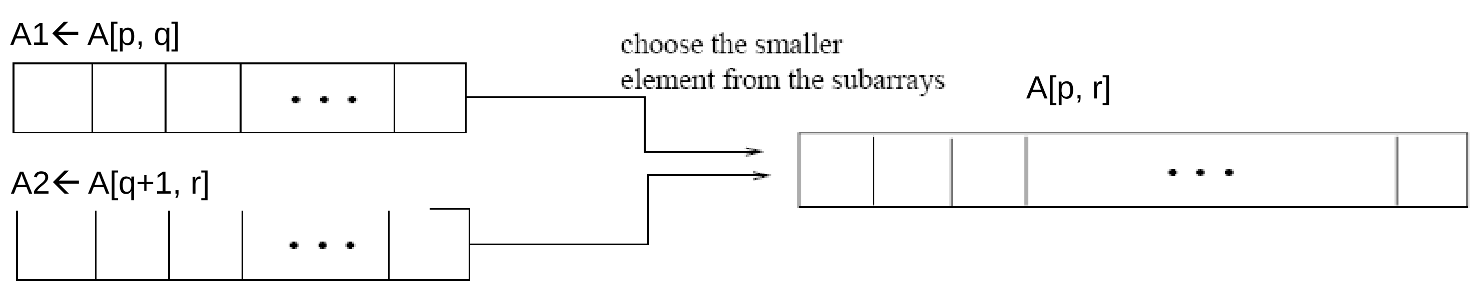
- **Input:** Array A and indices p, q, r such that $p \le q < r$
  - Subarrays $A[p\,.\,.\,q]$ and $A[q+1\,.\,.\,r]$ are sorted
- **Output:** One single sorted subarray $A[p\,.\,.\,r]$

Merging

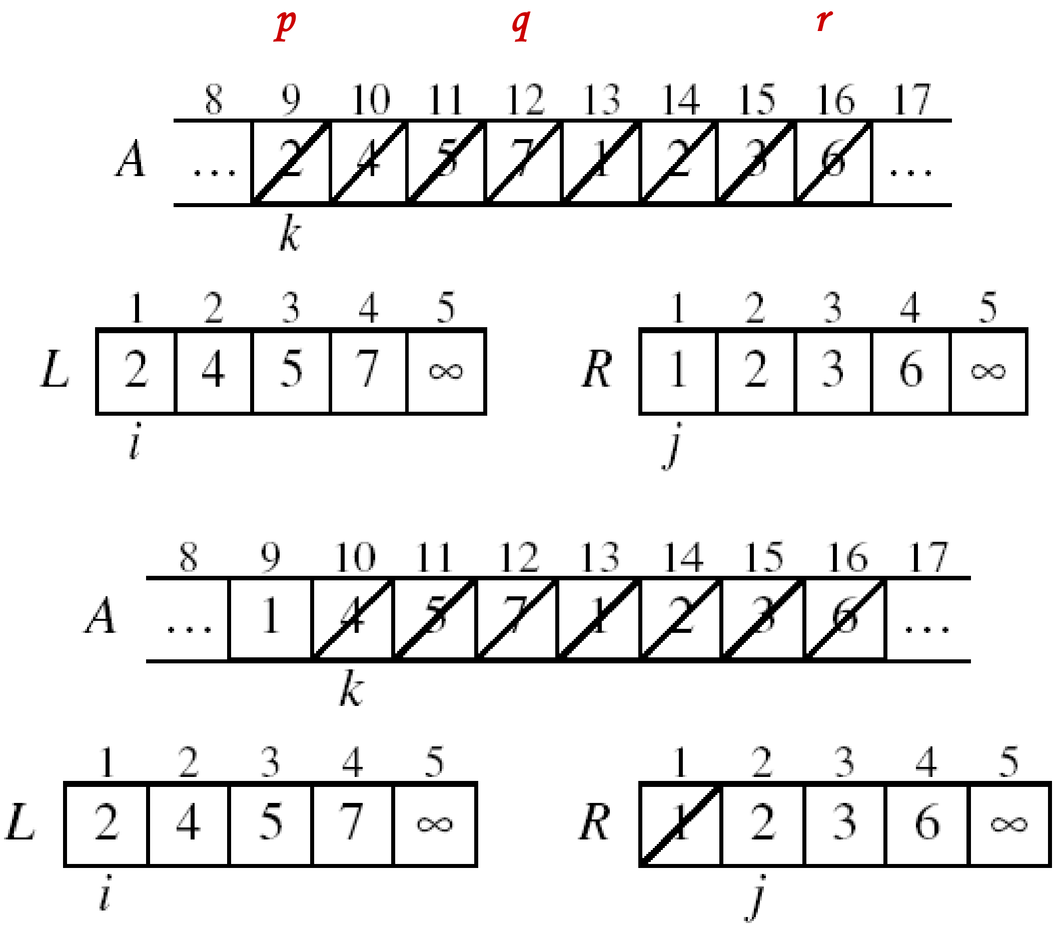
- Idea for merging:



  - Two piles of sorted cards
    - Choose the smaller of the two top cards
    - Remove it and place it in the output pile
  - Repeat the process until one pile is empty
  - Take the remaining input pile and place it face-down onto the output pile



Example: MERGE(A, 9, 12, 16)



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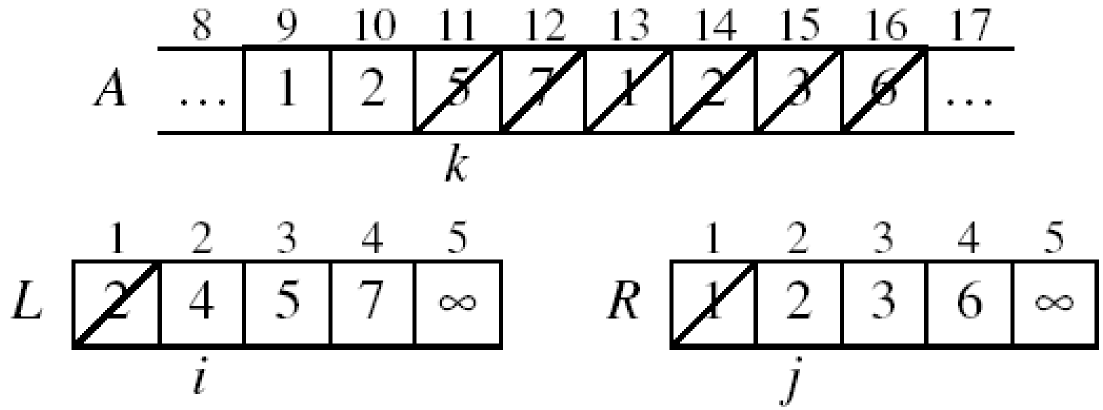
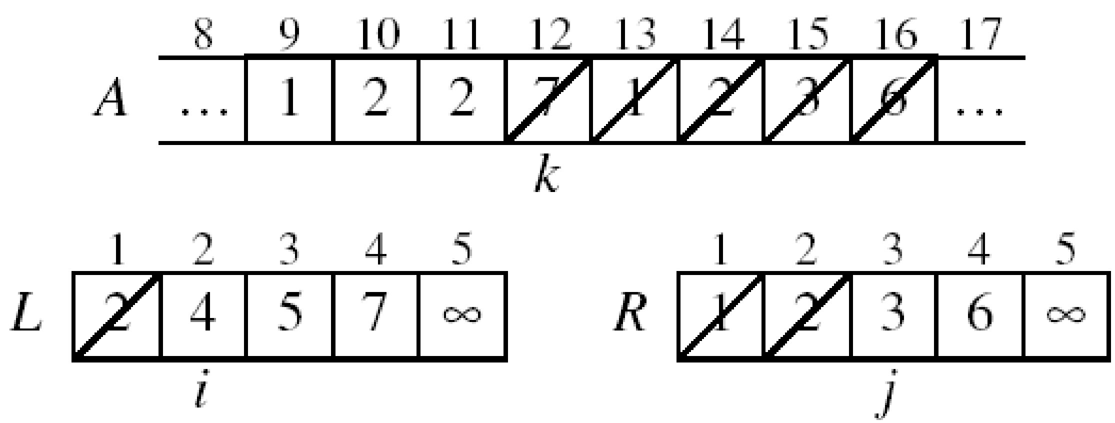
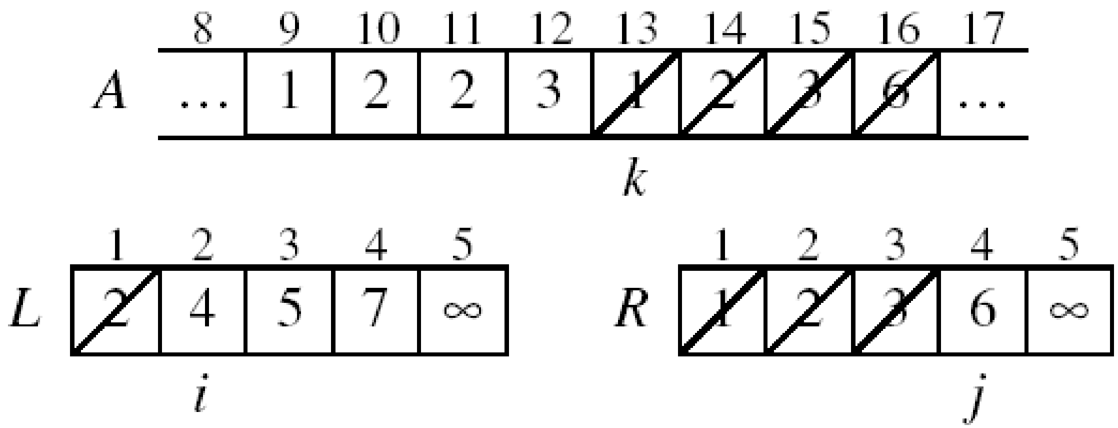
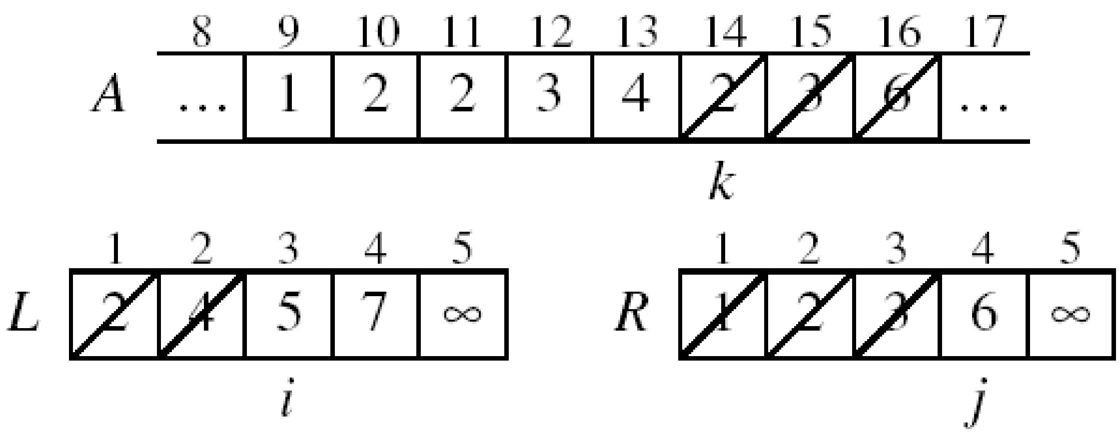


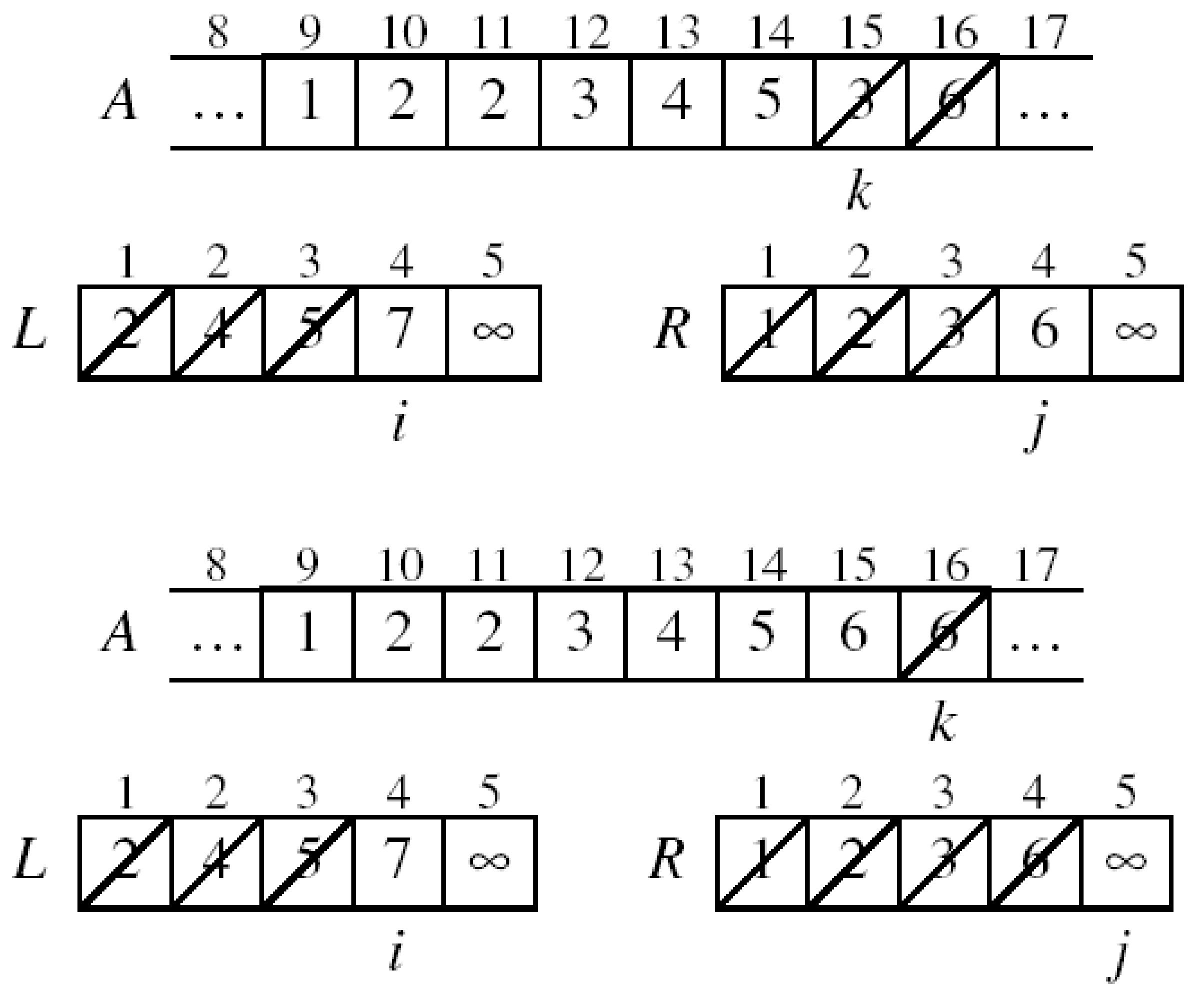


Example (cont.)

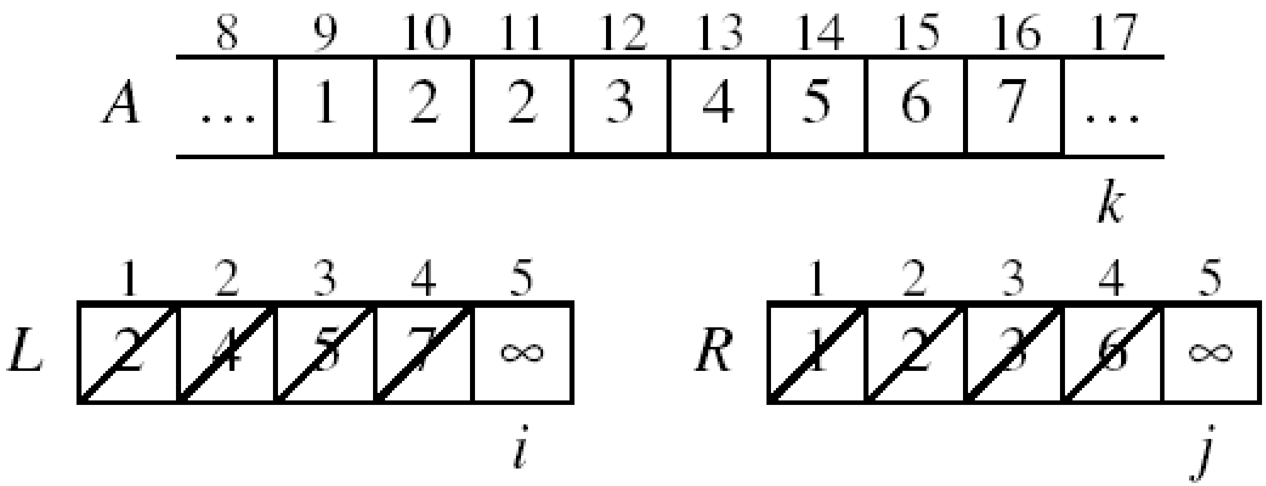




Example (cont.)

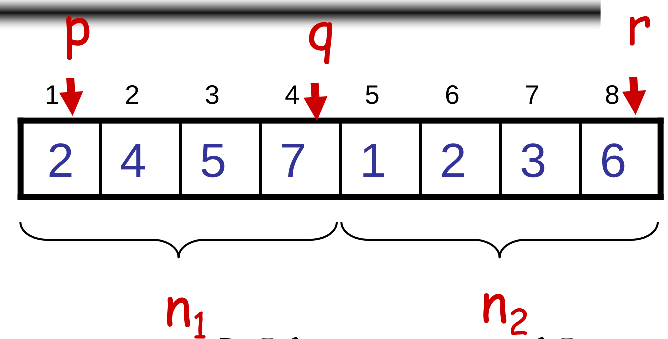


Example (cont.)



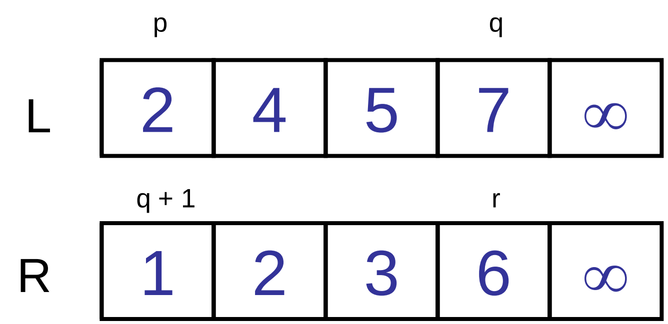
Done!

Merge - Pseudocode



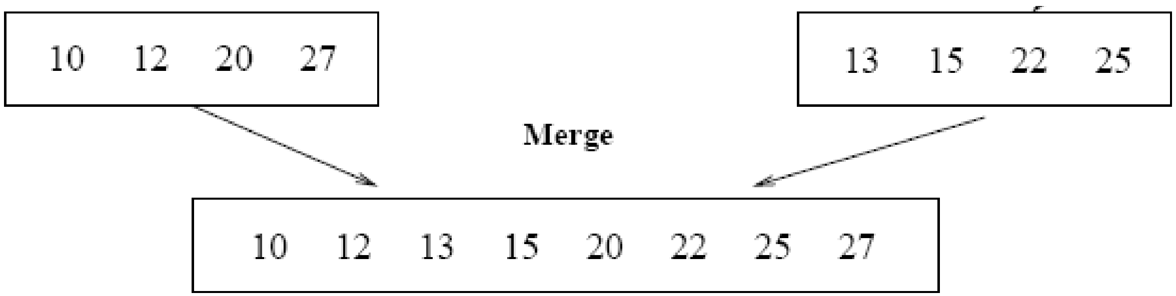
Alg.: MERGE(A, p, q, r)

1. Compute n_1 and n_2
2. Copy the first n_1 elements into $L[1 \,.\,.\, n_1 + 1]$ and the next n_2 elements into $R[1 \,.\,.\, n_2 + 1]$
3. $L[n_1 + 1] \leftarrow \infty$; $\quad R[n_2 + 1] \leftarrow \infty$
4. $i \leftarrow 1$; $\quad j \leftarrow 1$
5. **for** $k \leftarrow p$ **to** r
6.   **do if** $L[\,i\,] \le R[\,j\,]$
7.   **then** $A[k] \leftarrow L[\,i\,]$
8. $i \leftarrow i + 1$
9.   **else** $A[k] \leftarrow R[\,j\,]$
10. $j \leftarrow j + 1$



Running Time of Merge (assume last **for** loop)

- Initialization (copying into temporary arrays):
  - $\Theta(n_1 + n_2) = \Theta(n)$
- Adding the elements to the final array:
  - n iterations, each taking constant time $\Rightarrow \Theta(n)$
- Total time for Merge:
  - $\Theta(n)$



Analyzing Divide-and Conquer Algorithms

- The recurrence is based on the three steps of the paradigm:
  - $T(n)$ – running time on a problem of size n
  - **Divide** the problem into a subproblems, each of size n/b: takes $D(n)$
  - **Conquer** (solve) the subproblems $aT(n/b)$
  - **Combine** the solutions $C(n)$

$$T(n) = \begin{cases} \Theta(1) & \text{if } n \le c \\ aT(n/b) + D(n) + C(n) & \text{otherwise} \end{cases}$$

MERGE-SORT Running Time

- **Divide:**
  - compute q as the average of p and r: $D(n) = \Theta(1)$
- **Conquer:**
  - recursively solve 2 subproblems, each of size $n/2$
    $\Rightarrow 2T(n/2)$
- **Combine:**
  - MERGE on an n-element subarray takes $\Theta(n)$ time
    $\Rightarrow C(n) = \Theta(n)$

$$T(n) = \begin{cases} \Theta(1) & \text{if } n = 1 \\ 2T(n/2) + \Theta(n) & \text{if } n > 1 \end{cases}$$

Merge Sort - Discussion

- Running time insensitive of the input

- Advantages:
  - Guaranteed to run in $\Theta(n \lg n)$

- Disadvantage
  - Requires extra space $\approx$N

Sorting Challenge 1

Problem: Sort a file of huge records with tiny keys

Example application: Reorganize your MP-3 files

Which method to use?

A. merge sort, guaranteed to run in time ~$N \lg N$
B. selection sort
C. bubble sort
D. a custom algorithm for huge records/tiny keys
E. insertion sort

Sorting Files with Huge Records and Small Keys

- Insertion sort or bubble sort?
  - NO, too many exchanges
- Selection sort?
  - YES, it takes linear time for exchanges
- Merge sort or custom method?
  - Probably not: selection sort simpler, does less swaps

Sorting Challenge 2

Problem: Sort a huge randomly-ordered file of small records

Application: Process transaction record for a phone company

Which sorting method to use?

A. Bubble sort
B. Selection sort
C. Mergesort guaranteed to run in time ~NlgN
D. Insertion sort

Sorting Huge, Randomly - Ordered Files

- Selection sort?
  - NO, always takes quadratic time
- Bubble sort?
  - NO, quadratic time for randomly-ordered keys
- Insertion sort?
  - NO, quadratic time for randomly-ordered keys
- Mergesort?
  - YES, it is designed for this problem

Sorting Challenge 3

Problem: sort a file that is already almost in order

Applications:

- Re-sort a huge database after a few changes
- Doublecheck that someone else sorted a file

Which sorting method to use?

A. Mergesort, guaranteed to run in time ~$N \lg N$
B. Selection sort
C. Bubble sort
D. A custom algorithm for almost in-order files
E. Insertion sort

Sorting Files That are Almost in Order

- Selection sort?
  - NO, always takes quadratic time
- Bubble sort?
  - NO, bad for some definitions of “almost in order”
  - Ex: B C D E F G H I J K L M N O P Q R S T U V W X Y Z A
- Insertion sort?
  - YES, takes linear time for most definitions of “almost in order”
- Mergesort or custom method?
  - Probably not: insertion sort simpler and faster

Sorting Applications

Sorting algorithms are essential in a broad variety of applications

- Sort a list of names.
- Organize an MP3 library.
- Display Google PageRank results.
- List RSS news items in reverse chronological order.

- Find the median.
- Find the closest pair.
- Binary search in a database.
- Identify statistical outliers.
- Find duplicates in a mailing list