# Genetic Testing and Molecular Biomarkers

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# Identification of miR-423 and miRNA-499 polymorphisms on affecting the risk of hepatocellular carcinoma in large-scale population

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Table 1. The selected 2 SNP sites in miRNAs

SNP ID	substitutes	miRNA	SNP location	Chromosome start-stop site	Amplification primers	Extension primer
s6505162	A/C	hsa-mir-423	28444183 (stem-loop structure)	chr17:28444097-28444190	ACGTTGGATGTTTTCCAAAAGCTCGGTCTG ACGTTGGATGCAAGCGGGGAGAAACTCAAG	CTCAGTCTTGCTTCCTA
s3746444	C/T	hsa-mir-499	33578251(mat)	chr20:33578179-33578300	ACGTTGGATGGGCTGTTAAGACTTGCAGTG ACGTTGGATGGGAAGCAGCACAGACTTG	CCTCTCCACGTGAAC

Table 2.General characteristics in hepatocellular carcinoma patients and controls

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	Cases $(n = 984)$	Controls $(n = 991)$	P-value
	No.(%) or mean $\pm$ SD	No.(%) or mean $\pm$ SD	
Age (years)	54.67 ± 11.24	$59.6 \pm 11.59$	< 0.001
Gender			
Male	810 (82.3)	718 (72.5)	< 0.001
Female	174 (17.7)	273 (27.5)	
Smoking status			
Never	657 (67.7)	526 (53.1)	< 0.001
Ever	314 (32.3)	465 (46.9)	
Drinking status			
Never	726 (74.6)	734 (74.1)	0.781
Ever	247 (25.4)	257 (25.9)	
HBsAg (n = 938)			
Negative	178 (19.0)		
Positive	760 (81.0)		
Tumor size (n = 536)			
<5cm	217 (40.5)		
≥5cm	319 (59.5)		
Tumor number ( $n = 535$ )			
Single	472 (88.2)		
Multiple	63 (11.8)		

Tumor grade (n = 382) 84 (22.0) 298 (78.0) Serum level of tumor markers ALT (U/L, in 992 subjects)  $58.61 \pm 86.59$ AST (U/L, in 988 subjects)  $62.36 \pm 81.47$ AFP 359 (37.3) <20ug/L 603 (62.7)  $\geq 20 ug/L$ (ug/L, in 396 subjects)  $124.01 \pm 287.04 (0.6-1210)$  $1.762E06 \pm 5.452E06$ HBV-DNA (IU/mL, in 444 subjects) (1000-6.9E07)

Table 3. Association between genotypes/alleles of two polymorphisms and the risk of HCC/HBV-related HCC

Genotypes	Controls	НС	C patients		$HCC_{I}$	patients with HBV		
	no.(%)	no.(%)	OR(95% CI) <sup>a</sup>	P-value <sup>a</sup>	no.(%)	OR(95% CI) <sup>a</sup> P	?-value <sup>a</sup>	
miR-499 rs3746444	n = 969	n = 984			n = 760			
TT	765 (79.0)	724 (73.6)	1.000		558 (73.4)	1.000		
TC	179 (18.4)	241 (24.5)	1.458 (1.157-1.836)	0.001*	189 (24.9)	1.547 (1.203-1.990)	0.001*	
CC	25 (2.6)	19 (1.9)	0.759 (0.396-1.454)	0.405	13 (1.7)	0.685 (0.328-1.430)	0.313	
Dominant model (TT vs. TC+CC)			1.372 (1.099-1.713)	0.005*		1.437 (1.128-1.831)	0.003*	
Recessive model (TT+TC vs.CC)			1.433 (0.750-2.741)	0.276		0.622 (0.299-1.295)	0.204	
Т	1709 (88.2)	1689(85.8)	1.000		1305 (85.9)	1.000		
С	229 (11.8)	279 (14.2)	1.236 (1.013 -1.507	0.037*	215 (14.1)	1.263 (1.017-1.569)		
miR-423 rs6505162	n= 991	n = 984			n =763			
CC	652 (65.8)	643 (65.3)	1.000		491 (64.4)	1.000		
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CA	297 (30.0)	313 (31.8)	0.996 (0.812-1.222)	0.967	248 (32.5)	0.951 (0.761-1.189)	0.661	
AA	42 (4.2)	30 (2.9)	0.668 (0.391-1.139)	0.138	24 (3.1)	0.725 (0.405-1.298)	0.279	
Dominant model (CC vsCA+AA)			1.038 (0.852 -1.264)	0.714		1.017 (0.820-1.261)	0.878	
Recessive model (CC+CA vs. AA)			0.669 (0.399 -1.122)	0.128		0.750 (0.427-1.316)	0.316	
С	1601 (80.8)	1599 (82.3)	1.000		1228 (80.6)	1.000		
A	381 (19.2)	343 (17.7)	0.935 (0.790 -1.107)	0.438	296 (19.4)		0.887	
<sup>a</sup> OR and P value were all obtained af	fter adjusting fo	r age, gender, sı	moking status and wine s	tatus.				
* P value was less than 0.05.								
	Ма	ıry Ann Liek	pert, Inc., 140 Hug	uenot Stre	eet, New Roch	nelle, NY 10801		
								*

<sup>&</sup>lt;sup>a</sup>OR and P value were all obtained after adjusting for age, gender, smoking status and wine status.

P value was less than 0.05.

Table 4. Comparison of genotype/allele frequencies of two polymorphisms in male subjects

Genotypes	Controls	Н	ICC patients		НСС	patients with HBV	
	No.(%)	No.(%)	OR(95% CI) <sup>a</sup>	P-value <sup>a</sup>	No.(%)	OR(95% CI) <sup>a</sup>	P-value <sup>a</sup>
miR-423 rs6505162	n =718	n = 809			n = 635		
CC	457 (63.7)	524 (64.8)	1.000		406 (64.0)	1.000	
CA	230 (32.0)	262 (32.4)	1.028 (0.816-1.294)	0.817	211 (33.2)	0.971 (0.757-1.244)	0.815
AA	31 (4.2)	23 (2.8)	0.616 (0.330-1.148)	0.127	18 (2.8)	0.636 (0.323-1.252)	0.19
Dominant model (CCvs. CA+AA)			1.075 (0.860-1.344)	0.524		1.013 (0.796-1.288)	0.919
Recessive model (CC+CA vs.AA)			0.605(0.331-1.106)	0.102		0.649 (0.337-1.250)	0.196
С	1144 (79.7)	1310 (81.0)	1.000		1023 (80.6)	1.000	
A	292 (20.3)	308 (19.0)	0.904 (0.747-1.093)	0.297	247 (19.4)	0.943 (0.772-1.152)	0.568
miR-499 rs3746444	n =703	n = 810			n = 635		
TT	556 (79.1)	588 (72.6)	1.000		464 (73.1)	1.000	
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		-		_	•	•	

тс	127 (18.1)	206 (25.4)	1.676 (1.286-2.183)	<0.001*	160 (25.2)	1.697 (1.276-2.257)	<0.001*	
СС	20 (2.8)	16 (2.0)	0.706 (0.345-1.446)	0.341	11 (1.7)	0.631 (0.283-1.405)	0.260	
Dominant model ( TT vs. TC+CC)			1.538 (1.194-1.981)	0.001*		1.541 (1.172-2.025)	0.002*	
Recessive model ( TT+TC vs.CC)			0.629 (0.308-1.284)	0.203		0.562 (0.254-1.247)	0.157	
Т	1239 (88.1)	1382 (85.3)	1.000		1088 (85.7)	1.000		
С	167 (11.9)	238 (14.7)	1.329 (1.061-1.664)	0.013*	182 (14.3)	1.307 (1.025-1.668)	0.031*	
<sup>a</sup> OR and P value were all obtained at	fter adjusting fo	or age, gender,	smoking status and wine	status.				
* P value was less than 0.05.								
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<sup>&</sup>lt;sup>a</sup>OR and P value were all obtained after adjusting for age, gender, smoking status and wine status.

<sup>\*</sup> P value was less than 0.05.

Table 5. Comparison of genotype/allele frequencies of two polymorphisms in female subjects

Controls	HCC patient	's		HCC patients	with HBV
no.(%)	no.(%)	OR(95% CI) <sup>a</sup>	P-value <sup>a</sup>	no.(%)	$OR(95\% CI)^{a}$ $P$ -value <sup>a</sup>
n = 273	n = 177			n = 128	
195 (71.4)	119 (67.2)	1.000		85 (66.4)	1.000
67 (24.5)	51 (28.8)	0.882 (0.565-1.378)	0.582	37 (28.9)	0.897 (0.537-1.500) 0.679
11 (4.1)	7 (4.0)	0.816 (0.288-2.315)	0.703	6 (4.7)	1.017 (0.323-3.198) 0.977
		0.906 (0.592-1.386)	0.65		0.895 (0.550-1.456) 0.655
		0.893 (0.331-2.410)	0.823		1.099 (0.371-3.257) 0.865
457 (83.7)	289 (81.6)	1.000		207 (80.9)	1.000
89 (16.3)	65 (18.4)	1.067 (0.741-1.537)	0.728	49 (19.1)	1.113 (0.734-1.687) 0.614
n = 266	n = 174			n = 125	
209 (78.6)	136 (78.2)	1.000		94 (75.2)	1.000
					1.000 Shelle, NY 10801
Ма	nry Ann Lie	bert, Inc., 140 Hı	uguenot Stre	et, New Roo	helle, NY 10801
	no.(%) n = 273 195 (71.4) 67 (24.5) 11 (4.1) 457 (83.7) 89 (16.3) n = 266 209 (78.6)	no.(%) no.(%) n = 273 n = 177 195 (71.4) 119 (67.2) 67 (24.5) 51 (28.8) 11 (4.1) 7 (4.0)  457 (83.7) 289 (81.6) 89 (16.3) 65 (18.4) n = 266 n = 174 209 (78.6) 136 (78.2)	no.(%) no.(%) OR(95% CI) <sup>a</sup> n = 273 n = 177  195 (71.4) 119 (67.2) 1.000  67 (24.5) 51 (28.8) 0.882 (0.565-1.378)  11 (4.1) 7 (4.0) 0.816 (0.288-2.315)  0.906 (0.592-1.386)  0.893 (0.331-2.410)  457 (83.7) 289 (81.6) 1.000  89 (16.3) 65 (18.4) 1.067 (0.741-1.537)  n = 266 n = 174  209 (78.6) 136 (78.2) 1.000	no.(%) no.(%) no.(%) OR(95% CI) <sup>a</sup> P-value <sup>a</sup> n = 273 n = 177  195 (71.4) 119 (67.2) 1.000  67 (24.5) 51 (28.8) 0.882 (0.565-1.378) 0.582  11 (4.1) 7 (4.0) 0.816 (0.288-2.315) 0.703 0.906 (0.592-1.386) 0.65 0.893 (0.331-2.410) 0.823  457 (83.7) 289 (81.6) 1.000  89 (16.3) 65 (18.4) 1.067 (0.741-1.537) 0.728  n = 266 n = 174  209 (78.6) 136 (78.2) 1.000	$no.(\%)$ $no.(\%)$ $OR(95\% CI)^a$ $P\text{-value}^a$ $no.(\%)$ $n = 273$ $n = 177$ $n = 128$ $195 (71.4)$ $119 (67.2)$ $1.000$ $85 (66.4)$ $67 (24.5)$ $51 (28.8)$ $0.882 (0.565-1.378)$ $0.582$ $37 (28.9)$ $11 (4.1)$ $7 (4.0)$ $0.816 (0.288-2.315)$ $0.703$ $6 (4.7)$ $0.906 (0.592-1.386)$ $0.65$ $0.893 (0.331-2.410)$ $0.823$ $457 (83.7)$ $289 (81.6)$ $1.000$ $207 (80.9)$ $89 (16.3)$ $65 (18.4)$ $1.067 (0.741-1.537)$ $0.728$ $49 (19.1)$ $n = 266$ $n = 174$ $n = 125$

тс	52 (19.6)	35 (20.1)	1.167 (0.704-1.936)	0.549	29 (23.2)	1.031 (0.582-1.824)	0.917	
СС	5 (1.8)	3 (1.7)	1.281 (0.274-5.985)	0.753	2 (1.6)	1.147 (0.191-6.894)	0.881	
Dominant model ( TT vs.TC+CC)			1.143 (0.702-1.861)	0.592		1.020 (0.587-1.771)	0.945	
Recessive model ( TT+TC vs.CC)			1.129 (0.256-4.975)	0.873		0.893 (0.158-5.061)	0.898	
Т	470 (88.3)	307 (88.2)	1.000		217 (86.8)	1.000		
С	62 (11.7)	41 (11.8)	0.913 (0.589-1.416)	0.685	33 (13.2)	1.004 (0.611-1.649)	0.988	
<sup>a</sup> OR and P value were obtained after	adjusting for a	ge, gender, smo	oking status and wine stat	tus				
* P value was less than 0.05.								
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<sup>&</sup>lt;sup>a</sup>OR and P value were obtained after adjusting for age, gender, smoking status and wine status..

<sup>\*</sup> P value was less than 0.05.

Table 6.Clinicopathologic characteristics and genotype/allele frequencies of miR-499 rs3746444 and miR-423 rs6505162 polymorphism in HCC patients

Indexes		Genotype		P-value	All	ele	P-value
Tumor size							
miR-423 rs6505162	CC	CA	AA	0.575	C	T	0.300
< 5cm	135 (62.5)	73 (33.8)	8 (3.7)		343 (79.4)	89 (20.6)	
≥ 5cm	214 (66.7)	98 (30.5)	9 (2.8)		526 (81.9)	116 (18.1)	
miR-499 rs3746444	TT	TC	CC	0.001*	T	C	0.080
< 5cm	170 (78.4)	40 (18.4)	7 (3.2)		380 (87.6)	54 (12.4)	
≥ 5cm	218 (68.4)	98 (30.7)	3 (0.9)		534 (83.7)	104 (16.3)	
Tumor focus number							
miR-423 rs6505162	CC	CA	AA	0.477	C	T	0.229
Single	304(64.3)	153 (32.3)	16 (3.4)		761(80.4)	185(19.6)	
Multiple	45 (71.4)	17 (27.0)	1 (1.6)		107 (84.9)	19 (15.1)	
miR-499 rs3746444	TT	TC	CC	0.598	T	C	0.364
Single	344 (72.9)	120 (25.4)	8 (1.7)		808 (85.6)	136 (14.4)	
Multiple	43 (68.3)	18 (28.6)	2 (3.1)		104 (82.5)	22 (17.5)	
Tumor grade							
miR-423 rs6505162	CC	CA	AA	0.384	С	Т	0.160
I-II	60 (70.6)	23 (27.1)	2 (2.4)		143 (34.6)	27(65.4)	
III-IV	188 (62.9)	98 (32.8)	13 (4.3)		474 (34.1)	124 (65.9)	
miR-499 rs3746444	TT	TC	CC	0.766	T	C	0.475
I-II	55 (64.7)	27 (31.8)	3 (3.5)		137 (80.6)	33 (19.4)	
III-IV	208 (68.9)	85 (28.1)	9 (3.0)		501 (82.9)	103 (17.1)	

A ED							
AFP							
miR-423 rs6505162	CC	CA	AA	0.410	С	T	0.214
< 20ug/L	243 (67.9)	105 (29.3)	10 (2.8)		591 (82.5)	125 (17.5)	
$\geq 20 \mathrm{ug/L}$	385(63.6)	201 (33.2)	19 (3.1)		971 (80.2)	239 (19.8)	
miR-499 rs3746444	TT	TC	CC	0.944	T	C	0.839
< 20ug/L	266 (74.1)	86 (24.0)	7 (1.9)		618 (86.1)	100 (13.9)	)
$\geq$ 20ug/L	442 (73.3)	150 (24.9)	11 (1.8)		1034 (85.7)	172 (14.3)	)
Total bilirubin							
miR-423 rs6505162	СС	CA	AA	0.520			
	$18.09 \pm 20.84$	$21.16 \pm 42.99$	$16.48 \pm 9.88$				
miR-499 rs3746444	TT	TC	CC	0.004*			
	$18.37 \pm 1.05$	$20.89 \pm 2.27$	$20.88 \pm 2.63$				
Direct bilirubin							
miR-423 rs6505162	CC	CA	AA	0.810			
	$8.16 \pm 13.82$	$10.73 \pm 33.96$	$7.52 \pm 6.06$				
miR-499 rs3746444	TT	TC	CC	0.022*			
	$8.56 \pm 0.78$	$10.18 \pm 1.75$	$8.91 \pm 1.41$				
Indirect bilirubin							
miR-423 rs6505162	CC	CA	AA	0.610			
	$9.65 \pm 5.96$	$10.47 \pm 9.75$	$9.10 \pm 4.27$				
miR-499 rs3746444	TT	TC	CC	0.002*			
	$9.54 \pm 0.26$	$10.81 \pm 0.57$	11.97 ± 1.32				
ALT							
miR-423 rs6505162	CC	CA	AA	0.893			

				-
	$58.52 \pm 83.25$	$58.05 \pm 95.09$	$58.50 \pm 51.11$	
miR-499 rs3746444	TT	TC	CC	0.507
	$57.69 \pm 85.39$	$62.29 \pm 92.69$	$47.35 \pm 41.87$	
AST				
miR-423 rs6505162	CC	CA	AA	0.938
111117 425 130303102				0.750
	$62.19 \pm 74.56$	$62.59 \pm 95.82$	$60.54 \pm 50.97$	
miR-499 rs3746444	TT	TC	CC	0.406
	$60.14 \pm 77.35$	$70.05 \pm 94.74$	$49.78 \pm 38.12$	
HBV-DNA				
miR-423 rs6505162	CC	CA	AA	0.272
	$1.80E06 \pm 4.67E06$	$1.72E06 \pm 6.87E06$	1.16E06 ± 2.11E06	
'D 400 2746444				0.057
miR-499 rs3746444	TT	TC	CC	0.857
	$1.56E06 \pm 4.19E06$	$2.38E06 \pm 8.07E06$	$7.49E05 \pm 1.11E06$	
* P value was less than 0.0	5.			
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<sup>\*</sup> P value was less than 0.05.

# Identification of miR-423 and miRNA-499 polymorphisms on affecting the risk of hepatocellular carcinoma in large-scale population

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Running title: MiR-423 and MiR-499 Variants and Liver Cancer Risk

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### **Abstracts**

Aims: MicroRNAs (miRNAs) regulate gene expression and act as tumor suppressors or enhancers in oncogenesis. SNPs in miRNAs could alter the process or actions of mature miRNA. So far the association of miR-423 rs6505162 with cancers has not been explored, while the association of miR-499 rs3746444 was only reported in small size samples of different types of population.

Methods: To evaluate the association of miR-499 rs3746444 and miR-423 rs6505162 with HCC, we performed a large-scale case-control study of 984 patients with HCC and 991 cancer-free controls.

Results: The risk of HCC was significantly higher with miR-499 rs3746444 TC+CC genotype compared with those with TT genotype (OR = 1.372, 95% CI = 1.099-1.713, P = 0.005), so was the risk of HBV-related HCC (OR = 1.437, 95% CI = 1.128-1.831, P = 0.003). Moreover, subjects with TC+CC genotype were more vulnerable to advanced HCC with larger tumor size and/or higher TB, which suggested that TT genotype or T allele might serve as a protective factor. MiR-423 rs6505162 had no effect on the risk of HCC.

Conclusions: MiR-499 rs3746444 may contribute to the risk and prognosis of HCC, indicating that this SNP could be developed as a biomarker for HCC prediction.

Key Words: MicroRNA; polymorphism; hepatocellular carcinoma; MassARRAY

### Introduction

Liver cancer is the fifth common neoplasm worldwide and the third common cause of cancer mortality, with an annual mortality of about 700,000 persons globally. Hepatocellular carcinoma (HCC) accounts for between 85% and 90% of primary liver cancers and is predominant in developing countries(El-Serag and Rudolph 2007). Because it is often diagnosed at an advanced stage, HCC generally has poor prognosis. To date, surgical resection and liver transplantation are the best curative options to treat liver cancer. However, according to the liver function and tumor status, currently only 5% - 15% of HCC patients are eligible to surgical intervention(Blum 2005). Meanwhile, frequent tumor metastasis and tumor recurrence after surgical intervention often lead to poor outcome in HCC patients(Lu and others 2009). Therefore, identification of effective diagnostic and prognostic markers of HCC is of crucial significance.

MiRNAs are small single-stranded non-coding RNAs of about 22 nucleotides, and are master regulators of gene expression and control many biological pathways such as cell growth, differentiation and apoptosis(Bartel 2004; 2009). Recently, many studies have focused on miRNA expression profiling in liver cancer, which shows that any slight alterations in miRNAs expression may influence the cancer formation (Calin and Croce 2006). SNPs within miRNAs could affect its transcription, processing, or target recognition and result in malignant diseases (Buonocore and others 2010; Pastinen and others 2006). In addition, most of the known miRNAs have a large number of potential targets in mRNAs; minor variations in miRNA can

influence the expression of a large number of proteins. Several researchers have reported that miR-499 rs3746444, miR-196a2 rs11614913, miR-34b/c rs4938723 etc. may be correlated to susceptibility of HCC (Fan and others 2013; Guo and others 2012; Jun Zhang 2013; Lafferty-Whyte and others 2009; Wang and others 2011; Xu and others 2011)

MiR-423 and miR-499 are mapped on chromosome 17q and 20q respectively. Both of them participate in oncogenesis, and their SNPs, namely rs6505162 and rs3746444, have shown influence on susceptibility to a range of cancers, such as colorectal cancer (Xing and others 2012), breast cancer (Alshatwi and others 2012; Smith RA 2012), head and neck cancer (Liu and others 2010), esophageal cancer (Ye and others 2008) and ovarian cancer (Kontorovich and others 2010). Especially, miR-423 could distinguish HBV-related HCC from healthy controls, serving as a diagnosis biomarker for HBV-related HCC (Li and others 2010). Rs6505162 is on stem-loop structure of miR-423 and have potential to change expression or process of miR-423 (Duan S 2009), however, it is unclear about the relationship between miR-423 and genetic predisposition to HCC in any kind of ethic group. Although a couple of association researches of miR-499 rs374644 with risk of HCC have been performed, conflicting results have been reported due to small sample size and incomparable ethnic groups.

Therefore, this study in a large-scale sample aims to verify whether rs6505162 and rs3746444 affect the risk of HCC.

#### **Materials and Methods**

# Study Population

In our study, 984 HCC cases and 991 controls were enrolled from the unrelated East Chinese population sample collected from Huashan Hospital, Eastern Hepatobiliary Surgery Hospital and Taizhou Longitudinal Study as described previously (Jun Zhang 2013). In brief, All HCC patients were confirmed by pathologic or imaging certification and the controls had no history of cancer and other serious diseases. The basic features of all enrolled subjects included age, gender, family history, smoking status and alcohol status. For HCC patients, clinicopathologic characteristics, such as serum AFP levels, HBsAg status, HBV-DNA titer, ALT, AST, total bilirubin, tumor number/size and tumor grade, were also revealed. All the enlisted cases and controls were approved by the local ethics review board.

#### DNA extraction

A 5 mL sample of peripheral blood was collected from each subject. Genomic DNA was extracted from the blood sample by using the AxyPrep<sup>TM</sup> Blood Genomic DNA Miniprep Kit (Axygen Biosciences, Union City, USA). For sake of the accuracy of subsequent experiments, all DNA samples were subjected to electrophoresis and concentration determination. Sequenom MassARRAY was performed genotype in these samples with clear strips in electrophoresis and above 10 ng / μL.

### Genotyping

Amplification primers and extension primer of the SNPs, which were obtained by MassARRAY Assay Design, were listed in Table 1. Genotyping of the SNPs was performed by Sequenom MassARRAY technology, which was carried out by MassARRAY Analyzer Compact system (SEQUENOM Corporation) and analyzed by TYPER 4.0.

**MAETAL** 

# Statistical analysis

The computer software Statistical Package for Social Sciences (SPSS, version 13.0) and Excel were used to conduct all data analysis. Hardy–Weinberg equilibrium was evaluated by the comparison between the observed genotype frequencies and the expected ones. Binary logistic regression was used to appraise the differences in the genotypes or allele frequencies between the cases and controls, by which odds ratios (ORs) and 95% confidence intervals (CI) were calculated, so as to estimate the relative risk of these SNPs adjusted for smoking, alcohol and other confounding factors. Pearson's chi-square tests were performed to detect the association between clinicopathologic characteristics and microRNAs genotypic/allelic frequencies in HCC patients. For the other quantitative variables which had heterogeneity of variance or non-normal distributions, analysis of variance or nonparametric tests were applied. All statistical tests were two-sided. A p value < 0.05 was considered significantly different.

# Results

### Characteristics of the case-control sample

As shown in Table 2, this study included 984 HCC patients and 991 healthy subjects. Among all cases, 760 subjects with HBsAg positive were defined as HBV-related HCC. For HCC patients, further instructions including the distribution of clinicopathologic characteristics were also cataloged, such as AFP, ALT, AST, and HBV-DNA, etc. It was shown that, age, gender and smoking status had significant difference between the case and the control, while alcohol consumption had no discrepancy between the two groups.

MiRNA-423 and miRNA-499 polymorphisms and the risk of hepatocellular

#### carcinoma

As the genotype distributions of the SNPs obeyed Hardy-Weinberg equilibrium in both groups, association between the genotype/allele and the risk of HCC or HBV-related HCC was analyzed subsequently by binary logistic regression.

As shown in Table 3, TC and CC genotype increased the risk of HCC/HBV-related HCC in contrast with wild genotype TT (HCC: OR = 1.372, 95% CI = 1.099-1.713, P = 0.005; HBV-related HCC: OR = 1.437, 95% CI = 1.128-1.831, P = 0.003, respectively). C allele seemed to be a risk factor of HCC/HBV-related HCC (HCC: OR = 1.236, 95% CI = 1.013-1.507, P = 0.037; HBV-related HCC: OR = 1.263, 95% CI = 1.017-1.569, P = 0.035, respectively). Further gender stratification study (Table 4) showed that subjects with miR-499 rs3746444 TC+CC genotype or C allele had a considerably higher risk of HCC in males (TC+CC genotype: OR = 1.538, 95% CI = 1.194-1.981, P = 0.001; C allele: OR = 1.329, 95% CI = 1.061-1.664, P = 0.013). The risk of HBV-related HCC in males was the same. However, for female patients, such association was failed to be discovered (Table 5).

For miR-423 rs6505162, there was no statistically significant difference in terms of genotype/allele or genetic model analysis, either in whole or in stratification studies.

#### MiRNA-499 polymorphism and clinicopathologic characteristics

Clinical characteristics, like tumor number, tumor grade, AFP, total bilirubin (TB), ALT, AST and HBV-DNA could evaluate development and prognosis of HCC. As shown in Table 6, no significant association was observed between miR-499 rs3746444/miR-423 rs6505162 and clinicopathologic characteristics including tumor number, tumor grade, AFP, ALT, AST and HBV-DNA, except the marked

relationship between miR-499 rs374644 and tumor size/ TB.

Tumor size was one critical factor influencing HCC prognosis, and patients who had tumor less than 5cm of diameter had higher survival rate than those with tumor more than 5cm of diameter. In our study, significant association was observed between miR-499 rs3746444 and tumor size (P = 0.001). Subjects with TC+CC genotypes had larger tumor size, suggesting they were more likely to get advanced HCC and poor prognosis. Serum total bilirubin level is an important director of liver function, whose abnormality reflects the severity of diseases. We found that subjects with rs3746444 TT genotypes were less vulnerable to TB abnormality (Table 5). These findings all implied that rs3746444 could have influence on development and prognosis of HCC, and TT genotype/ T allele was a protective factor, which is consistent with above association results with HCC risk.

### **Discussion:**

HCC normally develops as a consequence of underlying liver disease and the cancer risk increases sharply in response to liver injury at the cirrhosis stage(El-Serag and Rudolph 2007). A wide range of factors have been reported to be associated with the risk of HCC, such as chronic viral hepatitis B (HBV) or C (HCV), which account for 80–90% of all HCCs worldwide, and alcoholic and non-alcoholic steatohepatitis-associated liver cirrhosis. These factors vary according to the geographical region. For example, chronic hepatitis B virus (HBV) infection is prevalent in many Asian countries and Africa, whereas hepatitis C virus (HCV) is dominant in Japan and the United States(El-Serag and Rudolph 2007).

It has also been reported that miRNAs play key roles in tumor formation. Several

miRNAs that either suppress or promote tumor formation have been identified (Croce 2009). Aberrant expression of miRNAs in liver often leads to cancer development, such as miR-125b and miR-122(Liang and others 2010; Wen and Friedman 2012). It is reported that miR-125b could suppress liver cancer cell growth, migration and invasion (Liang and others 2010). In addition, several reports have indicated that SNPs in microRNAs and microRNA-target sites may influence microRNA biology and were associated with cancer risk, treatment response and outcome (Hu and others 2008; Saunders and others 2007). So the study of the relationship between HCC and the miRNA SNP is very valuable. Utilizing SNPs as companion diagnostics is prospective.

MiR-423 gene is located in the first intron of the gene of nuclear speckle splicing regulatory protein (NSRP1), playing a role in pre-miRNA splicing (Kim and others 2011; Smith RA 2012). Different expression patterns of miR-423 were found in various types of cancers, like malignant mesothelioma (Guled and others 2009), head and neck cancer (Hui and others 2010), and breast cancer (Farazi and others 2011). Remarkably, miR-423 was up-regulated in HCC. Instead of miR-423-5p, miR-423-3p could promote cell growth and regulate G1/S transition through inhibiting the expression of tumor suppressor p21Cip1/Waf1 in HCC (Lin and others 2011), which suggested that it was an oncogenic miRNA. Furthermore, rs6505162 in miR-423-3p was inferred to affect the expression or the process of miR-423 instead of its secondary structure (Smith RA 2012). So we hypothesized that this SNP could be related to the risk of HCC through increasing the expression of miR-423. Conversely,

our results showed no significant association between rs6506162 in miR-423 and HCC in this studied population. Our findings are the first to demonstrate that this SNP could not influence the risk of HCC in Chinese population, despite having potential to affect its expression or maturation.

MiR-499 gene lays in the 20th intron of the beta-myosin heavy chain 7B (Myh7b) gene and thus may result in cancer risk through affecting Myh7b gene function (Akkiz H 2011) or down-regulating Ets1, one proto-oncogene, by increasing expression of MMP-7 in HepG2 cells (Wei and others 2012). Rs3746444 is situated in the stem region opposite to the mature miR-499 sequence which leads to variation from A: U pair to G: U mismatch in the stem structure of miR-499 precursor (Xiang and others 2012). Available researches on its effect on HCC risk are inconsistent on account of small samples and different ethnic groups. Similarly, relevant meta-analysis studies were considerably controversial. Therefore, we performed the association study in a larger sample (984 cases vs. 991 controls), and found that TC+CC genotype or C allele was associated with increased risk of HCC and HBV-related HCC. Furthermore, we found that TC+CC were related with advanced HCC, related to larger tumor size and high TB, suggesting its relationship with development and prognosis of HCC. Consequently, we assumed that the C allele might decrease the expression of mature miR-499, increase the expression of Ets1 and thus promote HCC development and result in poor prognosis, which required further functional confirmation.

In conclusion, we demonstrated that miR-499 T>C was associated with genetic

susceptibility and prognosis of HCC in a large sample, implying that it may serve as a predicative factor for HCC, while there is no effect of miR-423 rs6505162 in the susceptibility to HCC. Compared with current diagnosis tools, critical miRNA SNPs with susceptibility to HCC took advantages in some aspects, such as cost-effectiveness and less pain. We hope that this significant SNP can be beneficial to diagnose of HCC.

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