# An Experimental Study of Memory Management in Rust Programming for Big Data Processing

Shinsaku Okazaki

Boston University

May, 2020

#### Motivation

Many of existing data-flow based Big Data Processing systems like Apache Spark and Flink have the following weaknesses!

- ▶ Using Java Virtual Machine (JVM)
  - ▶ Abstracting hardware usage sacrificing additional computation
- ▶ Automated Memory Management
  - ▶ Generative Garbage Collection

Rust is a promising candidate for development of Big Data processing tools.

### Rust

Rust is a "system language" which has unique memory management concept.

- ▶ A system language does not have Garbage Collection.
- ▶ Rust ensures memory safety.
- ▶ It is easier to write safe threaded code in Rust.
- ▶ Rust is a relatively new language.
- ▶ Rust uses LLVM compiler infrastructure and provides high performance.

## Problem Description

Our goal is to determine the magnitude of performance change regarding the following aspects.

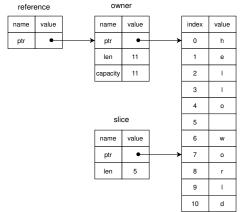
- ▶ Memory Management for High Complex Nested Objects
- ▶ Different Rust Memory Management Strategies
- ▶ Automated Reference Counting (Rc) vs. Reference
- ▶ Multithreading using Atomic Reference Counting (Arc)
- ▶ Arc vs. Deep Copy on Overall Performance of Big Data Processing

## Different Rust Memory Management Strategies

Each one has different memory representation.

- ▷ Owner
- ▶ Reference
- ▷ Slice

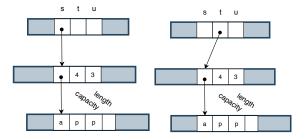
let owner = String::from("hellouworld");
let slice = owner[7:10];
let reference = &owner;



Each one may have different memory access time.

## Ownership

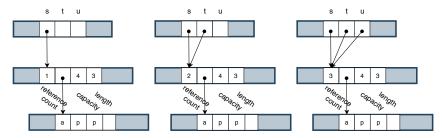
```
let s = "app".to_string();
let t = s;
let u = s;
```



- ▶ Each value is owned by single owner variable in Rust.
- ▶ Compile error: value "s" is dropped already.

## Reference Counting

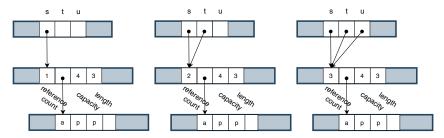
```
let s = Rc::new("app".to_string());
let t = Rc::clone(&s);
let u = Rc::clone(&s);
```



### Advantage

- ▶ Sharing ownership
  - ▶ Each value is owned by single owner variable in Rust.
- ▶ Dynamic memory de/allocation
  - Rust usually determine lifetime of variable at compile time.

## Reference Counting



### Disadvantage

- ▶ Need to check reference count
- ▶ Heap allocation

# Complex Object - Memory Ownership and Borrowing

A Complex Object like a nested Customer Object like those defined in the complete Web-based shop.

```
struct CustomerOwned {
key: i32,
total_purchase: f64,
zip_code: String,
order: OrderOwned,
... 10 more
}
```

▶ All fields are owners.

```
struct CustomerBorrowed<'a> {
    key: &'a i32,
    total_purchase: &'a f64,
    zip_code: &'a String,
    order: &'a OrderBorrowed<'a>,
    ... 10 more
}
```

- ▶ All fields are references.
- ▷ 'a is lifetime specifier.
- ➤ Rust compiler cannot determine lifetime automatically.

# Complex Object - Slicing and Reference Counting

```
struct CustomerSlice<'a> {
    key: &'a i32,
    total_purchase: &'a f64,
    zip_code: &'a str,
    order: &'a OrderSlice<'a>
    ... 10 more
}
```

```
struct CustomerRc {
   key: Rc<i32>,
   total_purchase: Rc<f64>,
   zip_code: Rc<String>,
   order: Rc<OrderRc>
   ... 10 more
  }
```

- Fields are mix of references and slices.
- ▶ Slice is obtained only for contiguously allocated data structure, such as String and Vec.

▶ All fields are Reference Counting

# Multithreading with Atomic Reference Counting

### Atomic Reference Counting

### Advantage

- ▶ Sharing ownership
- ▶ Dynamic memory de/allocation
- $\,\triangleright\,$  Sharing among multithreads

### Disadvantage

- ▶ Need to check reference count
- ▶ Heap allocation
- ▶ Atomic operation

Impact of Memory Management on performance of Big Data Processing

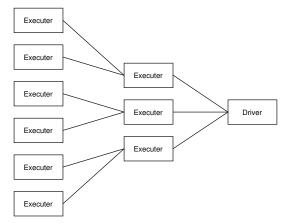
### Merge-sort

- ▶ Many contiguous memory de/allocations
- $\,\triangleright\,$  Common memory usage pattern in sorting algorithms for Big Data Processing.
  - ▶ ex. External-sort

Impact of Memory Management on performance of Big Data Processing

### Tree-aggtegate

- ▶ Many intermediate HashMap like data structures
- ▶ Many de/allocations of intermediate data
- ▶ Common memory and network usage pattern in Big Data Processing



Impact of Memory Management on performance of Big Data Processing

### K-Nearest-Neighbors (KNN)

- ▶ Text document preprocessing
- ▶ String manipulations
- ▶ An example of Machine Learning algorithm that requires Big Data processing.
- ▶ We are interested only in preprocessing phase.
- ▶ It is just matrix read operation after preprocessing phase.

## Problem Description

Our goal is to determine the magnitude of performance change regarding the following aspects.

- ▶ Memory Management for High Complex Nested Objects
- ▶ Different Rust Memory Management Strategies
- ▶ Automated Reference Counting (Rc) vs. Reference
- ▶ Multithreading using Atomic Reference Counting (Arc)
- ▶ Arc vs. Deep Copy on Overall Performance of Big Data Processing

## Experimental Setting

### **Machine Specification**

- ▶ Google Cloud Platform: n1-standard-8
- ▶ CPU: 8 cores
- ▶ RAM: 30 GB
- ▶ Standard persistent disk: 10 GB

#### Data Set

- ▶ Customer object
  - CustomerOwned
  - ▶ CustomerBorrowed
  - CustomerSlice
  - CustomerRc
- ▶ Wikipedia page data set
  - $\triangleright$  training set:  $100 \times 10^3$  pages
  - $\triangleright$  testing set:  $18 \times 10^3$  pages

### Other Setting

- ▶ Run 5 times for each experiment
- ▶ Take average of runtime

Experiment 1: Accessing Object with Different Variable Type

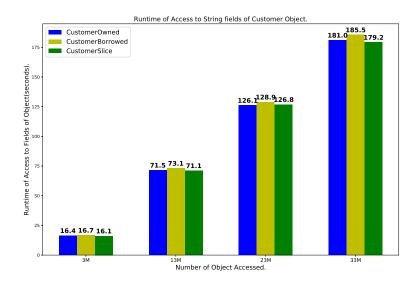
### Question

How much does selection of different memory management strategies differ memory access time?

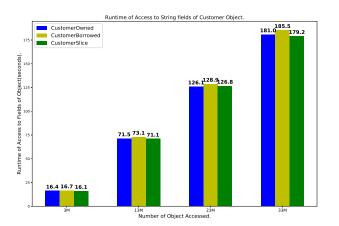
#### **Evaluation**

- ▶ Construct Complex objects
- ▶ With different memory management strategies: Owner, Reference, Slice
- ▷ CustomerOwned vs. CustomerBorrowed vs. CustomerSlice
- ▶ Measure access time of different fields of complex objects

# Experiment 1: Accessing Object with Different Variable Type



### Experiment 1: Accessing Object with Different Variable Type



#### Result

No differences of memory access time among Customer objects using different memory management strategies.

#### Discussion

▶ Selection of different memory management strategies does not have impact on memory access time. Experiment 2: Assessment of different reference methods in Rust

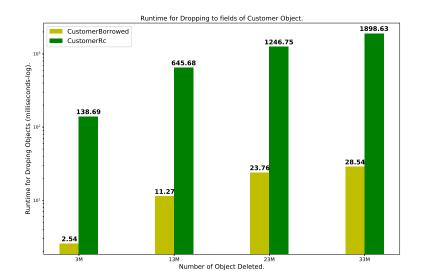
#### Question

▶ How much does Reference Counting hits performance?

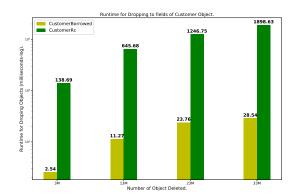
#### **Evaluation**

- ▶ Reference Counting vs reference
- CustomerRc vs. CustomerBorrowed
- ▶ Measure time to drop variables of complex objects

## Experiment 2: Assessment of different reference methods in Rust



### Experiment 2: Assessment of different reference methods in Rust



### Result

▶ Dropping Reference Counting is about 60 times slower than normal reference.

#### Discussion

- ▶ Reference Counting needs some CPU cycles to check reference count.
- ▶ In complex objects, overhead is significant.

Experiment 3: Merge-sort

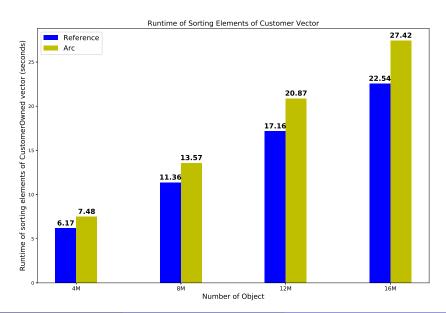
### Question

ightharpoonup What is performance hit of Merge-sort algorithm using Arc vs, normal reference?

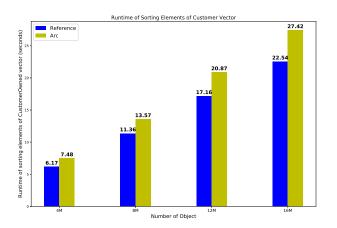
#### **Evaluation**

- ▶ Share vector of complex objects in multithreads
- ▶ Atomic Reference Counting (Arc) vs. normal reference
- ▶ Measure runtime of merge-sort algorithms

# Experiment 3: Merge-sort



## Experiment 3: Merge-sort



#### Result

▶ Arc are about 21% slower than normal reference.

#### Discussion

- ▶ Atomic Reference Counting needs to check reference count.
- ▶ Atomic operations are more expensive than ordinal operations.

## Experiment 4: Tree-aggregate

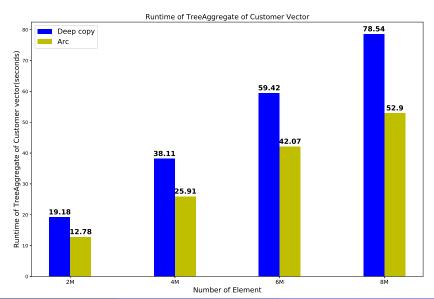
### Question

 $\triangleright$  - What is performance hit of Tree-aggregate using Arc vs. deep-copy?

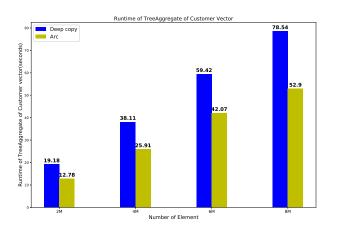
#### **Evaluation**

- ▶ Share elements of complex object in multithreads
- ▶ Measure runtime of Tree-aggregate algorithm

## Experiment 4: Tree-aggregate



## Experiment 4: Tree-aggregate



#### Result

▶ Deep-copies are from 40% to 50% slower than Arc.

#### Discussion

- Deep-copy of complex object is expensive.
- ▶ Performing deep-copy many times may lead to significant overhead.

Experiment 5: K-Nearest-Neighbors (KNN)

### Question

▷ - What is performance hit of Machine learning algorithms using Arc vs. deep-copy?

#### Evaluation

- Document classification on Wikipedia page dataset
  - $\triangleright$  training set:  $100 \times 10^3$  pages
  - $\triangleright$  testing set:  $18 \times 10^3$  pages
- ▶ Preprocessing phase: calculating Term-Frequencies (TFs)
- ▶ String manipulation
- ▶ Measure runtime of preprocessing time of KNN algorithms

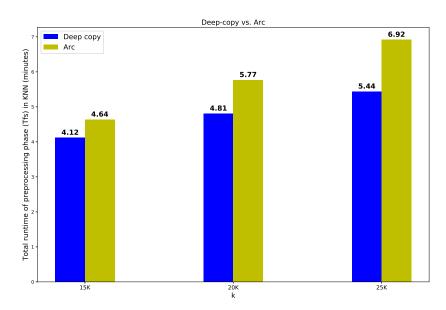
# Experiment 5: K-Nearest-Neighbors (KNN)

#### **Parameters**

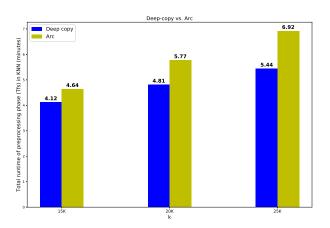
- ▶ Data Acquisition

  - ▷ Deep-copy
- ▶ Dimensions of feature matrices
  - ▶ 15K
  - ▶ 20K
  - ▶ 25K

# Experiment 5: K-Nearest-Neighbors



## Experiment 5: K-Nearest-Neighbors



#### Result

▶ Arc is at most 27% slower than deep-copy.

#### Discussion

- ▶ Deep-copying String is cheaper operation than Arc:
  - ▶ Relatively simple object
  - ▶ Contiguously allocated
- > Overhead using Arc may become more significant as size of dat increases

### Findings

- $\triangleright$  Use normal reference rather than Reference Counting whenever it is possible.
- ▶ **Avoid using Arc** when we can use reference.
- Use Arc instead of deep-copy, when **complexity of objects is large**.
- Use deep-copy, when **complexity of objects is small**, like String.

#### Conclusion

- ▶ We have seen that impact of memory memory Management is very large, especially when working on High Complex Object structures and large volume of data set.
- ▶ Using a System Language like Rust is very promising to avoid using large CPU computation for memory management.
- ▶ When using Rust, writing memory-safe multithreaded code is fairly easy.