Algorithm Analysis and Design

Advanced Data Structure (Red Black Tree)

(Deletion)

Lecture -30-32

Overview

- A variation of binary search trees.
- Balanced: height is O(lg n), where n is the number of nodes.
- Operations will take O(lg n) time in the worst case.

Start by doing regular binary-searchtree deletion:

```
RB-DELETE(T, z)
if left[z] = nil[T] or right[z] = nil[T]
  then y \leftarrow z
  else y \leftarrow TREE-SUCCESSOR(z)
if left[y] \neq nil[T]
   then x \leftarrow left[y]
    else x \leftarrow right[y]
p[x] \leftarrow p[y]
if p[y] = nil[T]
    then root[T] \leftarrow x
    else if y = left[p[y]]
             then left[p[y]] \leftarrow x
             else right[p[y]] \leftarrow x
if y \neq z
      then \text{key}[z] \leftarrow \text{key}[y]
             copy y's satellite data into z
if color[y] = BLACK
       then RB-DELETE-FIXUP(T, x)
return y
```

```
RB-DELETE(T, z)
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  then y \leftarrow z
  else y \leftarrow TREE-SUCCESSOR(z)
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p[x] \leftarrow p[y]
if p[y] = nil[T]
    then root[T] \leftarrow x
    else if y = left[p[y]]
             then left[p[y]] \leftarrow x
             else right[p[y]] \leftarrow x
if y \neq z
      then \text{key}[z] \leftarrow \text{key}[y]
             copy y's satellite data into z
if color[y] = BLACK
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- 'y' is the node that was actually deleted(i.e. Successor of 'z').
- 'x' is either
 - y's sole non-sentinel child before y was deleted, or
 - the sentinel, if y had no children.
- In both cases, p[x] is now the node that was previously y's parent.

Now Check

- If y is black, we could have violations of red-black properties:
- Which property might be violated?
 - P1. Every node is either red or black?
 - P2. The root is always black?

 If y is the root and x is red, then the root has become red.
 - P3. Every leaf (nil[T]) is black?

 OK
 - P4. If a node is red, then both its children are black? Violation if p[y] and x are both red.

Now Check

- P5. For each node, all paths from the node to descendant leaves contain the same number of black nodes?
 - Any path containing y now has 1 fewer black node.
 - Correct by giving x an "extra black".
 - Add 1 to count of black nodes on paths containing x.
 - Now property 5 is OK, but property 1 is not.
 - x is either doubly black (if color[x] = BLACK) or red & black (if color[x] = RED).
 - The attribute color[x] is still either RED or BLACK. No new values for color attribute.
 - In other words, the extra blackness on a node is by virtue of x pointing to the node.

Basic Idea for Deletion

Move the extra black up the tree until

- x points to a red & black node ⇒turn it into a black node,
- x points to the root ⇒just remove the extra black, or
- Do certain rotations (i.e. LL or RR) and recoloring the node and finished the deletion by maintain the Red-black tree property.

The basic idea for deletion was executed by the help of RB-DELETE-FIXUP function (i.e. Remove the violations by calling RB-DELETE-FIXUP).

This function is executed until $x \neq root$ and color[x] = Black

For this first find the sibling of 'x' as 'w' and 'w' can not be NIL

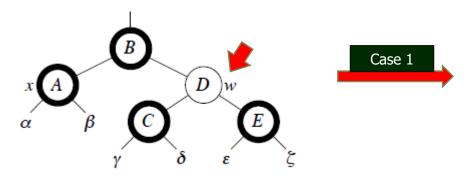
There are 8 number of cases:

- 4 of which are symmetric to the other 4 (like RB-INSERT-FIXUP()).
- We look at cases in which 'x' is the left child of it's parents.

- Case 1:
 - When 'w'(sibling of 'x')is red and w=right[p[x]]

Action to be taken

- Change the color[w]=Black.
- Change the color[p[x]]=Red.
- LEFT_ROTATE(T,p[x])
- Move w=right[p[x]]
- Go immediately to case 2, 3, or 4.



[Nodes with bold outline indicate black nodes and light outline indicate red nodes]

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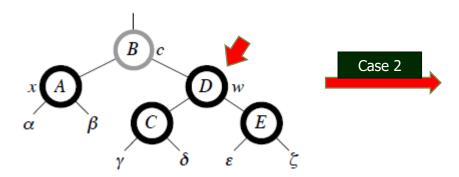
[Nodes with bold outline indicate black nodes and light outline indicate red nodes]

Case 2:

 When 'w'(sibling of 'x')is black and both the children of of 'w' is also black.

Action to be taken

- Change color[w]=Red.
- Move x=p[x]

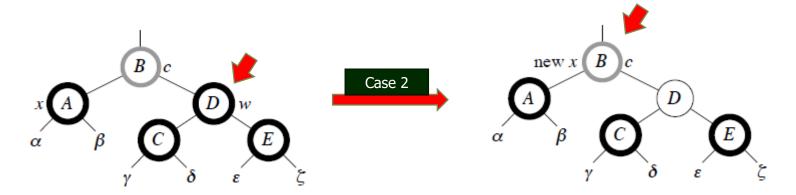


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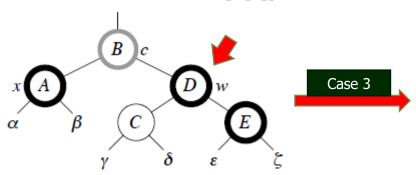


• Case 3:

 When 'w'(sibling of 'x')is black and w's left child is red and w's right child is black.

Action to be taken

- Color[left[w]]=Black
- Color[w]=Red
- RIGHT_ROTATE(T, w)
- Move w=right[p[x]]

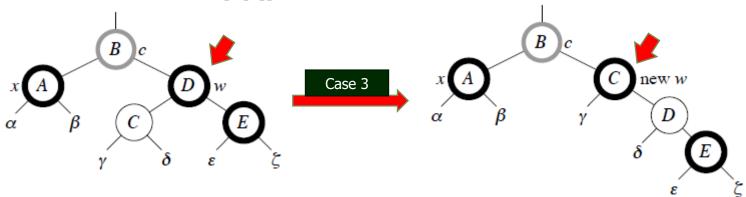


Case 3:

 When 'w'(sibling of 'x')is black and w's left child is red and w's right child is black.

Action to be taken

- Color[left[w]]=Black
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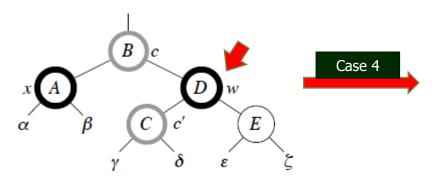


• Case 4:

• When 'w'(sibling of 'x')is black and w's left child is black and w's right child is red.

Action to be taken

- Color[w]=color[p[x]]
- Color[p[x]]=Black
- Color[right[w]]=Black
- LEFT_ROTATE(T, p[x])
- x=root[T]

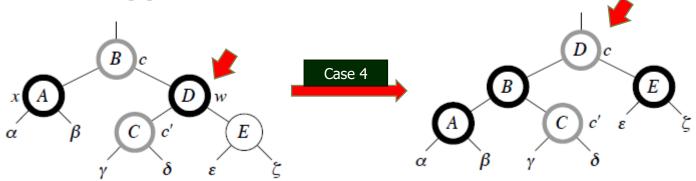


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 When 'w'(sibling of 'x')is black and w's left child is black and w's right child is red.

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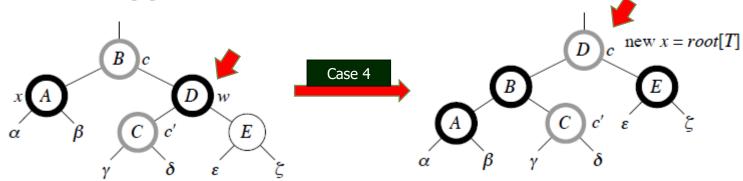


• Case 4:

• When 'w'(sibling of 'x')is black and w's left child is black and w's right child is red.

Action to be taken

- Color[w]=color[p[x]]
- Color[p[x]]=Black
- Color[right[w]]=Black
- LEFT_ROTATE(T, p[x])
- x=root[T]



```
RB-DELETE-FIXUP(T, x)
while x \neq root[T] and color[x] = BLACK
      do if x = left[p[x]]
            then w \leftarrow right[p[x]]
               if color[w] = RED
                   then color[w] \leftarrow BLACK
                                                                                      ≈ Case 1
                                                                                      ≈ Case 1
                         color[p[x]] \leftarrow RED
                                                                                      ≈ Case 1
                         LEFT-ROTATE(T, p[x])
                         w \leftarrow right[p[x]]
                                                                                      ≈ Case 1
               if color[left[w]] = BLACK and color[right[w]] = BLACK
                   then color[w] \leftarrow RED
                                                                                       ≈ Case 2
                                                                                      ≈ Case 2
                         x \leftarrow p[x]
                    else if color[right[w]] = BLACK
                            then color[left[w]] \leftarrow BLACK
                                                                                       ≈ Case 3
                                 color[w] \leftarrow RED
                                                                                       ≈ Case 3
                                 RIGHT-ROTATE(T, w)
                                                                                       ≈ Case 3
                                                                                       ≫ Case 3
                                 w \leftarrow right[p[x]]
                                                                                       ≈ Case 4
                        color[w] \leftarrow color[p[x]]
                        color[p[x]] \leftarrow BLACK
                                                                                      ≈ Case 4
                                                                                      ≈ Case 4
                        color[right[w]] \leftarrow BLACK
                        LEFT-ROTATE(T, p[x])
                                                                                      ≈ Case 4
                                                                                      ≈ Case 4
                        x \leftarrow root[T]
         else (same as then clause with "right" and "left" exchanged)
   color[x] \leftarrow BLACK
```

Insertion (Example 1):

Insert the following elements into an empty RB-Tree.

[50, 40, 30, 45, 20, 5]

and then perform delete 40, 20, 30, 45, & 5

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No Fixup required

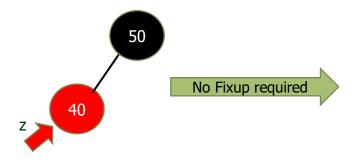
Red Black Tree

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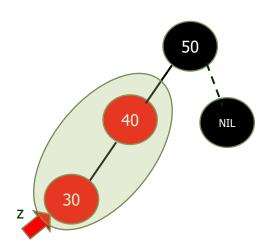
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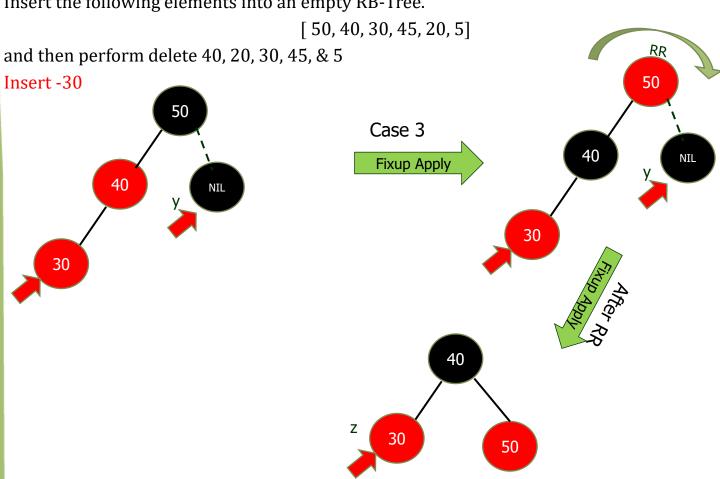
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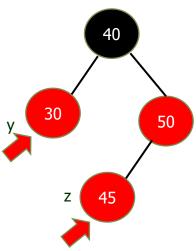


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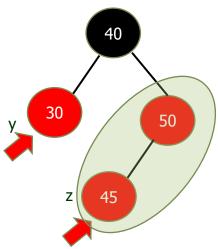


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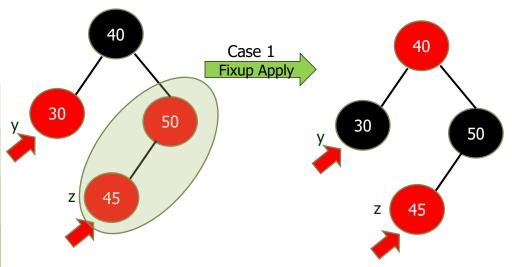


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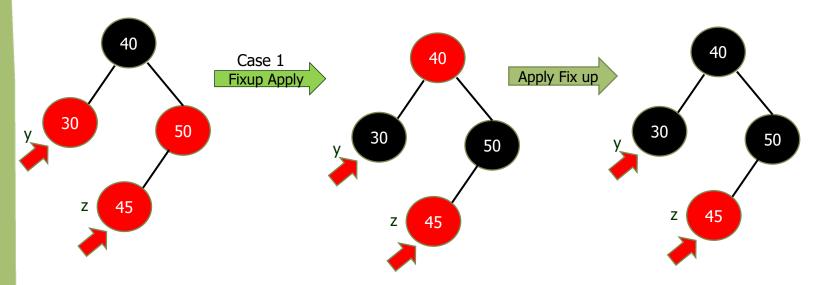


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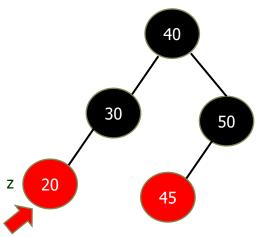


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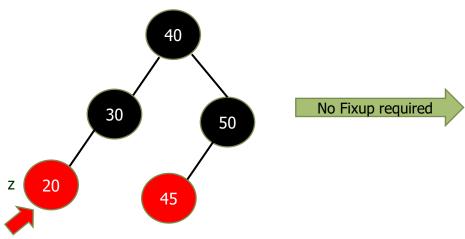


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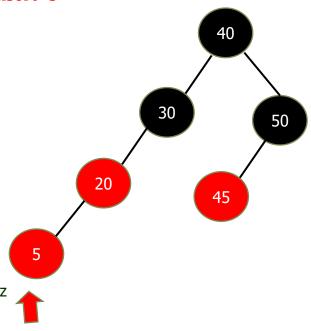


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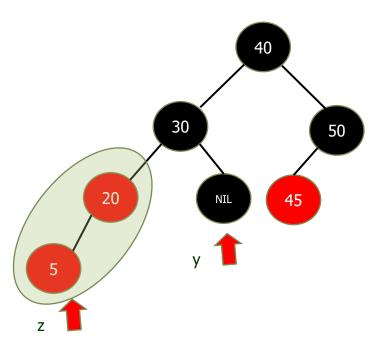


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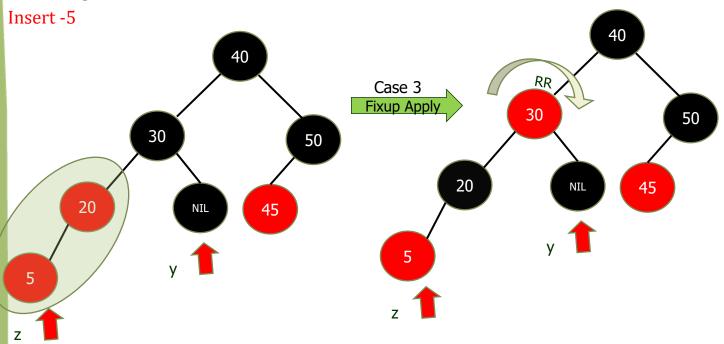


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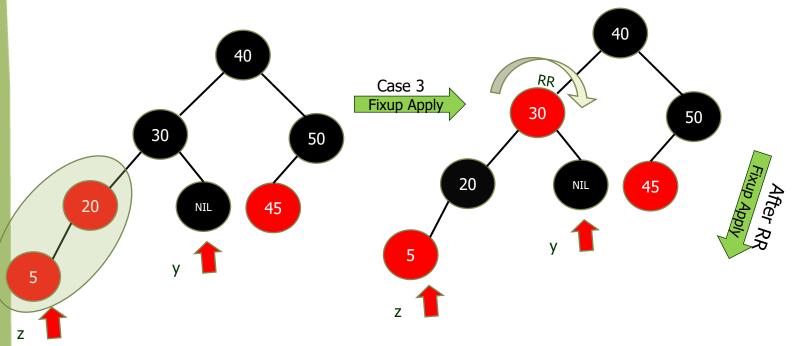


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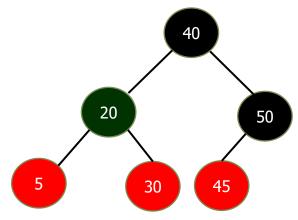
and then perform delete 40, 20, 30, 45, & 540 Insert -5 RR Case 3 Fixup Apply 30 50 30 50 20 NIL 45 20 5 5 40 20 50 30 45

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and then perform delete 40, 20, 30, 45, & 5



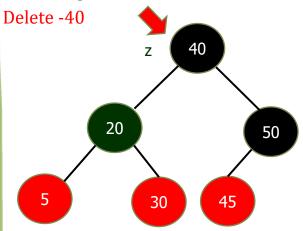
After the Insertion the tree finally looks as shown above.

Now we perform Deletion on RB Tree.

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Insert the following elements into an empty RB-Tree.

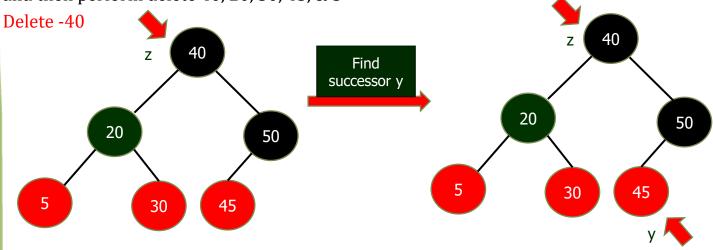
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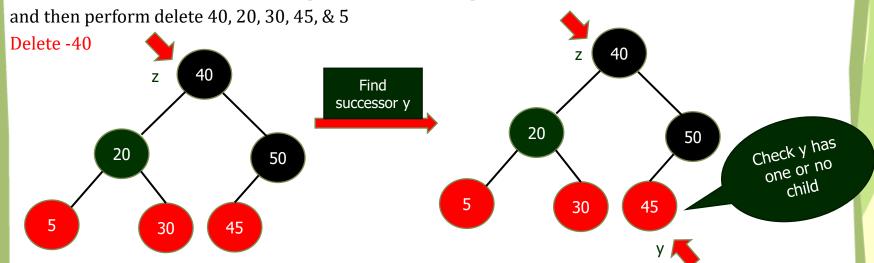
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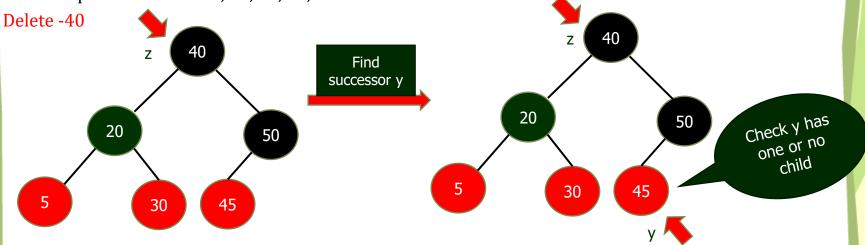


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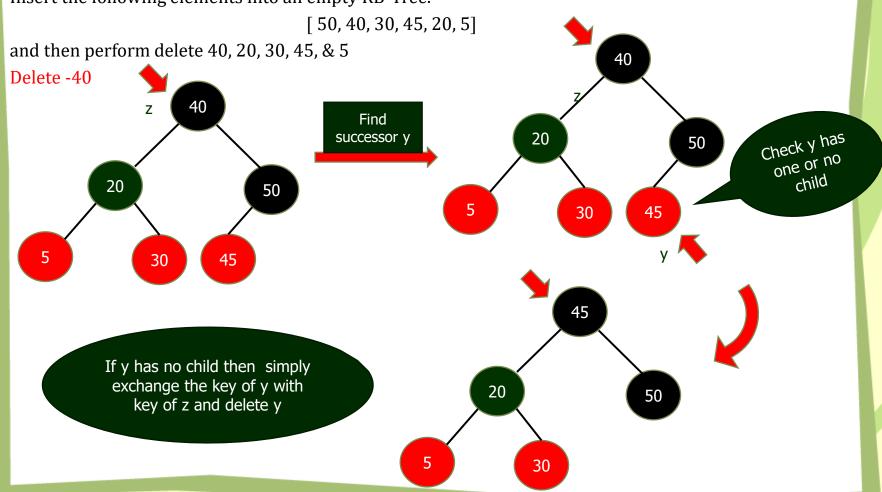




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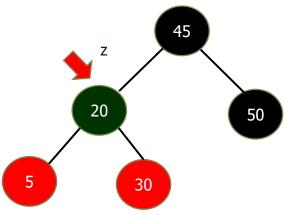


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and then perform delete 40, 20, 30, 45, & 5

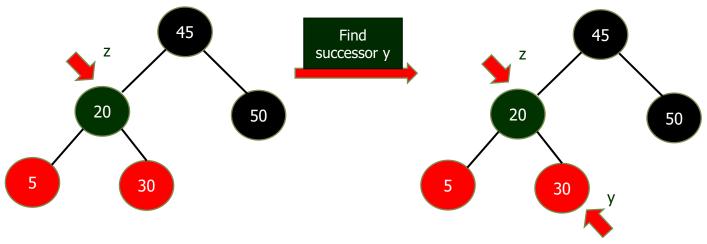


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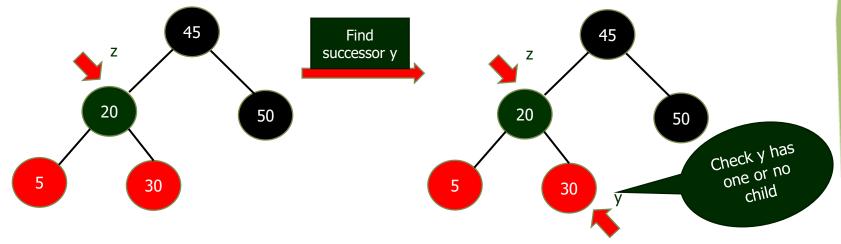


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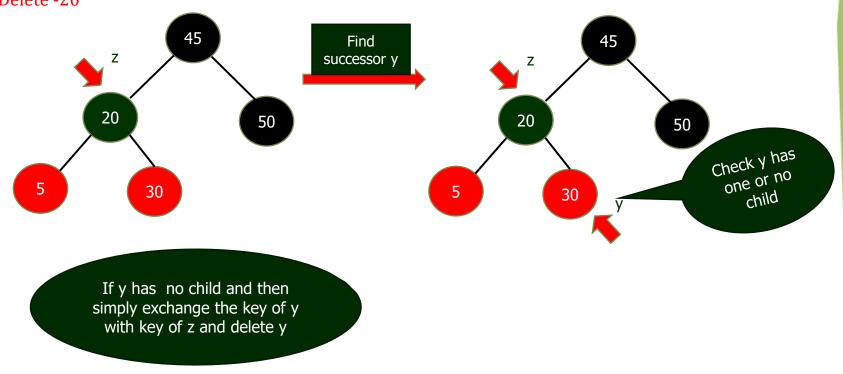


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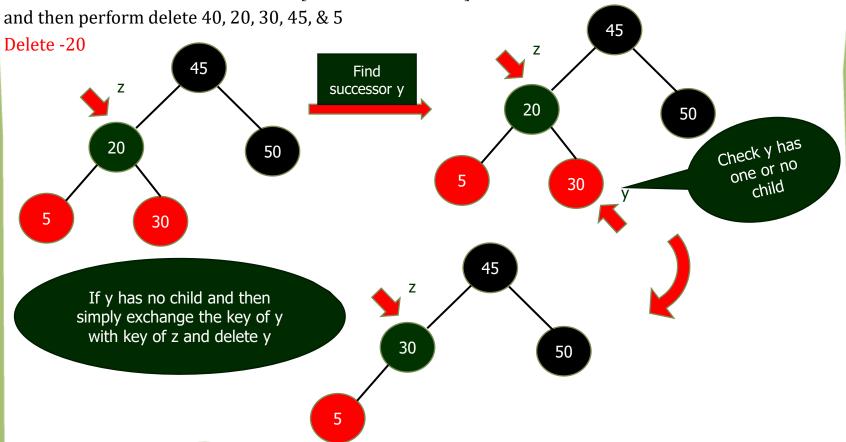
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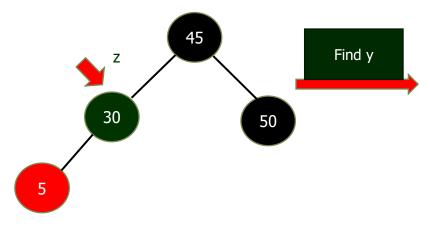


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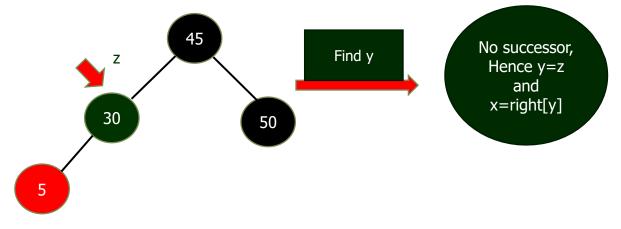


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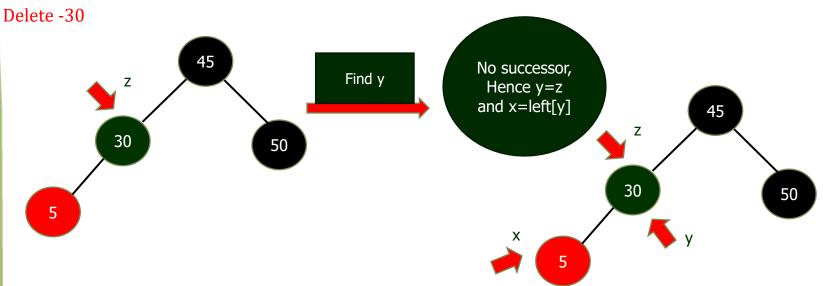
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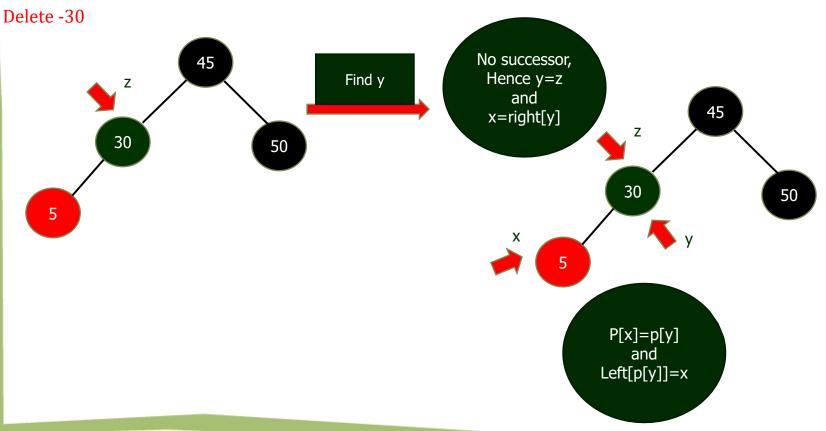
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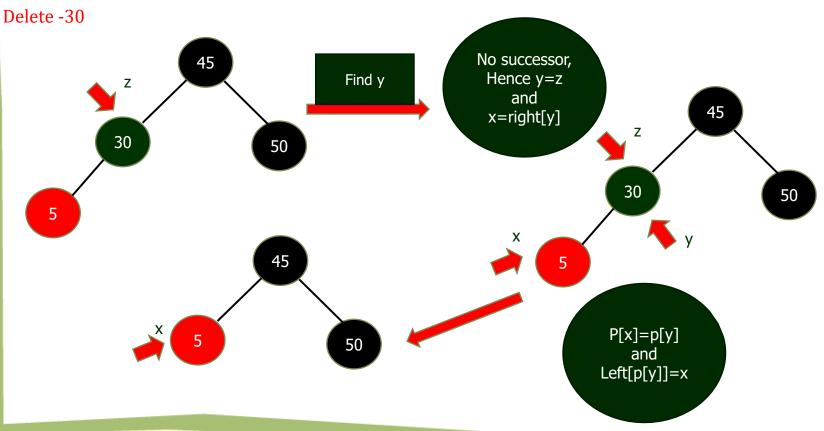
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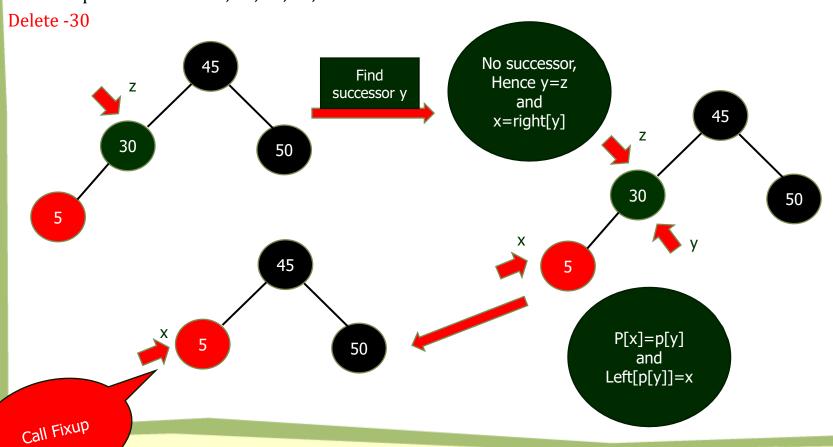
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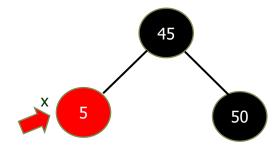
Insertion (Example 1):

Insert the following elements into an empty RB-Tree.

[50, 40, 30, 45, 20, 5]

and then perform delete 40, 20, 30, 45, & 5

Delete -30



It was found that it was not enter inside the while loop, so execute the last line of RB-Delete-Fixup,(i.e. color[x]=Black

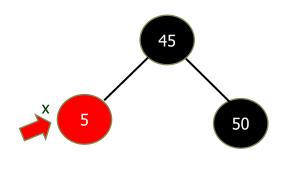
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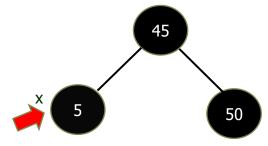
[50, 40, 30, 45, 20, 5]

and then perform delete 40, 20, 30, 45, & 5

Delete -30



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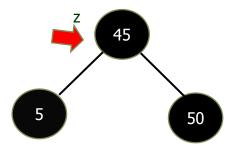


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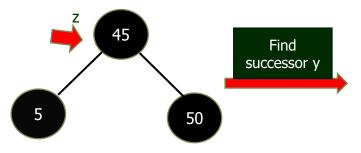


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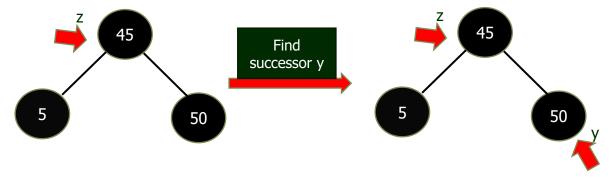


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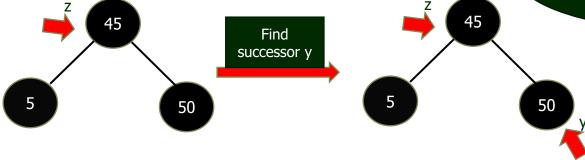


Insertion (Example 1):

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Delete -40



If y has no child and then simply exchange the key of y with key of z and delete y

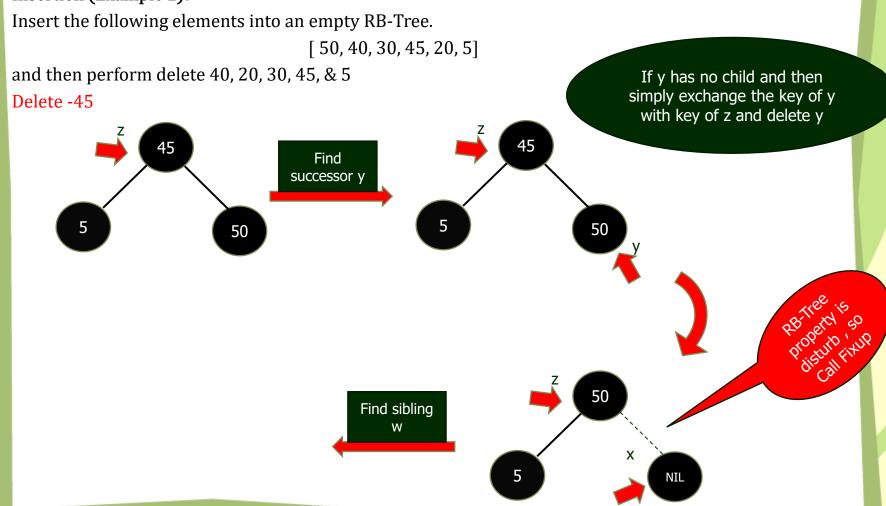
Insertion (Example 1):

Insert the following elements into an empty RB-Tree. [50, 40, 30, 45, 20, 5] and then perform delete 40, 20, 30, 45, & 5 If y has no child and then simply exchange the key of y Delete -45 with key of z and delete y Find successor y 5 50 50

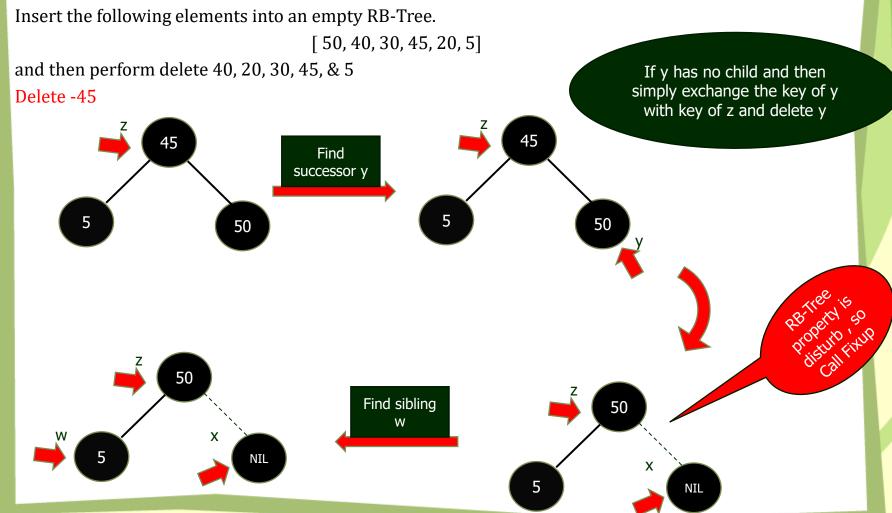
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Insertion (Example 1):



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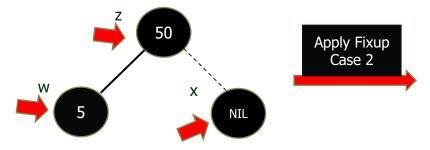


Insertion (Example 1):

Insert the following elements into an empty RB-Tree.

[50, 40, 30, 45, 20, 5]

and then perform delete 40, 20, 30, 45, & 5

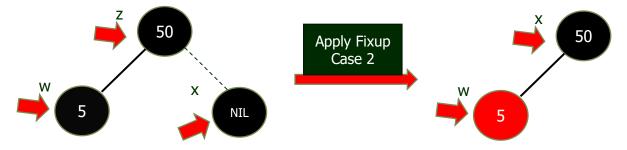


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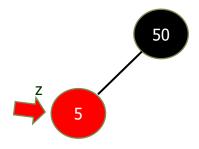


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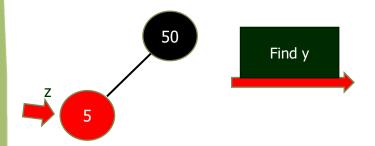


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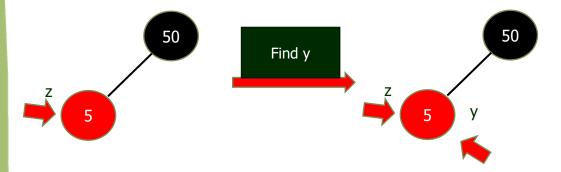


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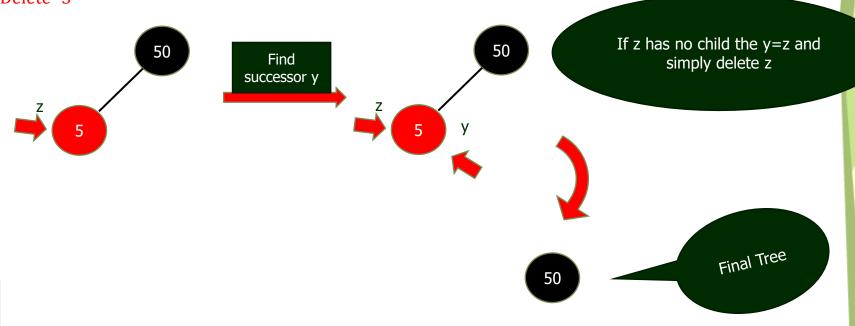


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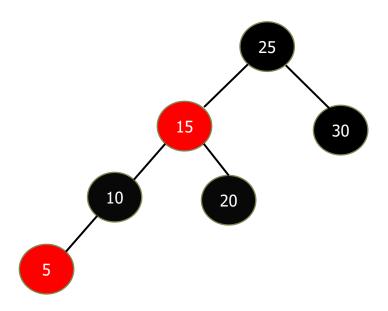




Insertion (Example 2):

With the help of following Red-black tree perform the deletion operation on the given keys respectively.

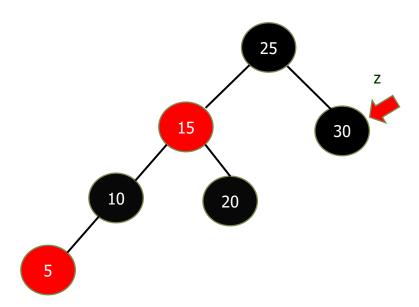
Keys for deletions are <30, 25, 15 & 10>



Insertion (Example 2):

With the help of following Red-black tree perform the deletion operation on the given keys respectively.

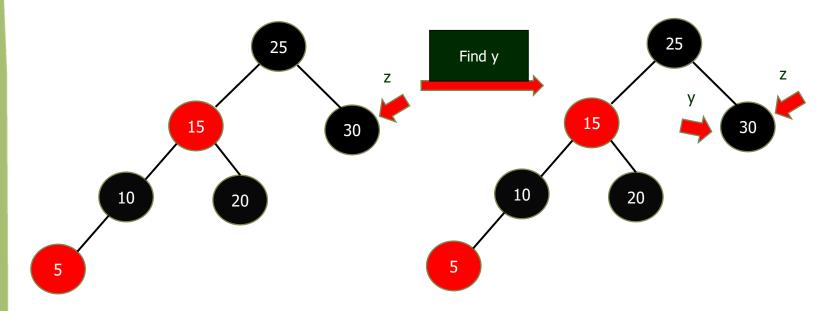
Keys for deletions are <30, 25, 15 & 10>



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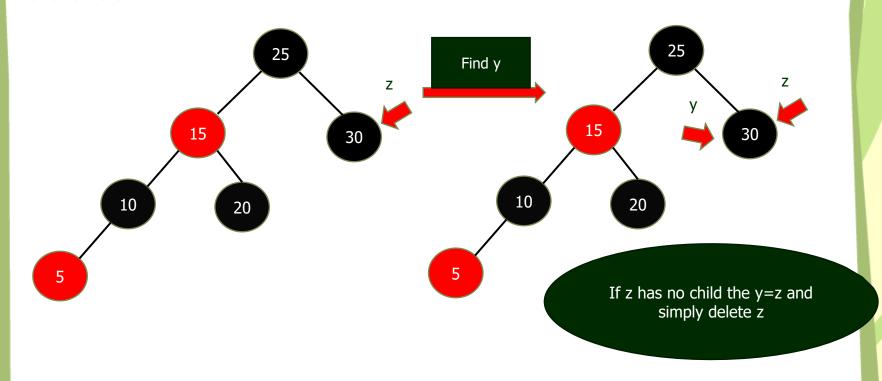
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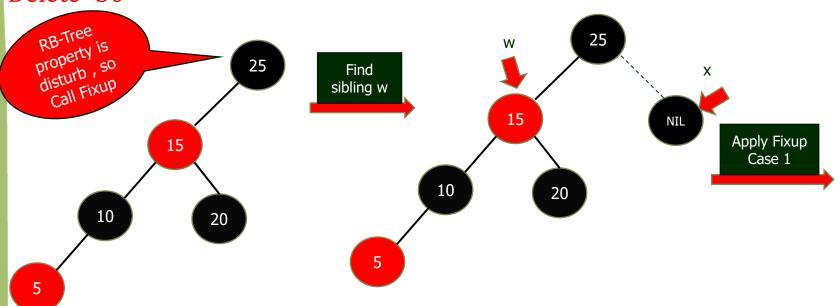
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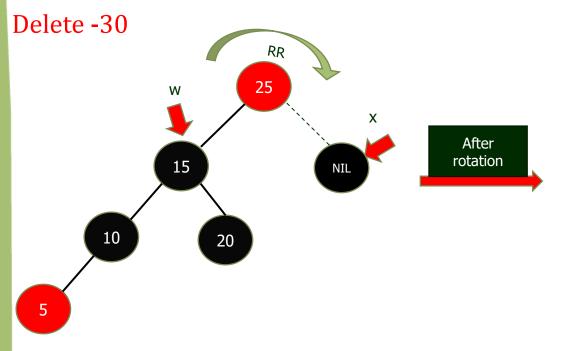
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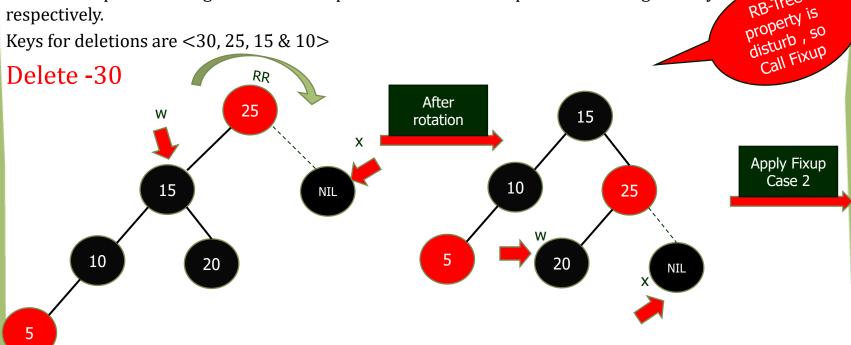


RB-Tree

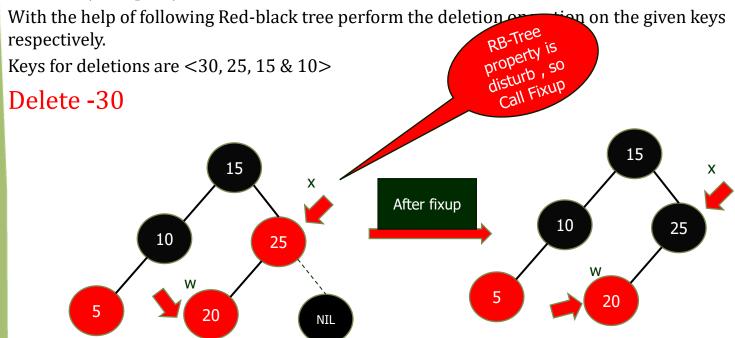
Insertion (Example 2):

With the help of following Red-black tree perform the deletion operation on the given keys respectively.

Keys for deletions are <30, 25, 15 & 10>



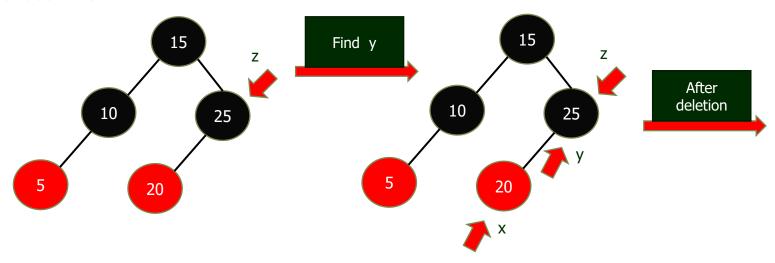
Insertion (Example 2):



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With the help of following Red-black tree perform the deletion operation on the given keys respectively.

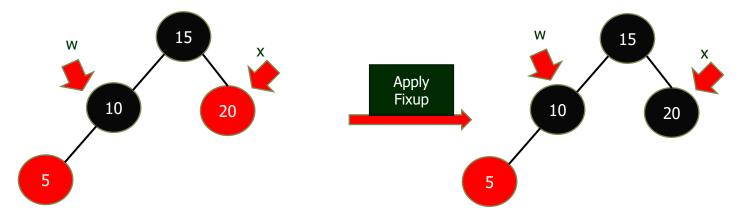
Keys for deletions are <30, 25, 15 & 10>



Insertion (Example 2):

With the help of following Red-black tree perform the deletion operation on the given keys respectively.

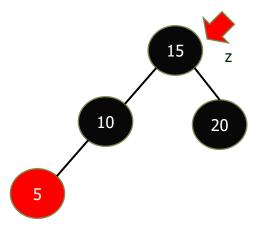
Keys for deletions are <30, 25, 15 & 10>



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With the help of following Red-black tree perform the deletion operation on the given keys respectively.

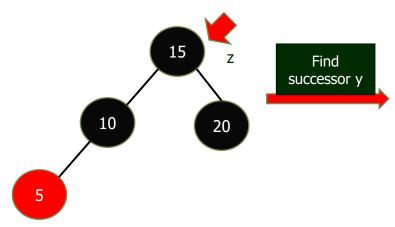
Keys for deletions are <30, 25, 15 & 10>



Insertion (Example 2):

With the help of following Red-black tree perform the deletion operation on the given keys respectively.

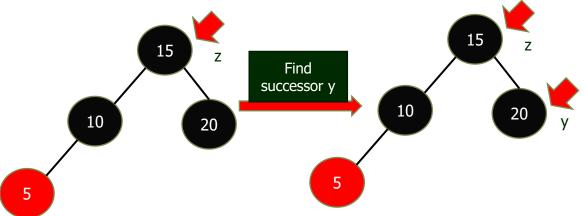
Keys for deletions are <30, 25, 15 & 10>



Insertion (Example 2):

With the help of following Red-black tree perform the deletion operation on the given keys respectively.

Keys for deletions are <30, 25, 15 & 10>

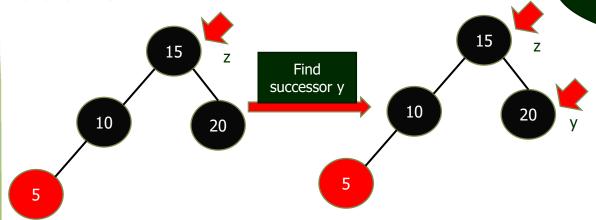


Insertion (Example 2):

With the help of following Red-black tree perform the deletion operation on the given keys respectively.

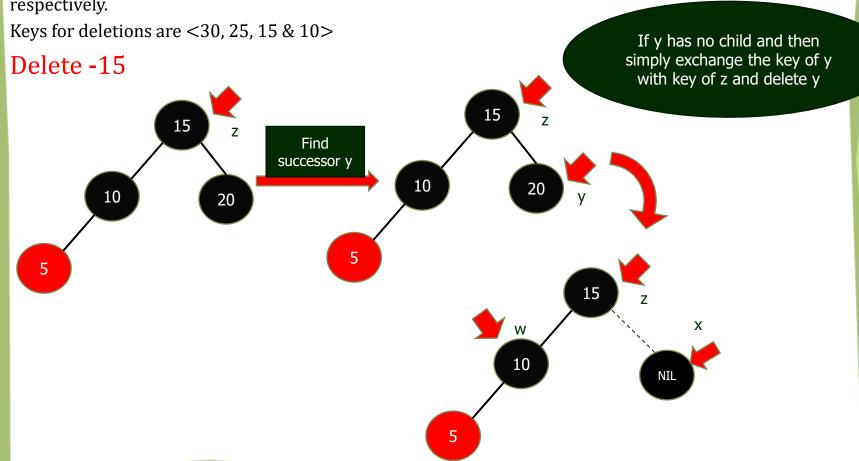
Keys for deletions are <30, 25, 15 & 10>

Delete -15

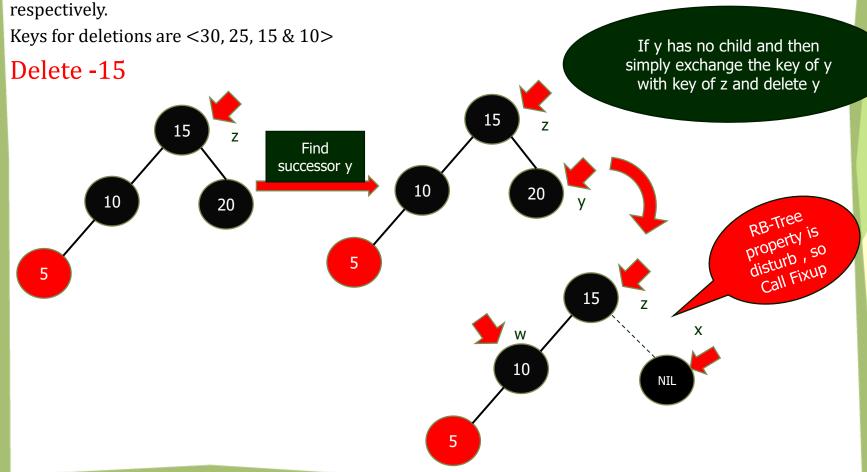


If y has no child and then simply exchange the key of y with key of z and delete y

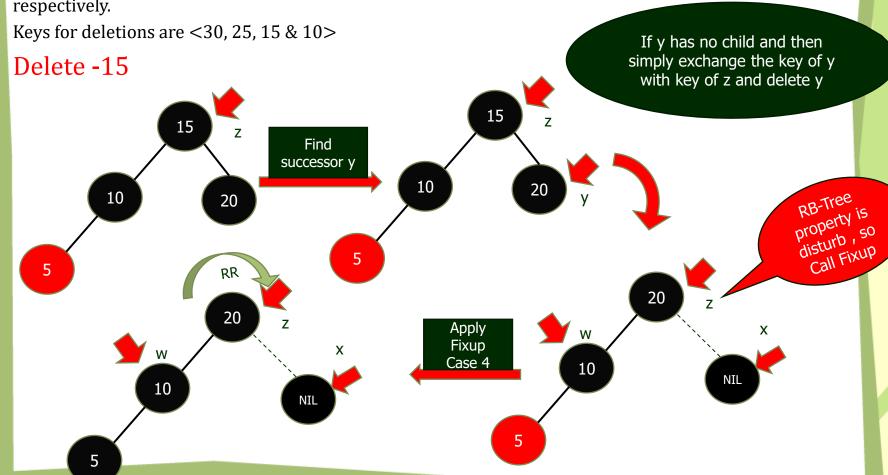
Insertion (Example 2):



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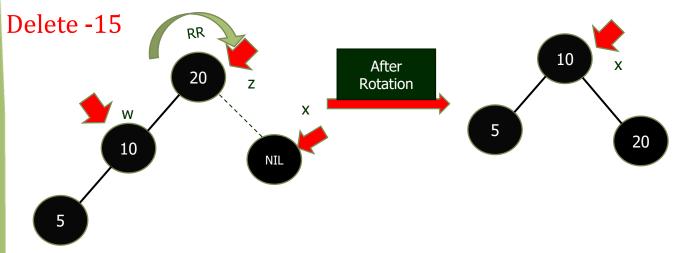
Insertion (Example 2):



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With the help of following Red-black tree perform the deletion operation on the given keys respectively.

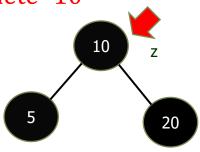
Keys for deletions are <30, 25, 15 & 10>



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With the help of following Red-black tree perform the deletion operation on the given keys respectively.

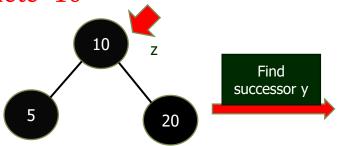
Keys for deletions are <30, 25, 15 & 10>



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With the help of following Red-black tree perform the deletion operation on the given keys respectively.

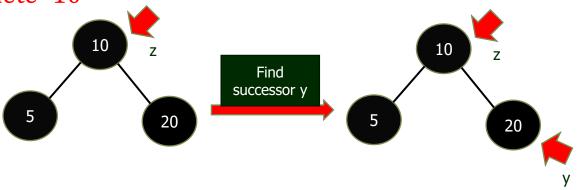
Keys for deletions are <30, 25, 15 & 10>



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With the help of following Red-black tree perform the deletion operation on the given keys respectively.

Keys for deletions are <30, 25, 15 & 10>



Insertion (Example 2):

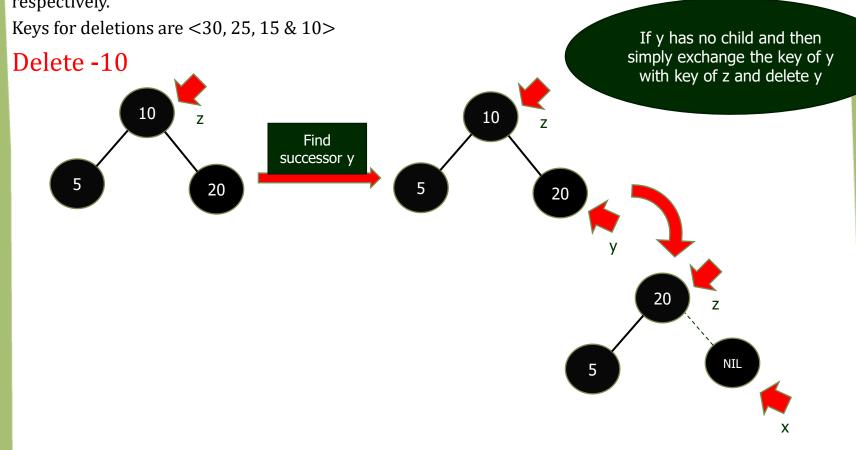
With the help of following Red-black tree perform the deletion operation on the given keys

respectively. Keys for deletions are <30, 25, 15 & 10> Delete -10

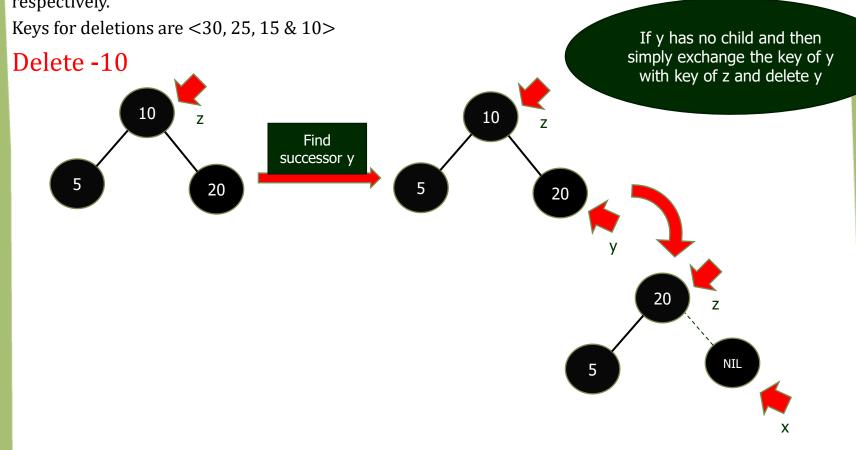
10 10 Find successor y 20

If y has no child and then simply exchange the key of y with key of z and delete y

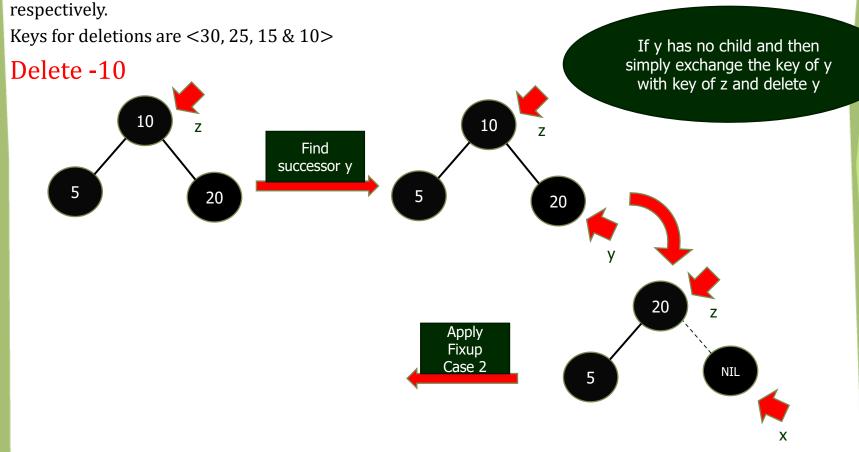
Insertion (Example 2):



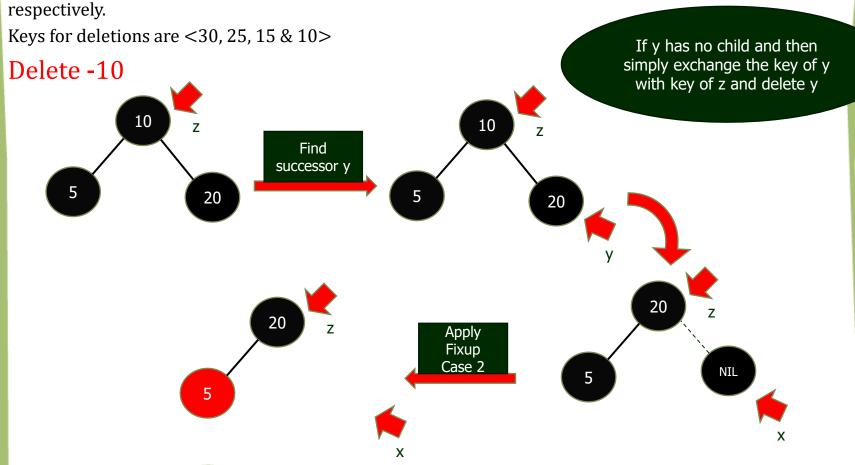
Insertion (Example 2):



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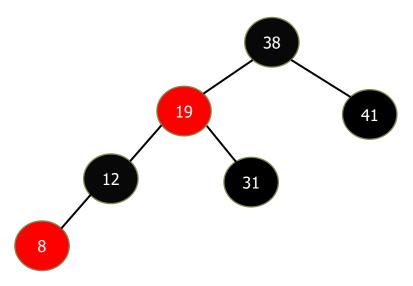
Insertion (Example 2):



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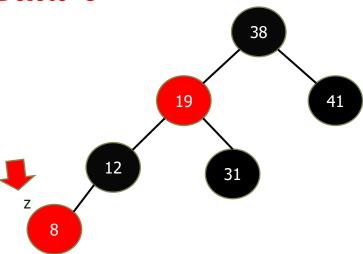
Keys for deletions are <8, 12, 19, 31 38 & 41>



Insertion (Example 3):

With the help of following Red-black tree perform the deletion operation on the given keys respectively.

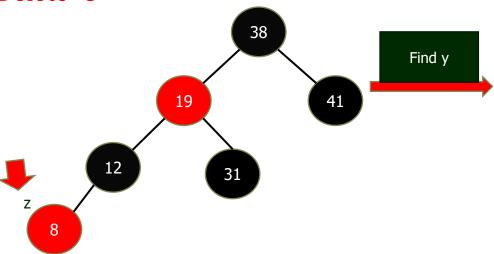
Keys for deletions are <8, 12, 19, 31 38 & 41>



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With the help of following Red-black tree perform the deletion operation on the given keys respectively.

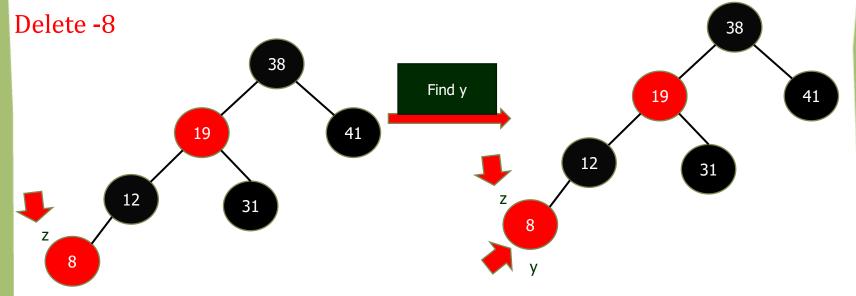
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Keys for deletions are <8, 12, 19, 31 38 & 41>

Delete -8

19

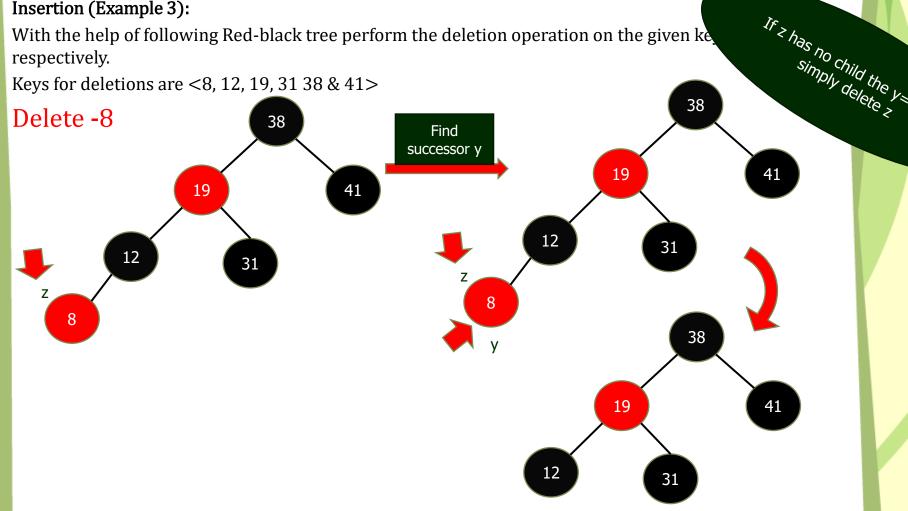
38

Find y

19

31

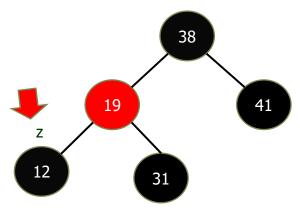
Insertion (Example 3):



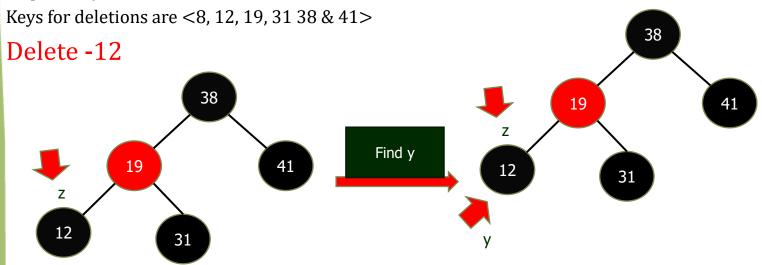
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With the help of following Red-black tree perform the deletion operation on the given keys respectively.

Keys for deletions are <8, 12, 19, 31 38 & 41>

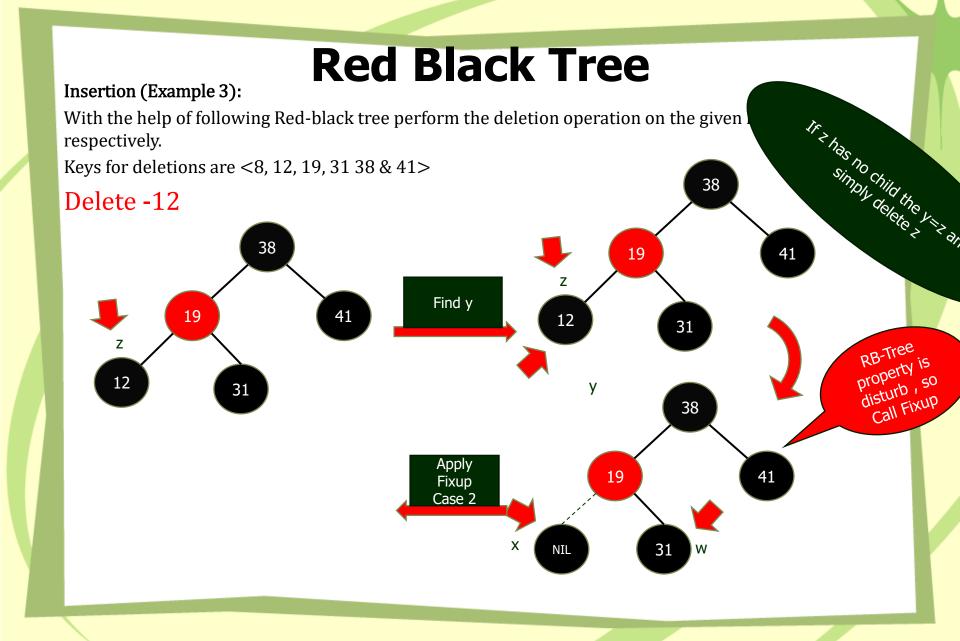


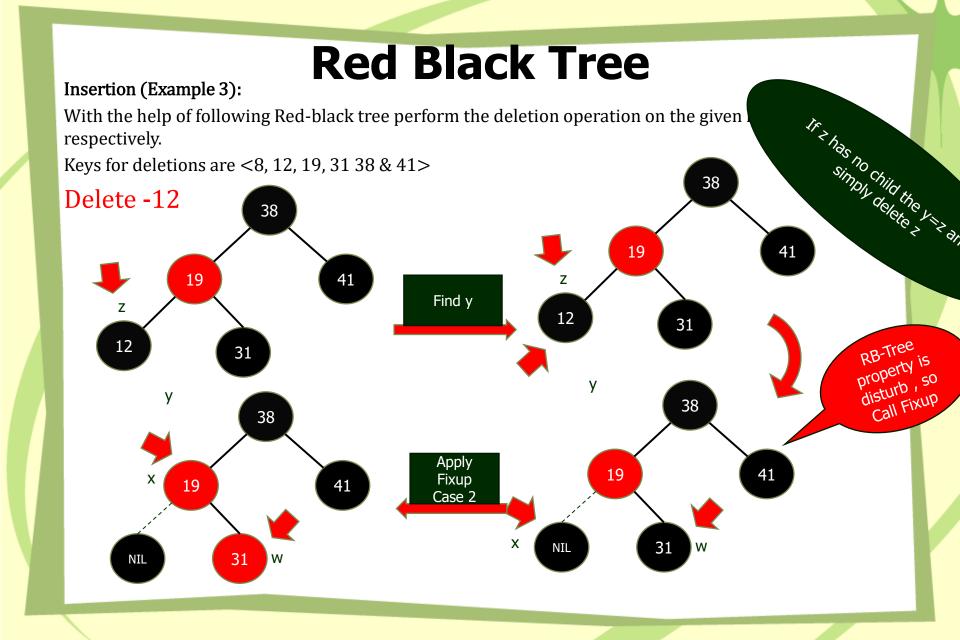
Insertion (Example 3):



Red Black Tree Insertion (Example 3): If 2 has no child the simply delete 2 an With the help of following Red-black tree perform the deletion operation on the give respectively. Keys for deletions are <8, 12, 19, 31 38 & 41> 38 Delete -12 38 19 41 Find successor y 19 12 31 12 31

Red Black Tree Insertion (Example 3): If 2 has no child the simply delete 2 let a With the help of following Red-black tree perform the deletion operation on the given respectively. Keys for deletions are <8, 12, 19, 31 38 & 41> 38 Delete -12 38 19 Find successor y 19 12 31 31 38 19 41 31 NIL

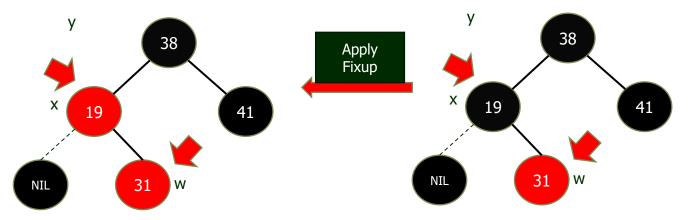




Insertion (Example 3):

With the help of following Red-black tree perform the deletion operation on the given keys respectively.

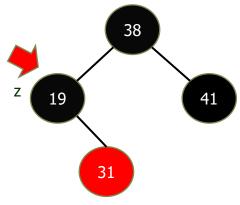
Keys for deletions are <8, 12, 19, 31 38 & 41>



Insertion (Example 3):

With the help of following Red-black tree perform the deletion operation on the given keys respectively.

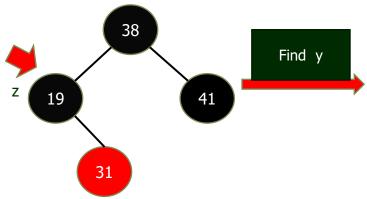
Keys for deletions are <8, 12, 19, 31 38 & 41>



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With the help of following Red-black tree perform the deletion operation on the given keys respectively.

Keys for deletions are <8, 12, 19, 31 38 & 41>

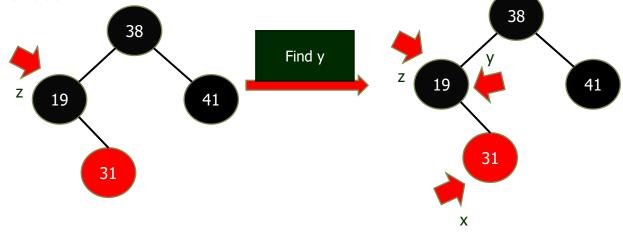


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Keys for deletions are <8, 12, 19, 31 38 & 41>

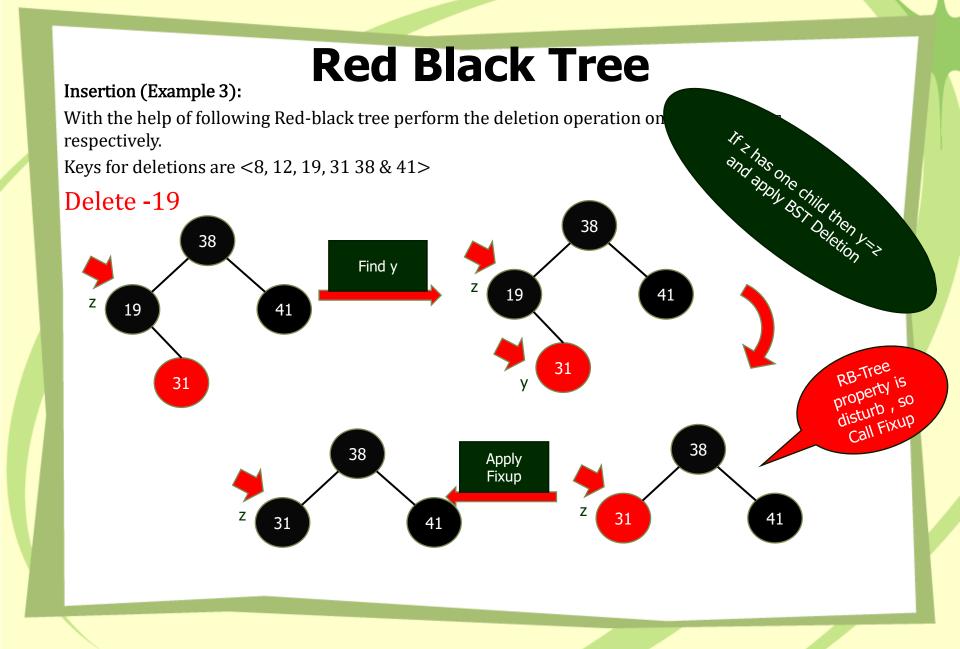
Delete -19



and apply strild then the

Red Black Tree Insertion (Example 3): With the help of following Red-black tree perform the deletion operation on respectively. Keys for deletions are <8, 12, 19, 31 38 & 41> Delete -19 38 38 Find y 19 41 19 41 31 31 38

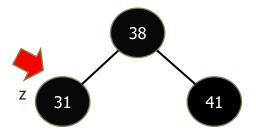
Red Black Tree Insertion (Example 3): With the help of following Red-black tree perform the deletion operation on respectively. Keys for deletions are <8, 12, 19, 31 38 & 41> Delete -19 38 38 Find y 41 19 19 41 31 property is disturb , so Call Fixup 31 38



Insertion (Example 3):

With the help of following Red-black tree perform the deletion operation on the given keys respectively.

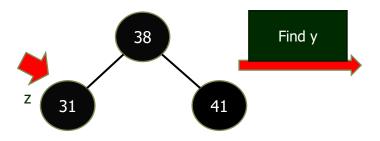
Keys for deletions are <8, 12, 19, 31 38 & 41>



Insertion (Example 3):

With the help of following Red-black tree perform the deletion operation on the given keys respectively.

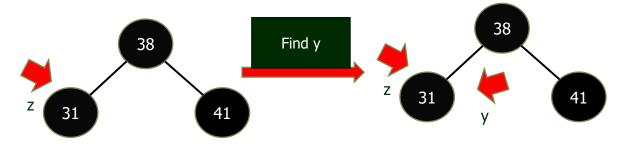
Keys for deletions are <8, 12, 19, 31 38 & 41>



Insertion (Example 3):

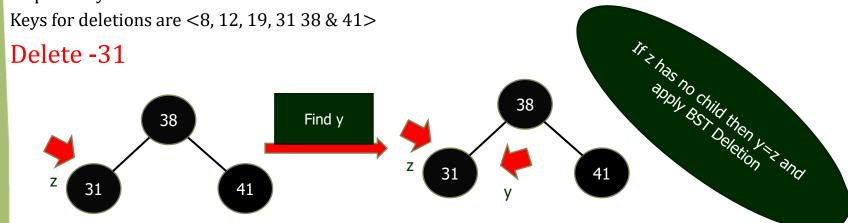
With the help of following Red-black tree perform the deletion operation on the given keys respectively.

Keys for deletions are <8, 12, 19, 31 38 & 41>



Insertion (Example 3):

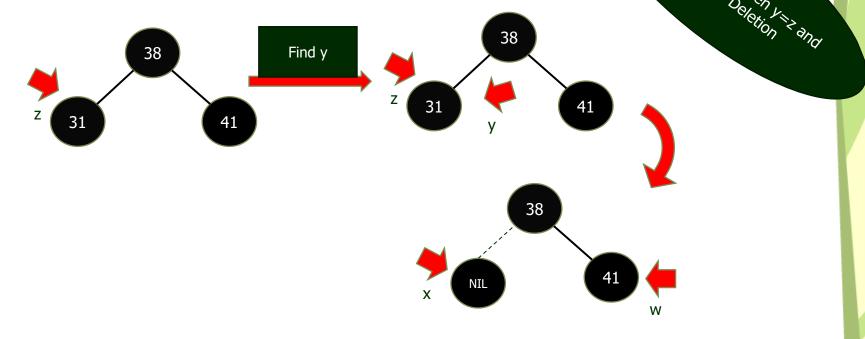
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With the help of following Red-black tree perform the deletion operation on respectively.

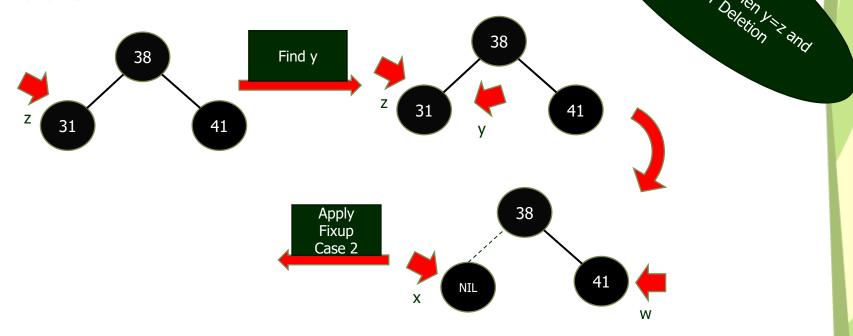
Keys for deletions are <8, 12, 19, 31 38 & 41>



Insertion (Example 3):

With the help of following Red-black tree perform the deletion operation on respectively.

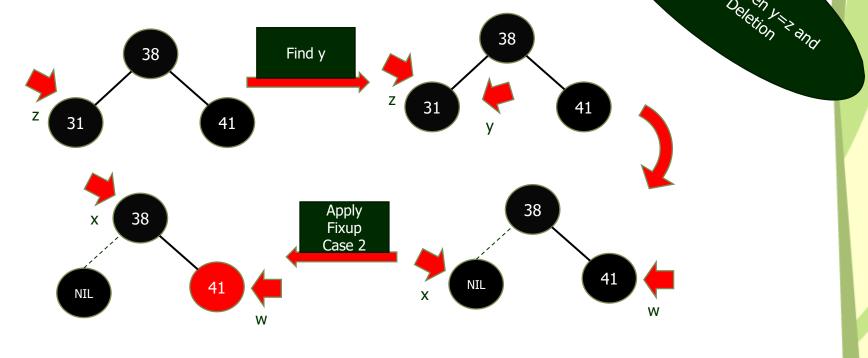
Keys for deletions are <8, 12, 19, 31 38 & 41>



Insertion (Example 3):

With the help of following Red-black tree perform the deletion operation on respectively.

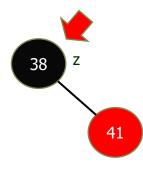
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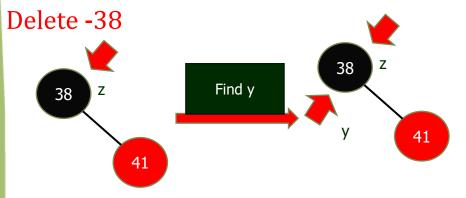


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With the help of following Red-black tree perform the deletion operation on the given keys

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Keys for deletions are <8, 12, 19, 31 38 & 41>



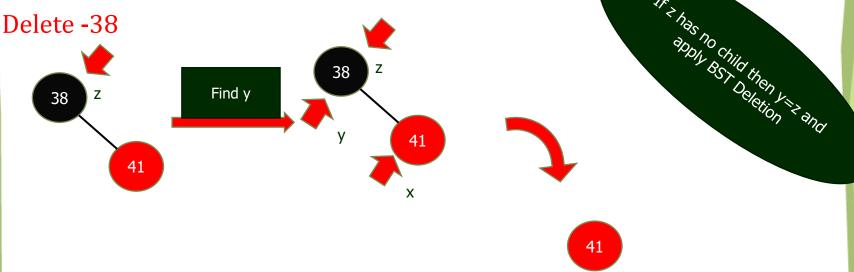


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Insertion (Example 3):

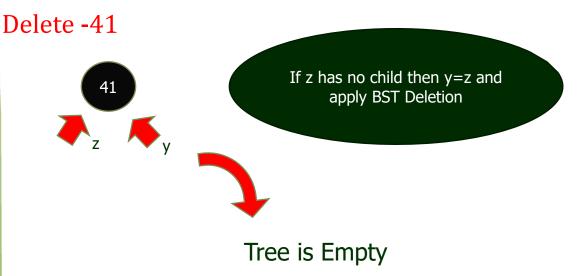
With the help of following Red-black tree perform the deletion operation on the given keys

respectively. Keys for deletions are <8, 12, 19, 31 38 & 41> Delete -38 38 Find y 41

Insertion (Example 3):

With the help of following Red-black tree perform the deletion operation on the given keys respectively.

Keys for deletions are <8, 12, 19, 31 38 & 41>



<u>Lemma</u>

A red-black tree with n internal nodes has $height \le 2 \lg(n + 1)$.

Proof:

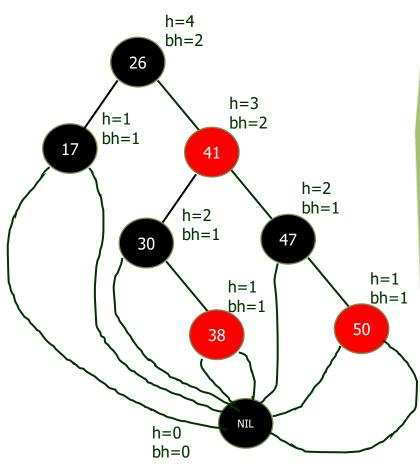
The Proof is based on two number of claims:

- Claim 1: Any node with height h has black-height $\geq h/2$.
- Claim 2: The subtree rooted at any node x contains $\geq 2^{bh(x)} 1$ internal nodes.

• Claim 1: Any node with height h has black-height $\geq h/2$.

According to property 4(i.e. If a node is red, then both its children are black), at least half of the nodes on any simple path from root to leaf node, excluding root node must be black.

Hence, any node(x) with height h has $bh(x) \ge h/2$ (i.e. h/2 number of black node.)



proved

Claim 2:

The subtree rooted at any node x contains at least $2^{bh(x)} - 1$ internal nodes (i.e. the nodes who bearing keys only).

Proof by induction method on height of x.

Base case:

When x is a leaf node, h(x)=0 and bh(x)=0.

Hence the internal node of x is $= 2^{bh(x)} - 1$ = $2^0 - 1$ = 1-1=0

Induction hypothesis:

Consider a node x that has a positive height and is an internal node with two children of left and right.

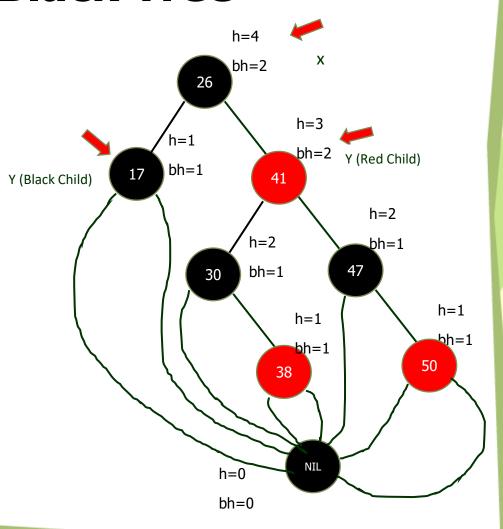
Each children has a black height of either bh(x) or bh(x)-1 depending on weather it's color is red or black respectively.

For Example:

Let bh(x)=b,

Then bh(y)=b if y is a red child of x

bh(y)=b-1 if y is a black child of x.



Since,

By using the inductive hypothesis, we can conclude that each child has at least $2^{bh(x)-1}-1$ internal node. Thus , the subtree rooted at x contain at least

$$(2^{bh(x)-1}-1) + (2^{bh(x)-1}-1) + 1 = 2^{bh(x)} - 1$$
 internal nodes.

Which proves the claim 2.

So as per the definition of claim 1, the bh(root) must be at least h/2.

Hence
$$\Rightarrow$$
 n $\geq 2^{bh(x)} - 1$
 \Rightarrow n $\geq 2^{h/2} - 1$ (as bh(x)=h/2) [claim 1]
 \Rightarrow n+1 $\geq 2^{h/2}$

Apply log both side

$$\Rightarrow \log(n+1) \ge \log 2^{h/2}$$

$$\Rightarrow \log(n+1) \ge h/2 \log 2$$

$$\Rightarrow \log(n+1) \ge h/2$$

$$\Rightarrow h \le 2 \log(n+1)$$

Proved

