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Remove address panel below to view additional instructions on back of copy C

U.S. Income Tax Filing Requirements

Generally, every nonresident alien individual, nonresident alien fiduciary, and foreign corporation with United States income, including income that is effectively connected with the conduct of a trade or business in the United States, must file a United States income tax return. However, no return is required to be filed by a nornesident alien individual, or foreign corporation if such person was not engaged in a trade or business in the United States at any time during the tax year and if the tax liability of such person was fully satisfied by the withholding of United States tax at the source. Corporations file Form 119-0F- all others file Form 1040NR (or Form 1040NR-EZ if eligible). You may get the return forms and instructions at any United States Embassy or consultate or by writing to: Internal Revenue Service, 1201 N. Mitsubishi Motorway, Bloomington, II. 61705-6813.

Internal Revenue Service, 1201 N. Misubishi Motorway, Bioomington, II. 61705-8613.

En règle générale, tout étranger non-résident, sout organisme fidéicommissaire étranger non-résident et toute société étrangère procrevant un revenue aux Etats-Unis, y compris tout revenu dérivé, en tait, du fonctionnement d'un commerce ou d'une affaire aux Etats-Unis, cott produire une déclaration d'impôt sur le revenu apprès des services fiscaux des Etats-Unis. Copendant aucune déclaration frimpôt sur le revenu n'est exigée d'un étranger non-résident, d'un organisme fidéicommissaire étranger non-résident, ou d'une société étrangère s'îte n'ont pris part à aucun commerce ou affaire aux Etats-Unis à aucun moment pendant l'année fiscale et al les impôts dont les sont redévables, ont été entièrement acquitités par une retenue à la source sur leur salatire. Les sociétés doivent faire leur déclaration d'impôt, en emplissant le formulaire 120-F, tous les autres redevables doivent rempir le formulaire 104/05MR (cu 140/05MR cu 140/05MR

Por regla general, todo extranjero no residente, todo organismo fideicomisario extranjero no residente y toda sociedad anonima extranjera que recibia ingresos en los Estados Unidos, incluyendo ingresos relacionados con la condución de un negocio o comercio dentro de los Estados Unidos, deberá presentar uma declaración estadounidense de impuestos sobre el ingreso. Sin embargo, no se requiere declaración alguna a un individuo extranjero, una sociedad anónima extranjera u organismo fideicomisario extranjero no residente, si tal persona no ha efectuado comercio o negocio en los Estados Unidos durante el año fiscal y si la responsibilidad con los impuestos de tal persona ha sido satisficha plenamente mediante retención del impuesto de los Estados Unidos en la tuente. Las sociedades anónimas arvirán el Formulario 1120-F; todos los demás contribuyentes envirán el Formulario 120-RN (el Formulario 1040NR-EZ si les corresponde). Se podrá obtener formularios e instrucciones en cualquier Embajada o Consulado de los Estados Unidos o escribiendo directamente a: Internal Revenue Service, 1201 N. Mitsubishi Motorway, Bloomington, IL 61705-6613.

Im allgemeinen muss jede ausländische Einzelperson, jeder ausländische Bevollmächtigte und jede ausländische Gesellschaft mit Einkommen in den Vereinigten Staaten, einschliesslich des Einkommens, welches direkt mit der Ausläubung von Handel oder Gewerbe innerhalb der Staaten verbunden ist, eine Einkommensteuererklürig der Vereinigten Staaten abgeben. Eine Erklärung, muss jedoch nicht von Ausländern, ausländischen Bevollmächtigten oder sussändischen Gesellschaften in den Vereinigten Staaten eingereinicht worden, falls eine solche Person während des Stautenghares kein Gewerbe oder Handel in den Vereinigten Staaten ausgeübt hat und die Steuerschuld durch Einbehaltung der Steuerne der Vereinigten Staaten durch die Einkommenscuelle abgegolen ist. Gesellschaften reichten den Vordruck 1120-F ein; alle anderen reichen das Formblatt 1040NR (oder wenn passend das Formblatt 1040NR-EZ) ein. Einkommensteuerskläungen und instruktionen können bei den Botschaften und Konsulaten der Vereiningten Staaten eingeholt werden. Um weitere Informationen wende man sich bitte an: Internal Reveruse Service, 1201 N. Mitsubisti Motorway, Bloomington, IL 61705-6613.

Explanation of Codes

	7.			
Box	4 1			ä

0	Code	Types of Income
	01	Interest paid by U.S. obligors-general
	02	Interest paid on real property mortgages
tr	03	Interest paid to controlling foreign corporations

- Interests paid by foreign corporations Interest on tay-free covenant bonds Interest on tay-free covenant bonds interest paid on deposit with a foreign branch of a dor Deposit interest. Original Issue discount (OID) Short-term OID

- Dividend 08 21 34 40
- nd equivalents under IRC section 871(m) (formerly 871(l))
- Capital gains Industrial royalties Motion picture or television copyright royalties Other royalties (for example, copyright, recording, publishing) Other

See back of Copy C for additional codes

Pooled Reporting Codes
42 Resalcitrant Pool-No.U.S. India
43 Resalcitrant Pool-U.S. India
44 Resalcitrant Pool-U.S. Pool-U.S. Resalcitrant Pool-Dammart Acr
45 Resalcitrant Pool-Pool-U.S. Persalve NFF
47 Noriparticipating FFI Pool
48 U.S. Payees Pool
40 U.S. Payees Pool

- Explanation of Codes (continued)

 07 U.S. branch of Registered Deerned-Compilant FFI-treated as U.S. Person

 08 Partnership of the tran Withholding Foreign Partnership

 09 Withholding Foreign Partnership

 10 Trust of other than Withholding Foreign Trust

 11 Coulding Toreign Partnership

 12 Coulding Toreign Partnership

 13 Coulding Intermediary—Branch not under Qualified Intermediary Agreement

 14 Qualified Intermediary—Branch not under Qualified Intermediary Agreement

 15 Qualified Intermediary—Branch not under Qualified Intermediary Agreement

 16 Qualified Securities Lender-Qualified Intermediary

 17 Qualified Securities Lender-Other

 18 Corporation

 19 Corporation

 19 Corporation

 10 Caption Partnership Compiled Intermediary

 11 Caption Partnership Compiled Intermediary

 12 Foreign Government-Integral Part

 13 Foreign Government-Integral Part

 14 Foreign Government-Integral Part

 15 Foreign Government-Integral Part

 16 Foreign Government-Integral Part

 17 Foreign Government-Integral Part

 18 Foreign Government-Integral Part

 19 Foreign Government-Integral Part

 19 Foreign Government-Integral Part

 19 Foreign Government-Integral Part

 19 Foreign Government-Integral Part

 20 Foreign Government-Integral Part

 21 Foreign Government-Integral Part

 22 Foreign Government-Integral Part

 23 Foreign Government-Integral Part

 24 Foreign Government-Integral Part

 25 Foreign Government-Integral Part

 26 Foreign Government-Integral Part

 27 Foreign Government-Integral Part

 28 Foreign Government-Integral Part

 29 Foreign Government-Integral Part

 29 Foreign Government-Integral Part

 20 Foreign Government-Integral Part

 20 Foreign Government-Integral Part

 21 Foreign Government-Integral Part

 22 Foreign Government-Integral Part

 23 Foreign Government-

- 38 Agency Withholding Rate Pool-Exempt Organization
 Chapter 4 Status Codes
 01 U.S. Withholding Agent-Fi
 03 Territory FI not breated as U.S. Person
 04 Territory FI not breated as U.S. Person
 05 U.S. branch of Participating FFI--forelated as U.S. Person
 06 U.S. branch of Participating FFI--forelated as U.S. Person
 07 Participating FFI--forelated as U.S. Person
 08 Participating FFI--forel Deemed-Complant FFI-sosted as U.S. Per
 10 Registered Deemed-Complant FFI--Sporanced Firstly
 12 Registered Deemed-Complant FFI--Sporanced Firstly
 13 Registered Deemed-Complant FFI--Sporanced Firstly
 14 Certified Deemed-Complant FFI--Sporanced Firstly
 15 Certified Deemed-Complant FFI--Sporanced Firstly
 16 Certified Deemed-Complant FFI--First with Low Value Accounts
 16 Certified Deemed-Complant FFI--First with Low Value Accounts
 17 Certified Deemed-Complant FFI--First with Low Value Accounts
 18 Certified Deemed-Complant FFI--First with Low Value Accounts
 19 Certified Deemed-Complant FFI--First With Low Value Accounts

- Chapter 4 Status Codes (continued)

- Chapter 4 Status Codes (continued)

 18. Nonparticipating FFI

 19. Owner-Occuranted FFI

 20. Limited Branch inselled as Nonparticipating FFI

 20. Limited Branch inselled as Nonparticipating FFI

 20. Limited Branch inselled as Nonparticipating FFI

 20. Passive NFE (self-thyping Substantial U.S. Owners

 21. Passive NFE (self-thyping Substantial U.S. Owners

 22. Passive NFE (self-thyping Substantial U.S. Owners

 23. Passive NFE (self-thyping Substantial U.S. Owners

 24. Publicity Traded NFEE of Affiliate of Publicity Traded NFEE

 25. Excepted NFEE (self-thyping Substantial U.S. Owners

 26. Excepted NFEE (self-thyping Substantial U.S. Owners

 27. Individual (self-thyping Substantial U.S. Owners

 28. Limited Territory NFEE

 29. Excepted Territory NFEE

 20. Excepted Territory NFEE

 20. Limited Territory NFEE

 21. Limited Territory NFEE

 22. Limited Territory NFEE

 23. U.S. reportable account

 24. Non-consenting U.S. account

 25. Sponsored direct reporting NFFE

 26. Excepted Inter-diffiliate FFI

LIOSTESSIT COOR

TYOS4 ASU Houston TX

2120 El Paseo Apt 1801 Shicheng Guo

Important Tax Document Enclosed

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XI

Houston

UT Health Science Ctr-Houston