# CSC110 Lecture 22: Properties of Asymptotic Notation and Basic Running-Time Analysis

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# Announcements and Today's Plan

#### Announcements

- Assignment 4 has been posted
  - But please take some time off
- Next week is reading week!
  - No lecture, tutorial, or office hours
- Note: there is a tutorial tomorrow
- The Final Exam schedule has been posted! Report any Exam Conflicts to your College ASAP!

#### Definitions from last class

Let  $f,g:\mathbb{N} o\mathbb{R}^{\geq 0}$ .

```
egin{aligned} g \in \mathcal{O}(f): \exists c, n_0 \in \mathbb{R}^+, \ orall n \in \mathbb{N}, \ n \geq n_0 \Rightarrow g(n) \leq c \cdot f(n) \ g \in \Omega(f): \exists c, n_0 \in \mathbb{R}^+, \ orall n \in \mathbb{N}, \ n \geq n_0 \Rightarrow g(n) \geq c \cdot f(n) \ g \in \Theta(f): \exists c_1, c_2, n_0 \in \mathbb{R}^+, \ orall n \in \mathbb{N}, \ n \geq n_0 \Rightarrow c_1 \cdot f(n) \leq g(n) \leq c_2 \cdot f(n) \end{aligned}
```

#### Foreshadow:

- will discuss functions f, g that describe program runtimes ( $\geq 0$ )
- domain will be a measure of the size of the input to our programs ( $\in \mathbb{N}$ )
- most runtimes stay constant or increase as the input size increases
- ullet but for now we will discuss general functions  $f,g:\mathbb{N} o\mathbb{R}^{\geq 0}$

## Today you'll learn to...

- 1. Compare different elementary functions using asymptotic notation.
- 2. State and prove useful properties about Big-O, Omega, and Theta asymptotic notation.
- 3. Perform a running-time analysis on simple functions, including ones containing for loops.

# Comparing Elementary Functions (continued from last class)

## Elementary Function Growth Hierarchy Theorem

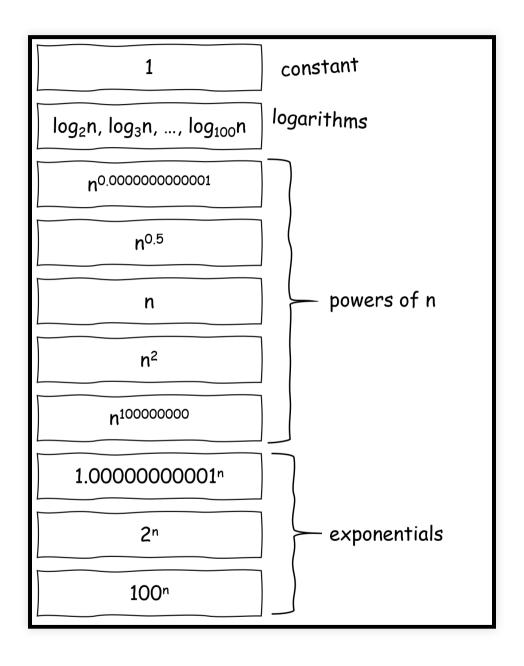
For all  $a, b \in \mathbb{R}^+$ , the following statements are true:

- 1. If a>1 and b>1, then  $\log_a n\in\Theta(\log_b n)$ .
  - E.g.,  $\log_2 n \in \Theta(\log_{100} n)$
- 2. If a < b, then  $n^a \in \mathcal{O}(n^b)$  and  $n^a \notin \Omega(n^b)$ .
  - ullet E.g.,  $n^2 \in \mathcal{O}(n^{100})$  and  $n^2 
    ot \in \Omega(n^{100})$
- 3. If a < b, then  $a^n \in \mathcal{O}(b^n)$  and  $a^n \notin \Omega(b^n)$ .
  - E.g.,  $2^n \in \mathcal{O}(100^n)$  and  $2^n 
    ot \in \Omega(100^n)$

## Theorem (function growth hierarchy)

- 4. If a>1, then  $1\in \mathcal{O}(\log_a n)$  and  $1\notin \Omega(\log_a n)$ .
  - Note: 1 means the constant function g(n)=1 for all  $n\in\mathbb{N}$

- 5. If a>1, then  $\log_a n\in \mathcal{O}(n^b)$  and  $\log_a n 
  ot\in \Omega(n^b)$ .
  - ullet E.g.,  $\log_2 n \in \mathcal{O}(n^{0.0000000001})$  and  $\log_2 n 
    ot \in \Omega(n^{0.0000000001})$
- 6. If b>1, then  $n^a\in\mathcal{O}(b^n)$  and  $n^a\notin\Omega(b^n)$ .
  - ullet E.g.,  $n^{10000}\in\mathcal{O}(1.0000001^n)$  and  $n^{10000}
    ot\in\Omega(1.0000001^n)$



# Properties of Big-O, Omega, and Theta

#### A few useful properties

For all functions  $f,g,h:\mathbb{N} o\mathbb{R}^{\geq 0}$  the following are True:

- 1.  $f \in \Theta(f)$
- $2.\,f\in\mathcal{O}(g)\Leftrightarrow g\in\Omega(f)$
- 3.  $f \in \mathcal{O}(g) \land g \in \mathcal{O}(h) \Rightarrow f \in \mathcal{O}(h)$  (transitivity of Big-O)
- 4.  $f \in \Omega(g) \land g \in \Omega(h) \Rightarrow f \in \Omega(h)$  (transitivity of Omega)
- 5.  $f \in \Theta(g) \land g \in \Theta(h) \Rightarrow f \in \Theta(h)$  (transitivity of Theta)

## Constant scaling

For all  $f: \mathbb{N} o \mathbb{R}^{\geq 0}$  and  $a \in \mathbb{R}^+$ ,  $a \cdot f \in \Theta(f)$ .

#### **Examples:**

- ullet  $100n^2\in\Theta(n^2)$
- $50 \cdot 2^n \in \Theta(2^n)$
- $7 \in \Theta(1)$

#### Sum of Functions

For all  $f,g:\mathbb{N} o\mathbb{R}^{\geq 0}$ , if  $g\in\mathcal{O}(f)$  then  $f+g\in\Theta(f)$ .

For example, since  $n \in \mathcal{O}(n^2)$ , we know  $n^2 + n \in \Theta(n^2)$ .

Note: an expanded version of this theorem is written in Section 9.3.

## Example: applying these properties

Prove that  $100n^2 + 9\log n \in \Theta(n^2)$ .

#### Proof.

We know  $100n^2 \in \Theta(n^2)$ , by the Constant Scaling Theorem.

We know  $9 \log n \in \Theta(\log n)$  (Constant Scaling).

And we know  $\log n \in \mathcal{O}(n^2)$  (Elementary Function Growth Hierarchy).

So  $9\log n \in \mathcal{O}(n^2)$  (transitivity of Big-O).

So then  $100n^2 + 9\log n \in \Theta(n^2)$ , by the Sum of Functions Theorem.

#### Example: proof of a property

Prove that:  $orall f,g:\mathbb{N} o\mathbb{R}^{\geq 0},\ g\in\mathcal{O}(f)\Rightarrow f\in\Omega(g).$ 

$$egin{aligned} orall f,g:\mathbb{N} &
ightarrow \mathbb{R}^{\geq 0},\ ig(\exists c_1,n_1 \in \mathbb{R}^+, \ orall n \in \mathbb{N}, \ n \geq n_1 \Rightarrow g(n) \leq c_1 \cdot f(n)ig) \Rightarrow \ ig(\exists c_2,n_2 \in \mathbb{R}^+, \ orall n \in \mathbb{N}, \ n \geq n_2 \Rightarrow f(n) \geq c_2 \cdot g(n)ig) \end{aligned}$$

Let  $f,g:\mathbb{N} o \mathbb{R}^{\geq 0}$ .

Assume there exist  $c_1, n_1 \in \mathbb{R}^+$  such that

$$orall n \in \mathbb{N}, \ n \geq n_1 \Rightarrow g(n) \leq c_1 \cdot f(n).$$

Let  $c_2=$  \_\_\_\_. Let  $n_2=$  \_\_\_\_. Let  $n\in\mathbb{N}$  and assume  $n\geq n_2$ .

We will prove that  $f(n) \geq c_2 \cdot g(n)$ .

#### Rough work

**Given**:  $g(n) \leq c_1 \cdot f(n)$ , when  $n \geq n_1$ 

Want:  $f(n) \ge \underline{\hspace{1cm}} \cdot g(n)$ , when  $n \ge \underline{\hspace{1cm}}$ 

$$f(n) \geq rac{1}{c_1} \cdot g(n), ext{ when } n \geq n_1$$

Take 
$$c_2=\frac{1}{c_1}$$
, and  $n_2=n_1$ .

Let  $f,g:\mathbb{N} o\mathbb{R}^{\geq 0}$ .

Assume there exist  $c_1, n_1 \in \mathbb{R}^+$  such that

$$orall n \in \mathbb{N}, \ n \geq n_1 \Rightarrow g(n) \leq c_1 \cdot f(n).$$

Let  $c_2=rac{1}{c_1}.$  Let  $n_2=n_1.$  Let  $n\in\mathbb{N}$  and assume  $n\geq n_2.$ 

We will prove that  $f(n) \geq c_2 g(n)$ .

Since  $n \geq n_2 = n_1$ , we know  $g(n) \leq c_1 \cdot f(n)$  (from our Big-O assumption).

Then dividing by  $c_1$ , we have:

$$rac{1}{c_1} \cdot g(n) \leq f(n) \ c_2 \cdot g(n) \leq f(n) \ ext{(since $c_2 = rac{1}{c_1}$)}$$

Exercise 1: Properties of asymptotic growth

# Running-Time Analysis

## How long does a program take to run?

**Problem**: different computers run at different speeds

**Solution**: Count "basic operations" performed (or "steps" taken), not milliseconds or other units of time

**Problem**: if a program operates on more data, it naturally takes longer

Solution: Measure running time as a function of input data size

**Problem**: Small differences in lines of code may impact running time measurement

Solution Allow for "approximate" counting

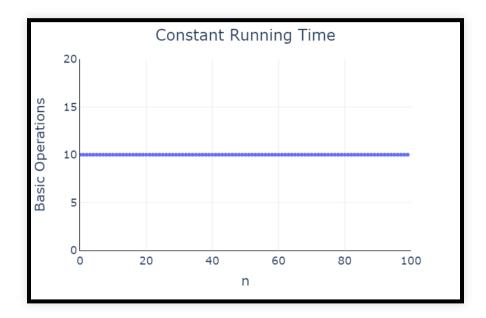
## Definition: The running-time function

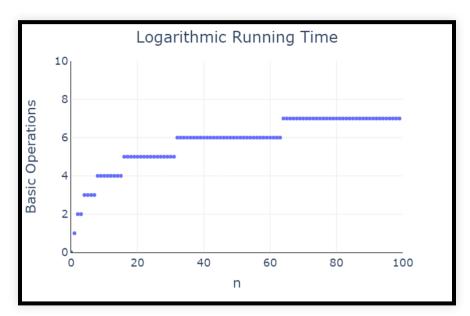
Let func be an algorithm (e.g., Python function). We define the running-time function of func as  $RT_{func}: \mathbb{N} \to \mathbb{R}^{\geq 0}$ , where

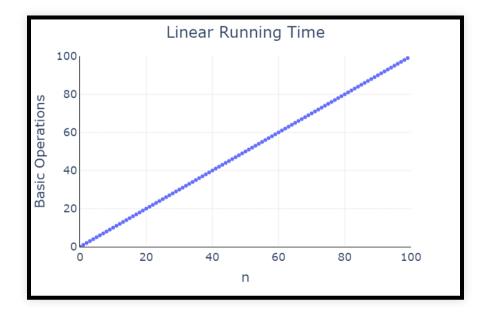
 $RT_{func}(n)$  = number of steps func takes on an input of size n

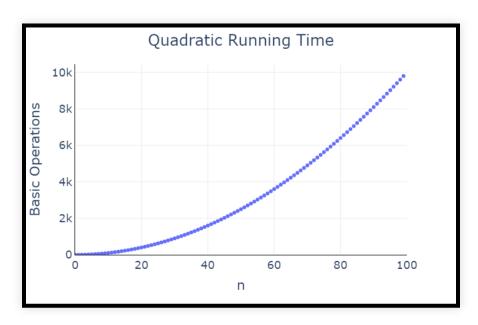
#### Goal of a running-time analysis of func:

- 1. First, find a formula for  $RT_{func}$  (in terms of n) by analysing the code.
- 2. Find an elementary function f such that  $RT_{func} \in \Theta(f)$ .









## What is a step?

A **basic operation** (or **step**) is a block of code whose running time does not depend on the size of the function's input.

We say that these are "constant time" operations.

#### Examples of basic operations

- Arithmetic and comparison operations on numbers (+, <)</li>
- Assignment statements (all data types)
- Calling print on numbers; calling len on collections
- Returning from a function

Analyse the running time of the following function.

```
def f(numbers: list[int]) -> bool:
    x = len(numbers) + 1
    y = x * 3
    return len(numbers) + y > 9000
```

Analysis. Let n be the length of the input list numbers.

All expressions and statements in the body of f are constant time operations, so we count the whole body as 1 step.

So  $RT_f(n) = 1$ , which is  $\Theta(1)$ . f is a constant time function.

**Note**: Even if we count this function as 3 steps, we still get  $\Theta(1)$ !

```
def print_items(numbers: list[int]) -> None:
    for number in numbers:
        print(number)
```

A for loop represents repeated code. To analyse its running time, we need to add up the steps taken by all iterations of the loop.

Procedure for analysing the running time of a for loop:

- 1. Determine the number of iterations.
- 2. Determine the number of steps in each iteration.

```
def print_items(numbers: list[int]) -> None:
    for number in numbers:
        print(number)
```

Analysis. Let n be the length of the input numbers.

- The for loop has *n* iterations
- A single iteration takes 1 step (since calling print on a number is constant time)

So the total number of steps is  $n \cdot 1 = n$ , which is  $\Theta(n)$ .

```
def my_sum(numbers: list[int]) -> int:
    sum_so_far = 0

    for number in numbers:
        sum_so_far = sum_so_far + number

    return sum_so_far
```

When you see a mixture of constant-time and non-constant-time statements, calculate the number of steps for each statement separately and add the result.

```
def my_sum(numbers: list[int]) -> int:  # Line 1
    sum_so_far = 0  # Line 2
    # Line 3
    for number in numbers:  # Line 4
        sum_so_far = sum_so_far + number  # Line 5
        # Line 6
    return sum_so_far  # Line 7
```

Analysis. Let n be the length of the input list numbers.

- 1. (Line 2) sum\_so\_far = 0 takes 1 step (constant time)
- 2. (Line 4-5) the for loop takes n steps, because:
  - it takes *n* iterations
  - each iteration takes 1 step (constant time)
- 3. (Line 7) return sum\_so\_far takes 1 step (constant time)

So the total running time is 1 + n + 1 = n + 2 steps, which is  $\Theta(n)$ .

Exercise 2: Analysing running time of for loops

# Summary

#### Today you learned to...

- 1. Compare different elementary functions using asymptotic notation.
- 2. State and prove useful properties about Big-O, Omega, and Theta asymptotic notation.
- 3. Perform a running-time analysis on simple functions, including ones containing for loops.

#### Homework

- Readings:
  - From today: 9.3, 9.5
  - For after reading week: 9.6, 9.7, 9.8, 9.9
- Prep 9 due Monday November 14 (after Reading Week)
- Assignment 4 has been posted
- Enjoy Reading Week!