

Sets

Introduction

Sets are **primitive** objects when doing classical, old-school, pen-and-paper mathematics:

- no *definition*;
- only *rules* about how these objects work (unions, intersections, etc.).

That's all you need: do you look at $f: S \rightarrow T$ as $f \subseteq S \times T$?

Objects normally represented by a set are formalised in Lean as *types* with some extra-structure.

So, for Lean, sets are **no longer primitive objects**; yet

- sometimes we still want to speak about *sets* as collections of elements
- we want then to play the usual games.

Definitions

+++ Every set lives in a given type: it is a set of elements (*terms*) of a type:

```
variable (α : Type) (S : Set α)
```

expresses that α is a type and S is a set of elements/terms of the type α . On the other hand,

```
variable (S : Set)
```

does not mean "let S be a set": it means nothing and it is an error.

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+++ A set coincides with the test-function defining it.

Given a type α , a set S (of elements/terms of α) is a *function*

```
S : α → Prop
```

so $(\text{Set } \alpha) = (\alpha \rightarrow \text{Prop})$.

- This function is the "characteristic function" of the set S ;
- the $a \in S$ symbol means that the value of S is **True** when evaluated at the element a ;
- So, the positive integers are a *function*!



Yet, given a function $P : \alpha \rightarrow \text{Prop}$ we prefer to write $\text{setOf } P : \text{Set } \alpha$ rather than $P : \text{Set } \alpha$ to avoid *abusing definitional equality*.

Some examples:

1. How to prove that something belongs to a set?
2. Positive naturals;
3. Even numbers;
4. An abstract set of α given by some P .



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+++ Sub(sub-sub-sub)sets are not treated as sets-inside-sets.

Given a (old-style) set S , what is a subset T of S *for you*?

1. Another set such that $x \in T \rightarrow x \in S$.
2. A collection of elements of S .

Now,

1. stresses that T is a honest set satisfying some property;
2. stresses that it is a set whose elements "come from" S .

We take the **first approach**: being a subset is *an implication*

```
def (T ⊆ S : Prop) := ∀ a, a ∈ T → a ∈ S
```



- Can also *upgrade* sets to types: $T : \text{Set } S$ for $S : \text{Set } \alpha$ means $T : \text{Set } \uparrow S = \text{Set } (S : \text{Type}^*)$.

Some examples:

1. Double inclusions;
2. Subsets as sets;
3. This upgrade (*coercion*) from $\text{Set } \alpha$ to Type^* .



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Operations on Sets

+++ **Intersection**

Given sets $S \ T : \text{Set } \alpha$ have the



```
def (S ∩ T : Set α) := fun a ↦ a ∈ S ∧ a ∈ T
```

- Often need **extensionality**: equality of sets can be tested on elements;
- related to *functional extensionality*: two functions are equal if and only if they take the same values on same arguments;
- not strange: sets *are* functions.

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+++ Union

Given sets $S, T : \text{Set } \alpha$ we have the

```
def (S ∪ T : Set α) := fun a ↦ a ∈ S ∨ a ∈ T
```

And if $S : \text{Set } \alpha$ but $T : \text{Set } \beta$? **ERROR!**

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+++ Universal set & Empty set

- The first (containing all terms of α) is the constant function $\text{True} : \text{Prop}$

```
def (univ : Set α) := fun a ↦ True
```

- The second is the constant function $\text{False} : \text{Prop}$

```
def (∅ : Set α) := fun a ↦ False
```

Bonus: There are infinitely many empty sets!

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+++ Complement and Difference

- The complement is defined by the negation of the defining property, denoted S^c .

$$S^c = \{a : \alpha \mid \neg a \in S\}$$

The superscript c can be typed as `\^c`.

- The difference $S \setminus T : \text{Set } \alpha$, corresponds to the property

```
def (S \ T : Set α) = fun a ↦ a ∈ S ∧ a ∉ T
```

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+++ Indexed Intersections & Indexed Unions

- Can allow for fancier indexing sets (that will actually be *types*, ça va sans dire): given an index type I and a collection $A : I \rightarrow \text{Set } \alpha$, the union $(\bigcup i, A i) : \text{Set } \alpha$ consists of the union of all the sets $A i$ for $i : I$.
- Similarly, $(\bigcap i, A i) : \text{Set } \alpha$ is the intersection of all the sets $A i$ for $i : I$.
- These symbols can be typed as $\backslash U = \bigcup$ and $\backslash I = \bigcap$.

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