## PERSONAL DETAILS

Name: Rajat Gupta

Course: PGDBA (Post Graduate Diploma in Business Analytics)

Course Website: <a href="https://www.isical.ac.in/~pgdba/Programme\_Overview.html">https://www.isical.ac.in/~pgdba/Programme\_Overview.html</a>

Universities: IIM Calcutta, IIT Kharagpur, ISI Kolkata

**Time Zone:** Indian Standard Time (IST) - GMT + 5:30

**GitHub:** <a href="https://github.com/rajatguptakgp">https://github.com/rajatguptakgp</a>

LinkedIn: <a href="https://www.linkedin.com/in/rajatguptakgp">https://www.linkedin.com/in/rajatguptakgp</a>

## **Contact Details:**

1. **Mobile Number:** 7872684659

Primary email-id: rajatgba2021@email.iimcal.ac.in
 Secondary email-id: rajatgupta069@gmail.com

#### **BIOGRAPHICAL INFORMATION**

Hi, I am Rajat Gupta, student of the PGDBA program jointly offered by IIM Calcutta, IIT Kharagpur and ISI Kolkata. I am currently interning at Amazon under the role of **Data Scientist II**. My internship started on 4<sup>th</sup> January 2021 and will end on 4<sup>th</sup> June 2021. In my internship, I am currently working on building **Customer2Vec embeddings**, similar in concept of **Word2Vec**.

I have been a part of variety of projects in the fields of **Computer Vision**, **NLP**, and **Reinforcement Learning** among others that I have done in the duration of course, as can be seen in my resume. I have a strong knowledge of **Python**, **PyTorch/Tensorflow/Keras** and machine learning algorithms from Linear/Logistic Regression to ensemble methods like bagging and boosting to advanced neural network frameworks like Autoencoders and GANs. I am also an enthusiastic participant in Data Science competitions and quizzes. Last year, I stood 1<sup>st</sup> among **2035 aspirants** of Data Science Quiz, conducted by Analytics Club, IIT Guwahati.

I have been part of three remote academic internships during the course. Last year, I worked under my two professors from **Computer Science & Engineering Department, IIT Kharagpur** for two projects for a duration of four months from April '20 till July '20. The two projects that I had worked on were:

- **1. Generating explainable recommendations**, where I built a machine learning framework using graphs (Python library used: **Networkx**)
- **2. Building knowledge-aware chatbots:** Transformer framework with problem modelled as Reinforcement Learning

I am also an active open-source contributor. I maintain my code works of all the projects and learnings in my <u>GitHub</u> repository. I like to code machine learning algorithms from scratch to have a deeper knowledge of algorithms and to be an efficient coder.

I want to participate in GSOC '21 as this is my last opportunity to be a part of something so unique and contributing to open-source. In order to showcase my skills, sincerity and dedication, and to increase chances of selection, I have worked on evaluation tasks of **six** projects offered by ML4SCI organization and as listed below and **all of my three proposals** are for these projects:

- 1. Deep Regression Techniques for Decoding Dark Matter with Strong Gravitational Lensing
- 2. Domain Adaptation for Decoding Dark Matter with Strong Gravitational Lensing
- 3. Equivariant Neural Networks for Dark Matter Morphology with Strong Gravitational Lensing
- 4. Machine Learning for Turbulent Fluid Dynamics
- 5. Decoding quantum states through nuclear magnetic resonance
- 6. Dimensionality Reduction for Studying Diffuse Circumgalactic Medium

#### **SYNOPSIS**

**PROJECT PROPOSAL 1:** Dimensionality Reduction for Studying Diffuse Circumgalactic Medium

In this project, we will explore efficacy of different dimension reduction techniques in Machine Learning on simulated quasar absorption spectra to determine gas composition, temperature and density. We start by building machine learning classifiers on original simulated dataset using models like

- 1. Logistic Regression
- 2. Ensemble methods
  - a. Bagging:
    - i. Random Forest Classifier
  - b. Boosting:
    - i. LightGBM Classifier
    - ii. XGBoost Classifier
- Neural Networks MLP

We then use dimension reduction techniques like – **PCA**, **SVD** and **Isomap** to reduce dimensions of data and assess classifier performance across same set of above classifiers. We will further look at advanced neural network frameworks like **Autoencoders** and **Semi-Supervised GANs**, for classification while generating embeddings as latent representation of data in reduced dimensions.

Finally, we compare the model performances across original and dimensionally-reduced dataset and conclude with the best model.

**PROJECT PROPOSAL 2:** Decoding quantum states through nuclear magnetic resonance

Since it is hard to classify materials at low temperatures, in this project, we will study time evolution of nuclear spins via simulations of nuclear magnetic resonance (NMR). We will explore different machine learning frameworks in classification from Logistic Regression to Bagging and Boosting Classifiers to Neural Networks, to determine type of electronic interaction based on simulated data.

Additionally, **important features responsible for classification will be extracted** from the model using following methods:

- 1. Feature Importances criterion in Ensemble models
- 2. Permutation Feature Importance
- 3. SHAP

Finally, we will build the optimization algorithm for estimating best parameters borrowing approach of **Grid Search CV** and **Randomized Search CV**.

### **BENEFITS TO COMMUNITY**

As much as this shall be a great learning opportunity for me to enhance my skills in the Machine Learning and Deep Learning space, I would like to share my experience with the organization and learnings through a proper documentation on a public domain.

I like to write and I write about my experiences through answers on Quora. I have also written a blog about preparing for the PGDBA program for Exambazaar – a coaching platform for students.

Apart from making the code open and sharing publicly, I would like to write an article on Medium explaining the whole project and code so that future GSOC aspirants can take help of it in case they need for the evaluation tests, as I took help from article written by Pranath Reddy for DeepLense Projects.

If given a chance, I would also like to be a mentor for the next year's GSOC evaluation.

### TIME COMMITMENT

A typical project requires 175 hours of work and is spread over 10 weeks. I plan to work **uniformly across these 10 weeks** investing on an average **18-20 hours per week** as per deadlines of deliverables for the projects that I have listed ahead.

I aim to complete any pre-requisites of the project in the **community bonding period** so that I can kick-start my project with ease.

I will be committed to giving updates for the completed week in form of a 2-3 pager document at the start of every week. This will help my mentor have a clear idea of my progress and myself in being clear with the deliverables.

Although there exists a possibility of an uneven distribution of work hours required to complete a milestone, I have tried my best to detail the milestones and deliverables for the projects in the subsequent pages.

## **PROJECT PROPOSAL 1:** Dimensionality Reduction for Studying Diffuse Circumgalactic Medium

## PROJECT MILESTONES + DELIVERABLES

| Week<br>Number | Dates                    | Milestones  | Deliverable (apart from Weekly<br>Report)   |  |
|----------------|--------------------------|---|---|--|
| Week 1         | June 7 – June 14         | Understanding absorption                                |   |  |
| Week 2         | June 14 – June 21        | spectrum  | Literature Survey Report  |  |
| Week 3         | June 21 – June 28        | Simulating Quasar absorption spectra                    | Dataset to be used for further analysis   |  |
| Week 4         | June 28 – July 5         | Modelling classifier on original dataset                | Model performance through metric (AUROC)  |  |
| Week 5         | July 5 – July 12         | Dimensionally reduce data using PCA, LDA, SVD           | Comparing classifier performance of<br>dimensionally reduced dataset with<br>original dataset + Code-base of all<br>techniques explored |  |
| Week 6         | July 12 – July 19        | Mid - Evaluation  | Presentation + Documentation of<br>work done in past 5 weeks  |  |
| Week 7         | July 19 – July 26        | Exploring neural networks for                           |   |  |
| Week 8         | July 26 – August 2       | Dimension Reduction                                     | Building a neural network with embeddings as latent representation  |  |
| Week 9         | August 2 – August 9      | Exploring advanced neural network frameworks            | Modelling Autoencoder NN + Semi-<br>supervised GAN (SGAN)   |  |
| Week 10        | August 9 – August 16     | Comparing results for all techniques explored + wrap-up | Code Base + Documentation of work done in last 4 weeks  |  |
| Week 11        | August 16 – August<br>23 | Code Submission + Final<br>Evaluation                   | Final presentation  |  |

I plan to deliver the following during the course of project:

- 1. I will be submitting a weekly report to give updates about the work that I have done for the week. This report can go up to 3-4 pages depending on the level of detail. This report will help me align with the objectives of the project and will also give a clear idea about my update to my mentor.
- 2. Apart from the weekly report, I intend to deliver the following:
  - a. A literature survey report highlighting my understanding of absorption spectrum and relevant theory
  - b. Dataset to be used for analysis
  - c. Code-base for classifiers tested on original dataset
  - d. Code-based for classifiers tested on dimensionally-reduced dataset using techniques discussed above

# e. Table of model performances across original dataset and dimensionally-reduced dataset.

The table should look like as follows:

|                     | TEST AUROC       |                               |  |
|---------------------|------------------|-------------------------------|--|
| Classifier          | Original Dataset | Dimensionally reduced dataset |  |
| Logistic Regression |                  |                               |  |
| LightGBM            |                  |                               |  |
| MLP                 |                  |                               |  |
| Autoencoder NN      |                  |                               |  |
| SGAN                |                  |                               |  |

f. Documentation of the complete approach during mid and final evaluation

### PROJECT OUTLINE

**Insights from the evaluation test:** In task 3, I had trained the original dataset using LGBM (Light Gradient Boosting Machine) Classifier and Neural Network (Multi-Layer Perceptron). The results that I had got are as follows:

| Model                        | Test AUROC |
|------------------------------|------------|
| Logistic Regression          | 0.634      |
| LGBM Classifier              | 0.733      |
| Multi-Layer Perceptron (MLP) | 0.706      |

I had also ensured that my models are **not overfitting**.

- 1. For MLP, I had checked for training and validation loss which were close to each other
- 2. For **LGBM**, I had plotted **Train AUROC** and **Test AUROC** and ensured that they were close to each other.

Next, I had used two dimension reduction approaches – **Principal Component Analysis (PCA)** and **Autoencoder Neural Network** for reducing dimensions ensuring that there isn't significant degradation in classifier performance.

In case of autoencoder neural network, I had learnt the distribution of data-points of class 0 from training set and reconstructed the inputs for both class labels 1 and 0 from test set. **Logically, reconstruction loss for data-points of class 1 is going to be higher than for data-points of class 0, since the model has learnt distribution of data from class 0, and so we can label data-points as of class 1 if the reconstruction loss is above a certain threshold and 0 otherwise.** Based on that, we can get ROC metrics (FPR, TPR) for a range of thresholds.

For both approaches, I had considered reducing dimensions of data from **28 features** to **20 features** and the results of classifiers on reduced dataset are as follows:

| Model                        | Test AUROC |  |
|------------------------------|------------|--|
| LGBM Classifier              | 0.653      |  |
| Multi-Layer Perceptron (MLP) | 0.524      |  |

However, **reducing dimensions from 28 to 20, does not seem to be a huge reduction** and I believe there lies a scope to reduce dimensions further while achieving similar model performances. Keeping this in mind, my approach for the project is going to be as follows:

- 1. After understanding the theory behind absorption spectra and relevant materials, I will perform simulations on quasar absorption spectra to create the dataset.
- 2. Next, I will model a variety of classifiers from basic like Logistic Regression, Decision Tree to ensemble methods like Bagging and Boosting. I will try to achieve best model performances with tuning hyperparameters.
- 3. Next, I will try neural networks to model a classifier and compare performance with above models. I intend to complete this by mid-evaluation.

- 4. Now, in order to dimensionally reduce the data, I will try approaches like **PCA**, **SVD** and **Isomap** and follow steps in similar order as described above training classifiers, hyperparameter tuning.
- 5. Finally, I would like to explore advanced neural network framework like Autoencoder NN and Semi-Supervised GAN (SGAN) for classification.
- 6. Comparing performances for all models and concluding with the best technique.
- 7. Final Presentation + Documentation + Submission of Code Base

## PROJECT PROPOSAL 2: Decoding quantum states through nuclear magnetic resonance

### PROJECT MILESTONES + DELIVERABLES

| Week<br>Number | Dates                    | Milestones  | Deliverable (apart from Weekly<br>Report)                        |  |
|----------------|--------------------------|---|--|--|
| Week 1         | June 7 – June 14         | Understanding NMR   |  |  |
| Week 2         | June 14 – June 21        | Simulation Code   | Dataset to be used for analysis                                  |  |
| Week 3         | June 21 – June 28        | Building classification models – Logistic Regression, LGBM,         |  |  |
| Week 4         | June 28 – July 5         | XGBoost   | Code-base for classifiers tested                                 |  |
| Week 5         | July 5 – July 12         | Hyperparameter tuning + Model Evaluation (overfitting/underfitting) | Summary of model performances +<br>Important Features of dataset |  |
| Week 6         | July 12 – July 19        | Mid - Evaluation  | Presentation + Documentation of<br>work done in past 5 weeks     |  |
| Week 7         | July 19 – July 26        | Building Neural Network for   |  |  |
| Week 8         | July 26 – August 2       | predictions (MLP)   | Model performance  |  |
| Week 9         | August 2 – August 9      | Developing optimization<br>algorithm for estimating<br>parameters   |  |  |
| Week 10        | August 9 – August 16     | Analysis wrap-up  | Code Base + Documentation of work<br>done in last 4 weeks        |  |
| Week 11        | August 16 – August<br>23 | Code Submission + Final<br>Evaluation                               | Final presentation   |  |

I plan to deliver the following during the course of project:

- 1. I will be submitting a weekly report to give updates about the work that I have done for the week. This report can go up to 3-4 pages depending on the level of detail. This report will help me align with the objectives of the project and will also give a clear idea about my update to my mentor.
- 2. Apart from the weekly report, I intend to deliver the following:
  - a. Dataset to be used for analysis
  - b. Comparison of results of different classifiers tested on dataset
  - c. Description of most important features of dataset calculated through **feature** importances attribute in ensemble classifiers, Permutation Feature Importance method and SHAP (Shapley Additive Explanations)
  - d. Model performance for neural network trained (Multi-Layer Perceptron) on dataset
  - e. Presentation + Documentation + Code-base

### PROJECT OUTLINE

Insights from the evaluation test: In this task, I had taken three approaches to estimate parameters -  $\alpha$ ,  $\xi$  and  $\Gamma$ , which are as follows:

- 1. Multi-output regression:
  - a. Linear Regression
  - b. Random Forest Regressor
- 2. Chained Regression:
  - a. LightGBM Regressor
- 3. Neural Networks:
  - a. Multi-Layer Perceptron (MLP)

and the results I got are as follows:

| Model                        | Train MSE | Test MSE |
|------------------------------|-----------|----------|
| Linear Regression            | 0.559     | 1.183    |
| Random Forest Regressor      | 0.623     | 3.136    |
| LightGBM Regressor           | 0.027     | 2.946    |
| Multi-Layer Perceptron (MLP) | 1.678     | 1.974    |

We can make the following observations from the results above:

- a. Linear Regression has the best generalizing power since it has the lowest Test MSE. Also, Test R2 for the model is **0.956**, which means that the model can capture **95% of variance in data**
- b. MLP is underfitting as both **Train MSE** (Mean Squared Error) and **Test MSE** are high
- c. It seems that both bagging (Random Forest Regressor) and boosting models (LightGBM Regressor) are over-fitted models as the difference between Train and Test MSE are relatively large than other models

Keeping these in mind, my approach for the project is going to be as follows:

- 1. After understanding NMR Simulation Code, I would start by preparing the dataset that will be used for analysis. In case I need to predict the parameters  $\alpha$ ,  $\xi$  and  $\Gamma$  from the data to be used for classification later, I will make a regressor that **does not overfit and underfit**.
- 2. Next, I will model a variety of classifiers from basic like Logistic Regression, to ensemble methods like Bagging and Boosting classifiers.
- 3. Next, I will try to achieve best model performances with tuning hyperparameters **while ensuring that the models do not overfit.**

- 4. Next, I will extract the most important features of the dataset using a number of techniques as follows. I intend to complete this by mid-evaluation.
  - a. **Feature Importances using ensemble classifiers:** Those features which are responsible for a split in decision tree
  - b. **Permutation Feature Importance:** Shuffling values within a column and comparing accuracy with original case. If there is a drastic change in accuracy, this would mean that the feature is important
  - c. **SHAP** (**Shapley Additive Explanations**): Explaining predictions by computing contribution of each feature in making predictions.
- 5. Next, I will try neural networks for making predictions of variables and compare performance with above models.
- 6. Finally, I will develop the optimization algorithm. I think the approach to be taken can be similar to **Grid Search CV** for **exhaustive search** and finding global extrema or **Randomized Search CV** for **randomized search** which is **computationally faster** but is **not exhaustive**.
- 7. Final Presentation + Documentation + Submission of Code Base

# **RELATED WORK**

SGAN: https://towardsdatascience.com/semi-supervised-learning-with-gans-9f3cb128c5e

**Isomap:** <a href="https://blog.paperspace.com/dimension-reduction-with-isomap/">https://blog.paperspace.com/dimension-reduction-with-isomap/</a>

**Permutation Importance:** <a href="https://eli5.readthedocs.io/en/latest/blackbox/permutation">https://eli5.readthedocs.io/en/latest/blackbox/permutation</a> importance.html

**SHAP:** <a href="https://christophm.github.io/interpretable-ml-book/shap.html">https://christophm.github.io/interpretable-ml-book/shap.html</a>