

Implementation of Heaps:

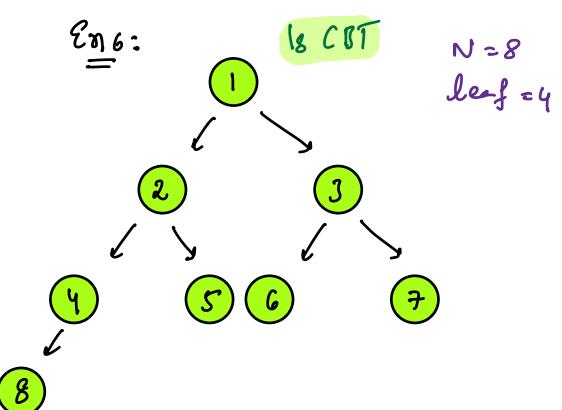
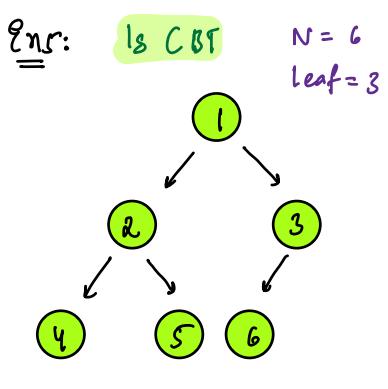
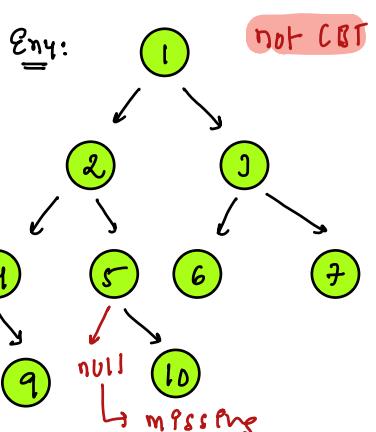
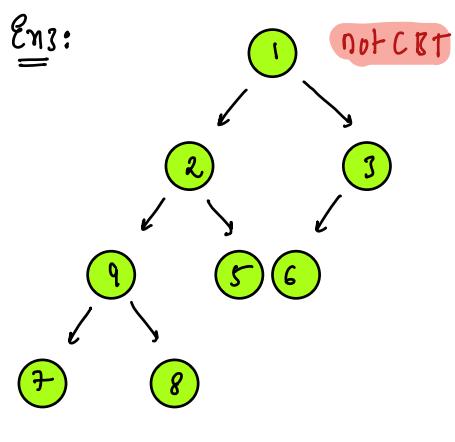
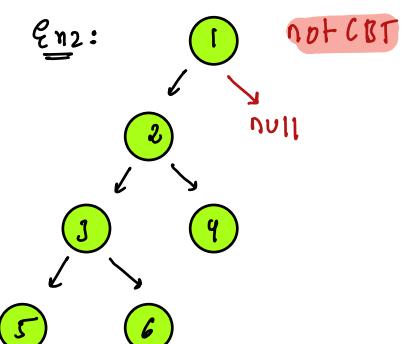
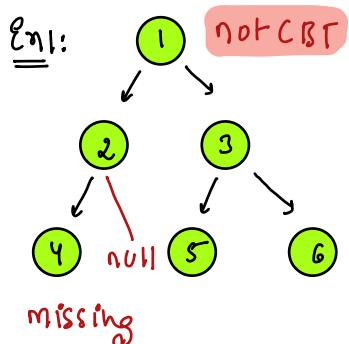
- CBT
- Heap Intro
- insert() / deleteMin()
- heapify() / heapsort()

Todays
↳ 1hr → Implement
↳ 1hr → Implement
↳ 1hr class →

Complete binary Tree (CBT) \rightarrow { Pre-reqs }

A BT is said to be CBT if

- 1) All nodes have to be present **level by level** from **left \rightarrow right**
- 2) All levels should be **completely filled** except last level
 { At last level it can be either **completely filled or not** }



Height of CBT:

Height of CBT	Min Nodes	Max Nodes
1	$2 : 2^1$	$3 : 2^1 - 1$
2	$4 : 2^2$	$7 : 2^2 - 1$
3	$8 : 2^3$	$15 : 2^3 - 1$
4	$16 : 2^4$	$31 : 2^4 - 1$
		\vdots
H	$\rightarrow \text{min} : 2^h$	$\text{max} : 2^{h+1} - 1$

// Say N Nodes, height of CBT = $h \approx \log N$

$$\text{min Nodes} : 2^h = N$$

$$h = \log_2 N$$

$$\text{max Nodes} : 2^{h+1} - 1 = N$$

$$2^{h+1} = N + 1$$

Apply log on both sides

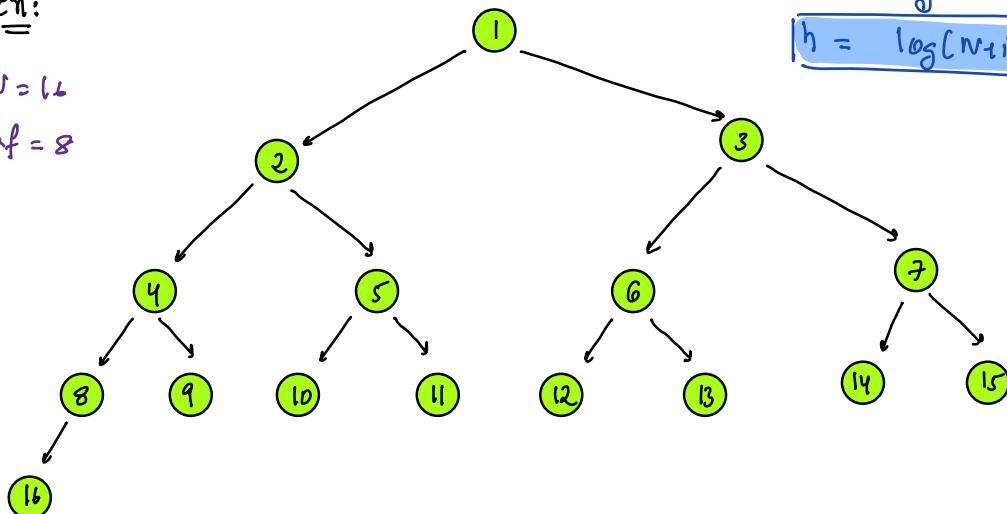
$$h+1 = \log_2 (N+1)$$

$$h = \log_2 (N+1) - 1$$

Eg:

$$N = 16$$

$$\text{leaf} = 8$$



Implementation of CBT, Using nodes → {It's possible}

```

class Node {
    int data
    Node left
    Node right
    Node() {
        data = n
        left = right = null
    }
}
  
```

Implementation of CBT using arrays/arraylist/dynamic array

insert: 3 2 9 6 8 7 2 14 16

qinsert	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
all											
in list	0	1	2	3	4	5	6	7	8	9	10

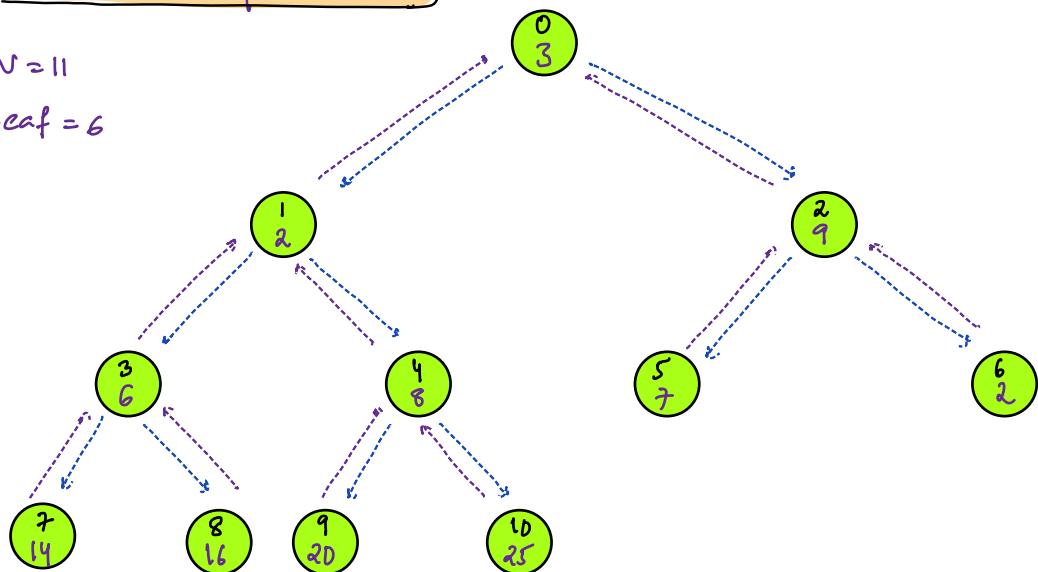
li : 3 2 9 6 8 7 2 14 16 20 25

Below tree is Representation

$$11: 4B = 44B$$

$N=11$

leaf = 6



parent : left right

0	1	2
1	3	4
2	5	6
3	7	8
4	9	10

obs:

$$\text{parent of } i \rightarrow \text{left: } 2i+1, \text{ right: } 2i+2$$

$$\text{child of } i \rightarrow \text{parent: } \frac{i-1}{2}$$

$$i=9 \rightarrow \text{parent: } \frac{9-1}{2} = 4$$

$$i=8 \rightarrow \text{parent: } \frac{8-1}{2} = 3$$

$$i=6 \rightarrow \text{parent: } \frac{6-1}{2} = 2$$

Advantage:

: 2 way traversal

: Space saving when compared Tree based on Nodes

heaps:

BT should be a CBT form

Every node \geq child nodes = Max heap

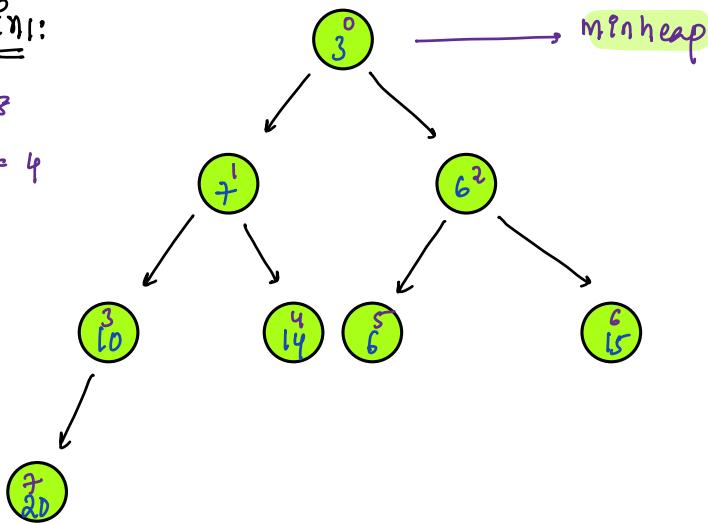
Every node \leq child nodes = Min heap

Note:

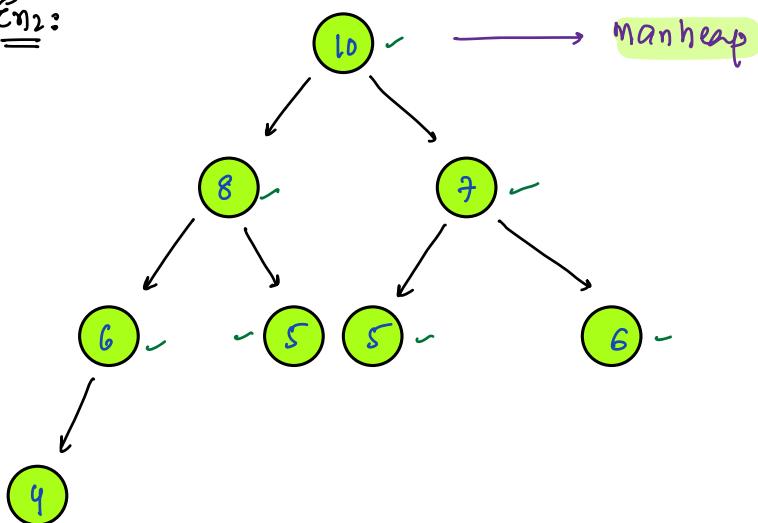
Ex1:

$N = 8$

Leaf = 4



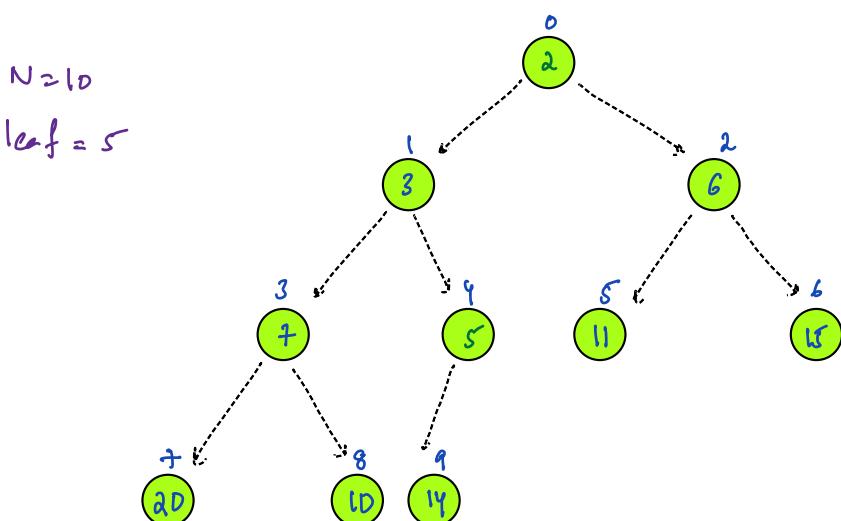
Ex2:



Min heap Operations:

- `insert()` : $\log N$ → `search()` : $O(N)$, not useful
- `getMin()` : $O(1)$ → `delete()` : $O(N)$, not useful
- `deleteMin()` : $\log N$ → `Search() & delete`
- `size()` : $O(1)$

// Given min heap: 0 1 2 3 4 5 6 7 8 9
`lptr < rptr`, ar: 2 3 6 7 5 11 15 20 10 14



insert(5):

<u>ind</u>	<u>parent</u> : $(\text{ind}-1)/2$	<u>Ideally</u> : $\text{ar}[\text{par}] \leftarrow \text{ar}[\text{ind}]$
8	$(8-1)/2 : 3$	$\text{ar}[3] \leftarrow \text{ar}[8]$ * swap ($\text{ar}[3] \leftrightarrow \text{ar}[8]$)
3	$(3-1)/2 : 1$	$\text{ar}[1] \leftarrow \text{ar}[3]$ * swap ($\text{ar}[1] \leftrightarrow \text{ar}[3]$)
1	$(1-1)/2 : 0$	$\text{ar}[0] \leftarrow \text{ar}[1]$ ✓ Break

insert(2):

<u>ind</u>	<u>parent</u> : $(\text{ind}-1)/2$	<u>Ideally</u> : $\text{ar}[\text{par}] \leftarrow \text{ar}[\text{ind}]$
9	$(9-1)/2 : 4$	$\text{ar}[4] \leftarrow \text{ar}[9]$ * swap ($\text{ar}[4] \leftrightarrow \text{ar}[9]$)
4	$(4-1)/2 : 1$	$\text{ar}[1] \leftarrow \text{ar}[4]$ * swap ($\text{ar}[1] \leftrightarrow \text{ar}[4]$)
1	$(1-1)/2 : 0$	$\text{ar}[0] \leftarrow \text{ar}[1]$ * swap ($\text{ar}[0] \leftrightarrow \text{ar}[1]$)

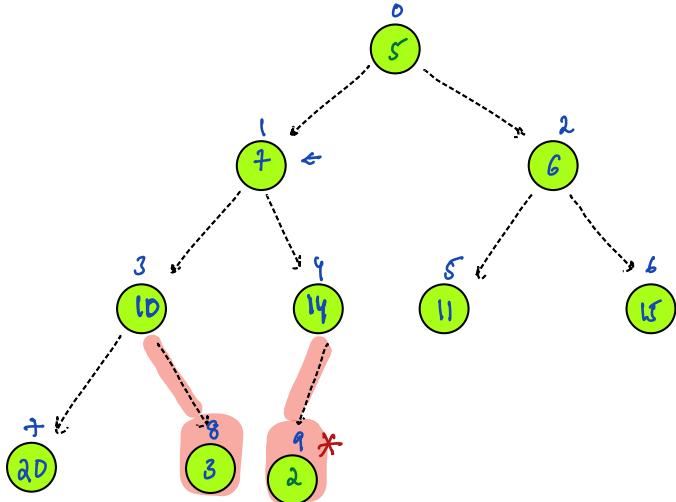
0 → no parent : {Break}

$\underbrace{\text{min heap}}$ $\underbrace{\text{ele to insert}}$
 $\text{list}(\text{Pnt}), \text{minheap_insert}([\text{list}(\text{Pnt}), \text{ar}, \text{int } n]) \{ \text{TC: O}(\log N) \text{ SC: O}(1)$
 int ind = ar.size()
 $\text{ar.add}(n) // \text{In a list new ele is added at last index}$
 int par = (ind-1)/2 $\rightarrow // \text{ideally min heap}$
 $\text{while(ind > 0 \&\& ar[par] > ar[ind]) \{$ $\text{ar[par]} \leftarrow \text{ar[ind]}$
 $\quad \text{swap ar[par] \& ar[ind]} // \text{new ele is going to par}$
 $\quad \text{ind} = \text{par}$
 $\quad \text{par} = (\text{ind}-1)/2 // \text{updating par for index}$
 $\}$
 return ar;

$\underbrace{\text{min heap}}$
 $\text{list}(\text{Pnt}), \text{minheap_delete}([\text{list}(\text{Pnt}), \text{ar}]) \{ \text{TC: O}(\log N) \text{ SC: O}(1)$
 int n = ar.size()
 $\text{Swap(ar[0] \& ar[n-1]) // first \& last index ele}$
 $\text{ar.delete()} // \text{Delete last index element}$
 $n = n-1 // \text{size decrease by 1}$
 int i=0
 $\text{while}(i < n) \{$
 int min_idx;
 int l = 2*i+1, r = 2*i+2 // $i \rightarrow \text{left: } 2i+1, \text{right: } 2i+2$
 $\quad \text{if}(l > n) \{ // \text{no left child break}\}$
 $\quad \text{if}(l < n) \{ // \text{left exists}$
 $\quad \quad \text{min_idx} = l$
 $\quad \quad \text{if}(r < n \&\& ar[r] < ar[l]) \{ // \text{right exists \& it's min}$
 $\quad \quad \quad \text{min_idx} = r$
 $\quad \quad \text{if}(ar[i] <= ar[min_idx]) \{ // \text{already satisfying}$
 $\quad \quad \quad \text{break}\}$
 $\quad \quad \text{else} \{ \text{swap ar[i] \leftrightarrow ar[min_idx]; } i = \text{min_idx} \}$
 $\}$

delete_min:

// Given min heap: 0 1 2 3 4 5 6 7 * 8 9
 lPst < rPnt, ar: 5 7 6 10 14 11 15 20 3 2



Delete: Copy last element to thind & delete last element

node with
min value

ind	left:(2i+1)	right:(2i+2)	min-Ind	ar[Ind] > ar[min-Ind]
0	1	2	1	swap(ar[0] ↔ ar[1])
1	3	4	4	swap(ar[1] ↔ ar[4])
4	nochildren	break		

Delete: Copy last element to thind & delete last element

ind	left:(2i+1)	right:(2i+2)	min-Ind	ar[Ind] > ar[min-Ind]
0	1	2	1	swap(ar[0] ↔ ar[1])
1	3	4	3	swap(ar[1] ↔ ar[3])
3	7	=	7	if(ar[Ind] != ar[min-Ind]) : no swap, break it

//heapify:

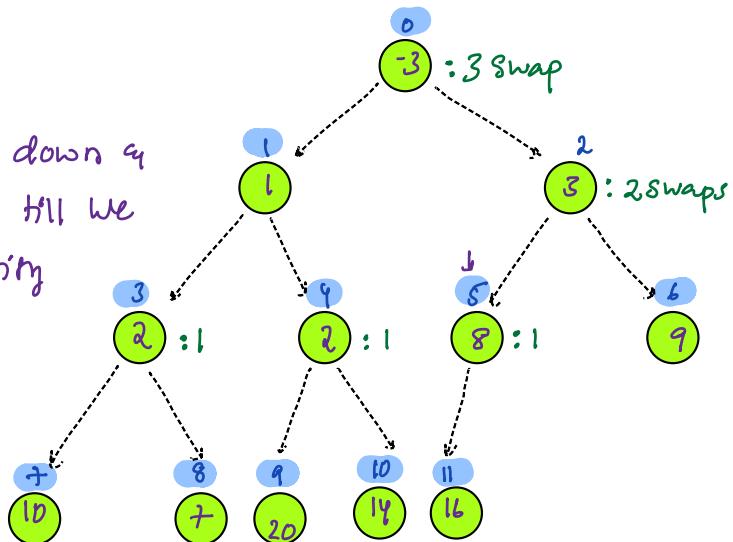
1) Given a list & pnt, convert into min-heapify max-heapify
min heap / max heap

$$arr[12] = \{ 0, 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 16, 2, 8, 10, 14, 3, 9, 2, 7, 20, 1, -3 \}$$

1. sort arr[]: $\Omega(n \log n)$

2. heapiify : $O(N)$

At every node going down q swapping with child till we reach leaf or we satisfy min heap condition



heapiify TC: Assume N Nodes \Rightarrow Leaf Nodes = $N/2$

soon $\overbrace{\dots}^{\uparrow} \rightarrow \{N/64\} * 5 \text{ swaps}$

$\overbrace{\dots}^{\uparrow} \rightarrow \{N/32\} * 4 \text{ swaps}$

$\overbrace{\dots}^{\uparrow} \rightarrow \{N/16\} * 3 \text{ swaps}$

$\overbrace{\dots}^{\uparrow} \rightarrow \{N/8\} * 2 \text{ swaps}$

$\overbrace{\dots}^{\uparrow} \rightarrow \{N/4\} * 1 \text{ swaps}$

$\overbrace{\dots}^{\uparrow} \rightarrow \{N/2\} * 0 \text{ swaps}$

$$TC: S = \frac{N}{4} + \frac{2N}{8} + \frac{3N}{16} + \frac{4N}{32} + \frac{5N}{64} + \dots$$

TC: $O(N)$

$$TC: S = \frac{N}{4} + \frac{2N}{8} + \frac{3N}{16} + \frac{4N}{32} + \frac{5N}{64} + \dots$$

Multiply 2 in both sides

$$\begin{aligned} 2S &= \frac{N}{2} + \frac{2N}{4} + \frac{3N}{8} + \frac{4N}{16} + \frac{5N}{32} + \dots \\ - S &= \frac{N}{4} + \frac{2N}{8} + \frac{3N}{16} + \frac{4N}{32} + \frac{5N}{64} + \dots \end{aligned}$$

$$S = \frac{N}{2} + \frac{N}{4} + \frac{N}{8} + \frac{N}{16} + \frac{N}{32} + \frac{N}{64} \dots$$

$$S = N \left\{ \frac{1/2 + 1/4 + 1/8 + 1/16 + 1/32 + \dots}{\text{Sum of GP } \approx 1} \right\}$$

$$S = N \times 1 \Rightarrow O(N)$$

`list<int> ar min_heapify(list<int>, ar) TC: O(N) SC: O(1)`

```

int n = ar.size();
k = n-1; k >= 0; k-- {
    int i = k;
    while(i < n) {
        int min_idx;
        int l = 2*i+1, r = 2*i+2 // i → left: 2i+1, right: 2i+2
        if(l >= n) { // no left child break}
        if(l < n) { // left exists
            min_idx = l
            if(r < n && ar[r] < ar[l]) { // right exists & it's min
                min_idx = r
            }
            if(ar[i] <= ar[min_idx]) { // already satisfying
                break;
            } else { Swap ar[i] ↔ ar[min_idx]; i = min_idx }
        }
    }
}
return ar;
    
```

Heap Sort:

a Given $\text{arr}[] \xrightarrow{\text{min-heapify}} \text{min-heap} \xrightarrow{\text{N-1 del}} \text{dec order}$

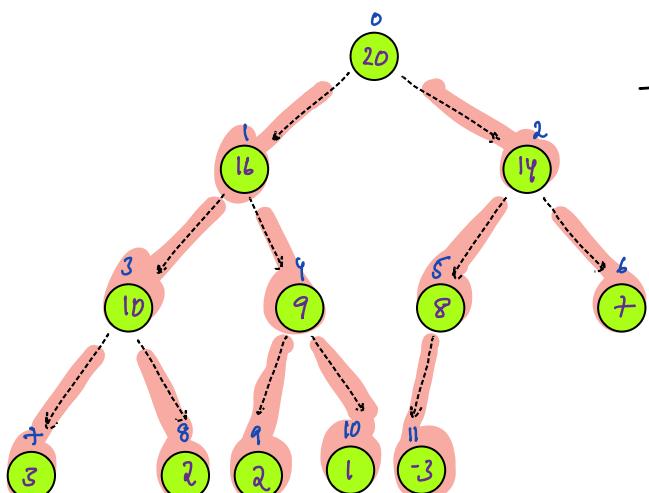
$$[\text{TC: } O(N) + (N-1) \log N \Rightarrow O(N \log N) \text{ SC: } O(1)]$$

b Given $\text{arr}[] \xrightarrow{\text{max-heap}} \text{max-heap} \xrightarrow{\text{N-1 del}} \text{inc order}$

$$\text{arr}[12] = \{ 0, 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 16, 2, 8, 10, 14, 3, 9, 2, 7, 20, 1, -3 \}$$

max
heap

$$\text{arr}[12] = \{ -3, 1, 3, 2, 2, 8, 9, 10, 7, 20, 14, 16, 0, 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11 \}$$



After deletions 9

modifications on $\text{arr}[]$

delete:
 $N : \text{arr}[0] \& \text{arr}[N-1] \quad N = N-1$

- 12 : $\text{arr}[0] \& \text{arr}[11]$ 11 : swap from root
- 11 : $\text{arr}[0] \& \text{arr}[10]$ 10 : swap from root
- 10 : $\text{arr}[0] \& \text{arr}[9]$ 9 : swap from root
- 9 : $\text{arr}[0] \& \text{arr}[8]$ 8 : swap from root
- 8 : $\text{arr}[0] \& \text{arr}[7]$ 7 : swap from root
- 7 : $\text{arr}[0] \& \text{arr}[6]$ 6 : swap from root
- 6 : $\text{arr}[0] \& \text{arr}[5]$ 5 : swap from root
- 5 : $\text{arr}[0] \& \text{arr}[4]$ 4 : swap from root
- 4 : $\text{arr}[0] \& \text{arr}[3]$ 3 : swap from root
- 3 : $\text{arr}[0] \& \text{arr}[2]$ 2 : swap from root
- 2 : $\text{arr}[0] \& \text{arr}[1]$ 1 : swap from root
- 1 : Break

$$\text{arr}[12] : \{ 20, 16, 14, 10, 9, 8, 7, 3, 2, 2, 1, -3 \}$$

```
list<int> heapSort(list<int> ar) { Tc: NlogN Sc: O(1)
```

```
// Convert ar() → min heap
ar = minHeapify(ar) // return min heap of ar
int n = ar.length()
while(N > 1) {
    swap(ar[0] & ar[n-1])
    n = n-1 // assume last ele deleted, reduce size
    i = 0 // swap from root to leaf, till minheap condition satisfies
    while(i < n) {
        int min_idx;
        int l = 2*i+1, r = 2*i+2 // i → left: 2i+1, right: 2i+2
        if(l >= n) { // no left child break
            if(l < n) { // left exists
                min_idx = l
            }
            if(r < n && ar[r] < ar[l]) { // right exists & it's min
                min_idx = r
            }
            if(ar[i] <= ar[min_idx]) { break } // already satisfying
            else { swap ar[i] ↔ ar[min_idx]; i = min_idx }
        }
    }
}
return ar
```