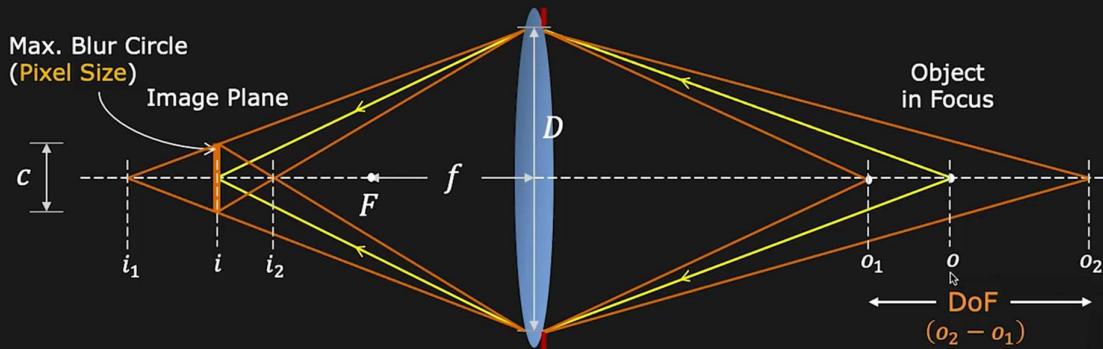


## Depth of Field (DoF)

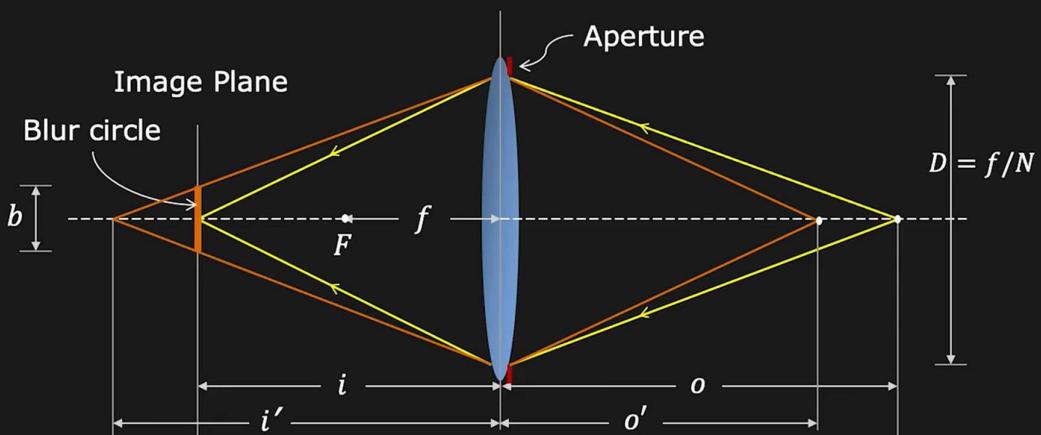


If  $o_1$  and  $o_2$  are the nearest and farthest distances respectively for which blur circle is maximum  $c$ , then:

$$c = \frac{f^2(o - o_1)}{No_1(o - f)} \quad c = \frac{f^2(o_2 - o)}{No_2(o - f)}$$

Depth of Field: 
$$o_2 - o_1 = \frac{2of^2cN(o - f)}{f^4 - c^2N^2(o - f)^2}$$

## Lens Defocus



From similar triangles:

$$\frac{b}{D} = \frac{|i' - i|}{i'}$$

Blur circle diameter:

$$b = \frac{D}{i'} |i' - i|$$

$$b \propto D \propto \frac{1}{i'}$$

## Blur Circle (Defocus)

Focused Point

$$\frac{1}{i} + \frac{1}{o} = \frac{1}{f}$$

$$i = \frac{of}{o-f}$$

$$i' - i = \frac{f}{(o'-f)} \cdot \frac{f}{(o-f)} \cdot (o - o')$$

Defocused Point

$$\frac{1}{i'} + \frac{1}{o'} = \frac{1}{f}$$

$$i' = \frac{o'f}{o'-f}$$

(Gaussian Lens Law)

$$b = Df \left| \frac{(o - o')}{o'(o - f)} \right|$$

$$b = \frac{f^2}{N} \left| \frac{(o - o')}{o'(o - f)} \right|$$

$$z_0 = \frac{f^2}{Nc} + f$$

# Shape From Shading

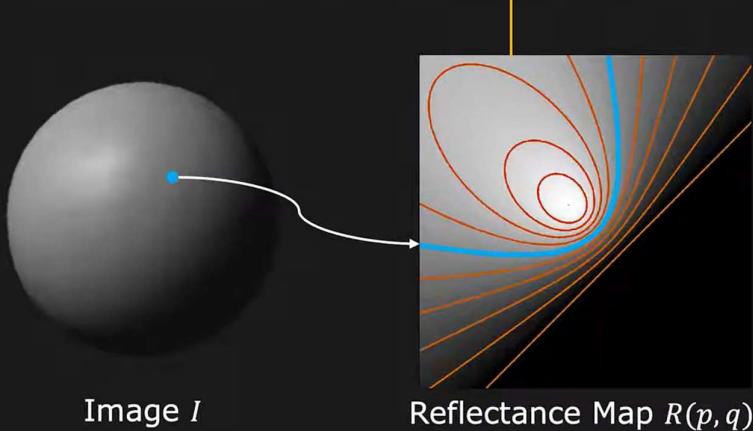
Method for recovering 3D shape information from a single image using shading.

## Topics:

- (1) Human Perception of Shading
- (2) Shape From Shading Algorithm

## Shape from a Single Image?

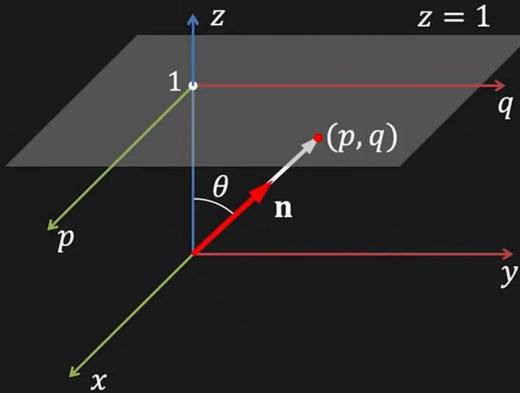
Given image  $I$ , source direction  $s$  and surface reflectance



Can we estimate surface gradient  $(p, q)$  from single intensity? ↗

# Stereographic Projection: $fg$ Space

$pq$  Space

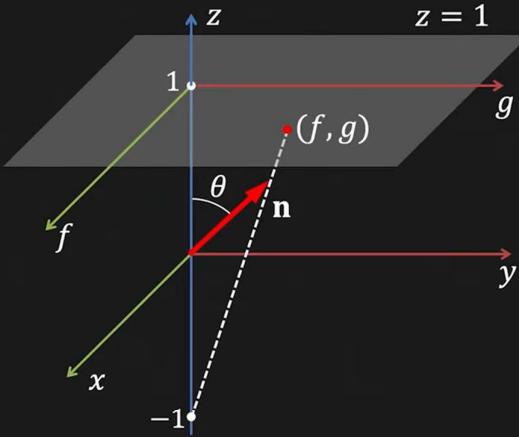


Problem:

$p$  or  $q$  is infinite when  $\theta = 90^\circ$

Shree K. Nayar

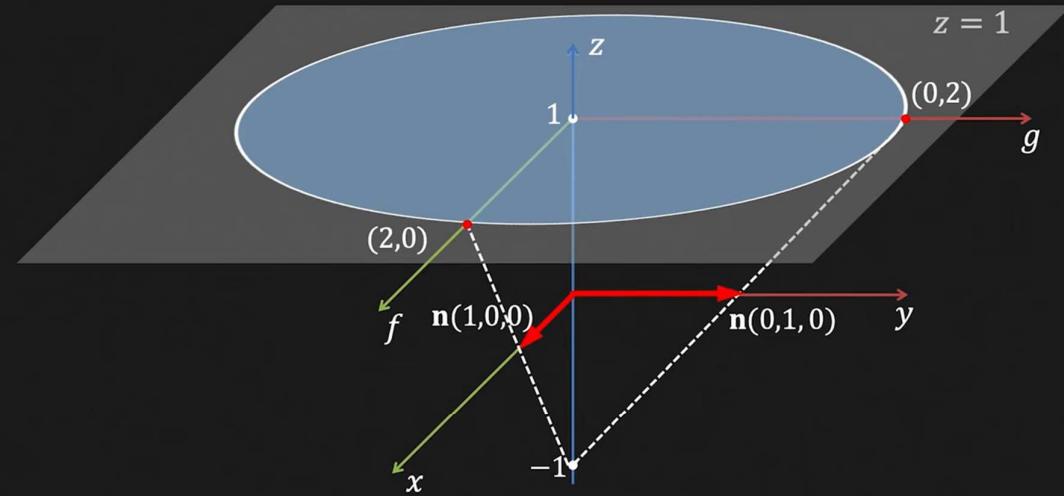
$fg$  Space



$$f = \frac{2p}{1 + \sqrt{p^2 + q^2 + 1}}$$

$$g = \frac{2q}{1 + \sqrt{p^2 + q^2 + 1}}$$

## Stereographic Projection: $fg$ Space



All possible values of surface gradients lie within a circle of radius 2 on the  $fg$  Plane.

For a given source direction  $s$  and surface reflectance, reflectance map relates image intensity to its surface gradients:

$$I = R_s(f, g)$$

# Image Intensity

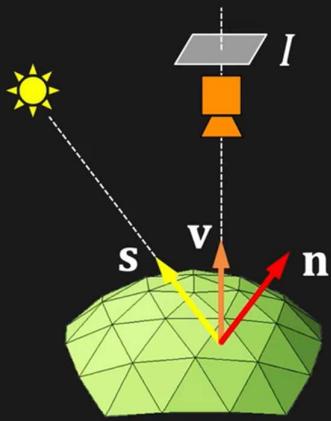


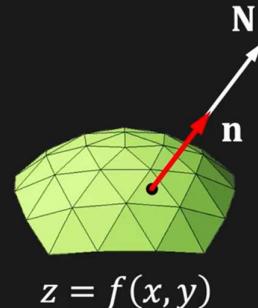
Image Intensity  $I = \mathcal{F}(\text{Source},$   
 $\text{Surface Normal } n,$   
 $\text{Surface Reflectance})$

## Surface Gradient and Normal

Let  $z = f(x, y)$  represent a 3D surface.

Surface gradient:

$$\left( -\frac{\partial z}{\partial x}, -\frac{\partial z}{\partial y} \right) = (p, q)$$



Surface normal:

$$\mathbf{N} = \left( -\frac{\partial z}{\partial x}, -\frac{\partial z}{\partial y}, 1 \right) = (p, q, 1)$$

Unit surface normal:

$$\mathbf{n} = \frac{\mathbf{N}}{\|\mathbf{N}\|} = \frac{(p, q, 1)}{\sqrt{p^2 + q^2 + 1}}$$

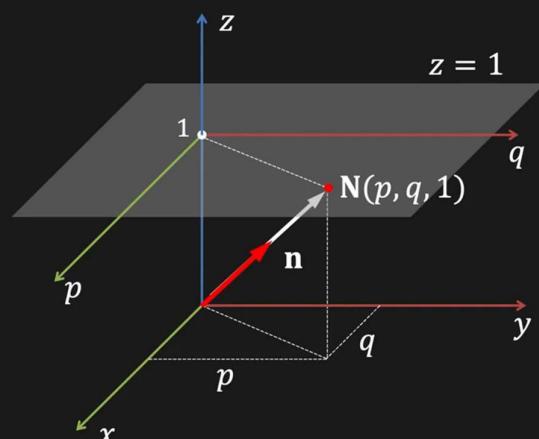
Surface Normal represented with only two parameters ( $p$  and  $q$ )

## Gradient Space

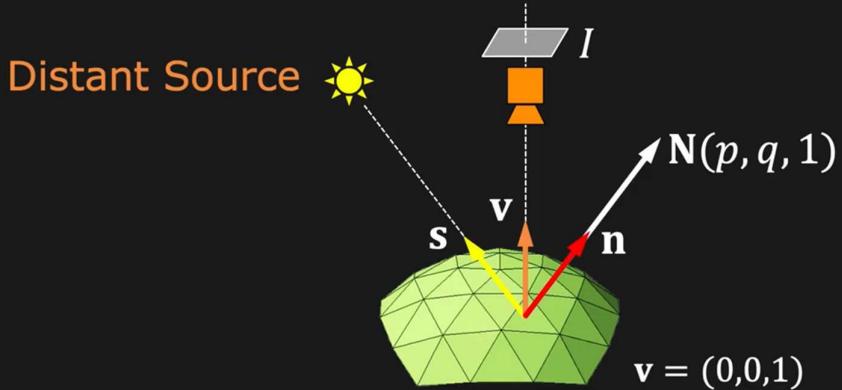
Plane  $z = 1$  is called the Gradient Space or  $pq$  Plane

Surface normal:

$$\mathbf{n} = \frac{\mathbf{N}}{\|\mathbf{N}\|} = \frac{(p, q, 1)}{\sqrt{p^2 + q^2 + 1}}$$



## Reflectance Map $R(p, q)$

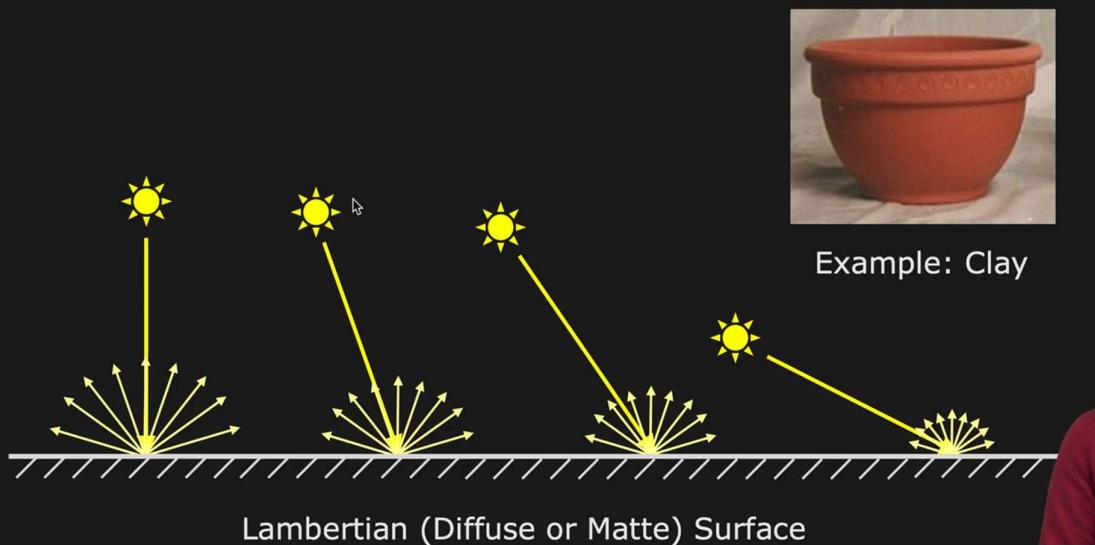


For a given source direction  $s$  and surface reflectance,  
image intensity at a point  $(x, y)$ :

$$I = R(p, q) \quad \text{Reflectance Map}$$

## Review: Lambertian Surface

Image intensity  $I$  is independent of viewing direction.



## Reflectance Map: Lambertian Surface

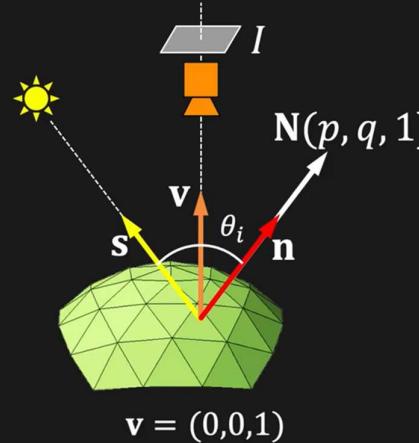
Image Intensity:

$$I = c \frac{\rho}{\pi} \frac{J}{r^2} \cos \theta_i = c \frac{\rho}{\pi} k (\mathbf{n} \cdot \mathbf{s})$$

where  $k$ : Source "Brightness"

$\rho$ : Surface Albedo (Reflectance)

$c$ : Constant (Camera Gain)



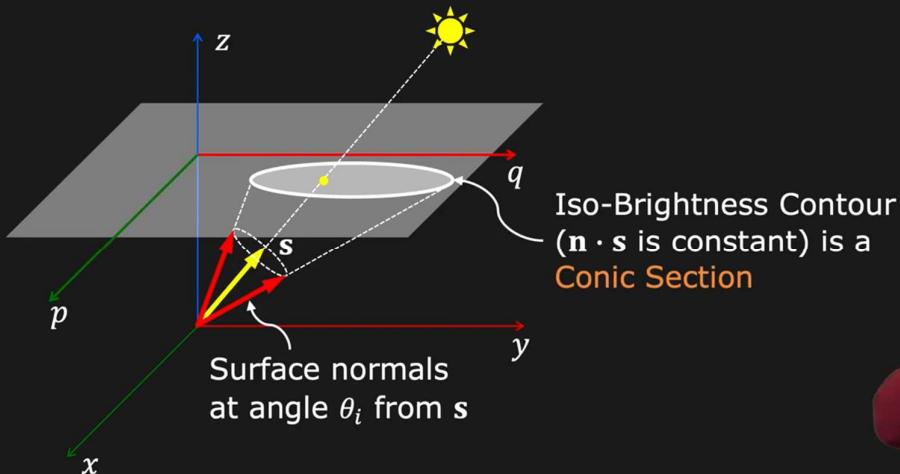
Let  $c \frac{\rho}{\pi} k = 1$  then,  $I = \cos \theta_i = \mathbf{n} \cdot \mathbf{s}$

## Reflectance Map: Lambertian Surface

$$I = \mathbf{n} \cdot \mathbf{s} = \frac{pp_s + qq_s + 1}{\sqrt{p^2 + q^2 + 1} \sqrt{p_s^2 + q_s^2 + 1}} = R(p, q)$$

## Reflectance Map: Iso-Brightness Contours

$$I = \mathbf{n} \cdot \mathbf{s} = \frac{pp_s + qq_s + 1}{\sqrt{p^2 + q^2 + 1}\sqrt{p_s^2 + q_s^2 + 1}} = R(p, q)$$



## Shape from a Single Image?

Given image  $I$ , source direction  $\mathbf{s}$  and surface reflectance

Reflectance Map  $R(p, q)$

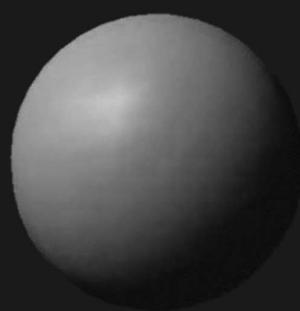
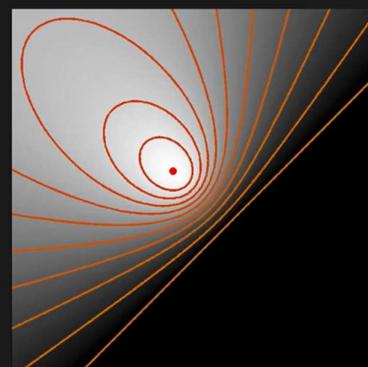


Image  $I$



Reflectance Map  $R(p, q)$

Can we estimate surface gradients  $(p, q)$  at each pixel? NO

## Photometric Stereo: Basic Idea

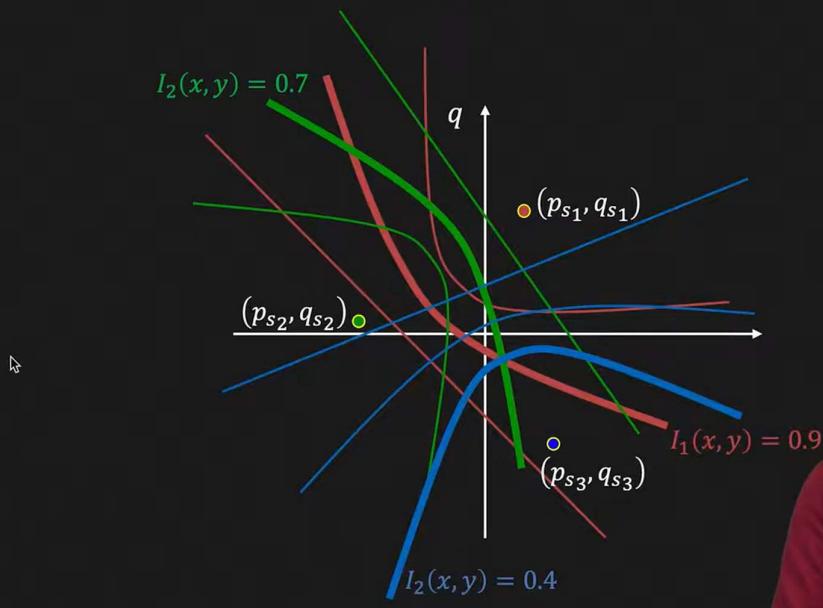
Capture Image  $I_3$  under light source  $s_3(p_{s_3}, q_{s_3})$ .

For Example:

Let  $I_1(x, y) = 0.9$

$I_2(x, y) = 0.7$

$I_3(x, y) = 0.4$



## Photometric Stereo: Basic Idea

**Step 1:** Acquire  $K$  images with  $K$  known light sources.

**Step 2:** Using known source direction and BRDF,  
construct reflectance map for each source direction.

**Step 3:** For each pixel location  $(x, y)$ , find  $(p, q)$  as  
the intersection of  $K$  curves. This  $(p, q)$  gives the  
surface normal at pixel  $(x, y)$ .

Smallest  $K$  needed depends on the material properties.

$$\begin{bmatrix} I_1 \\ I_2 \\ I_3 \end{bmatrix} = \frac{\rho}{\pi} \begin{bmatrix} s_{x_1} & s_{y_1} & s_{z_1} \\ s_{x_2} & s_{y_2} & s_{z_2} \\ s_{x_3} & s_{y_3} & s_{z_3} \end{bmatrix} \mathbf{n}$$

$S_{3 \times 3}$  (Known)

→  $\left\{ \begin{array}{l} I = S\mathbf{N} \\ \text{where: } \mathbf{N} = \frac{\rho}{\pi} \mathbf{n} \end{array} \right.$

Solution:  $\mathbf{N} = (S)^{-1}I$

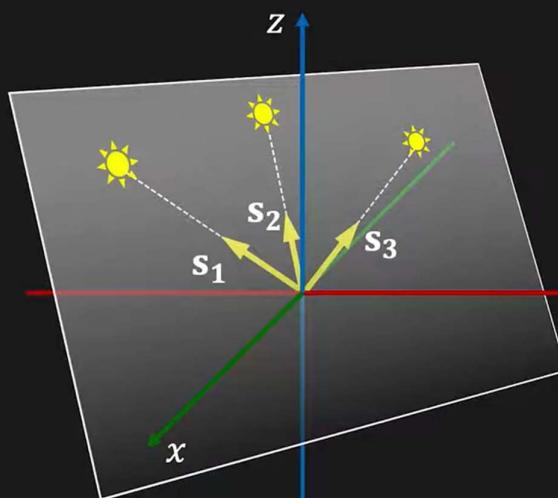
Surface Normal:  $\mathbf{n} = \frac{\mathbf{N}}{\|\mathbf{N}\|}$

Albedo:  $\frac{\rho}{\pi} = \|\mathbf{N}\|$

When  $S_{3 \times 3}$  is not invertible.

That is, when one source direction can be represented as a linear combination of the other two.

$$\mathbf{s}_3 = \alpha \mathbf{s}_1 + \beta \mathbf{s}_2$$



## More Sources than Minimum Needed

Better results by using more ( $K > 3$ ) light sources

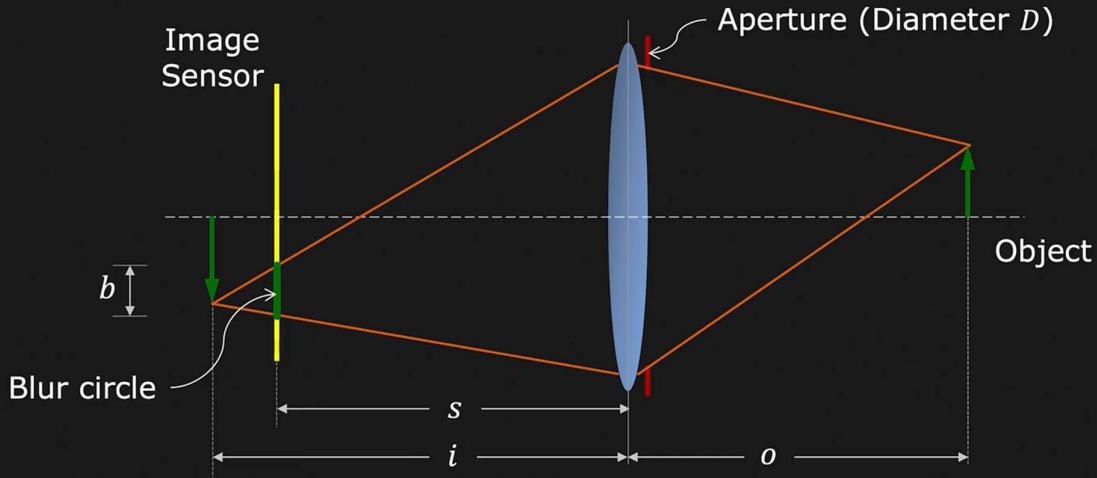
$$\frac{\begin{bmatrix} I_1 \\ I_2 \\ \vdots \\ I_K \end{bmatrix}}{I_{K \times 1}} = \frac{\rho}{\pi} \begin{bmatrix} s_{x1} & s_{y1} & s_{z1} \\ s_{x2} & s_{y2} & s_{z2} \\ \vdots & \vdots & \vdots \\ s_{xK} & s_{yK} & s_{zK} \end{bmatrix} \mathbf{n} \quad \Rightarrow \quad \begin{cases} I = S\mathbf{N} \\ \text{where: } \mathbf{N} = \frac{\rho}{\pi} \mathbf{n} \end{cases}$$

$S_{K \times 3}$  is not a square matrix and hence not invertible.

**Solution:** Use Least Squares Estimation

$$S^T I = \underbrace{S^T S \mathbf{N}}_{3 \times 3}$$
$$\mathbf{N} = \underbrace{(S^T S)^{-1} S^T I}_{\text{Pseudo Inverse}}$$

## Image Defocus and Sensor Location



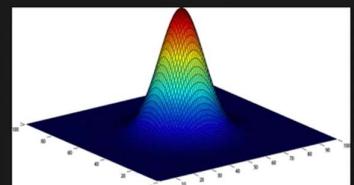
$$\text{Blur circle diameter: } b = D \left| 1 - \frac{s}{i} \right|$$

## Point Spread Function (PSF)

In practice, due to diffraction, lens aberrations and image sensing, the PSF often appears like a Gaussian function.

Gaussian PSF:

$$h(x, y) = \frac{1}{2\pi\sigma^2} e^{-\frac{(x^2+y^2)}{2\sigma^2}}$$



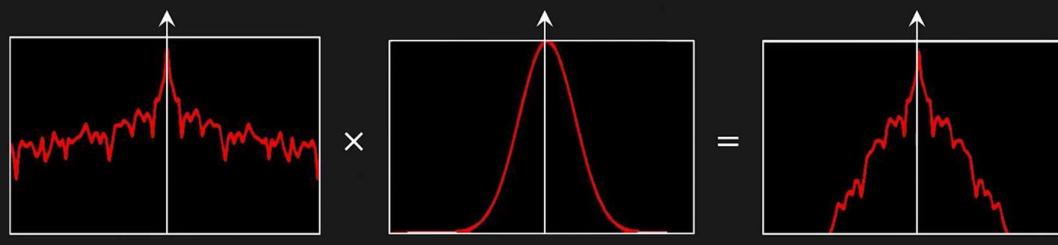
$$h(x, y)$$



$$\sigma = b/2$$

(approximation)

Defocus can be represented as product of Fourier transform



(1D slice of Fourier transform)

Defocus is a Low-Pass Filter



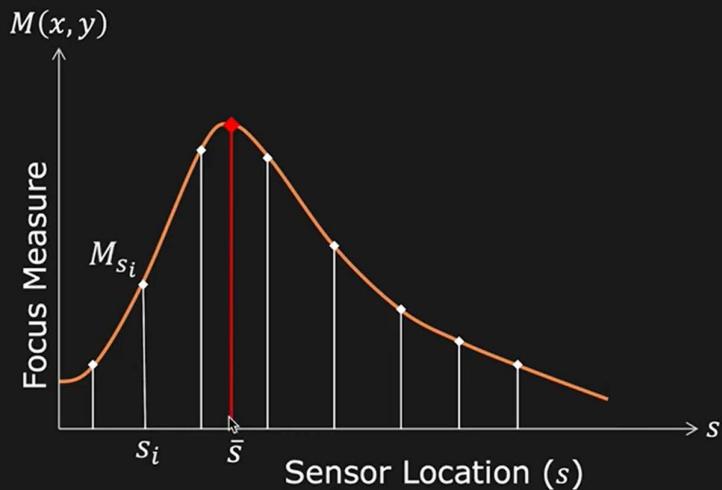
Obtain scene depth using gaussian lens law.

$$\frac{1}{f} = \frac{1}{s} + \frac{1}{o} \Rightarrow o = \frac{sf}{s-f}$$

Ex:  $s = 51.25 \text{ mm}$   
 $f = 50 \text{ mm}$   
 $o = 2.05 \text{ m}$

How to find the **best focused** image?

Peak of focus measure curve is a Gaussian-like function



Mean of the Gaussian may be used as the sensor location corresponding to the “**best focus**.”

$$M_s = M_p \exp \left\{ -\frac{1}{2} \left( \frac{s-\bar{s}}{\sigma_M} \right)^2 \right\}$$

## Gaussian Interpolation

Linearize problem to solve for  $(\bar{s}, M_p, \sigma_M)$ .

$$\text{Gaussian: } M_s = M_p \exp \left\{ -\frac{1}{2} \left( \frac{s-\bar{s}}{\sigma_M} \right)^2 \right\}$$

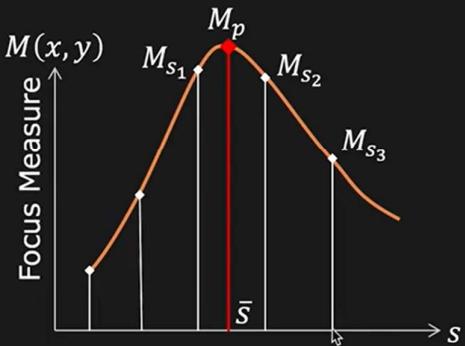
$$\text{Taking the natural logarithm: } \ln M_s = \ln M_p - \frac{1}{2} \left( \frac{s-\bar{s}}{\sigma_M} \right)^2$$

Three sensor positions:

$$\ln M_{s_1} = \ln M_p - \frac{1}{2} \left( \frac{s_1-\bar{s}}{\sigma_M} \right)^2$$

$$\ln M_{s_2} = \ln M_p - \frac{1}{2} \left( \frac{s_2-\bar{s}}{\sigma_M} \right)^2$$

$$\ln M_{s_3} = \ln M_p - \frac{1}{2} \left( \frac{s_3-\bar{s}}{\sigma_M} \right)^2$$



Where,  $M_{s_1}, M_{s_2}, M_{s_3}$  are the three largest values.

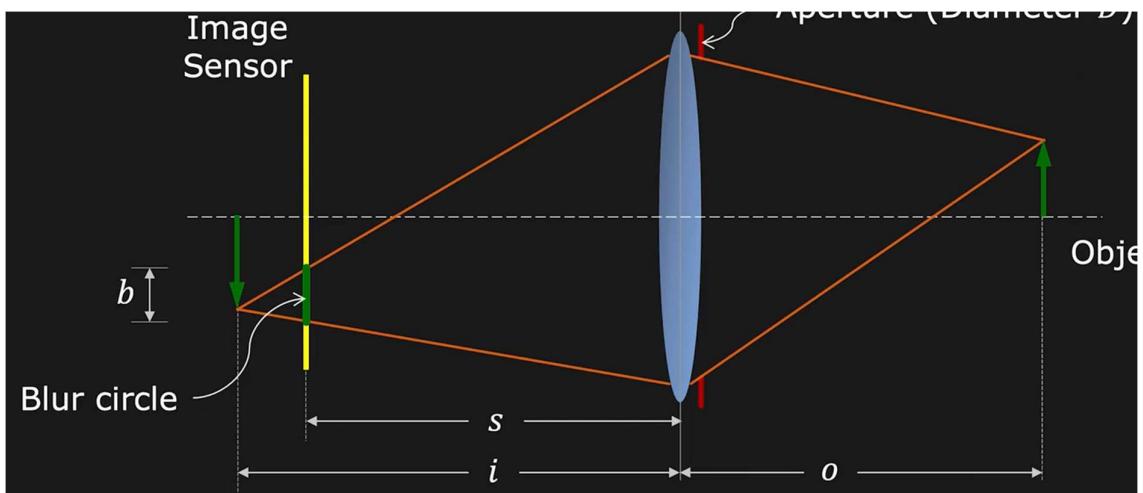
Solving for  $\bar{s}$ :

$$\bar{s} = + \frac{(\ln M_{s_2} - \ln M_{s_3})(s_2^2 - s_1^2)}{2(s_3 - s_2)\{(\ln M_{s_2} - \ln M_{s_1}) + (\ln M_{s_2} - \ln M_{s_3})\}}$$

$$- \frac{(\ln M_{s_2} - \ln M_{s_1})(s_2^2 - s_3^2)}{2(s_3 - s_2)\{(\ln M_{s_2} - \ln M_{s_1}) + (\ln M_{s_2} - \ln M_{s_3})\}}$$

Obtain scene depth using Gaussian Lens Law:

$$\text{object distance } o = \frac{\bar{s}f}{\bar{s} - f}$$



We know that:

$$\frac{b}{D} = \frac{i - s}{i} \quad \text{and} \quad o = \frac{if}{i - f}$$

$$\Rightarrow i = \frac{Ds}{D - b} \quad \Rightarrow o = \frac{sf}{s - f + b(f/D)}$$

Can we estimate blur size  $b$  from a single image?



Scene  
 $f(x, y)$

\*



Defocus PSF  
 $h(x, y)$

=



Image Patch  
 $g(x, y)$

**Impossible: One equation, two unknowns**

If the two images were taken with two known apertures then their blur sizes are related.



Blur circles:

$$b_1 = D_1 \left| 1 - \frac{s}{i} \right|$$

$$\sigma_1 = b_1/2$$

$$b_2 = D_2 \left| 1 - \frac{s}{i} \right|$$

$$\sigma_2 = b_2/2$$

$$\frac{\sigma_1}{\sigma_2} = \frac{D_1}{D_2}$$

## Three equations

$$g_1(x, y) = f(x, y) * h_{\sigma_1}(x, y)$$

$$g_2(x, y) = f(x, y) * h_{\sigma_2}(x, y)$$

$$\sigma_1/\sigma_2 = D_1/D_2$$

## In Fourier Domain

$$G_1(u, v) = F(u, v) \times H_{\sigma_1}(u, v)$$

$$G_2(u, v) = F(u, v) \times H_{\sigma_2}(u, v)$$

$$\sigma_1/\sigma_2 = D_1/D_2$$

## A Naive DFD Algorithm

Cancel out  $F(u, v)$ :

$$\frac{G_1(u, v)}{G_2(u, v)} = \frac{F(u, v) \times H_{\sigma_1}(u, v)}{F(u, v) \times H_{\sigma_2}(u, v)} = \frac{H_{\sigma_1}(u, v)}{H_{\sigma_2}(u, v)}$$

Substitute for  $H_{\sigma_1}(u, v)$  and  $H_{\sigma_2}(u, v)$ :

$$\frac{G_1(u, v)}{G_2(u, v)} = \frac{\exp(-2\pi^2(u^2 + v^2)\sigma_1^2)}{\exp(-2\pi^2(u^2 + v^2)\sigma_2^2)}$$

Taking the natural logarithm on both sides:

$$\sigma_1^2 - \sigma_2^2 = \frac{\ln G_2(u, v) - \ln G_1(u, v)}{2\pi^2(u^2 + v^2)}$$

$$\sigma_1/\sigma_2 = D_1/D_2$$

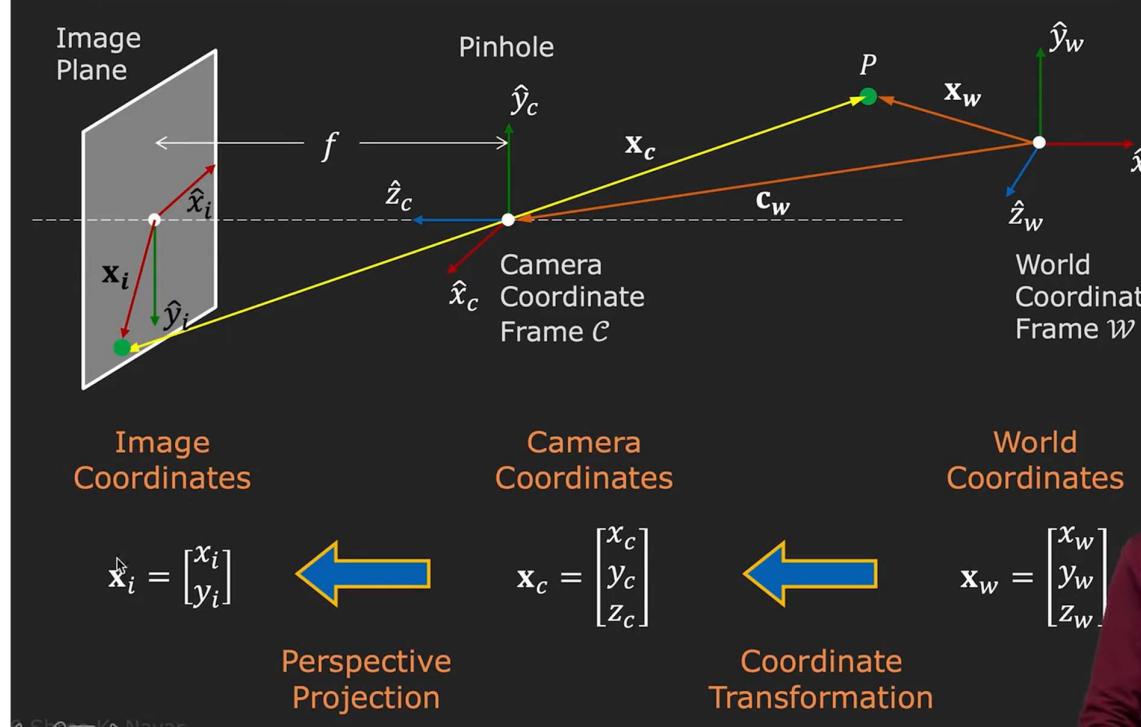
Solve the above to get  $\sigma_1$  and  $\sigma_2$ . Use either one to obtain object distance (depth).

Using  $\sigma_1$  compute size of blur circle:  $b_1 = 2\sigma_1$

Object distance (depth):

$$o = \frac{s_1 f}{s_1 - f + b_1(f/D)}$$

## Forward Imaging Model: 3D to 2D



If  $m_x$  and  $m_y$  are the pixel densities (pixels/mm) in  $x$  and  $y$  directions, respectively, then pixel coordinates are:

$$u = m_x x_i = m_x f \frac{x_c}{z_c} \quad v = m_y y_i = m_y f \frac{y_c}{z_c}$$

We usually treat the top-left corner of the image sensor as its origin (easier for indexing). If pixel  $(o_x, o_y)$  is the **Principle Point** where the optical axis pierces the sensor, then:

$$u = m_x f \frac{x_c}{z_c} + o_x \quad v = m_y f \frac{y_c}{z_c} + o_y$$

$$u = m_x f \frac{x_c}{z_c} + o_x \quad v = m_y f \frac{y_c}{z_c} + o_y$$

$$u = f_x \frac{x_c}{z_c} + o_x \quad v = f_y \frac{y_c}{z_c} + o_y$$

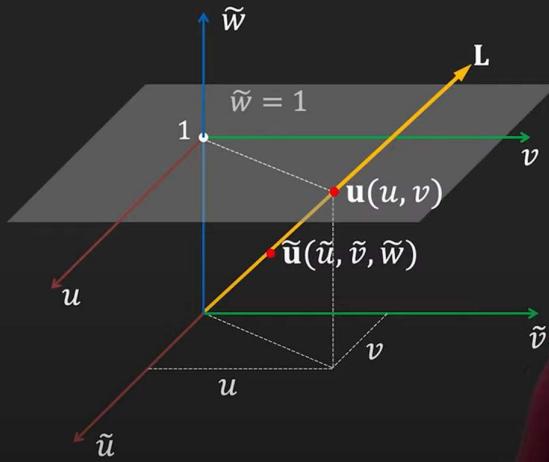
where:  $(f_x, f_y) = (m_x f, m_y f)$  are the focal lengths in pixels in the  $x$  and  $y$  directions.

$(f_x, f_y, o_x, o_y)$ : Intrinsic parameters of the camera.  
They represent the camera's internal geometry.

The homogenous representation of a 2D point  $\mathbf{u} = (u, v)$  is a 3D point  $\tilde{\mathbf{u}} = (\tilde{u}, \tilde{v}, \tilde{w})$ . The third coordinate  $\tilde{w} \neq 0$  is fictitious such that:

$$u = \frac{\tilde{u}}{\tilde{w}} \quad v = \frac{\tilde{v}}{\tilde{w}}$$

$$\mathbf{u} \equiv \begin{bmatrix} u \\ v \\ 1 \end{bmatrix} \equiv \begin{bmatrix} \tilde{w}u \\ \tilde{w}v \\ \tilde{w} \end{bmatrix} \equiv \begin{bmatrix} \tilde{u} \\ \tilde{v} \\ \tilde{w} \end{bmatrix} = \tilde{\mathbf{u}}$$



Perspective projection equations:

$$u = f_x \frac{x_c}{z_c} + o_x \quad v = f_y \frac{y_c}{z_c} + o_y$$

Homogenous coordinates of  $(u, v)$ :

$$\begin{bmatrix} u \\ v \\ 1 \end{bmatrix} \equiv \begin{bmatrix} \tilde{u} \\ \tilde{v} \\ \tilde{w} \end{bmatrix} \equiv \begin{bmatrix} z_c u \\ z_c v \\ z_c \end{bmatrix} = \begin{bmatrix} f_x x_c + z_c o_x \\ f_y y_c + z_c o_y \\ z_c \end{bmatrix} = \begin{bmatrix} f_x & 0 & o_x & 0 \\ 0 & f_y & o_y & 0 \\ 0 & 0 & 1 & 0 \end{bmatrix} \begin{bmatrix} x_c \\ y_c \\ z_c \\ 1 \end{bmatrix}$$

where:  $(u, v) = (\tilde{u}/\tilde{w}, \tilde{v}/\tilde{w})$



Calibration Matrix:

$$K = \begin{bmatrix} f_x & 0 & o_x \\ 0 & f_y & o_y \\ 0 & 0 & 1 \end{bmatrix}$$

Intrinsic Matrix:

$$M_{int} = [K|0] = \begin{bmatrix} f_x & 0 & o_x & 0 \\ 0 & f_y & o_y & 0 \\ 0 & 0 & 1 & 0 \end{bmatrix}$$

Upper Right Triangular Matrix

$$\tilde{\mathbf{u}} = [K|0] \tilde{\mathbf{x}}_c = M_{int} \tilde{\mathbf{x}}_c$$

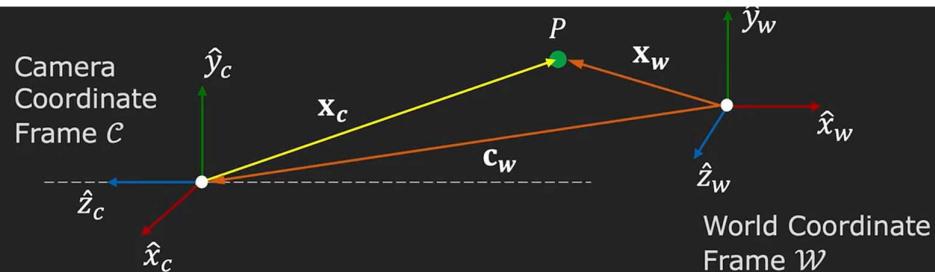
# Orthonormal Vectors and Matrices

**Orthonormal Vectors:** Two vectors  $\mathbf{u}$  and  $\mathbf{v}$  are orthonormal if and only if:

Example: The  $x$ -,  $y$ - and  $z$ -axes of  $\mathbb{R}^3$  Euclidean space

**Orthonormal Matrix:** A square matrix  $R$  whose row (or column) vectors are orthonormal. For such a matrix:

$$R^{-1} = R^T \quad R^T R = R R^T = I$$



Given the **extrinsic parameters**  $(R, \mathbf{c}_w)$  of the camera, the camera-centric location of the point  $P$  in the world coordinate frame is:

$$\mathbf{x}_c = R(\mathbf{x}_w - \mathbf{c}_w) = R\mathbf{x}_w - R\mathbf{c}_w = R\mathbf{x}_w + \mathbf{t}$$

$$\mathbf{x}_c = \begin{bmatrix} x_c \\ y_c \\ z_c \end{bmatrix} = \begin{bmatrix} r_{11} & r_{12} & r_{13} \\ r_{21} & r_{22} & r_{23} \\ r_{31} & r_{32} & r_{33} \end{bmatrix} \begin{bmatrix} x_w \\ y_w \\ z_w \end{bmatrix} + \begin{bmatrix} t_x \\ t_y \\ t_z \end{bmatrix}$$

Rewriting using homogenous coordinates:

$$\tilde{\mathbf{x}}_c = \begin{bmatrix} x_c \\ y_c \\ z_c \\ 1 \end{bmatrix} = \begin{bmatrix} r_{11} & r_{12} & r_{13} & t_x \\ r_{21} & r_{22} & r_{23} & t_y \\ r_{31} & r_{32} & r_{33} & t_z \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x_w \\ y_w \\ z_w \\ 1 \end{bmatrix}$$

**Extrinsic Matrix:**  $M_{ext} = \begin{bmatrix} R_{3 \times 3} & \mathbf{t} \\ \mathbf{0}_{1 \times 3} & 1 \end{bmatrix} = \begin{bmatrix} r_{11} & r_{12} & r_{13} & t_x \\ r_{21} & r_{22} & r_{23} & t_y \\ r_{31} & r_{32} & r_{33} & t_z \\ 0 & 0 & 0 & 1 \end{bmatrix}$

$$\tilde{\mathbf{x}}_c = M_{ext} \tilde{\mathbf{x}}_w$$

Camera to Pixel

$$\begin{bmatrix} \tilde{u} \\ \tilde{v} \\ \tilde{w} \end{bmatrix} = \begin{bmatrix} f_x & 0 & o_x & 0 \\ 0 & f_y & o_y & 0 \\ 0 & 0 & 1 & 0 \end{bmatrix} \begin{bmatrix} x_c \\ y_c \\ z_c \\ 1 \end{bmatrix}$$

World to Camera

$$\begin{bmatrix} x_c \\ y_c \\ z_c \\ 1 \end{bmatrix} = \begin{bmatrix} r_{11} & r_{12} & r_{13} & t_x \\ r_{21} & r_{22} & r_{23} & t_y \\ r_{31} & r_{32} & r_{33} & t_z \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x_w \\ y_w \\ z_w \\ 1 \end{bmatrix}$$

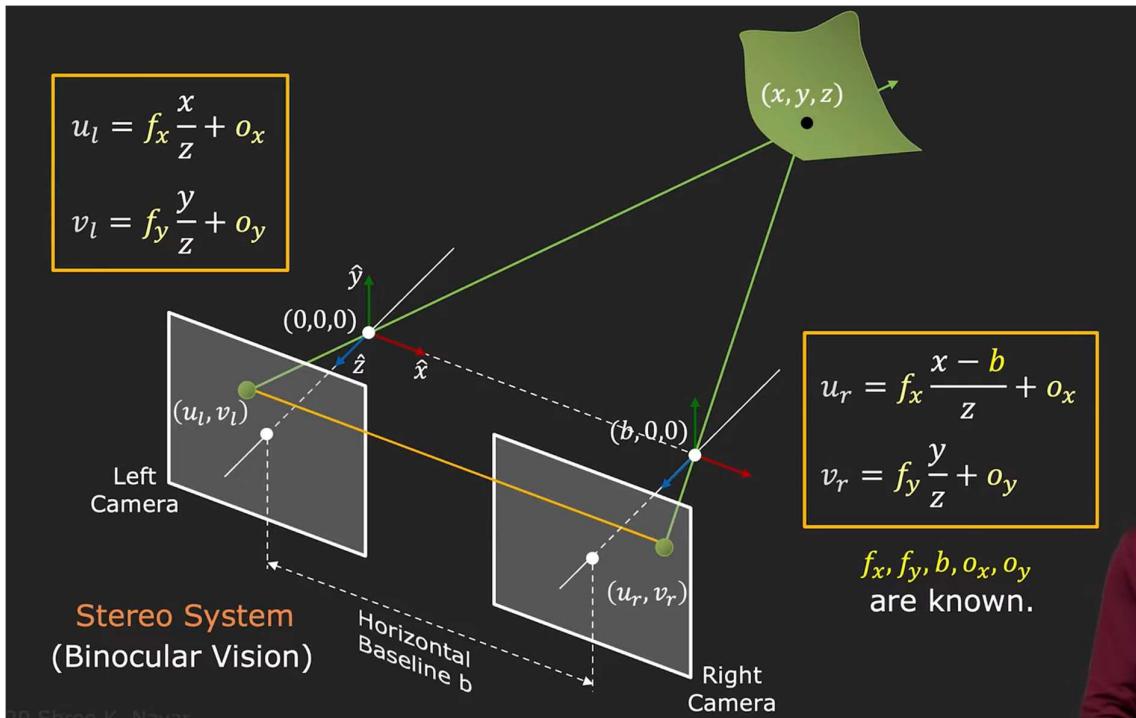
$$\tilde{\mathbf{u}} = M_{int} \tilde{\mathbf{x}}_c$$

$$\tilde{\mathbf{x}}_c = M_{ext} \tilde{\mathbf{x}}_w$$

Combining the above two equations, we get the full projection matrix  $P$ :

$$\tilde{\mathbf{u}} = M_{int} M_{ext} \tilde{\mathbf{x}}_w = P \tilde{\mathbf{x}}_w$$

$$\begin{bmatrix} \tilde{u} \\ \tilde{v} \\ \tilde{w} \end{bmatrix} = \begin{bmatrix} p_{11} & p_{12} & p_{13} & p_{14} \\ p_{21} & p_{22} & p_{23} & p_{24} \\ p_{31} & p_{32} & p_{33} & p_{34} \end{bmatrix} \begin{bmatrix} x_w \\ y_w \\ z_w \\ 1 \end{bmatrix}$$



From perspective projection:

$$(u_l, v_l) = \left( f_x \frac{x}{z} + o_x, f_y \frac{y}{z} + o_y \right) \quad (u_r, v_r) = \left( f_x \frac{x-b}{z} + o_x, f_y \frac{y}{z} + o_y \right)$$

Solving for  $(x, y, z)$ :

$$x = \frac{b(u_l - o_x)}{(u_l - u_r)} \quad y = \frac{bf_x(v_l - o_y)}{f_y(u_l - u_r)} \quad z = \frac{bf_x}{(u_l - u_r)}$$

where  $(u_l - u_r)$  is called **Disparity**.

**Depth  $z$  is inversely proportional to Disparity.**

**Disparity is proportional to Baseline.**

## Image velocity of a point that is moving in the scene

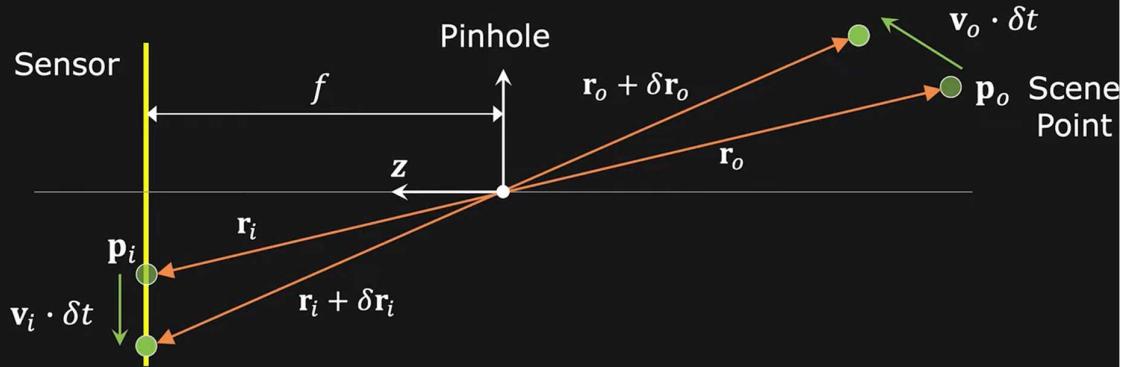


Image Point Velocity:  $\mathbf{v}_i = \frac{d\mathbf{r}_i}{dt}$   
 (Motion Field)

Scene Point Velocity:  $\mathbf{v}_o = \frac{d\mathbf{r}_o}{dt}$

Perspective projection:  $\frac{\mathbf{r}_i}{f} = \frac{\mathbf{r}_o}{\mathbf{r}_o \cdot \mathbf{z}}$

Image Point Velocity:  $\mathbf{v}_i = \frac{d\mathbf{r}_i}{dt} = f \frac{(\mathbf{r}_o \cdot \mathbf{z})\mathbf{v}_0 - (\mathbf{v}_o \cdot \mathbf{z})\mathbf{r}_0}{(\mathbf{r}_o \cdot \mathbf{z})^2}$   
 (Motion Field)

$$\mathbf{v}_i = f \frac{(\mathbf{r}_o \times \mathbf{v}_0) \times \mathbf{z}}{(\mathbf{r}_o \cdot \mathbf{z})^2}$$

