

### 3. Developing the variational Principle

S-Prat-2  
m27aw  
8-05-2013

a) Trial  $\psi(x)$  orthogon to  $\psi_1$  :  $\int dx \psi_1(x) \psi(x) dx = 0$

$$\text{Let } \psi(x) = \sum_n c_n \psi_n(x) e^{-iE_n t/\hbar}, \quad c_1 = 0$$

$$\text{Normaliz}^n \Rightarrow \sum_n |c_n|^2 = 1, \quad c_1 = 0 \Rightarrow \sum_{n=2}^{\infty} |c_n|^2$$

$$\int dx \psi^*(x) H \psi(x) = \langle H \rangle = \sum_n |c_n|^2 E_n$$

$$\text{now } c_1 = 0 \Rightarrow \langle H \rangle = \sum_{n=2}^{\infty} |c_n|^2 E_n$$

$$E_n > E_2 \quad \forall n > 2 \Rightarrow \sum_{n=2}^{\infty} |c_n|^2 E_n > \sum_{n=2}^{\infty} |c_n|^2 E_2 > E_2 \cdot 1$$

$$\Rightarrow E_2 \leq \int dx \psi^*(x) H \psi(x)$$

b)  $F[\psi] = \frac{\int dx \psi^* \hat{H} \psi}{\int dx \psi^* \psi}$

$$\text{let } \psi = \psi_2 + \sum_{n=1} \epsilon_n \psi_n, \quad \text{not } \psi_1$$

$$F[\psi] = \frac{\int dx (\psi_2 + \sum_{n=1} \epsilon_n \psi_n)^* \hat{H} (\psi_2 + \sum_{n=1} \epsilon_n \psi_n)}{\int (\psi_2 + \sum_{n=1} \epsilon_n \psi_n)^* (\psi_2 + \sum_{n=1} \epsilon_n \psi_n) dx}$$

$$= \frac{E_2 + \sum_{n=1} |\epsilon_n|^2 E_n + 2\epsilon_2 E_2}{1 + \sum_{n=1} |\epsilon_n|^2 + 2\epsilon_2} \quad (\text{not } \psi_1) \quad (n=2 \text{ has } (1+\epsilon_2)E_2 \text{ as expectation of } \hat{H})$$

This follows from orthogonality of  $\psi$  family

The question is ambiguous on whether  $\epsilon_2 = 0$  or not but I take it non-zero so that the perturbation is under no constraint

$$\Rightarrow \delta F[\psi] = \frac{\sum |\epsilon_n|^2 E_n + (1+2\epsilon_2)E_2}{\sum |\epsilon_n|^2 + (1+2\epsilon_2)}$$

$$\approx (\sum |\epsilon_n|^2 E_n + (1+2\epsilon_2)E_2)(1 - 2\epsilon_2 - \sum |\epsilon_n|^2)$$

or

$$\approx (\sum |\epsilon_n|^2 E_n + (1+2\epsilon_2)E_2)(1 - 2\epsilon_2 - \sum |\epsilon_n|^2 + (2\epsilon_2 + \sum |\epsilon_n|^2)^2)$$

Zeroth order:  $E_2$

$$\text{First order: } 2\epsilon_2 E_2 - 2\epsilon_2 E_2 = 0$$

$$\text{Second order: } \sum |\epsilon_n|^2 (E_n - E_2) - 4\epsilon_2^2 E_2 + 4\epsilon_2^2 E_2$$

$$= \sum |\epsilon_n|^2 (E_n - E_2)$$

$\Rightarrow \epsilon_2$  drops out to quadratic order

Since in  $\sum |\epsilon_n|^2 (E_n - E_2)$ ,  $|\epsilon_2|^2$  will have  $E_2 - E_2 = 0$  stopped

Note  $|\epsilon_1|^2$  has  $(E_1 - E_2)$  multiplied

$$\Rightarrow \delta F = F[\psi] - F[\psi_2] = \sum |\epsilon_n|^2 (E_n - E_2) + O(\epsilon^3)$$

$$\text{then } \forall \psi_i; i > 2, \frac{\delta F}{\epsilon_i} > 0, \text{ for } \forall \frac{\partial F}{\partial \epsilon_i} < 0$$

$\Rightarrow F[\psi_2]$  is a ~~stable~~ saddle point.

If we view it in energy eigenbasis,

the point  $(0, 1, 0, 0, \dots)$  is a saddle point

since moving along  $(\epsilon, 0, 0, 0, \dots) \downarrow F[\psi_2]$  in 2<sup>nd</sup> order

$(0, 0, 0, \dots, \epsilon_i, \dots)$  will  $\uparrow F[\psi_2]$  in 2<sup>nd</sup> order

while motion along  $(0, \epsilon_2, 0, 0, \dots)$  will have no effect or flat direction it is.

(So for  $F[\psi_1]$ , any motion  $\uparrow F[\psi]$ , thus the variational principle and this explains why in a),  $c_1 = 0$ )