#### **CS343: Operating System**

# Process Management and Scheduling

Lect10: 18<sup>th</sup> Aug 2023

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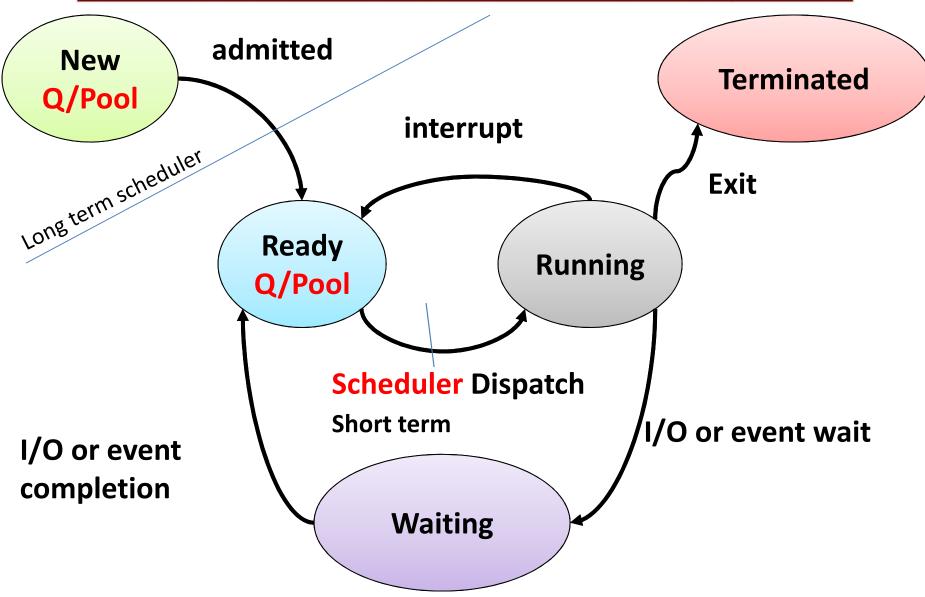
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# **Outline**

- Memory Layout of C Program
- Process Concepts
- Process States, Process Control Block
- Context Switch
- IPC (Inter Process Communication)
- Threads ()
- Scheduling: Theoretical Analysis

# **Process State: State Diagram**



#### **Process Control Block (PCB)**

**Process State** 

**Process Number** 

**Program Counter** 

**Registers** 

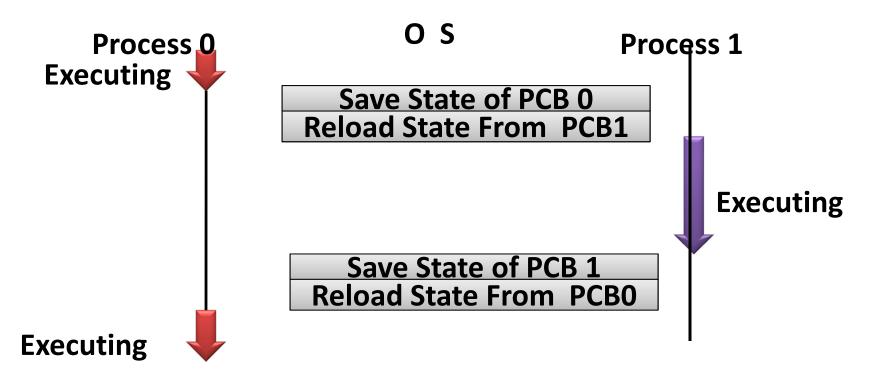
**Memory Limits** 

**List of Open Files** 

•••••

# **Context Switch**

- When CPU switches to another process, the system must save the state of the old process and load the saved state for the new process via a context switch
- Context of a process represented in the PCB



# **Context Switch**

- Context-switch time is overhead; the system does no useful work while switching
  - The more complex the OS and the PCB → the longer
     the context switch
- Time dependent on hardware support
  - Some hardware provides multiple sets of registers per
     CPU → multiple contexts loaded at once

Many time Context switch code written Manually.

Compiler Generated code may not be efficient

### **Operations on Processes**

- One should know how to create/delete process
- System must provide mechanisms for:
  - process creation
  - process termination

#### **Process Creation**

- Parent process create children processes
  - –which, in turn create other processes,
     forming a tree of processes
- Generally, process identified and managed via a process identifier (pid)

#### ps: report a snapshot of current process

[asahu@asahu ~]\$ ps -A

```
PID TTY
                  TIME CMD
             00:00:02 systemd
             00:00:01 kthreadd
             00:00:22 ksoftirqd/0
  5
             00:00:00 kworker/0:0H
             00:00:00 kworker/u:0H
  8
             00:00:11 migration > 0
  9
             00:00:07 watchdog/0
             00:00:07 migration/1
 10
             00:00:00 kworker/1:0H
             00:00:20 ksoftirqd/1
 13
 14
             00:00:17 watchdog/1
 15
             00:00:12 migration/2
             00:00:00 kworker/2:0H
 18
             00:00:22 ksoftirqd/2
             00:00:12 watchdog/2
```

# pstree

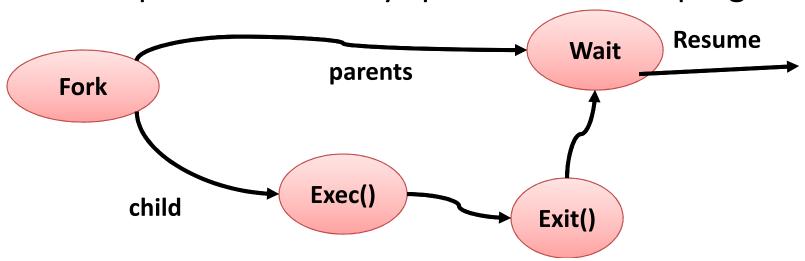
```
[asahu@asahu ~]$ pstree
systemd——NetworkManager——{NetworkManager}
          -VBoxSVC---VirtualBox----18*[{Virtual
                   └-11* [ {VBoxSVC} ]
          -VBoxXPCOMIPCD
          -abrt-dump-oops
          -abrtd
          -accounts-daemon----{accounts-daemo}
          -acpid
          -at-spi-bus-laun---2*[{at-spi-bus-la
          -atd
          -auditd---audispd---sedispatch
                             └-{audispd}
                   -{auditd}
          -avahi-daemon---avahi-daemon
          -colord----{colord}
          -console-kit-dae---63*[{console-kit-
```

#### **Process Creation**

- Resource sharing options
  - Parent and children share all resources
  - Children share subset of parent's resources
  - Parent and child share no resources
- Execution options
  - Parent and children execute concurrently
  - Parent waits until children terminate

# **Process Creation (Cont.)**

- Address space
  - Child duplicate of parent
  - Child has a program loaded into it
- UNIX examples
  - fork() system call creates new process
  - exec() system call used after a fork() to replace the process' memory space with a new program



### **C Program Forking Separate Process**

```
int main (){ //code uploaded to course website
       pid_t pid;
      pid=fork();
      if(pid<0){
              printf("fork failed");
             return 1;
      else if (pid==0){ execlp("/bin/ls","ls",NULL);}
       else {
             wait(NULL);
              printf("Child complete\n\n");
       return 0;
```

#### How to terminate a Process

- 🙂 😊 😊
  - -Click the Cross symbol located on right side of the application window
- In linux command mode
  - -Send termination signal to process

## **Process Termination**

- Process executes last statement and then asks the OS to delete it using the exit() system call.
  - -Returns status data from child to parent (via wait())
  - Process' resources are deallocated by operating system

# **Process Termination**

- Parent may terminate the execution of children processes using the abort () system call. Some reasons for doing so:
  - Child has exceeded allocated resources
  - Task assigned to child is no longer required
  - The parent is exiting and the operating systems does not allow a child to continue if its parent terminates

# **Process Scheduling**

# **Scheduling Evaluation Metrics**

- CPU utilization
  - -Percentage of time the CPU is not idle
- Throughput
  - Completed processes per time unit
- Turnaround time
  - Submission to completion

## **Scheduling Evaluation Metrics**

- Waiting time
  - -time spent on the ready queue
- Response time
  - response latency
  - "response time" most important for interactive jobs (I/O bound)
- Predictability
  - variance in any of these measures

# The right evaluation metric depends on the context

# Scheduling Algorithm Optimization Criteria

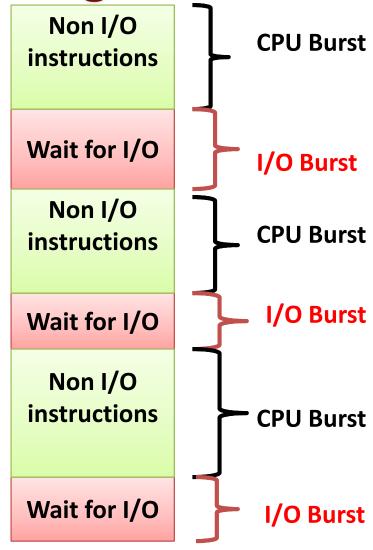
- Maximize
  - —CPU utilization
  - —Throughput
- Minimize
  - -Turnaround time
  - -Waiting time
  - –Response time

# "The perfect CPU scheduler"

- Minimize latency
  - Response or job completion time
- Maximize throughput
  - Maximize jobs / time.
- Maximize utilization: keep I/O devices busy.
  - Recurring theme with OS scheduling
- Fairness: everyone makes progress, no one starves

Max CPU Util. obtained with Multiprogramming

- CPU-I/O Burst Cycle Process execution consists of a cycle of CPU execution and I/O wait
- CPU burst followed by I/O burst

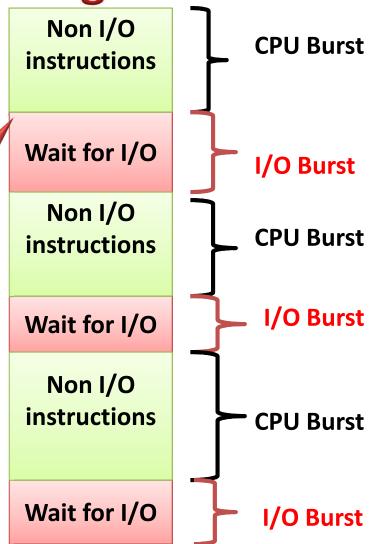


Max CPU Util. obtained with Multiprogramming

 CPU-I/O Burst Cycle – Process execution consists of a cycle of CPU execution and I/O wait

CPU burst followed by I/O burst

To Maximize CPU Utilization
When process request form I/O
CPU switches to other process



# Assumption

- Task/Process/Job used interchangbly
- Let all tasks in memory
- Let all tasks don't do any IPC
- All task are independent
- All tasks don't require any other resource other then CPU

# Five Popular Scheduling

- 1. First Come First Serve
- 2. Shortest Job First
  - -Shortest Remaining Time First
  - -SJF-I
- 3. Round-Robin Scheduler
- 4. Priority Scheduler
  - —Priority-I
- 5. Multi-Level Priority Queue
  - -Feed Back Priority Queue

#### Flow Time of a Job: Turn Around Time

- $F_i = C_i A_i$ 
  - C<sub>i</sub>= completion time, A<sub>i</sub>=Arrival time
  - Flow time depended on both C<sub>i</sub> and A<sub>i</sub>, If A<sub>i</sub>=0, depends on C<sub>i</sub>
  - In uniprocessor: F<sub>i</sub>=W<sub>i</sub> the waiting time + CC<sub>i</sub> Compute time

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- Average Flow time =  $F_i' = \sum F_i$ 
  - In uniprocessor : Minimize Agv Flow Time = Mimimize
     Avg Waiting time
  - $Min(F_i') = Min(\sum W_i)$  as CPU is completely busy for all the time
- Minimize Weighted Flow time: Priority Version

# FCFS Scheduling

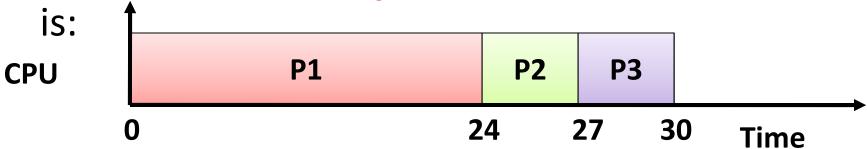
Process	Burst Time/ Execution Time	
P1	24	
P2	3	
Р3	3	

• Assume: processes arrive in the order:  $P_1$ ,  $P_2$ ,  $P_3$ 

# FCFS Scheduling

Process	Burst Time/ Execution Time	
P1	24	
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• Assume: processes arrive in the order:  $P_1$ ,  $P_2$ ,  $P_3$ The **Gantt's Chart/Gantt Chart** for schedule



- Waiting time for  $P_1 = 0$ ;  $P_2 = 24$ ;  $P_3 = 27$
- Average waiting time: (0 + 24 + 27)/3 = 17

### **FCFS**

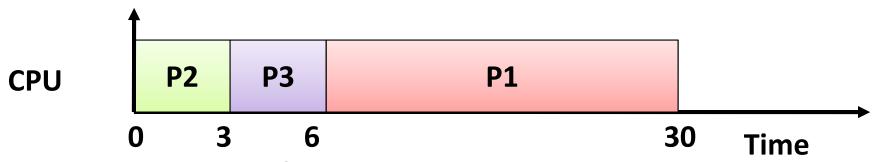
#### Convoy effect

short process behind long process

# FCFS Scheduling

Process	Burst Time/Execution Time	
P1	24	
P2	3	
Р3	3	

• Assume: processes arrive in the order:  $P_2$ ,  $P_3$ ,  $P_1$ The Gantt Chart for the schedule is:



- Waiting time for  $P_1 = 6$ ;  $P_2 = 0$ ;  $P_3 = 3$
- Average waiting time: (6 + 0 + 3)/3 = 3
- AWT much better than previous case: 3 < 17

#### **Problem: Cook of Restaurant**

- You work as a short-order cook
  - Customers come in and specify which dish they want
  - Each dish takes a different amount of time to prepare
- Your goal:
  - Minimize average time the customers wait for their food
- What strategy would you use ?
  - Note: most restaurants use FCFS.

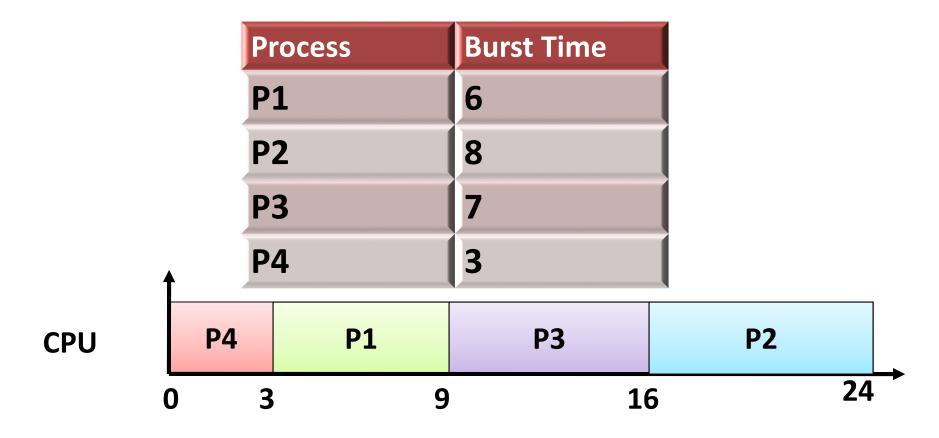
# **Shortest-Job-First (SJF) Scheduling**

- Associate with each process the length of its next CPU burst
  - Use these lengths to schedule the process with the shortest time

# **Shortest-Job-First (SJF) Scheduling**

- Associate with each process the length of its next CPU burst
  - Use these lengths to schedule the process with the shortest time
- SJF is optimal gives minimum average waiting time for a given set of processes
  - The difficulty is knowing the length of the next CPU request
  - Could ask the user

# **Example of SJF**



• Average waiting time = (3 + 16 + 9 + 0) / 4 = 7

# SJF-Preemptive: Shortestremaining-time-first

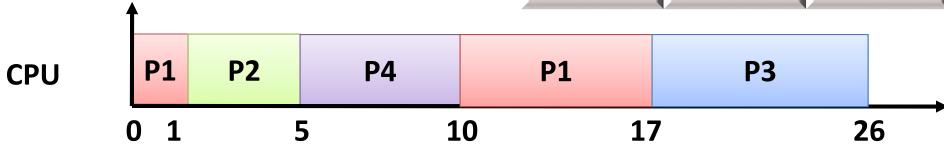
- Now we add the concepts of varying arrival times and preemption to the analysis
- Dynamic Decision @Runtime

Process	Arrival Time	Burst Time
P1	0	8
P2	1	4
P3	2	9
P4	3	5

# SJF-Preemptive: Shortestremaining-time-first

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Average waiting time = [(10-1)+(1-1)+(17-2)+5-3)]/4 = 26/4
 = 6.5 msec

# Thanks