## Foraging in Replenishing Patches



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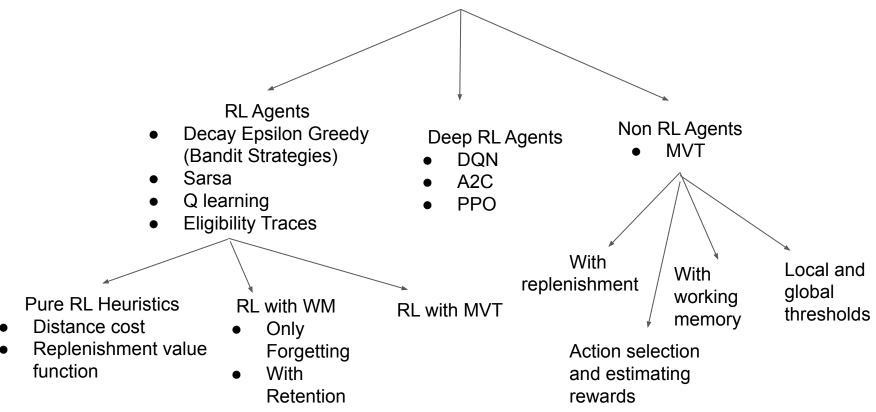
#### **Problem Statement**

Understanding human foraging behaviour in a replenishing patches environment.

#### **Importance**

- Learn about sequential decision making processes in the face of uncertainty.
- Understand the role of working memory in sequential decision making.
- Possibly improve existing reinforcement learning algorithms.
- Different from conventional foraging tasks as patches can be revisited.





## Marginal Value Theorem

$$P(exploit)_t = \frac{1}{1 + exp(-[c + \beta(r_{t-1} - T_t)])}$$

The marginal value theorem (MVT) is used to describe the behavior of an optimally foraging individual.

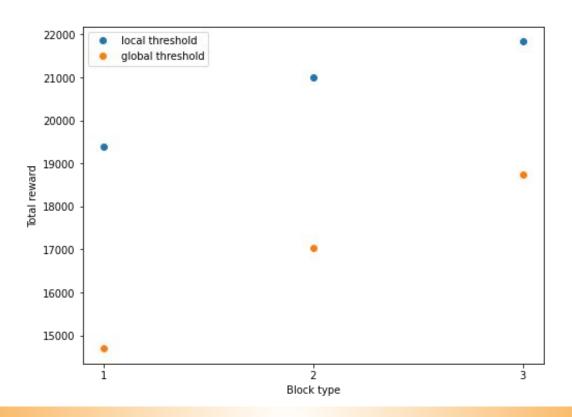
Problems with MVT in the current context:

- Only tells the agent (probabilistically) when and not where to leave.
- Assumes no revisiting, no point of storing previous patches.
- Works for decaying rewards but assumes no replenishment, as patches cannot be revisited.

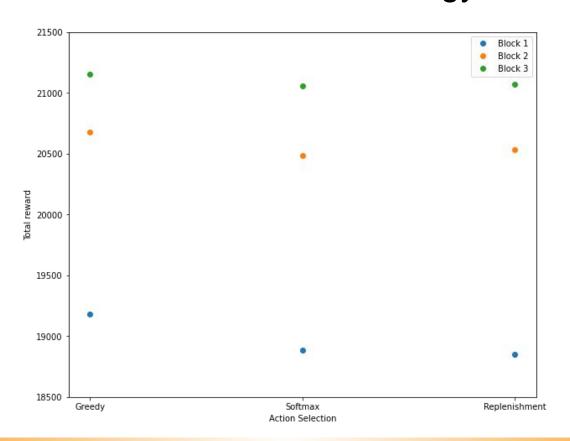
#### Modified MVT used in the study.

- With local rewards for each patch.
- Replenishment rate.
- Working memory.
- Estimated rewards.
- Action selection strategies.

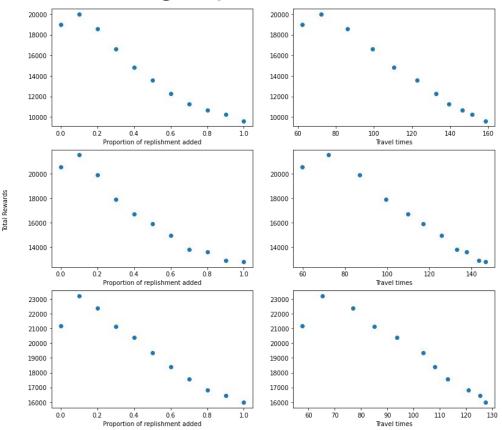
## Local vs Global thresholds



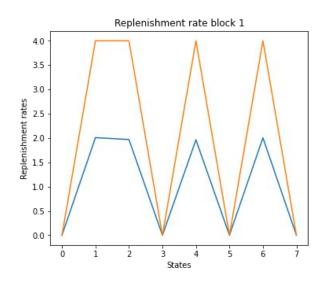
## Action selection strategy

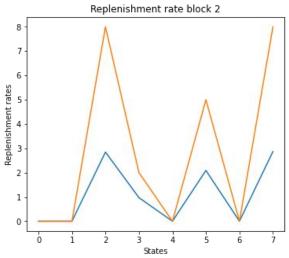


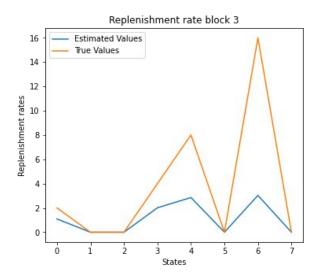
## Adding replenishment rate



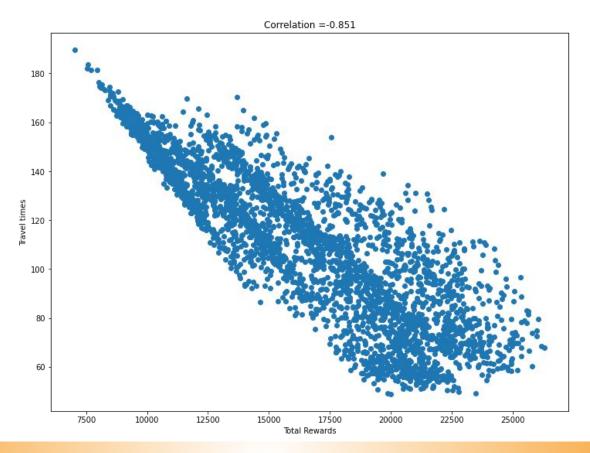
## Replenishment rates



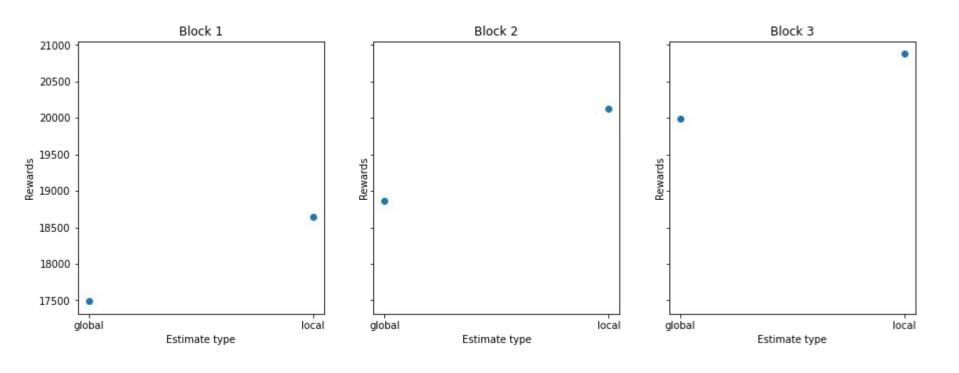




### Travel time and total reward received

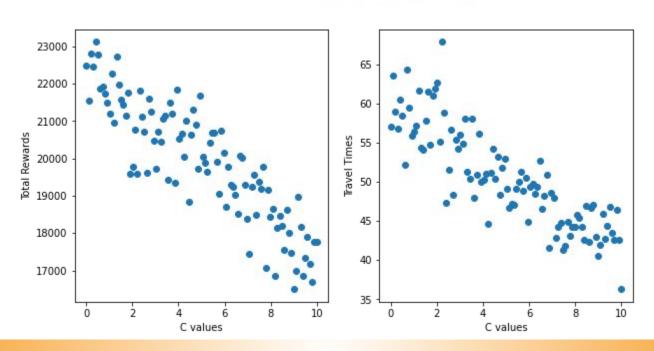


## Learning estimated rewards from the environment

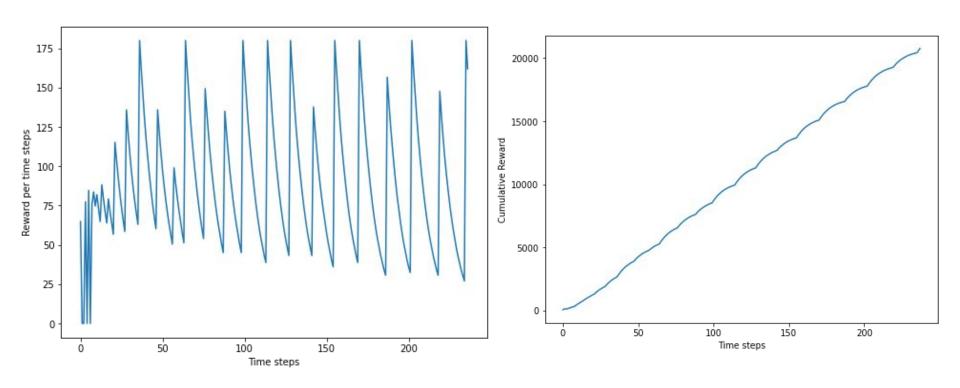


## C-values

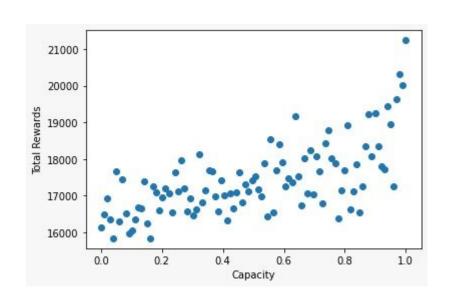
$$P(exploit)_t = \frac{1}{1 + exp(-[c + \beta(r_{t-1} - T_t)])}$$

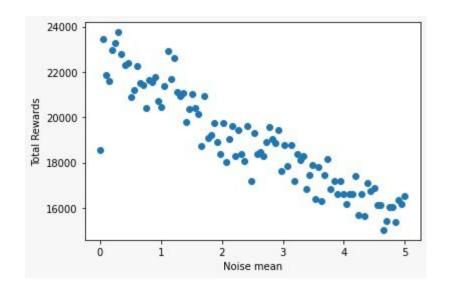


## Reward across time

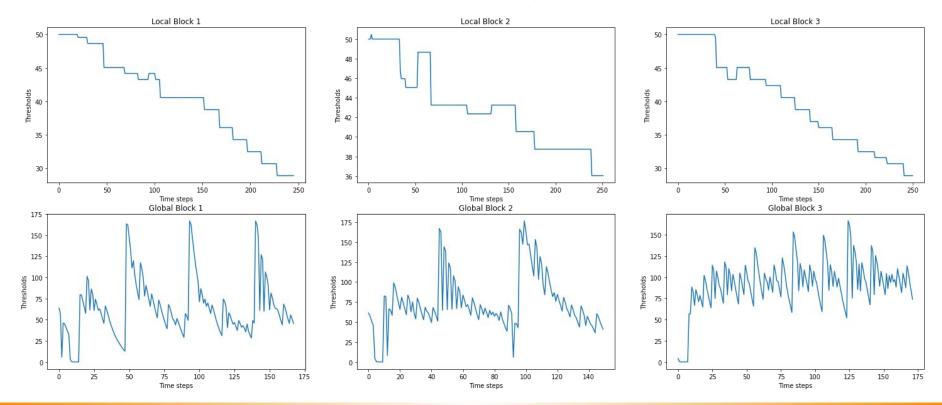


## Working memory parameters

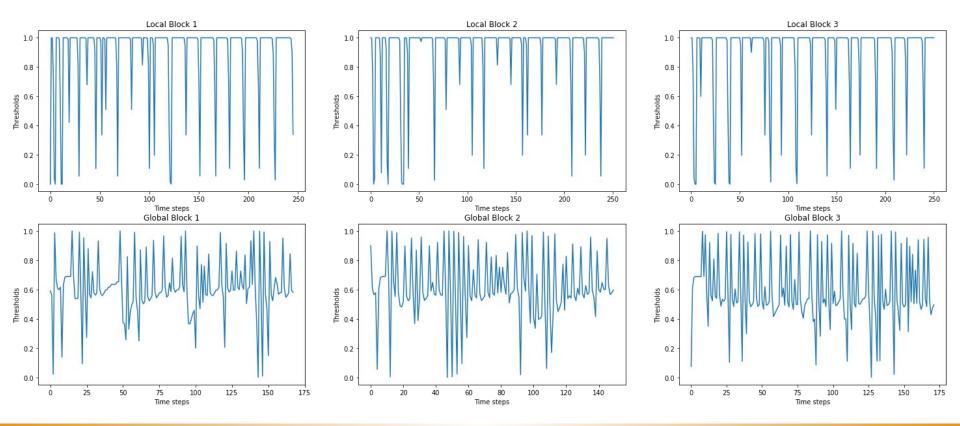


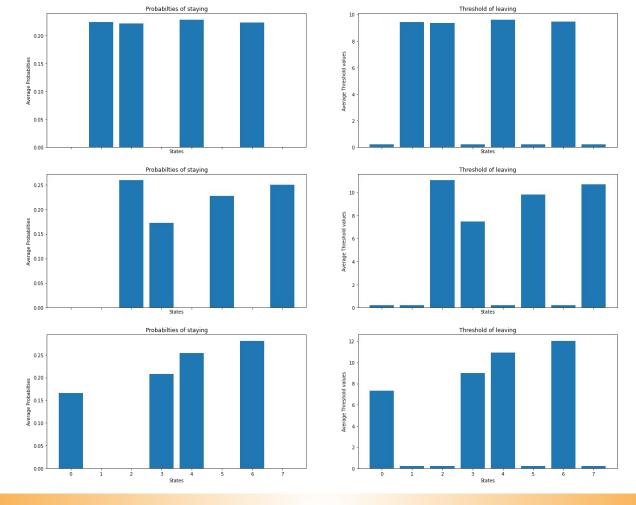


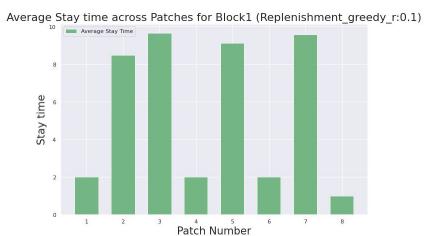
## Thresholds of staying over time

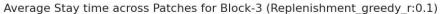


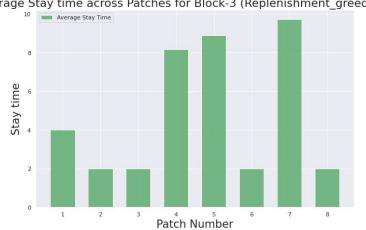
## Probabilities of staying over time











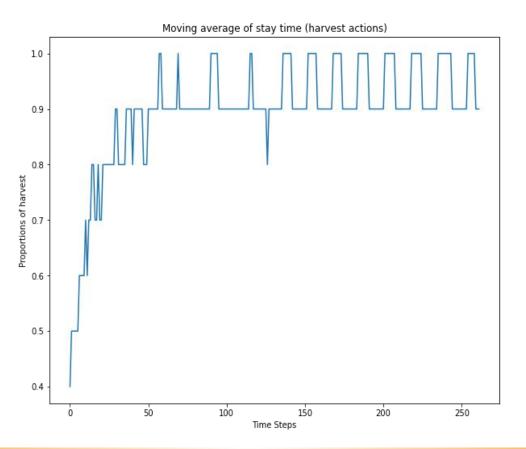
#### Average Stay time across Patches for Block-1 (PureMVT greedy)



Average Stay time across Patches for Block-3, (PureMVT\_greedy)



#### Number of harvest actions over time



## **RL Methods**

#### Multi Armed Bandit

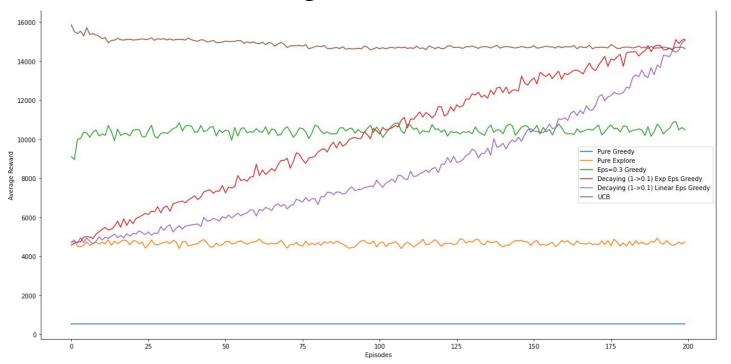
#### Assumptions:

- Problem can be approximated to a multi armed bandits situation
- Choice with agent to pick a patch to go to, and then it commits to harvesting
- Each episode is a sequence of bandit decisions, until time runs out

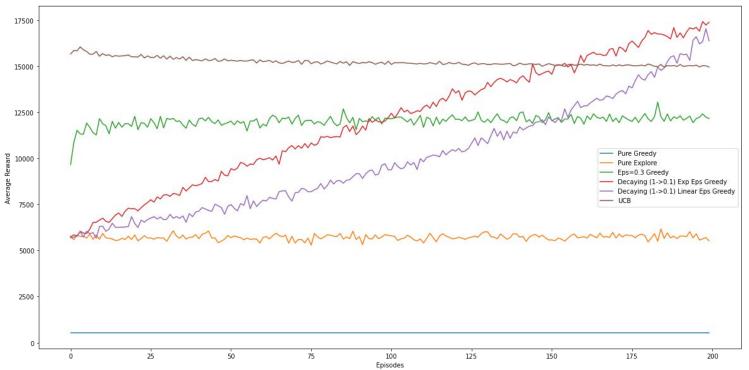
Under these simplifying constraints, following strategies were tested:

- Pure Greedy
- Pure Exploratory
- Fixed Epsilon Greedy
- Decaying Epsilon Greedy
- Uncertainty Confidence Bound (UCB)

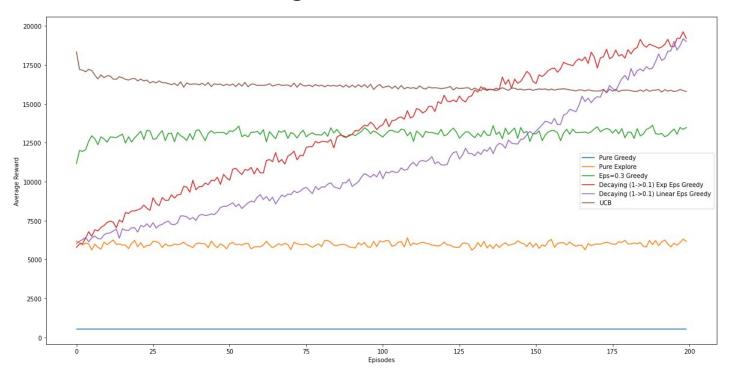
Average Rewards for Agents in MAB across Episodes Block 1 (replenish rate = [0, 4, 4, 0, 4, 0, 4, 0]) avg. over 25 same training runs



# Block 2 (replenish rate = [0, 0, 8, 2, 0, 5, 0, 8]) avg. over 25 same training runs

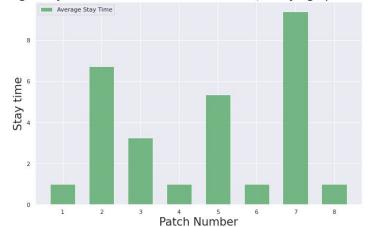


## Block 3 (replenish rate = [2, 0, 0, 4, 8, 0, 16, 0]) avg. over 25 same training runs

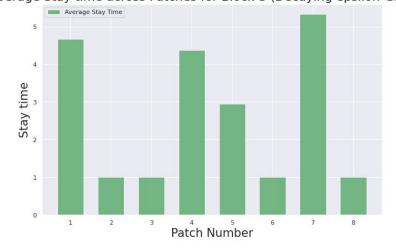


## Average Stay Time for Agent following Decaying Epsilon Greedy Strategy





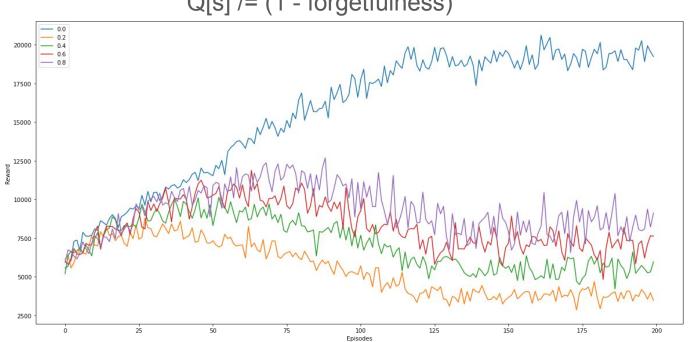
#### Average Stay time across Patches for Block-3 (Decaying epsilon-Greedy)



Forgetfulness Model in Decaying Epsilon Greedy Strategy Block 3

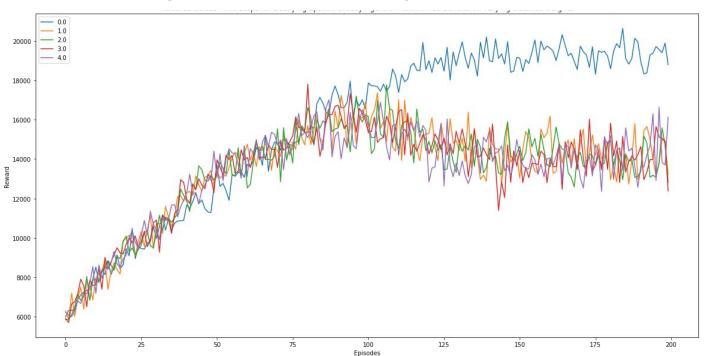
Q \*= (1 - forgetfulness)

Q[s] /= (1 - forgetfulness)



## Distance Cost in Decaying Epsilon Greedy Strategy Block 3

a = np.argmax(Q - distanceWeight \* Distances[s])



#### **MDP Methods**

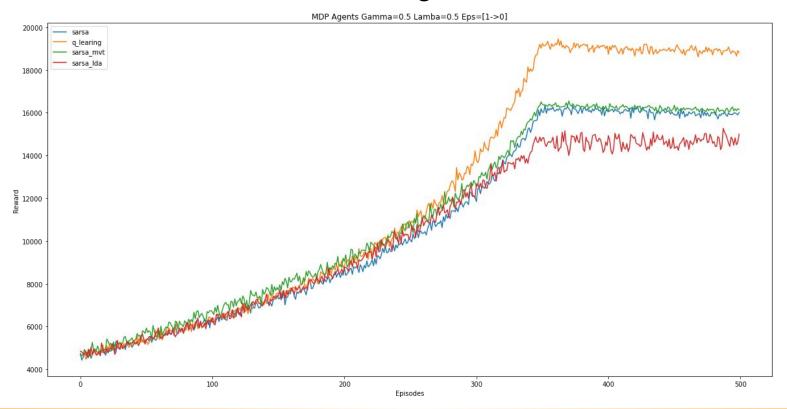
#### Assumptions:

- Problem can be approximated to a markov decision process
- Choice with agent to pick a patch to go to, and then it commits to harvesting

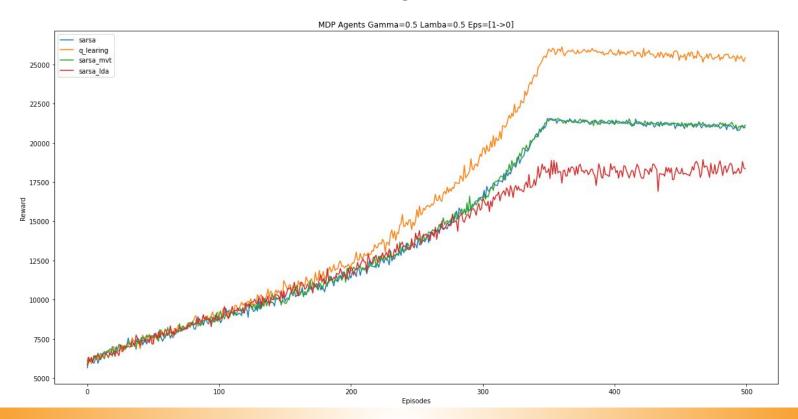
Under these simplifying constraints, following algorithm were tested:

- SARSA
- Q Learning
- SARSA Lambda
- SARSA WM
- SARSA Forgetful

## MDP Methods - Sarsa, Q Learning, Sarsa with MVT Block-1



### MDP Methods - Sarsa, Q Learning, Sarsa with MVT Block-3



## Human Forgetful Behaviour Modelling

**Aim** - Dynamic integration of RL and WM processes observed in human behaviour to capture *behavioural variance* 

**Forgetfulness** - After each value update step, we decay the values towards their initial values

$$Q(s,a) \leftarrow Q(s,a) + \varepsilon x (Q_0 - Q(s,a))$$

**Forget Decay** - As we play repeatedly, we become better and gradually we forget less

## Human Forgetful Behaviour Modelling

**Working Memory Model** - Using two value functions  $Q_{RL}$  (pure RL) and  $Q_{WM}$  (with forgetting ) and assigning a weighted probability for action selection.

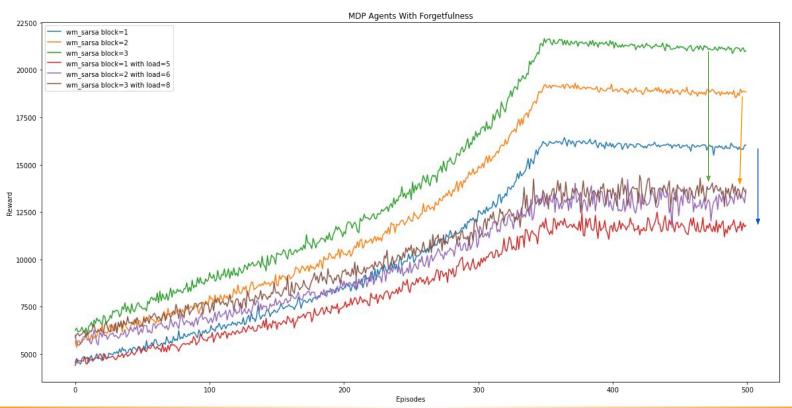
**Memory Capacity** c - Determines the probability that action selection is governed by the RL or WM component (generally an int 7 +- 2)

$$Q_{RL}$$
 if  $\frac{c}{n_s} > 0.5$ 

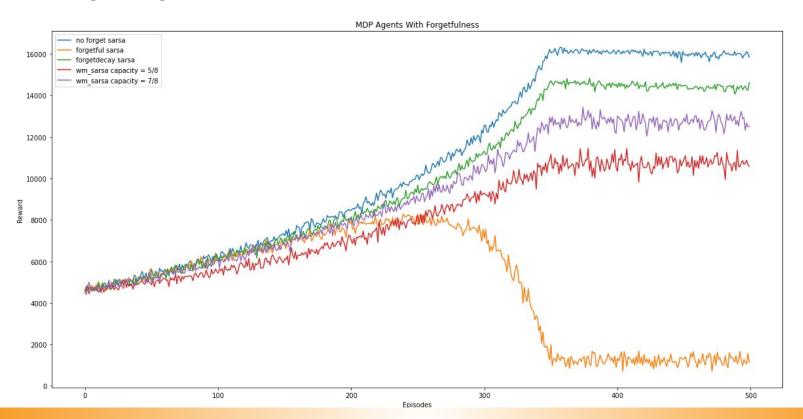
 $Q_{WM}$  otherwise

 $n_s$  = Task specific memory load

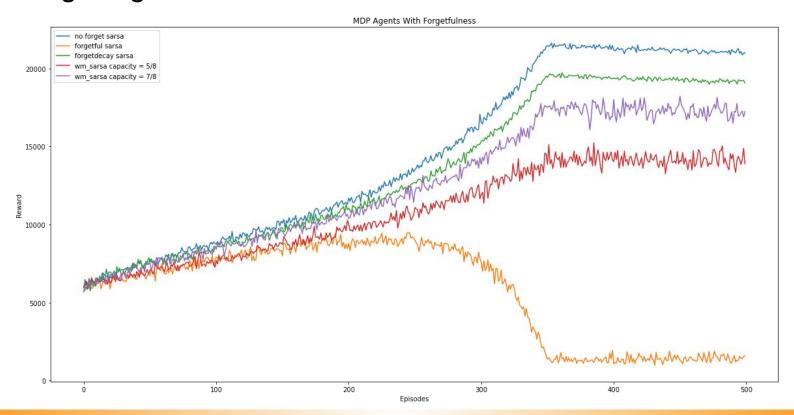
## Working Memory Model (capacity = 4) for all blocks

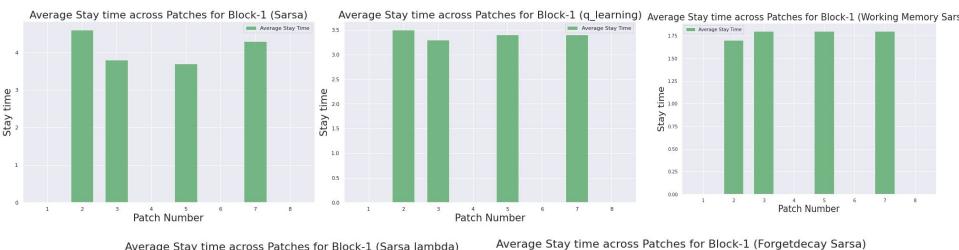


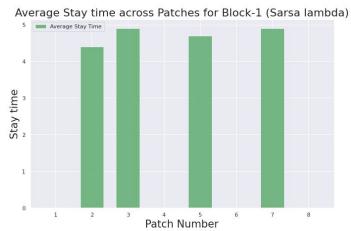
## Including Forgetful Behaviour Block-1

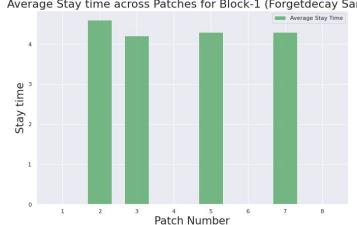


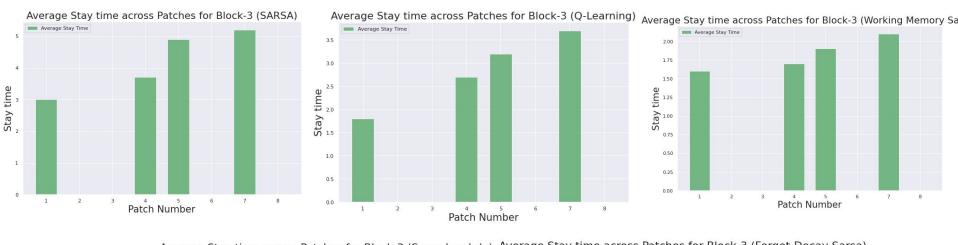
## Including Forgetful Behaviour Block-3













#### Deep RL Methods

#### Assumptions:

- Problem is treated as a Markov Decision Process across individual blocks
- Choice with agent to pick a patch to go to, and then it commits to harvesting
- Multi Layer Perceptrons are used as function approximators for policy, state values (as per the algorithm)

Under these simplifying constraints, following algorithm were tested:

- DQN
- A2C
- PPO

## DQN (Deep Q Network)

- 1. Multi Layer Perceptron with one hidden layer (64 neurons) used for learning state values
- 2. In value-based model-free reinforcement learning methods, the action value function is represented using a function approximator, such as a neural network.
- 3. Let  $Q(s, a; \theta)$  be an approximate action-value function with parameters  $\theta$ .
- 4. Q-learning, aims to directly approximate the optimal action value function: Q\*(s, a) ≈ Q(s, a; θ)

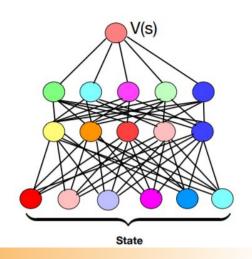
## A2C (Advantage Actor Critic)

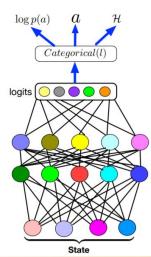
- 1. Multi Layer Perceptron with one hidden layer (64 neurons) used for learning state values and computing actions
- 2. Weight sharing between Policy and Value Networks
- 3. The actor critic algorithm consists of two networks (the actor and the critic)
- 4. Advantage Function calculates the agent's TD Error or Prediction Error.
- 5. The actor network chooses an action at each time step and the critic network evaluates the Q-value of a given input state.
- 6. As the critic network learns which states are better or worse, the actor uses this information to teach the agent to seek out good states and avoid bad states.

## PPO (Proximal Policy Optimization)

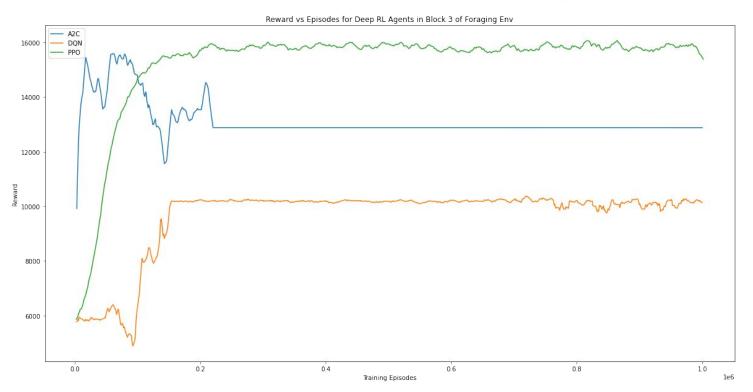
1. Introduces clipped surrogate objective over previous Actor Critic Methods

$$L^{CLIP}(\theta) = \hat{\mathbb{E}}_t \left[ \min(r_t(\theta) \hat{A}_t, \operatorname{clip}(r_t(\theta), 1 - \epsilon, 1 + \epsilon) \hat{A}_t) \right]$$

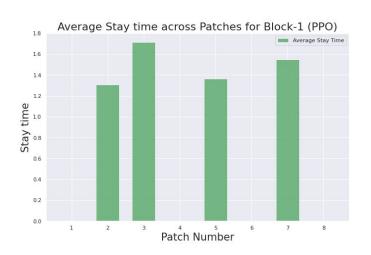


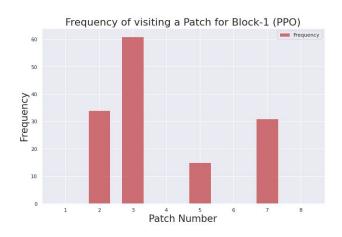


## Rewards across Episodes for Deep RL Agents

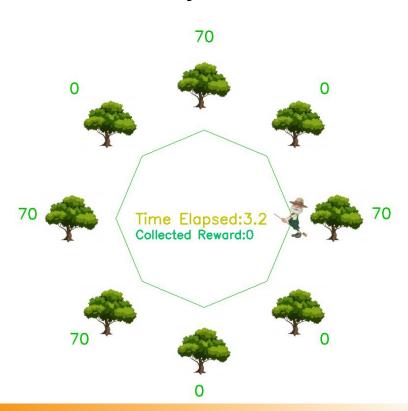


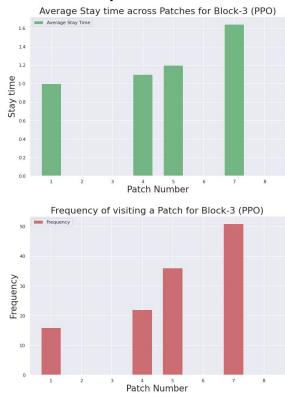
## Learnt Policy Simulation for PPO (Block-1)





### Learnt Policy Simulation for PPO (Block-3)





**Discussion and Conclusions** 

# Rewards Obtained by Trained Agents

Method	Reward in Block 1	Reward in Block 3
MVT (with replenishment)	19983.5	23215.8
Multi Armed Bandit (Decaying Epsilon Greedy)	17199	22102.2
SARSA	15842	20999
Q Learning	18814.7	25732
Deep RL (PPO)	11610.9	16104

#### **Quantitative Evaluation Block-1**

Agent	Convergence reward	Avg Harvest Time	Avg Travel Time	
sarsa	15842	196.35	103.35	
q_learning	18814	183	117	
Sarsa lambda	14997	202	98.	
Forgetful sarsa	1143	270.8	29.2	
forgetdecay sarsa	14624	200.45	99.5	
WM_sarsa	10594	140.765	159.235	
MVT	19983.5	227.81	72.19	

#### **Quantitative Evaluation Block-3**

Agent	Convergence reward	Avg Harvest Time	Avg Travel Time	
sarsa	20999	205.9	94.1	
q_learning	25732	176.35	123.65	
Sarsa lambda	18339	218.3	81.69	
Forgetful sarsa	1591	282.9	15.05	
forgetdecay sarsa	19071	207.55	92.45	
WM_sarsa (⁵⁄₃)	13892.355	146.06	153.94	
MVT	23215.8	234.83	65.16	

## Average Staying time Block-1

Agent	0	1 [r=4]	2 [r=4]	3	4 [r=4]	5	6 [r=4]	7
sarsa	0	4.6	3.8	0	3.7	0	4.3	0
q_learning	0	3.5	3.3	0	3.4	0	3.4	0
Sarsa lambda	0	4.4	4.9	0	4.7	0	4.9	0
Forgetful sarsa	0	inf	0	0	0	0	0	0
forgetdecay sarsa	0	4.6	4.2	0	4.3	0	4.3	0
WM_sarsa	0	1.7	1.8	0	1.8	0	1.8	0

# Average Staying time Block-3

Agent	0 [r=2]	1	2	3 [r=4]	4 [r=8]	5	6 [r=16]	7
sarsa	3.0	0	0	3.7	4.9	0	5.2	0
q_learning	1.8	0	0	2.7	3.2	0	3.7	0
Sarsa lambda	3.9	0	0	5.3	6.5	0	6.7	0
Forgetful sarsa	inf	0	0	0	0	0	0	0
forgetdecay sarsa	2.7	0	0	3.9	5.3	0	5.7	0
WM_sarsa (%)	1.6	0	0	1.7	1.9	0	2.1	0

## Human Behaviour Modelling and Explanation

Assumption: The mind & brain are information processing systems.

Memory: Impact of working memory capacity and forgetting on foraging.

Action selection strategy: How actions are selected by human subjects.

Global vs local rewards: Threshold for leaving is based on global or local value.

Reward sensitivity: How sensitive human decisions are to changes in reward.

Initial reward estimation strategy: How initial rewards are estimated.

Short term memory: Eligibility trace in Sarsa lambda.

**Learning rate:** How fast humans learn from the environment.

**Temporal Discounting:** How far into the future human bases their decisions on.

## Challenges and Limitations

- Identifying which specific events are stored in memory and which are not.
- Adding noise temporally can bias the estimated values.
- Multi Armed Bandits: environment is not strictly a bandit problem as past actions influence decision making.
- Deep RL: black box policy and value networks provide little explainability.

#### **Individual Contributions**

Member	Contributions
Abhinav Joshi (20211261)	Gym Environment, Rendering, Literature review, Presentation
Archi Gupta (21111014)	Working Memory, MDP Methods, Literature review, Presentation
Samrudh B Govindaraj (20128409)	Literature Review, MVT and augmentations, Presentation
Shiven Tripathi (190816)	Environment Testing, MAB Methods, Deep RL Methods, Presentation

#### References

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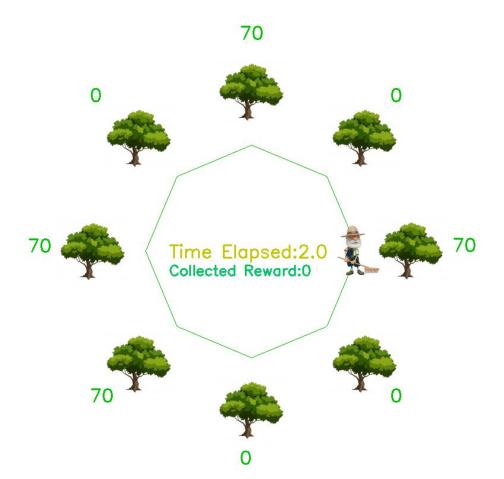
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Hall-McMaster, S., Dayan, P. & Schuck, N.W., 2021. Control over patch encounters changes foraging behaviour.

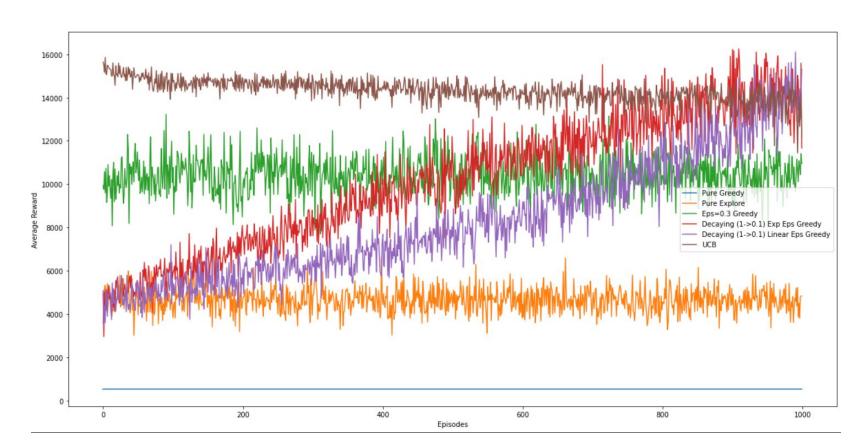
John Schulman and Filip Wolski and Prafulla Dhariwal and Alec Radford and Oleg Klimov, Proximal Policy Optimization Algorithms, 2017, arxiv

## Questions

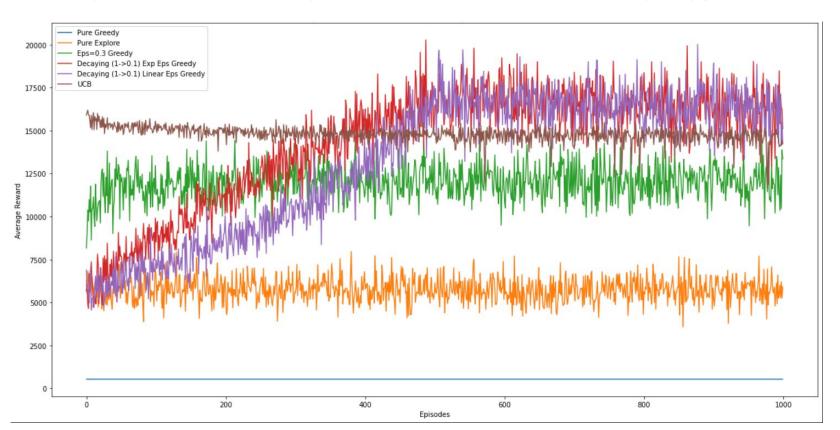


### Extra Plots

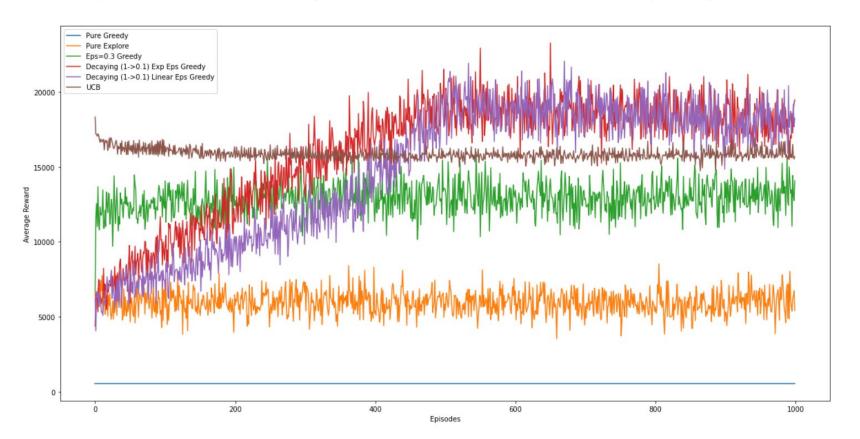
## MAB Agent Rewards (block 1, without averaging)



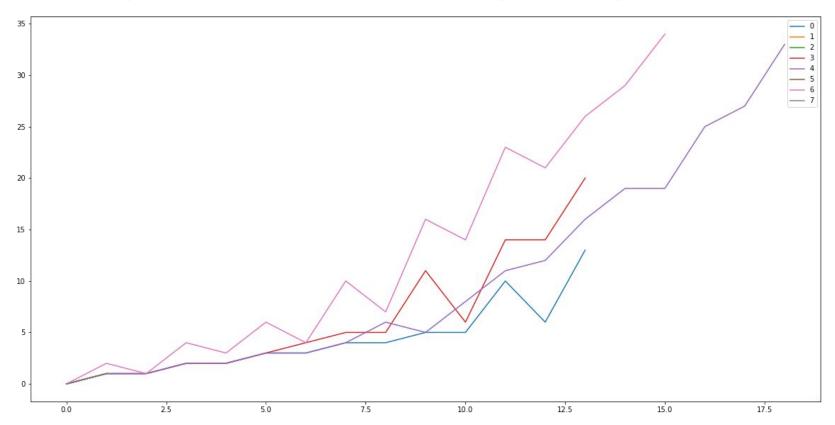
# MAB Agent Rewards (block 2, without averaging)



# MAB Agent Rewards (block 3, without averaging)



## Q-Learning patch stay across time (Block-3)



# WM patch stay across time (Block-3)

