

# **Understanding Mechanisms of Emotional Self-Disclosure and Social Support Among Undergraduates in Online Spaces**

Ph.D. Proposal

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## Abstract

With rising rates of mental health struggles among undergraduate students, social support—specifically *perceived* social support—has become vital in maintaining emotional health. Perceived social support has long been directly tied to metrics of mental, emotional, and physical well-being, including reduced rates of depression, anxiety, and loneliness. Similarly, emotional self-disclosure has shown strong correlations with perceived social support. Online platforms have become a primary form of communication among undergraduates, and thus a central tool towards building social support. An understanding of the role of these platforms in encouraging self-disclosure and social support is a crucial step towards supporting student mental health.

This thesis aims to 1) understand the causal factors that contribute to increased self-disclosure and perceived social support in online spaces and 2) investigate the features of private online spaces that facilitate more open self-disclosure. An exploratory study on online communication habits of undergraduate students finds a strong correlation between comfort with self-disclosure in private messaging and perceived social support. Social support unexpectedly showed a stronger correlation with willingness to self-disclose over private messaging than over phone or video calls. A separate study investigates the accuracy of emotional disclosure over private and public online communication platforms using a unique data extraction platform, Sochiatrist. Compared to previous work, this study shows private online messages to more accurately represent emotional state than posts in public online spaces. Following the previous two studies, CHIME was developed as a social app that encourages emotional self-disclosure in a semi-public space through mood tracking posts. CHIME is built as a tool to individually study factors impacting self-disclosure and social support among cohorts of users without the need to compromise participant privacy.

This thesis proposes that honest self-disclosure and higher levels of perceived social support can be facilitated in online spaces by introducing aspects of private online messaging, such as privacy, perceived control over information spread, and the ability to view and reflect on previous communication.

# Chapter 1

## Introduction

### Thesis Statement

Attributes of private messaging encourage emotional disclosure and social support among undergraduates in online spaces.

### 1.1 Motivation

With rising rates of known or diagnosed mental health disorders, such as depression [63] and anxiety [64], mental health has recently been centered in the national consciousness [65, 116]. This problem has been particularly prevalent among school- and college-age students [63, 64]. In response to this trend there has been a rising focus on social connection building [116], particularly in online spaces where people around college age are increasingly spending more time [146] and looking for connection [66].

Here we propose that attributes related to private textual communication – or messaging – encourage greater emotional disclosure and therefore social support and connection building among undergraduate students.

Past studies have shown that using social media can reduce rates of depression [47], encourage emotional self-disclosure [4], and provide a space for users to find camaraderie and support [6]. Subsequently, self-disclosure has been recognized as a fundamental human need [18], and is associated with intimacy and relationship strength [125, 149]. Conversely, social media use has been associated with negative mental health effects, such as negative body image [58], loneliness [132], and internet addiction.

Existing theories suggest that user intent and outside context are primary mediating factors in expected outcomes from social media use (e.g., Self Determination Theory [69, 84, 132], Social Comparison Theory [95], Interpersonal-Connection-Behaviors Framework [34]). However, we note that previous work has shown self-disclosure to be most frequent amongst dyads [149], and that many studies investigating self-disclosure and social support in online spaces have focused on semi-private spaces with shared context (e.g., [3, 62, 174]).

Thus we investigate whether and how private online communication spaces encourage emotional self-disclosure in undergraduate student users, and the impacts this may have on perceived social support, a primary protective factor in mental health contexts.

1. User comfort with and inclination to emotionally self-disclose in different types of online spaces
2. Accuracy of emotional self-disclosure in organic private messaging spaces
3. Causal impact of self-disclosure in semi-private social spaces on social support

## 1.2 Overview of Contributions

### **Bridging the Social Distance: Offline to Online Social Support during the COVID-19 Pandemic**

*Gabriela Hoefler\*, Talie Massachi\*, Neil G Xu, Nicole Nugent, Jeff Huang. In proceedings of CSCW 2022.*

In this study, we investigate the mental health impacts associated with the shift away from in-person socialization for online digital contact during the COVID-19 pandemic. We examine properties of online interactions that may affect loneliness and perceived social support. Students were surveyed ( $N=827$ ) across 97 universities across the US during their first full semester impacted by the COVID-19 pandemic (Fall 2020). Private online interactions (messaging, phone call, video call) were found to have a comparable correlation to social support as face-to-face interactions, but public online interactions (social media) were associated with more negative outcomes. Among private platforms, messaging had the strongest correlation with social support; and daily self-disclosure over messaging yielded social support levels that were 1.21x higher than rarely or never disclosing over this platform. We speculate that factors such as the level of privacy and peoples' feelings of control contributed to disclosure and perceived social support in online platforms.

### **Sochiatrist: Signals of Affect in Messaging Data**

*Talie Massachi\*, Grant Fong\*, Varun Mathur\*, Sachin Pendse\*, Gabriela Hoefler, Jessica Fu, Chong Wang, Nikita Ramoji, Nicole Nugent, Megan Ranney, Daniel Dickstein, Michael Armey, Ellie Pavlick, Jeff Huang. In proceedings of CSCW 2020.*

Messaging is a common mode of communication, with conversations written informally between individuals. Interpreting emotional affect from messaging data can lead to a powerful form of reflection or act as a support for clinical therapy. Existing analysis techniques for social media commonly use LIWC and VADER for automated sentiment estimation. We correlate LIWC, VADER, and ratings from human reviewers with affect scores from 25 participants. We explore differences in how and when each technique is successful. Results show that human review does better than VADER, the best automated technique, when humans are judging positive affect ( $r_s = 0.45$  correlation when confident,  $r_s = 0.30$  overall). Surprisingly, human reviewers only do slightly better than VADER when judging negative affect ( $r_s = 0.38$  correlation when confident,  $r_s = 0.29$  overall). Compared to prior literature, VADER correlates more closely with PANAS scores for private messaging than public social media. Our results indicate that while any technique that serves as a proxy for PANAS scores has moderate correlation at best, there are some areas to improve the automated techniques by better considering context and timing in conversations.

### **Chime: A social system to encourage semi-private emotional self-disclosure**

### **1.3 Research goals of the proposed work**

**1.3.1 The impact of semi-private emotional self-disclosure on social support**

**1.3.2 The impact of shared context on the interpretation of disclosure**

## Chapter 2

# Related Work

### 2.1 Conclusion

This dissertation explores...

## Chapter 3

### Preliminary

### Work: Offline to Online Social Support during the COVID-19 Pandemic

### 3.1 Introduction

The COVID-19 pandemic led to a fundamental change in people’s social lives on a worldwide scale [161]. At times, the United States had the highest number of reported cases of any country [156], shutting down college campuses nationwide [32]. The Fall 2020 season was a complex period where colleges had unusual arrangements for the student experience. Students suffered from disruption of their formative years, loss of social belonging and community, and worries about the future [24]. What is unique about this pandemic is that it was the first major event that discouraged *in-person socialization* while an alternative of *online digital interaction* was as widely available. These changes imposed new norms for student interactions, allowing for a timely investigation into its effects.

Over the three month period from September 24, 2020 to December 24, 2020, we distributed an online survey investigating the impact of pandemic-induced online interactions on social support and loneliness in college students across the United States. The campaign was done directly through contacting academic deans to request they help distribute the survey, as well as through social media forums for college students. The survey was continuously sent out until a stratified sample of students from diverse types of colleges had responded, resulting in 827 complete responses during the crisis.

Each student participant specified the nature of their physical and digital socializing during the pandemic, as well as completed measurements of **loneliness**, defined as the “state experienced when a discrepancy exists between the interpersonal relationships one wishes to have, and those that one perceives they currently have,” [130] and **social support**, defined as the “exchange of resources between two individuals perceived by the provider or the recipient to be intended to enhance the wellbeing of the recipient” [91]. After conducting an extensive examination of social theories, we believe social support and loneliness may be particularly affected by isolation and loss of social contact as discussed later in this work.

The first section of the results report on the psychological impact of the pandemic on students, showing that loneliness and social support suffered due to changes in their lifestyle. However, students were not mere passive participants, and the remaining sections of results illustrate the efforts students made to cope, to socialize, and to seek emotional support from others both online and offline. We identify how physical isolation and the frequency of different forms of digital socialization (e.g., social media, messaging, phone calls, and video calls) correlated with loneliness and social support. This leads to a central question about the nature of online socialization in the third part: to what extent might online socialization be a substitute for in-person interaction in the context of loneliness and social support? Perhaps unsurprisingly, students with higher levels of perceived social support indeed sought and received support from their contacts online. However, interestingly, online socialization was found to have different effects on social support and loneliness. We investigate the different properties of online platforms to more closely understand how social support was fostered online at this time.

In this study we make the following contributions: (1) investigate the extent to which online interaction fosters social support and mitigates feelings of loneliness from the lens of key psychological theories during a period of intense physical isolation, (2) examine the differences in effect on social support and loneliness between public (social media) and private (messaging, phone calls, video calls) forms of online communication, (3) explore the impacts of self-disclosure across these platforms including the specific emotions shared (4) investigate the implications of group dynamics across online interactions (e.g., one-on-one chats vs. group chats) during the COVID-19 pandemic.



## **3.2 Related Work**

### **3.2.1 The State of Mental Health During the COVID-19 Pandemic**

Numerous studies reveal a decrease in overall mental well-being throughout the world during the COVID-19 pandemic (e.g., [134, 163, 165]), often attributed to psychosocial stressors associated with unforeseen increased isolation, such as life disruption (e.g., [96, 160]) and fear of illness or uncertainty (e.g., [53, 150]), therefore calling for increased prioritization of mental health (e.g., [157, 171]). Wang et al. found 54% of individuals showed moderate to severe psychological impact from the COVID-19 pandemic, with 29% reporting moderate to severe anxiety and 17% reporting moderate to severe depression in the population of China immediately following the first outbreak of the pandemic [165]. Furthermore, they conducted a follow-up study revealing that these symptoms persisted even a month later [166]. Tull et al. found similar trends in the United States population, revealing stay-at-home orders to be associated with increases in loneliness and decreases in social support [160]. These reports of declining mental health are replicated in studies from Australia [55], the United Kingdom [25], and among college students worldwide (e.g., [29, 53, 96, 98]). Furthermore, individuals in social isolation around the world reported lack of access to adequate mental healthcare, relying primarily on self-help techniques [42]. This matches trends in previous outbreaks, as research during SARS revealed higher levels of stress, anxiety, and depression in the general population [33], and patterns across previous pandemics reveal a lack of adequate mental health resources worldwide during or immediately following the outbreak [154].

#### **Among College Students**

The COVID-19 pandemic college students in particular; most experienced severe disruptions to academic routine, and many were forced to evacuate dorms, lost on-campus jobs due to the remote work environment, experienced increasing financial hardships, food and housing insecurity, and reduced access to academic material [15, 173]. Moreover, many students reported a decline in feelings of social belonging and community connectedness [173]. Current studies show college students report higher levels of stress, anxiety, and depression than the general population during the COVID-19 pandemic (e.g., [29, 39, 53, 150, 168]). Immediately following the initial outbreak, one large-scale longitudinal study (164,101 college student participants) revealed increased stress, anxiety, depression, and acute stress in college students [96] and another study found similar, persistent mental health trends in college students over multiple months of the pandemic [39]. Due to the clear psychological effect of COVID-19 on this group, our study seeks to specifically examine US college students in order to better understand the impacts and potential benefits of online interaction on social support and loneliness.

### **3.2.2 Online Communication as a Coping Mechanism During the COVID-19 Pandemic**

#### **Movement of Communication to Online Platforms**

Numerous studies in Human-Computer Interaction examine crisis informatics, the study of the “intersecting trajectories of social, technical and information perspectives during the full life cycle of a crisis” [72]. After almost every disaster we see a regional unification of communities, materials, and information, known as geographical convergence [59]. Studies have found this behavior mirrored

in online interactions, as individuals gravitate towards online communities as a coping mechanism (e.g., [49, 122, 147]) creating broader social convergence without geographical barriers. This trend was found during the COVID-19 pandemic, as people around the world turn to virtual platforms for socialization while under quarantine guidelines (e.g., [60, 117, 161]). In Belgium, social media apps saw a 72% increase in usage, messaging apps saw a 64% increase, and phone calls saw a 44% increase in average time spent on each platform [117]. Similar patterns have also been found in Italy, revealing significant increases across video calls and online gaming platforms [60], and in the United States, revealing an increase in posting across public social media platforms [161].

Although some increases in online interaction were expected due to the nature of an international crisis, it is likely that online interaction was further escalated due to the global quarantine-induced isolation that impeded social interactions on a larger scale than seen in recent crises. This effect is supported by the Social Compensation Hypothesis, which suggests individuals will compensate for in-person social deficits by increasing their online interaction [106]. From this perspective, the abrupt halt in face-to-face interactions that many experience as a result of the pandemic may create inherent social deficits and draw individuals online as a mode of compensation.

In addition to online presence, online expression was amplified during the COVID-19 pandemic [16]; and the sentiment of public-facing social media posts was found to be significantly more negative [161]. Self-disclosure, “an interaction between at least two individuals where at least one intends to deliberately divulge something personal to another,” [67] is associated with increased stressful life events [176] and recognized as a fundamental human need [18]. Furthermore, self-disclosure is associated with intimacy and relationship closeness, and is most frequent between dyads [149], suggesting that disclosure online may be more common in one-on-one chats, rather than in group chats or public social media.

Online disclosure is often met with reciprocated self-disclosure from others, and has been found to increase relationship strength [125] and facilitate social support [3]. Current work suggests there may be an increased likelihood of willingness to disclose during the COVID-19 pandemic [111], however, fails to examine changes in disclosure patterns across multiple online platforms. We seek to fill a gap in the literature by examining the specific emotions students disclose through online private platforms and the relationship of each of these emotions with perceived social support and loneliness during this time.

## **Social Support Compensation Through Online Interaction**

Perceived social support has been found to improve resilience to trauma due to neurobiological factors as well as its inherent promotion of stress-regulating behaviors [145]. During the COVID-19 pandemic, increased social support was also shown to decrease crisis-related stress symptoms such as anxiety and depression [114].

Studies reveal online communities to be an effective method for receiving social support [3], however it is unclear whether online interactions can generate similar levels of social support derived from in-person interactions. During periods of physical isolation brought on by COVID-19, research shows college students report using online communication intentionally as a means of gaining support [168], and digital platforms were effective in truly increasing perceived social support during this time [60, 98]. Despite the positive effects of online interactions, other studies have found participants tend to believe virtual platforms are inadequate substitutes for in-person conversation [131]. The physical isolation during the COVID-19 pandemic thus provides us with an opportunity to investigate

whether online interactions are truly an effective substitute for receiving in-person social support.

### **The Potential for Mitigating Loneliness Online**

Prior to and during the COVID-19 pandemic, studies have revealed inconsistent patterns between online interactions and loneliness. Some studies suggest technology is helpful for fostering feelings of social connection in isolated individuals [110], yet others reveal associations between increased public social media usage and increased feelings of loneliness [98, 133]. More recent research has also found increased levels of support seeking behavior on public social media platforms [98] and have found individuals are likely to be using public platforms as a coping mechanism to compensate for existing feelings of loneliness [110, 126], rather than social media causing feelings of loneliness [161]. This raises question to whether online interactions are truly mitigating feelings of loneliness. Our study seeks to better understand the factors influencing loneliness, specifically the differing effects of online and in-person communication as well as the impact from properties of separate online platforms (i.e., social media, messaging, phone call, video call).

### **Effect of Interaction Type and Intent**

Individuals across the world appear to be using online platforms as a coping mechanism, however, it is unclear whether these platforms truly combat feelings of loneliness or provide social support during periods of isolation. Previous research has revealed public social media to be correlated with increased feelings of loneliness [161]; however, other research has found social media to promote coping techniques and the use of technologies to increase well-being (e.g., self-care, meditation videos) [140]. These contrasting patterns may be due to the way in which an individual is using the social platform.

The Interpersonal-Connection-Behaviors (ICB) Framework suggests that the use of online platforms in active relationship building leads to positive outcomes, whereas more passive usage yields fewer benefits [34]. This framework proposes categorizing usage within the platforms: (A) active, meaning engaging in interactions that promote user's active building of relationships (e.g., commenting, having a conversation), or (B) passive, meaning without any inherently relational engagement (e.g., scrolling without engagement) [34]. Active interactions are associated with greater positive outcomes, whereas passive interactions present negative associations such as increased feelings of loneliness [35]. Similarly, research conducted during the COVID-19 pandemic has also found that the total time spent interacting has less bearing on well-being than the amount of satisfaction one derives from interacting online [131], however, has not considered the active or passive nature of the interaction.

Recent work has challenged the active and passive categorization, suggesting that behavior previously classified as passive usage can still have relational qualities [23]. As a result, our study seeks to further investigate the passive and active comparison made in the ICB framework by more closely examining various factors and behaviors that may contribute to social support and loneliness outcomes associated with online interaction during the COVID-19 pandemic.

### **Online Platform Differences**

The majority of previous research examines online communication by investigating only public social media platforms, without differentiating between other, private platforms [104, 177]. However, these platforms are different in nature: public platforms, which encompasses social media such as

Facebook, Twitter, Reddit, and other public online groups, may reach a wide audience - often with bounds the user is unaware of, whereas private platforms (e.g., messaging, video calls, and phone calls) are intended only for those deliberately included in the interaction [104, 177]. Even fewer studies on mental health have differentiated between platforms [53, 60, 76, 97], despite previous work showing different interaction types between public and private communications [26]. Of the few studies that differentiate, private platforms have emerged as more beneficial for loneliness than public platforms [110]. Their benefits are often credited to the greater perception of anonymity and privacy [177]. Furthermore, there are differences within private platforms that may impact user behavior and should be accounted for. Private platforms allow for a variety of group dynamics, as they enable one-on-one communication (i.e., direct communication between two people) and group communication (i.e., communication between more than two people), which has been shown to impact offline interactions [149]. Thus there is a gap in the literature as few studies have examined the impacts of one-on-one communication [97, 119], and even fewer have examined private group communication [10].

### 3.2.3 Research Objectives

Through this study, we hope to bridge a gap in the literature by distilling the varied dimensions of online interactions we believe may play a role in affecting feelings of social support and loneliness during periods of intense physical isolation. For each of these dimensions, we examine the relationship with user behavior and outcome. We formulate the following research questions to guide our analysis of this topic:

**RQ1:** *While it is clear that college students are gravitating towards online interactions during the COVID-19 pandemic, for what reasons are they doing so?*

**RQ2:** *Are there differences in perceived social support or loneliness between public (social media) and private (messaging, phone call, video call) online platforms?*

**RQ3:** *How do one-on-one communications (e.g., direct messaging with one person) and group communications (e.g., group chats) differ in effectively mediating feelings of loneliness or compensating for social support perceived from typical in-person interactions?*

**RQ4:** *To what extent does the impact on social support and loneliness differ when individuals engage in passive as opposed to active online interaction?*

**RQ5:** *Is self-disclosure more effective at cultivating positive social support and loneliness outcomes than simply spending more time generally interacting on these platforms?*

In summary, this study aims to identify the specific properties of online communication that have the strongest relationship with social support and loneliness during a period of intense physical isolation.

## 3.3 Methods

To better understand the psychosocial effects of the COVID-19 pandemic as well as investigate the implications of various online platforms on US college students, we distributed an online survey assessing students' daily life, online interaction patterns, and critical aspects of their mental health,

namely loneliness and social support. Upon completion of the survey, participants were given the opportunity to enter in a raffle to receive one of ten \$25 digital gift cards.

All participants were US college students, comprising a group of people who were immediately disrupted by the pandemic, with many being forced to relocate out of their dorms and away from their primary social support groups within just a few days [173]. Moreover, research shows this age group uses online platforms more than any other age group in the US [31], allowing us to examine its implications during this time. Additionally, participants were limited to students actively enrolled in accredited US universities as countries around the world elected varying methods of crisis management and were at different stages of the COVID-19 crisis while the survey was distributed.

### 3.3.1 Survey Design

A qualitative questionnaire was drafted, refined and then underwent two rounds of pilot testing and a cognitive field test. In the first pilot test, the broader survey was evaluated for potential insights on 11 graduate and undergraduate student researchers. Experimenters then discussed the impact of online interactions and self-disclosure through various platforms with these researchers. Based on their feedback, we identified self-disclosure patterns as an area of potential impact and therefore included the Emotional Self-Disclosure Scale (ESDS) [148] to assess disclosure of specific emotions.

After incorporating feedback from student researchers, the survey was tested a second time through a pilot test with 26 college students across five U.S. universities, with additional qualitative follow-up questions in order to improve the pilot survey. Based on the findings, we reordered our quantitative survey questions to fit conversational conventions. The range for potential responses for usage of online platforms was also expanded in response to feedback. We also tested questions assessing trust across each platform (e.g., Please rate how much you trust the following communication platforms – Twitter). Students reported significant difficulty in answering this due to many confounding factors (e.g., data privacy, company scandals, misconceptions, etc), thereby increasing survey fatigue. As a result, all questions assessing trust of these platforms were removed.

Finally, we conducted a cognitive pretest and follow-up interview with a college student who had previously never seen the questionnaire. We observed the student participant as they filled out the questionnaire and gathered insights on their experience. The student expressed feelings of survey fatigue given the length of the questionnaire. In order to ensure accurate results, we incorporated validation questions (e.g., “What is the name of the current global pandemic?”) and reverse wording. Any responses that failed to correctly answer validation questions were removed.

### 3.3.2 Survey Questions

The distributed questionnaire was quantitative in nature, and demographic questions included self-identification of gender, university, and level of study (i.e., graduate or undergraduate student). Additional demographic data such as age and degree major was not collected to preserve participant privacy. The remaining part of the questionnaire can be separated into three sections: physical isolation, online interaction behavior, and scales to measure social support and loneliness.

## Assessing Amount of Physical Isolation from Others during the COVID-19 Pandemic

In the first part of the questionnaire, we address the level at which participants are physically isolated from other people during the pandemic by examining the number of in-person interactions they had. Questions first examined their behavior during the pandemic, through multiple-choice responses asking participants to indicate their adherence to standard government social distancing guidelines and select the level of quarantine that best matches their behavior, such as: (a) “*I am in mandatory quarantine (mandated by government to stay home)*”, (b) “*I am in voluntary self-isolation (not completely mandatory by government, but I am staying home)*”, (c) “*I am going about my daily business like usual (going to work, school, etc) but avoid restaurants, cinemas, etc*”, (d) “*I am going about my daily business like usual (going to work, school, etc) AND visit restaurants, cinemas, etc*”, (e) “*My work requires me to go out (e.g. medical, delivery, public transport) while others are recommended to stay home.*” Moreover, participants were asked to specify their in-person interactions from the previous week: including the number of days one spent self-isolating (not leaving the house), and the number of days one had face-to-face contact with another person for 15 minutes or more (including someone living in the same space). Furthermore, self-report questions asked participants to indicate the number of people they live with, the number of people they talk to, and their keyworker status. We also assess COVID-19 impacts through self-report questions such as relationship changes and whether the participant is located in a COVID-19 hotspot.

## Measuring Online Interaction During the Pandemic

The second part of the questionnaire examined college student online interactions during the COVID-19 pandemic. This seeks to gain insights into whether students are building community or socializing through online platforms. Multiple choice questions assessed online behavior prior to and during the COVID-19 pandemic, including the amount of time spent per week interacting on each platform (specific options included: “*Social media (Twitter, Facebook, Reddit, etc.)*”, “*Messaging (SMS, Facebook Messenger, DMs, etc.)*”, “*Videochat*” and “*Phone call*”). Participants reported how frequently they disclose across private platforms, based on the number of people in the interaction (i.e., “*How often do you discuss your emotional state with friends/family over... Phone call with 1 person, Phone call with 2+ people,*”... across phone calls, messaging and video calls).

Furthermore, we measured how willing participants were to self-disclose eight specific emotions online, then analyzed the differences between these emotions in order to better understand self-disclosure and its effect on perceived social support and loneliness at this time. We include a modified version of the Emotional Self-Disclosure Scale (ESDS) [148] to measure participant comfort when sharing emotions to another person during the COVID-19 pandemic. The ESDS is a popular assessment of how often individuals share certain emotions to a given person (e.g., friend, family, etc) and was modified for this study to specifically reflect only one-on-one messaging during the pandemic (as in-person communication was not an option for many in quarantine). Participants respond on a 1–5 scale of the willingness they are to disclose each of the given 40 emotion topics (i.e., How comfortable are you disclosing – “Times when you felt depressed”). We include all of the original 40 emotion topics of the original ESDS but request “the extent to which you discuss these feelings and emotions through private messaging”. The McDonald’s  $\omega$  for this scale in this study was 0.98, indicating this scale had good reliability.

## Understanding Perceived Social Support and Loneliness

The final part of the questionnaire seeks to assess specific mental health trends. This included the short form of the University of California, Los Angeles Loneliness Scale (ULS-8), which includes 8-items and is scored continuously, with higher scores indicating higher levels of loneliness [74]. This measurement, as well as the 20-item long form scale, has been widely used in the research community as a measurement of loneliness [138]. The McDonald's  $\omega$  of this scale for this study was 0.70, indicating this scale had good reliability.

We also included the Multidimensional Scale of Perceived Social Support (MSPSS), a 12-item measurement of social support from three collectives: family, friends, and significant others [178]. Participants indicate agreement with questions such as, "I can count on my friends when things go wrong," with higher mean scores indicating higher perceived social support. Previous research indicates that this measure has adequate psychometric properties for adults [28] and it is a popular tool for measurement during the COVID-19 pandemic specifically [96, 160]. The McDonald's  $\omega$  of this scale in this study was 0.90, indicating this scale had good reliability. Scores were cross-analyzed to consider the context of physical and online interaction.

### 3.3.3 Participant Recruitment

Recruitment targeted students above the age of 18 actively enrolled in accredited colleges in the United States during the Fall 2020 semester (September–December, 2020). Schools targeted held varying profiles, including small liberal arts colleges, large urban research universities, and rural universities with a high proportion of commuting students. Participants were recruited using three methods: emails from university administrators, Reddit posts, and Facebook advertisements. Instagram, which displays Facebook advertisements, and Facebook were chosen as avenues for recruiting as they were the most widespread methods of communication among college-age people [31]. Reddit was also chosen as a recruitment avenue as it allowed us to more directly target students from specific schools.

For the first of our three recruitment methods, we contacted about 200 administrators (e.g., academic deans, directors of student affairs, etc) at academic institutions directly by email to advertise this study to their students. Academic administrators then passed along this advertisement through the appropriate channels, depending on their institution. At least 58% of responses were gathered through this method.

Secondly, we posted advertisements using Reddit, a popular social media platform often used by other researchers to gather data during the COVID-19 pandemic [16, 175]. University-specific subreddits gave us the rare opportunity to target students across a variety of universities. Targeted universities were randomly selected from accredited schools from the US Department of Education's Database of Postsecondary Institutions and Programs [51]. We then determined if there was a subreddit for the institution, contacted the subreddit moderators for approval, and posted accordingly. In order to ensure well-rounded responses, schools were later narrowed down based on size and university COVID-19 response status [32], then randomly selected. Advertisements were posted on 80 university-specific subreddits, accounting for at least 30% of the responses gathered.

Finally, approximately 3% of participants were recruited through Facebook advertising. Using stratified sampling, participants were grouped based on university COVID-19 regulations in order to ensure adequate representation in our sample. As there were comparatively few responses from

universities with fully or primarily in-person status, the goal of this final round of recruitment was to target students from universities in this sub-group. The advertisement audience was limited to students (age 18-30) who identified on Facebook as currently attending universities who had been reported as having fully in-person or primarily in-person courses over the Fall 2020 semester [32].

This study intended to collect data from a wide variety of participants for reliability purposes (i.e.,  $N > 500$ ). A total of 1,328 individuals accessed the survey, however, 288 did not complete the survey, 122 declared they were not above the age of 18, 26 did not attend an accredited university in the US and 1 did not pass the validation question. We also monitored the amount of time responses took; as this survey took an average of 20 minutes, the 56 individuals who completed the survey under 5 minutes and the 8 individuals who took over 3 hours were removed for reliability purposes. After filtering, the final sample included a total of 827 participants.

Previous studies have examined the correlation between online interactions and social support outside of the COVID-19 pandemic [105], finding a medium correlation ( $r = 0.36$ ) between social support and number of hours interacting online. A power analysis was conducted for correlation sample size [77] with power  $\beta$  set at 0.10 and  $\alpha = 0.05$ , two-tailed. Results revealed that in order to receive a similar effect size ( $r = 0.36$ ), any sub-populations that we use should consist of at least 77 participants to reach statistical significance at the .05 level.

### 3.4 Results

Completed online survey results were collected from 827 actively enrolled college students across 97 accredited US institutions during the Fall 2020 semester (September–December, 2020). The majority of participants were undergraduate students (87.34%), and participants attended institutions across a wide variety of student body population sizes with 20.2% small ( $< 5,000$ ), 10.2% medium (5,000–15,000), 32.7% large (15,000–30,000), 36.9% huge (30,000+). Across the 97 institutions, there were an average of 24 participants per school ( $SD = 28.28$ ). Participants attended institutions across 34 states, with the majority of participants attending schools in the south (44%) and midwest (29%), followed by the west (17%) and northeast (12%) United States. 62% of students identified as female, 33% as male, and 3% identified as nonbinary. When asked about their household, 10.5% of students reported living completely alone, 81% lived with 1–4 people, and 9% of students lived with 5 people or more. The majority of participants (82%) did not identify as a government keyworker at the time of survey completion and 58% of students identified as living in a hotspot at some point during the pandemic.

Analysis using JASP and python scripts examined the linear relationship among various interaction variables with perceived social support and loneliness, as outlined in the sections below. Normality was checked using the Shapiro-Wilk test, showing all instruments followed a normal distribution. Descriptive analysis was determined for frequencies and percentages, Pearson’s and Spearman’s correlations were examined for linear relationships, and one-way analysis of variance (ANOVA) analyzed the differences between select groups. Tukey post-hoc tests determined the honest significant differences between groups.



### Correlations with Social Support and Loneliness

	In-Person Interaction	Hours Interacting Online	Disclosure Online
Loneliness	-0.23***	0.05	-0.10**
Social Support	0.20***	0.073*	0.29***

**Table 3.1:** Correlations for social support and loneliness by frequency of self-disclosure were examined across each one-on-one and group platforms. Note that *higher* levels of social support and *lower* levels of loneliness are the desired outcomes. Online disclosure has a larger effect on both social support and loneliness than hours interacting online. Furthermore, self-disclosure had a stronger relationship specifically with social support than loneliness across all platforms. \* $p < 0.05$ , \*\* $p < 0.01$ , \*\*\* $p < 0.001$ .

#### 3.4.1 Impact of Physical Isolation on College Students

##### Social Impacts of Physical Isolation

Participants reported the impact of COVID-19 on their relationships: friendships seemed to suffer heavily, as 64% of students felt COVID-19 had a negative impact on their friendships, whereas only 36% of students felt COVID-19 had a negative impact on their familial relationships. In general, close personal relationships (e.g., best friend, close relatives) did not suffer as much as distant relationships (e.g., acquaintances), with 44% of students reporting a negative impact on close relationships, and 63% reporting a negative impact on distant relationships.

##### Emotional Impacts of Physical Isolation

We also investigated behavioral patterns to gauge how physical quarantine during the COVID-19 pandemic impacted perceived social support and loneliness. Participants self-reported their behavior in one of five groups: mandatory quarantine (1.67%), voluntary quarantine (25.24%), normal life but avoiding select public areas (47.98%), completely normal life (21.43%), and keyworkers going to public areas as part of a condition for employment (3.57%). In our study, when we compare across quarantine levels, we do not include individuals who identify as keyworkers, as keyworkers have been found to have higher levels of loneliness and lower levels of social support during the pandemic compared to the rest of the population [79].

A one-way ANOVA examining the differences between loneliness across quarantine levels showed a small significant variation among groups  $F(21.8, 793) = 9.67, p < 0.001, n^2 = 0.02$ . Post-hoc Tukey tests revealed an honest significant difference ( $p < 0.001$ ) between loneliness, as those living under strict quarantine (voluntary or mandatory) reported higher levels of loneliness ( $Mean = 3.14, SD = 1.11$ ) than those living in normal life without quarantine ( $Mean = 2.68, SD = 1.06$ ). An honest significant difference was almost found ( $p = 0.06$ ) as those living under strict quarantine reported higher levels of loneliness ( $Mean = 3.14, SD = 1.11$ ) than those living under some quarantine restrictions ( $Mean = 2.95, SD = 1.03$ ). An honest significant difference ( $p = 0.02$ ) was also found as those living under some quarantine restrictions reported higher feelings of loneliness ( $Mean = 2.95, SD = 1.03$ ) than those living in normal life without quarantine ( $Mean = 2.68, SD = 1.06$ ).

Interestingly, a one-way ANOVA revealed no differences in perceived social support between quarantine groups, as the effect size was zero  $F(6.85, 793) = 3.23, p = 0.04, n^2 = 0.00$ , however those under stricter quarantine groups reported slightly lower social support ( $Mean = 3.57, SD = 1.12$ ), followed

by those under some restrictions ( $Mean = 3.75, SD = 0.94$ ), and finally those living their life normally without quarantine reported the highest social support ( $Mean = 3.82, SD = 1.12$ ). It appears that individuals under stricter quarantine are experiencing increased levels of loneliness, but do not exhibit differences in social support. As individuals in stricter quarantine are spending more time physically distant from others, it is possible they are receiving social support digitally.

In addition to quarantine status, we also ran Pearson's correlations to examine the linear relationship between the actual number of people participants interacted with during the pandemic (including both online and in-person interactions) and both perceived social support and loneliness. We found a very weak positive correlation ( $r = 0.11, p < 0.001$ ) between an increase in the number of people interacted with and perceived social support. Moreover, a Pearson's correlation for the number of people interacted with and reported loneliness showed a weak negative correlation ( $r = -0.17, p < 0.001$ ).

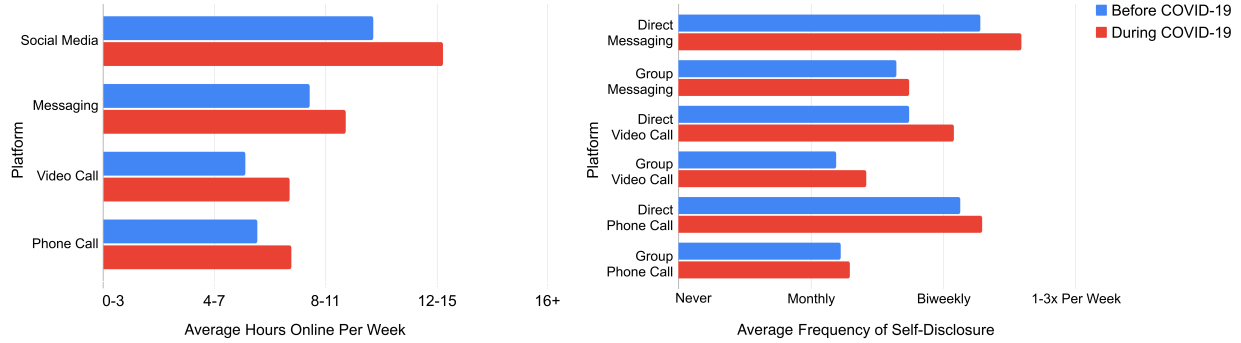
As 84% of students reported feeling a large difference between online and in-person conversations, the implications of each on perceived social support and loneliness were examined individually. We compare each of amount of in-person interaction, amount of online interaction, and frequency of disclosure in private communication online with feelings of social support and loneliness, as shown in Table 3.1. In-person interaction was classified as the self-report number of days over last week in which a participant had a physical, face-to-face interaction with another person for at least 15 minutes (including someone living with them). It appears that both social support and loneliness have moderate correlation with in-person interactions, however, social support has much stronger correlations with online interaction, specifically self-disclosure (i.e., the frequency of discussing one's emotional state online). Although we find social support and loneliness to be moderately correlated with each other ( $r = -0.41, p < 0.001$ ), this suggests they may be impacted by different factors. This may point to loneliness being an emotion that can be more effectively mitigated through in-person contact than online interactions.

## Demographic Differences

As our study includes various demographic groups, we also check for differences in feelings of social support or loneliness and in online platform usage between demographic groups. For participant privacy we only collected gender and undergraduate/graduate student status for demographic measures. A one-way ANOVA found a very weak significant difference in loneliness between undergraduates and graduate students  $F(1,823) = 11.18, p < 0.001, n^2 = 0.01$ , with a post-hoc Tukey test revealing an honest significant difference ( $p < 0.001$ ). Although undergraduates ( $Mean = 2.97, SD = 1.06$ ) reported feeling 14% more lonely than graduate students ( $Mean = 2.59, SD = 1.1$ ) a one-way ANOVA revealed no significant differences for social support  $F(1,823) = 2.35, p = 0.13, n^2 = 0.003$ . Furthermore, when considering online social interactions, no significant differences were found between these groups for the number of hours spent online  $F(1,823) = 16.33, p = 0.21, n^2 = 0.002$ .

Next, we considered the differences in perceived social support and loneliness between male and female participants. Interestingly, a one-way ANOVA found no significant difference for social support  $F(1,788) = 1.25, p = 0.26, n^2 = 0.002$  or loneliness  $F(1,788) = 0.093, p = 0.76, n^2 = 0.00$ . However, one-way ANOVA's revealed significant differences in their online behavior. A very weak significant difference was found for the amount of time spent online  $F(1,788) = 6.05, p = 0.01, n^2 = 0.008$ , with a post-hoc Tukey test revealing an honest significant difference ( $p = 0.01$ ), as women ( $Mean = 8.76, SD = 3.35$ ) reported spending more time communicating online than men ( $Mean = 8.17, SD = 2.88$ ). Similarly, a very weak significant difference was found for the amount of time spent self-disclosing

### Change in Online Interactions During the COVID-19 Pandemic



**Figure 3.1:** The average self-reported online interaction frequency was compared before and during the COVID-19 pandemic. The average hours spent interacting on each platform per week (left), as well as frequency of self-disclosure (right) on each platform was calculated. College students saw an increase in usage across all platforms, with the largest being social media. A greater increase was found in frequency of self-disclosure across these platforms, and students on average spend more time disclosing over one-on-one platforms instead of group platforms, with the most being one-on-one messaging.

online  $F(1,788) = 26.4, p < 0.001, n^2 = 0.03$ , with a post-hoc Tukey test revealing an honest significant difference ( $p < 0.01$ ), as women ( $Mean = 12.10, SD = 5.7$ ) reported spending more time than men ( $Mean = 10.01, SD = 4.91$ ) disclosing online. For both of these genders, Spearman's correlations revealed strong relationships between increased online self-disclosure and perceived social support (female:  $r = 0.31, p < .001$ ; male:  $r = 0.25, p < .001$ ).

#### 3.4.2 Changing Trends in Online Platform Usage

We next examined changes in platform usage during the pandemic to understand the effects of communicating with a greater number of people online. For the purpose of our study, we consider public online interactions as posts made on social media platforms (e.g., Facebook, Twitter, Reddit, etc.), that allow for a diverse audience of recipients; we consider private online interactions as messages sent directly to an individual or group of specific recipients (e.g. DMs, texts, phone calls, etc.).

We compare the average self-reported frequency of platform usage and self-disclosure across online platforms before and during the COVID-19 pandemic in Figure 3.1. We saw an increase in time spent across each online platform surveyed, in line with previous research showing general increases in student online activity during the pandemic [98]. Students spent at least 4 hours on average on each platform and spent the most amount of time on public social media.

Although students spent the most time on public social media, this was the only platform to show negative consequences with perceived social support and loneliness. More specifically, increased loneliness (Pearson's  $r = 0.15, p < 0.001$ ) and, although insignificant, decreased social support (Pearson's  $r = -0.06, p = 0.10$ ) was found to be associated with increased time spent interacting on social media. Interestingly, all other private platforms (messaging, video calls and phone calls) saw the opposite effect, and were associated with decreases in loneliness and increases in social support. As a result, we sought to better understand the positive effects of private platforms as well as investigate properties of these interactions that affect feelings of loneliness and perceived social support in the following section.

### 3.4.3 Patterns in Self-Disclosure in Private Online Interactions

As previously mentioned, we saw an increase in usage across all private platforms during the pandemic (Figure 3.1). Of all the private platforms examined, users spent the most time messaging, and the least time communicating over video call. Overall, while we saw an increase in self-reported frequency of self-disclosure across all private platforms, trends between platforms seemed to stay consistent between the time before and during the pandemic. Similarly, it appears students most frequently self-disclose over messaging, and least frequently disclose over video call. Furthermore, students more frequently disclose their emotional state across one-on-one platforms ( $Mean = 2.32$ ,  $SD = 1.43$ , indicating biweekly disclosure on average) than group platforms ( $Mean = 1.49$ ,  $SD = 1.04$ , indicating monthly disclosure on average), with one-on-one messaging being the most frequent ( $Mean = 2.59$ ,  $SD = 1.45$ ), as shown in Figure 3.1.

Upon closer examination, we found the frequency of self-disclosure to have much stronger relationships with both social support and loneliness than the hours spent generally interacting online, as shown in Table 3.1. As a result, we compared self-disclosure across different private online platforms to see effects on social support. As shown in Table 3.2, Pearson's correlations revealed all one-on-one platforms ( $0.19 \leq r \leq 0.25$ ,  $p < 0.001$ ) to have a stronger relationship with perceived social support and loneliness than group platforms ( $0.09 \leq r \leq 0.13$ ,  $p < 0.001$ ). Moreover, frequency of disclosure had a stronger relationship with social support than loneliness across all platforms, with the strongest being one-on-one messaging ( $r = 0.25$ ,  $p < 0.001$ ). In line with previous findings, social support has stronger relationships with self-disclosure than loneliness across each platform. One-on-one communication appears to have stronger ties than group communication, and specifically one-on-one messaging seems to have the strongest connection to social support.

### 3.4.4 Case Study Insights: Students Who Shifted All Interactions Online

We analyzed a small group of students ( $N = 3$ ) who most extremely represented very little in-person interaction but had a very high increase in their public online interaction. We sought to examine the effects of complete isolation and determine if online interaction was an effective substitute for face-to-face interaction in this edge case population. The individuals in this sample were in mandatory or voluntary quarantine, living completely alone (no roommates or pets), and reported having absolutely no in-person interaction for 15 minutes or more with another person in the past week. In terms of online interaction, this group consisted only of individuals with the lowest (25<sup>th</sup> percentile and below) prior public social media experience and those who were in the 75<sup>th</sup> percentile and higher for their change in online interaction due to COVID-19.

**Self-Disclosure Across Online Private Platforms**

	Social Support			Loneliness		
	Messaging	Phone Call	Video Call	Messaging	Phone Call	Video Call
One-on-one	0.25***	0.23***	0.20***	-0.05	-0.12***	-0.12***
Group	0.13***	0.10**	0.09**	-0.09**	-0.09**	-0.06

**Table 3.2:** Correlations for social support and loneliness by frequency of self-disclosure were examined across each one-on-one and group platforms. Self-disclosure had a stronger relationship with social support than loneliness across all platforms. Furthermore, disclosure across one-on-one platforms had a stronger relationship than disclosure across group platforms, with one-on-one messaging having the strongest correlation. \* $p < 0.05$ , \*\* $p < 0.01$ , \*\*\* $p < 0.001$ .

We expected the effects of online interactions on feelings of loneliness and social support to be reflected especially strongly in this group, as these individuals had both a lack of physical interactions and a large increase in online interactions. Thus, results from this group were used as justification to further investigate whether the findings held for the entire participant population.

The three members of this group consisted of the following:

- P1, a female undergraduate student at a large institution conducting hybrid instruction, located in a COVID-19 hotspot in the southern United States. P1 reported the highest level of loneliness (*score* = 4.75), and the mid-range level of social support (*score* = 3.50) of this group.
- P2, a male graduate student at a large institution conducting online instruction, located in the midwestern United States. P2 reported the mid-range level of loneliness (*score* = 4.125), and the lowest level of social support (*score* = 2.75) of this group.
- P3, a female undergraduate student at a medium sized institution conducting hybrid instruction, located in a COVID-19 hotspot in the southwestern United States. P3 reported the lowest level of loneliness (*score* = 3.00), and the highest level of social support (*score* = 4.33) of this group.

All three members reported practicing social distancing in either voluntary or mandatory quarantine since at least April 2020, and were above the 75<sup>th</sup> percentile for prioritizing physical distancing during the COVID-19 pandemic. None of the three members were categorized as keyworkers under the COVID-19 CDC guidelines [57].

## Impacts of Living Alone During the COVID-19 Pandemic

**In case study:** All three members were completely isolated from in-person interactions and lived alone. As P3 had higher social support (*score* = 4.33) than the general population average of this study (*Mean* = 3.73, *SD* = 1.03), we examined the impacts of living with others on the entire participant population.

**In study population:** The entire dataset was divided into two groups: those living alone ( $N = 87$ ) and those living with other people ( $N = 740$ ). A one-way ANOVA revealed a small significant variation in loneliness between these groups  $F(1,825) = 10.47, p = 0.001, \eta^2 = 0.01$  and a Tukey post-hoc test revealed an honest significant difference ( $p < 0.001$ ). Students living with other people were significantly less lonely (*Mean* = 2.91, *SD* = 1.07) than those living alone (*Mean* = 3.25, *SD* = 1.09). This matches the findings of current research studies during the COVID-19 pandemic [68], where living alone was found to double the odds of one feeling lonely in the UK general population during the pandemic. Interestingly, a one-way ANOVA and Tukey post-hoc test revealed students living with other people had no honest significant difference in social support than those living alone ( $p = 0.12$ ). This indicates that although students living alone are likely to be lonelier, they do not necessarily feel less socially supported. It is possible that these students are receiving social support through other means, or perhaps loneliness has stronger ties with in-person interactions.

## Social Support vs. Online Disclosure and In-Person Conversation Frequency



## Loneliness vs. Online Disclosure and In-Person Conversation Frequency



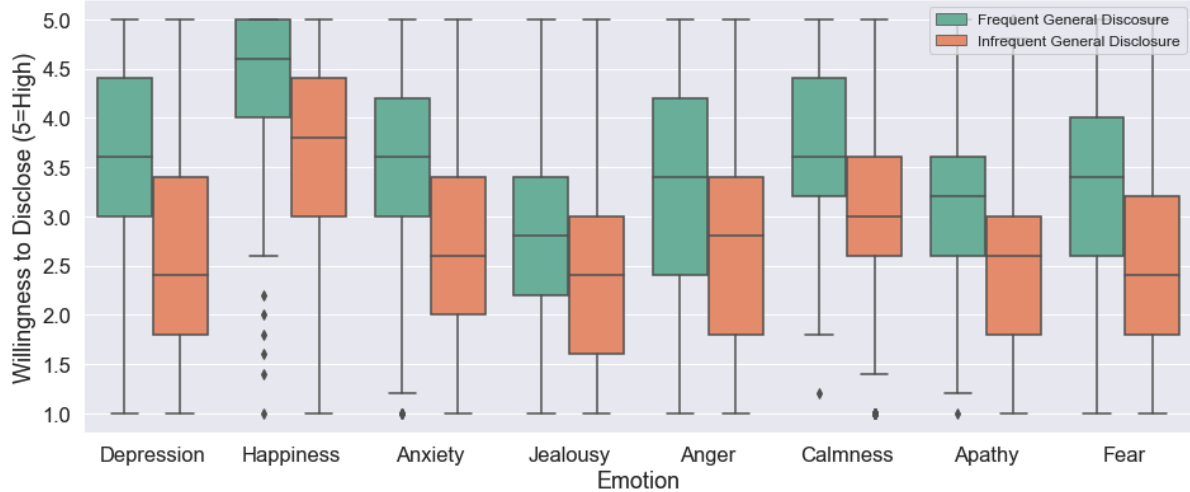
**Figure 3.2:** The average social support and loneliness is plotted against the days with in-person interactions (x-axis) and the frequency of emotional disclosure across each private online platform (y-axis). The more frequent disclosure occurs online, regardless of in-person interactions, is associated with increased social support across all three platforms. Those with daily in-person interactions still benefit slightly from online disclosure across each platform. Conversely, those having fewer than 2 days of in-person interaction per week and overall no online contact are associated with the least amount of social support. In contrast, there does not seem to be a clear association between frequency of online disclosure and loneliness. Though users who disclose daily over video call seem to report lower levels of loneliness, daily disclosure over messaging and phone call does not consistently relate to lower loneliness.

## Comparing Online and In-Person Interaction for Social Support

**In case study:** Despite all three group members being completely physically isolated, with no in-person interaction, their perceived social support ( $Mean = 3.52$ ) was still within one standard deviation of the general population ( $Mean = 3.78$ ). As we know that these participants had a large increase in their online communication during the COVID-19 pandemic, we look more closely into the relationship between social support, frequency of self-disclosure over private messaging, and frequency of in-person interactions.

**In study population:** Figure 3.2 displays a comparison of social support across in-person interactions and online self-disclosure for each platform. Online self-disclosure across all platforms was shown to be associated with increased social support, even when individuals were more physically distant. Specifically, students who only interacted in-person and never disclosed their emotions over messaging reported roughly the same level of perceived social support ( $Mean = 3.52$ ) as individuals who also disclosed their emotions frequently, but rarely interact in-person ( $Mean = 3.84$ ). This indicates that regardless of the number of in-person interactions, self-disclosure across messaging has similar ties to social support. Furthermore, of individuals who do not interact in person, those who

### Emotions Shared Based on Frequency of General Messaging Disclosure



**Figure 3.3:** Data is grouped by those disclosing over messaging platforms at least weekly (frequent) or those disclosing monthly or less (infrequent). The specific emotions shared are plotted, revealing significant differences between groups across all emotions, with greater increases for more negative emotions (depression, anxiety, fear), with the exception of anger. It appears that those who are more willing to disclose are specifically referring to negative emotions, not disclosure of positive emotions. It appears that students who share negative emotions more frequently may perceive added benefits to social support.

also did not self-disclose over messaging reported far lower levels of social support (*Mean* = 2.92) than those who frequently self-disclose over messaging (*Mean* = 3.84). This suggests that the practice of self-disclosure online could serve as an effective means of fostering social support even without in-person interactions.

### Social Support in Messaging: Perception and Disclosure by Emotion

**In case study:** We noticed that the individual in this group reporting the least amount of loneliness and highest social support (P3) also reported the highest agreement with messaging helping them cope (score=4), whereas P2 and P3 reported messaging not helping at all or only slightly (score=1, score=2, respectively).

**In study population:** On average, we found the general population to be unsure of whether messaging was helping them cope (*Mean* = 3.17, *SD* = 1.22). This, in conjunction with our finding that frequency of disclosure over messaging is moderately correlated with feelings of social support calls for a deeper dive into disclosure over messages.

We sought to understand the specific emotions shared by those with increased willingness to disclose. Pearson's correlations analyzed student willingness to disclose a fixed set of emotions surveyed on the ESDS Scale (depression, happiness, jealousy, anxiety, anger, calmness, apathy, fear) [148] and perceived social support and loneliness. Increased willingness to disclose each emotion surveyed had moderate significant positive correlations with perceived social support ( $0.27 \leq r \leq 0.33$ ,  $p < .001$ ), as

well as weak significant negative correlations with feelings of loneliness ( $-0.010 \leq r \leq -0.007$ ,  $p < .001$ ).

We examined the specific emotions disclosed by those who reported disclosing over messaging platforms at least weekly (frequent) or those disclosing monthly or less (infrequent), as shown in Figure 3.3. One-way ANOVAs were conducted across each emotion, revealing significant differences between groups across all emotions. However, we find that participants who disclose more frequently had greater increases in willingness to disclose for more negative emotions (depression, anxiety, fear) than more positive emotions (e.g. happiness, calmness), with the exception of anger, as shown in Appendix A, Table 3.3. As increased disclosure was found to be associated with increased perceived social support, this may indicate that negative emotions play a larger role than positive emotions in how individuals perceive social support online.

### 3.4.5 Main Takeaways

Overall, our results reveal the role of online interaction during periods of physical isolation during the COVID-19 pandemic (RQ1). We find that during this period, college students in stricter quarantine have experienced higher levels of loneliness, but have appeared to maintain their levels of social support. We similarly find that increased feelings of social support and decreased feelings of loneliness both correlated moderately with amount of in-person interaction. In contrast, social support correlated with frequency of emotional disclosure online ( $r = 0.29$ ,  $p < 0.001$ ) much more strongly than loneliness did ( $r = -0.1$ ,  $p < 0.01$ ). Students who engaged more over public social media also reported higher levels of loneliness and, though not statistically significant, lower levels of social support. Meanwhile, students who engaged more over private communication channels reported lower levels of loneliness and higher levels of social support (RQ2). Additionally, we find that among these private online platforms, one-on-one interactions appear to be more conducive to self-disclosure than group interactions (RQ3). We find that the act of self-disclosure on private interaction platforms (messaging, phone, video calls) is associated with higher levels of perceived social support (RQ4), and that among these, users self-disclose most frequently over messaging and least frequently over video call (RQ5). Finally, we find that students who self-disclose daily over online platforms show similar levels of social support as students who interact daily with others in person.

## 3.5 Discussion

Our study sought to investigate (1) whether online interactions during the COVID-19 pandemic were allowing college students to compensate for the loss of in-person interactions, and (2) how different dimensions of online interactions (i.e. public/private, group/one-on-one, active/passive, and self disclosure) impact feelings of perceived social support and loneliness during the COVID-19 pandemic.

Below, we emphasize four implications of our work. First, we investigate the lack of apparent benefit between public online interactions and individuals' perceived social support and loneliness. Next, we highlight the unexpected benefits associated with private messaging that we do not see in phone or video calls. Third, we analyze the differences between one-on-one and group communication methods and why the latter appears to be less conducive to providing social support. Lastly, we consider the divergent effects of online communication on perceived social support and loneliness.



### 3.5.1 Public Posting does not seem to have a Positive Effect on Perceived Social Support and Loneliness

In contrast to private online interactions, which encompass more intimate interactions on private messaging, phone, and video calls, public online interactions include engagement within social media platforms (e.g., Facebook, Twitter) which allow for a diverse audience of recipients that, in many cases, may be unknown to the poster. We questioned the effect of public and private online interactions on feelings of social support and loneliness, and found that, while private messaging is associated with benefits to social support and reducing loneliness, the same does not hold for public online interactions.

By nature, public online interactions typically allow users to reach a wider number of people than private platforms. Similar to other studies conducted during the pandemic (e.g., [13, 60, 117]), our results show an increase in online interaction, particularly on social media. Participants reported spending more time on public social media than any other platform (e.g., messaging, phone call, video call), again matching previous findings in the US during the pandemic [161].

Given the large body of contrasting literature into the implications of social media use on various constructs related to mental health (e.g., [60, 110, 133, 140, 161]), including social support and loneliness; our findings that time spent on public platforms is correlated with higher levels of loneliness would seem to support the idea that public social media use has a negative effect on feelings of social support and loneliness. However, given the positive associations we find for private communication platforms, this explanation appears insufficient. While our analysis is not exhaustive, we propose a few considerations for why this pattern may exist.

We already expect to see a shift online with the beginning of the COVID-19 crisis, based on known behavioral patterns such as geographical convergence and its online equivalent [122, 147]. However, if this were the only factor, then we would expect to see similar social support and loneliness patterns across all online platforms. That we see differing patterns in public and private communication platforms indicates that other factors may be impacting college students.

Previous work has tied increases in online interaction to the Social Compensation Hypothesis [110], which suggests people gravitate towards online interactions to compensate for in-person social deficits [106]. Some people may attempt to increase their popularity online to compensate for their perceived lack of offline popularity [181] or increased introversion in offline social settings [106]. In the context of the COVID-19 pandemic, we may see students moving online to compensate for a lack of in-person interaction due to quarantine and social-distancing efforts.

Public social media platforms inherently enable users to interact with more people at once. They may therefore be the natural place for students to go to for companionship when they are feeling lonely or in need of support from a variety of perspectives. This would mean that it is the *existence of those negative feelings* that drives the correlation with social media use, rather than social media being the cause of negative feelings. However, what this does not explain is why we still see high levels of perceived social support associated with significant amounts of use and emotional disclosure across private platforms.

We can also examine this disparity between public and private messaging from the perspective of the Interpersonal Connection Behaviors (ICB) Framework. This Framework theorizes that outcomes of online platforms depend on whether users are actively using them for relationship building [34, 35]. Public social media usage in general does not inherently imply either active or passive use, and indeed some recent work has shown that traditionally “passive” activities could also be relational in

nature [23]. However, traditional passive activities (e.g., reading/scrolling without commenting) are primarily associated with public platforms.

If we make the assumption that most time spent on public platforms is passive interaction, while a relatively large percentage of private online interaction (particularly when self-disclosing) is active, then our findings are aligned with the approach of the ICB Framework. We would expect to see negative outcomes associated with public platform usage in this case, along with more positive associations with private platform usage. This would also tie with previous work that theorized that private online interactions are more indicative of true emotion as they encourage communication more similar to in-person conversational patterns [104].

Finally, as social support and loneliness are, by their nature, emotions affected by interaction, maybe the lack of positive outcomes over platforms is a result of lack of interaction. That is to say, it may be that students gain less reciprocation and response over public platforms than in private communication. While it is possible to direct a public social media post towards a particular person (for example, Tweeting “at” another user), this is not the intended type of interaction for those platforms. Thus when a student posts on a public platform, there may not be significant or sufficient responses to elicit a positive outcome. In contrast, when a student communicates over a private platform, there is a specific person or group of people who are being contacted to engage, increasing the likelihood of a response.

This interaction pattern is reminiscent of known psychological phenomena, first being “diffusion of responsibility”, where as the number of bystanders increases, an individual bystander shares the moral responsibility of intervening to assist the victim [92], bringing about nonintervention known as the “bystander effect” [61]. Recent work suggests this phenomena is linked to social media behavior and online victimization [101]. In the context of online interactions, this becomes more apparent when we look at an example: Say a user is feeling particularly negative and wants to reach out for support. Consider an identical message, say “I am feeling very sad today, please help cheer me up”, posted on a public and private platform. In a public space, other users see the message but, knowing that there are many other people also seeing the same message, may believe that they do not have a satisfactory response, and that someone else would take up that emotional burden. In a private space, a user seeing this message is singled out, and is more likely to respond and engage.

### **3.5.2 Private Interactions and Self-Disclosure Benefits**

In comparison to public platforms, each private platform (messaging, phone call, video call) showed significant correlations with both increased social support and decreased loneliness. We also found self-disclosure over private platforms to be the strongest predictor of perceived social support, even when compared to frequency of in-person interactions. As shown in Figure 3.2, the more time one spends disclosing online, regardless of the frequency of face-to-face conversations, is associated with greater social support when done so across private platforms.

In the past, private online interactions have been theorized to facilitate interpersonal connections more often than public online interactions because they more closely resemble in-person conversation [104]. Specifically, the inherent privacy provided by direct conversation itself, the perceived control that results from that privacy [177], and the relative speed of conversation that leads to a lack of revision—and subsequently less emotional filtering [104] are credited with providing an experience closer to spoken conversation. Self-disclosure over private platforms is also perceived as more

appropriate than disclosure over public platforms [17].

### **Unexpected Benefits of Messaging as Opposed to Phone or Video Calls**

Intuitively, it makes sense that the closer an online platform feels to face-to-face interaction, the more it would be used for self-disclosure. As mentioned previously, previous work has attributed more frequent and more accurate disclosure in private platforms over public platforms to similarities between private platforms and spoken conversation. We would expect this pattern regardless of whether the platform is intentionally selected to be used for the purpose of disclosure, or whether the platform is already in use and provides a comfortable space for self-disclosure.

With this in mind, there are several auditory features often found during face-to-face conversation that are not captured by messaging but are present in phone calls, most obviously tone and verbal pauses. Video call takes this a step further and also provides facial expression and body language. We would therefore expect students to disclose most frequently and effectively over video call as it is most similar to face-to-face interaction. However, as shown in Table 3.2, amount of self disclosure over *messaging* was actually found to correlate most strongly with feelings of social support, with students who reported disclosing daily over one-on-one messaging reporting 1.21x higher social support than those who disclosed rarely or never.

We speculate this may be due to the added control that messaging provides as well as perceived privacy in the self-disclosure, which previous work suggests facilitates social support [2]. In many ways, messaging could be considered more private than face-to-face interactions, phone calls, and video calls. The lack of spoken responses means that people outside of the direct conversation cannot overhear anything shared. The addition of message disappearing times (such as those seen in Snapchat or Signal) also reduces the chance of messages being screenshot and shared, providing even more confidence. The lack of auditory and visual cues also allows users to present their thoughts without emotional tells, something that may also be privacy-protecting. While this may seem counter-intuitive, if we consider situations where emotional responses can hamper ability to communicate, we can see that messaging may be the most effective method of communication. For example, at times a speaker may begin to cry when upset, making it difficult to effectively verbalize their thoughts, and potentially leading listeners to ignore or dismiss their words. However, typing avoids this by allowing users to effectively convey their thoughts over text. Furthermore, where phone and video calls may allow for more unfiltered conversations and shows of emotion, private messaging may allow users to tailor their responses and share information in a more selective manner. This may allow for more thoughtful responses, and more consideration of any meaningful messages sent.

Private messaging is the only platform in this study that is both textual communication and extremely private. This difference could lead to higher levels of reciprocated disclosure and intimacy, which may in turn contribute to stronger feelings of social support across messaging platforms. We suggest future research look into the qualitative differences between the content and information shared by individuals over both in-person and private online interactions.

Additionally, previous work has shown people are more likely to reciprocate actions of self-disclosure over methods that are least expected for self-disclosure [80]. As common intuition tells us that disclosure is more expected over phone calls or video calls, it follows that disclosure is less expected over messaging. This would make any disclosure over messaging seem more meaningful and a symbol of a closer relationship, something that is suggested by the Hyperpersonal Interaction

Model [80]. It is likely additional reciprocation may also be tied to higher feelings of social support.

### **Disclosure was Less Frequent across Group Chats**

Just as we found a difference in interaction patterns for public and private platforms, we also investigate differences in self-disclosure between one-on-one communication and group communication (i.e., one-on-one messaging vs. group chats). As shown in Table 3.2, we found self-disclosure across private one-on-one interactions to have stronger ties to social support than self-disclosure across private group interactions. This begs the question of how group dynamics affect the benefits of active platform usage.

Previous work on face-to-face conversations has shown that in-person self-disclosure most frequently occurs in one-on-one conversations, and is much less likely in larger group sizes due to the inherent association self-disclosure has with intimacy and relationship closeness [149]. This, in conjunction with our previous insights about aspects of private messaging that may encourage disclosure, may indicate that the similarities shared between public platforms and private group conversations are the reason for this difference. Compared to one-on-one, group interactions are less private, and may suffer from the same diffusion of responsibility that we speculated may impact public posting. Prior work has similarly shown that disclosure within in-person groups often suffers from inherent limitations, such as disclosure fears, reservations, and diffusion of responsibility compared to disclosure among dyads [38].

Coming from the perspective of the ICB Framework, we then argue that online group interactions, especially group messaging, may take on a more passive form, especially as group size increases. Similarly, public social media posting can be considered a group interaction at a large scale, and therefore represents a far more passive version of online communication than one-on-one private interactions, potentially explaining the original assumption that public platforms encourage passive interaction. Given this, we make the argument that the passive/active dichotomy presented in the ICB Framework is heavily affected by privacy of a platform and a user's willingness to self-disclose.

### **3.5.3 Divergent Effects of Online Communication on Loneliness and Perceived Social Support**

Through our analysis of several factors that seem to influence the behavior and outcomes of online interactions during COVID-19, we found that levels of perceived social support and loneliness are not necessarily affected by the same factors during periods of physical isolation. Therefore, this section analyzes the differences in how online communication seems to impact social support versus loneliness. In particular, we note that while perceived social support seemed to be positively associated with factors such as private online interactions, one-on-one communication, and self disclosure, the same factors did not result in subsequent decreases in feelings of loneliness. This matches previous findings of persistent levels of loneliness across a seven week lockdown period [25] despite evidence of increased online communication during this time [60, 117, 161]. In comparison, our findings show that in-person factors seemed to have a much greater effect on loneliness than social support. While individuals living alone reported being significantly lonelier than those living with others, we find no significant difference in perceived social support between those living alone and those living with others. This is consistent with the work of Elmer et al., which found that although students reported increased loneliness, there were no significant shifts in social support during the COVID-19 pandemic [53]. However, the causal relationship behind these patterns is still unclear. It is possible

that persistent levels of loneliness may stem from loneliness being a shorter-term feeling based on current experiences, whereas perceived social support is a measure of an individual's existing support and community network. Therefore, an individual's perceived social support prior to the COVID-19 pandemic may serve as a deterministic factor in the level of social support they feel even during physical isolation. Individuals with large existing support networks may simply have a larger network of people with whom they feel comfortable interacting and self-disclosing, whether that is in person or online. On the other hand, as loneliness is an emotion that can change from moment to moment, it may simply be difficult to mitigate online without in-person interaction. As our study was limited to correlation data surrounding these factors, future research should take a causal approach to investigate the impact of online interactions on social support and loneliness.

### 3.6 Limitations

There were a few areas where this study was limited. First, there is a potential limitation in the recruitment method used. As we advertised exclusively through online channels (e.g., university email services and social media), it is possible that students without regular internet access would be under-represented in this study. Furthermore, our social media reach was limited by our use of Facebook advertisements (including on Instagram) and Reddit posts, so students that do not use those platforms were under-represented in this study. Online recruitment allows researchers to get a large reach of participants, including those under strict quarantine restrictions, however, individuals who do not use social media at all or are unresponsive to email prompts may be excluded from this sample.

In addition, we filtered participants based on the question, "Are you currently enrolled in a college/university in the United States?". Filtering based on this criteria includes all active students in our sample. This entails that our sample may have included remote international students who are enrolled in US colleges and universities, but live abroad. These students may live under varying COVID-19 regulations depending on their home countries, so their experiences may differ from students living in the US. Furthermore, the sample may include students who are enrolled part-time, another group whose experiences may differ from full-time university students. Regardless, all participants in our study are active members of the student body, and are affected by the regulations imposed by US universities.

Another limitation to this study was the inability to determine causation between the variables analyzed. Due to the observational nature of this study, we were only able to identify correlations between variables. For example, findings that correlate higher levels of social support with higher levels of messaging cannot be generalized to say that messaging causes students to feel more socially supported. To determine causality, future work should consider randomized controlled trials to better understand the causal relationships between online interactions and mental state.

Lastly, as with all voluntary survey-based studies, responses to this study were all self-reported, which could lead to self-selection biases or inaccuracies in survey responses. Participants willingly elected to complete the survey online and their decision to participate could reflect inherent, biased characteristics. Furthermore, self-report affords the possibility that participants might exaggerate their scores or struggle to introspectively assess their behavior accurately. In order to combat this, the questionnaire underwent several rounds of pilot testing, and incorporated validation questions (e.g., "What is the name of the current global pandemic?") and reverse wording to ensure accurate results.

Survey-based studies of this form are very common methods of measurement in modern research, and the mental health scales distributed all showed a high level of internal consistency.

### 3.7 Conclusion

The COVID-19 pandemic caused a major upheaval in the lives of college students, a group that already has been found to have high levels of mental health challenges [120]. The severe impact of COVID-19 in the United States has forced many of its colleges and universities to adopt remote instruction policies that confine students to various states of physical isolation, resulting in increased psychological challenges [29, 96].

Previous research reveals young adults interact on social media more than any other age group in the US [31], and an increase in usage across online platforms during the COVID-19 pandemic [60, 117, 161], as echoed in our results. With this in mind, the increased physical isolation during the COVID-19 pandemic provided the unique opportunity to investigate whether online interactions are truly an effective substitute for in-person interactions in mitigating loneliness and fostering social support. Online interactions were found to have stronger, positive ties with perceived social support than loneliness. Although we cannot prove causation, digital platforms showed promise for fostering social support in physically isolated individuals.

In this study, we investigate and reveal the positive relationship between private online communications on student social support during the COVID-19 pandemic. Private platforms were found to have greater positive ties to social support than public social media. We find self-disclosure across private platforms held the strongest ties to perceived social support, specifically on messaging platforms as opposed to phone and video calls, perhaps due to the privacy and flexibility messaging provides. We also examined group dynamics online, revealing one-on-one communication to have much stronger ties with social support than group communication. Overall, we see clear ties between online communication and feelings of social support, and suggest further study into private platforms and self-disclosure specifically.

Despite the psychological challenges of the COVID-19 pandemic, it appears that students are using advanced technologies to find new ways of receiving the support they need while in physical isolation. As online interactions were not found to mediate loneliness as much, interventions are needed for physically isolated individuals so that all students can feel connected and effectively bridge the social distance.

## Appendix

### Emotions Disclosed Based on Frequency of Messaging

### Differences In Emotions Shared Between Frequency of General Messaging Disclosure

Emotion	<i>df</i>	Mean Difference	SE	Mean Square	<i>F</i>	<i>p</i>	<i>n</i> <sup>2</sup>	<i>p</i> <sub>tukey</sub>
Depression	1	-1.01	0.10	152.58	106.61	<.001	0.15	<.001
Fear	1	-0.86	0.10	110.728	77.46	<.001	0.11	<.001
Anxiety	1	-0.89	0.10	118.07	84.40	<.001	0.12	<.001
Anger	1	-0.66	0.10	65.58	41.46	<.001	0.06	<.001
Happiness	1	-0.65	0.10	61.94	38.80	<.001	0.06	<.001
Jealousy	1	-0.57	0.09	48.90	43.37	<.001	0.06	<.001
Calmness	1	-0.62	0.09	57.46	44.72	<.001	0.07	<.001
Apathy	1	-0.64	0.09	60.51	51.70	<.001	0.08	<.001

**Table 3.3:** Differences in the specific emotions disclosed by those who reported disclosing over messaging platforms at least weekly (frequent) or those disclosing monthly or less (infrequent) were examined. One-way ANOVAs were conducted across each emotion, revealing significant differences between groups across all emotions. The mean difference above represents the (mean of frequent)-(mean of infrequent), indicating greater differences for more negative emotions (depression, anxiety, fear), with the exception of anger. This suggests that students reporting higher willingness to disclose online are likely referring to more negative emotions. As increased self-disclosure online was found to be associated with increased perceived social support, it is likely that negative emotions play a larger role than positive emotions in students' perceived social support online during the COVID-19 pandemic.

## Chapter 4

# **Preliminary Work: Signals of Affect in Messaging Data**



## 4.1 Introduction

A major part of daily communication in today’s world is digital, sent through messaging applications. These applications include popular but disparate platforms: texting (SMS and iMessage), Facebook Messenger, Kik, Twitter, Instagram, WhatsApp, Snapchat, and others. The long-term records of conversations made through these applications may provide a window into a person’s past. Here we explore the potential for these messages to serve as a proxy for changes in a person’s affect. We raise the question: how much of someone’s emotional state can be interpreted from these messages? Doing so may be useful as backstory for a therapy session, helping a user create a timeline of emotional patterns that can be given to their clinical therapist [54], within which trends as well as extreme emotional events are clearly visible.

Another type of online communication, social media, is often considered very similar to direct messaging, but generally broadcasted to a wider range of people. Social media use is often tied to emotional well-being, as social media and online social support have become essential ways for people with ordinary mental distress, common mental disorders [118], and severe mental illness [112] to voice their experiences and find solidarity with others. Additionally, some research suggests that public social media posts reflect affect [70]. However, it is important to note that private messages and public posts on social media have a number of fundamentally different features that affect the way users interact with the platform. While public social media posts are visible to a diverse audience, in many cases completely unknown to the poster, private messages are intended only for people included in the conversation [20]. Studies have also shown that users often consider self-presentation more when posting publicly, or to a wider audience [20, 86], as opposed to a private message or smaller network. Thus private messages and their context may require different approaches in studying an individual’s affect.

However, two major obstacles complicate useful programmatic analysis of personal messaging data. First, extracting this data from multiple platforms in a privacy-preserving manner is difficult without specialized technical expertise and preparation. Second, it has not yet been studied whether messages sent (and potentially their metadata) actually correlate with an individual’s positive and negative affect. Even the language used during messaging may be different from public posts, given that many users write informally when messaging [127], reflecting that private messages are usually intended only for one recipient who typically has additional context about the sender.

We address the first of these hurdles in this paper by describing the implementation and maintenance of a cross-platform messaging extractor. This extractor has been active for three years and has extracted data from over 350 individuals from a patient population with clinical collaborators; the data from those extractions are part of clinical research which is currently unpublished. A separate study using the same extractor was conducted with a population of college students, which is presented in this paper.

The second of these hurdles is addressed by a comparison between existing sentiment analysis techniques (known techniques called LIWC and VADER), human review, and users’ self-reported affect rated on a scale of 10–50 (using the well-known PANAS scale [170]) when prompted throughout the day by their mobile device. Each of these techniques are compared and the differences are explained in the findings.

We find that LIWC (the most common technique used in literature) and VADER (a more recent technique) have high agreement with each other. When it comes to identifying a person’s emotions from messaging data, they perform comparably or better than what has been reported with other

textual sources in literature (e.g., diary entries [158]). The set of scores found through review by a human panel performs better than VADER over all cases of positive affect and anytime when the panel is confident. The human reviewers are aware of their own limitations in judging the messages, as is evident by them achieving a closer match to actual affect scores as their confidence in their predictions increases. Reviewers found contextual cues to be particularly salient when rating text for affect.

The main contribution is to report how popular automated sentiment analysis techniques, like LIWC and VADER, may predict positive and negative affect scores reported by participants, especially in comparison to human review. Qualitative explanations are provided for the discrepancies between the techniques, and the extraction system that enables this type of evaluation is presented and described.

## 4.2 Related Work

### 4.2.1 Self-Report Measurements of Affect

Previous works on sentiment analysis, as well as other work from psychology [155, 170] commonly express emotional state in terms of two separate quantities: positive affect and negative affect. Positive affect refers to the extent to which an individual subjectively experiences positive moods and emotions, such as joy, interest, and alertness [108], while negative affect refers to the experiences of negative moods and emotions, such as anxiety, sadness, fear, anger, guilt, and shame [151]. A commonly held belief is that these two metrics are independent: a high negative affect does not necessarily imply a low positive affect and vice versa [170]. Common techniques used to measure positive and negative affect include the Positive And Negative Affect Schedule (PANAS) [170].

The widely used 20-item version of the PANAS has been shown to be an internally consistent self-report assessment of affect [11, 159, 170]. It contains a series of 20 mood-descriptive terms (e.g., interested, determined, upset, ashamed) where participants are asked to rate their level of agreement with each on a 5 point Likert scale ranging from 1 = *very slightly or not at all* to 5 = *very much*. Of these, 10 terms are representative of negative affect, while the remaining 10 represent positive affect. Upon completion, the totals of all negative affect and positive affect questions are summed, producing two scores (one for positive affect and one for negative) with a minimum value of 10 and a maximum of 50 [170].

Given its wide usage and overall reliability, we use PANAS as a ground truth measure of affect in this study. We correlate the results of different sentiment analysis techniques with positive and negative affect scores found through PANAS.

### 4.2.2 Sentiment Analysis Techniques for Social Media Text

In this study, we compare ground truth PANAS scores to two automated sentiment analysis techniques known as LIWC and VADER. Linguistic Inquiry and Word Count (LIWC) is one of the most widely used automated sentiment analysis techniques [128]. Additionally, Valence Aware Dictionary for sEntiment Reasoning (VADER) is a sentiment analysis model specifically built for use in contexts such as social media posts [78].

Unlike PANAS, LIWC and VADER are indirect measures used to automatically estimate affect. LIWC is text analysis system developed in 1993 [129] popularly used for sentiment analysis. It is a commonly held standard in both fields of computer science and psychology. After its initial creation,

LIWC has been updated a number of times to follow linguistic trends and improve its accuracy, most recently in 2015. LIWC contains a weighted dictionary of words, word stems, and a selection of emoticons. Each of these is labeled with a list of categories and sub-categories that identify, among other things, the affect implied by each word. When given a written text, LIWC compiles scores for it by summing the weighted values assigned to each word in the passage. The original set of words in LIWC's dictionary was based on common emotional and affect rating scales, including PANAS [129]. Updates to the scale since then have been based on cycles of expert analysis and further methods of relevant term discovery [152].

VADER is a freely available rule-based sentiment analysis tool built to improve upon existing techniques, including LIWC and human review [78]. VADER utilizes a larger dictionary of terms, but otherwise works very similarly to LIWC, with a particular focus on analyzing text from social media sources. While not as popular as LIWC, VADER has been used in previous research as a sentiment analysis tool [21,22].

### 4.2.3 Predicting PANAS using Automated Sentiment Analysis

Previous work has found significant but weak correlations between PANAS and automated methods of affect prediction discussed above (ie. LIWC and VADER). This is consistent across texts collected from a variety of textual sources such as social media posts and diary entries [21,22,36,158]. Although all of these previous studies used PANAS scores as a more general measure of affect rather than an in-the-moment measure, they still provide useful comparison points for our purposes.

#### In Personal Writing and Speech

Tov et al. [158] investigated LIWC predictions of PANAS scores over 21 days. However, instead of using a single PANAS score to describe that period, participants completed the PANAS each night, reflected on their affect that day, and wrote two short diary entries about a good and a bad event from that day. At the end of the study, all of a participant's diary entries were combined and used to generate a LIWC score. This score was then compared in a correlative analysis with the average of all PANAS scores obtained by the participant over the same period, producing a moderate-to-weak, but significant, correlation (positive:  $r=0.21$ ,  $p<0.01$  and negative:  $r=0.22$ ,  $p<0.01$ ).

Cohen et al. [36] wanted to investigate methods of personality detection from autobiographical text. Participants were asked to speak for three minutes on the topic of their choice, though they were subtly encouraged to talk about themselves. After participants finished speaking, they were given a series of psychological tests, including PANAS-X (a version of the PANAS with 60 items instead of 20). Each speech was transcribed and used to generate a LIWC score. Further analyses were run from there, including a correlative analysis between LIWC and PANAS scores. LIWC was found to be moderately and significantly correlated with PANAS positive and negative affect scores ( $r=0.29$ ,  $p<0.05$  and  $r=0.24$ ,  $p<0.05$ , respectively).

#### In Social Media

Beasley & Mason [21] investigated the ability of LIWC to predict general affect from public social media posts on Facebook and Twitter. Participants were asked to fill out the PANAS with regard to their general affect. Researchers then collected as many of the participant's posts from Facebook

and Twitter as the platforms allowed. PANAS scores were then compared to a VADER analysis over the text of all collected Twitter and Facebook posts. PANAS was also compared to LIWC analyses over all collected posts (going as far back as the platform would allow), and posts only in the month, 6 months, and year preceding the study [21]. For the most part, correlations between PANAS and LIWC and PANAS and VADER were weak, with a highest correlation of  $r=0.13, p<0.01$ .

Following that study, Beasley et al. [22] investigated whether pre-filtering Facebook and Twitter data would improve VADER’s accuracy in predicting PANAS scores. Methods for PANAS score generation and Facebook and Twitter data extraction were the same as those in Beasley & Mason [21]. After posts were collected, they were filtered for posts containing pre-selected “patterns of expression,” for example,

“am” (e.g., “I am”) + optional up to two words (e.g., “not very”) + [affect-related words]  
(e.g., “happy”)

For each participant, only posts that met these filtering criteria were included in the text given to VADER for analysis. A correlative analysis was then performed for PANAS as compared to both VADER scores for Facebook posts, and VADER scores for Twitter posts of participants containing greater than 36 Twitter posts after filtering. Despite this, all correlations were still weak ( $r<0.15$ ). See Table 4.7 for exact values.

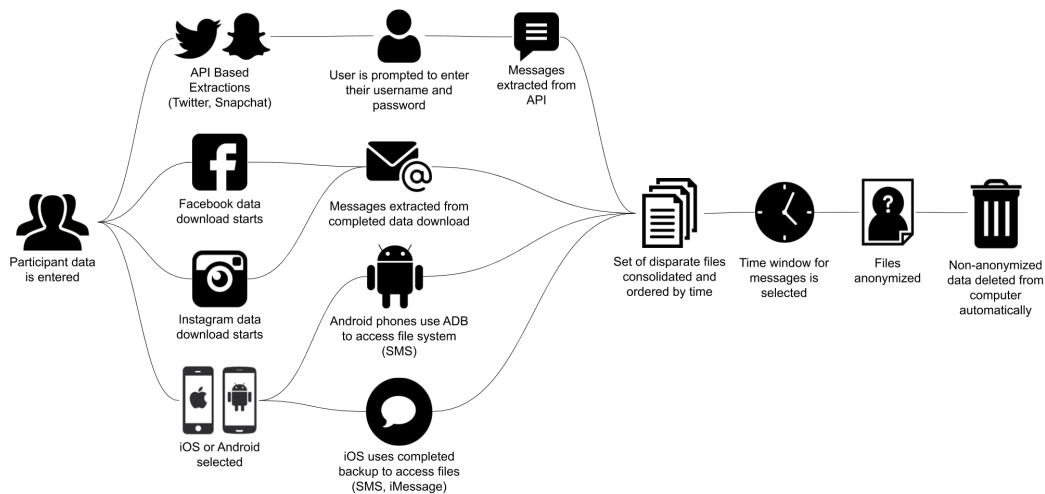
In this study, we similarly correlate LIWC and VADER scores with PANAS. However, unlike previous studies, we use private messaging data as input for LIWC and VADER as opposed to public social media, diary entries, or transcribed speech. We cross-compare our results with those of previous studies to find patterns in input data that detect affect more successfully.

#### 4.2.4 Personal Disclosure across Multiple Social Media Platforms

In order to effectively predict affect from social media data, it is first important to understand how people interact with and communicate over these platforms.

Most previous work exploring affect detection in social media focuses on a single platform during analysis. The majority of these works specifically analyze Twitter data [43, 46, 50, 81, 124, 137], but some studies examined platforms such as Instagram [136] and Facebook [41]. Still others focus on two or more social media platforms [21, 22], a decision that seems prudent given that as of 2018, the Pew Research Center reported that 73% of social media users use multiple social media platforms (Median = 3) [146]. Furthermore, the social media landscape makes rapid advances, and platforms that are popular now may fade out over time. For example, in 2015, 71% of teens ages 13–17 reported using Facebook, but by 2018, the percent of teens 13–17 dropped to 51% [9].

Previous research on sentiment analysis also tends to focus on public social media data. This assumes that people primarily post about their emotions publicly. However, studies have shown contradictory results on whether people are more likely to share emotions publicly or privately [19, 99, 124]. User preference for sharing emotions more openly in public posts or private messages seems to be influenced by platform [19, 124]. When investigating trends in self-disclosure on Twitter, Park et al. found some individuals prefer to share their emotions in public Tweets, rather than in Twitter private messaging [124]. They attribute this to individuals using public spaces on Twitter as an area for emotional reassurance and self-expression. In contrast, Bazarova et al. found that Facebook users disclosed more sensitive information in private channels than in public posts [19]. They suggest that when



**Figure 4.1:** Sochiatrist extracts, consolidates, and pseudonymizes the data to develop models predicting affect based on messaging data.

people cannot control the target of their disclosure, such as in public posts, then users are less likely to disclose. Lottridge & Bentley similarly found that users were more likely to share news links with a goal to start conversations in private chats rather than publicly [99]. Furthermore, studies have shown that people often present themselves differently on their public social media in order to self-promote (eg. [90]) indicating that public social media posts may not accurately reflect a user’s emotional state.

In this study, we sought to bridge a gap in the literature by investigating the performance of automated methods of affect prediction over private messaging data. We believe that private messages extracted from a variety of platforms may more closely predict affect than previous social media studies [21, 22]. In many ways, private messages are more similar to direct speech [36] than to public social media posts. So based on the success by Cohen et al., private messages may be a viable textual source for affect detection. Furthermore, by extracting data from a variety of different platforms we can protect against the rapid changes in social media platform popularity and have a more holistic view of a user’s communication, especially for the many users who do not use one platform exclusively.

### 4.3 Sochiatrist System

We developed Sochiatrist (a portmanteau of the words “social” and “psychiatrist”), an application that uses data-scraping methods to automate the retroactive extraction of a participant’s messages and public posts from popular social media platforms (Figure 4.1). The system is retroactive in that it can collect social media data from within any specified past date range in a single run. It is non-invasive and does not require the participant to install any long-term software or tracking system. It is also built to be privacy-and-consent-first: participants must be physically present to input their login information for each messaging platform during an extraction and all extracted data is pseudo-anonymized. The system is unique because it allows efficient extraction of *both public posts and private messaging data* from many social media platforms. Code and instructions on using the Sochiatrist system will be released publicly at the completion of the clinical studies (currently funded by the National Institute of Health) that make use of the system.

### 4.3.1 Data Extraction Methods

The Sochiatrist system enables researchers to collect public posts and private messages from multiple web and mobile based platforms. Supported platforms have changed over time due to changes in data availability and social network popularity. At the time of writing this paper, Sochiatrist supports Facebook, Twitter, Instagram, Snapchat, and SMS/iOS Message extraction on both Android and iOS phones.

For platforms whose data is stored locally (e.g. SMS, WhatsApp), the Sochiatrist system extracts data directly from the phone, which must be connected to the computer being used for extraction. The system creates a backup file from the phone and then reads this backup file to extract messages. For iOS devices, this backup file contains a `SQLite` database where text messages are stored. The location of this database is known from previous work in computer forensics to contain messaging data [37, 123]. For Android devices, the backup file is a CSV file that is read from the phone over ADB (Android Debug Bridge). In all cases, the participant must provide the password to their phone to allow this process to take place. Under no circumstance does extracting messages require rooting or jail-breaking, hard-to-reverse procedures that may damage a participant’s phone, or unauthorized access to encrypted data.

For web-based platforms (e.g. Facebook, Twitter, Instagram, Snapchat), the Sochiatrist system downloads messages directly from the web, as a data download either provided by the website (Facebook, similar to Saha et al. [139], and Instagram), from an application programming interface (Snapchat), or directly from the website through the use of a web scraping script (Twitter). For all of these methods, the participant enters their username and password into the system and these credentials are used to provide the legitimate authentication required to gain access to the wanted data. There is no use of unauthorized access to data from any platform.

After extraction, messages from all platforms are compiled in a consistent format and sorted by timestamp. The user is prompted to specify a desired time range and only data within that time range is saved. To ensure privacy, the data is pseudo-anonymized (see Section 4.3.2) and all intermediary files created during the process are automatically deleted, leaving the participant’s phone and the user’s laptop untouched. The final pseudo-anonymized data is written to disk in CSV format on the computer running the application.

The Sochiatrist system has a graphical user interface. It displays clear, step-by-step instructions and allows for non-technical research assistants to run extractions with minimal training. It is also resilient to many types of user errors such as incorrect input formats or incorrect passwords, and attempts to provide helpful correcting instructions upon failure. Over the course of the past 3 years, the system has been used by clinical research assistants without computational backgrounds to successfully extract data from over 350 study participants, e.g. Ranney et al. [135].

Currently, the Sochiatrist system is used in five different clinical studies. The system is fully supported by our team: we release regular updates with patches and offer technical support to resolve issues that we discover through dedicated automated bug reporting mechanisms. With the current timeline of studies that use the extractor, this support will continue for at least the next 5 years.

### 4.3.2 Privacy and Consent

The Sochiatrist system is specifically designed to respect participants' consent and privacy. During data extraction, participants must be physically present to input their login information for each messaging platform used or the password to their phone, which builds participant consent into the core of the system. Special care is taken to ensure that there is no data persisted to the computer running the system that would ever allow a future unauthorized extraction. All data extractions are also legal and make use of legitimate authorization methods. There is a system that pseudo-anonymizes the final data, and all non-anonymized intermediary files are irrecoverably deleted. Table 4.1 shows an example output of the Sochiatrist system. For each message, the dataset includes the timestamp, the text of the message, whether the message was sent or received, an ID representing the sender's name, and which social media platform the message was exchanged on.

#### Pseudo-Anonymization

Private messages are by definition personal and it is natural that participants may not want their identity or the identity of any of their conversation partners linked to extracted data. To respect this, for every message extracted, the "to/from" field is replaced by a randomly generated alphanumeric string. To maintain consistency, the same conversation partner is always replaced by the same string, although the same person messaging across different platforms will receive different identifiers for each platform.

However, there is also textual content in messages that may be identifying. As a simple example, if either conversational participant sends "Hi [name]", then identity is likely compromised. The free text in messages is therefore processed to remove names and numbers. The system has a constant database of common names that it augments with the participant's Facebook friends (obtained through the same data dump that contains Facebook messages) during anonymization. It uses this list to detect and remove names within the free text. As above, the names are replaced with a random alphanumeric string which is consistent across the use of the same name. Simple regular expression searches were used to detect different forms of the name such as possessives and different capitalization. All numbers in the free text are also replaced with the '#' character, which anonymizes shared phone numbers, account numbers, addresses, meeting times, and other such identifiers.

This pseudo-anonymization removes some sensitive information that messages can contain. However, this is of course an imperfect system and cannot be guaranteed to anonymize all identifying information in the extracted data, which is why we refer to it as pseudo-anonymization. This technique misses cases where people use nicknames for people that they message (e.g. Auntie, Honey), when people exchange messages with someone who has a common English word in their name (e.g. April, Hope), or cases when a name happens to not be in the custom dictionary of names generated. Locations are also not deidentified. However, the topic of how to completely anonymize free text is an extremely complex one, and it is our hope that as modern anonymization techniques improve so will the anonymization capabilities of our system.

Timestamp	Status	Message	To/From	Platform
7/1/17 12:32	sent	I haven't been feeling great this past week	6af224	Facebook Messenger
7/1/17 12:32	received	Do you want to talk about it 7ac988?	6af224	Facebook Messenger
7/2/17 18:01	sent	Are you free at #:##?	72c7e0	Instagram DM

**Table 4.1:** Sochiatrist Data Extractor example output, demonstrating how messages are collected across platforms and how names are anonymized. These are example messages, not actual participant data.

## 4.4 Methods

We ran a study to investigate the relationship between affect and private messaging data, collecting private messaging data from a sample of undergraduate students at Brown University. Undergraduate students were studied due to the heavy usage of messaging platforms in this population [56]. Sentiment analysis and human review methods were used to estimate self-reported PANAS scores from their messages. The performance of these estimations were compared later during analysis. All procedures were reviewed by our institution's human subjects review office, (the IRB), and passed through a full board review (the highest level of review) on July 6, 2017.

### 4.4.1 Study Procedure

Participants were recruited using posters and Facebook posts in groups created for undergraduate students of various class levels. Participants were required to have an Android or iOS phone to join the study, and use at least one messaging application supported by the Sochiatrist system. Of 28 students who agreed to participate, 3 decided against participation during the study, 2 for privacy reasons and 1 for undisclosed reasons. Their data was not collected and thus excluded from the study. The final set of 25 participants included 20 females and 5 males, with an age range of 17–22 years of age (Mean = 19 years). 68% of our participants ( $N = 19$ ) used an iOS device for the study while the other 6 used an Android device.

To maintain anonymity, we did not collect any personally identifying information beyond gender and age. Recruitment materials were distributed in four Facebook groups: one group for each undergraduate class. All students in each year received an invitation to their respective group upon university admittance, and these groups were commonly used for events, announcements, and general communication between students at the university. We therefore assume each group to be representative of the diversity of the student body as a whole, and we assume our sample to be similarly representative of the students.

We measured participants' affect through self-reported PANAS surveys collected via a method known as Ecological Momentary Assessment (EMA), commonly used in field studies pertaining to mood or affect [1, 172]. During EMA, participants are notified over text to complete a survey or task. This notification appears a set number of times per day, as chosen by the experimenter [142]. Notification time (and whether that stays consistent day-to-day) is also at the discretion of the experimenter. EMA aims to minimize recall bias, maximize ecological validity, and allow study of microprocesses that influence behavior in real-world contexts [143].

In line with typical EMA protocols, participants were prompted to complete the PANAS survey three times each day. The survey was sent to participants at a random point in each third of their day, based on their reported sleep and wake times. Links to surveys were sent via email or text, depending



Negative Words	Distressed	Guilty	Upset	Scared	Irritable
Positive Words	Alert	Excited	Strong	Inspired	Proud

**Table 4.2:** Examples of negative and positive words used in the PANAS survey. Participants are asked to fill out a Likert scale from 1–5 for each word to answer the question “to what extent do you feel this way right now”

on the participant’s preference, and were administered by an online Google form pre-filled with the participant’s unique identifier. The survey presented each of the 20 PANAS attributes with a 5-point Likert scale, and asked participants how they felt at that specific moment in time. After receiving a prompt, participants had one hour to complete the survey and would receive a reminder prompt after 30 minutes if the survey was still not submitted. Extra PANAS surveys that were completed without a prompt were discarded from analysis.

The PANAS survey used for this study had 20 words, 10 measuring positive affect “PANAS(+)” and 10 measuring negative affect “PANAS(−)”. Participants are asked to fill out a Likert scale from 1–5 for each word included in the PANAS in answer to the question “to what extent do you feel this way right now”. The responses are simply summed up for a potential maximum score of 50 on each affect scale, and a minimum score of 10. Some examples of the words included in the survey are in Table 4.2.

We then collected participant’s private messaging data from these two weeks using the Sochiatrist system, which consolidated the private messaging data they produced over the two weeks of the study. The ability to retroactively extract messages both simplifies the study procedure and reduces the risk of the study influencing naturalistic conversation behavior that would normally take place. This data includes messages extracted from the participant’s online Facebook, Instagram, and Twitter services and messages extracted from WhatsApp, Kik and SMS (including iMessage) applications on the participant’s Android or iOS phone. *Third-party messages (messages received by the participant) were not used during the analysis.* In other words, the conversations were only analyzed from the participant’s sent messages.

Participants did not report challenges or objections with the Sochiatrist system, but rather, reported the study in general to be straightforward and understandable. That being said, there were two potential participants that decided not to continue with the study due to privacy reasons, and we must consider that participants in the study had already self-selected to be comfortable with sharing their data through the Sochiatrist system.

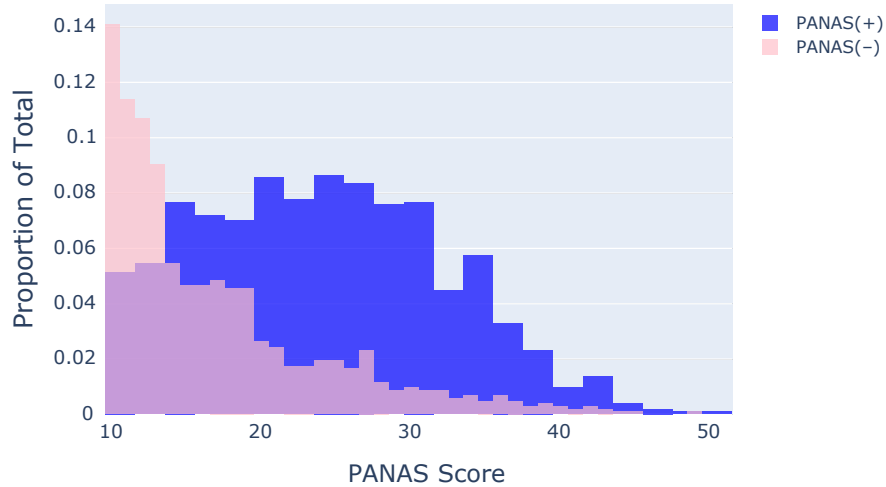
Upon completion of the study, participants were debriefed in the lab. They answered general questions about their opinions of mood tracking, issues they faced, and the process overall. Participants appreciated tracking their mood through EMAs and reported interest in continuing to track their emotional state, even after study termination. Finally, participants were compensated a maximum of \$60 for their participation. Compensation was based on completing at least 95% of the PANAS surveys within the prompted 1 hour window (\$35), the provision of some amount of social data (\$5), and wearing the Microsoft Band (which was not used for this paper’s analysis due to the focus on messaging data).

The study took place from November 14, 2017 to December 17, 2017. Over the course of the study, 1,009 PANAS surveys were collected. However, out of these, 55 were incomplete and were discarded. Survey compliance ranged from 81–100% with a median compliance rate of 98%. PANAS(+) and PANAS(−) scores were calculated from each of the 954 complete surveys. The mean PANAS(+) score was 23.9 and the mean PANAS(−) score was 16.9. See Figure 4.2 for a visualization of the distribution.

Messaging Platform	Messages Sent	Messages Received	Total Messages	Participants
Facebook Message	16,506	24,348	40,854	22
Text Message	14,878	19,183	34,061	23
WhatsApp Message	329	1,206	1,535	4
Twitter DM	0	196	196	1
Instagram DM	15	0	15	5
Total	31,728	44,933	76,661	25

**Table 4.3:** Summary statistics of the messages send and received analyzed in this study, and the number of participants using each platform. Snapchat messages were unavailable at the time.

These values are similar to the positive and negative affect population means estimated by Watson et al. [170] of 29.7 and 14.8 respectively. During the Sochiatrist download, 76,661 messages (Mean = 3,068 messages per participant, Median = 1,897, Range = 358–16,697) were collected across all participants. The messaging platform used by the most participants was SMS text messaging/iMessage ( $N = 23$ ), while only one user received Twitter direct messages. Further messaging statistics can be seen in Table 4.3.



**Figure 4.2:** Histogram for PANAS(−) (pink) compared to the histogram for PANAS(+) (blue), with the overlap in purple. Note that PANAS(−) has a lower mean than PANAS(+) and is a more skewed distribution.

#### 4.4.2 Data Processing and Session Generation

Third-party messages (messages received by the participant) were removed from all data collected. We matched each PANAS score with all the messages sent in a 24 hour window surrounding it ( $\pm 12$  hours of when the PANAS was completed); messages in one 24 hour window corresponded to a single PANAS score as its “session.” We discarded all sessions that did not have at least one message sent on any tracked platform in the two hours surrounding its actual PANAS survey time, or where reported PANAS scores were both 10, as this likely indicated that the participant did not fill out the survey in accordance with their true affect. It is important to note that although we consider 24 hours of data in a session, the actual PANAS survey asked people how they feel *at that moment* in accordance with EMA.

We chose to use a 24 hour window ( $\pm 12$  hours), as opposed to three intervals based on PANAS score report times for two reasons. First, we wanted the most accurate results from our human reviewers for a solid best case comparison. Human reviewers requested to see all messages occurring within one day of a PANAS score for more context, and we wanted to keep the time window for LIWC/VADER analysis consistent with human review for more accurate comparisons. Second, intervals between two PANAS score measurements varied due to the randomized prompting of the EMA (some as short as two hours) so some sessions would include a disproportionate number of messages due to the uneven windows, especially if a session included a period of sleep.

We also note that during investigation using shorter time periods for LIWC and VADER analysis ( $\pm 2, 4, 8$  hours) we found that the longer interval ( $\pm 12$  hours) correlated most strongly with the ground truth PANAS scores. Of the shorter intervals, PANAS(−) correlations were comparable (within 0.05 for LIWC, within 0.1 for VADER) to when sessions used a  $\pm 12$  hour time period. PANAS(+) correlations for the  $\pm 8$  hour set were also comparable to  $\pm 12$  hours (within 0.01), but  $\pm 2$  and  $\pm 4$  hours correlated much less closely to PANAS(+) (a difference of more than 0.12).

### 4.4.3 Automated Sentiment Analysis

Two methods of sentiment analysis, LIWC and VADER, were used and their accuracy was compared. These are the most common methods used for identifying sentiment in social media messages (see Related Work).

#### LIWC

The authors of LIWC describe it as “...the gold standard in computerized text analysis. Learn how the words we use in everyday language reveal our thoughts, feelings, personality, and motivations.” Of the 19 studies we reviewed pertaining to online communication and emotional state, 13 of them used LIWC as a measure of affect [21, 41, 44, 45, 46, 50, 87, 88, 89, 109, 124, 137, 158]. It is a commonly held standard in fields of both computer science and psychology as a sentiment analysis tool. Due to its widespread usage in research as a sentiment analysis tool, we included LIWC as a key estimator for affect from private messaging data.

The LIWC2015 tool was used to analyze all the messages in each entire session. For each session, the tool outputs many metrics. We took the **posemo** score as the PANAS(+) estimate and the **negemo** score as the PANAS(−) estimate. These scores are not on the same 10–50 scale that PANAS uses and do not necessarily scale linearly with PANAS scores. For this reason, all correlations computed later are non-parametric (i.e. Spearman’s correlation).

#### VADER

Developed after LIWC, VADER was an attempt to produce a rule-based sentiment analysis method that was optimized for social media text, and is also popular in the field [78]. The authors of VADER release it as, “a gold-standard sentiment lexicon that is especially attuned to microblog-like contexts.”

The NLTK distribution of VADER from the `nltk.sentiment.vader` package was used to analyze all the messages in each entire session. For each session, the tool produces a **pos**, **neg**, **neu** and **compound** score. We took the **pos** score as the PANAS(+) estimate and the **neg** score as the PANAS(−) estimate. As in LIWC, these scores were not scaled in any way.

#### 4.4.4 Human Review Process

Human Review is important for two reasons. Firstly, analysis of the differences between human review and sentiment analysis methods may provide insight into what may be lacking from these methods in PANAS predictions so that we may build better estimators. Automated sentiment analysis can reveal how existing methods perform on textual message content, but does not project what is possible with additional context from the conversation. Human reviewers reading the conversation can get a broader understanding of the pace of conversation from timestamps, tone, and changing topics, and can even infer the relationship of the participant and conversational partner. Secondly, it allows us to calibrate the task. With access to just a snippet of a conversation—no context about the person, the scenario, etc.—it may be extremely difficult to predict a PANAS score. Human labels may allow us to understand better what is perceived as good performance on this task and what we may hope to achieve in the future.

A group of three reviewers estimated PANAS(+) and PANAS(−) from a sample of sessions. These three reviewers are authors on this paper, but they did not participate in running the study nor did they interact with any of the participants. To select the sessions, a stratified sample of sessions over participants was taken by randomly selecting between 4–6 eligible sessions per study participant (for a total of 110 sessions). There were a few restrictions to prevent bias in the reviewers labels. Any session previously rated by any reviewer (in tests or pilots for reviewing) was removed from the set of eligible sessions. Any session with fewer than two messages within two hours of the PANAS rating time were also removed from the set of eligible sessions. Lastly, in order to prevent large of amounts of overlap in the text between rated sessions, we only included one session per participant per day in the set of sessions to be labeled. We selected this set of sessions to get as broad a range of different participants as possible without over representing any single participant, and subsequently over representing one particular texting style.

When labeling a session, human reviewers were shown the timestamp of the PANAS score along with the corresponding 24 hours ( $\pm 12$  hours) of messages. For each message, reviewers could see the text, its timestamp, the anonymized numeric participant ID, and the anonymized alphanumeric ID for the conversation the message was a part of. In addition, reviewers knew the population means for positive and negative affect of 29.7 and 14.8, respectively, as estimated by Watson et al. [170]. Note that this is not the same as the sample mean of PANAS(+) or PANAS(−) from the sample of sessions labeled, or even the sample mean from all the sessions present in our data.

To start, all reviewers familiarized themselves with the PANAS survey. As mentioned above, they were given the mean PANAS(+) and PANAS(−) scores estimated by Watson et al. [170]. Before any discussion, reviewers also each individually rated a set of messages with a shorter time window ( $\pm 2$  hours) as a test. Reviewers were shown the scores of the other reviewers, as well as the true PANAS scores, for the sessions in this test set. Following the test, reviewers requested that a full day of messages be included in a session for context, and that they would prefer to be able to discuss scores with another before deciding on a rating. The set of sessions in this test set was fully disjoint from the sessions used in final analysis.

For the final human review process whose data was included in this study, all three reviewers were placed together in a video call. With the data described above available to them, each reviewer individually proposed overall PANAS(+) and PANAS(−) scores (each between 10 and 50) for a given session. When reviewers disagreed about the values they proposed (which happened in the majority

of cases), they were given the opportunity to simply accept another reviewer’s proposed score. If a unanimous decision was still not reached at this point, then each reviewer explained their reasoning for the scores they proposed. One reviewer would then propose a new set of PANAS predictions based on the given explanations. The other reviewers could then accept the proposed values or propose an alternate set of scores, with explanation if appropriate. Reviewers repeated this last step until unanimous consensus on positive and negative affect scores were agreed upon, resulting in two final scores, one for PANAS(+) and one for PANAS(−). Time to reach consensus averaged about four minutes. Scores were predicted on a scale of 10 to 50 to be consistent with the PANAS scale. Reviewers looked for cues in content and tone, as well as time of day the survey was taken, time of year, and their own previous experience with the situations described in the text. When reviewers struggled to identify any signal in a session, they rated it as “neutral”, falling back on population means mentioned above. Reviewers also each took individual notes on each EMA, which included the group’s reasoning, as well as any particularly important aspects of the text. Finally, reviewers came to a unanimous decision as to whether they felt confident in the rating or not. Confidence was a binary outcome (yes/no). It was recognized that confidence would be a subjective decision and there were no strict heuristics used by reviewers. Some things they expected to use to determine confidence were the strength of a particular emotion and how clearly the participant expressed their feelings in their messages.

## 4.5 Results

We analyzed three sentiment analysis techniques—LIWC, VADER, and human review—to investigate their ability to predict affect from private messaging data. Correlations were calculated using the non-parametric Spearman’s correlation due to the lack of a linear relationship between the sentiment analysis scores and PANAS (thus, a Pearson’s correlation is not used). Predicted versus actual PANAS score comparisons for each sentiment analysis technique can be seen in Figure 4.3.

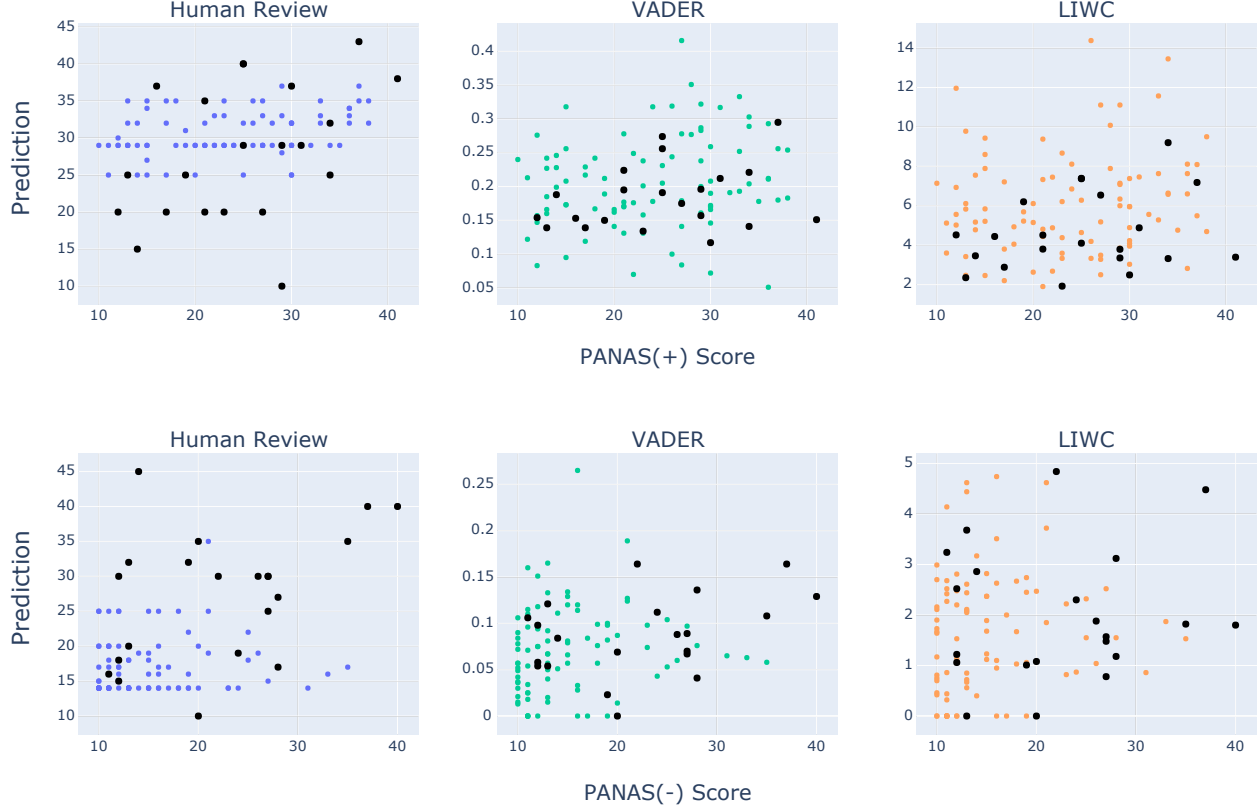
### 4.5.1 Individual Performance of Techniques

Tables 4.4 and 4.5 show both individual performance measures (how well a sentiment analysis technique correlates with PANAS affect scores), as well as the correlation between techniques.

Self-reported positive and negative PANAS scores, PANAS(+) and PANAS(−), were treated as the ground truth for the participant’s affect. In absolute terms, PANAS(+) scores tended to be higher ( $Mean = 23.95$ ,  $SD = 8.20$ ) than PANAS(−) scores ( $Mean = 16.87$ ,  $SD = 7.26$ ). Between the two scores, PANAS(+) and PANAS(−) scores had a weak, insignificant correlation (Pearson’s coefficient  $r = 0.16$ ,  $p = 0.09$ ). This reflects the expected independence of these measures, where high positive affect does not necessarily mean having low negative affect and vice versa.

For the stratified sample of 110 sessions chosen for analysis, PANAS(+) ( $Mean = 24.04$ ,  $SD = 7.87$ ) was higher than PANAS(−) ( $Mean = 16.51$ ,  $SD = 6.88$ ). For the subset of sessions that human reviewers reported confident, PANAS(+) was slightly higher than the 110 analyzed ( $Mean = 27.70$ ,  $SD = 9.04$ ) and PANAS(−) was substantially higher ( $Mean = 27.75$ ,  $SD = 9.25$ ). Other techniques were used to predict the PANAS affect scores with the messaging data, and are reported in the following subsections.

### PANAS(+) and PANAS(-) correlations



**Figure 4.3:** Self-reported PANAS(+) and PANAS(-) scores plotted against predicted scores. The x-axis is PANAS(+) in the top plots and PANAS(-) in the bottom plots while the y-axis is the algorithm prediction (each technique uses a different scale). The dots in black are the points labeled confident by human reviewers.

### Human Review

The three human reviewers examined the timestamped private messages for the sampled 110 sessions and attempted to predict their associated PANAS(+) and PANAS(-) scores, marking 21 of these sessions as confident. As expected, these ratings moderately predicted the PANAS(+) and PANAS(-) from the user-reported EMAs. For PANAS(+), human review was more accurate compared to automated techniques, with a correlation ( $r_s = 0.31$ ,  $p < 0.01$ ). For PANAS(-), human review still correlated moderately ( $r_s = 0.28$ ,  $p < 0.01$ ).

When only the sessions where reviewers were confident of their scores ( $N = 21$ ) are considered, human review outperformed all other analyzed techniques when estimating PANAS(+). Among these sessions, the human review estimates correlated more strongly with PANAS(+) than when not confident ( $r_s = 0.45$ ,  $p = 0.04$ ). PANAS(-) estimates showed similar behavior ( $r_s = 0.38$ ,  $p = 0.09$ ), though this correlation was not significant. The human reviewers performed much better when confident than when not confident, meaning they are able to judge whether there was enough signal in the conversations to accurately predict affect. When reviewers were less confident, their accuracy was relatively inconsistent. Reviewers' ability to predict PANAS(+) remained moderately accurate ( $r_s = 0.28$ ,  $p < 0.01$ ), while ability to predict PANAS(-) dropped sharply ( $r_s = 0.11$ ,  $p = 0.29$ ).

	VADER	LIWC	Human Review		
			All	Confident	Not Confident
PANAS(+)	*0.20	0.14	**0.30	*0.45	**0.28
VADER	-	**0.75	**0.27	0.31	*0.22
LIWC	-	-	**0.29	0.36	*0.24

**Table 4.4:** Spearman’s correlations between various techniques for estimating *positive* affect scores from PANAS, i.e. PANAS(+). Human review performs best when the reviewers feel confident about their estimate. LIWC and VADER are highly correlated, but less correlated with human review. They are less correlated with PANAS(+) compared to human review with confidence ignored (“All” column). \* $p < 0.05$ , \*\* $p < 0.01$

	VADER	LIWC	Human Review		
			All	Confident	Not Confident
PANAS(-)	**0.28	0.17	**0.29	0.38	0.11
VADER	-	**0.77	**0.31	0.32	*0.26
LIWC	-	-	*0.22	0.31	0.19

**Table 4.5:** Spearman correlations between various techniques for estimating *negative* affect scores from PANAS, i.e. PANAS(-). Human review performs better when the reviewers feel confident about their estimate, although sometimes not statistically significant. LIWC and VADER are highly correlated, but less correlated with human review. Even so, VADER performs similarly to human review with confidence ignored (“All” column) \* $p < 0.05$ , \*\* $p < 0.01$

These findings indicate that although the task of generally predicting affect is difficult, there may be identifiable scenarios where the task is more tractable.

Reviewers tend to be more confident when PANAS(-) was higher. The mean PANAS(-) for confident predictions is 27.0 (SD=9.45) , as compared to the mean for not confident predictions of 17.1 (SD=3.97) . However, this trend does not hold true across PANAS(+) scores. This may be due to the fact that all human language has a known positivity bias [48], meaning that negative speech is relatively rare. Therefore, when negative emotions are expressed, it may become a more clear indicator of a high PANAS(-) that raters are able to detect and feel confident in their detection.

## LIWC

When trying to predict affect, LIWC weakly correlated with both PANAS(+) and PANAS(-) scores (not statistically significant in both cases). Over the 110 sessions in our randomly sampled testing set, LIWC received a Spearman’s  $r_s = 0.14$ ,  $p = 0.14$  with regard to PANAS(+) scores, indicating a non-statistically significant but weak correlation. For PANAS(-) scores, LIWC received a Spearman’s  $r_s = 0.17$ ,  $p = 0.08$  , which is a similarly weak, not statistically significant correlation.

This may indicate that words used at a specific time have some correlation to the affect of a person at that same time. There seems to be some relationship between the messages an individual sends and their affect, but it is hard to draw conclusions in this case given the lack of significance.

## VADER

Across both PANAS(+) and PANAS(-) scores, VADER performed moderately well. In particular when PANAS(+) scores were treated as ground truth, VADER outperformed LIWC, though both were outstripped by human review, earning a Spearman’s correlation of  $r_s = 0.20$ ,  $p = 0.03$ . VADER exceeded our expectations across ground truth PANAS(-) scores, obtaining  $r_s = 0.28$ ,  $p < 0.01$  and performing better than LIWC and nearly as well as human review.

This indicates that VADER is a clearly better choice than LIWC for identifying affect from private messages. It suggests that VADER approaches a potential upper bound for how well an automated technique can perform given that VADER analysis approaches human accuracy, especially in the case of negative affect detection. Even when selecting only the most confident of predictions for negative affect, human reviewers only reach  $r_s = 0.38$  correlation, which is only slightly better than VADER’s prediction. Though VADER performs comparatively less well for positive affect prediction, these findings indicate a promising trend for automated affect detection.

### 4.5.2 Comparative Performance between Techniques

Aside from their correlation with PANAS scores, each technique can be compared with each other to identify discrepancies. We can use these discrepancies to detect how we might be able to improve the techniques in the future.

LIWC and VADER were found to be significantly strongly correlated across both PANAS(+) ( $r_s = 0.75$ ,  $p < 0.01$ ) and PANAS(-) ( $r_s = 0.77$ ,  $p < 0.01$ ) scores. This is as expected, since VADER was originally partially based on LIWC’s lexical dictionary. Furthermore, since both techniques were developed with human predictions of textual indications of emotion [78, 129], we would assume both to have high correlations to human review scores. In accordance, both LIWC and VADER correlated more strongly with human review than with PANAS scores when taking into account all samples, especially for PANAS(+) scores (Tables 4.4 and 4.5).

LIWC’s correlation with human review was found to be statistically significant in PANAS(+) ( $r_s = 0.29$ ,  $p < 0.01$ ) and PANAS(-) ( $r_s = 0.22$ ,  $p = 0.02$ ). VADER followed this trend, obtaining a statistically significant correlation in both PANAS(+) and PANAS(-) ( $r_s = 0.27$ ,  $p < 0.01$ , and  $r_s = 0.31$ ,  $p < 0.01$ , respectively), as well as outperforming LIWC in the PANAS(-) case.

Similar trends of LIWC and VADER prediction of PANAS scores were seen when comparing sessions where human raters were confident in their scores. Although some were moderate, none of these correlations were found to be statistically significant. This lack of significance is likely due to the low statistical power due to the lack sparsity of confident ratings ( $N = 21$ ). In these sessions, human reviewers predicted PANAS scores more accurately than both LIWC and VADER. VADER correlated more strongly with PANAS(-) ( $r_s = 0.35$ ,  $p = 0.11$ ) than PANAS(+) ( $r_s = 0.25$ ,  $p = 0.27$ ). VADER also outperformed LIWC in these cases.

Interestingly, unlike VADER, LIWC correlated more strongly with confident scores in PANAS(+) than in PANAS(-) ( $r_s = 0.16$ ,  $p = 0.48$  and  $r_s = 0.08$ ,  $p = 0.71$ , respectively). Despite its similarity to VADER, it is notable that LIWC severely under-performed comparatively.

In comparison, across sessions when reviewers were not confident, the accuracy of reviewer scores and LIWC and VADER’s predictions dropped for the most part (LIWC has a high correlation to PANAS(-) on the not-confident sessions). As before, for PANAS(+) VADER ( $r_s = 0.21$ ,  $p = 0.05$ )



Session	PANAS		Human		VADER		Select example illustrating different types of mispredictions
	(+)	(-)	(+)	(-)	(+)	(-)	
410	34	35	25	35	0.14	0.11	“...i really wish i could spend less time venting but i dont know how to not breakdown... i am just emotionally mentally and physically exhausted...”
707	29	37	10	40	0.20	0.16	“i cried myself to sleep for like 30 minutes once u left ... yesterday”, “during the day i’m just feeling hopeless”
258	14	11	32	14	0.20	0.10	“HAPPY BIRTHDAY”, “Amazing! I think it’s great so I hope they will too!! Yayyy!”
668	18	25	35	22	0.16	0.10	“I’m sad and tired just laying in bed” and “I almost started sobbing”
749	13	28	25	27	0.14	0.04	“I tried chatting with my professor today about getting my paper deadline pushed”, “I’m asking my chiropractor... she can probably get permission quicker than my physical therapist”
948	13	15	35	14	0.17	0.06	“Guess who might have just won ##\$??”, “bro thats rough”, “don’t worry I’m going home”

**Table 4.6:** Perturbed examples where the PANAS scores by participants differed from those decided during human review. In these sessions, the PANAS(−) scores were similar, but the human labeled scores were lower than PANAS for sessions 410 and 707, higher in sessions 258, 749, and 948. All examples have been perturbed for privacy. Note that the average PANAS(+) score is 29.7, the average PANAS(−) score is 14.8, and both have a range of 10–50. Values above and below these averages can be treated as “relatively high” and “relatively low” values for both PANAS and human review scores. Though VADER is on a different scale (from 0 to 1), values above or below its means (0.21 for PANAS(+) prediction and 0.07 for PANAS(−)) can also be treated as “relatively high” or “relatively low”.

outperformed LIWC ( $r_s = 0.14$ ,  $p = 0.19$ ), but both performed worse than human reviewers ( $r_s = 0.28$ ,  $p < 0.01$ ). Note that both human review and VADER correlated significantly, but LIWC did not. However, for these sessions where human reviewers were not confident, VADER actually predicted PANAS(−) ( $r_s = 0.26$ ,  $p = 0.01$ ) better than both LIWC ( $r_s = 0.18$ ,  $p = 0.08$ ) and human review ( $r_s = 0.11$ ,  $p = 0.29$ ). This means that human reviewers’ relatively successful performance for PANAS(−) prediction was heavily dependent on sessions with confident ratings (21 of 110 sessions). Also notable here is that, despite the relatively high number of sessions included in the set ( $N = 89$ ), human review correlation with PANAS(−) was not significant (Tables 4.4 and 4.5).

VADER’s overall higher performance in comparison to LIWC over all PANAS score predictions raises several questions. One might expect LIWC and VADER to perform comparatively given their similar structure and the high correlation between their scores. However, because VADER is specifically optimized for social media [78], VADER is likely better than LIWC at interpreting patterns of speech common to social media platforms, and therefore more closely mirror patterns of human review.

### 4.5.3 Mispredictions Across All Techniques

Differences in LIWC, VADER, and human review ratings can occur for a variety of reasons. We have identified several cases where we observed common mispredictions for each of the three techniques when predicting self-reported affect. To pinpoint mispredictions, we manually reviewed the performance of each metric. Particularly, we selected sessions where sentiment analysis methods were highly unsuccessful in emotion prediction, or where different methods arrived at substantially

different scores for the same session. We then conducted a qualitative analysis of message content for these selected sessions and found several common patterns at points of inaccurate prediction.

### **Over-reliance on tone or context**

LIWC and VADER function fundamentally by making predictions based on the vocabulary and tone used in a session. Human reviewers, however, rely much more heavily on context and situational content when trying to predict. Both of these focuses can lead to major errors in affect prediction, depending on the session.

We find exclusive reliance on tone, as LIWC and VADER do, to be an inconsistent indicator of true affect values, likely because it does not scale for situational context. We see many cases where human reviewers note using contextual clues to help predict affect, and then proceed to predict much more accurately than LIWC and VADER on the same session. One example of this is session 749, where a participant is trying to reschedule a paper deadline, and requires a dean’s permission and a doctor’s note, as shown in Table 4.6. Since the language used is not angry and contains few traditionally negative words, LIWC and VADER predict a low PANAS(–) score while the human review accurately predicts a high PANAS(–) score, based on the shared knowledge that situations such as these are often very frustrating.

In contrast, human reviewers on occasion focused too much on message content, especially when confident. For instance, in session 948 (shown in Table 4.6) one participant mentioned winning money from a study they had taken part in, and using it to buy gifts for their parents. The reviewers rated this as high PANAS(+), and noted that they had imagined the participant would be excited over winning money. However, the participant had an unusually low PANAS(+), a fact that was identified by the automated techniques. While the human reviewers made an assumption about the participant’s mood based on the content of the conversation they read, LIWC and VADER’s focus on sentence tone was able to more accurately predict ground truth scores.

Further investigation into additional latent clues for affect may be warranted. As a result, it is unclear which technique is better in this sense, as both have clear shortcomings.

### **Message weight based on temporal distance**

Human reviewers reported placing very different weight on messages based on their temporal distance from PANAS completion, giving messages closer to PANAS completion time greater weight than those that are further. LIWC and VADER, on the other hand, place equal weight on all messages in a given time frame.

We would intuitively assume that messages sent very long before or after a PANAS measurement would have little bearing on the measurement itself. And indeed, there are instances where we see that discounting very distant messages seems to improve prediction accuracy. However, it is important to also consider situations where very distant messages can provide evidence of the actual affect of a participant. For instance, in one session reviewers noted that the message, “I just wanted to make myself feel a little better” was noted as indicative of negative affect, but was ultimately discounted when making their prediction because it was sent the previous day, and the tone had become less negative in recent messages. The true PANAS(–) was higher than reviewers predicted. It may be that this message was indicative of a broader negative mood state, and human reviewers missed the

signs of this. LIWC and VADER, however, would perform better in these situations as they do not discount statements based on temporal location.

### **Inability to dissociate positive and negative affect**

As mentioned earlier, PANAS(+) and PANAS(-) are considered independent dimensions of affect [170]. While intuitively we would assume a high PANAS(-) to indicate a low PANAS(+) and vice versa (i.e. a negative correlation), this is not found to be the case with actual PANAS scores. PANAS scores in our data actually showed the opposite with a positive correlation ( $r=0.20$ ,  $p<0.01$ ).

Despite this, we found a negative correlation between predicted PANAS(+) and PANAS(-) scores for all sentiment analysis techniques. This correlation was particularly prevalent in human review ( $r=-0.51$ ,  $p<0.01$ ), though LIWC and VADER both had weak, non-statistically significant, negative correlations between PANAS(+) and PANAS(-) ( $r=-0.06$ ,  $p>0.05$ , and  $r=-0.16$ ,  $p>0.05$ , respectively). The general failure to dissociate positive and negative affect is clearly exemplified in situations where participants report high scores for both PANAS(+) and PANAS(-). For example, in session 410 shown in Table 4.6, the participant messaged, “i am just emotionally mentally and physically exhausted.” Despite this they reported both a high PANAS(+) and a high PANAS(-). Both human reviewers and VADER underestimated PANAS(+) scores for this session, likely due to its explicit account of negativity. Situations such as this one explain many of the mispredictions in human review ratings. The fact that human reviewers were the most susceptible to this type of error may have interesting implications in the clinical setting: would a licensed psychiatrist make the same “mistake”?

## **4.6 Discussion**

### **4.6.1 Reflection on Affect Predictions**

#### **Features of private messaging shared with other successful textual sources**

When compared with previous research on affect detection, private messaging is found to be a promising textual source for analysis. As shown in Table 4.7, previous research comparing LIWC or VADER to PANAS scores has used public social media data [21, 22], personal diary entries [158], or spoken responses [36]. Across multiple studies examined, social media posts were consistently outperformed by all other textual sources. This may indicate a level of emotional distancing from public social media posts as opposed to something more private like a diary, or something relatively unfiltered like speech. Overall, direct speech and our VADER analysis over private messaging data were the most accurate predictors of affect, aside from human review.

Across all studies discussed, LIWC analysis over natural speech performed with the highest accuracy [36]. Private messaging data and direct speech share several key components, which likely contributes to their increased reflection of affect. For instance, both encourage rapid communication and have an assumed lack of statement revision. A study conducted by Lyddy et al. revealed texts to serve as a method of fast, unedited communication, with the average word count being 14.3 words and 17% containing misspellings ( $SD=12.0$ ) [100]. Thousands of initialisms (e.g. LOL, brb, and ttyl) have been developed to aid in communicating quickly and further limiting a need for revision.

Because private messages are less likely to be revised to fit a wider audience, messaging data is likely to be more reflective of true affect than public data. When users revise statements, original emotional

	Beasley & Mason				Tov et al.	Cohen et al.	Beasley et al.†		This Paper	
	LIWC		VADER†				Facebook	Twitter	LIWC	VADER
PANAS(+)	-0.07	0.05	0.05	0.07	**0.21	*0.24	**0.14	*0.14	0.14	*0.20
PANAS(−)	-0.11	0.04	0.02	0.09	**0.22	*0.29	**0.13	**0.16	0.17	**0.28

**Table 4.7:** Different sources of text data from various prior literature report low correlations between PANAS and LIWC; † indicates a correlation between PANAS and VADER rather than PANAS and LIWC (Beasley & Mason, Beasley et al.); Note that Beasley & Mason achieve slightly higher correlations when using a wider time range than the one presented here. We only include the results for the time range shown as it is the time range most similar to ours. Compared to other studies, messaging data analyzed in our paper achieves a similar accuracy range with LIWC, but notably better with VADER, especially for PANAS(-). \* $p < 0.05$ , \*\* $p < 0.01$

tone may be edited out. We speculate that one of the main reasons LIWC and VADER were able to detect affect from direct speech was because speech affords little time for editing and influencing tone. In contrast, public posts on social media may be edited heavily before posting. This calls for further investigation into the influence of statement revisions on the accuracy of sentiment analysis techniques, as well as further explanations for the strong reflection of affect found in private messages.

The second most successful technique found in previous studies was LIWC analysis over short daily diary entries [158]. The shared relative success of our method and this one may be due to the similarities between diary entries and private messages. Both are comparatively private methods of communication and thus have a level of perceived control when it comes to who has access to the text. While messages can be forwarded or shared with others outside of the conversation, users in a private messaging conversations still decide who sees the message. This is especially apparent when compared with public social media posts, which are visible to anyone, even people entirely outside of the poster’s network.

In addition to the previous points about rapid communication and lack of revision, this idea of privacy and control can also be extended to the Cohen et al. speech study based on how it was conducted [36]. Participants were speaking directly to a single group (i.e. the researchers), and could reasonably assume that no one but the researchers would ever have access to that information. It may be due to the combination of all of these factors that LIWC analysis over natural speech by Cohen et al. was so successful.

## Comparing LIWC, VADER, and Human Review

While both human review and VADER showed significant correlations in this study, it should be noted that LIWC analysis using private messaging data was not more accurate than VADER analysis over public posts done in previous studies [21, 22]. It seems, then, that when looking at social media data (i.e. public posts or private messages over social media platforms) VADER is truly a more accurate predictor of affect, likely because it was specifically optimized for use with social media.

With this being said, the most accurate affect detection technique tested (i.e. human review) still had only moderate strength. Although developers of LIWC and VADER sought to incorporate aspects of human review, the primary intent of both systems is to identify tone, without taking context into account. As human reviewers reported primarily focusing on content over sentence tone, this contrast likely accounts for the disparity between human review and LIWC/VADER’s ability to identify affect. Because human review detected affect better than LIWC/VADER, it is logical to

conclude that sentence tone may carry less weight on true affect scores. It has become apparent that, while these measures may be useful in conjunction with other work, they should not be treated as a replacement for direct measures of affect (such as PANAS).

### **Suggestions for future work**

Qualitative results indicate that LIWC and VADER, systems relying heavily on word tone, perform poorly when affect manifests itself “contextually” in the text (e.g. a participant describes a situation that may not have negative language but was in context a negative experience).

Although human reviewers had better performance than all other sentiment analysis techniques, their correlations with true PANAS scores were still moderate at best. This implies that human interpretation may not be the “gold standard” for understanding human emotion. Although it is intuitive to use humans as ground truth for sentiment analysis, our findings reveal this may not be reflective of true affect.

As mentioned previously, studies have found that users may prefer to share emotional posts differently on different platforms [19,124]. We have postulated that when editing posted content, users may remove emotional tone and dampen tone indicators used by LIWC and VADER. However, it is important also to consider why users may do this. We know that users are likely to not only intentionally project a certain persona when posting online, but also tend to do something similar among social groups in person [20]. It makes sense, then, that users are likely to do the same over direct messaging, and emotional expression will not necessarily match actual emotional state, though the discrepancy is likely to a lesser degree than in public posts due to previously discussed features of private messaging. It is therefore likely that predictions by human reviewers will be influenced by participant’s attempts to obscure their feelings in messages. Perhaps we need to look beyond message content in order to more accurately identify emotional state.

We argue that a more optimal method would combine insights from: sentence tone, used primarily in sentiment analysis; insights from situational context, noted in human review; and also more obscure data outside of message content. This warrants further investigation into alternative parameters for use in affect detection in order to develop a more refined sentiment analysis technique. These parameters could include: who does a participant talk to, how often do they message, and when do they message? Previous studies have shown that there is fluctuation in population affect depending on the day of the week [167] and possibly even the time of day [50]. If participants have a fixed routine (something that does not hold for our college population data), these trends may be even more significant. While contextual cues contribute to stronger affect detection, as seen in human review, they alone are insufficient indicators.

Until such a technique is developed, we recommend solely using self-report scales, such as PANAS, as ground truth for affect.

### **4.6.2 Ethical Considerations**

A key requirement for the functionality of Sochiatrist is informed consent and a benefit to the user themselves. Participants are physically present for all data extraction, and they enter their own login information after consenting to extraction for each platform. The participants have an opportunity to review the messages that will be extracted, and to remove specific lines from the dataset. These

procedures are consistent with the human subjects full board review that occurred before the start of the study.

Studies in the past have addressed ethical concerns in a few ways. Most other studies collected publicly available posts only (e.g. [21, 22, 43, 46, 50]), others only collect data participants specifically record for the study (e.g. diary entries [158] or recorded speech [36]), while yet others ask participants to copy over messages by hand in the lab [20]. All of these methods aim to only collect data that participants are actively comfortable sharing with the researchers or the general public (in the case of public posts). However, we believe that by requiring participants to specifically opt-in to each individual platform, and also providing them the option to additionally remove any individual messages or messaging threads after extraction, achieves the same goal. This is supported by the fact that none of our participants mentioned objections or discomfort with sharing their data during post-study followup interviews.

It is important to note that only messages sent from a participant are included in this study. Therefore messages from other individuals to the participant (third parties) are not collected in this study, as those senders were unable to consent to message extraction. Additionally, pseudo-anonymization reduces the risk that participants expose third parties' names or identifying numbers in their own messages.

Once we move beyond this study and into day to day usage, this kind of data extraction can be used as a personal tracking tool. Users may use message extraction and analysis to track mood events and gain personalized insights into their own emotional triggers. Other mainstream self-tracking systems store data in remote servers, while the extraction and analysis for our system is local, and therefore can be used without an external party accessing the data. By storing this data locally, extracted data is no more accessible than users' private messages on applications they already have installed on their phones.

Clinicians can also benefit from a more overarching or long-term view of patient mood states. Sochiatrist or a system like it could be used by patients to generate data they are comfortable sharing. This would allow clinicians to gain deeper understanding of patients' affect without the need for invasive procedures like forcing them to explain and relive traumatic experiences or going through and monitoring patients' messaging history by hand. Patients may find it less stressful to present information to their therapists using a data extraction system such as Sochiatrist, rather than explaining a situation verbally.

### 4.6.3 Limitations

There were a few areas where this study was limited. First, we were unable to make a direct comparison between public and private messages to prove strongly our hypothesis that private messages may be a richer data source for estimating affect. To examine the specific utility of direct message data as a space for people to express their most intense and private emotions [19], we purposely chose to test the extreme case where no public data is used at all. In practice, Sochiatrist can extract both public and private data for analysis, but for this study, nearly 99.9% of the messages in our collected data from the participants are from private messaging sources and thus there is an insufficient sample of public messages to make any meaningful comparison between the two. However, the fact that public messages are such a rare occurrence in our study demographic is an indicator that further investigation into private messages may be required.

The study population was limited to college undergraduates in the United States, so due to differences in expression across cultures and age groups, the results may not be generalizable to other demographics. Additionally, the timeframe of this study could have introduced several confounds. Participant data was collected from November to December, around the end of the fall semester. During this period of time, many college students were likely to be focused on academics and exams, which could influence their affect and introduce bias into the data. However, we still believe that the methods and systems provided here make it possible to reproduce similar studies across other demographics.

We also may not have had access to all of participants’ messaging history. There are common messaging apps that Sochiatrist does not support, such as Telegram and Signal. Our study design ensured that participants must use one of the Sochiatrist-supported applications, but does not guarantee that it is their “main” texting application or that there is not a significant amount of data missing.

The length of our study was shorter than previous studies on mood and affect, which had data collection periods that ranged from 30 days [102] to 3 years [141]. This means that we don’t have a long enough timespan per-participant to train participant-specific models. Furthermore, the number of participants ( $N = 25$ ) was also small. It is generally more difficult to collect a large dataset of private messages than a large dataset of public posts, since people are hesitant to share their private data. However, in the future it would be ideal to collect data over a longer period of time with a larger sample of participants.

Due to resource limitations, there was also not as broad a set of human reviewers as we would have liked. The same three reviewers, all from an American collegiate background, reviewed each session. This makes it difficult to conclude that the human reviewed scores can be produced consistently. A further study with reviewers from more diverse backgrounds would be needed to make broader claims about human review. Crowdsourcing solutions are unfortunately not always a viable method of human review, since the data is only pseudo-anonymized and sharing private data publicly on the internet quickly runs afoul of privacy standards. Future work computing the inter-rater agreement for this task is required to reveal how many human reviewers are required for a low variance estimate; if inter-rater agreement is low, then many reviewers are required, whereas if the inter-rater agreement is high, fewer are needed.

## 4.7 Conclusion

In this paper, we investigated the ability for sentiment analysis techniques to find an emotional signal in messaging data. Human review, especially when the reviewers were confident, had the highest correlation with PANAS, while VADER achieved similar correlation for negative affect. VADER, outperforming LIWC, is reasonably accurate for predicting affect, but does so differently than human review, as the correlation between the two is low. Both human review and VADER performed well on messaging data in comparison to past studies, most of which used public social media data. VADER’s relatively strong performance when using messaging data supports a more in depth investigation of the difference between private messages and public social media posts. However, it should be noted that all correlations with PANAS, while many were significant, had only moderate strength at best. We would caution against treating any of these methods as a replacement for user-reported affect data gathered through PANAS.

What is perhaps most promising in the future is identifying the parts of human review that the

automated techniques perform poorly in. Due to the evidence that human reviewers have the ability to gauge when they are likely more accurate, there must be some explanatory signal that the current automated techniques are not yet catching. Human reviewers are able to interpret both context and timing, and moderate the message based on the social relationship, likely playing a role in this. These discrepancies indicate opportunities for better sentiment analysis in the future, which could feature measures that are currently only noticed by humans.



## Chapter 5

# **Preliminary Work: Chime, The Impact of Private Online Self-Disclosure on Perceived Social Support**

## **Abstract**

Given the rise in online communication as a space for social support, it is important that we understand how factors of social media impact feelings of connectedness and social support. Thus we developed Chime, an anonymous social media platform where users can self-disclose emotions using emojis, as a way to safely investigate underlying impacts of social media as a whole and independent of popular platforms. We evaluate Chime’s effectiveness as a space for connection promoting behaviors, including self disclosure. We then conduct a within-subjects study among a cohort of users to evaluate the effect of emotional disclosure through Chime on social support. Findings show that Chime allows users to reflect and build connections with other users, while maintaining privacy. While Chime does not significantly increase feelings of social support over simple mood tracking, results show self disclosure in Chime may provide more social support than typical social media use after two weeks. Chime usage shows that low-bandwidth communication methods may provide effective social support while encouraging more active self-disclosure among users.

## 5.1 Introduction

Our mental health is in crisis. It is declining at a rate that current technology and professional services cannot overcome [121]. The World Health Organization reported a 25% increase in worldwide cases of anxiety and depression in the first year of the COVID-19 pandemic [121]. Psychologists are overwhelmed; 41% report that they could not meet demand according to a 2021 report by the APA [14]. With a lack of resources, many seek mental health services from peers and professionals through social networks and smartphone-based apps [169]. As a strong indicator of emotional resilience following traumatic and damaging emotional events [145], perceived social support is an essential part of improving our mental health. However in today’s climate where a large portion of daily communication has been migrating into online spaces, it becomes more important to understand how social support is reflected in online communication.

Prior work from the HCI and CSCW communities address the impact of social media and online communication on user wellbeing and perceived social support [3, 5, 6, 73, 174]. Including conflicting findings about both positive and negative outcomes from social media use. However, this work has focused primarily on individual platforms, each of which has its own cultures, expectations, and design decisions that impact user outcomes. Indeed, studies have shown real differences in the impact of social media use on users based on platform [95, 103]. Thus, there is a need to investigate not only the impacts of specific platforms on users, but what *specific properties* of online communication platforms nurture feelings of social support.

In response to this need, we present an experimental system called Chime with the goal to investigate the impact of different common aspects of social media platforms on feelings of social support. Chime exists as an open-source and extensible, low-bandwidth, anonymous platform intended as a generic representation of the commonly shared features between social media platforms. We aim to examine its effectiveness as a restricted yet safe communication space in which researchers can individually investigate different aspects of social media without influence from well-established social media cultures. Specifically, **we investigate if interaction in the low-bandwidth anonymous Chime is rich enough to act as active social media use.**

We additionally run a study using Chime as a social media platform encouraging self-disclosure to **investigate the impact of online self-disclosure on perceived social support.** In this two week study, participants were asked to use Chime, with separate study groups using the app in either a social or non-social capacity. Following the Interpersonal-Connection-Behaviors (ICB) Framework [34] and previous work on self-disclosure over online communication [27, 75], **we hypothesize that additional active emotional disclosure in a semi-public cohorted space on the Chime platform will lead to increased feelings of social support from online sources, as opposed to additional mood tracking with no social aspects or a control.**

## 5.2 Background and Related Work

### 5.2.1 Social Support and its Benefits

Literature in psychology and social science has long shown the benefits of social support. Defined as “an exchange of resources between two individuals perceived by the provider or the recipient to be intended to enhance the wellbeing of the recipient” by Shumaker and Brownell [144], social sup-

port, and specifically *perceived* social support, has been tied to many metrics of mental, emotional, and physical wellbeing [153]. This includes acting as a protective factor that improves emotional resilience in response to trauma [145]. Research has shown that feelings of social support reduces rates of depression and anxiety [153], and is tied to decreased feelings of loneliness [83].

The rise of social media and other online platforms as a popular venue for interpersonal communication has led researchers to question the impact of these online communication platforms on feelings of social support and other wellbeing outcomes. Numerous studies have shown the positive effects of online support groups on users (ex. [40, 62, 82, 162]), including the creation of social support networks over social media platforms (ex. [6, 62]). Studies have also shown strong correlations between direct online communication with friends or family and feelings of social support [27, 75].

But for the most part these studies are descriptive, either describing or finding correlations between actions on existing platforms and users feelings. This leaves a gap in the literature where the causal direction between online communication and feelings of social support is unclear. Deters and Mehl [47] address this issue in one study, though they focus primarily on feelings of loneliness. In this paper the authors ran a between-subjects study with 86 university students separated into two groups. Experimental group participants were asked to post more status updates on Facebook over the course of a week, while the control group was given no specific instructions. Both groups recorded feelings of loneliness before and after the study, and allowed researchers to view their personal Facebook page through a researcher Facebook account that was added as a friend. Deters and Mehl found that participants that posted on Facebook more frequently showed lower levels of loneliness, *regardless of whether those posts were interacted with or responded to by their network*. The authors suggest a few potential reasons for this pattern, including that “the act of writing itself...might create a feeling of connectedness” as users have their social network in mind while writing their posts. The authors also propose that public posts may lead to increased rates of private messaging, something that they did not measure, or that the act of self disclosure in participant posts might encourage conversation about those topics. Deters and Mehl note that in future work these different outcomes could be tested by having separate cases where users post to either a public or private space [47].

Though we do not use Facebook as our platform of study, our study does directly address this question of the difference between self-disclosing in a private versus public space.

## Measures of Social Support

Traditionally, perceived social support is measured by the Multidimensional Scale of Perceived Social Support (MSPSS) [179, 180] (shown in Appendix A). The MSPSS has been traditionally used to measure social support, but the questions imply in-person and strong-tie interaction and support (i.e., family, close friends, significant others). To account for the kinds of interaction and connections we see online, Nick developed the Online Social Support Scale (OSSS), a 40-point scale which specifically asks about perceptions of social support in relation to online communities [115] (shown in Appendix B). Both scales are scored as a sum of all responses, where a higher score indicates increased feelings of social support.

In this study we use the MSPSS as a measurement of offline levels of social support, and the OSSS as a main measure of changes in online social support.

### 5.2.2 Building Social Support Online Through Self Disclosure

Much of the literature surrounding social support in online communities ties support back to the concept of disclosure (ex. [5, 34, 73]). Studies of both on- and offline communication show that people feel closer when they emotionally self-disclose and reciprocate [125]. Studies have also found strong direct correlations between frequency of emotional disclosure online and feelings of social support [47, 75, 93, 94]. However the causal direction of this relationship is still unknown.

One prominent theory of online interaction that tries to explain this relationship is the Interpersonal-Connection-Behaviors (ICB) framework [34]. This framework proposes that the impact of social media on wellbeing, including feelings of social support, relies on the type of interactions that a user engages in. Specifically, that “active”, or “connection promoting” behaviors (ex., posting, commenting, reacting) lead to positive outcomes, while “passive”, or non-connection promoting, behaviors (ex., lurking, reading without engaging) lead to negative outcomes. In this framework, self disclosure is specifically pointed out as “particularly relevant because technology-mediated self-disclosure is at least as frequent and as meaningful as face-to-face self-disclosure, [113]”(citation theirs) [34].

## 5.3 The Chime Application

We developed Chime, a mood tracker and social media mobile application that uses emojis as the only form of user expression to explore a low-bandwidth, simplified social media system that may allow users to build social support. The system does not require users to share any personally identifiable information. Moreover, every user must explicitly agree to an information privacy and consent form before using the app. The app is publicly available on the Apple and Google app stores, under the name “Chime Social Mood Tracker”.

### 5.3.1 Design Considerations

While building Chime, our design decisions were motivated by the following three goals, as well as a series of four user studies to test the viability and interest in the platform.

1. Preventing harm to users
2. Accurate representation of common social media platform design choices
3. Encouraging emotional self-disclosure

We draw specific attention to our first goal, to prevent harm to users, which is a theme that will run through our entire design process. To ensure the safety of participants in our study, despite the social nature of the app meaning that we inherently cannot guarantee what kind of interactions participants will encounter within the app, we intentionally do not allow users to voluntarily share identifiable information. We do this to make it substantially more difficult for the negative interactions to occur during use of the app, particularly the forms of negative interactions seen in cyberbullying on other social media platforms [8].

We further explain specific design decisions below.

## Mood Tracking

As mentioned previously, studies have shown a link between online emotional self-disclosure and feelings of social support [75, 94]. Thus we built Chime as a system that encourages emotional self-disclosure. Although we considered alternatives such as messaging apps and online forums, we concluded that an anonymous social mood tracker would offer the best opportunity to safely study impacts on social support. By including both a traditional mood tracker “profile page” and a semi-public social “timeline” where users can “post” their moods, we allow for a non-social version of the app (sans timeline) to still look and feel like a completed app for control cases. This simultaneously allows us to provide one of the most common shared characteristics between social media sites, a profile page [71].

Across two separate phases of early user testing, groups of testers were placed into Discord<sup>1</sup> servers for 10 days and asked to post about their mood daily while only using emojis (testers were prevented from posting text via an automated Discord bot). While testers reported it was an interesting experience, they complained that the variety of emoji options made it hard to choose an initial emoji to use as a general mood descriptor. For this reason, along with ease of analysis for research purposes, we implemented the concept of a “main” emoji for mood tracking (See Figure 5.1d).

We restricted users to choosing their “main” mood from 9 options: Happy, Satisfied, Excited, Tired, Bored, Stressed, Angry, Sad, and Scared. This subset was based on the six universal emotions as defined by Ekman: happiness, sadness, anger, fear, disgust, and confusion [52]; as well as the six moods tested in the Profile of Mood States (POMS): tension, depression, anger, vigor, fatigue, and confusion [107]. To select which main moods to include we released a pilot survey asking responders to report which emotions or moods they had felt that day (from POMS and Ekman), as well as each of the terms rephrased into layman’s terms. We additionally added some neutral options such as bored and content and asked for any final thoughts. Based on this survey, we included the most commonly referenced options from POMS (fatigue, tension), Ekman’s list (happiness, sadness, anger, fear), and our added options or rephrasings (excited, bored, content). We rephrased these nine selected moods to make sense as a response to the question “How are you feeling?” which is shown in the app (Figure 5.1). Finally, user testing reports showed that testers were confused by the term “content” (as a state of satisfaction) as opposed to “content” (as the things that are held or included in something), thus we used the term “satisfied” in our final design.

## Anonymity

As mentioned previously, interactions over social media and other online communication is not solely positive [8]. Sharing personal information can lead to negative online interactions or bullying, for example doxxing [7]. In light of our goal to prevent harm to users, and especially given the potentially sensitive and abuse-able nature of the mood posts shared in the app, we were careful to keep Chime anonymous. This anonymity included both that we do not collect or display any personal information about users, and also that we do not allow users to the opportunity to not voluntarily share personal information through the app (for example posting “my name is <name> I live in <location>”). The latter case is discussed further below.

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<sup>1</sup><https://discord.com/>

## Emoji Only Communication

As mentioned above, in order to preserve anonymity we prevent users from sharing personal information in the app. This means that we could not allow free text in social spaces. We chose emojis as a (low-bandwidth) alternative form of communication since they have been shown to be very understandable given context [85]. In our case, shared context is given both by the knowledge that all posts in the app are in a specific format describing mood, and in the study we describe later that all participants are first year undergraduate students at the same institution.

Emojis provide the additional advantage of being a limited set. Meaning that they provide a convenient way to sort and analyze responses from the research perspective.

We also purposefully tried to include some kind of restriction on user content, something seen in many social media platforms; for example Twitter’s character limit, or Instagram which requires every post to include an image. Additionally, not every social network relies on text, for example the social networks Yo<sup>2</sup> or Emojili<sup>3</sup>. During user testing, testers expressed that they found the requirement to only use emojis to be a fun exercise. We hope this gamified experience felt reminiscent to other social media applications.

We note that to avoid confusion or ambiguity due to different art for unicode emojis on Android versus iOS platforms, we use emojis from a third-party library called JoyPixels<sup>4</sup>. Thus all emojis appeared identical for all app users regardless of the type of phone they had.

## Limiting Responses to Reactions

As the ICB framework describes, active social media use involves connection promoting behaviors [34]. Thus as Chime was intended as social media platform where active use is possible, it needed some form of connection promoting– or interactive– features. As mentioned previously, we avoid allowing users to enter text in the app to preserve anonymity, so text comments or responses are not possible. Furthermore, comments are an easy way for to respond negatively, even without text (imagine a user posting about a sad day when a pet died and the comment response “😂😂😂”). To avoid that potential avenue for emotional harm to our participants we decided not to include comment responses as a feature in the app.

A different, very common feature in social media platforms is a system of reactions or likes [71]. Examples include reactions on Facebook or in Twitter DMs, likes/favorites on Instagram posts or Tweets, and upvotes/points systems such as in Reddit. Studies have shown that reacts across different platforms can have a positive effect on online communities and interpersonal relationships [30, 82]. Thus we included reacts as a form of interaction and support-showing in the Chime app.

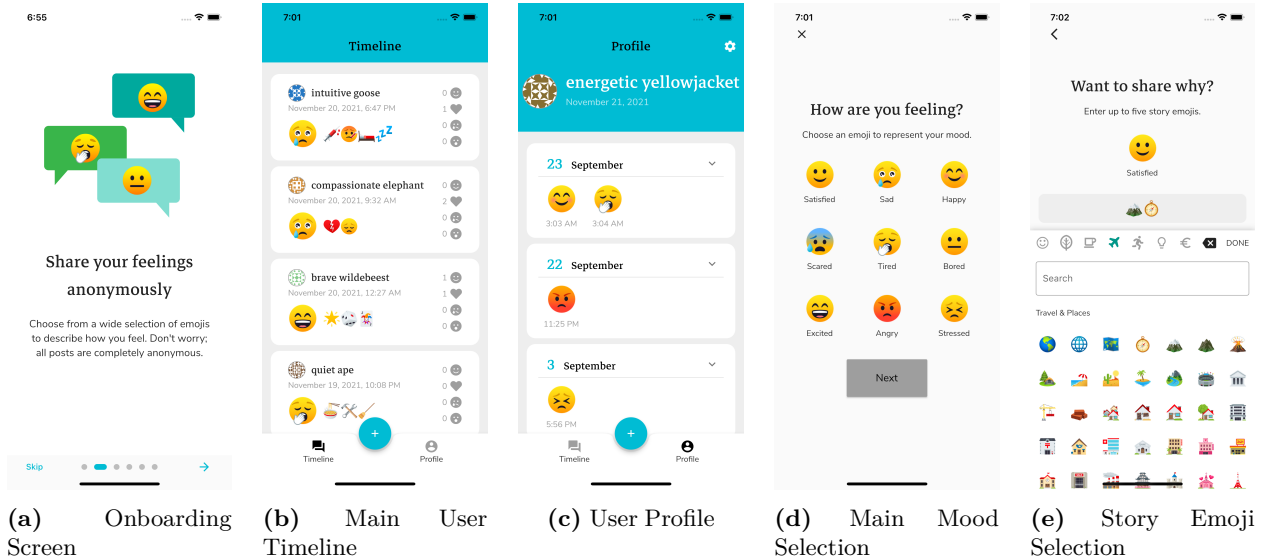
We chose to include four reaction types: a smiling face, crying face, gasping face, and a heart. These reactions were based on common reactions between Facebook, Twitter, Instagram, TikTok, and LinkedIn. The exception to this is the “angry react”, which is included in both the Facebook and Twitter DM reaction options but which we do not include in the Chime app. Researchers concluded that the angry react could be used as a negative response, and thus it was not included as a reaction option at this time.

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<sup>2</sup>[https://en.wikipedia.org/wiki/Yo\\_\(app\)](https://en.wikipedia.org/wiki/Yo_(app))

<sup>3</sup><https://emoj.li/>

<sup>4</sup><https://joypixels.com/>



**Figure 5.1:** Chime application main pages. Note that the main user timeline (b) was not shown to participants in the Individual group, who had the user profile (c) as their main app page. The Timeline and Profile buttons on the bottom of the screen were also not shown to Individual group users.

## Generated usernames and avatars

Some of the most popular elements of social media platform profile pages are usernames and profile images (i.e., avatars) [71]. Having a unique username or profile image allows users identify different users and link multiple posts over time to the same user. Thus we include usernames and avatars in Chime.

While we wanted usernames and avatars to be unique identifiers, we did not want users to include personal information such as names or images of themselves in the app. We opted to maintain anonymity within the app by assigning automatically-generated usernames and avatar images for each user. Avatars were generated using Gravatar<sup>5</sup>. A random combination of an adjective and an animal provided a potentially memorable username, and has been used in past studies to identify participants (ex. [164]).

### 5.3.2 App Interface Design

When users first log into Chime, it presents them with our user privacy and consent form. This privacy and consent form informs users that “[their] anonymized data may be used or shared for future research.” All users must scroll to the bottom of this form before they are given the option to continue to use Chime. After a series of short onboarding screens, Chime shows users a timeline where they can see posts made by other users and add their reactions to their posts (see Figure 5.1). Users have four options to react to a post: a heart icon, a smiling face icon, a crying face icon, and a surprised face icon. We based our reaction options on Facebook’s reaction emotes feature. Borrowing conventions from popular social media apps, users may select only one reaction to a post.

While users can see reactions to their posts on the timeline page, they cannot view reactions to their posts on their profile page. This is because the primary function of the profile page is as a mood-tracking log, somewhat separate from the social aspects of Chime.

<sup>5</sup><https://en.gravatar.com/>



In the app, users can create their own posts. They first start by reading the prompt: “How are you feeling?” and accordingly choose from 9 different “mood emojis”, each representing 1 of 9 moods: satisfied, sad, happy, scared, tired, bored, excited, angry, and stressed. As mentioned previously, we selected these moods as a subset of the six universal emotions: happiness, sadness, anger, fear, disgust, and confusion [52] and the six moods tested in the Profile of Mood States (POMS): tension, depression, anger, vigor, fatigue, and confusion [107], that we perceived to be the most commonly felt amongst our users.

After users choose a mood, they are presented with a page asking them to “... share why” by creating a story: a string of at least 1, but up to 5 emojis describing the mood they initially inputted. We provide a broad yet limited library of emojis to use in the story.

To ensure that the app appears as similarly across user devices as possible, we used Google’s Flutter app framework, which does not use native iOS or Android components and instead renders the app pixel by pixel. Moreover, we used the JoyPixels emoji font to ensure that all emojis appeared identically for iOS and Android users. This design decision is vital to the integrity of our study. Each emoji’s meaning is less likely to be misinterpreted when they appear identically for all users.

### **5.3.3 Privacy and Consent**

We designed Chime to ensure the privacy of our participants. Instead of personally identifiable information like email addresses or phone numbers, Chime relies on the hashed identification code of our participants’ mobile devices to identify individual accounts. A user’s client device hashes their device ID before being securely sent over HTTPS to our web server. Before storing information within Chime, users must agree to our terms of service about how our study will use their data.

### **5.3.4 Data Flow for Account Creation and Recording Data**

When a user creates an account, Chime assigns them a random avatar and creates a username by combining a positive adjective and an animal name. For example, “friendly aardvark.” We chose to give users these anonymous identifiers to create a system that feels similar to major social media applications and provides memorable anonymous tokens to identify users. When creating an account, users are also placed in a “group” and assigned a group ID. They can only see and share posts within their group. Our University managed server stores the user’s salted and hashed private device ID, group ID, and anonymous public information in a database.

Chime records a user’s posts and reactions within a centralized database using a Restful API. When a user creates a post, their device sends their mood data and story emojis to the server, which uses the cookies sent along with the request body to identify an authenticated session. When users react to a post, their device first updates their reaction status and then sends this data to the server to store in the database. When a user queries a post, Chime will retrieve aggregated reaction counts and the latest reaction from the user making the query.

## 5.4 Method

### 5.4.1 Participants

We recruited participants from a pool of first-year undergraduate students at the author’s institution. To recruit participants, we posted physical posters around the institution’s campus, including in and around first-year dormitories, and in popular hangout spots near campus. We also posted advertisements online in social media groups created as conversational spaces for first-year undergraduates from the authors’ institution (i.e. Facebook, Instagram, Reddit). We required that all participants be 18 or older.

We chose first-years as the target population since many students are no longer physically near their primary social support network (e.g. parents, friends) once they start college, and therefore are likely to benefit from an online source of social support [12]. We restricted recruiting to one university because, at the time of the study, every university had varying socially-restricting policies due to the ongoing COVID-19 pandemic. We therefore ensure that all participants are under the same university-mandated COVID safety policy. Furthermore, as mentioned previously, previous studies have shown that emojis are understandable *given a shared context*, for example within a specific Facebook group, shared friend group, or known location. By using a cohort of students from the same university, we can ensure an appropriate level of shared context that would ensure understandable communication between participants.

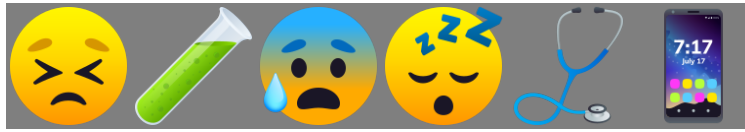
In total, we recruited 77 consenting participants (43 female, 32 male, 2 non-binary) based on the eligibility criteria (first year undergraduate enrolled at our home institution, over the age of 18). This study was approved by our institution’s IRB as a human subjects study.

### 5.4.2 Study Procedure

The study lasted two weeks, and participants were randomly separated into one of three groups. Each group had differing levels of access and features within Chime.

1. **Social (27 total participants):** using the fully-featured version of Chime as described above. Participants were in an isolated study-only group. These participants only shared posts and interacted with users in this group.
2. **Individual (25 total participants):** using only Chime’s social timeline as a mood tracker with the social timeline with all of the social features removed.
3. **Control (25 total participants):** did not use Chime at all.

We use three separate groups for a between-subjects study for two reasons: first, this allows us to avoid influences from familiarity with the platform over time, as we might see in a within subjects study; second, we collect data on all three groups over the *same period of time*, which prevents temporal influences on participant feelings of social support. The Social group acts as our primary experimental group, testing the impact of self-disclosure over the full social Chime app, while the Individual and Control groups both act as baseline groups. As we are studying students, there are common outside factors that are likely to impact feelings of social support, for example changes to university COVID policy, school breaks, or (as we encountered in this study) periods of mid-terms or



**Figure 5.2:** An example of a post made in Chime. The first emoji shown is the “main” mood emoji, and all following emojis are the “story” emojis. This image was shown to participants as part of the final study survey, and participants were asked the following question: What do you think the author of the following post meant to convey about their mood? The first of these emojis was chosen in response to the prompt “How are you feeling”, while the others were chosen in response to a follow-up prompt “Want to share why?”

finals. The Control group provides a baseline of comparison when considering those outside factors. By contrast, the Individual group allows us to separate the impact of mood tracking and self-reflection from that of the actual social interactions made in the Chime app.

### Pre-Study Eligibility and Onboarding

Before the study period began, all participants completed an eligibility survey as well as an onboarding survey containing a consent form and setup instructions and informing them of the study start date (as all participants across groups started and ended the study on the same days). Participants in the Social and Individual groups were additionally asked to install and join the correct versions of the Chime app as part of the survey. They were asked not to begin posting in the app until the study start date, and were instructed to post in the Chime app at least once a day once the study began. Participants in the Social group were also instructed that all users posting in the app were first-year undergraduates at their institution. This information was intended to create a natural “cohort” for the participants in the Social group, similar to a Facebook group or a subreddit community.

### Study Surveys

During the two-week study, all participants were sent three study surveys—on the start date, one week after the start date, and two weeks after the start date. Weekly surveys allowed us to test *trends* in feelings of social support and outside factors rather than just a start and end point. The participants were asked to fill out the surveys to the best of their ability within two days of receiving the email asking them to fill out the survey.

We sent emails containing personalized survey links to participants at around 10 am local time every week for three weeks. All participants were contacted at the same times on the same days. Up to three additional reminder emails were sent over the following two days reminding participants to complete the surveys until they did so.

All three study surveys asked participants to report on their general social media and messaging time (“On average, how many hours per day do you spend [reading social media/posting on social media/messaging]”). The surveys also included psychological scales for participants to fill out, including the MSPSS and the OSSS. The MSPSS was included as a baseline measure of offline social support, as changes in outside factors related to social support networks could impact participant use or relationship to online social support. The OSSS is therefore used as our comparative measure to detect changes in perceived social support. Finally, all surveys asked participants what emotions they felt each of the 9 main mood emojis from the Chime app represented.

In both follow-up surveys, participants were also asked if they had gone to someone for support in the past week, and if so who and when. These surveys also asked participants in the Social and Individual groups whether they had shared their Chime username with anyone else, and to explain their intended message from a provided recent post they had made in the Chime app. Additionally, two of these provided posts were chosen and presented to all participants in the Social and Individual groups during the second (mid-experiment) survey and to all participants in the final survey. Participants were asked to describe what they thought the original poster meant to express in the given post. An example of one of these chosen posts can be seen in Figure 5.2.

The final survey additionally asked whether participants in the Social group felt that they connected particularly well or poorly with any other users of the app, and asked participants in the Individual and Social groups about their feelings on the emoji-only communication within the app. Finally, all participants were given a space for any final thoughts. In this final survey, Control group participants were also shown the same two selected posts as the other participants and asked to describe what they thought the original poster meant to express.

## **Compensation**

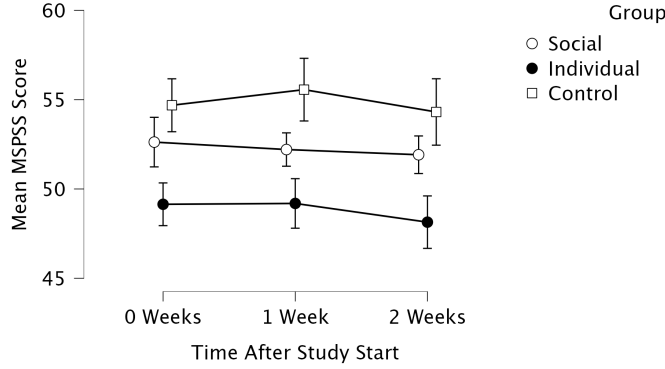
Participants were paid \$10 for each survey completed as part of this study. Participants in the Social and Individual groups were additionally paid \$1 for each day during the two week study in which they posted, if these participants posted in the app every day of the study and completed all surveys then they were paid a \$7 bonus (equaling a maximum of \$50 in compensation). This incentive structure was chosen to make sure that participants were consistently engaging with the app, with the option to engage more often than we required.

## **Data Cleaning for Analysis**

Following data collection, we removed a total of 12 participants from our analysis as their data was not useful for addressing the research questions. 7 participants were removed for failing to complete at least one survey, one participant in the Individual group failed to use the app at any time during the study, 3 participants had unusually low MSPSS scores outside the normal distribution computed using the interquartile range method (IQR), and one participant was removed as they had responded 2 to all questions of a 40-point likert scale, and thus were likely answering at random. This left 65 total participants in the study, with 25, 22, and 18 participants in the final Social, Individual, and Control groups respectively.

## **5.5 Results**

Our analysis evaluates our hypothesis that social use of Chime will lead to increased feelings of social support from online sources. We review both baseline measures of offline social support (the MSPSS), to ensure that offline social support stayed consistent for participants from the beginning to the end of the study, as well as measures of online social support (the OSSS). The changes in OSSS show the impact of self-disclosure in Chime, in both the Social and Individual groups, as opposed to the Control. We also consider other factors that may have impacted our results, such as social media



**Figure 5.3:** Mean MSPSS scores over the course of the study. Note that each group stays at a consistent MSPSS over the course of the study. Two weeks after study start indicates the end of the study.

use outside of Chime. Finally, we look at usage within the Chime app to evaluate its viability and effectiveness as a space for active social media use (as defined by [34]).

We note that the MSPSS and OSSS are scored as a sum of all responses to a series of prompts (see Appendix for both scales). For this reason, participants that skipped prompts in one of these scales were removed from analysis for the impacted scale. All statistical tests are tested for equality of variance (Levene’s test) to ensure that ANOVA is still accurate, cases where Levene’s test fails are marked with the corrected test. In cases of repeated measures ANOVA, Mauchly’s test is additionally used to confirm sphericity. All post-hoc tests use Tukey’s method to correct final p-values (marked  $p_{tukey}$ ).

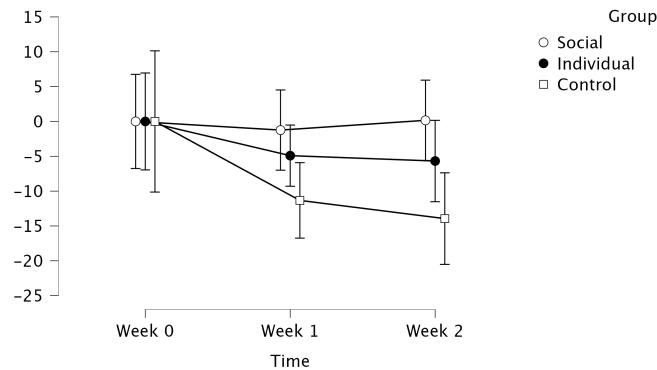
### 5.5.1 Scales of Social Support

#### Offline Support Networks are Consistent

As mentioned previously, we use the MSPSS as a measure of offline social support. While we find MSPSS scores for the Individual (non-social mood tracking only) group to be overall lower than the Control (no Chime use), each group shows consistent MSPSS scores—and therefore consistent levels of offline social support—from the beginning to the end of the study. As shown in Table ??, a repeated measures ANOVA shows that over the course of the study each individual group maintains a consistent mean MSPSS score,  $F(4,116) = 0.3, p = 0.9$ , (also see Figure 5.3). However, when comparing between groups, we do see that the Individual participants had significantly lower MSPSS scores across the board than the Control group ( $F(2,58) = 5.2, p < 0.01, \eta^2 = 0.13$ , post-hoc:  $p_{tukey} < 0.01$ ). This is consistent over time in that Individual group MSPSS is significantly lower than Control group MSPSS at all time points during the study.

#### Change in Online Social Support

To test our hypothesis that feelings of social support from online sources would increase with use of fully featured Chime (i.e. in the Social group) when compared to the Control group that did not use Chime, we look at scores from a more recently developed measure, the Online Social Support Scale (OSSS) [115]. Interestingly, we see that, though all groups start at the same level, Chime users in both groups maintain a consistent level of perceived online social support, while participants in the Control group show *decreased* feelings of online social support. We first confirm that, at the beginning



**Figure 5.4:** Mean OSSS scores over the course of the study. Note that while the Social and Individual groups stay at a consistent OSSS over the course of the study, the Control group decreases as the study continues. Two weeks after study start indicates the end of the study.

of the study (week 0), there was no difference in OSSS ( $F(2,58)=0.9, p=0.4$ ) or the esteem subscale ( $F(2,60)=2.9, p=0.9$ ). By the end of the study, however, we do see a significant difference in OSSS between the Social and Control groups (post hoc:  $p_{tukey}=0.01$ ,  $Cohen'sd=2.9$ ). However, as shown in Figure 5.4, this is not due to an *increase* in OSSS scores on the part of the Social group, but a marked *decrease* in OSSS scores in the Control.

Within the Social group, perceived social support from online sources not only stays consistent to the beginning of the study, but we also do not see a significant difference between the Social and Individual groups (post hoc:  $p_{tukey}=0.4$ ). To further understand this pattern, we take a deeper dive into participant social media use (outside of Chime), and interaction patterns within Chime.

### 5.5.2 Social Media Use Outside of Chime

In order to confirm that our results are not impacted by differences in participants' other online social media use, we look at the reported level of social media use among participants of each group. Overall, we find that all participant groups shows similar and consistent social media use across the three measures: time spent reading social media, time spent posting on social media, and time spent messaging over private messaging platforms. With no significant difference between groups when compared with repeated measures ANOVA for reading ( $F(2,62)=1.8, p=0.2$ ), posting ( $F(2,61)=1.7, p=0.2$ ), or messaging ( $F(2,61)=0.4, p=0.7$ ).

### 5.5.3 Chime use among Social and Individual Groups

The similarity in OSSS between the Social and Individual groups could result from similar app use between groups. If the social timeline and features were left unused by Social group participants, then the user experience is similar to the Individual group. Thus we investigate app usage between groups.

#### Posting Patterns Within Chime

First we find that the Social and Individual groups of Chime users posted with similar frequency. This suggests that the results were unlikely to be impacted by one group posting significantly more often than the other. We see similar posting frequencies between the Social (355 posts total, participant

minimum: 8, max: 19, mean: 14.2) and Individual groups (288 posts total, participant minimum: 2, max: 27, mean: 12). Participants in both groups often posted at similar times, most posts were made between 6–7 PM local time (Social: 24%, Individual: 23%), while the vast majority were made between 10AM and 9PM (Social: 79%, Individual: 83%). This emphasis on the 6–7 PM timeslot is likely because Chime sent a daily push notification at 6:05 PM to those that had not posted yet that day.

However, our incentive structure did encourage participants to post once per day (with payment tied to participants posting every day). Thus, we can look at interactions beyond posting to see how participants interacted within the app.

### Interactions Beyond Posting in the Social Group

Participants in the Social group had the option to react to posts visible in their timeline. This type of interaction was not incentivised within the study, so we use it as an indicator of connection building behavior (or “active use”) within the Social group. Overall we find that the majority of Social group participants (72%) reacted to other posts over the course of the study. Qualitative responses also indicate that the majority of Social group participants were actively using the platform.

When looking at reaction use, we do see evidence that some participants in the Social group interacted outside of their posts. Excluding reactions that were later removed, 18 of 25 participants in the Social group reacted to at least one post during the study, with 16.7 reactions on average (total: 320, min: 1, max: 121, median: 5.5). Though not every participant in the Social group reacted to another post, every user received reactions on at least one of their own posts (number of reactions min: 4, max: 28, mean: 12.6).

Overall, some Social group participants used Chime as a social space. This is also supported by participant reports of their app usage. When asked, two participants pointed out other users that they “felt particularly positive about or felt like [they] connected with”. However, most participants pointed out that following other participants over time was difficult. Despite this, many participants felt that they were able to relate to specific posts or trends based on what was posted. As one participant describes,

I honestly did not pay much attention to usernames so I can’t say that a specific user connected with me but there were a few posts that I remember resonating with and it helped me feel a little less isolated knowing that other people aren’t constantly joyful and happy 24/7. - P145 (Social)

Another participant described that seeing others post about a similar stressful situation as them felt reassuring.

Whenever anyone posted the chemistry test tube with green liquid inside, I knew they were talking about the upcoming chemistry exams, so I felt a bit reassured knowing that there were some individuals out there stressed about the exam as well - P197 (Social)

There were also, of course, some participants who confirmed that they had not engaged in a particularly social space. As P159 (Social) put very clearly when asked whether there were other users they “felt particularly positive [or negative] about or felt like [they] connected with”, “I did not interact with other users very much.” We note that P159 did not react to other posts at any part of the study either, but did “occasionally look back at [their] profile to see how [they] had felt over the course of the week.”

#### 5.5.4 Emoji Understanding

While previous work shows that, given context, emojis are an understandable mode of communication, it is possible that in the case of Chime there is not enough context to facilitate a sufficient level of understanding for social support. Regardless of interactions with other posts, if participants perceive posts as un-interpretable noise, then the addition of the social aspects of the app add nothing to the underlying mood tracker, which may explain the insignificant difference between the Social and Individual groups. We find that, while user opinions on emoji understandability were mixed, when directly asked to interpret a post pulled from Chime, participants were fairly accurate in their interpretation. Inaccurate points of interpretation were also common between participants.

After reviewing user reports, we note Social group users report mixed opinions on emojis as a communication tool. Most users reported that using only emojis felt somewhat restrictive. As one user reported,

I felt that there were definite limits to what I could express [in Chime]. Often, the link between all of the emojis in my story was unclear, because there wasn't a more specific emoji that could convey the words or emotions I had in my mind. However, as the study progressed, I found more creative ways to utilize what was available to express more specific situations. - P190 (Individual)

We note that the restricted nature of emojis was to a degree intentional, as it prevents users from sharing personal information in their posts.

When Social group participants were asked if “there [were] any specific times where [they] felt [they] had a very *bad* understanding of what a post on Chime was trying to convey”, most participants pointed out that certain types of posts were hard to interpret, or “seemed random”. As one participant shared,

when individuals posted emojis that seemingly had no correlation, it was difficult to interpret what the user meant. For example, if someone posted an emoji of them being scared with random emojis of a fruit, a sun, a dolphin, and a chemistry test tube, I would probably misinterpret why they are scared. - P189 (Social)

However, participants also noted that there were patterns and common cases that they felt were easy to interpret. This was a thread when asked if “there [were] any specific times where [they] felt [they] had a very *good* understanding of what a post on Chime was trying to convey”. One participant even pointed out the importance of time to their interpretation,

usually on Sundays most people posted the tired emoji with work related emojis so I usually figure people were tired and had lots of work after doing fun things the night before. - P208 (Social)

With the inconsistent nature of participant perceptions of their ability to interpret posts, we investigate how consistently and accurately participants are actually able to interpret posts. When looking at participant interpretation of emoji-only posts, not only were interpretations often accurate to the author's intent, but even when not accurate, interpretations overlapped significantly between users.

Before we describe our results in further detail, we encourage the reader to look back at Figure 5.2 and consider how they would interpret the presented mood post if it was shown to them.



As mentioned previously, participants were shown a Chime post made by another participant in the Social group the day before the survey was distributed (shown in Figure 5.2). We collected both the ground truth intent of what the original poster meant to convey in the post, as well as interpretations from all other participants. The original poster described their post,

I was stressed because I had a chem exam, hadn't been getting much sleep, and had to do a CPR certification module - P189 (Social)

From this post, we extracted 5 concepts

- Stress/Anxiety/Worry
- Lack of Sleep/Tired
- Chemistry
- Exam/Test/Midterm
- CPR

We then similarly extracted concepts from each provided interpretation to see accuracy of participant interpretation as well as common concepts between participants that were not included in the ground truth.

As we see in Table ??, participant interpretations are fairly accurate across all concepts. Additionally, even for concepts that are not included in the ground truth, participants are consistent between themselves. This implies that there is a broader shared understanding of a post within the group, even if it is not true to the original poster's intent.

We therefore assume that the participants in the Social group are able to understand each other's posts, and that the context provided within our chosen cohort is sufficient for successful interpretation, as is consistent with previous work [85].

## 5.6 Discussion

### 5.6.1 Self Disclosure Encourages Social Support

Without yet looking at the Individual group in the study, one clear outcome of this study is that the Control group shows significantly lower feelings of online social support than the Social group by the end of the study, despite having the same level of online social support before the study began. We will discuss specifics of why the Social group may be feeling socially supported below. However, our results indicate that online self-disclosure in a social environment likely has a positive impact on feelings of social support.

### 5.6.2 Chime as a Space for Active Social Media Use

Originally, we sought to investigate whether interaction in Chime is rich enough to act as active social media use. In our study, we looked for evidence of connection promoting behaviors within the app— as active use is defined as “connection promoting behaviors” in the Interpersonal-Connection-Behaviors (ICB) framework. Specifically, we looked at three measures to determine whether Chime was effective

at providing a space for active engagement: how often participants in the Social experimental group reacted to others’ posts, participant reports of their own connections and app use, and participant ability to interpret other posts. Across the board we see that participants are able to use Chime as a space for effective connection-promoting communication.

At the most basic level, we see participants are able to understand each other in the app (i.e. correctly interpret posts), despite the restrictions imposed by the emoji-only communication. We also find both broad use of the react feature (72% of Social group participants), and participant reports of feelings of reassurance and connection with other app users. Overall, this indicates that users in Chime can interact actively in the app, and that despite the low-bandwidth nature of emoji-only communication.

### 5.6.3 The Impact of Active Disclosure in Chime on Social Support

With Chime established as a space for potential active communication, we again consider our hypothesis that active emotional disclosure in a semi-public cohorted space on the Chime platform will lead to increased feelings of social support from online sources, as opposed to additional mood tracking with no social aspects or a control. When considering the ICB framework, we can view our Social participants as “active” users, while Individual participants would be “passive”. The expectation based on the ICB framework would then be that our Social participants should show greater increases to online social support than the Individual participants. But this isn’t the pattern that we see. Our results show no significant difference between feelings of online social support for the Social and Individual groups. Here we discuss potential reasons for this outcome.

Previous work has pointed out the difference between public and private forms of communication [75], which may be a factor impacting our results. As Hoefer et al. point out, the strongest relationships between social support and self disclosure they observed were in one-on-one private text chats, as opposed to group chats or other forms of online communication [75]. Chime uses a semi-public timeline as a space for social interaction and active communication, which may be perceived either as a public space or as a large group chat. This may mean that, while social support can be gained through online communication and active disclosure, the effect is severely dampened in semi-public cohorted spaces like Chime.

Alternatively, the relationships between users may not have had time to develop into stronger ties. Past work by Andalibi and Forte suggests that disclosure and support through anonymous networks that do not contain known close ties is helpful but not so helpful as disclosure and support with known ties [4]. This may mean that the impact of using a platform like Chime as a social space as opposed to a mood tracker is small enough that we could not detect it with our small cohort study. We posit that potentially, with the ability to interact with the same community, even an anonymous one, over a long period of time, stronger ties may form between community members and allow for stronger feelings of social support as “unknown ties” become stronger ties.

It may also be that the active vs. passive dichotomy is incorrect. As Deters and Mehl propose in their study on the impact of Facebook posts, the act of reflecting and posting about ones mood may itself create a feeling of connection [47] and thus social support. If this were the case then it would account for the lack of difference in outcomes between Social and Individual groups, as well as the improvement of the Social group over the Control.

We also note that we do not have insight into participant interactions outside of their usage of

Chime, and the self-reflection prompted by posting on Chime may have caused participants to go to other online platforms to discuss their emotions. It is possible that reflecting on mood as part of Chime may have facilitated further disclosure and active communication on other platforms.

In order to separate these potential outcomes, future research could look into having participants use Chime with different cohorts. For example a cohort of strong ties, such as a shared timeline for friends; by placing a pair of known ties such as a couple as a cohort; or pairing strangers for one-on-one mood sharing. We would also suggest measuring changes in participant disclosure on other platforms over the course of the study.

## 5.7 Limitations

This study had a number of limitations. First, our study population was somewhat small as a between-subjects experiment, where 65 participants were split over the three conditions in our study. With this size of study population, the data is susceptible to outside influences and individual variance among the participants, especially across measures where there is substantial individual difference.

Furthermore, our study population consisted solely of first-year undergraduates, over the age of 18, at a single academic institution. While using a more homogeneous cohort was intentional, to achieve a more consistent controlled lifestyle conditions, it limits our study results from being generalized to broader populations. This is a trade-off that is difficult to avoid for cohort-based studies, and we believe that triangulating multiple studies with different methods will be needed to make broader claims about online social support in society.

As we recruited participants only through physical posters on or around campus and over a few social media networks, we also may have specific under-represented populations even within our selected cohort. Students that were remote and were not connected over social media or who had limited internet access would be under-represented in our study.

Finally, responses to our survey questions were all self-reported, and it was likely that participants knew we were the app developers. This knowledge could lead to biases in survey responses, compounded with the longer nature of the emotional state scales we used; while we checked for the most obvious instances of this, participants may have exaggerated or randomly filled out survey responses.

## 5.8 Conclusion

Simple mood trackers can be converted to social mood apps where users can safely reflect while sharing only the context they wish to reveal through emojis. In this paper, we presented Chime, an anonymous, low-bandwidth social mood tracker that uses emojis to encourage users to share their emotions. Even in this extreme scenario of complete privacy and safety from harmful messages, we see the potential for online self disclosure over social media to offer some ability for social support. We investigate how users use such a system to build feelings of social support and connectedness through emotional disclosure. As social media continues to grow into a online commons there is a space for safety-focused platforms to help build resilience through self-reflection and disclosure.

## 5.9 Acknowledgments

Anonymized for submission

## Appendix A

### Proposed Work

# Appendix B

## Proposed Work

### B.1 Preliminary Work Contributions

- Chapter ?? make a cool contribution/s
- Chapter ?? make another cool contribution/s
- Chapter ?? make even MORE cool contribution/s

#### B.1.1 Proposed Additional Contributions

1. a cool thing you will do
2. another cool thing you will do

## **B.2 Title for Proposed Work 1**

**B.2.1** Formative study

**B.2.2** Design consideration

**B.2.3** Proposed approach

**B.2.4** Proposed evaluation

**B.2.5** Formative study

**B.2.6** Design consideration

**B.2.7** Proposed approach

**B.2.8** Proposed evaluation

## **B.3 Title for Proposed Work 2**

**B.3.1** Formative study

**B.3.2** Design consideration

**B.3.3** Proposed approach

**B.3.4** Proposed evaluation

## **B.4 Timeline**

**Summer 2023:**

1.

**Fall 2023:**

1.

**Spring 2024:**

1.

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