/5/2017 Return This Form - *****9715 - TD Ameritrade **Dependents** 0 3373 Lebon Dr Apt 203 Home address 203 San Diego CA 92122-5210 **UNITED STATES Employment information Employed Employment status** Business/employer name University Of California, San Diego **Postdoc** Occupation 9500 Gilman **Employer address** San Diego CA 92123 **UNITED STATES** Financial information Approximate annual income \$50,000 - \$99,999 Approximate net worth \$1,000,000 - \$1,999,999 Approximate liquid net worth \$2,000,000+ Personal affiliations Are you, or is your spouse, or is any No member of your immediate family licensed by, employed by, or associated with a broker-dealer firm, a financial services regulator, a securities exchange, or a member of a securities exchange? No Are you, or is your spouse, or is any member of your immediate family, or are any of your personal or business associates a senior political figure? No Are you, or is your spouse, or is any member of your immediate family a member of the board of directors, a 10% shareholder, or a policy-making officer of a publicly traded company?

I have received and read the "Client Agreement" which is incorporated by this reference, that will govern my account. I agree to be bound by this Client Agreement, as amended from time to time,

TD Ameritrade FDIC-Insured Deposit Account

Client agreement

Cash sweep vehicle

Your choice for your uninvested cash