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## Assignment 02

Q1. Illustrate the use of backtracking technique in solving knapsack problems.

Ans. When  $n$  elements are given which have  $w_i$  as weights associated with them &  $p_i$  as profits associated with them.

It is required to fill the knapsack of capacity ' $m$ ' such that:-

$$\sum_{1 \leq i \leq n} w_i x_i \leq m$$

$$\text{and } \sum_{1 \leq i \leq n} p_i x_i \text{ is maximized}$$

&  $x_i$  is either 0 or 1.

The backtracking technique to solve this problem involves a recursive function:-

void BKnap (int k, float cp, float cw).

Recursive Backtracking Algorithm for knapsack problem,

cp  $\rightarrow$  current profit total

cw  $\rightarrow$  current weight total

invoked as:-

BKnap (1, 0, 0)

void BKnap (int k, float cp, float cw)

{



```

// Generate left child
if (cw + w[k] <= m) // if feasible?
{
    y[k] = 1; // include element
    if (k < n)
    {
        BKnap(k+1, cp + p[k], cw + w[k]);
    }
}
if ((cp + p[k] > fp) && (k == n))
{
    fp = cp + p[k];
    fw = cw + w[k];
    for (int j = 1; j <= k; j++)
    {
        x[j] = y[j];
    }
}
}

```

```

// Generate right child
if (Bound(cp, cw, k) >= fp)
{
    // if not feasible
    // compute upper bound using greedy method
    y[k] = 0 // do not include element
    if (k < n)
    {
        BKnap(k+1, cp, cw);
    }
}
if ((cp > fp) && (k == n))
{
    fp = cp;
    fw = cw;
}

```



```

for (int j=1; j<=k; j++) {
    x[j] = y[j]
}
}
}

```

# Steps to solve Knapsack problem using backtracking:-

- Arrange items in decreasing order of  $P_i/w_i$   
 i.e.  $\left( \frac{P_i}{w_i} \geq \frac{P_{i+1}}{w_{i+1}} \right)$

- Find Upper Bound Value:-

The UB value is a value which can be generated by applying greedy algorithm & relaxing the requirement as  $0 \leq x_i \leq 1$ .  
 i.e. allowing fractions.

- Generate state space tree.

- Follow Depth-first-Generation while expanding state space tree.

Example of a 0/1 Knapsack problem being solved by using backtracking algorithm design technique:-

Item	$x_1$	$x_2$	$x_3$	$x_4$
P	45	30	45	10
w	3	5	9	5
P/w	15	6	5	2



Capacity of Knapsack -  $m = 16$

Step 1.  $P_1/w_1 \geq P_2/w_2 \geq P_3/w_3 \geq P_4/w_4$

for all items:  $x_1, x_2, x_3, x_4$

Step 2. Upper Bound at root node:-

UB	$x_1$	$x_2$	$x_3$
P	45	30	$45 \times 8/9 = 40$
W	3	5	8 out of 9 i.e. $8/9$
		wt =	$3+5+\frac{8}{9} \times 9 = 16 = m$

$$UB = 45 + 30 + 40 = 115$$

$CP = 0, CW = 0$   
 $UB = 115$

1 ROOT NODE

$x_1 = 1$

2  $CP = 45, CW = 3$   
 $UB = 115$

115 779

$x_1 = 0$

3  $CP = 0, CW = 0$   
 $UB = 79$

$x_2 = 0$

$x_2 = 1$

4  $CP = 75, CW = 8$   
 $UB = 115$

115 798

5  $CP = 45, CW = 3$   
 $UB = 98$

98 785 779

$x_3 = 0$

$x_3 = 1$

6  $CP = 120, CW = 17$   
 $UB = 115$

7  $CP = 75, CW = 8$   
 $UB = 85$

$x_3 = 1$

8  $CP = 90, CW = 12$   
 $UB = 98$

9  $CP = 45, CW = 3$   
 $UB = 55$

So kill the node

$x_4 = 1$

10  $CP = 100, CW = 17$   
~~UB~~

$CW = 17 > m$   
 $17 > 16$

So, kill the node

$x_4 = 0$

11  $CP = 90, CW = 12$   
 $UB = 90$

90 785 779 755

Answer Tuple is  $(1, 0, 1, 0)$

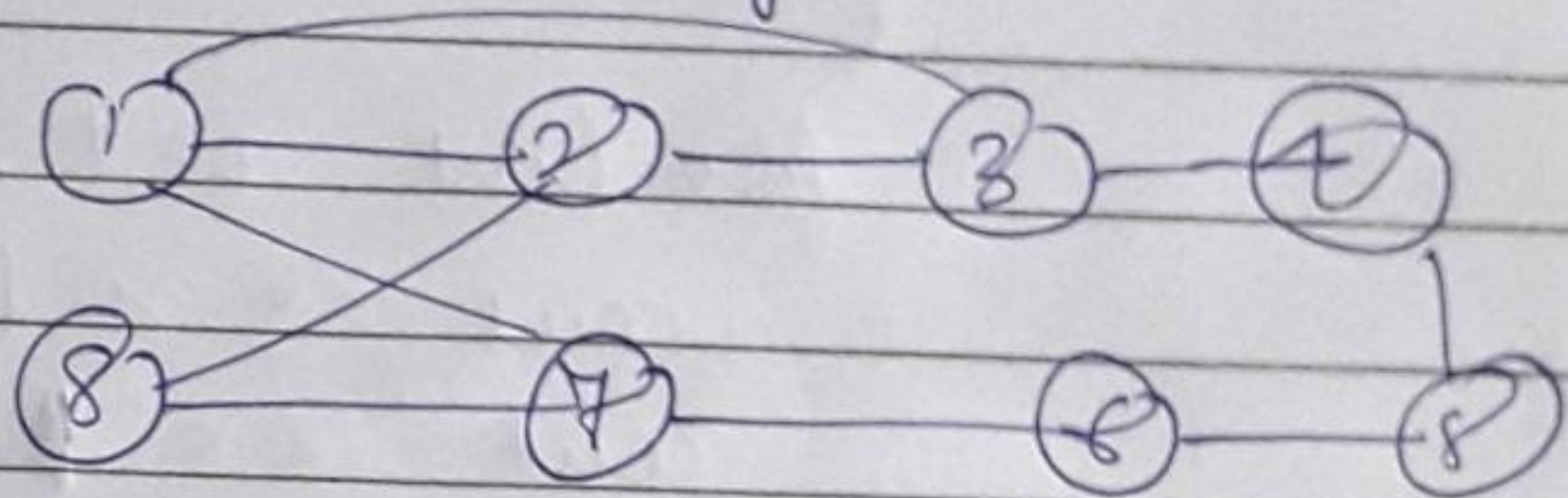


Ques 2: Define a Hamiltonian cycle. Explain how backtracking can be used to find the Hamiltonian cycles in a graph.

Ans 2: Let  $G = (V, E)$  be a connected graph with  $n$  vertices. A Hamiltonian cycle is a closed-loop path along  $n$  edges of  $G$  that visits every vertex once & returns to its starting position.

# For Example:-

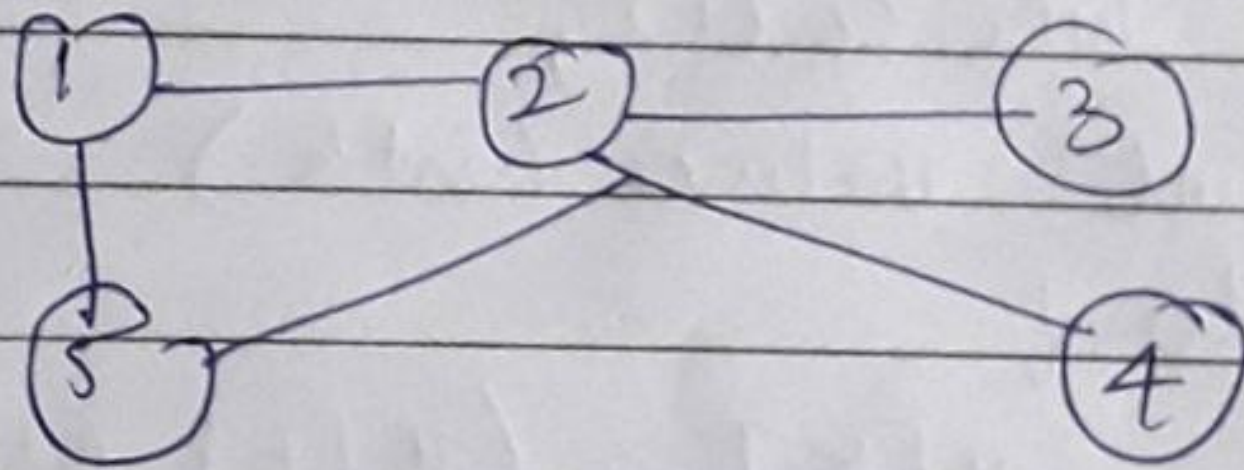
Graph G1:-



This graph contains following Hamiltonian cycle:-

1, 2, 3, 4, 5, 6, 7, 8, 1

Graph G2:-



The above graph contains no Hamiltonian cycle.

# The backtracking algorithm finds all the Hamiltonian cycles in a graph. The graph may be directed or undirected. Only distinct cycles are output.

# The worst case time complexity of the backtracking algorithm to find all Hamiltonian cycles in a graph is  $O(n!)$ .



# Backtracking Algorithm to find all Hamiltonian cycles in a graph:-

void hamiltonian (int k)

{

do {

Next Value (k);

if (!x[k]) return;

if (k == n)

{

for (int i = 1; i <= n; i++)

cout << x[i] << " ";

cout << "\n";

}

else hamiltonian (k+1);

}

while (1);

> void Next value (int k)

do {

x[k] = (x[k] + 1) % (n+1);

if (!x[k]) return;

if (G[x[k-1]][x[k]])

{

for (int j = 1; j <= k-1; j++)

if (x[j] == x[k]) break;

if (j == k)

{

if ((k < n) || ((k == n) & G[x[n]][x[1]]))

return;

}

} while (1);

}