

Software

Engineering

LECTURE 5: Use Cases

Topics

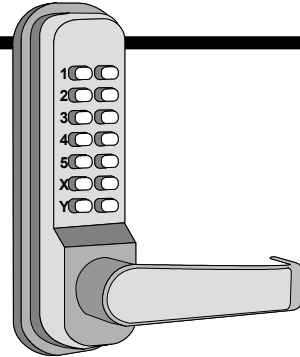
- Actors, Goals
- Sketchy/Summary Use Cases
- Use Case Diagram
- Traceability Matrix
- System Boundary and Subsystems
- Detailed Use Case Specification
- System Sequence Diagrams
- Security and Risk Management

Use Cases

- For Functional Requirements Analysis and Specification
- A *use case* is a description of how a user will use the system-to-be to accomplish business goals
 - Detailed use cases are usually written as *usage scenarios* or *scripts*, listing a specific sequence of actions and interactions between the actors and the system

Deriving Use Cases from System Requirements

REQ1: Keep door locked and auto-lock
REQ2: Lock when "LOCK" pressed
REQ3: Unlock when valid key provided
REQ4: Allow mistakes but prevent dictionary attacks
REQ5: Maintain a history log
REQ6: Adding/removing users at runtime
REQ7: Configuring the device activation preferences
REQ8: Inspecting the access history
REQ9: Filing inquiries



Actor	Actor's Goal (what the actor intends to accomplish)	Use Case Name
Landlord	To disarm the lock and enter, and get space lighted up.	Unlock (UC-1)
Landlord	To lock the door & shut the lights (sometimes?).	Lock (UC-2)
Landlord	To create a new user account and allow access to home.	AddUser (UC-3)
Landlord	To retire an existing user account and disable access.	RemoveUser (UC-4)
Tenant	To find out who accessed the home in a given interval of time and potentially file complaints.	InspectAccessHistory (UC-5)
Tenant	To disarm the lock and enter, and get space lighted up.	Unlock (UC-1)
Tenant	To lock the door & shut the lights (sometimes?).	Lock (UC-2)
Tenant	To configure the device activation preferences.	SetDevicePrefs (UC-6)
LockDevice	To control the physical lock mechanism.	UC-1, UC-2
LightSwitch	To control the lightbulb.	UC-1, UC-2
[to be identified]	To auto-lock the door if it is left unlocked for a given interval of time.	AutoLock (UC-2)

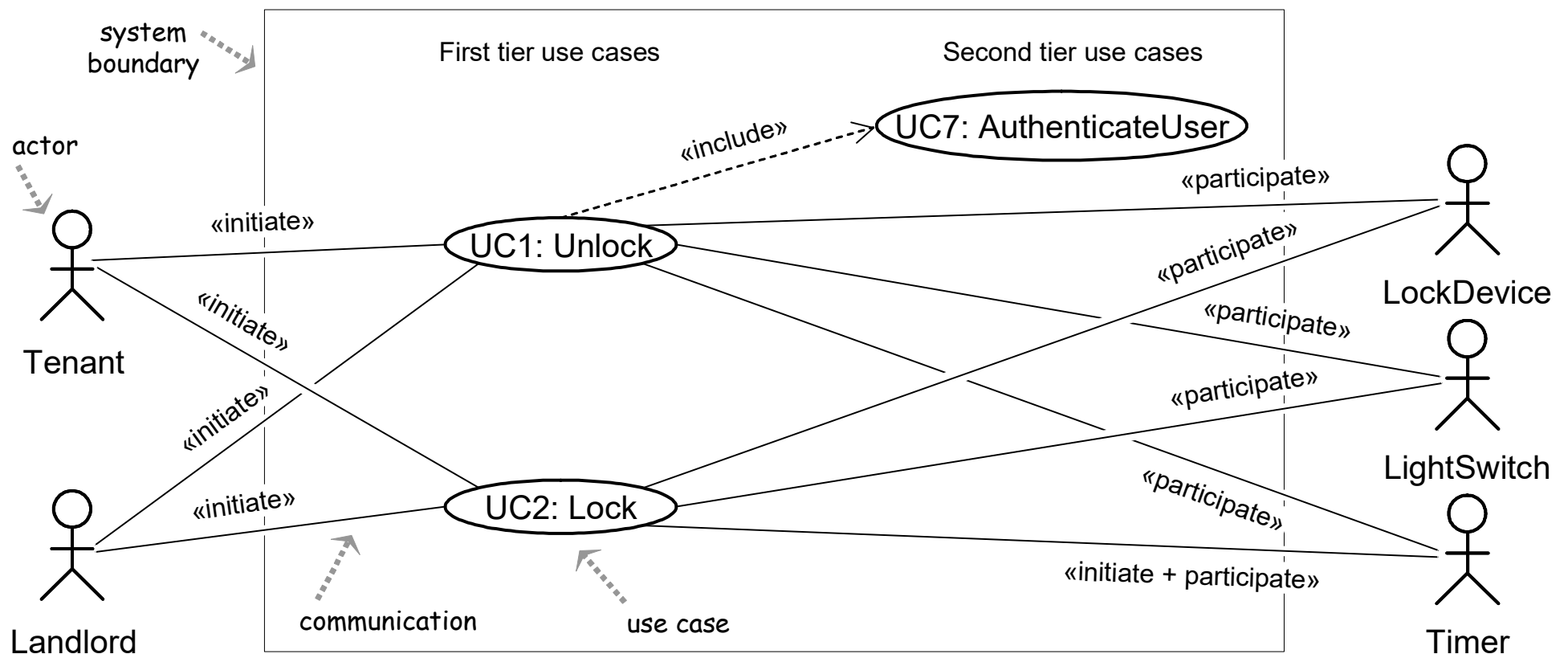
(Actors already given if working from user stories instead of system requirements)

Types of Actors

- **Initiating actor** (also called *primary actor* or “user”): initiates the use case to realize a goal
- **Participating actor** (also called *secondary actor*): participates in the use case but does not initiate it:
 - **Supporting actor**: helps the system-to-be to complete the use case
 - **Offstage actor**: passively participates in the use case, i.e., neither initiates nor helps complete the use case, but may be notified about some aspect of it (e.g., for keeping records)

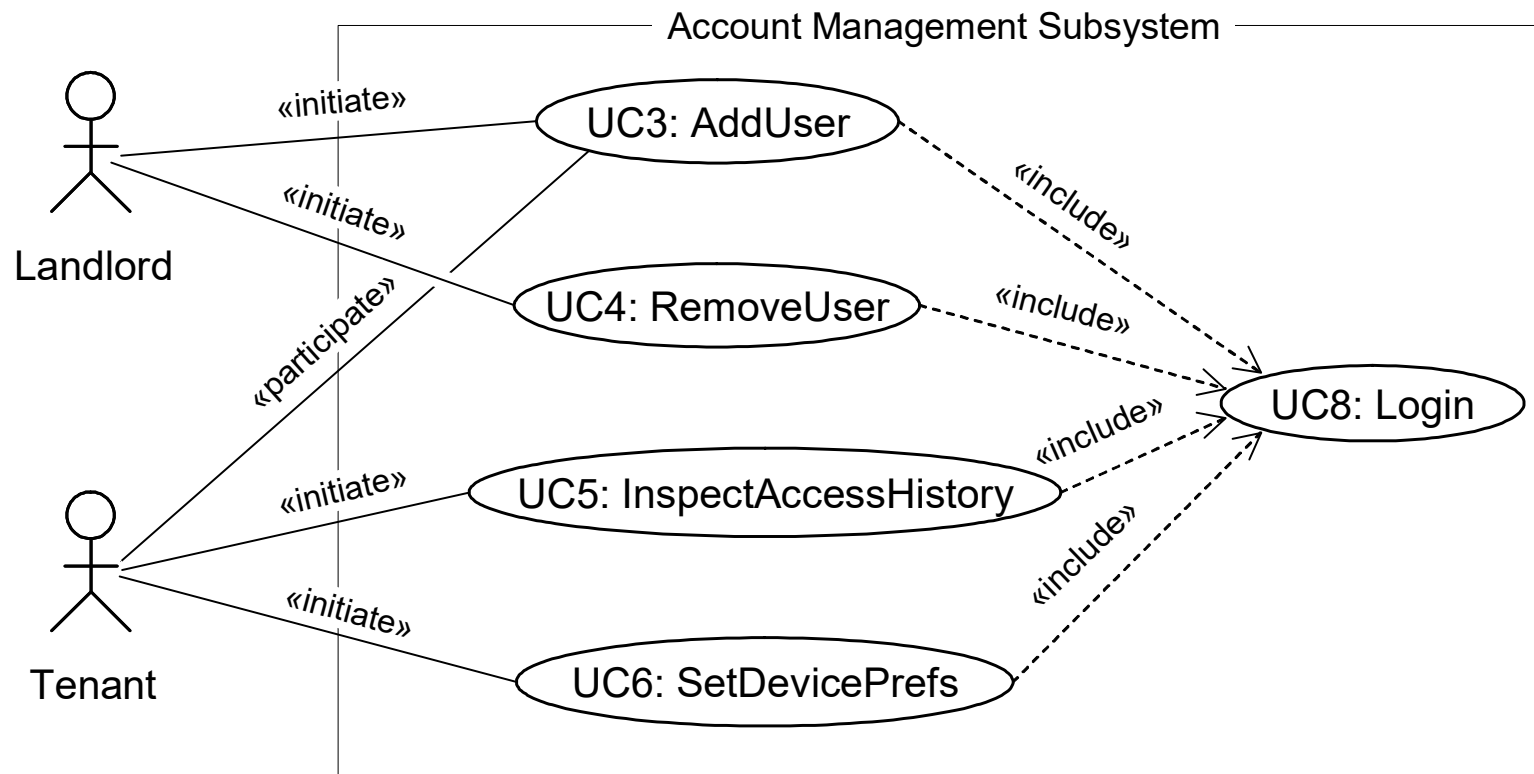
Use Case Diagram: Device Control

UC1: Unlock
UC2: Lock
UC3: AddUser
UC4: RemoveUser
UC5: InspectAccessHistory
UC6: SetDevicePrefs
UC7: AuthenticateUser
UC8: Login

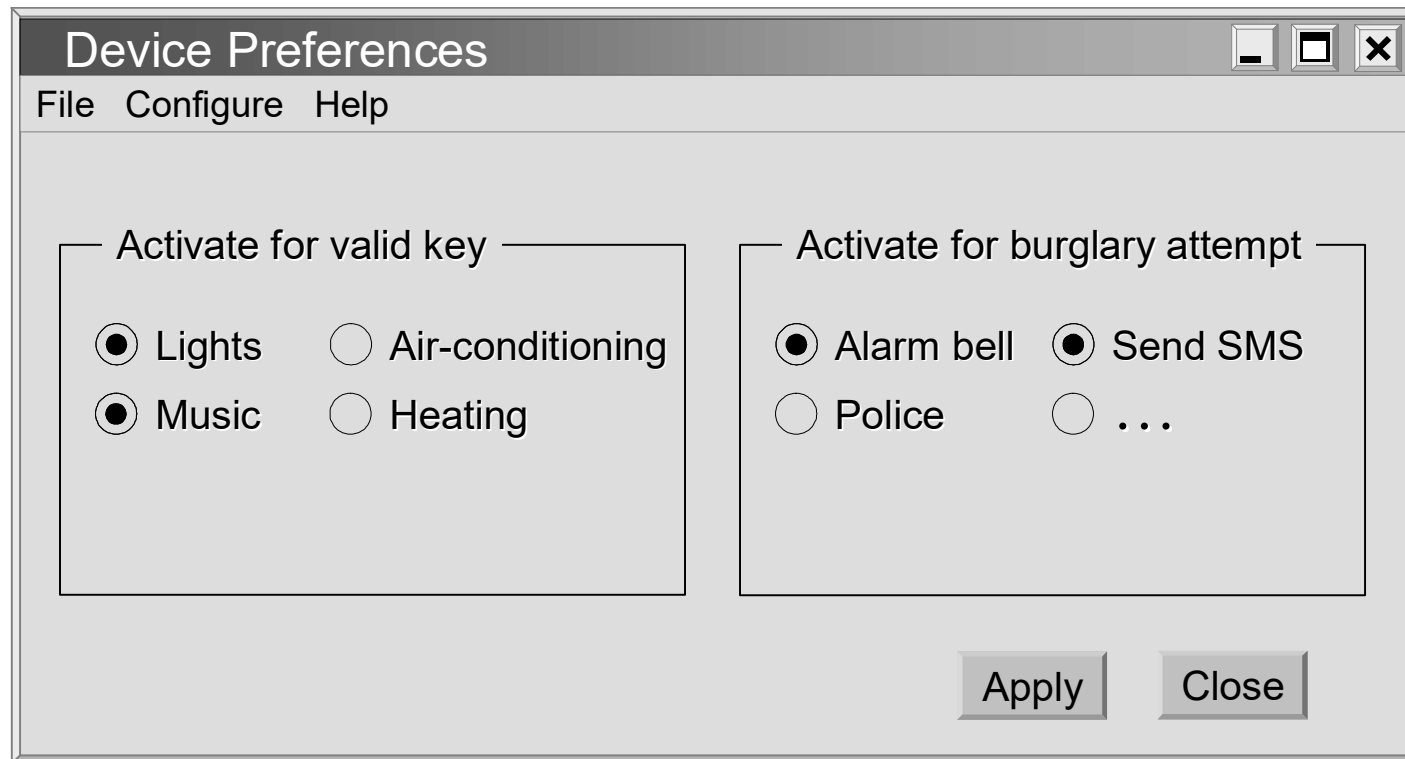


Use Case Diagram: Account Management

UC1: Unlock
UC2: Lock
UC3: AddUser
UC4: RemoveUser
UC5: InspectAccessHistory
UC6: SetDevicePrefs
UC7: AuthenticateUser
UC8: Login



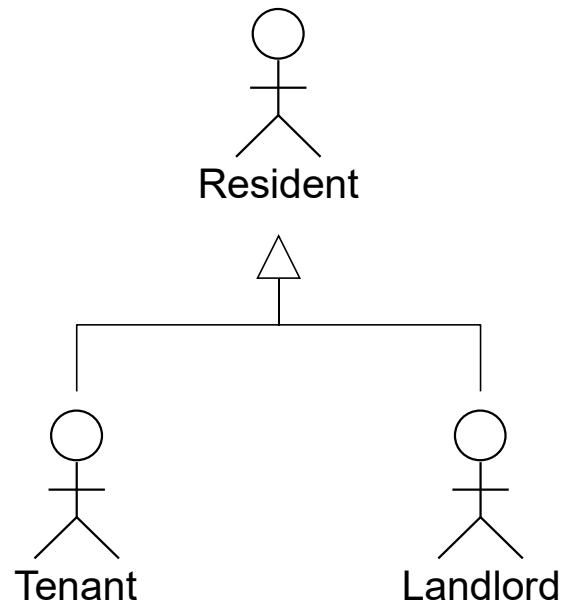
GUI for UC6: Set Device Pref's



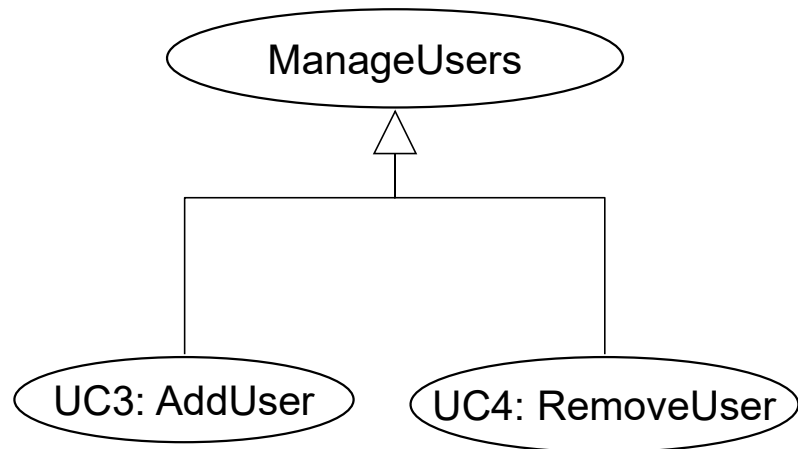
(NOTE: Lock device is mandatory)

Use Case Generalizations

UC1: Unlock
UC2: Lock
UC3: AddUser
UC4: RemoveUser
UC5: InspectAccessHistory
UC6: SetDevicePrefs
UC7: AuthenticateUser
UC8: Login



Actor Generalization

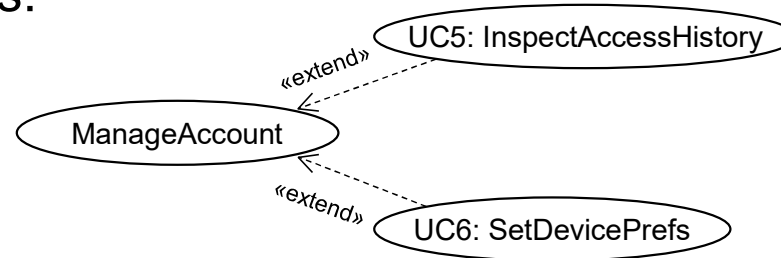


Use Case Generalization

Optional Use Cases: «extend»

UC1: Unlock
UC2: Lock
UC3: AddUser
UC4: RemoveUser
UC5: InspectAccessHistory
UC6: SetDevicePrefs
UC7: AuthenticateUser
UC8: Login

Example optional use cases:

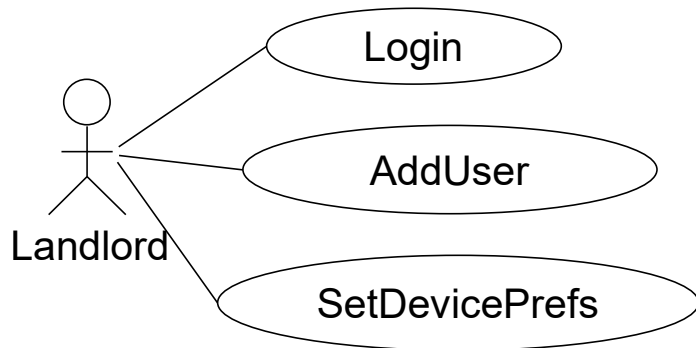


Key differences between «include» and «extend» relationships

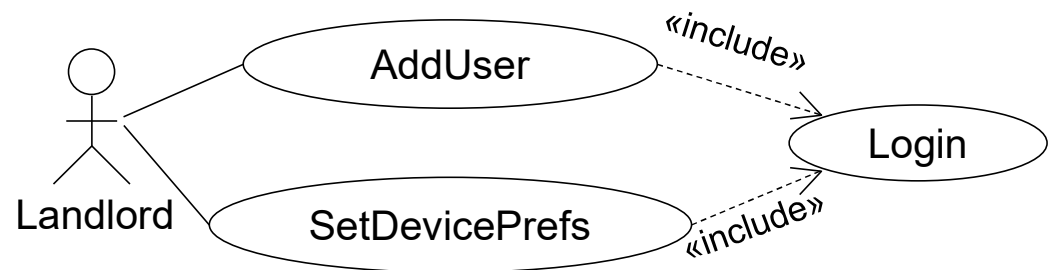
	Included use case	Extending use case
Is this use case optional?	No	Yes
Is the base use case complete without this use case?	No	Yes
Is the execution of this use case conditional?	No	Yes
Does this use case change the behavior of the base use case?	No	Yes

Login Use Case?

BAD:



GOOD:



Traceability Matrix (1)

Mapping: System requirements to Use cases

REQ1: Keep door locked and auto-lock
 REQ2: Lock when "LOCK" pressed
 REQ3: Unlock when valid key provided
 REQ4: Allow mistakes but prevent dictionary attacks
 REQ5: Maintain a history log
 REQ6: Adding/removing users at runtime
 REQ7: Configuring the device activation preferences
 REQ8: Inspecting the access history
 REQ9: Filing inquiries

UC1: Unlock
 UC2: Lock
 UC3: AddUser
 UC4: RemoveUser
 UC5: InspectAccessHistory
 UC6: SetDevicePrefs
 UC7: AuthenticateUser
 UC8: Login

Req't	PW	UC1	UC2	UC3	UC4	UC5	UC6	UC7	UC8
REQ1	5	X	X						
REQ2	2		X						
REQ3	5	X						X	
REQ4	4	X						X	
REQ5	2	X	X						
REQ6	1			X	X				X
REQ7	2						X		X
REQ8	1					X			X
REQ9	1					X			X
Max PW		5	5	1	1	1	2	5	2
Total PW		16	9	1	1	2	2	9	5

Continued for domain model, design diagrams, ...

Traceability Matrix Purpose

- To check that all requirements are covered by the use cases
- To check that none of the use cases is introduced without a reason (i.e., created not in response to any requirement)
- To prioritize the work on use cases

Schema for Detailed Use Cases

Use Case UC-#:	Name / Identifier [verb phrase]	
Related Requirements:	List of the requirements that are addressed by this use case	
Initiating Actor:	Actor who initiates interaction with the system to accomplish a goal	
Actor's Goal:	Informal description of the initiating actor's goal	
Participating Actors:	Actors that will help achieve the goal or need to know about the outcome	
Preconditions:	What is assumed about the state of the system before the interaction starts	
Postconditions:	What are the results after the goal is achieved or abandoned; i.e., what must be true about the system at the time the execution of this use case is completed	
Flow of Events for Main Success Scenario:		
→	1.	The initiating actor delivers an action or stimulus to the system (the arrow indicates the direction of interaction, to- or from the system)
←	2.	The system's reaction or response to the stimulus; the system can also send a message to a participating actor, if any
→	3.	...
Flow of Events for Extensions (Alternate Scenarios): What could go wrong? List the exceptions to the routine and describe how they are handled		
→	1a.	For example, actor enters invalid data
←	2a.	For example, power outage, network failure, or requested data unavailable
		...
The arrows on the left indicate the direction of interaction: → Actor's action; ← System's reaction		

Use Case 1: Unlock

Use Case UC-1: Unlock

Related Requirements: REQ1, REQ3, REQ4, and REQ5 stated in Table 2-1

Initiating Actor: Any of: Tenant, Landlord

Actor's Goal: To disarm the lock and enter, and get space lighted up automatically.

Participating Actors: LockDevice, LightSwitch, Timer

Preconditions:

- The set of valid keys stored in the system database is non-empty.
- The system displays the menu of available functions; at the door keypad the menu choices are "Lock" and "Unlock."

Postconditions: The auto-lock timer has started countdown from `autoLockInterval`.

Flow of Events for Main Success Scenario:

- 1. **Tenant/Landlord** arrives at the door and selects the menu item "Unlock"
2. include::AuthenticateUser (UC-7)
- ← 3. **System** (a) signals to the **Tenant/Landlord** the lock status, e.g., "disarmed," (b) signals to **LockDevice** to disarm the lock, and (c) signals to **LightSwitch** to turn the light on
- ← 4. **System** signals to the **Timer** to start the auto-lock timer countdown
- 5. **Tenant/Landlord** opens the door, enters the home [and shuts the door and locks]

Subroutine «include» Use Case

Use Case UC-7: **AuthenticateUser** (sub-use case)

Related Requirements: REQ3, REQ4 stated in Table 2-1

Initiating Actor: Any of: Tenant, Landlord

Actor's Goal: To be positively identified by the system (at the door interface).

Participating Actors: AlarmBell, Police

Preconditions:

- The set of valid keys stored in the system database is non-empty.
- The counter of authentication attempts equals zero.

Postconditions: None worth mentioning.

Flow of Events for Main Success Scenario:

- ← 1. **System** prompts the actor for identification, e.g., alphanumeric key
- 2. **Tenant/Landlord** supplies a valid identification key
- ← 3. **System** (a) verifies that the key is valid, and (b) signals to the actor the key validity

Flow of Events for Extensions (Alternate Scenarios):

2a. **Tenant/Landlord** enters an invalid identification key

- ← 1. **System** (a) detects error, (b) marks a failed attempt, and (c) signals to the actor

System (a) detects that the count of failed attempts exceeds the maximum allowed

- ← 1a. number, (b) signals to sound **AlarmBell**, and (c) notifies the **Police** actor of a possible break-in

- 2. **Tenant/Landlord** supplies a valid identification key
- 3. Same as in Step 3 above

Acceptance Test Case for UC-7 Authenticate User

Test-case Identifier:	TC-1	
Use Case Tested:	UC-1, main success scenario, and UC-7	
Pass/fail Criteria:	The test passes if the user enters a key that is contained in the database, with less than a maximum allowed number of unsuccessful attempts	
Input Data:	Numeric keycode, door identifier	
Test Procedure:	Expected Result:	
Step 1. Type in an incorrect keycode and a valid door identifier	System beeps to indicate failure; records unsuccessful attempt in the database; prompts the user to try again	
Step 2. Type in the correct keycode and door identifier	System flashes a green light to indicate success; records successful access in the database; disarms the lock device	

Use Case 2: Lock

Use Case UC-2: Lock

Related Requirements: REQ1, REQ2, and REQ5 stated in Table 2-1

Initiating Actor: Any of: Tenant, Landlord, or Timer

Actor's Goal: To lock the door & get the lights shut automatically (?)

Participating Actors: LockDevice, LightSwitch, Timer

Preconditions: The system always displays the menu of available functions.

Postconditions: The door is closed and lock armed & the auto-lock timer is reset.

Flow of Events for Main Success Scenario:

- 1. **Tenant/Landlord** selects the menu item "Lock"
- System** (a) signals affirmation, e.g., "lock armed," (b) signals to **LockDevice** to arm the lock (if
- ← 2. not already armed), (c) signal to **Timer** to reset the auto-lock counter, and (d) signals to **LightSwitch** to turn the light off (?)

Flow of Events for Extensions (Alternate Scenarios):

2a. System senses that the door is not closed, so the lock cannot be armed

- ← 1. **System** (a) signals a warning that the door is open, and (b) signal to **Timer** to start the alarm counter
- 2. **Tenant/Landlord** closes the door
- System** (a) senses the closure, (b) signals affirmation to the **Tenant/Landlord**, (c) signals to
- ← 3. **LockDevice** to arm the lock, (d) signal to **Timer** to reset the auto-lock counter, and (e) signal to **Timer** to reset the alarm counter

Use Case 3: Add User

Use Case UC-3: AddUser

Related Requirements: REQ6 stated in **Error! Reference source not found.**

Initiating Actor: Landlord

Actor's Goal: To register new or remove departed residents at runtime.

Participating Actors: Tenant

Preconditions: None worth mentioning. (But note that this use case is only available on the main computer and not at the door keypad.)

Postconditions: The modified data is stored into the database.

Flow of Events for Main Success Scenario:

- 1. **Landlord** selects the menu item "ManageUsers"
- 2. **Landlord** identification: Include Login (UC-8)
- ← 3. **System** (a) displays the options of activities available to the Landlord (including "Add User" and "Remove User"), and (b) prompts the **Landlord** to make selection
- 4. **Landlord** selects the activity, such as "Add User," and enters the new data
- ← 5. **System** (a) stores the new data on a persistent storage, and (b) signals completion

Flow of Events for Extensions (Alternate Scenarios):

4a. Selected activity entails error notification during adding user -

Use Case 5: Inspect Access History

Use Case UC-5: Inspect Access History

Related Requirements: REQ8 and REQ9 stated in Table 2-1

Initiating Actor: Any of: Tenant, Landlord

Actor's Goal: To examine the access history for a particular door.

Participating Actors: Database, Landlord

Preconditions: **Tenant/Landlord** is logged in the system and is shown a hyperlink "View Access History."

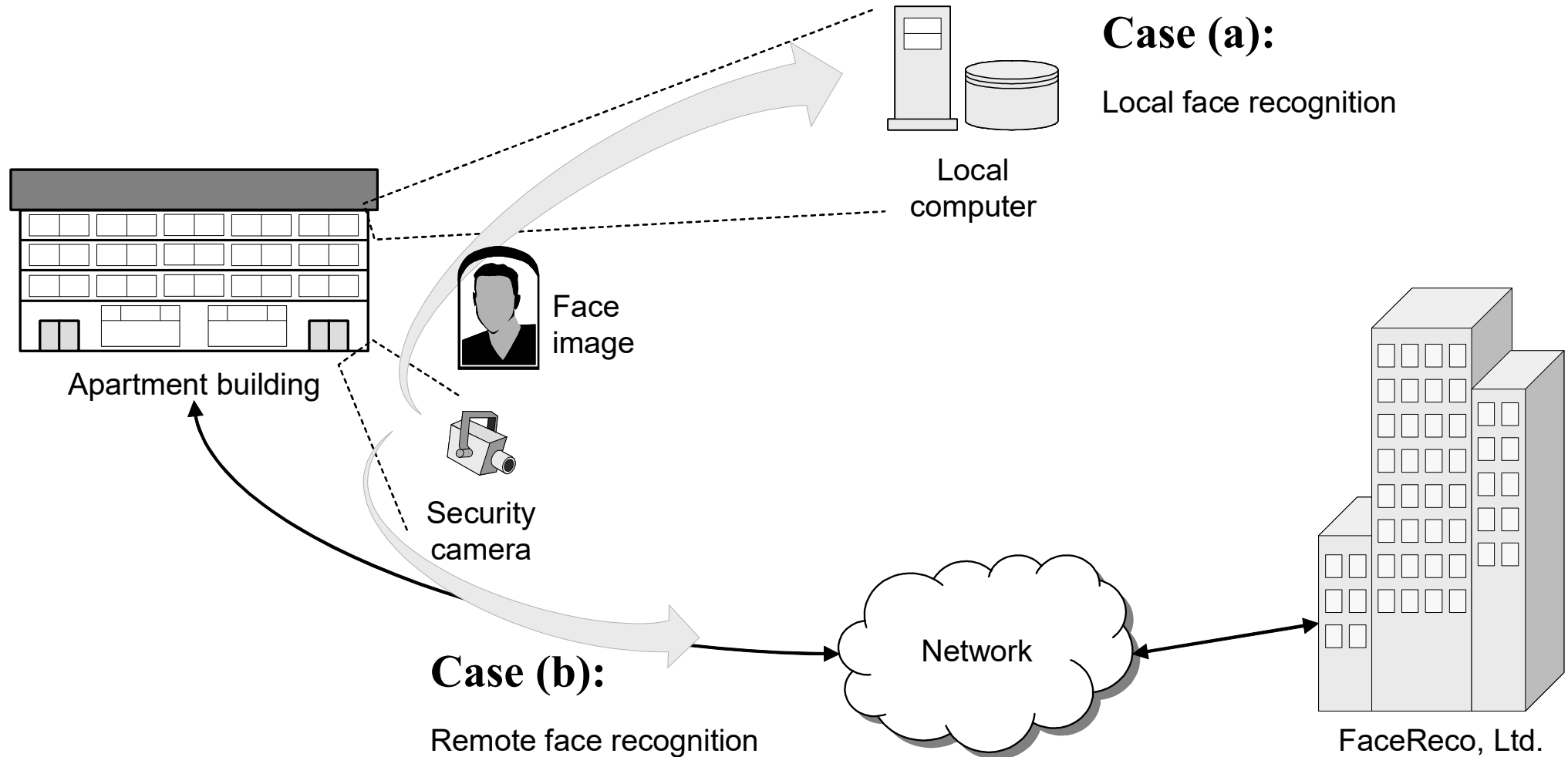
Postconditions: None.

Flow of Events for Main Success Scenario:

- 1. **Tenant/Landlord** clicks the hyperlink "View Access History"
- ← 2. **System** prompts for the search criteria (e.g., time frame, door location, actor role, event type, etc.) or "Show all"
- 3. **Tenant/Landlord** specifies the search criteria and submits
- ← 4. **System** prepares a database query that best matches the actor's search criteria and retrieves the records from the **Database**
- 5. **Database** returns the matching records
- ↻ 6. **System** (a) additionally filters the retrieved records to match the actor's search criteria; (b) renders the remaining records for display; and (c) shows the result for **Tenant/Landlord**'s consideration
- 7. **Tenant/Landlord** browses, selects "interesting" records (if any), and requests further investigation (with an accompanying complaint description)
- ↻ 8. **System** (a) displays only the selected records and confirms the request; (b) archives the request in the **Database** and assigns it a tracking number; (c) notifies **Landlord** about the request; and (d) informs **Tenant/Landlord** about the tracking number
- ←

System Boundary & Subsystems

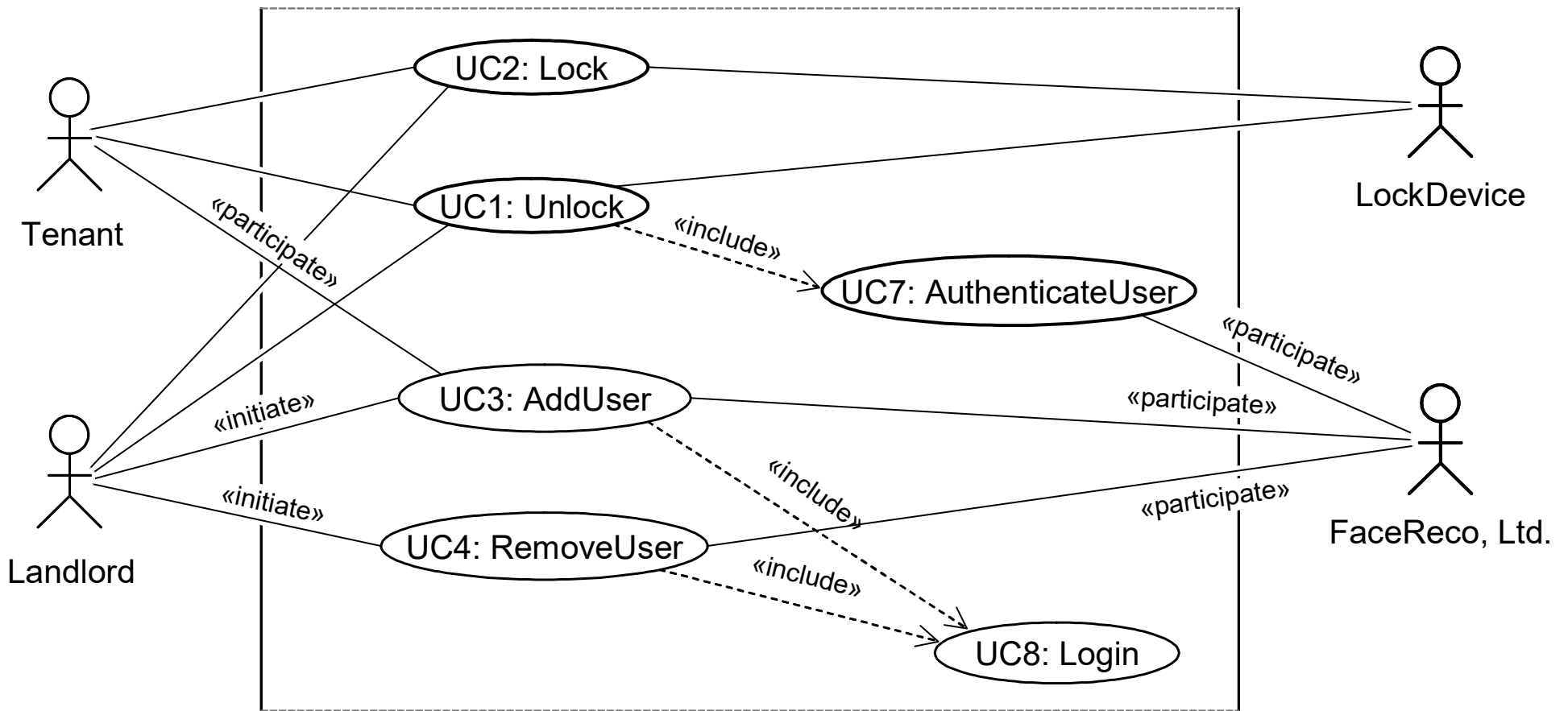
Use Case Variations Example:



Modified Use Case Diagram

Authentication subsystem (FaceReco, Ltd.)
is externalized from the system-to-be:

UC1: Unlock
UC2: Lock
UC3: AddUser
UC4: RemoveUser
UC5: InspectAccessHistory
UC6: SetDevicePrefs
UC7: AuthenticateUser
UC8: Login



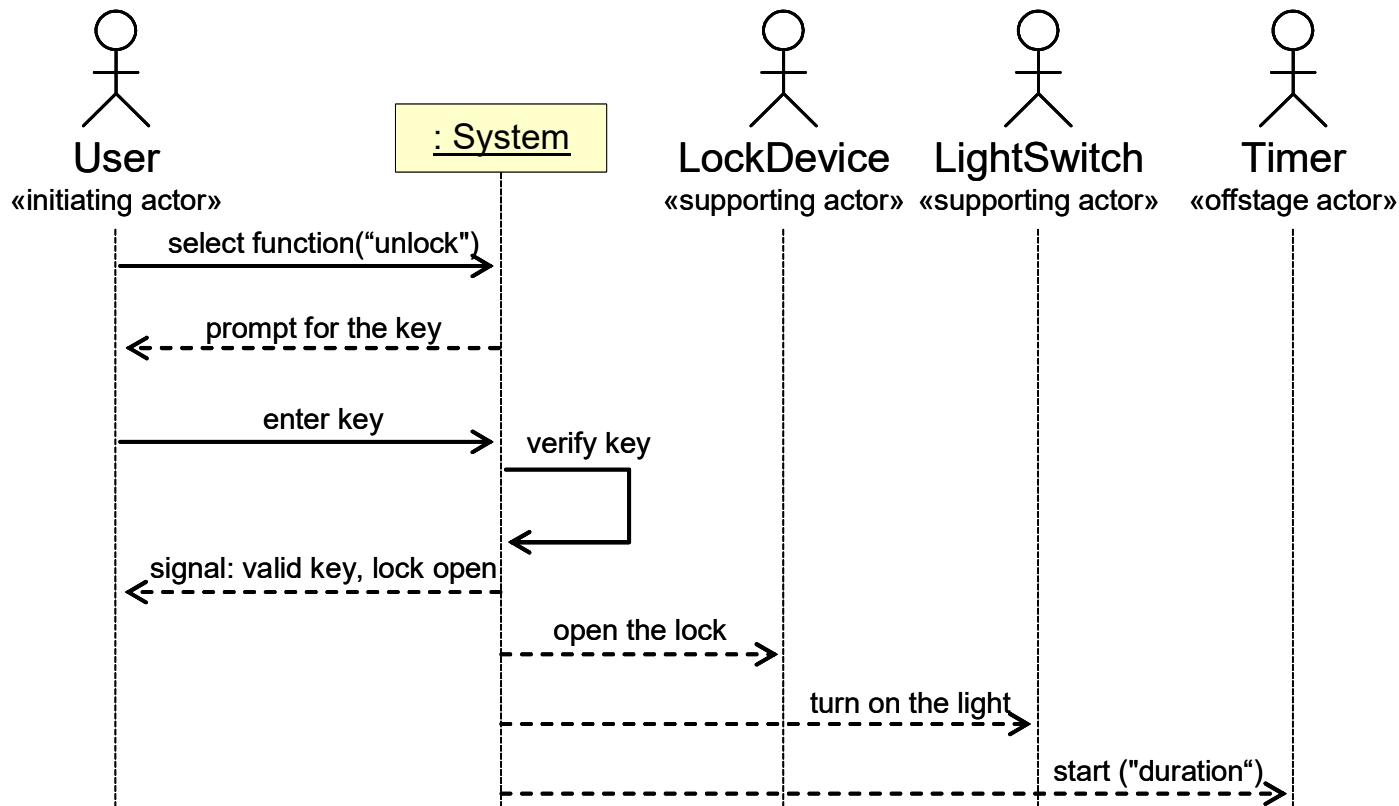
Security and Risk Management

- Identifying and preempting the serious risks to system's safe and successful functioning
- Risk types:
 - Intolerable
 - As low as reasonably practical (ALARP)
 - Acceptable
- **Example abuse case input sequence:**
 - invalid-key, invalid-key, ... \leq maxNumOfAttempts ;
wait maxAttemptPeriod ; invalid-key, invalid-key, ...

System Sequence Diagram

[Modeling System Workflows]

Use case: Unlock



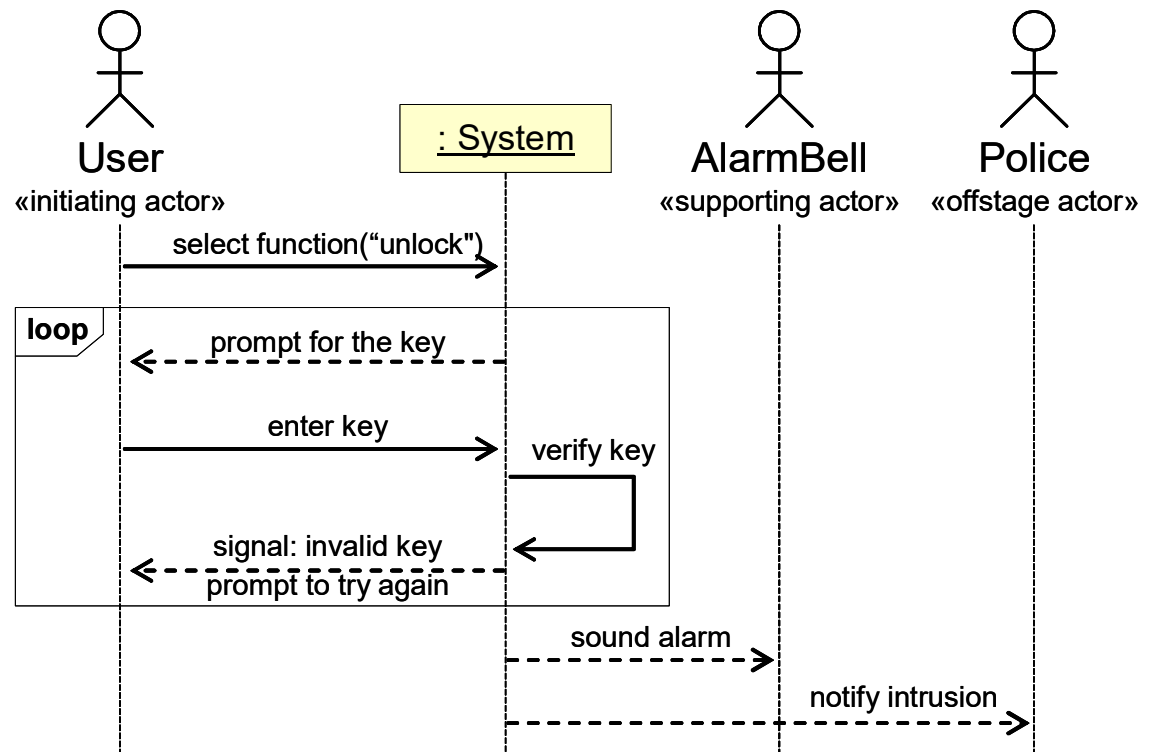
Main success scenario

Similar to UML sequence diagrams,
but for **actor interactions** instead of software **object interactions**

System Sequence Diagram

[Modeling System Workflows]

Use case: Unlock



Alternate scenario (burglary attempt)

Activity Diagram

[Modeling System Workflows]

