# **Clinical Epigenetics**

# Cell-free DNA Promoter Hypermethylation in Plasma as a Diagnostic Marker for Pancreatic Adenocarcinoma --Manuscript Draft--

Manuscript Number:	CLEP-D-16-00150R1					
Full Title:	Cell-free DNA Promoter Hypermethylation in Plasma as a Diagnostic Marker for Pancreatic Adenocarcinoma					
Article Type:	Research					
Abstract:	Background: Pancreatic cancer has a 5-year survival rate of only 5-7%. Difficulties in detecting pancreatic cancer at early stages results in the high mortality, and substantiates the need for additional diagnostic tools. Surgery is the only curative treatment and unfortunately only possible in localized tumours. A diagnostic biomarker for pancreatic cancer will have a major impact on patient survival by facilitating early detection and the possibility for curative treatment. DNA promoter hypermethylation is a mechanism of early carcinogenesis, which can cause inactivation of tumour suppressor genes. The aim of this study was to examine promoter hypermethylation in a panel of selected genes from cell-free DNA, as a diagnostic marker for pancreatic adenocarcinoma.					
	Methods: Patients with suspected or biopsy-verified pancreatic cancer were included prospectively and consecutively. Patients with chronic/acute pancreatitis were included as additional benign control groups. Based on an optimized accelerated bisulfite treatment protocol, methylation-specific PCR of a 28 gene panel was performed on plasma samples. A diagnostic prediction model was developed by multivariable logistic regression analysis using backward stepwise elimination.					
	Results: Patients with pancreatic adenocarcinoma (n=95), chronic pancreatitis patients (n=97), acute pancreatitis patients (n=59), and patients screened, but negative for pancreatic adenocarcinoma (n=27) were included. The difference in mean number of methylated genes in the cancer group (8.41 (95% CI 7.62-9.20)) vs the total control group (4.74 (95% CI 4.40-5.08)) was highly significant (P<0.001). A diagnostic prediction model (age >65, BMP3, RASSF1A, BNC1, MESTv2, TFPI2, APC, SFRP1, and SFRP2) had an area under the curve of 0.86 (sensitivity 76%, specificity 83%). The model performance was independent of cancer stage.					
	Conclusions: Cell-free DNA promoter hypermethylation have the potential to be diagnostic markers for pancreatic adenocarcinoma and differentiate between malignant and benign pancreatic disease. This study brings us closer to a clinical useful diagnostic marker for pancreatic cancer, which is urgently needed. External validation is, however, required before the test can be applied in the clinic.					
	Keywords: Pancreatic cancer; pancreatic adenocarcinoma, pancreatitis; diagnostic biomarker; methylation; epigenetic, cell-free DNA					
	ClinicalTrails.gov (NCT02079363). Retrospectively registered, (registered 29 July 2014).					
Response to Reviewers:	Revision letter CLEP-D-16-00150					
	Dear Editor					
	Thank you for the opportunity to revise the manuscript. We appreciate the comments from each reviewer, and our responses are outlined below. Changes to the manuscript have been highlighted with yellow font.					
	Reviewer #1: Major comments: 1.In this experiment The author examined the pattern of methylation of 28 genes in					

cell-free DNA of malignant and benign pancreatic disease. In addition to the above the training set, Whether the authors validated the model in the other set?

Our response: We examined the methylation of the 28-gene panel in cell-free DNA of a training set of 95 patients with pancreatic adenocarcinoma, 27 patients screened but negative for pancreatic adenocarcinoma, 97 patients with chronic pancreatitis and 59 patients with acute pancreatitis. At this point, we have only analyzed the gene panel in the training set. We chose to use the entire data set for model development and performed an internal validation of the model using a cross-validation approach (ref. Smith et al. Am J Epidemiol 2014, 180: 318-324) to take into account the inherent optimism. We chose this approach over e.g. a split-sample design to maintain the sample size.

We are aware of that testing in an independent cohort of patients is considered the gold standard for biomarker validation to substantiate the results. However, it was impossible for us to reach this standard during the development phase, as pancreatic adenocarcinoma is a relatively rare disease.

We are currently planning a validation study, using an independent patient cohort.

2. The authors use other ways to repeat the experiment result?

Our response: We have not used other methods to repeat the results, even though we are aware of the importance in this. Unfortunately, we only had a limited amount of sample material available, why we were unable to perform additional analysis. In addition, please also see the response to the previous comment.

Minor comments:

Authors should clearly articulated gene name of model 13 in the summary and conclusions

Our response: We have now included the name of the genes in model 13 both in the summary and in the conclusion.

"(BMP3, RASSF1A, BNC1, MESTv2, TFPI2, APC, SFRP1, and SFRP2)"

Reviewer #2:

Comments:

1.Method are not sufficient. How did they get the blood? Peripheral blood or other? How amout the total blood per the patient?

Our comment: Blood was obtained using peripheral venipuncture from an antebrachial vein according to the guidelines recommended by the European Concerted Action on Thrombosis. This information is now included in the section on Blood sampling and analytical method

"using peripheral venipuncture from an antebrachial vein, according to the guidelines recommended by the European Concerted Action on Thrombosis." (ref. Jespersen J, Bertina R, Haverkate F: Laboratory Techniques in Thrombosis - A Manual: Second Revised Edition of the Ecat Assay Procedures. Kluwer Academic Publishers; 1999.)

Patients with pancreatic adenocarcinoma and patients screened, but negative for pancreatic adenocarcinoma were primary included in a study on upper gastrointestinal cancer and tromboembolisme (ref. A. C. Larsen et al. BJS 2014; 101:246-253). The total amount of blood drawn per patient at the time of inclusion was 10.4 ml (distributed as; 5.5 ml serum and 4.9 ml EDTA plasma). Sample material from these patients had been stored in our biobank. For this present study, we only had a limited amount of sample material available (0.5 ml plasma from each patient). Patients with chronic or acute pancreatitis had at the time of inclusion drawn 20 ml of blood (distributed as; 12

ml EDTA plasma and 8 ml serum). However, we only extracted cell-free DNA from 0.5 ml to enable comparison between patients.

2.Methods and Results were mixed.

Our comment: It is correct that we describe the results for the validation of dichotomous data in the Method section. We have now removed these results to the section of Results and in this process we renamed the differences between the threshold cycle (Ct) values of the hemimethylated reference gene MEST transcript variant 1 and the Ct values of each gene for which Ct > 0 = ( $\Delta$  Ct). If the Reviewer has additional mixed parts in mind regarding method and results, we would very much like to have this clarified to enable editing.

The results from the validation of dichotomous data was removed from the section of Methods to the section of Results.

"Validation of the dichotomous data: There was no clear difference in  $\Delta$  Ct between the cancer group and control group 1 combined with control group 2. This indicated that no significant amount of information was lost by dichotomizing the genes as hypermethylated or non-methylated genes regardless of the observed Ct value."

3.Statistical analyses and interpretation were poor. As one example, patient age was usually excluded as a covariant in the multivariant logistic regression analysis.

Our comment: When developing a predictive model of an outcome there are a number of methodological decisions to be made. We have based our decisions on literature dealing with the specific aim of developing and validating a predictive model (mainly following "Clinical Prediction Models – A Practical Approach to Development, Validation, and Updating", Ewout W. Steyerberg, 2009).

Each of these decisions is obviously open to discussion and we are very willing to listen to specific critiques of our chosen methods.

Concerning the interpretation of our results and patient age, we do not imply a causal interpretation of the parameter estimates from the regression model we are using. This is due to the fact that a predictive model is not developed with the purpose of drawing causal inferences about specific variables, but rather to obtain the best possible prediction of the dependent variable from a set of independent variables. We do not assume any prior knowledge about the importance of the 28 genes and hence use a stepwise backward selection procedure for variable selection. However, given the systematic age difference in our patient groups we have included age in the model to avoid variable selection to be driven by the possible differences in general methylation status between patients of different age.

4. The paragraph of "Outcome" on page 10 is not clear.

Our comment: As the reviewer does not find the outcome clear, it is now clarified in the manuscript

"The primary aim was to establish a prediction model for pancreatic adenocarcinoma, enabling differentiation of pancreatic adenocarcinoma patients and a clinical relevant control group of patients screened, but negative for upper gastrointestinal cancer and patients with chronic pancreatitis."

5. Additional file 1 might be changed place Additional file 2.

Our comment: We have now corrected the numbers of the Additional files

6. Numerical characters were not unify.

Our comment: Sorry, we need to have this comment clarified

Reviewer #3:

#### Major comments:

1.Could you provided the ctDNA yield of cancer and normal plasma samples? Could you find the in-creased ctDNA yield in cancer plasma? And how about the plasma in pancreatitis patients? It would be nice if the authors could make some correlation analysis between the methylation status and ctDNA yield.

Our comment: We have added Additional file 4 a (a table listing median level of cell-free DNA), Additional file 4 b (a box-plot of median level of cell-free DNA) and Additional file 5 (a scatter plot illustrating the correlation between level of cell-free DNA and total number of hypermethylated genes)
We added the following to the section of methods;

"Level of cell-free DNA: We calculated the median level (ng/ml) of cell-free DNA for each group. Nonparametric Wilcoxon rank sum test was used for comparison of the cancer group and the benign control groups. The total number of hypermethylated genes was calculated for each patient. The Kendall's rank test was used for correlation analysis of total number of hypermethylated genes and level of cell-free DNA."

In addition we added the following to the section of results:

"Level of cell-free DNA: Patients with pancreatic adenocarcinoma had a significant higher median level of cell-free DNA (11.60 ng/ml (range 0.60-957.17)) compared to control group 1 with 6.17 ng/ml (range 1.06-48.43), control group 2 with 2.18 ng/ml (0.11-115.44) and 4.09 ng/ml (range 0.65-62.42) for control group 3 (Additional file 4). In addition, the correlation between level of cell-free DNA and number of hypermethylated genes was statistically significant with a Kendall's τ of 0.34 (Additional file 5)."

2.Between Line 20 and 25, the authors claimed that Routine analyses (C-reactive protein,leucocytes, alanine aminotransferase, alkaline phosphatase, amylase, bilirubin) were performed immediately af-terwards. However, there is no any related statistical analysis to these variables, why?

Our comment: It is correct that we mentioned in the Methods section that patients had blood samples drawn for analysis of C-reactive protein, leucocytes, alanine aminotransferase, alkaline phosphatase, amylase, bilirubin. This was just to provide the knowledge, that these routine analyses were only used as a tool in the diagnostic work-up of the patients. It was not in the scope of this present study to perform any statistical analysis of these variables. To clarify this, we deleted the paragraph

"Routine analyses (C-reactive protein, leucocytes, alanine aminotransferase, alkaline phosphatase, amylase, bilirubin) were performed immediately afterwards."

3.Two-step PCR is a quite smart idea to be applied in low level ctDNA methylation detection, however, the author detected 28 genes at the same time, I hope to find some evidence that this technique could be stable, high sensitivity and uniformly to each genes, especially in the 1st round PCR. In addition, please provide some evidence to guarantee the specificity of the MSP technique.

Our comment: To certify the sensitivity we used global methylated DNA to ensure that each gene promoter was detected with comparable sensitivity. First round PCR was done with 1, 10, 100, 1000 and 10000 copies of deaminated DNA. Each gene was always detected using 100 (and more) copies, 90% detection using 10 copies and detectable (but not in every run) using 1 copy. To guarantee the specificity we used unmethylated MEST1v1, which never was detected in global methylated DNA.

4. The authors applied MSP in the current study, it is disappointed since MSP is not quite stable and the sensitivity (false negative), even the specificity (false positive) would be lower compared with other methods, such Methylight or Pyrosequencing. The authors have mentioned that they lacked sufficient power to conduct a quantitative analysis. I just don't understand why they could not conduct Methylight to the production of 1st PCR.

Our comment: We acknowledge the fact that both Methylight and pyrosequencing can provide information regarding proportion of methylated to unmethylated product and with regard to pyrosequencing also information on methylation status of individual CpGs. However, since the first round of PCR is methylation specific it would not be possible to estimate the proportion of methylated to unmethylated product by running either of the 2 analyses on this preamplification product. In addition, we have shown no added information from using Ct values compared to the dichotomized output and it is our judgement that there is no need for a more refined quantitation. As outlined in our response to the previous comment, stability with regard to sensitivity and specificity of the method employed is well-accounted for.

We have added information about the quantitative data to the section of Results, Validation of the dichotomous data (Additional file 2 a and 2 b, listing the distribution of the Ct values of each gene within each patient group)

"Additional file 3 a and 3 b list the distribution of Ct values (0, 0-25, 25-30, and >30) for each gene within each patient group and illustrates a slightly difference in Ct values between the groups, with a tendency towards Ct values in the cancer group being lower compared to the benign control groups. However, due to limited power, the effect of this difference could not be evaluated in the multivariable logistic regression model, consequently we treated hypermethylation a dichotomised variable."

5. The authors didn't detect the methylation level in the solid tissues. I thought the authors might as-sume that these regions should be hyper-methylated in solid pancreatic adenocarcinoma since these regions were collected from previous Review paper. However, I hope the authors should give some evidence about it. For examples, in the previous volume of Clinical Epigenetics 6 (1), 18 (PMC4177372), genome-wide aberrantly methylated targets in pancreatic adenocarcinoma has been identified, I'd like to know how many genes among 28 genes could be overlapped? It is quite important since we should be sure about these targets should be pancreatic adenocarcinoma hyper-methylation, rather than some other cancer, such as lung cancer et al.

Our comment: We agree with the reviewer, that it is relevant to know if the genes we are analyzing in plasma derived cell-free DNA previously has been detected as methylated in primary tumor tissue. We have in the manuscript added the specific references for each gene. All genes, besides four (ALX4, MESTv2, SEPT9, SST), has been detected as hypermethylated in pancreatic cancer tissue. Regarding the study mentioned by the reviewer; Clinical Epigenetics 6 (1), 18 (PMC4177372), a genome-wide study on aberrantly methylated targets in pancreatic adenocarcinoma tumor tissue, eleven of the genes contained in our gene panel (APC, NEUROG, NPTX2, RASSF1, SFRP2, TAC1, BMP3, CHFR, EYA2, MGMT, WNT5A) were overlapping with hypermethylated genes described in that study.

#### Minor comments

1.In the page 8 and page 9(line 59 and line 22, respectively), Additional file 1should be replaced as Ad-ditional file 2.

Our comment: We have now corrected the number on Additional file 1 and 2

2. The order how to eliminate the variables in Figure 2 should be explained.

Our comment: The variables were eliminated using stepwise backward elimination in logistic regression models. This is a variable selection algorithm, where the least significant variable is eliminated in each step to identify the optimal combination of variables representing the highest predictive power. For each intermediate combination of variables, the AUC value was calculated as a measurement of predictive power. To clarify the elimination process, the following is added to the manuscript in the section of Methods

"In the backward elimination algorithm variables were eliminated one by one to identify the optimal combination of variables representing the highest predictive power. The least significant variable in the variable combination was eliminated in the stepwise procedure." 3. Why Model 13 was determined as the model with the best performance should be explained.

Our comment: Model 13 was determined as the final model based on a combination of model complexity and model performance. Model 13 (with an AUC of 0.86) was not the model with the most superior performance, as Model 1 containing the twenty most significant genes had an AUC of 0.87. However, model 13 was determined as the final model as it only contained a limited number of variables and leaving out the 12 least significant genes only resulted in a minimal loss of predictive power.

4.I recommend move the supplementary Table 1 to the main body, since this information would pro-vide more details about the MSP result.

Our comment: We have moved Supplementary table 1 to the main manuscript as Table 2, and rename the subsequent tables

5.Frankly speaking, when I saw the AUC, sensitivity and specificity, I was a little disappointed since these index is not good enough for the clinical application. Compared with other methylation related diagnosis performance, it seems the current study have a little worse performance.

Our comment: Of course, it would have been great if the performance of our model had been higher. However, one needs to keep in mind that the prediction model is based on the differentiation of patients with pancreatic cancer and patients with symptoms resembling those of upper gastrointestinal cancer and patients with chronic pancreatitis, which are patients known to be a clinical challenge to differentiate. The performance of our model would probably have been higher if the control group only contained healthy individuals.

- 6. Please provided the genomic position for the primers, except the primer sequencing Our comment: We have provided the genomic positions for all primers. The information is added to Additional file 1.
- 7.A heatmap plot would be better to show the methylation status for all the targets in all the samples as the main figure or supplementary Figure.

Our comment: We have added a heatmap plot in Additional file 6 and added the following to the section of results

"The hypermethylation profile for each patient is illustrated on the heatmap plot in Additional file 6".

# Title page

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# Cell-free DNA Promoter Hypermethylation in Plasma as a Diagnostic Marker for **Pancreatic Adenocarcinoma**

#### Running title

DNA hypermethylation in Pancreatic Adenocarcinoma

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# **Abstract**

Background: Pancreatic cancer has a 5-year survival rate of only 5-7%. Difficulties in detecting pancreatic cancer at early stages results in the high mortality, and substantiates the need for additional diagnostic tools. Surgery is the only curative treatment and unfortunately only possible in localized tumours. A diagnostic biomarker for pancreatic cancer will have a major impact on patient survival by facilitating early detection and the possibility for curative treatment. DNA promoter hypermethylation is a mechanism of early carcinogenesis, which can cause inactivation of tumour suppressor genes. The aim of this study was to examine promoter hypermethylation in a panel of selected genes from cell-free DNA, as a diagnostic marker for pancreatic adenocarcinoma.

**Methods:** Patients with suspected or biopsy-verified pancreatic cancer were included prospectively and consecutively. Patients with chronic/acute pancreatitis were included as additional benign control groups. Based on an optimized accelerated bisulfite treatment protocol, methylation-specific PCR of a 28 gene panel was performed on plasma samples. A diagnostic prediction model was developed by multivariable logistic regression analysis using backward stepwise elimination.

**Results:** Patients with pancreatic adenocarcinoma (n=95), chronic pancreatitis patients (n=97), acute pancreatitis patients (n=59), and patients screened, but negative for pancreatic adenocarcinoma (n=27) were included. The difference in mean number of methylated genes in the cancer group (8.41 (95% CI 7.62-9.20)) vs the total control group (4.74 (95% CI 4.40-5.08)) was highly significant (P<0.001). A diagnostic prediction model (age >65, BMP3, RASSF1A, BNC1, MESTv2, TFPI2, APC, SFRP1, and SFRP2) had an

area under the curve of 0.86 (sensitivity 76%, specificity 83%). The model performance was independent of cancer stage.

**Conclusions:** Cell-free DNA promoter hypermethylation have the potential to be diagnostic markers for pancreatic adenocarcinoma and differentiate between malignant and benign pancreatic disease. This study brings us closer to a clinical useful diagnostic marker for pancreatic cancer, which is urgently needed. External validation is, however, required before the test can be applied in the clinic.

**Keywords:** Pancreatic cancer; pancreatic adenocarcinoma, pancreatitis; diagnostic biomarker; methylation; epigenetic, cell-free DNA

ClinicalTrails.gov (NCT02079363). Retrospectively registered, (registered 29 July 2014).

# Cell-free DNA Promoter Hypermethylation in Plasma as a Diagnostic Marker for Pancreatic Adenocarcinoma

# Introduction

Pancreatic cancer is the 4th leading cause of cancer death in the world,[1] with a 5-year survival rate of approximately 5-7%.[1, 2] The only curative treatment is complete tumour resection. Unfortunately, only 10-20% of patients receive treatment with the intend to cure. Despite surgery, 50% of patients experience recurrence.[3] Difficulties in detecting the disease at an early stage results in high mortality. This is mainly due to lacking or nonspecific symptoms, which are also related to chronic pancreatitis, an essential differential diagnosis and a known risk factor for pancreatic cancer.[3, 4] Often several complex or invasive techniques such as PET scan (positron emission tomography), CT scan (computed tomography), endoscopic or laparoscopic ultrasound and ERCP (endoscopic retrograde cholangiopancreatography) are needed for the diagnosis and many patients also need a histological evaluation. However, the differentiation between malignant and benign pancreatic disease can be difficult, and even surgery may be needed to establish a definite diagnosis. The only useful biomarker is CA-19-9, which is unspecific as patients with chronic pancreatitis and particularly benign biliary obstruction tend to express high levels of CA-19-9. Moreover, 10% of the population lack the ability to produce CA-19-9, making its utility less apparent.[5-7] It would be a major advance for the patients if a blood-based diagnostic marker was available.

During the development of pancreatic cancer, genetic and epigenetic changes take place. Epigenetic modifications occur at a genomic level, which does not change the DNA sequence. Epigenetic modifications change the DNA conformation and therefore the gene expression. DNA hypermethylation is an epigenetic phenomenon, where a methyl (CH3) residue is added to cytosines preceding guanosines (CpG's).[8–11] Hypermethylation in the promoter region results in gene silencing, which may be associated with cancer formation.[8, 9, 12, 13]

Cancer cells may release cell-free DNA into the blood.[14, 15] DNA hypermethylation can be detected in cell-free DNA in plasma and serum and is potentially tumour specific and useable as blood-based diagnostic markers for pancreatic cancer.[14–16]

Thus far, only a few studies with small numbers of patients have evaluated cell-free DNA hypermethylation as a blood-based marker for pancreatic cancer, testing the methylation status of only a single gene or small gene panel.[16] These data have shown a significant difference in DNA hypermethylation between patients with pancreatic cancer and healthy controls.[4, 17] However, the studies had difficulties in differentiating between malignant and benign pancreatic disease.[4] None of the previously examined genes have the potential to serve as an individual diagnostic marker.[16] When developing and testing a biomarker for pancreatic cancer, inclusion of relevant control groups with benign pancreatic disease is very important to enable differentiation of pancreatic cancer-specific hypermethylation and hypermethylation related to pancreatic disease in general.[16]

The aim of this study was to test (by methylation-specific polymerase chain reaction (PCR)) cell-free DNA promoter hypermethylation of a panel of 28 genes as a blood-based diagnostic

marker for pancreatic adenocarcinoma, including clinical relevant control groups of patients with benign pancreatic disease.

# **Methods**

#### Study design

This study was conducted as a prospective observational cohort study of patients with suspected or biopsy-verified pancreatic cancer admitted to the Department of Gastrointestinal Surgery, Aalborg University Hospital between February 2008 and February 2011.[18] Additional benign control groups were patients with chronic pancreatitis treated at the hospital or at the outpatient clinic at Aalborg University Hospital between August 2013 and August 2014 and patients admitted with acute pancreatitis at the Department of Gastrointestinal Surgery, Aalborg University Hospital or the Department of General Surgery, Hospital of Vendsyssel between November 2013 and May 2015.

The study was approved by the Research Ethics Committee for the North Denmark Region (N-2013037) and registered in ClinicalTrails.gov (NCT02079363). All participants gave written informed consent.

#### **Participants**

Consecutive patients with suspected or biopsy-verified upper gastrointestinal cancer were included prospectively in a study on gastrointestinal cancer and venous thromboembolism.[18] Patients had blood drawn on admission before diagnostic work-up and before any treatment. Patients were divided into the following groups (Figure 1 a). Only patients with pancreatic adenocarcinoma (cancer group) and patients screened, but

negative for upper gastrointestinal cancer (control group 1), were included in this study. Patients with chronic pancreatitis (control group 2) had blood drawn during hospitalization or at a scheduled visit in the outpatient clinic. Patients diagnosed with acute pancreatitis (control group 3) were enrolled during the first three days of hospitalization. Patients with chronic pancreatitis and acute pancreatitis were excluded if they had previous cancer history or ongoing anticoagulant therapy.

#### Blood sampling and analytical method

Blood samples were obtained by skilled technicians, using peripheral venipuncture from an antebrachial vein, according to the guidelines recommended by the European Concerted Action on Thrombosis. [19] EDTA plasma samples for methylation analysis were centrifuged for 20 min at 4000 rpm at 4 °C and stored within two hours after sampling in a biobank at -80 °C until methylation analysis.

All methylation analyses were performed by a single expert laboratory scientist.

Extraction and deamination: Plasma nucleic acids were extracted using the EasyMag platform (Biomerieux) according to manufacturer's instruction. Approximately 500 μl EDTA plasma was used for the extraction, and purified nucleic acids were eluted in 35 μl elution buffer (Biomerieux). Five μl were used for quantitation of extracted DNA, and the remainder was deaminated as previously described by our group.[20] In brief, 30 μl DNA extract was mixed with 60 μl deamination solution and deaminated for 10 min at 90 °C, followed by purification using EasyMag and elution in 25 μl 10 mM KOH.[20]

First round of PCR amplification: In order to amplify the amount of deaminated DNA of interest, a first round of PCR was conducted using a mix of outer methylation specific primers (Additional file 1) for all promoter regions tested. The reaction buffer for each

sample consisted of 25 µl containing PCR stock, 13 µM MgCl2, 0.6 mM dNTP, 250 nM of each outer primer (Additional file 1), 1.5 U Taq polymerase (Bioline), and 0.3 U UNG (Invitrogen). The first round reaction mix was distributed to individual 200 µl PCR tubes and incubated for 5 min at 37 °C (UNG activity), followed by incubation at 95 °C for 5 min and cooling to room temperature. Twenty-five µl of purified deamination product were added to each tube containing the first round reaction mix. PCR was performed for 20 cycles at 92 °C for 15 sec, 55 °C for 30 sec, and 72 °C for 30 sec.

Second round of PCR: Ten μl of mix containing 0.4 μM inner methylation specific primers and methylation specific probes (Additional file 1) were distributed in 30 individual wells in a 96-well PCR plate. Ten μl of first round PCR product were added to 710 μl of reaction mix containing PCR stock, 250 μM dNTP, 10 μM MgCl2, and 15 U Taq polymerase (Bioline). Twenty μl of the reaction mix were added to each of the 30 wells containing primers and probes. Real-time PCR was carried out for 45 cycles at 94 °C for 15 sec, 55 °C for 30 sec (annealing and detection), and 72 °C for 30 sec.

Gene panel: Twenty-eight genes were selected for methylation analysis (Additional file 2). The genes were primarily selected based on a literature review performed by our group prior to this study.[16] The genes selected for the panel had previously been detected as hypermethylated in either cell-free DNA in plasma or serum, pancreatic juice or tumour tissue from patients with pancreatic cancer and in addition unmethylated in samples from healthy individuals. Few additional genes were chosen based on a pilot study on cell-free DNA hypermethylation in colorectal adenocarcinoma (unpublished data).

Primer and probe design: All primers and probes were designed using Beacon Designer® [PREMIER Biosoft International, Palo Alto, CA] software and evaluated to be

hypermethylation specific by MethPrimer® [The Li Lab, Peking, China][21] .Primers were designed to be rich in CpG's and to be located up-stream of exon one, which was interpreted as the promoter regions of the genes. The aim was to design PCR products with a length less than 140-150 base pairs, because the cell-free DNA fragments most likely have a length of 160 base pairs consistent with nucleosomal DNA size[22]. The primers and probes were designed and optimized for the present study, however effort was made to design primers for previously tested promoter sequences (Additional file 1). Hemi-methylated *MEST* transcript variant 1 was used as reference gene in both the first and second round PCR.

#### Outcome

The primary aim was to establish a prediction model for pancreatic adenocarcinoma, enabling differentiation of pancreatic adenocarcinoma patients and a clinical relevant control group of patients screened, but negative for upper gastrointestinal cancer and patients with chronic pancreatitis.

#### Statistical methods

Each gene in the gene panel was analysed as a binary variable (hypermethylated or non-methylated).

Validation of dichotomous data: We calculated the differences between the threshold cycle (Ct) values of the hemimethylated reference gene MEST transcript variant 1 and the Ct values of each gene for which Ct > 0 ( $\Delta$  Ct). To assess the amount of information lost in the dichotomization, histograms of  $\Delta$  Ct for the cancer group and control group 1 combined with control group 2 were produced.

Level of cell-free DNA: We calculated the median level (ng/ml) of cell-free DNA for each group. Nonparametric Wilcoxon rank sum test was used for comparison of the cancer group and the benign control groups.

The total number of hypermethylated genes was calculated for each patient. The Kendall's rank test was used for correlation analysis of total number of hypermethylated genes and level of cell-free DNA.

The methylation frequency of each gene and the (exact) 95% confidence interval (CI) were calculated for each group. The mean number of hypermethylated genes in each group and the 95% CI was calculated. The means were compared as numerical data with the nonparametric Wilcoxon rank sum test. P-values less than 0.05 were considered statistically significant.

#### Prediction model development:

- Screening of each individual variable as a diagnostic marker for pancreatic
  adenocarcinoma: Logistic regression was performed separately for each gene in
  the gene panel and for smoking status, gender and patient age >65. The p-value
  and the area under the receiver operating characteristic curve (AUC) were
  calculated.
- 2. The selection of variables: Variables having a p-value less than 0.2 were selected for further analysis.
- 3. *Model selection:* Stepwise backward elimination in logistic regression models was performed to select the relevant variables using 0.05 as the significance level for removal from the model. In the backward elimination algorithm variables were

eliminated one by one to identify the optimal combination of variables representing the highest predictive power. The least significant variable in the variable combination was eliminated in the stepwise procedure. For each intermediate model, the AUC value was calculated.

- 4. Determination of the best model: The decision was based on the model complexity combined with the model performance according to the AUC.
- Interactions between the variables: The significance of interactions between all pairs
  of variables was assessed in the final model. Interactions with a p-value less than
  0.01 were considered statistically significant.
- 6. Validation: To account for optimism in the internal validation of discriminative model performance (measured by the AUC) "leave pair out cross validation" was used.[23] For the calibration performance, Hosmer-Lemeshow test was performed.
- 7. Probability score: For each patient, a probability score was calculated.

All data were analysed using STATA 14.0 software [StataCorp LP, Texas].

All authors had full access to the study data and had reviewed and approved the final manuscript.

# **Results**

Ninety-five patients with confirmed pancreatic adenocarcinoma were included in the study (Figure 1 a). After diagnostic work-up (gastroscopy, endoscopic ultrasound, magnetic resonance (MR) or CT scan), 35 patients without evidence of malignancy were categorized as patients screened, but negative for pancreatic adenocarcinoma (control group 1). Eight patients were subsequently excluded from this group (Figure 1 a). Two

additional groups of control patients with benign pancreatic disease were included.

Overall, 103 patients with chronic pancreatitis (control group 2) and 62 patients with acute pancreatitis (control group 3) were included. Subsequently, six patients from control group 2 and three patients from control group 3 were excluded (Figure 1 b and c). Descriptive data of the four groups are shown in Table 1.

Validation of the dichotomous data: There was no clear difference in Δ Ct between the cancer group and control group 1 combined with control group 2, which indicated that no significant amount of information was lost by dichotomizing the genes as hypermethylated or non-methylated genes regardless of the observed Ct value. Additional file 3 a and 3 b list the distribution of Ct values (0, 0-25, 25-30, and >30) for each gene in within patient group and illustrates a slightly difference in Ct values between the groups, with a tendency towards Ct values in the cancer group being lower compared to the benign control groups. However, due to limited power, the effect of this difference could not be evaluated in the multivariable logistic regression model, consequently we treated hypermethylation a dichotomised variable.

Level of cell-free DNA: Patients with pancreatic adenocarcinoma had a significant higher median level of cell-free DNA (11.60 ng/ml (range 0.60-957.17)) compared to control group 1 with 6.17 ng/ml (range 1.06-48.43), control group 2 with 2.18 ng/ml (0.11-115.44) and 4.09 ng/ml (range 0.65-62.42) for control group 3 (Additional file 4). In addition, the correlation between level of cell-free DNA and number of hypermethylated genes was statistically significant with a Kendall's  $\tau$  of 0.34 (Additional file 5).

The hypermethylation profile for each patient is illustrated on the heatmap plot in Additional file 6. The methylation frequency of each gene is presented in Table 2. The mean number of methylated genes of the whole gene panel (28 genes) was 8.41 (95% CI

7.62-9.20) for the cancer group compared to 4.34 (95% CI 3.85-4.83) for patients with chronic pancreatitis (control group 2), 4.89 (95% CI 4.07-5.71) for patients screened, but negative for pancreatic cancer (control group 1) and 5.34 (95% CI 4.76-5.91) for patients with acute pancreatitis (control group 3). The difference between the cancer group and the three benign control groups was highly statistically significant (Table 3).

Prediction model development: In the following analyses, we chose to combine control group 1 and 2, as the combined group has symptoms resembling those of pancreatic cancer, which makes a biomarker to distinguish these from pancreatic cancer of utmost clinical relevance. For the remainder of the analysis, patients with acute pancreatitis were excluded because a clinical picture of acute inflammation is rarely seen in pancreatic cancer.

There was a highly significant difference (P<0.001) between the cancer group and control group 1+2 with regard to hypermethylation frequency of ten genes (*APC*, *BMP3*, *BNC1*, *MESTv2*, *NPTX2*, *RASSF1A*, *SFRP1*, *SST*, *TFPI2*, and *TAC1*) (Table 4) and significant difference (p<0.05) in seven other genes (*ALX4*, *ESR1*, *HIC1*, *RARB*, *SFRP2*, *SEPT9v2*, and *WNT5A*) (Table 4). *VIM* and *PENK* could not be evaluated by logistic regression, as none of the patients in the control group had hypermethylation of these two genes, however chi-square test found significant difference between the cancer group and the control group 1+2. Despite that, VIM and PENK were excluded from the following analysis because only very few cancer patients had VIM or PENK hypermethylation. (Table 2 and 4). There was no significant difference in gender, consequently this variable was excluded from the subsequent analysis. Smoking, however, was a preventive factor for cancer when comparing patients with pancreatic cancer and patients with chronic pancreatitis. Smoking was therefore excluded from the model because it is a known risk factor for cancer. By

stratifying the patients into groups according to age (>65 years old, <=65 years old), a statistically significant difference was found between the cancer group and control group 1+2. Consequently, patient age >65 years old was included as a covariant in the multivariable logistic regression analysis.

All genes with an individual p-value below 0.20 (20 genes out of 28 examined genes) and patient age >65 were included in the multivariable logistic regression model. Backward stepwise selection was performed. Figure 2 illustrates the stepwise elimination of variables from the model and the corresponding AUC. The initial model (model 1) with 20 genes had an AUC of 0.87 (Figure 3). Removing the 12 least significant genes from the model and leaving eight genes (model 13; age >65, BMP3, RASSF1A, BNC1, MESTv2, TFPI2, APC, SFRP1, and SFRP2) resulted in an AUC of 0.86 (95% CI 0.81-0.91) (Figure 2 and 3). The mean probability for having pancreatic adenocarcinoma was 0.67 (0.61-0.72) for cancer patients and 0.26 (0.22-0.29) for the control groups 1+3. Model 13 was determined as the model with the best performance (probability cut point of 0.50; sensitivity 76% and specificity 83%). There were no statistically significant interactions between variables in model 13. The model was well calibrated (p=0.40) and had an estimated optimism in AUC of 0.03.

Forty patients had stage I or II tumours. Model 13 had an apparent AUC of 0.86 (95% CI 0.79-0.92) for Stage I/II tumours (probability cut point of 0.50; sensitivity 73% and specificity 83%) (Figure 4) with an optimism in AUC of 0.06.

## **Discussion**

We examined cell-free DNA promoter hypermethylation of 28 genes in the plasma of a large cohort of patients with pancreatic adenocarcinoma and compared it to different clinical relevant control groups. We designed the gene-panel primary based on our literature review addressing genes aberrantly methylated in pancreatic adenocarcinoma.[16] This approach was used, to evaluate the overall diagnostic performance of genes which previously had been examined separately as diagnostic markers for pancreatic cancer. The panel composed of genes previously detected as hypermethylated in plasma/serum and tumour tissue in relation to pancreatic adenocarcinoma (BNC1,[17, 24, 25] NPTX2,[4, 24-26] PENK,[4, 14, 25] CDKN2A,[4, 26, 27] RASSF1A,[4, 24, 27] SFRP1 (SARP2),[4, 25] APC,[24, 27] BRCA1,[28, 29] CDKN2B,[28, 30] ESR1,[25, 28] MGMT,[24, 28] MLH1,[28, 31] and RARB,[28, 32]), genes earlier found to be hypermethylated in pancreatic juice or tumour tissue from patients with pancreatic adenocarcinoma (BMP3,[24] EYA2,[24] GSTP1,[29] HIC1,[25, 33] SFRP2,[24] TFPI2,[25] VIM,[25] NEUROG1,[24, 25] TAC1,[24, 25] CHFR[24] and WNT5a[24, 25]) and genes found based on a pilot study on cell-free DNA hypermethylation in colorectal adenocarcinoma (ALX4, MESTv2, SEPT9v2 and SST). To our knowledge, this is the first study to examine cell-free DNA hypermethylation in a wide selection of genes by methylation-specific PCR in a large group of patients with either malignant or benign pancreatic disease.

A statistically significant difference in the hypermethylation status in 19 out of the 28 genes was found when comparing pancreatic adenocarcinoma patients and a control group containing patients screened, but negative for pancreatic cancer, as well as in patients with chronic pancreatitis. Cell-free DNA hypermethylation of *BMP3*, *MESTv2*, *SST*, *TFPI2*, *TAC1*, *ALX4*, *HIC1*, *SFRP2*, *SEPT9v2* and *WNT5A* has not previously been described in

the literature in relation to pancreatic cancer. Yi et al. described *BNC1* hypermethylation to have a sensitivity of 79% and a specificity of 89% when comparing pancreatic cancer and healthy individuals.[17] We found BNC1 to be hypermethylated in only 36% of pancreatic cancer patients with a specificity of 94%. Park et al. examined hypermethylation of a small gene panel (*NPTX2*, *RASSF1A*, *SFRP1*, *UCHL1*, *PENK* and *CDKN2A*) by methylation-specific PCR.[4] The gene panel could differentiate pancreatic cancer from healthy controls; however, it was not able to discriminate benign and malignant pancreatic disease.

Our study shows that cell-free DNA hypermethylation is detectable in both malignant and benign pancreatic disease. However, patients with pancreatic adenocarcinoma have a higher level of hypermethylated genes in plasma-derived cell-free DNA. Consistent with previous studies, our gene panel did not demonstrate a single gene, which could be used as an individual diagnostic marker for pancreatic cancer. This result suggests, that a larger gene panel is needed to achieve sufficient accuracy.[16] We developed a diagnostic prediction model (age >65, BMP3, RASSF1A, BNC1, MESTv2, TFPI2, APC, SFRP1, and SFRP2), which was able to differentiate between pancreatic adenocarcinoma and a large control group of great clinical relevance. The control group included patients with chronic pancreatitis or patients referred to the hospital with symptoms of pancreatic cancer. The AUC was high, and the predictive value of our model is superior to the predictive value of CA-19-9, which currently is the only blood-based biomarker for pancreatic cancer. Particularly keeping in mind that CA-19-9 is highly dependent on the Lewis blood group status of the patients. Only Le<sup>a+b-</sup> or Le<sup>a-b+</sup> individuals are able to express CA-19-9 but not Le<sup>a-b-</sup> individuals, which represent 5-10% of the Caucasian population.[34] In a recent study, CA-19-9 could differentiate patients with stage I-II pancreatic cancer from patients

with chronic pancreatitis with an AUC of 0.77 (sensitivity of 53% and a specificity of 92%) and pancreatic cancer patients from patients with benign biliary obstruction with an AUC of only 0.65.[5] Our study included patients with stage I-IV pancreatic adenocarcinoma. It is most important to diagnose patients with stage I and II disease as early detection at this stage of the disease have the potential to improve the outcome of surgery. We tested our model on stage I and II disease and found an AUC of 0.86. This finding shows that the performance of the prediction model is independent of the cancer stage. DNA hypermethylation is detectable in plasma even in an early stage of the disease and thereby potentially usable as an early blood-based diagnostic marker. In order to further differentiate DNA hypermethylation related to malignant and benign pancreatic disease, patients with acute pancreatitis were included. The aim was to achieve a more basic understanding of hypermethylated DNA during the course of an acute pancreatic inflammatory reaction, which has not been described earlier in literature. Our study shows that DNA hypermethylation takes place during pathological conditions in pancreas including acute inflammation. However, the changes are more pronounced in patients with pancreatic adenocarcinoma.

#### Limitations

Our study has some limitations. The study was exploratory, showing training data only, which is known to produce an overestimation of the test performance due to overfitting. Validation of the results in an independent cohort is needed to substantiate the results.

Patients were not matched according to age, which one should be aware of because epigenetic changes can be a part of ageing.[35] To address this problem, we incorporated age as a covariate in our prediction model.

have been relevant. Unfortunately this was impossible, as CA-19-9 was not available on two-thirds of the patients as this test was first implemented in 2010 at our department.

The difference in sensitivity of the genes analysed in our study and the sensitivity of genes examined in previous studies by others, might be due to the use of different primer

In addition, comparison of the performance of our prediction model to CA-19-9, would

sequences. Several methods are described for methylation analysis which furthermore

makes inter-study comparison difficult.[36]

We performed methylations specific PCR, which is a quantitative method using hemimethylated *MEST* transcript variant 1 as a reference gene.[20] However, our study lacked sufficient power to conduct a quantitative analysis. Therefore, we analysed hypermethylation as a binary variable, which unfortunately results in loss of the quantitative information.

At the end of the analyses, we discovered that the use of UNG (Invitrogen) had a tendency to lower the sensitivity compared to the use of COD UNG (ArcticZymes). All our samples are analysed using UNG (Invitrogen) because it was not possible to repeat all analyses with COD UNG (ArcticZymes) due to the lack of sample material.

#### Strengths

However, the study also has several strengths. We tested cell-free DNA hypermethylation of a broad gene panel in the plasma from a large group of patients with pancreatic

adenocarcinoma, all included prospectively and consecutively, before the diagnostic work up and before any treatment.

In addition, we included a large utmost relevant group of control patients with either benign pancreatic disease or with symptoms mimicking pancreatic cancer, which all are patients clinically hard to differentiate from patients with pancreatic cancer.

We performed methylations specific PCR based on an optimized bisulfite treatment protocol.[20] This method has several advantages, due to a high recovery from samples with minute amounts of DNA (<0.01ng/ml) and a rapid deamination of DNA (less than two hours).[20]

We developed a diagnostic prediction model for pancreatic adenocarcinoma with a high performance, independent of cancer stage. In addition, the diagnostic prediction model only had a modest optimism in performance by intern validation.

Diagnostic biomarkers for pancreatic cancer are lacking. We developed a diagnostic test, which has the great advantage of being blood based and thereby minimally invasive. In general, blood based markers are of great benefit to the patients compared to tissue based markers, as the latter entail a risk of complications. Furthermore, due to the deep location of the pancreas in the upper abdomen, biopsies may be difficult to obtain, why blood based markers are of utmost importance regarding pancreatic disease.

# **Conclusion**

Our study demonstrates statistically significant differences in cell-free DNA hypermethylation of several genes between malignant and benign pancreatic disease. Patients with pancreatic adenocarcinoma have a highly significant number of

hypermethylated genes compared to patients with benign pancreatic diseases. A panel of hypermethylated genes (BMP3, RASSF1A, BNC1, MESTv2, TFPI2, APC, SFRP1, and SFRP2) are able to differentiate between patients with pancreatic adenocarcinoma and a most relevant control group. Based on our study, alterations in cell-free DNA hypermethylation have the potential of serving as blood-based biomarkers for the diagnosis of pancreatic adenocarcinoma. External validation is however required before the biomarker can be applied in daily clinical practice.

#### **Declarations**

#### Ethical approval and consent to participate

The study was approved by the Research Ethics Committee for the North Denmark Region (N-2013037) in June 2013. All participants gave written informed consent.

#### **Consent for publication**

Not applicable.

## Availability of data and material

The datasets analysed during the current study is available from the corresponding author on reasonable request.

#### **Competing interests**

The authors declare that they have no competing interests.

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#### **Authors' contributions**

OUT proposed the idea of the project. SDH, OTU, HK and PHM performed the literature review and designed the study. ACL included all patients with suspected or biopsy-verified pancreatic cancer. SDH included patients with acute pancreatitis. SDH and AMD included patients with chronic pancreatitis. ACL acquired sample material from patients with pancreatic cancer and was responsible for the database management of this patient group. SDH was responsible the acquisition of sample material and database management of patient with benign pancreatic disease. ISP, HK and PHM developed the optimized method of bisulfite treatment. PHM performed the methylation analyses. MBJ and SDH performed the statistical analysis and data interpretation. SDH drafted the first version of the paper. All authors revised the draft and approved the final version of the paper.

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		Pancreatic cancer		Control group 1 (screened negative)		Control group 2 (chronic pancreatitis)		Control group 3 (acute pancreatitis)		Control group 1+2	
N		95		27		97		59		124	
Mean age (years) (range)		66	45-85	60	37-82	57	22-87	56	22-87	58	22-87
Sex (% men)		57	60	12	44.44	67	69.07	32	54.24	79	63.71
Smoking status	currently (%)	30	31.58	11	40.74	64	65.98	23	38.98	75	60.48
	previously (%)	33	34.74	7	25.93	24	24.74	11	18.64	31	25.00
	never (%)	30	31.58	9	33.33	9	9.28	23	38.98	18	14.52
	unknown status (%)	2	2.11	0	0	0	0	2	3.39	0	0
AJCC/UICC staging	I (IA and IB) (%)	11	11.58								
	II (IIA and IIB) (%)	29	30.53								
	III (%)	13	13.68								
	IV (%)	42	44.21								

21 <b>3ene</b> 22	Pancreatic cancer (N=95)		Screened negative (N=27)			Chronic pancreatitis (N=97)			Acute pancreatitis (N=59)			
22	%	<mark>n</mark>	95% CI	%	n	95% CI	%	<mark>n</mark>	95% CI	%	n	95% CI
£X4	17.84	17	(10.78-27.10)	7.41	2	(0.91-24.29)	4.12	4	(1.13-10.22)	1.69	1	(0.04-9.09)
PC	82.11	78	(72.90-89.22)	44.44	12	(25.48-64.67)	54.64	53	(44.21-64.78)	67.80	40	(54.36-79.38)
26 3 <i>MP</i> 3	33.68	32	(24.31-44.11)	18.52	5	(6.30-38.08)	3.09	3	(0.64-8.77)	10.17	6	(3.82-20.8)
BNC1	35.79	34	(26.21-46.30)	7.41	2	(0.91-24.29)	5.15	5	(1.69-11.62)	6.78	4	(1.88-16.46)
RCA1	10.53	10	(5.16-18.51)	14.81	4	(4.19-33.73)	7.22	7	(2.95-14.30)	32.20	19	(20.62-45.64)
PKN2A	6.32	6	(2.35-13.24)	3.70	1	(0.09-18.97)	2.06	2	(0.25-7.25)	11.86	7	(4.91-22.93)
ÇDKN2B	12.63	12	(6.70-21.03)	7.41	2	(0.91-24.29)	5.15	5	(1.69-11.62)	11.86	7	(4.91-22.93)
PHFR	1.05	1	(0.03-5.73)	0	0	(0.00-12.77)	3.09	3	(0.64-8.77)	1.69	1	(0.04-9.09)
\$R1	77.89	74	(68.21-85.77)	62.96	17	(42.37-80.60)	60.82	59	(50.39-70.58)	76.27	45	(63.41-86.38)
₹ <b>%</b> A2	13.68	13	(7.49-22.26)	0	0	(0.00-12.77)	8.25	8	(3.63-15.61)	15.25	9	(7.22-26.99)
SSTP1	3.16	3	(0.66-8.95)	0	0	(0.00-12.77)	1.03	1	(0.03-5.61)	0	0	(0-6.06)
HC1	15.79	15	(9.12-24.70)	0	0	(0.00-12.77)	6.19	6	(2.30-12.98)	6.78	4	(1.88-16.46)
ÆSTv2	78.95	75	(69.38-86.64)	44.44	12	(25.48-64.67)	58.76	57	(48.31-68.67)	66.10	39	(52.61-77.92)
<i>MGMT</i>	5.26	5	(1.73-11.86)	0	0	(0.00-12.77)	3.09	3	(0.64-8.77)	0	0	(0-6.06)
1/1LH1	14.74	14	(8.30-23.49)	22.22	6	(8.62-42.26)	7.22	7	(2.95-14.30)	28.81	17	(17.76-42.07)
ĮŽTX2	74.74	71	(64.78-83.10)	62.96	17	(42.37-80.60)	42.27	41	(32.30-52.72)	49.15	29	(35.89-62.50)
WEUROG1	10.53	10	(5.16-18.51)	11.11	3	(2.35-29.16)	6.19	6	(2.30-12.98)	6.78	4	(1.88-16.46)
<b>ARB</b>	46.32	44	(36.02-56.85)	44.44	12	(25.48-64.67)	28.87	28	(20.11-38.95)	45.76	27	(32.72-59.24)
ASSF1A	42.11	40	(32.04-52.67)	14.81	4	(4.19-33.73)	11.34	11	(5.80-19.39)	16.95	10	(8.44-28.97)
FRP1	44.21	42	(34.02-54.77)	25.93	7	(11.11-46.28)	17.53	17	(10.55-26.57)	18.64	11	(9.69-30.91)
FRP2	38.95	37	(29.11-49.50)	18.52	5	(6.30-38.08)	25.77	25	(17.42-35.65)	6.78	4	(1.88-16.46)
EPT9v2	14.74	14	(8.30-23.49)	0	0	(0.00-12.77)	3.09	3	(0.64-8.77)	1.69	1	(0.04-9.09)
\$\$T	64.21	61	(53.72-73.79)	59.26	16	(38.80-77.61)	30.93	30	(21.93-41.12)	25.42	15	(14.98-38.44)
FPI2	23.16	22	(15.12-32.94)	3.70	1	(0.09-18.97)	2.06	2	(0.25-7.25)	0	0	(0-6.06)
₹C1	58.95	56	(48.38-68.94)	14.81	4	(4.19-33.73)	35.05	34	(25.64-45.41)	25.42	15	(14.98-38.44)
(HM	3.16	3	(0.66-8.95)	0	0	(0.00-12.77)	0	0	(0-3.73)	0	0	(0-6.06)
NANT5A	8.42	8	(3.71-15.92)	0	0	(0.00-12.77)	1.03	1	(0.03-5.61)	0	0	(0-6.06)
PNK	2.11	2	(0.26-7.40)	0	0	(0.00-12.77)	0	0	(0-3.73)	0	0	(0-6.06)

Table 3. Mean number of hypermethylated genes in each group.

		Mean number of		
Group	N	methylated genes	95% CI	P-value
Pancreatic cancer	95	8.41	(7.62 - 9.20)	
Control group 1; screened negative	27	4.89	(4.07 - 5.71)	
Control group 2; chronic pancreatitis	97	4.34	(3.85 - 4.83)	
Control group 3; acute pancreatitis	59	5.34	(4.77 - 5.91)	
Control group 1+2	124	4.46	(4.04 - 4.88)	<0.0001*
Control group 1+2+3	183	4.74	(4.40 - 5.08)	<0.0001**

The means were compared as numerical data with nonparametric Wilcoxon rank sum test. P-values less than 0.05 were considered statistically significant.

<sup>\*</sup> Significant difference between patients with pancreatic cancer and control group 1+2.

\*\* Significant difference between patients with pancreatic cancer and control group 1+2+3.

CI; confidential interval.

Table 4. Variables included in the study.				
	OR	95% CI	P-value	AUC
ALX4	4.29	(1.62; 11.35)	0.0034	0.57
APC	4.16	(2.21; 7.84)	9.67 x 10 <sup>-6</sup>	0.65
ВМР3	7.37	(3.20; 16.95)	2.64 x 10 <sup>-6</sup>	0.64
BNC1	9.32	(3.90; 22.25)	5.02 x 10 <sup>-7</sup>	0.65
BRCA1	1.21	(0.49; 2.98)	0.6804	0.51
CDKN2A	2.27	(0.66; 11.17)	0.1652	0.52
CDKN2B	2.42	(0.91; 6.40)	0.0757	0.53
CHFR	0.43	(0.04; 4.19)	0.4668	0.51
ESR1	2.23	(1.22; 4.07)	0.0095	0.58
EYA2	2.30	(0.91; 5.80)	0.0778	0.54
GSTP1	4.01	(0.41; 39.18)	0.2323	0.51
HIC1	3.69	(1.37; 9.91)	0.0097	0.55
MESTv2	2.99	(1.63; 5.49)	0.0004	0.62
MGMT	2.24	(0.52; 9.62)	0.2778	0.51
MLH1	1.48	(0.66; 3.31)	0.3448	0.52
NPTX2	3.37	(1.88; 6.02)	4.34 x 10 <sup>-5</sup>	0.64
NEUROG1	1.50	(0.59; 3.86)	0.3969	0.52
RARB	1.81	(1.04; 3.15)	0.0348	0.57
RASSF1A	5.28	(2.69; 10.39)	1.4 x 10 <sup>-6</sup>	0.65
SFRP1	3.30	(1.81; 6.03)	0.0001	0.62
SFRP2	2.00	(1.12; 3.58)	0.0197	0.57
SEPT9v2	6.97	(1.94; 25.03)	0.0029	0.56
SST	3.04	(1.75; 5.30)	8.69 x 10 <sup>-5</sup>	0.64
TFPI2	12.16	(3.51; 42.04)	7.96 x 10 <sup>-5</sup>	0.60
TAC1	3.25	(1.86; 5.69)	3.63 x 10 <sup>-5</sup>	0.64
VIM	-	-	*	-
WNT5A	11.31	(1.39; 92.08)	0.0234	0.54
PENK	-	-	*	-
sex	0.85	(0.49; 1.48)	0.5750	0.52
age60	3.88	(2.17; 6.92)	4.58 x 10 <sup>-6</sup>	0.66
age65	4.14	(2.33; 7.33)	1.14 x 10 <sup>-6</sup>	0.67

age70 4.05 (2.04; 8.02)  $6.06 \times 10^{-5}$  All variables are analyzed by simple logistic regression comparing the pancreatic cancer group and control groups 1+2.

0.62

Control group 3; patients with chronic pancreatitis.

Bold marks the genes, where there is significant difference (p<0.05) in hypermethylation frequency between the cancer group and control groups 1+2.

\*VIM and PENK could not be evaluated by logistic regression because none of the patients in the control group had hypermethylation of the two genes, however chisquare test found significant difference between the cancer group and the control group 1+2. Despite that, VIM and PENK were excluded from the following analysis because only few cancer patients had VIM or PENK hypermethylation.

Control group 1; patients screened, but negative for upper gastrointestinal malignancy.

OR; odds ratio.

CI; confidential interval.

AUC; area under the receiver operating characteristic curve.

# Figure legends

## Figure 1. Flow diagram of patients included in the study

- a) Inclusion of patients with pancreatic adenocarcinoma
- b) Inclusion of patients with chronic pancreatitis
- c) Inclusion of patients with acute pancreatitis

# Figure 2. Stepwise selection of genes for the pancreatic cancer diagnostic prediction model

Stepwise selection of genes with the corresponding p-value and the area under the receiver operating characteristic curve (AUC).

Model 13 was determined as the model with the best performance.

#### Figure 3. Performance of Model 13

a) Stage I, II, III and IV pancreatic cancer

Model 13 (age >65, BMP3, RASSF1A, BNC1, MESTv2, TFPI2, APC, SFRP1, SFRP2)

AUC= 0.86 (probability cut point of 0.50; sensitivity 76% and specificity 83%).

b) Stage I and II pancreatic cancer

Model 13 (age >65, *BMP3, RASSF1A, BNC1, MESTv2, TFPI2, APC, SFRP1, SFRP2*)

AUC= 0.86 (probability cut point of 0.50; sensitivity 73% and specificity 83%).

# **Table legends**

#### Table 1. Descriptive data of the patients

#### Table 2. Hypermethylation frequences for each gene in each group

#### Table 3. Mean number of hypermethylated genes in each group.

The means were compared as numerical data with nonparametric Wilcoxon rank sum test.

P-values less than 0.05 were considered statistically significant.

- \* Significant difference between patients with pancreatic cancer and control group 1+2.
- \*\* Significant difference between patients with pancreatic cancer and control group 1+2+3.

CI; confidential interval.

#### Table 4. Variables included in the study.

All variables are analyzed by simple logistic regression comparing the pancreatic cancer group and control groups 1+2.

Bold marks the genes, where there is significant difference (p<0.05) in hypermethylation frequency between the cancer group and control groups 1+2.

\*VIM and PENK could not be evaluated by logistic regression because none of the patients in the control group had hypermethylation of the two genes, however chi-square test found significant difference between the cancer group and the control group 1+2.

Despite that, VIM and PENK were excluded from the following analysis because only few cancer patients had VIM or PENK hypermethylation.

Control group 1; patients screened, but negative for upper gastrointestinal malignancy.

Control group 2; patients with chronic pancreatitis.

AUC; area under the receiver operating characteristic curve.

## **Additional materiel**

Additional file 1: DNA sequences for probes and primers

File name: Additional file 1

(Word-document)

Additional file 2: Characteristics of genes used in the gene-panel

File name: Additional file 2

(Word-document)

Additional file 3 a: Distribution of Ct- values in the cancer group and control group 1

File name: Additional file 3 a

(Word-document)

Additional file 3 b: Distribution of Ct- values in the control group 2 and control

group 3

 File name: Additional file 3 b

(Word-document)

#### Additional file 4: Level of cell-free DNA

File name: Additional file 4

(Word-document)

Additional file 5: Correlation between level of cell-free DNA (ng/ml) and total number of hypermethylated genes.

File name: Additional file 5

(Word-document)

Additional file 6: Heat map of hypermethylated genes in each patient

File name: Additional file 6

(Excel file)

Figure 1a

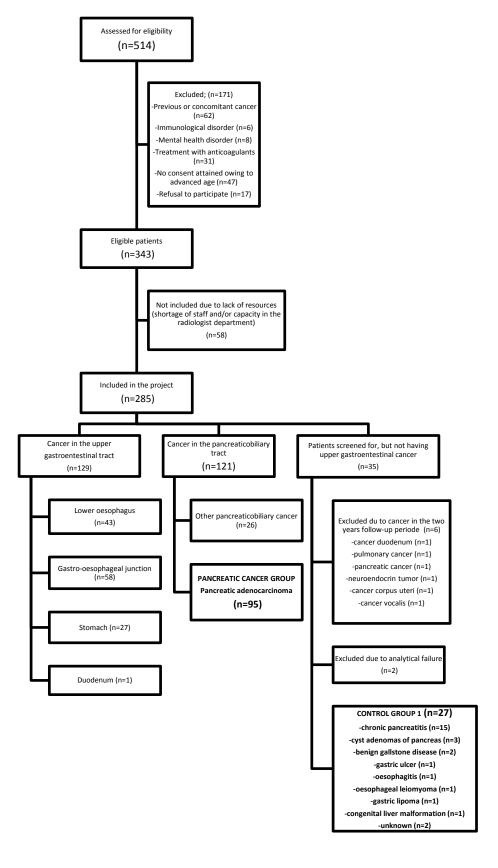


Figure 1b

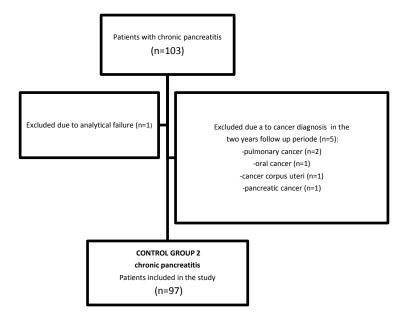


Figure 1c

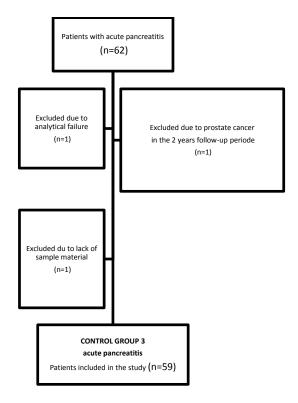


Figure 2.

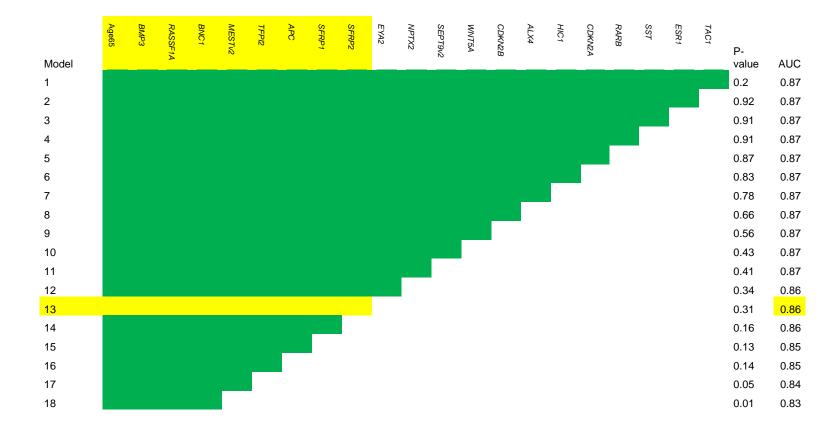


Figure 3a

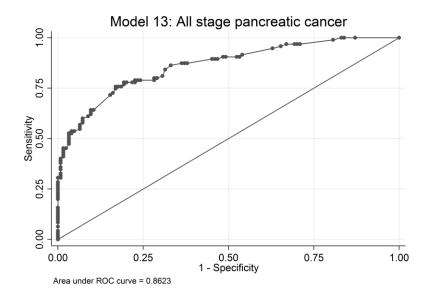
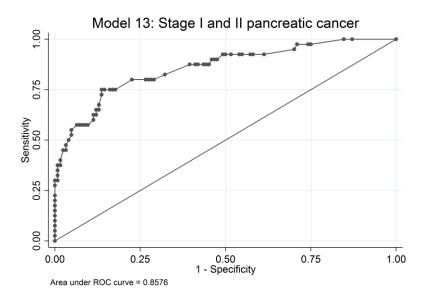


Figure 3b



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