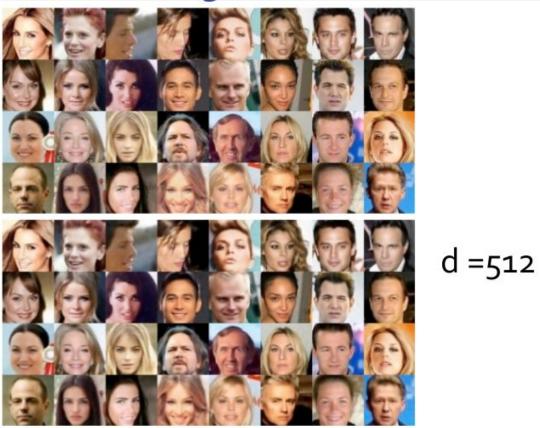
# Latent models

## Latent models of images

[Bojanowski et al. 2017]: the simplest deep latent model for images:  $\mathcal{Z} = \mathcal{B}(r, d, p) = \left\{ z \in \mathbb{R}^d : ||z||_p \le r \right\}$ 

$$\min_{\theta \in \Theta} \frac{1}{N} \sum_{i=1}^{N} \left[ \min_{z_i \in \mathcal{Z}} \ell(g_{\theta}(z_i), x_i) \right]$$

# Latent models of images: reconstructions



[Bojanowski et al. 2017]

# Latent models of images: samples

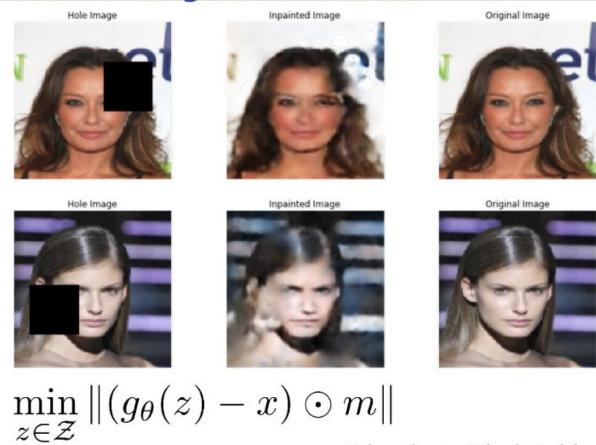


- Fit Gaussian in the latent space
- Sample and generate



[Bojanowski et al. 2017]

# Latent models of images: restoration



[thanks to ShahRukh Athar]

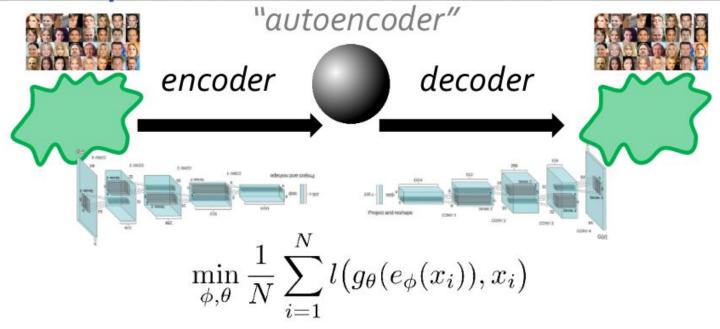
## Problems with direct optimization

 Previous model requires optimization to fit new images:

$$\min_{\theta \in \Theta} \ \frac{1}{N} \sum_{i=1}^{N} \left[ \min_{z_i \in \mathcal{Z}} \ \ell\left(g_{\theta}(z_i), x_i\right) \right]$$

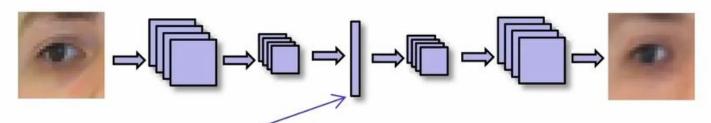
- Previous model requires storing latent vectors during learning (not scalable)
- Idea: predict latent vectors with a new network (encoder) from the image

## From direct optimization to Autoencoders



- Learning still unsupervised
- No scalability issues
- A lot depends on the loss

# Smart image editing



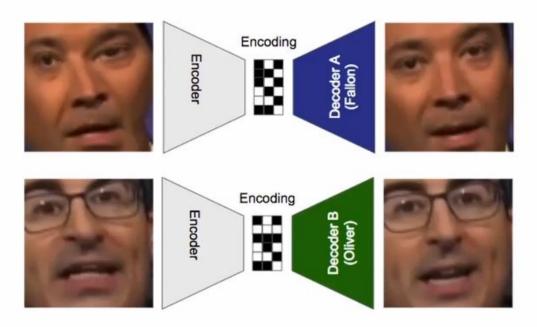
Low-dim space, where we can estimate semantically meaningful directions



Given a bunch of pairs we can estimate a vector for gaze redirection

# DeepFake system

# Paired "dewarping" autoencoders:



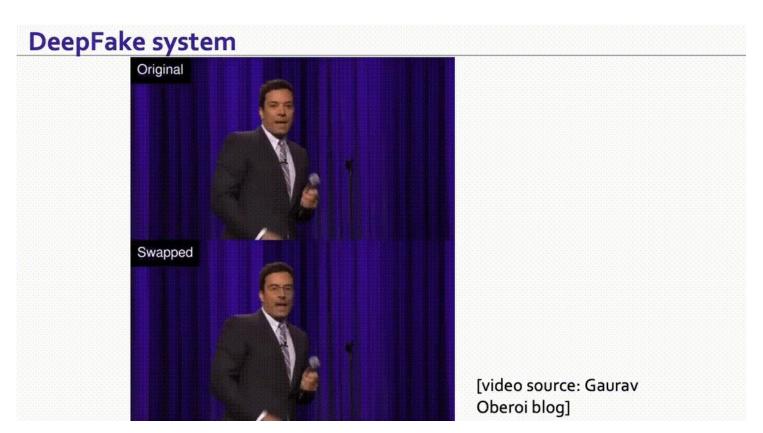
[images source: Gaurav Oberoi blog]

# **DeepFake system**



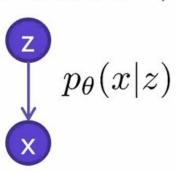
[images source: Gaurav Oberoi blog]

# DeepFake system



Ideally, we want to do maximum likelihood learning:

$$\frac{1}{N} \sum_{i=1}^{N} \log(\int_{z} p_{\theta}(x_{i}|z) p(z) dz) \to \max_{\theta}$$



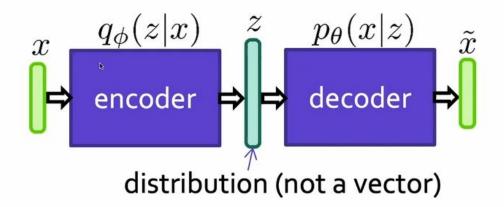
Ideally, we want to do maximum likelihood learning:

$$\frac{1}{N} \sum_{i=1}^{N} \log(\int_{z} p_{\theta}(x_{i}|z) p(z) dz) \to \max_{\theta}$$

$$p_{\theta}(z|x) = ? \sum_{\mathbf{x}}^{\mathbf{z}} p_{\theta}(x|z)$$

**Idea 1**: use  $q_{\phi}(z|x)$  instead of  $p_{\theta}(z|x)$ 

[Kingma & Welling 14]



E.g.: 
$$\begin{array}{c} x \\ \Rightarrow \\ \Rightarrow \\ \Rightarrow \\ \\ \log \sigma^2 \end{array}$$
 
$$[\mu, \sigma] = e_{\phi}(x) \quad q_{\phi}(z|x) = \mathcal{N}(\mu, \operatorname{diag}(\sigma^2))$$

[Kingma & Welling 14]

$$\log p(x) = \log \int_z p(x, z) dz$$

$$\log p(x) = \log \int_z p(x, z) dz$$
$$= \log \int_z p(x, z) \frac{q(z|x)}{q(z|x)} dz$$

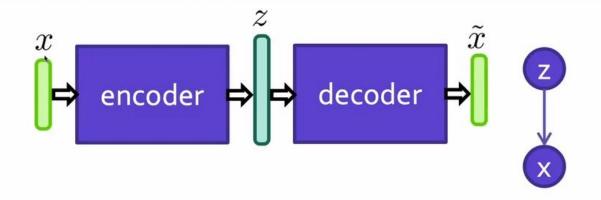
$$\log p(x) = \log \int_z p(x, z) dz$$

$$= \log \int_z p(x, z) \frac{q(z|x)}{q(z|x)} dz = \log \mathbb{E}_{q(z|x)} \frac{p(x, z)}{q(z|x)}$$

$$\begin{split} \log p(x) &= \log \int_z p(x, z) dz \\ &= \log \int_z p(x, z) \frac{q(z|x)}{q(z|x)} dz = \log \mathbb{E}_{q(z|x)} \frac{p(x, z)}{q(z|x)} \\ &= \log \mathbb{E}_{q(z|x)} \frac{p(x|z) p(z)}{q(z|x)} \end{split}$$

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$$\begin{split} \log p(x) &= \log \int_{z} p(x,z) dz \\ &= \log \int_{z} p(x,z) \frac{q(z|x)}{q(z|x)} dz = \log \mathbb{E}_{q(z|x)} \frac{p(x,z)}{q(z|x)} \\ &= \log \mathbb{E}_{q(z|x)} \frac{p(x|z) p(z)}{q(z|x)} \\ &\geq \mathbb{E}_{q(z|x)} \log p(x|z) + \mathbb{E}_{q(z|x)} \log \frac{p(z)}{q(z|x)} \\ &= \mathbb{E}_{q(z|x)} \log p(x|z) - \mathrm{KL} \Big( q(z|x) \parallel p(z) \Big) \end{split}$$



$$\log p(x) \geq -\mathrm{KL}\big(q(z|x) \parallel p(z)\big) + \mathbb{E}_{q(z|x)} \log p(x|z)$$
 regularization 
$$\qquad \qquad \text{-denoising auto-encoder}$$

[Kingma & Welling 14]

$$\log p(x) \geq \left[ - \mathrm{KL} \left( q(z|x) \parallel p(z) \right) \right] + \mathbb{E}_{q(z|x)} \log p(x|z)$$
 regularization  $p(z) = \prod_i \mathcal{N}(z_i|0,1)$   $\mathrm{KL} \left( q_\phi(z|x) \parallel p(z) \right) = rac{1}{2} \sum_i (\mu_i^2 + \sigma_j^2 - 1 - \log \sigma_j^2)$ 

[Kingma & Welling 14]

$$\begin{array}{c}
\stackrel{x}{\Longrightarrow} \stackrel{\longrightarrow}{\Longrightarrow} \stackrel{\longrightarrow}{\Longrightarrow} \stackrel{\longrightarrow}{\Longrightarrow} \stackrel{\widetilde{x}}{\Longrightarrow} \stackrel{x}{\Longrightarrow} \stackrel{\widetilde{x}}{\Longrightarrow} \stackrel{\widetilde{x}}$$

#### Variational lower bound. ELBO

$$\begin{array}{c}
\overset{x}{\underset{\mapsto}{\bigcap}} \Rightarrow \overset{x}{\underset{\mapsto}{\bigcap}} \xrightarrow{x}{\underset{\mapsto}{\bigcap}} \xrightarrow{x}{\underset{\mapsto}{\longrightarrow}} \xrightarrow{x}{\underset{\mapsto}{\longrightarrow}} \xrightarrow{x}{\underset{\mapsto}{\longrightarrow}} \xrightarrow{x}{\underset{\mapsto}{\longrightarrow}} \xrightarrow{x}{\underset{\mapsto}{\longrightarrow}} \xrightarrow{x}{\underset{\mapsto}{\longrightarrow}} \xrightarrow{x}{\underset{\mapsto}{\longrightarrow}} \xrightarrow{x}{\underset{\mapsto}{\longrightarrow}} \xrightarrow{x}{\underset{\mapsto}{$$

#### <u>link</u>

## Reparametrization trick

$$\begin{array}{c}
\stackrel{x}{\Longrightarrow} \stackrel{\longrightarrow}{\Longrightarrow} \stackrel{\longrightarrow}{\Longrightarrow} \stackrel{\longrightarrow}{\Longrightarrow} \stackrel{\widetilde{x}}{\Longrightarrow} \stackrel{\widetilde{x}}{\Longrightarrow} \\
\mathbb{E}_{q_{\phi}(z|x)} \| d_{\theta}(z) - x \|^{2} \to \min_{\theta} \\
\widetilde{z} \sim \mathcal{N}(\mu, \operatorname{diag}(\sigma^{2})) \quad \widetilde{x} = d_{\theta}(\widetilde{z}) \quad \text{Sampling at every iteration} \\
\epsilon \sim \mathcal{N}(0, \mathbf{I}), \quad \widetilde{z} = \mu + \sigma \odot \epsilon \\
L(\phi, \theta) = \sum_{i} \left\| d_{\theta} \left( \mu_{\phi}(x_{i}) + \sigma_{\phi}(x_{i}) \odot \epsilon_{i} \right) - x_{i} \right\|^{2}
\end{array}$$

## Reparametrization trick

$$\epsilon \sim \mathcal{N}(0, \mathbf{I}), \quad \tilde{z} = \mu + \sigma \odot \epsilon$$

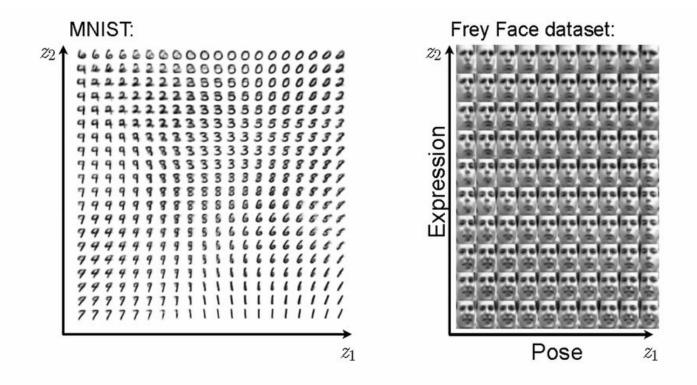
$$L(\phi, \theta) = \sum \|d_{\theta} (\mu_{\phi}(x_i) + \sigma_{\phi}(x_i) \odot \epsilon_i) - x_i\|^2$$

$$\frac{dL}{du} = \frac{dL}{d\tilde{z}} \qquad \frac{dL}{d\sigma} = \frac{dL}{d\tilde{z}} \odot \epsilon$$

#### VAE learned manifolds

```
0461232088 6194872398
              2 6 4 5 6 0 9 9 9 8
               (b) 5-D latent space
(a) 2-D latent space
1811385718 1208921900
8387793338 7549117144
1418933199 1986317961
6943618577 7582161358
8490507366 7939779396
               8872516233
               (d) 20-D latent space [Kingma & Welling 14]
(c) 10-D latent space
```

#### VAE learned manifolds



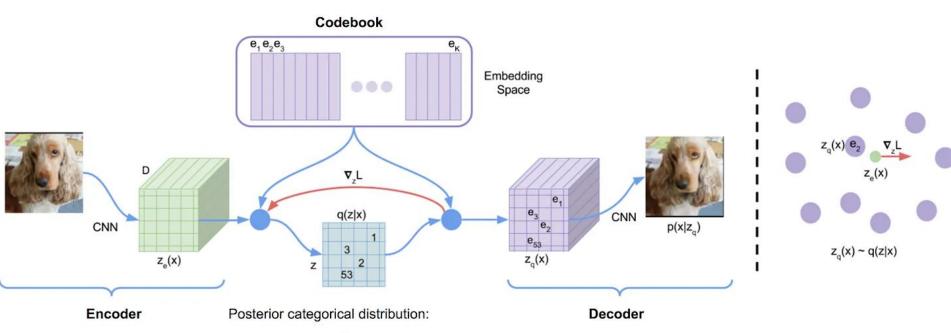
[Kingma & Welling 14]

#### VQ VAE. Motivation

In general, a lot of the data we encounter in the real world favors a discrete representation. For example, human speech is well represented by discrete phonemes and language. Additionally, images contain discrete objects with some discrete set of qualifiers. You could imagine having one discrete variable for the type of object, one for its color, one for its size, one for its orientation, one for its shape, one for its texture, one for the background color, one for the background texture, etc...



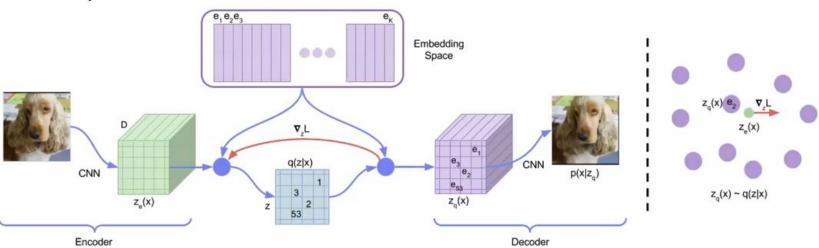
## Vector quantized VAE



$$q(\mathbf{z} = \mathbf{e}_k | \mathbf{x}) = \begin{cases} 1 & \text{if } k = \arg\min_i \|\mathbf{z}_e(\mathbf{x}) - \mathbf{e}_i\|_2 \\ 0 & \text{otherwise.} \end{cases}$$

## Vector quantized VAE

#### Latent space is a learned lattice:

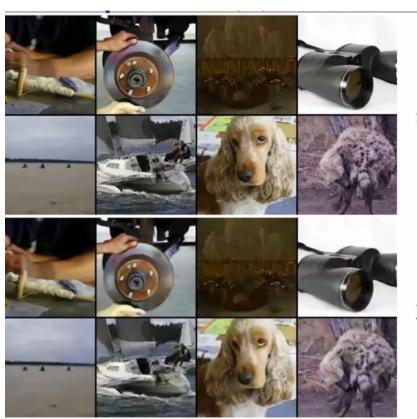


$$L = \log p(x|z_q(x)) + \|\operatorname{sg}[z_e(x)] - e\|_2^2 + \beta \|z_e(x) - \operatorname{sg}[e]\|_2^2$$

• "Straight-through" gradient estimation used to backprop through quantization (gradient over  $z_q$  is copied to the gradient over  $z_e$ )

[van den Oord et al. NeurIPS17]

## Vector quantized VAE



128x128 24bit RGB imi es

32x32 9 bit codewords (K=512)

[van den Oord et al. NeurIPS17]

### Vector quantized VAE: samples

Samples from autoregressive model in the latent space (ImageNet):

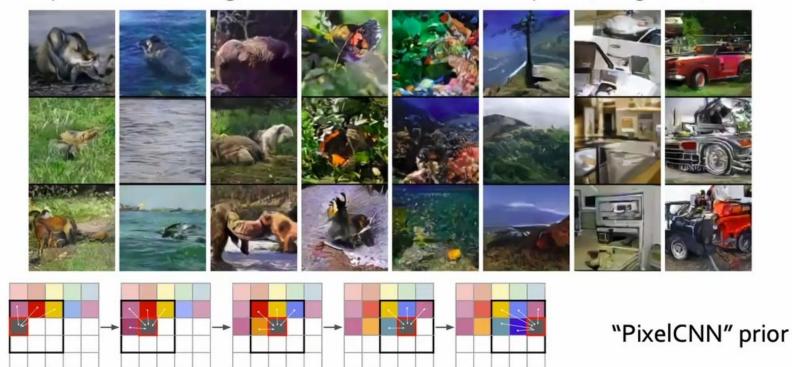


Image from [Esser et al.CVPR21]

[van den Oord et al. NIPS16, NeurIPS17]

# Backprop through sampling from discrete distribution

Need a layer that samples from a discrete

categorical distribution 
$$(\pi_1,\pi_2,\dots\pi_N)$$
 
$$ho(x)=\exp(-x+\exp(-x))$$

*Gumbel* (0,1): Implementation of the forward pass

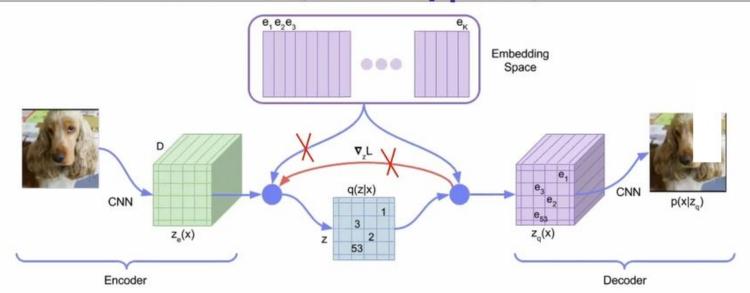
# Backprop through sampling from discrete distribution

Need a layer that samples from a discrete categorical distribution  $(\pi_1, \pi_2, \dots \pi_N)$ 

categorical distribution 
$$(\pi_1,\pi_2,\dots\pi_N)$$
  
• Implementation of the forward pass  $\rho(x)=\exp(-x+\exp(-x))$   
• Gumbel (0,1):

 $Z = \mathtt{softmax}\{G_i + \log \pi_i\}$ 

# From VQ-VAE to dVAE (DALL-E, part I)



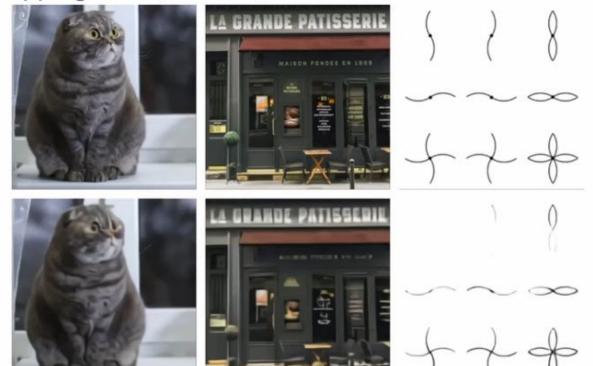
- Instead, predict a K=8192-bin cathegorical distribution at each location
- Each distribution is transformed with Gumbel-softmax and used to weight codebook vectors

$$\{y_i\} = exttt{GumbelSoftmax}\{q(e_i|x)\} \qquad z = \sum_{j=1}^n y_j e_j$$

# dVAE decoding

Training dVAE gives us:

- 1) a mapping from an image to 1024 tokens from a 8192-lexicon (encoder)
- 2) a mapping back (decoder)



#### DALL-E: part 2

- A 250M dataset of text-image pairs is used for training
  - (caption, image) is mapped to 256+1024 token sequence. BPE encoding is used for text
  - A large-scale sequence model (SparseTransformer) with 12 B params is trained to model the token symbols
  - Inside the attention layers, each image token can attend to all caption tokens as well as to nearby image tokens that are to the left or above

### DALL-E: part 2

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  - params is trained to model the token symbols
     Inside the attention layers, each image token can attend to all caption tokens as well as to nearby image tokens that are to the
  - left or above
     After training, image tokens can be sampled sequentially conditioned on text and previously sampled tokens
  - Sampled images can be reranked using CLIP



TEXT PROMPT

AI-GENERATED

an armchair in the shape of an avocado. . . .













TEXT PROMPT

an illustration of a baby daikon radish in a tutu walking a dog













TEXT PROMPT

a <u>snail</u> made of <u>harp</u>, a <u>snail</u> with the texture of a <u>harp</u>.

I-GENERATED IMAGES



[Ramesh et al. ICML21]

