



# **CS 228 : Logic in Computer Science**

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# Summary

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- ▶ Started looking at FO nondefinability
- ▶ Defined maximal quantifier depth or quantifier rank of a formula
- ▶ Showed that there are finitely many FO formulae of rank  $r$
- ▶ Introduced some new notations for words, mimicking assignments of values to free variables

# Logical Equivalence

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  - ▶  $(a, \emptyset)(b, \emptyset)(a, \emptyset) \models \psi$
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  - ▶  $(a, \emptyset)(b, \emptyset) \not\models \psi$
- ▶  $\sim_r$  is an equivalence relation
- ▶ **Finitely** many equivalence classes : each class consists of words that behave the same way on formulae of rank  $\leq r$



# $\sim_r$ Equivalence Classes

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- ▶ Let there be  $k$  formulae  $\varphi_1, \dots, \varphi_k$  of rank 0,  $\ell$  formulae  $\psi_1, \dots, \psi_\ell$  of rank 1 and  $m$  formulae  $\chi_1, \dots, \chi_m$  of rank 2. As an example, consider  $w_1, w_2$  s.t.
- ▶  $w_1, w_2 \models \varphi_{2i+1}, w_1, w_2 \not\models \varphi_{2i+2}$  for  $0 \leq i \leq \frac{k}{2} - 1$ ,
- ▶  $w_1, w_2 \models \psi_j$  for all  $j$
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Then  $w_1 \sim_2 w_2$ .

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Then  $w_1 \sim_2 w_2$ . Think of a binary number of length  $k + \ell + m$  encoding whether two words satisfy a formula or otherwise. The number of equivalence classes is  $\leq 2^{k+\ell+m}$ .

## Non-Expressibility in FO : The Game Begins

# Come, Lets Play

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- ▶ Duplicator wants to show that they are same ( $w_1 \sim_r w_2$ )
- ▶ Each player has  $r$  pebbles  $z_1, \dots, z_r$

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- ▶ The game ends after  $r$  rounds, when both players have used all their pebbles



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- ▶ Round 2:
  - ▶ Spoiler continues on the structure  $w'_2$



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  - ▶ Spoiler :  $(a, \{z_1\})(b, \emptyset)(a, \{z_2\})$
  - ▶ Duplicator :  $(a, \{z_1, z_2\})(b, \emptyset)$  or  $(a, \{z_1\})(b, \{z_2\})$

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- ▶ That is,  $w'_1 \sim_0 w'_2$
- ▶ Spoiler wins otherwise.

# Winner

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Given two word structures  $(w_1, w_2)$ , duplicator wins on  $(w_1, w_2)$  if for every atomic formula  $\alpha$ ,  $w_1 \models \alpha$  iff  $w_2 \models \alpha$

# Play continues

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- ▶ Who won in the earlier play?
- ▶ We had
  - ▶  $(a, \{z_1\})(b, \emptyset)(a, \{z_2\})$  and  $(a, \{z_1, z_2\})(b, \emptyset)$
  - ▶  $(a, \{z_1\})(b, \emptyset)(a, \{z_2\}) \models (z_1 < z_2)$
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- ▶ Spoiler wins in two rounds
- ▶ If the game was played only for one round, who will win?

# Unique Winner

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Given structures  $w_1$ ,  $w_2$ , and a number of rounds  $r$ , exactly one of the players win.

# Logical Equivalence and Winning

---

Let  $w_1, w_2$  be  $\mathcal{V}$ -structures and let  $r \geq 0$ . Then  $w_1 \sim_r w_2$  iff Duplicator has a winning strategy in the  $r$ -round game on  $(w_1, w_2)$ .



# Logical Equivalence and Winning

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Assume  $w_1 \sim_r w_2$ , and induct on  $r$

- ▶ Base :  $r = 0$  and  $w_1 \sim_0 w_2$ . Duplicator wins, since by assumption,  $w_1, w_2$  agree on all atomic formulae.

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- ▶ Assume for  $r - 1$  :  $w_1 \sim_{r-1} w_2 \Rightarrow$  Duplicator has a winning strategy in a  $r - 1$  round game

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  - ▶ The resultant structure is  $w'_2$
  - ▶ By assumption, spoiler wins the  $r - 1$  round game on  $(w'_1, w'_2)$

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  - ▶ By assumption, spoiler wins the  $r - 1$  round game on  $(w'_1, w'_2)$
  - ▶ By inductive hypothesis,  $w'_1 \sim_{r-1} w'_2$



# Logical Equivalence and Winning

---

- ▶ Now, let  $w_1 \sim_r w_2$ , and assume spoiler wins the  $r$ -round game on  $(w_1, w_2)$ .
  - ▶ Assume spoiler starts on  $w_1$ , places a pebble  $z_1$  somewhere on  $w_1$
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  - ▶ Then  $w'_1 \models \psi$ ,  $w'_2 \not\models \psi$
  - ▶ We thus have

$$w_1 \models \exists z_1 \psi, w_2 \not\models \exists z_1 \psi$$

contradicting  $w_1 \sim_r w_2$

# Logical Equivalence and Winning : Converse

---

Assume Duplicator wins  $r$ -round game on  $(w_1, w_2)$  and induct on  $r$

- ▶ Base :  $r = 0$  and Duplicator wins. Then  $w_1, w_2$  agree on all atomic formulae, and hence  $w_1 \sim_0 w_2$

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- ▶ Assume for  $r - 1$  : Duplicator has a winning strategy in a  $r - 1$  round game  $\Rightarrow w_1 \sim_{r-1} w_2$

# Logical Equivalence and Winning : Converse

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- ▶ Now, let duplicator win in the  $r$  round game, but  $w_1 \approx_r w_2$ .
  - ▶  $w_1 \approx_r w_2 \Rightarrow$  there is some formula  $\psi$ ,  $c(\psi) = r$  such that  
 $w_1 \models \psi$ ,  $w_2 \not\models \psi$

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  - ▶ Assume  $\psi = \exists z_1 \varphi$ . Then  $c(\varphi) = r - 1$

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  - ▶ Assume  $\psi = \exists z_1 \varphi$ . Then  $c(\varphi) = r - 1$
  - ▶ Since  $w_1 \models \exists z_1 \varphi$ , spoiler can keep pebble  $z_1$  somewhere in  $w_1$  obtaining  $w'_1$  satisfying  $\varphi$



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  - ▶ In reply, duplicator keeps pebble  $z_1$  on  $w_2$  obtaining  $w'_2$
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  - ▶ Assume  $\psi = \exists z_1 \varphi$ . Then  $c(\varphi) = r - 1$
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  - ▶ In reply, duplicator keeps pebble  $z_1$  on  $w_2$  obtaining  $w'_2$
  - ▶ By assumption,  $w'_2 \not\models \varphi$
  - ▶ Also, by assumption, duplicator wins the  $r - 1$  round game on  $(w'_1, w'_2)$  : this by inductive hypothesis says that  $w'_1 \sim_{r-1} w'_2$

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  - ▶  $w_1 \approx_r w_2 \Rightarrow$  there is some formula  $\psi$ ,  $c(\psi) = r$  such that  $w_1 \models \psi$ ,  $w_2 \not\models \psi$
  - ▶ Assume  $\psi = \exists z_1 \varphi$ . Then  $c(\varphi) = r - 1$
  - ▶ Since  $w_1 \models \exists z_1 \varphi$ , spoiler can keep pebble  $z_1$  somewhere in  $w_1$  obtaining  $w'_1$  satisfying  $\varphi$
  - ▶ In reply, duplicator keeps pebble  $z_1$  on  $w_2$  obtaining  $w'_2$
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  - ▶ Also, by assumption, duplicator wins the  $r - 1$  round game on  $(w'_1, w'_2)$  : this by inductive hypothesis says that  $w'_1 \sim_{r-1} w'_2$
  - ▶ That is, either both  $w'_1, w'_2$  satisfy  $\varphi$ , or both don't, a contradiction.

# FO-definable languages

---

Assume  $L$  is FO-definable, and  $L = L(\varphi)$  with rank of  $\varphi$  being  $k$ .

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# FO-definable languages

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Assume  $L$  is FO-definable, and  $L = L(\varphi)$  with rank of  $\varphi$  being  $k$ .

- ▶ Let  $L = \{v_1, v_2, v_3, \dots\}$  and  $\bar{L} = \{w_1, w_2, w_3, \dots\}$
- ▶ Play a  $k$  round game on  $v_i \in L$  and  $w_j \notin L$ . Let  $\psi_{v_i, w_j}$  be the formula of rank  $k$  that distinguishes the two words.

# FO-definable languages

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- ▶ Play a  $k$  round game on  $v_i \in L$  and  $w_j \notin L$ . Let  $\psi_{v_i, w_j}$  be the formula of rank  $k$  that distinguishes the two words.
- ▶ Consider the formula

$$[\psi_{v_1, w_1} \wedge \psi_{v_1, w_2} \wedge \dots \wedge \psi_{v_1, w_n} \wedge \dots]$$

$$\vee$$

$$[\psi_{v_2, w_1} \wedge \psi_{v_2, w_2} \wedge \dots \wedge \psi_{v_2, w_n} \wedge \dots]$$

$$\vee$$
$$\vdots$$



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- ▶ Each  $\psi_{vw}$  has rank at most  $k$
- ▶ Up to equivalence, there are finitely many formulae of rank  $k$
- ▶ Hence the disjunction and conjunction are finite
- ▶  $\psi_L$  is a proper formula (of finite size)
- ▶  $\psi_L$  captures  $L$  since each  $v \in L$  satisfies  $\bigwedge_{w \notin L} \psi_{vw}$  while none of the  $w \notin L$  satisfy  $\bigwedge_{w \notin L} \psi_{vw}$

# FO-definable languages

---

Given a property  $\mathcal{K}$ , if for any pair  $v \in \mathcal{K}$  and  $w \notin \mathcal{K}$ , spoiler has a winning strategy in the  $k$ -round EF game on  $v$  and  $w$ , then there is a rank  $k$  FO formula  $\varphi_{\mathcal{K}}$  that defines the property  $\mathcal{K}$ .

$$\varphi_{\mathcal{K}} = \bigvee_{v \in \mathcal{K}} \bigwedge_{w \notin \mathcal{K}} \psi_{vw}$$

where  $\psi_{vw}$  is as explained in the previous slide.

- Note that  $k$  is fixed in the above, and is independent of the choices of the words.

# Implications of the Game on FO definability

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## FO Definability

$L$  is FO definable  $\Rightarrow$  there exists an  $r$  such that for every  $(w_1, w_2)$  pair, such that  $w_1 \in L$ ,  $w_2 \notin L$ , spoiler wins in  $r$  rounds



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## Non FO Definability

For all  $r \geq 0$ , there exists a  $(w_1, w_2)$  pair with  $w_1 \notin L$ ,  $w_2 \in L$ , duplicator wins in  $r$  rounds  $\Rightarrow L$  is not FO definable