

Substitute  
**W-8BEN**Department of the Treasury  
Internal Revenue Service**Certificate of Foreign Status of Beneficial Owner  
for United States Tax Withholding**

► For use by individuals. Entities must use Form W-8BEN-E.  
► Give this form to the withholding agent or payer. Do not send to the IRS.

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For complete instructions or more information on the W-8 forms, please refer to [www.irs.gov/formw8ben](http://www.irs.gov/formw8ben). If you have any other questions regarding your application or account, please contact TD Ameritrade.

**Part I Identification of Beneficial Owner** (See instructions.)

1 Name of individual who is the beneficial owner Shicheng Guo		2 Country of citizenship P.R.China
3 Permanent residence address (street, apt. or suite no., or rural route). Do not use a P.O. box or in-care-of address. Lane 270, Guonian Road, Build No.3, Room 410, Yangpu district		
City or town, state or province. Include postal code where appropriate. Shanghai		Country P.R.China
4 Mailing address (if different from above)		
City or town, state or province. Include postal code where appropriate.		Country
5 U.S. taxpayer identification number (SSN or ITIN) if required (see instructions)	6 Foreign tax identifying number, if any (see instructions)	
7 TD Ameritrade Account Number (if no account number yet, write New Account) 489964012	8 Date of birth (MM-DD-YYYY) (see instructions) 02/28/1986	

**Part II Claim of Tax Treaty Benefits** (for chapter 3 purposes only) (see instructions)

- 9 I certify that the beneficial owner is a resident of \_\_\_\_\_ within the meaning of the income tax treaty between the United States and that country.
- 10 **Special rates and conditions** (if applicable – see instructions): The beneficial owner is claiming the provisions of Article \_\_\_\_\_ of the treaty identified on line 9 above to claim \_\_\_\_\_ % rate of withholding on (specify type of income):  
Explain the reasons the beneficial owner meets the terms of the treaty article:

**Part III Certification**


Under penalties of perjury, I declare that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete. I further certify under penalties of perjury that:

- I am the individual that is the beneficial owner (or am authorized to sign for the individual that is the beneficial owner) of all the income to which this form relates or am using this form to document myself as an individual that is an owner or account holder of a foreign financial institution,
- The person named on line 1 of this form is not a U.S. person,
- The income to which this form relates is (a) not effectively connected with the conduct of a trade or business in the United States, (b) effectively connected but is not subject to tax under an income tax treaty, or (c) the partner's share of a partnership's effectively connected income,
- The person named on line 1 of this form is a resident of the treaty country listed on line 9 of the form (if any) within the meaning of the income tax treaty between the United States and that country, and
- For broker transactions or barter exchanges, the beneficial owner is an exempt foreign person as defined in the instructions.

Furthermore, I authorize this form to be provided to any withholding agent that has control, receipt, or custody of the income of which I am the beneficial owner or any withholding agent that can disburse or make payments of the income of which I am the beneficial owner. I agree that I will submit a new form within 30 days if any certification made on this form becomes incorrect.

The Internal Revenue Service does not require your consent to any provisions of this document other than the certifications required to establish your status as a non-U.S. person and, if applicable, obtain a reduced rate of withholding.

Sign Here



Signature of beneficial owner (or individual authorized to sign for beneficial owner)

10/12/2017

Date (MM-DD-YYYY)

Shicheng Guo

Print name of signer

Capacity in which acting (if form is not signed by beneficial owner)

**Affidavit of Unchanged Status** (if applicable)

Under penalties of perjury I declare that I have examined and signed the above Form W-8BEN and that the information and certifications contained therein remained the same and unchanged for the period beginning January 1, 2017 (or later account opening date) to the present, and were true, correct, and complete during that period.

Signature: \_\_\_\_\_ Signature Capacity: \_\_\_\_\_

Please fax all requested paperwork to 866-468-6268 or attach to an email through the Secure Message Center. If mailing is preferred, send original W-8BEN to the address in the upper right hand corner.

Investment Products: Not FDIC Insured \* No Bank Guarantee \* May Lose Value

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