



Return This Form

Please follow the steps below:

Review the information listed on this form.

Make any necessary changes.

Sign the form.

Mail or fax this form to TD Ameritrade. (See last page for contact information.)

Include any additional paperwork.

Account information

Account type Individual
Account number 489964012

I will primarily use this account to Buy, hold, and occasionally trade stocks, mutual funds,

ETFs, or bonds.

New to investing N/A

Personal information

Full name Mr Shicheng Guo

Email you use regularly Shihcheng.Guo@gmail.com

Best daytime phone number (281) 685-5882

Citizenship status Neither a U.S. citizen nor a permanent resident of the U.S.

Country of citizenship CHINA (PEOPLES REPUBLIC)

Country of birth CHINA (PEOPLES REPUBLIC)

Type of visa

 Expiration date
 4/1/2018

 Visa number
 J5116561

 SSN/ITIN
 727-25-5836

Foreign Tax ID (if applicable)

Date of birth 2/28/1986

Marital status Single

Mother's maiden name An

Dependents 0

Home address

3733 Lebon Dr.

203

San Diego CA 92122

UNITED STATES

Employment information

Employment status Employed

Business/employer name University Of California, San Diego

Occupation Postdoc

Employer address 9500 Gilman Dr.

San Diego CA 92123 UNITED STATES

Financial information

Approximate annual income \$50,000 - \$99,999

Approximate net worth \$100,000 - \$249,999

Approximate liquid net worth \$100,000 - \$249,999

Personal affiliations

Are you, or is your spouse, or is any

member of your immediate family

licensed by, employed by, or associated

with a broker-dealer firm, a financial

services regulator, a securities

exchange, or a member of a securities

exchange?

Are you, or is your spouse, or is any

member of your immediate family, or

are any of your personal or business

associates a senior political figure?

Are you, or is your spouse, or is any member of your immediate family a

member of the board of directors, a

100/ shareholder or a reliev making

10% shareholder, or a policy-making officer of a publicly traded company?

Cash sweep vehicle

Your choice for your uninvested cash TD Ameritrade FDIC-Insured Deposit Account

No

No

No

Client agreement

I have received and read the "Client Agreement" which is incorporated by this reference, that will govern my account. I agree to be bound by this Client Agreement, as amended from time to time, and request an account to be opened in the name(s) set forth below.

I represent to you that:

I am the person identified in this account.

I am accepting and agreeing to abide by all of the Client Agreement.

The Client Agreement applicable to this brokerage account contains a predispute arbitration clause. By signing this agreement, the parties agree to be bound by the terms of the Client Agreement, including the arbitration agreement located in Section 12 of the Client Agreement on pages 7 and 8.

Shicheng Guo	Date
Account Number: 489964012	
For Introducing Broker use only	
R.R Gen. Prin.	Date
For TD Ameritrade Clearing, Inc. use only	
New Accounts Opened By(initial & date):	

Mail or fax all the required paperwork to:

Fax: 1-866-468-6268

Regular mail:

TD Ameritrade, Inc. PO Box 2760 Omaha, NE 68103-2760

Overnight mail:

TD Ameritrade, Inc. 200 South 108th Ave. Omaha, NE 68154-2631

Questions?

Call us at 800-454-9272.

For additional information on how to fund your account, visit www.tdameritrade.com/funding-and-

transfers.page