

# Fundamentals of Information Theory

## Solution 5

### Problem 1 (10 points)

Draw the channel diagram of the following discrete channels. Their channel matrix are shown as follows.

(a) A Z channel

$X \backslash Y$	0	1
0	1	0
1	$s$	$1 - s$

(b) A binary erasure channel

$X \backslash Y$	0	$E$	1
0	$1 - s_1 - s_2$	$s_1$	$s_2$
1	$s_2$	$s_1$	$1 - s_1 - s_2$

(c) A non-symmetric channel

$X \backslash Y$	0	1
0	$\frac{1}{2}$	$\frac{1}{2}$
1	$\frac{1}{4}$	$\frac{3}{4}$

(d) A semi-symmetric channel

$X \backslash Y$	0	1	2	3
0	$\frac{1}{3}$	$\frac{1}{3}$	$\frac{1}{6}$	$\frac{1}{6}$
1	$\frac{1}{6}$	$\frac{1}{3}$	$\frac{1}{6}$	$\frac{1}{3}$

**Solution:**

(a) The channel transition diagram is shown in Figure 1.

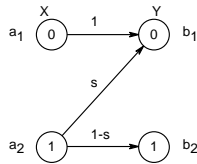


Figure 1: The channel diagram of the Z channel).

(b) The channel transition diagram is shown in Figure 2. This binary erasure channel is a semi-symmetric channel.

(c) The channel transition diagram is shown in Figure 3.

(d) The channel transition diagram is shown in Figure 4.

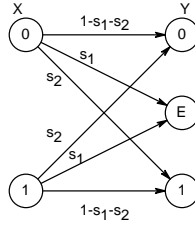


Figure 2: The channel diagram of the binary erasure channel.

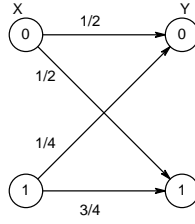


Figure 3: The channel diagram of the non-symmetric channel.

**Problem 2** (10 points) Find the channel capacity of the following discrete memoryless channel, where  $Pr\{Z = 0\} = Pr\{Z = a\} = \frac{1}{2}$ . The alphabet for  $x$  is  $X = \{0, 1\}$ . Assume that  $Z$  is independent of  $X$ . Observe that the channel capacity depends on the value of  $a$ .

**Solution:** A sum channel.

$$Y = X + Z, X \in \{0, 1\}, Z \in \{0, a\}$$

We have to distinguish various cases depending on the values of  $a$ .

$a = 0$  In this case,  $Y = X$ , and  $\max I(X; Y) = \max H(X) = 1$ . Hence the capacity is 1 bit per transmission.

$a \neq 0, \pm 1$  In this case,  $Y$  has four possible values 0, 1,  $a$  and  $1+a$ . Knowing  $Y$ , we know the  $X$  which was sent, and hence  $H(X|Y) = 0$ . Hence  $\max I(X; Y) = \max H(X) = 1$ , achieved for an uniform distribution on the input  $X$ .

$a = 1$  In this case  $Y$  has three possible output values, 0, 1 and 2, and the channel is identical to the binary erasure channel discussed in class, with  $a = 1/2$ . As derived in class, the capacity of this channel is  $1 - a = 1/2$  bit per transmission.

$a = -1$  This is similar to the case when  $a = 1$  and the capacity here is also  $1/2$  bit per transmission.

**Problem 3** (10 points) **Erasures and errors in a binary channel.** Consider a channel with binary inputs that has both erasures and errors. Let the probability of error be  $\epsilon$  and the probability of erasure be  $\alpha$ , so that the channel is illustrated as below:

(a) Find the capacity of this channel.

(b) Specialize to the case of the binary symmetric channel ( $\alpha = 0$ ).

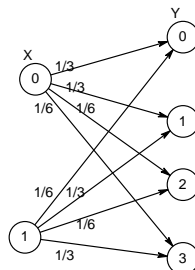


Figure 4: The channel diagram of the semi-symmetric channel.

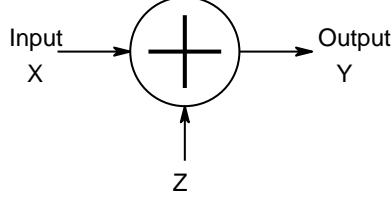


Figure 5: An additive noise channel in Problem 7.2.

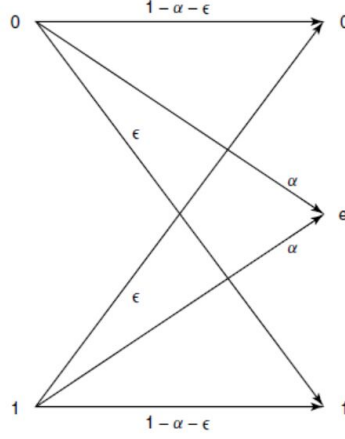


Figure 6: A binary channel with erasures and errors.

(c) *Specialize to the case of the binary erasure channel ( $\epsilon = 0$ ).*

**Solution:**

- (a) As with the examples in the text, we set the input distribution for the two inputs to be  $\pi$  and  $1 - \pi$ . Then

$$\begin{aligned}
 C &= \max_{p(x)} I(X; Y) \\
 &= \max_{p(x)} (H(Y) - H(Y|X)) \\
 &= \max_{p(x)} H(Y) - H(1 - \epsilon - \alpha, \alpha, \epsilon).
 \end{aligned}$$

As in the case of the erasure channel, the maximum value for  $H(Y)$  cannot be  $\log 3$ , since the probability of the erasure symbol is  $\alpha$  independent of the input distribution. Thus,

$$\begin{aligned}
 H(Y) &= H(\pi(1 - \epsilon - \alpha) + (1 - \pi)\epsilon, \alpha, (1 - \pi)(1 - \epsilon - \alpha) + \pi\epsilon) \\
 &= H(\alpha) + (1 - \alpha)H\left(\frac{\pi + \epsilon - \pi\alpha - 2\pi\epsilon}{1 - \alpha}, \frac{1 - \pi - \epsilon + 2\pi\epsilon - \alpha + \alpha\pi}{1 - \alpha}\right) \\
 &\leq H(\alpha) + (1 - \alpha),
 \end{aligned}$$

with equality iff  $\frac{\pi + \epsilon - \pi\alpha - 2\pi\epsilon}{1 - \alpha} = \frac{1}{2}$ , which can be achieved by setting  $\pi = \frac{1}{2}$ .

Therefore the capacity of this channel is

$$\begin{aligned}
 C &= H(\alpha) + 1 - \alpha - H(1 - \alpha - \epsilon, \alpha, \epsilon) \\
 &= H(\alpha) + 1 - \alpha - H(\alpha) - (1 - \alpha)H\left(\frac{1 - \alpha - \epsilon}{1 - \alpha}, \frac{\epsilon}{1 - \alpha}\right) \\
 &= (1 - \alpha) \left(1 - H\left(\frac{1 - \alpha - \epsilon}{1 - \alpha}, \frac{\epsilon}{1 - \alpha}\right)\right).
 \end{aligned}$$

(b) Setting  $\alpha = 0$ , we have

$$C = 1 - H(\epsilon),$$

which is the capacity of the binary symmetric channel.

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