Al61003: Program Assignment 1

Introduction:

In this assignment, we explored dimensionality reduction techniques using the Olivetti Faces dataset, focusing on Principal Component Analysis (PCA) and Singular Value Decomposition (SVD). We applied PCA at different levels of variance capture to predict and reconstruct facial images. Additionally, we experimented with reconstructing images where the lower half of the faces had been blacked out, using PCA models trained on varying thresholds of variance capture. SVD was also used for image reconstruction, where we examined the effect of using different numbers of singular values. To deepen our understanding of noise reduction, we introduced noise to the training data at varying intensities and applied SVD to mitigate the noise, analysing its effectiveness.

Overview of Techniques:

Principal Component Analysis (PCA)

PCA is a dimensionality reduction technique that transforms data into a new coordinate system, where the axes (principal components) represent directions of maximum variance (the eigenvectors of the covariance matrix). By retaining only the top components—those corresponding to the largest eigenvalues—PCA reduces the dimensionality of the data while preserving key features. In image processing, PCA simplifies image representations by focusing on the most significant patterns or features in the dataset. It is commonly used for feature extraction and visualisation.

Singular Value Decomposition (SVD)

SVD is a matrix factorization method that breaks a matrix down into three components: U (left singular vectors), Σ (singular values), and V^T (right singular vectors). By approximating the original matrix using a reduced number of singular values, SVD effectively reduces dimensionality. In image processing, SVD is often employed for image compression and noise reduction, as retaining only the largest singular values captures the essential structure of the image, while discarding less significant or noisy components.

Dataset Description:

The original dataset consists of 400 images, with 10 images each of 40 different individuals. To create the test set, one image per person (40 images total) is set aside, leaving the remaining 360 images for the training set.

Question 1: Calculate the performance on the Test dataset (in percentage) Code:

```
# Q1 calculating performance on the test data of the model using optimize=True performance=0

for i,image in enumerate(X_test_reduced):
    recognized_image, mu_rec = img_classifer.predict( image )
    performance += 100*(target_test[i] == recognized_image)/target_test.shape[0]

print["Accuracy =", performance, "%"]

Accuracy = 92.5 %
```

Result:

Following are the results obtained upon predicting classes, training and testing the model on images of reduced dimensions using PCA.

Number of dimensions taken: 62

Accuracy: 92.5%

Actual Image

0 -10 -20 -30 -40 -50 -

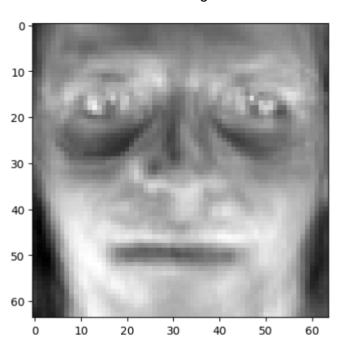
30

40

50

60

Reconstructed Image



Question 2: Repeat the face recognition with extracting the eigenvectors that capture (a) 80% of the variance (b) 50% of the variance. Provide sample results and the performance accuracy.

(a) 80% of the variance

20

Next, we reanalyze the data by applying PCA to capture 80% of the variance, and then train and test the model on this newly reduced dataset.

Code:

10

0

Below is the code used to calculate the model's accuracy after capturing 80% of the variance with PCA.

```
#Q2 PCA with 80% variance
pca 80 = PCA( optimize = True )
B 80 = pca 80.fit(X train)
pca 80.plot eigenvals()
num_dim_80 = pca_80.get_num components(0.8)
print( num dim 80 )
B 80 = B 80[:,:num dim 80]
# show top 4 eigenfaces
show images ( B 80, 4 )
# Projection class for capturing variance 80%
proj 80 = Projection( B 80 )
X train reduced 80 = proj 80.reduce dim(X train)
print("X train reduced.shape="+str(X train reduced 80.shape))
show images (X train.T, 1)
r img 80 = proj 80.reconstruct( X train reduced 80[0,:])
r img 80 = np.reshape(r img 80, (4096,1))
show images (r img 80, 1)
# reducing dimensionality
X test reduced 80 = proj_80.reduce_dim(X_test)
print("X test reduced.shape="+str(X test reduced 80.shape) )
# fitting model
print("X_train_reduced.shape=" + str(X_train_reduced_80.shape))
print("X test reduced.shape=" + str(X test reduced 80.shape))
img classifer 80 = ImageClassifier(40)
img classifer 80.fit(X train reduced 80, target train )
recognized class 80, mu rec 80 = img classifer 80.predict( X test reduced 80[5,: ] )
print("recognized_class="+ str(recognized_class_80))
##### testing model
accuracy 80=0
for i,image in enumerate(X_test_reduced_80):
    recognized class 80, mu rec 80 = img classifer 80.predict( image )
    accuracy 80 += 100*(target test[i] == recognized class 80)/target test.shape[0]
print("Accuracy_80_var =", accuracy_80, "%")
```

Results:

Number of dimensions taken = 25 Accuracy: 92.5%

The output of the code above is as follows. We get the number of dimensions required to be taken as 25 and the reduced shape and predicted classes as follows

```
25

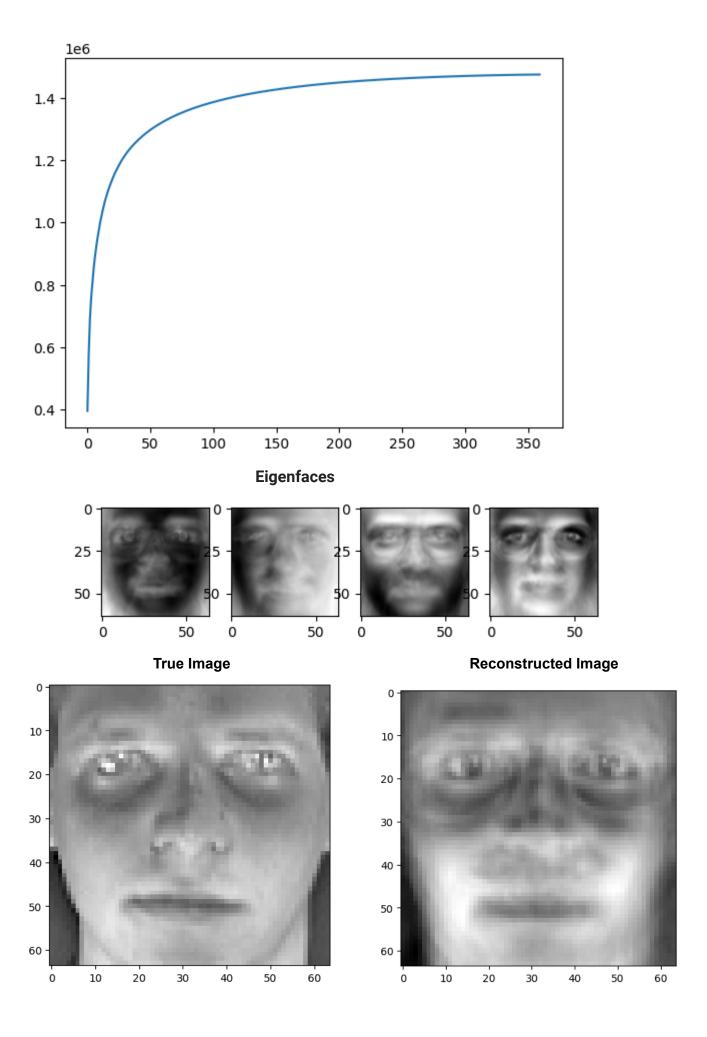
X_train_reduced.shape=(360, 25)

X_test_reduced.shape=(40, 25)

X_train_reduced.shape=(360, 25)

X_test_reduced.shape=(40, 25)

recognized_class=5
```



(b) 50% of the variance

Next, we reanalyze the data by applying PCA to capture 50% of the variance, and then train and test the model on this newly reduced dataset.

Code:

Below is the code used to calculate the model's accuracy after capturing 80% of the variance with PCA.

```
#Q2 PCA with 50% variance
pca 50 = PCA( optimize = True )
B 50 = pca 50.fit(X train)
pca 50.plot eigenvals()
num dim 50 = pca 50.get num components(0.5)
print( num dim 50 )
B 50 = B 50[:,:num dim 50]
# show top 4 eigenfaces
show images ( B 50, 3 )
# Projection class for capturing variance 50%
proj_50 = Projection( B 50 )
X train reduced 50 = proj 50.reduce dim(X train)
print("X train reduced.shape="+str(X train reduced 50.shape))
show images (X train.T, 1)
r img 50 = proj 50.reconstruct( X train reduced 50[0,:])
r img 50 = np.reshape(r img 50, (4096,1))
show images(r img 50, 1)
# reducing dimensionality
X test reduced 50 = proj 50.reduce dim(X test)
print("X test reduced.shape="+str(X test reduced 50.shape) )
# fitting model
print("X train reduced.shape=" + str(X train reduced 50.shape))
print("X test reduced.shape=" + str(X test reduced 50.shape))
img_classifer_50 = ImageClassifier(40)
img classifer 50.fit(X train reduced 50,target train )
recognized class 50, mu rec 50 = img classifer 50.predict( X test reduced 50[5,: ] )
print("recognized class="+ str(recognized class 50))
##### testing model
accuracy 50=0
for i,image in enumerate(X test reduced 50):
    recognized class 50, mu rec 50 = img classifer 50.predict( image )
    accuracy_50 += 100*(target_test[i] == recognized_class_50)/target_test.shape[0]
print("Accuracy 50 var =", accuracy 50, "%")
```

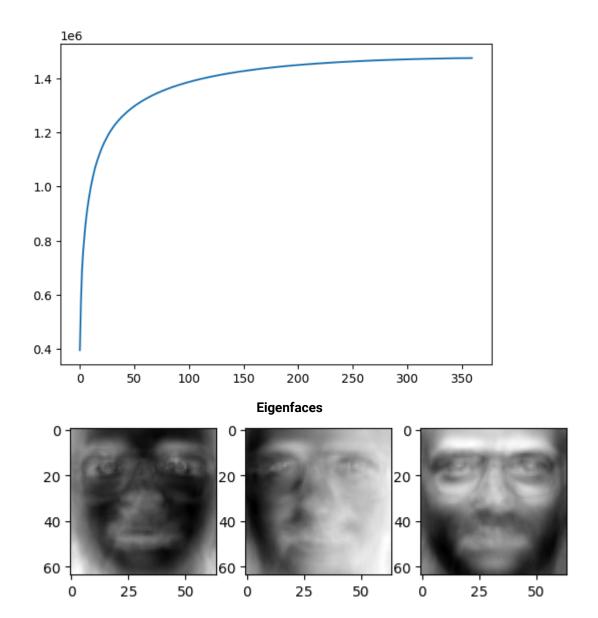
Results:

Number of dimensions taken = 3

Accuracy: 37.5%

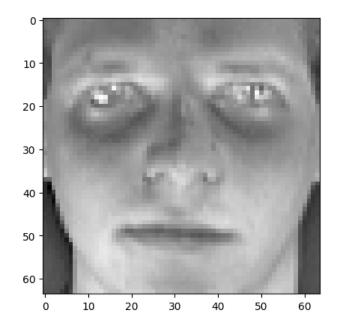
The output of the code above is as follows. We get the number of dimensions required to be taken as 25 and the reduced shape and predicted classes as follows

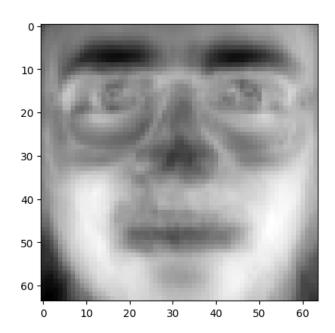
3
X_train_reduced.shape=(360, 3)
X_test_reduced.shape=(40, 3)
X_train_reduced.shape=(360, 3)
X_test_reduced.shape=(40, 3)
recognized_class=5



True Image

Reconstructed Image





Qn 3: Repeat the face reconstruction with extracting the eigenvectors that capture (a) 80% of the variance (b) 50% of the variance. Provide sample results.

(a) 80% of the variance

```
also

(X@X.T) @ Q' = Q' @ L

=> (X.T@X) @ (X.T@Q') = (X.T@Q') @ L (multiplying X.T on both sides)
-----(2)

=> (X.T@X) @ Q = Q @ L (which is the same as equation (1) if X.T@Q' != 0)

'''

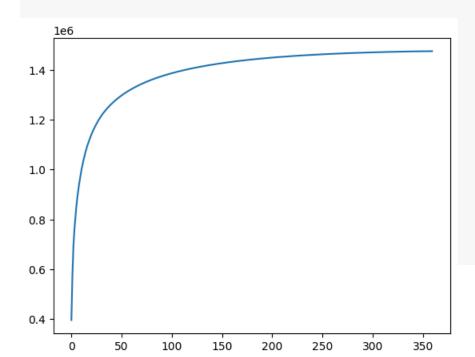
#####
```

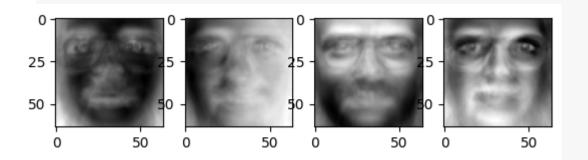
let's address the task of determining the optimal value of K.

- 1. We plot the cumulative sum of the eigenvalues to visualise how many eigenvectors capture the maximum variance in the training data. From the plot below, we observe that most of the variance is captured by the first 50 features.
- 2. We extract the eigenvectors that capture around 90% of the variance (this threshold can be adjusted as needed).

Given that the number of dimensions (D = 4096) is much greater than the number of training samples (N = 360), we compute the covariance matrix with dimensions N \times N instead of D \times D.

```
pca = PCA( optimize = True )
B = pca.fit(X_train)
pca.plot_eigenvals()
num_dim = pca.get_num_components(0.9)
print( num_dim )
B = B[:,:num_dim]
# show top 4 eigenfaces
show_images( B, 4 )
62
```





Qn 3: Repeat the face reconstruction with extracting the eigenvectors that capture (a) 80% of the variance (b) 50% of the variance. Provide sample results.

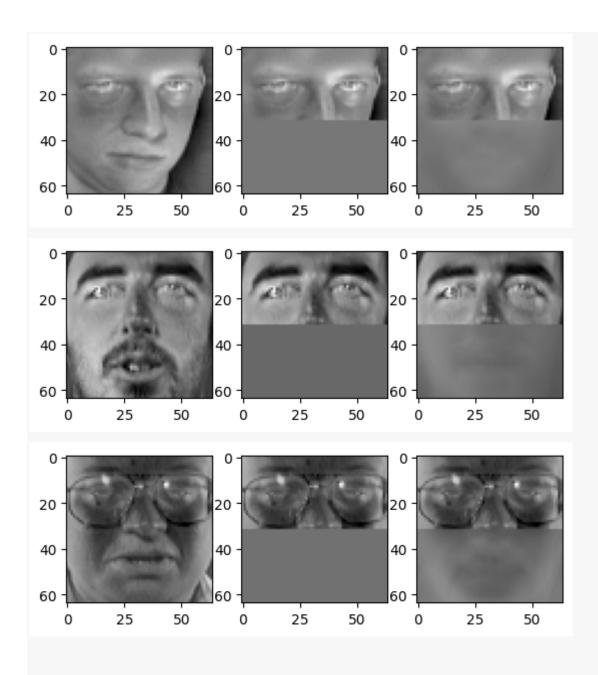
```
# Q3
# reconstruct image with PCA capturing 80% variance
def reconstruct_half_images_80( test_indexes ):
    for i in test_indexes:
        half_image, orig_image = get_half_image(i)
        N,D = half_image.shape
        new_image = proj_80.project( half_image )
        new_image[0,0:2048,] = orig_image[0,0:2048]
        images_for_display = np.concatenate((orig_image.T, half_image.T,
new_image.T), axis=1)
        show_images(images_for_display, 3)
reconstruct_half_images_80([0,10,30])
```



new_image.T), axis=1)

show images(images for display, 3)

reconstruct_half_images_50([0,10,30])



Singular Value Decomposition

To reduce the noise in data.

To address the task of adding random noise to the data and comparing the results with the original no-noise case (as shown in the provided image), you can follow these steps:

- 1. Add Random Noise: In your dataset, add random noise to the images before applying PCA or SVD. You may generate noise by adding a small random value to each pixel.
- 2. **Train with Noise:** Re-apply PCA or SVD after adding noise, following the same process as with the clean data.

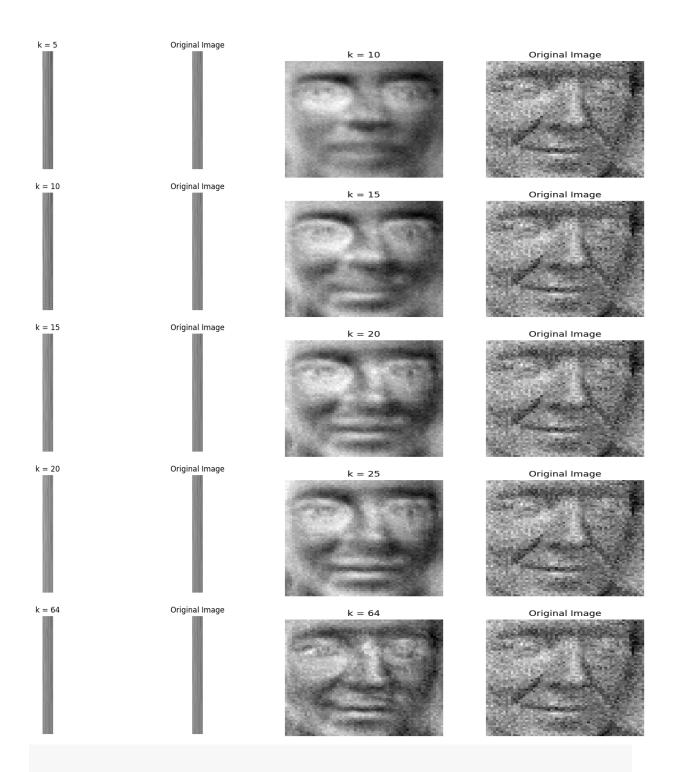
- 3. **Reconstruct Images:** Reconstruct the images using different values of k (number of components or singular values). For instance, apply PCA or SVD with k values of 5, 10, 15, 20, and 64, just like in the original comparison.
- 4. **Visual Comparison:** Plot and compare the reconstructed images for both the noise-added and no-noise cases, side by side, for each k value. This will allow you to observe how well the models perform in denoising and reconstruction.



Q4. Add Random noise to the data and compare these results with the no noise case above. (you may uncomment the noise addition part in the code).

```
\# experimenting on noise with st deviation of 0.5
data std = X train.T
# Add noise
noise = np.random.normal(0,0.5,data.shape)
noisy data = data std + noise
data std = noisy data
plt.imshow(data std, cmap='gray')
print("data_std.shape=" + str(data_std.shape))
# calculate the SVD and plot the image
U std, S std, V T std = svd(data std, full matrices=False)
S std = np.diag(S std)
fig std, ax std = plt.subplots(5, 2, figsize=(8, 20))
curr fig = 0
for r in [5, 10, 15, 20, 64]:
  data approx std 1 = U std[:, :r] @ S std[0:r, :r] @ V T std[:r, :]
  ax std[curr fig][0].imshow(data approx std 1, cmap='gray')
  ax std[curr fig][0].set title("k = "+str(r))
  ax std[curr fig, 0].axis('off')
  ax std[curr fig][1].set title("Original Image")
  ax std[curr fig][1].imshow(data, cmap='gray')
  ax std[curr fig, 1].axis('off')
   curr fig += 1
plt.show()
```

Result:



Q5. Use standard deviation of noise as 0.05, 0.1, and 0.2. (a) Use standard deviation 0.05

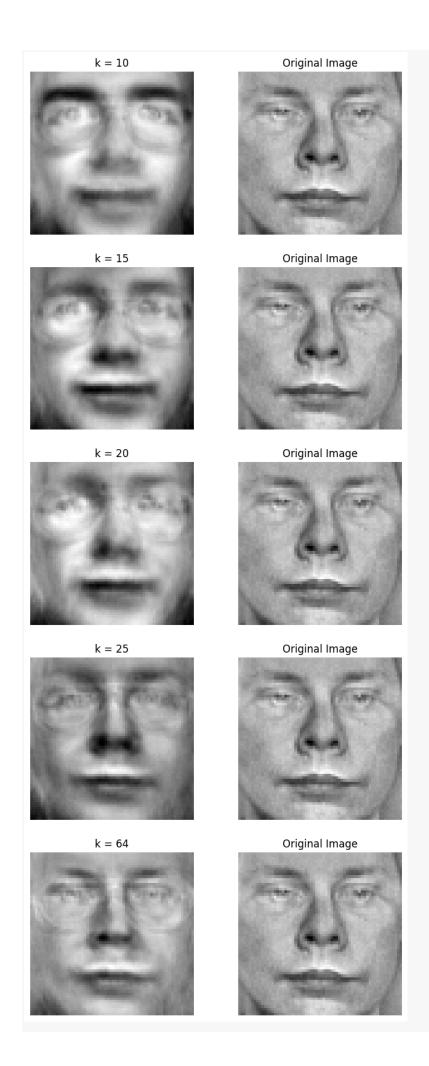
Code:

```
# Q5
```

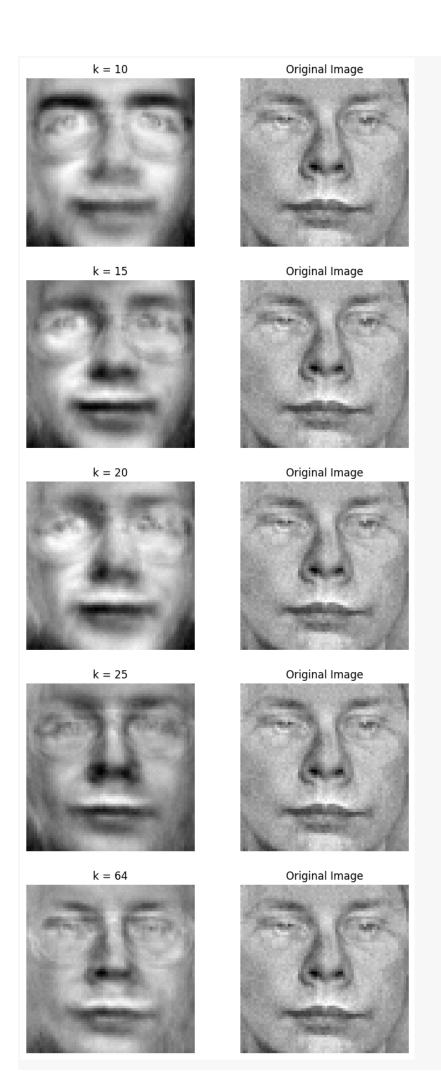
```
# adding noise with st_deviation of 0.05
data_std_05 = X_train.T
```

Adding noise

```
noise = np.random.normal(0,0.05,data.shape)
noisy data = data std 05 + noise
data std 05 = noisy data
plt.imshow(data std 05, cmap='gray')
print("data std 05.shape=" + str(data std 05.shape))
# calculate the SVD and plot the image
U std 05, S std 05, V T std 05 = svd(data std 05, full matrices=False)
S_std_05 = np.diag(S_std_05)
fig std 05, ax std 05 = plt.subplots(5, 2, figsize=(8, 20))
curr_fig = 0
for r in [5, 10, 15, 20, 64]:
   data_approx_std_05 = U_std_05[:, :r] @ S_std_05[0:r, :r] @
V T std 05[:r, :]
   ax_std_05[curr_fig][0].imshow(data_approx_std_0_05, cmap='gray')
  ax std 05[curr fig][0].set title("k = "+str(r))
  ax std 05[curr fig, 0].axis('off')
  ax std 05[curr fig][1].set title("Original Image")
  ax std 05[curr fig][1].imshow(data, cmap='gray')
  ax std 05[curr fig, 1].axis('off')
  curr fig += 1
plt.show()
Result:
```



```
(b) Use standard deviation 0.1
  # Q5
  # adding noise with st deviation of 0.1
  data std 1 = X train.T
  # Adding noise
  noise = np.random.normal(0,0.1,data.shape)
  noisy data = data std 1 + noise
  data_std_1 = noisy_data
  plt.imshow(data_std_1, cmap='gray')
  print("data std 1.shape=" + str(data std 1.shape))
  # calculate the SVD and plot the image
  U_std_1, S_std_1, V_T_std_1 = svd(data_std_1,
  full matrices=False)
  S \text{ std } 1 = \text{np.diag}(S \text{ std } 1)
  fig std 1, ax std 1 = plt.subplots(5, 2, figsize=(8, 20))
  curr fig = 0
  for r in [5, 10, 15, 20, 64]:
     data approx std 1 = U std 1[:, :r] @ S std 1[0:r, :r] @
  V T std 1[:r, :]
     ax std 1[curr fig][0].imshow(data approx std 0 05,
  cmap='gray')
     ax std 1[curr fig][0].set title("k = "+str(r))
     ax std 1[curr fig, 0].axis('off')
     ax std 1[curr fig][1].set title("Original Image")
     ax_std_1[curr_fig][1].imshow(data, cmap='gray')
     ax std 1[curr fig, 1].axis('off')
     curr fig += 1
  plt.show()
  Result:
```



```
© use standard deviation of 0.2
##### 05
##### adding noise with standard deviation of 0.2
data_std_0_2 = X_train.T
# Adding noise
noise = np.random.normal(0,0.2,data.shape)
noisy data = data std 0 2 + noise
data_std_0_2 = noisy_data
plt.imshow(data_std_0_2, cmap='gray')
print("data std 0 2.shape=" + str(data std 0 2.shape))
# calculate the SVD and plot the image
U std 0 2, S std 0 2, V T std 0 2 = svd(data std 0 2,
full matrices=False)
S \text{ std } 0 2 = \text{np.diag}(S \text{ std } 0 2)
fig std 0 2, ax std 0 2 = plt.subplots(5, 2, figsize=(8, 20))
curr fig = 0
for r in [5, 10, 15, 20, 64]:
  data approx std 0 2 = U std 0 2[:, :r] @ S std 0 2[0:r, :r] @
V T std 0 2[:r, :]
   ax std 0 2[curr fig][0].imshow(data approx std 0 2, cmap='gray')
  ax std 0 2[curr fig][0].set title("k = "+str(r))
  ax std 0 2[curr fig, 0].axis('off')
  ax_std_0_2[curr_fig][1].set_title("Original Image")
  ax std 0 2[curr fig][1].imshow(data, cmap='gray')
   ax std 0 2[curr fig, 1].axis('off')
   curr fig += 1
plt.show()
Result:
```

