Secure Water Treatment Testbed (SWaT): An Overview

Kaung Myat Aung
Laboratory Engineer, iTrust

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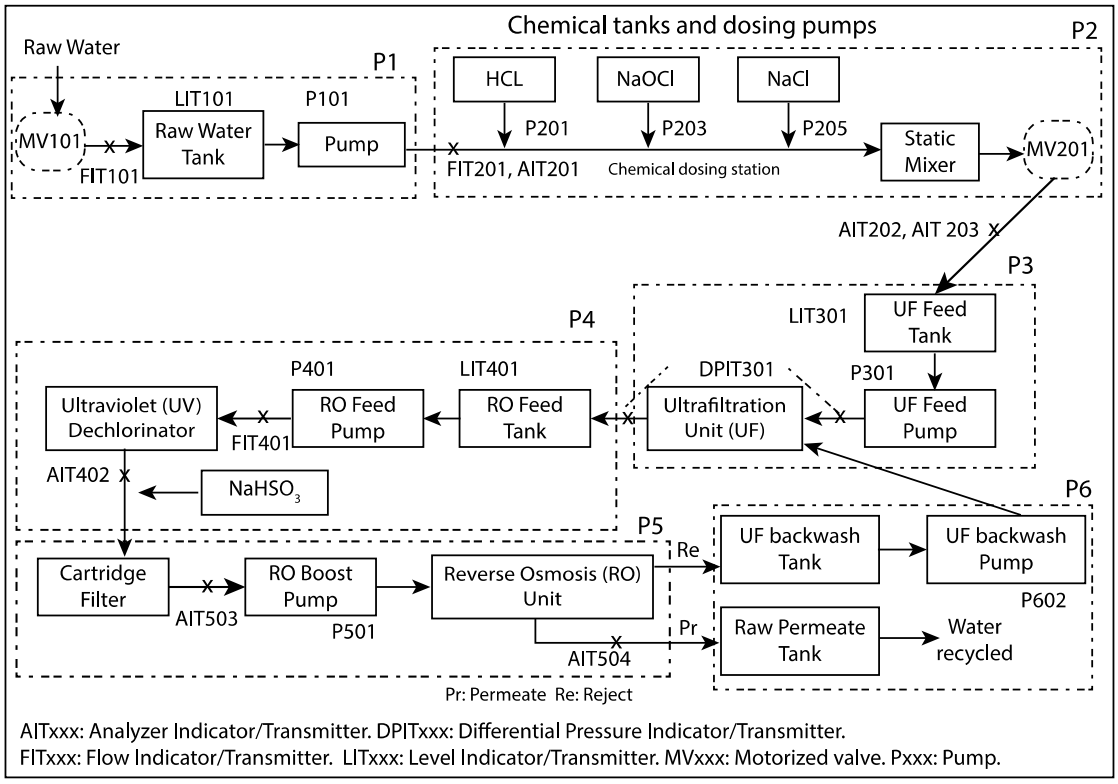
Contact: Kaung Myat Aung kaungmyat_aung@sutd.edu.sg

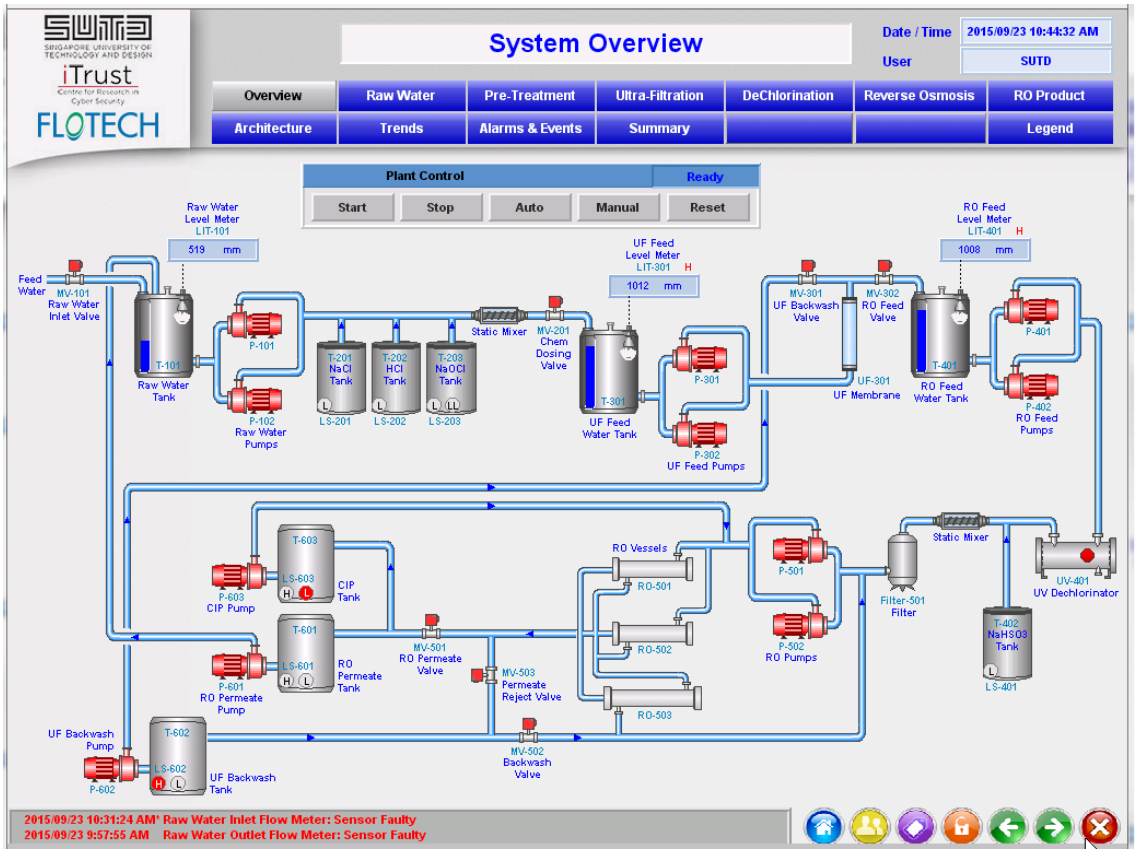
1.0 Process and System overview

The water purification process is composed of six sub-processes referred to as P1 through P6. Each sub-process is controlled by a set of dual PLCs, a primary and a redundant hot-standby. Operation status of the PLCs is monitored by the SCADA system.

The six processes shown in the figure are the following:
P1: RAW water Supply and storage
P2: Pre-treatment
P3: Ultrafiltration and backwash
P4: De-Chlorination System
P5: Reverse Osmosis (RO)
P6: RO Permeate Transfer, UF Backwash and Cleaning

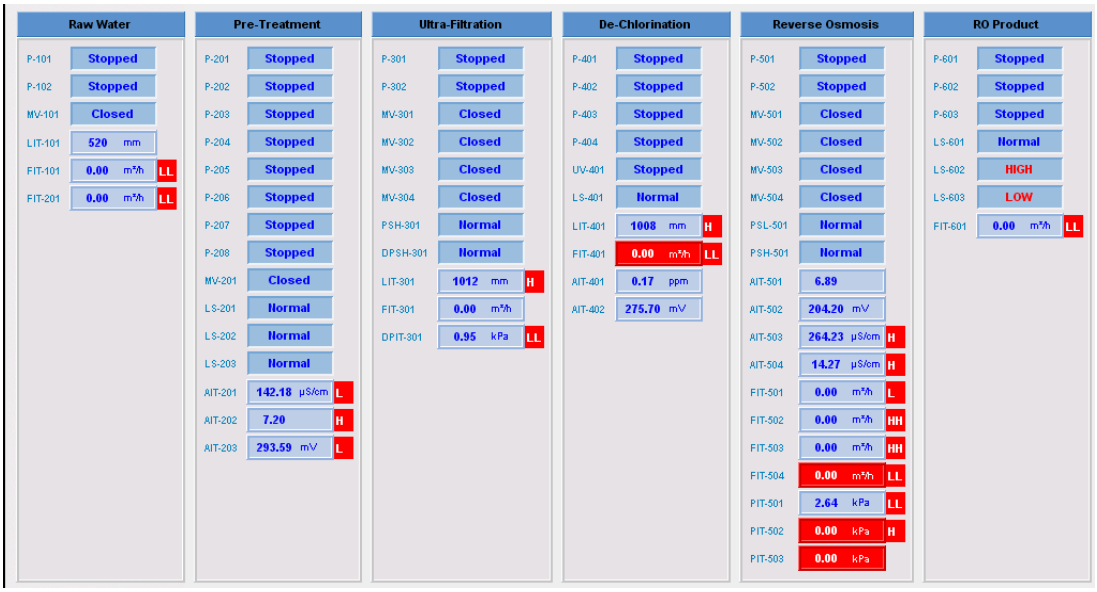


HMI/SCADA Screenshot:



2.0 Components (sensors and actuators)

The Following picture shows the sensors and actuators associated with each PLC.



Nomenclature of Analog Components

S/N	Symbol	Description	Engineering Unit (EU)
1	**LIT**	**L**evel **I**ndication **T**ransmitter	mm
2	**FIT**	**F**low **I**ndication **T**ransmitter	m^3 /hr
3	**AIT**	**A**nalyzer **I**ndication **T**ransmitter i.e. Conductivity, pH, ORP	uS/cm /
4	**PIT**	**P**ressure **I**ndication **T**ransmitter	kPa
5	**DPIT**	**D**ifferential **P**ressure **I**ndication **T**ransmitter	kPa

Nomenclature of Digital Components

P for PUMP, (For Example P101 means PUMP-1 from Process Module 1)

MV for Motorised Valve

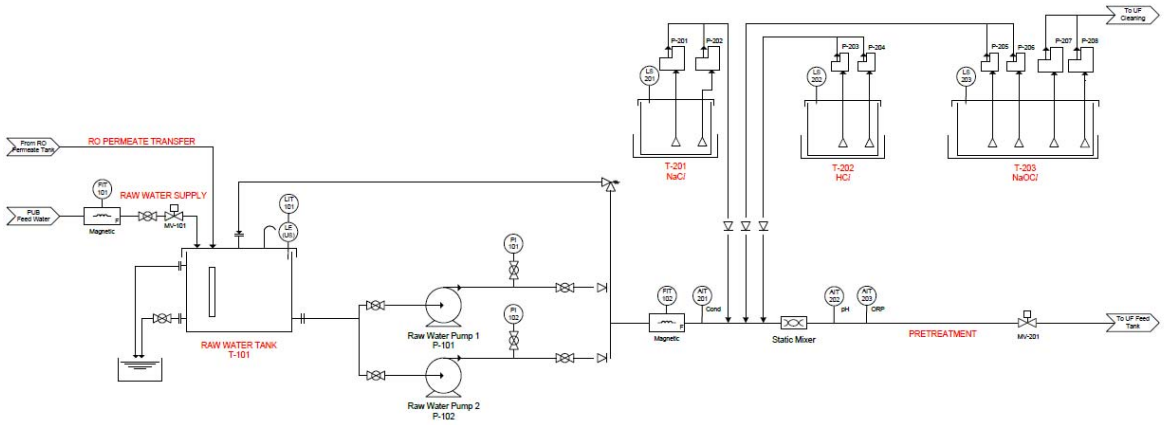
LS for Level Switch

UV for UltraViolet Module

PS for Pressure Switch (Followed by L for Low and H for High)

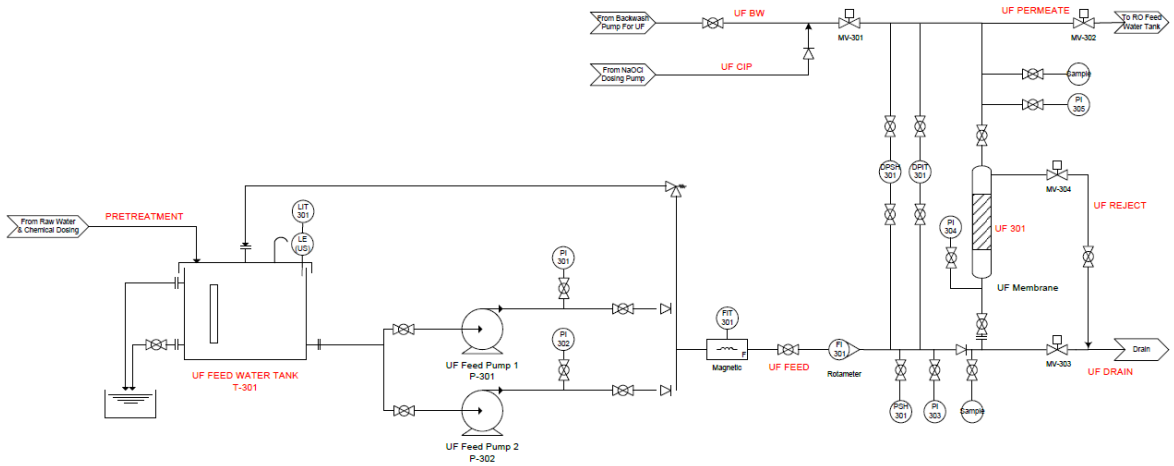
DPS for Differential Pressure Switch (Followed by L for Low and H for High)

3.0 Piping and instrumentation (P&ID) diagrams

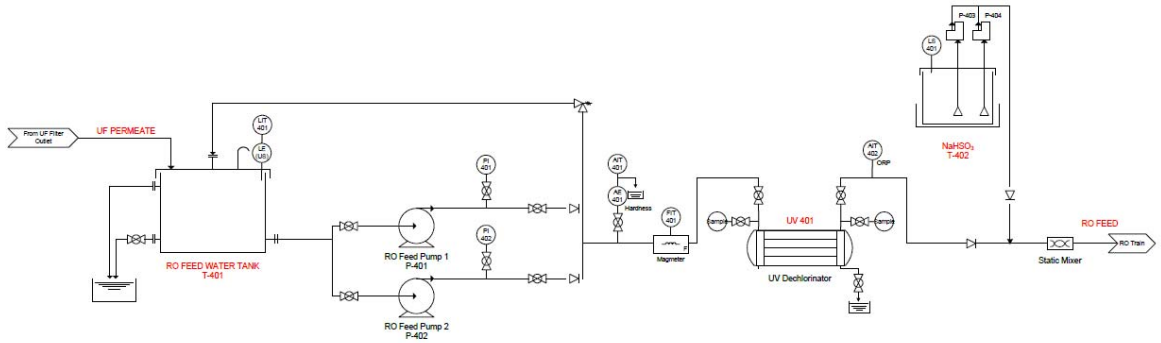


P1: RAW WATER MODULE

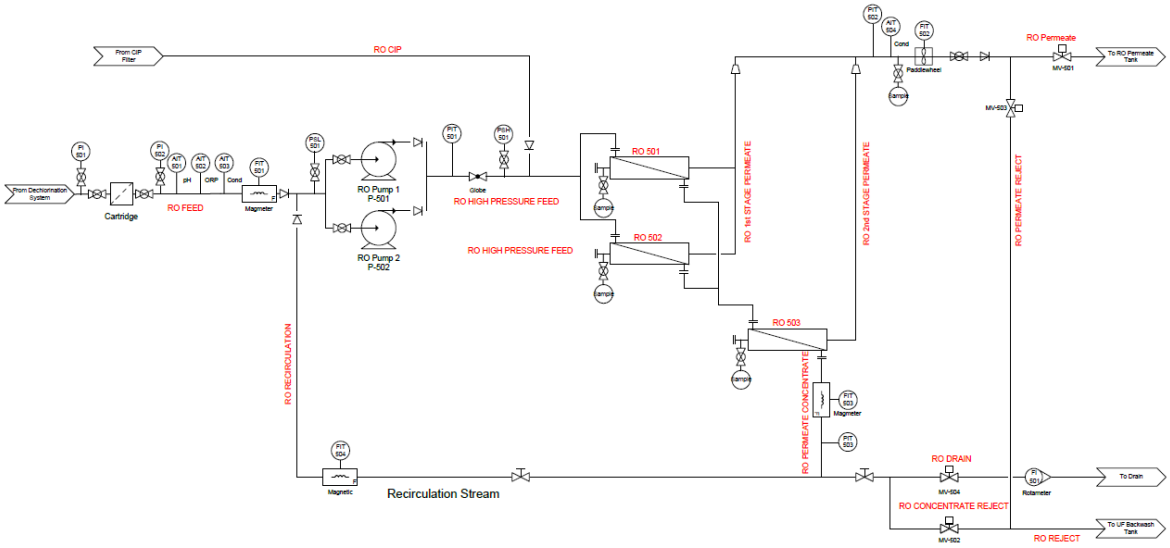
P2: PreTreatment Module



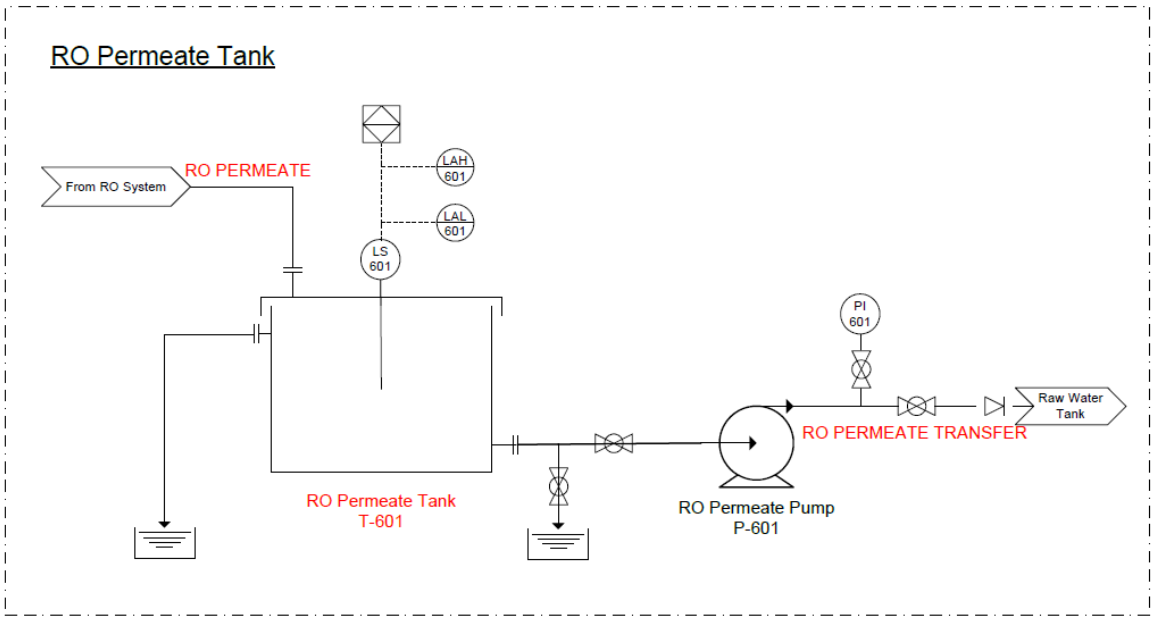
P3: UltraFilteration Module



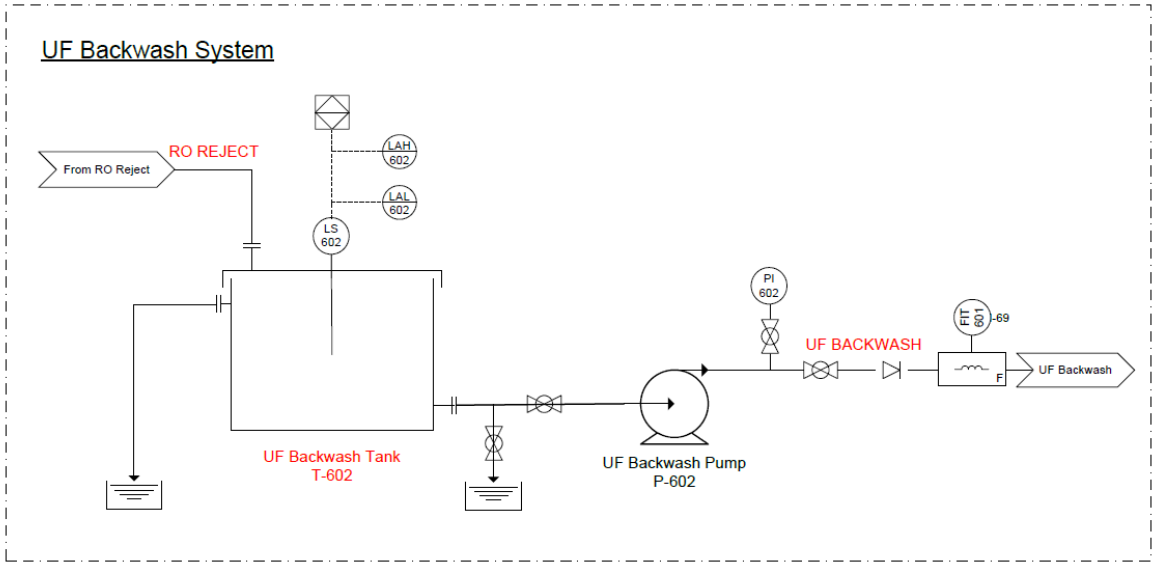
P4: DeChlorination Module



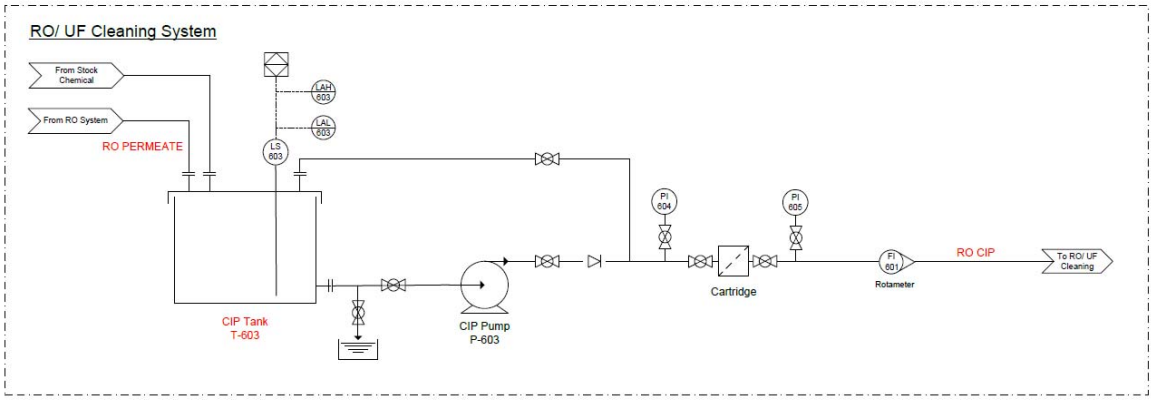
P5: Reverse Osmosis Module



P6_1: RO Permeate Module



P6_2: UF backwork module



P6_3: RO/UF Cleaning Module

4.0 Major Equipment Details

Major Equipment Details For P1

Description	Design Specification	Material	Qty	Brand & Model	Remarks
Pumps & Tanks					
Raw Water Tank	Capacity: 1.8m³ Dia xH= 1.38 x 1.36	PE	1	Rotamas CPE 1800	**T101**
Raw Water Transfer Pump	Duty: 2.5 m³/h @ 20m	Casing: Chrome Nickel SS Impeller: Noryl Shaft: SS	2	CALPEDA MXH 203	**P101/102**
Instrumentation					
Raw Water Tank LIT	Ultrasonic, Range 0.2 to 6m	Non Contact	1	iSOLV LevelWizard II	**LIT101**
Raw Water FIT	Electromagnetic DN25	PTFE	1	iSOLV EFS803/CFT183	**FIT101**
Piping & Accessories					
Piping	SCH80	PVC	Lot	Glywed	
Raw Water Inlet On/Off Valve	DN 25, Electric Actuated	PVC	1	Burkert EV2650	**MV101**
PRV	DN 25	PVC	1	Prominent DHV-DM PVC	

Major Equipment Details For P2

Description	Design Specification	Material	Qty	Brand & Model	Remarks
Pumps & Tanks					
NaCl Tank	Capacity: 250l	PE	1	Rotamas CGD 250	
NaCl/Dosing Pump	Capacity : 50 l/h @ 10 bar	Liquid end : PVDF Diaphragm : PTFE faced	2	Prominent Sigma S1Ba	**P201/202**
HCl Tank	Capacity: 250l Capacity: 25l (9% HCl)	PE	1	Rotamas CGD 250 25L Carboy	Double Containment
HCl/Dosing Pump	Capacity : 0.78 l/h @ 08 bar	Liquid end : Plexiglas Diaphragm : PTFE faced	2	Prominent GALa1601	**P203/204**
NaOCl Tank	Capacity: 250l	PE	1	CGD 250	
NaOCl/Dosing Pump (FAC)	Capacity : 0.78 l/h @ 8 bar	Liquid end : Plexiglas Diaphragm : PTFE faced	2	Prominent GALa1601	**P205/206**
NaOCl/Dosing Pump (UF Cleaning)	Capacity : 65l/h @ 7 bar	Liquid end : PVDF Diaphragm : PTFE faced	2	Prominent Sigma S1Ba	**P207/208**
Instrumentation					
Static Mixer	2" NPT M/ 12 elements	PVC	1	Omega	
Raw Water to UF Feed Tank FIT	Electromagnetic DN25	PTFE	1	iSOLV EFS803/CFT183	**FIT201**
AIT - Conductivity	Up to 1000µS/cm	-	1	Mettler Toledo M200 Single/ easySense Cond 71	**AIT201**
AIT – pH & ORP	pH: 0-14 ORP: -800mV to 800mV	-	1	Mettler Toledo M200 Dual/ easySense pH 32 & ORP 41	**AIT202/203**
NaCl Level Switch	Low Alarm	PVC	1	iSOLV LS880	**LS201**
HCl Level Switch	Low Alarm	PVC	1	iSOLV LS880	**LS202**
NaOCl Level Switch	Low Alarm	PVC	1	iSOLV LS880	**LS203**
Piping & Accessories					
Piping	SCH80	PVC	Lot	Glywed	
Raw Water Tank Outlet On/Off Valve	DN 25, Electric Actuated	PVC	1	Burkert EV2650	**MV201**

Major Equipment Details For P3

Description	Design Specification	Material	Qty	Brand & Model	Remarks
UF Membranes					
UF Membranes	2.5 m^3/h	PVDF	1	TORAY HFU-2020	
Pumps & Tanks					
UF Feedwater Tank	Capacity: 1.8m^3 Dia xH= 1.38 x 1.36	PE	1	Rotamas CPE 1800	**T301**
UF Feedwater Pump	Duty: 2.5 m^3/h @ 20m	Casing: Chrome Nickel SS Impeller: Noryl Shaft: SS	2	CALPEDA MXH 203	**P301/302**
Instrumentation					
UF Feed Water Tank LIT	Ultrasonic, Range 0.2 to 6m	Non Contact	1	iSOLV LevelWizard II	**LIT301**
UF Feed Water FIT	Electromagnetic DN25	PTFE	1	iSOLV EFS803/CFT183	**FIT301**
UF Feed Water FI	Rotameter, 1"	PVC	1	FSIV Flowmeter	**FI301**
Pressure Switch	Switch High/ 0-7 Bar Adjustable	SS316 Port	1	CCS 604GZ	**PSH301**
Differential Pressure Switch	Switch High/ 0-1 Bar	SS316 Port	1	CCS 604DZ	**DPSH301**
Differential Pressure Indicating Transmitter	Range: 0-2 Bar	SS316 Port	1	SPT 100 DP	**DPIT301**
Piping & Accessories					
Piping & Manual Valves	SCH80	PVC	Lot	Glywed	
PRV	DN 25	PVC	1	Prominent DHV-DM PVC	
Backwash On/Off Valve	DN 25, Electric Actuated	PVC	4	Burkert EV2650	**MV301/2/3/4**

Major Equipment Details For P4

Description	Design Specification	Material	Qty	Brand & Model	Remarks
Pumps & Tanks					
RO Feedwater Tank	Capacity: 1.8m³ Dia xH= 1.38 x 1.36	PE	1	Rotamas CPE 1800	**T401**
RO Feedwater Pump	Duty: 2.5 m³/h @ 20m	Casing: Chrome Nickel SS Impeller: Noryl Shaft: SS	2	CALPEDA MXH 203	**P401/402**
$NaHSO_3$ Tank	Capacity: 250*l* Capacity: 25*l* (10% $NaHSO_3$)	PE	1	Rotamas CGD 250 25L Carboy	Double Containment
$NaHSO_3$ Dosing Pump	Capacity : 0.78 *l*/h @ 8 bar	Liquid end : Plexiglas Diaphragm : PTFE faced	2	Prominent GALa1601	**P403/404**
UV Chlorine Destruction Unit					
UV Unit	Removal up to 0.5ppm 2.3m³/h	SS316	1	Aquafine Optima 200	**UV401**
Instrumentation					
RO Feed Water Tank LIT	Ultrasonic, Range 0.2 to 6m	Non Contact	1	iSOLV LevelWizard II	**LIT401**
Hardness Monitor	Range: 0-10ppm	-	1	HACH APA 6000	**AIT401**
AIT –ORP	ORP: -800mV to 800mV	-	1	Mettler Toledo M200 Singlel/ easySense ORP 41	**AIT402**
RO Feed FIT	Electromagnetic DN25	PTFE	1	iSOLV EFS803/CFT183	**FIT401**

Major Equipment Details For P5

Description	Design Specification	Material	Qty	Brand & Model	Remarks
RO Membranes					
Pre RO Cartridge Filter	Heavy Duty Multi-Cartridge Housing Max Pressure: 125PSI Number of Cartridges &Size: (4) 10" Flowrate: 28 GPM Element: 1 Micron	SS304 Housing	1	Graver 4MC1-VB-316L-1.5N-B	
RO Membrane	As Per Design Considerations	-	3+3 4	Toray TMH10A	
RO Vessel	-	Shell: Epoxy/Glass Composites	3	Pentair Codeline40S30	
Pumps					
High Pressure RO Pump With VSD	Duty: 2m³/h @ 5bar	Casing: Chrome Nickel SS Impeller: Noryl Shaft: SS	2	CALPEDA MXH206	**P501/502**
Instrumentation					
AIT – Conductivity (RO Feed)	Up to 1000µS/cm	-	1	Mettler Toledo M200 Dual/ easySense Cond 71	**AIT503**
AIT – Conductivity (RO Permeate)	Range: 0.02 to 20 µS/cm	-	1	easySense Cond 71	**AIT504**
AIT – pH & ORP (RO Feed)	pH: 0-14 ORP: -800mV to 800mV	-	1	Mettler Toledo M200 Dual/ easySense pH 32 & ORP 41	**AIT501/502**
Pressure Switch (Before High Pressure RO Pump)	Low Alarm, 0-10 Bar (Adjustable)	SS316 Port	1	CCS 604GZ	**PSL501**
Pressure Switch (After High Pressure RO Pump)	High Alarm, 0-10 Bar (Adjustable)	SS316 Port	1	CCS 604GZ	**PSH501**
PIT (After High Pressure RO Pump)	0-10 Bar	SS316 Port	1	iSOLV SPT 100	**PIT501**
PIT (RO Concentrate)	0-10 Bar	SS316 Port	1	iSOLV SPT 100	**PIT503**
PIT (RO Permeate)	0-10 Bar	SS316 Port	1	iSOLV SPT 100	**PIT502**
FIT (RO Concentrate)	Electromagnetic DN25	PTFE	1	iSOLV EFS803/CFT183	**FIT503**
FIT (RO Recirculation)	Electromagnetic DN25	PTFE	1	iSOLV EFS803/CFT183	**FIT504**

Major Equipment Details For P6

Description	Design Specification	Material	Qty	Brand & Model	Remarks
Pumps & Tanks					
RO Permeate Tank	Capacity: 1.2m³ DiaxH = 1.16 x 1.24	PE	1	Rotamas CPE 1200	**T601**
RO Permeate Transfer Pump	Duty: 2.5 m³/h @ 20m	Casing: Chrome Nickel SS Impeller: Noryl Shaft: SS	1	CALPEDA MXP 203	**P601**
UF Backwash Tank	Capacity: 1.2m³ DiaxH = 1.16 x 1.24	PE	1	Rotamas CPE 1200	**T602**
UF Backwash Tank Pump	Duty: 2.5 m³/h @ 20m	Casing: Chrome Nickel SS Impeller: Noryl Shaft: SS	1	CALPEDA MXP 203	**P602**
CIP Tank (UF/RO)	Capacity: 550*l*	PE	1	CGD 550	
CIP Pump	Duty: 2.5 m³/h @ 20m	Casing: Chrome Nickel SS Impeller: Noryl Shaft: SS	1	CALPEDA MXP 203	**P603**
Cartridge Filter					
Pre RO Cartridge Filter	Heavy Duty Multi-Cartridge Housing Max Pressure: 125PSI Number of Cartridges &Sze: (4) 10" Flowrate: 28 GPM Element: 1 Micron	SS304 Housing	1	Graver 4MC1-VB-316L-1.5N-B	
Instrumentation					
RO Permeate Tank Level Switch	Low & High Alarm	PVC	1	iSOLV LS880	**LS601**
UF Backwash Tank Level Switch	Low & High Alarm	PVC	1	iSOLV LS880	**LS602**
CIP Tank Level Switch	Low & High Alarm	PVC	1	iSOLV LS880	**LS603**
FIT (UF Backwash)	Electromagnetic DN25	PTFE	1	iSOLV EFS800/CFT180	**FIT601**
FI (RO/UF Cleaning)	Rotameter, 1"	PVC	1	FSIV Flowmeter	**FI601/2**

5.0 Control And Communication Network

The Network Architecture for SWaT system is constructed to comply ISA-99, a security standard designed for industrial Automation and Control Systems (IACS). This standard suggests a core concept which is "Zone and Conduits" and "Layer". It offers a level of segmentation and traffic control inside the Control and Communication Network. And It designed to support both wired and wireless network communication.

Layers

a. Layer 3.5- De-Militarized Zone (DMZ)

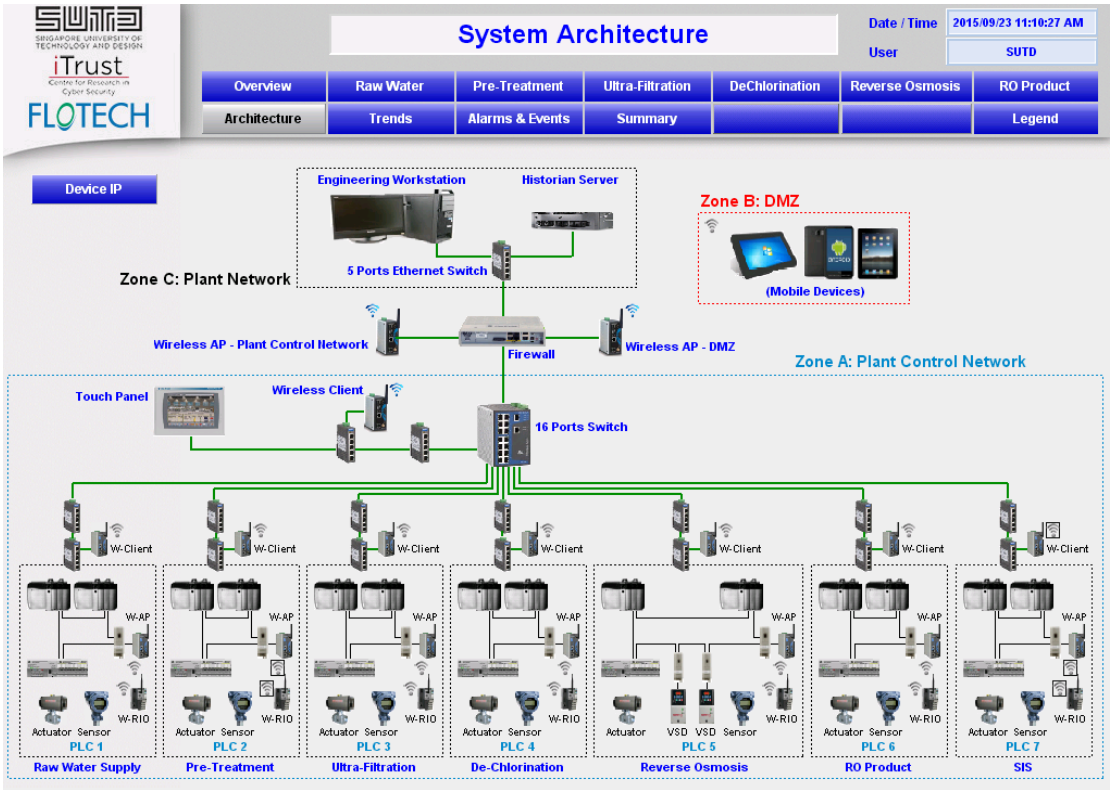
b. Layer 3- Operation Management (Historian)

c. Layer 2- Supervisory Control (Touch Panel, Engineering Workstation, HMI Control

Clients)

d. Layer 1- Plant Control Network (PLCs) (**Star Network**)

e. Layer 0- Process (Actuator/Sensors and Input/output modules) (**Ring Network**)



Please Note that PLC7 in above figure is just for testing/training/research

6.0 Network Protocol

[https://www.odva.org/Portals/0/Library/Publications_Numbered/PUB00123R0_Common%20Industrial_Protocol_and_Family_of_CIP_Netw.pdf]

Since we are using Rockwell Allen Bradley PLC, Main Network protocol is **CIP over EN/IP (EterNet/IP).** (Network Level 1)

Between PLC and Remote I/O (Network Level 0), the protocol is EN/IP.

Refer to below example of Network traffic Message and decoding: (We used tools such as Wireshark, Scapy, Ettercap etc)

```
Almost every second, there will be packets coming from HMI to PLC1 which look
like this eg:     hex-offset   hex-packet (read from top-left to right-bottom)::

    0000   80 1d 9c c8 bd e7 00 1d 9c c6 72 e8 08 00 45 00
    0010   00 5e 2f 95 40 00 80 06 47 46 c0 a8 01 64 c0 a8
    0020   01 0a c2 03 af 12 8e 7a 43 87 01 bd 1e 5e 50 18
    0030   82 9c 2a 07 00 00 70 00 1e 00 02 00 16 00 00 00
    0040   00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00
    0050   00 00 00 00 02 00 a1 00 04 00 20 42 b5 ff b1 00
    0060   0a 00 8a 07 4c 03 20 b2 25 00 22 00

and right after, a response from PLC1 to HMI::

    0000   80 1d 9c c6 72 e8 00 1d 9c c8 bd e7 08 00 45 00
    0010   00 b8 12 13 40 00 40 06 a4 6e c0 a8 01 0a c0 a8
    0020   01 64 af 12 c2 03 01 bd 1e 5e 8e 7a 43 bd 50 18
    0030   20 00 e8 5f 00 00 70 00 78 00 02 00 16 00 00 00
    0040   00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00
    0050   00 00 00 00 02 00 a1 00 04 00 9d 36 00 80 b1 00
    0060   64 00 8a 07 cc 00 00 00 01 00 00 00 00 00 eb 14
    0070   d3 43 01 00 01 00 01 00 02 00 0c 00 00 00 40 40
    0080   00 00 80 40 00 00 80 3f 00 00 00 3f 24 00 00 48
    0090   44 00 80 89 44 00 00 2f 44 00 00 7a 43 13 01 00
    00a0   0b 01 00 01 0b 01 00 01 00 01 00 00 00 00 03 00
    00b0   00 04 00 01 00 01 05 00 eb 14 d3 43 06 00 00 00
    00c0   00 00 52 66 14 42

once decoded with scapy, these packets become::

    ###[ Ethernet ]###
      dst       = 00:1d:9c:c8:bd:e7
      src       = 00:1d:9c:c6:72:e8
      type      = 0x800
    ###[ IP ]###
         version   = 4L
         ihl       = 5L
         tos       = 0x0
         len       = 94
         id        = 12181
         flags     = DF
         frag      = 0L
         ttl       = 128
         proto     = tcp
         chksum    = 0x4746
         src       = 192.168.1.100
         dst       = 192.168.1.10
         \options   \
    ###[ TCP ]###
            sport     = 49667
            dport     = EtherNet_IP_2
            seq       = 2390377351
            ack       = 29171294
            dataofs   = 5L
            reserved  = 0L
            flags     = PA
            window    = 33436
            chksum    = 0x2a07
            urgptr    = 0
            options   = []
    ###[ ENIP_TCP ]###
               command_id= SendUnitData
               length    = 30
               session   = 1441794
               status    = success
               sender_context= 0
               options   = 0
    ###[ ENIP_SendUnitData ]###
                  interface_handle= 0
                  timeout   = 0
                  count     = 2
                  \items     \
                   |###[ ENIP_SendUnitData_Item ]###
                   |  type_id   = conn_address
                   |  length    = 4
```

```
                  |###[ ENIP_SendUnitData_Item ]###
                  |  type_id   = conn_packet
                  |  length    = 100
                  |###[ ENIP_ConnectionPacket ]###
                  |     sequence  = 1930
                  |###[ CIP ]###
                  |        direction = response
                  |        service   = Read_Tag_Service
                  |        \path      \
                  |        \status    \
                  |         |###[ CIP_ResponseStatus ]###
                  |         |  reserved  = 0x0
                  |         |  status    = success
                  |         |  additional_size= 0x0
                  |         |  additional= ''
                  |###[ Raw ]###
                  |           load      = '\x01\x00\x00 [[ SNIPPED ]] \x14B'
```

Therefore:

* HMI is requesting to read tag ``class 0xb2,instance 0x22`` on PLC1. In this request, the class ID never change but the instance ID may change.
* PLC1 is responding with a successful status and a binary blob of data. This data is the concatenation of several tags, and it is only needed to find the offset where the water level is reported to be able to modify it (there is no authentication nor integrity check of the result).

As ettercap works from the TCP payload, here is this payload from another network capture, with some important values::

```
    0000   70 00 ------------------------------------------- ENIP Send Unit Data command
      02         65 00 02 00 25 00 00 00 00 00 00 00 00 00
    0010   00 00 00 00 00 00 00 00 00 00 00 00 00 00
      1e                                           02 00 - ENIP item count
    0020   a1 00 ------------------------------------------- ENIP first item: Connected Address Item
      22         04 00 ---------------------------------                  length = 4 bytes
      24               db e8 00 80
      28                           b1 00 ------------------- ENIP second item: Connected Data Item
      2a                                 51 00 ------------- Size of CIP packet: 81 bytes (= 0x51)
      2c                                       78 ed
      2e                                             cc 00 - CIP response to Read Tag Service
    0030   00 00 ------------------------------------------- CIP response status: OK
      32         01 00 00 00 00 00
      38                           c5 8e 6d 44 ------------- Water level (LIT101 tag): 0x446d8ec5 = 950.23
      3c                                       01 00 ------- Motored Valve MV101 off (on is "02 00")
      3e                                             02 00 - Pump P101 state: open
    0040   01 00 ------------------------------------------- Pump P102 state: closed
      42         02 00 0c 00 00 00 40 40 00 00 80 40 00 00
    0050   80 3f 00 00 00 3f 22 00 00 48 44 00 80 89 44 00
    0060   00 fa 43 00 00 7a 43 13 01 00 0b 02 00 01 0b 01
    0070   00 01 01 01 00 00 00 00 03 00 02 00 01
```

The water level is encoded in little-endian single-precision floating-point format (IEE 754, https://en.wikipedia.org/wiki/Single-precision_floating-point_format), so bytes ``c5 8e 6d 44`` can be decoded in a simple Python program::

program::

```
    import binascii, struct
    print(struct.unpack('<f', binascii.unhexlify('c58e6d44'))[0])
```

which displays ``950.2307739257812``.

To find the offset of this value, it is possible to quickly scroll in Wireshark the CIP payloads of packets matching the filter ``cip.service == 0xcc && cip.class == 0xb2``, and two bytes would keep changing a lot between packets, which would be the first bytes of ``c5 8e 6d 44`` here, as they encode a part of the fraction part of the float number linked to a real sensor.

Once the offset is found, it is possible to modify the bytes in an ettercap filter, as it is done in ``mitm-b2cls_rdtag.ecf``. For example value 420 is encoded in bytes ``00 00 d2 43`` so this etterfilter code modifies the water level to 420::

More on http://scy-phy.github.io/