

The background features a light yellow-green gradient. A large, thick, light green swoosh curves from the top left towards the bottom right. A smaller, thinner green swoosh is positioned below it. Two green starburst shapes are present: one in the top right corner and another in the bottom left corner.

Algorithm Analysis and Design

Growth of Functions

Week 2

Lecture – 4,5, and 6

Overview

- A way to describe behaviour of functions *in the limit*. We're studying **Asymptotic** efficiency.
- Describe *growth* of functions. (i.e. The order of growth of the running time of an algorithm)
- Focus on what's important by abstracting away low-order terms and constant factors.
- How we indicate running times of algorithms.
- A way to compare "sizes" of functions through different notations (**i.e. Asymptotic Notations**):

$$O \approx \leq$$

$$\Omega \approx \geq$$

$$\Theta \approx =$$

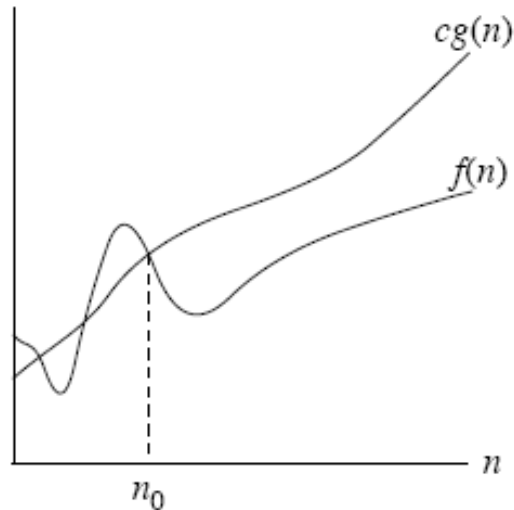
$$o \approx <$$

$$\omega \approx >$$

Asymptotic notation (Big Oh)

O-notation

$O(g(n)) = \{f(n) : \text{there exist positive constants } c \text{ and } n_0 \text{ such that } 0 \leq f(n) \leq cg(n) \text{ for all } n \geq n_0\}.$



- Another view, probably easier to use i.e.
 $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} \leq c, \text{ where } c > 0 \text{ and } n_0 \geq 1$

$g(n)$ is an *asymptotic upper bound* for $f(n)$.

If $f(n) \in O(g(n))$, we write $f(n) = O(g(n))$ (will precisely explain this soon).

Asymptotic notation (Big Oh)

Example: $2n^2 = O(n^3)$, with $c = 1$ and $n_0 = 2$.

Examples of functions in $O(n^2)$:

$$n^2$$

$$n^2 + n$$

$$n^2 + 1000n$$

$$1000n^2 + 1000n$$

Also,

$$n$$

$$n/1000$$

$$n^{1.99999}$$

$$n^2 / \lg \lg \lg n$$

Asymptotic notation (Big Oh)

Example 1

Prove that $f(n) = 2n + 3 \in O(n)$

Asymptotic notation (Big Oh)

Example 1

Prove that $f(n) = 2n + 3 \in O(n)$

$$\Rightarrow 2n + 3 \leq cg(n)$$

$$\Rightarrow 2n + 3 \leq c(n)$$

$$\Rightarrow 2n + 3 \leq 5n, \quad n \geq 1$$

Hence $f(n) = O(n)$

For, $f(n) = O(n^2)$ is also true

For, $f(n) = O(2^n)$ is also true

But for, $f(n) = O(\lg n)$ is not true

Because

$$1 < \lg n < \sqrt{n} < n < n^2 < n^3 < \dots < 2^n < 3^n < \dots < n^n$$

Asymptotic notation (Big Oh)

Example 2

Prove that $f(n) = 2n^2 + 3n + 4 \in O(n^2)$

Asymptotic notation (Big Oh)

Example 2

Prove that $f(n) = 2n^2 + 3n + 4 \in O(n^2)$

$$\Rightarrow 2n^2 + 3n + 4 \leq 2n^2 + 3n^2 + 4n^2$$

$$\Rightarrow 2n^2 + 3n + 4 \leq 11n^2 \text{ , where } c = 11 \text{ and } n \geq 1$$

Hence $f(n) = O(n^2)$

Asymptotic notation (Big Oh)

Example 3

If $f(n) = 2^{n+1}$ and $g(n) = 2^n$ the prove that $f(n) \in O(g(n))$

Asymptotic notation (Big Oh)

Example 3

If $f(n) = 2^{n+1}$ and $g(n) = 2^n$ then prove that $f(n) \in O(g(n))$

$$\Rightarrow 2^{n+1} = 2^n \cdot 2$$

So, as per the definition of Big Oh

$$f(n) \leq cg(n)$$

Hence

$$\Rightarrow 2^{n+1} \leq 2^n \cdot 2$$

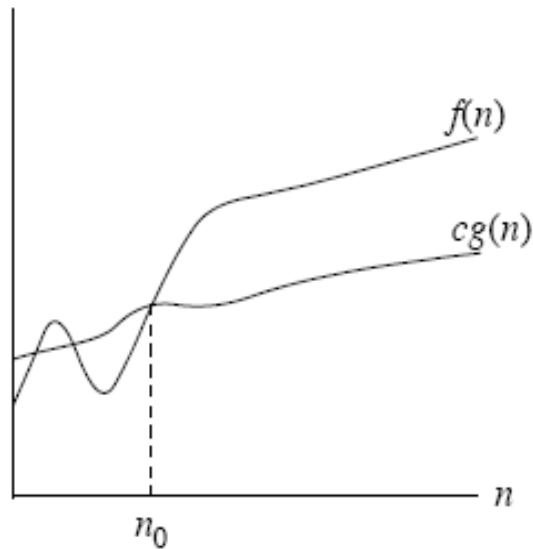
$$\Rightarrow 2^{n+1} \leq 2 \cdot 2^n \text{ for all } n \geq 1 \text{ and } c > 0$$

Hence, $f(n) \in O(g(n))$

Asymptotic notation (Big Omega)

Ω -notation

$\Omega(g(n)) = \{f(n) : \text{there exist positive constants } c \text{ and } n_0 \text{ such that}$
 $0 \leq cg(n) \leq f(n) \text{ for all } n \geq n_0\} .$



- Another view, probably easier to use i.e.

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} \geq c, \text{ where } c > 0 \text{ and } n_0 \geq 1$$

$g(n)$ is an *asymptotic lower bound* for $f(n)$.

Asymptotic notation (Big Omega)

Example: $\sqrt{n} = \Omega(\lg n)$, with $c = 1$ and $n_0 = 16$.

Examples of functions in $\Omega(n^2)$:

$$n^2$$

$$n^2 + n$$

$$n^2 - n$$

$$1000n^2 + 1000n$$

$$1000n^2 - 1000n$$

Also,

$$n^3$$

$$n^{2.00001}$$

$$n^2 \lg \lg \lg n$$

$$2^{2^n}$$

Asymptotic notation (Big Omega)

Example 4

Prove that $f(n) = 2n^2 + 3n + 4 \in \Omega(n^2)$

Asymptotic notation (Big Omega)

Example 4

Prove that $f(n) = 2n^2 + 3n + 4 \in \Omega(n^2)$

$$\Rightarrow 2n^2 + 3n + 4 \geq 1 * n^2$$

Hence $f(n) = \Omega(n^2)$ where $c = 1$ and $n \geq 1$

Asymptotic notation (Big Omega)

Example 5

If $f(n) = 3n + 2$, $g(n) = n^2$ show that $f(n) \notin \Omega(g(n))$

Asymptotic notation (Big Omega)

Example 5

If $f(n) = 3n + 2$, $g(n) = n^2$ show that $f(n) \notin \Omega(g(n))$

$$\Rightarrow \lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} > 0$$

$$\Rightarrow \lim_{n \rightarrow \infty} \frac{3n + 2}{n^2} > 0$$

$$\Rightarrow \lim_{n \rightarrow \infty} \frac{n \left(3 + \frac{2}{n} \right)}{n^2} > 0$$

$$\Rightarrow \lim_{n \rightarrow \infty} \frac{\left(3 + \frac{2}{n} \right)}{n} > 0$$

$$\Rightarrow 0 > 0 \text{ is false, Hence } f(n) \notin \Omega(g(n))$$

Asymptotic notation (Big Omega)

Example 6

If $f(n) = 2^n + n^2$ and $g(n) = 2^n$ show that $f(n) \in \Omega(g(n))$

Asymptotic notation (Big Omega)

Example 6

If $f(n) = 2^n + n^2$ and $g(n) = 2^n$ show that $f(n) \in \Omega(g(n))$

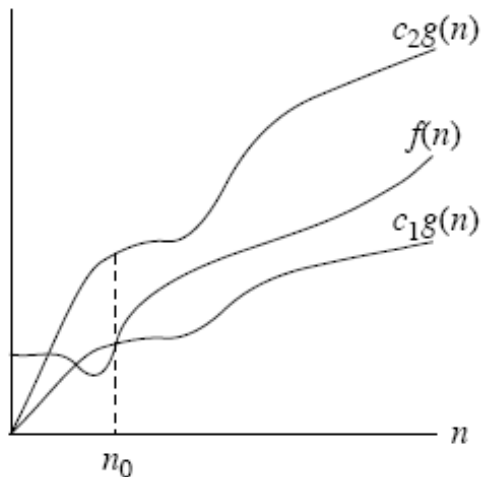
$\Rightarrow 2^n + n^2 > 2^n$ for all $n \geq 1$ and $c = 1$

Hence, $f(n) \in \Omega(g(n))$ is true

Asymptotic notation (Theta)

Θ -notation

$\Theta(g(n)) = \{f(n) : \text{there exist positive constants } c_1, c_2, \text{ and } n_0 \text{ such that}$
 $0 \leq c_1g(n) \leq f(n) \leq c_2g(n) \text{ for all } n \geq n_0\}.$



- Another view, probably easier to use i.e.

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = c, \text{ where } c > 0 \text{ and } n_0 \geq 1$$

$g(n)$ is an *asymptotically tight bound* for $f(n)$.

Example: $n^2/2 - 2n = \Theta(n^2)$, with $c_1 = 1/4$, $c_2 = 1/2$, and $n_0 = 8$.

Asymptotic notation (Theta)

Example 7

Show that $f(n) = 10n^3 + 5n^2 + 17 \in \Theta(n^3)$

Asymptotic notation (Theta)

Example 7

Show that $f(n) = 10n^3 + 5n^2 + 17 \in \Theta(n^3)$

As per the definition of θ notation $C_1g(n) \leq f(n) \leq C_2g(n)$

$$\Rightarrow 10n^3 \leq 10n^3 + 5n^2 + 171 < 10n^3 + 5n^3 + 17n^3$$

$$\Rightarrow 10n^3 \leq 10n^3 + 5n^2 + 171 < 32n^3$$

So, $C_1 = 10$ and $C_2 = 32$ for all $n \geq 1$

Hence, Proved

Asymptotic notation (Theta)

Example 8

Show that $f(n) = (n + a)^b \in \Theta(n^b)$

Asymptotic notation (Theta)

Example 8

Show that $f(n) = (n + a)^b \in \Theta(n^b)$

As per the definition of θ notation $\Rightarrow \lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = c$ for all $n \geq 1$ and $c > 0$

$$\Rightarrow \lim_{n \rightarrow \infty} \frac{(n + a)^b}{n^b}$$

$$\Rightarrow \lim_{n \rightarrow \infty} \frac{n^b \left(1 + \frac{a}{n}\right)^b}{n^b}$$

$$\Rightarrow \lim_{n \rightarrow \infty} \left(1 + \frac{a}{n}\right)^b \quad \therefore \frac{a}{\infty} = 0$$

$\Rightarrow 1$ which is a constant

Hence, $f(n) = (n + a)^b \in \Theta(n^b)$ is true

Asymptotic notation (Little Oh)

o-notation

$o(g(n)) = \{f(n) : \text{for all constants } c > 0, \text{ there exists a constant } n_0 > 0 \text{ such that } 0 \leq f(n) < cg(n) \text{ for all } n \geq n_0\} .$

Another view, probably easier to use: $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0.$

$$n^{1.9999} = o(n^2)$$

$$n^2 / \lg n = o(n^2)$$

$$n^2 \neq o(n^2) \text{ (just like } 2 \neq 2)$$

$$n^2 / 1000 \neq o(n^2)$$

Asymptotic notation (Little Oh)

Example 9

If $f(n) = 2n$, $g(n) = n^2$ Prove that $f(n) = o(g(n))$

Asymptotic notation (Little Oh)

Example 9

If $f(n) = 2n$, $g(n) = n^2$ Prove that $f(n) = o(g(n))$

$$\Rightarrow \lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0$$

$$\Rightarrow \lim_{n \rightarrow \infty} \frac{2n}{n^2}$$

$$\Rightarrow \lim_{n \rightarrow \infty} \frac{2}{n}$$

$$\Rightarrow 0$$

Which is True, Hence $f(n) = o(g(n))$

Asymptotic notation (Little Oh)

Example 10

If $f(n) = 2n^2$, $g(n) = n^2$ Prove that $f(n) \neq o(g(n))$

Asymptotic notation (Little Oh)

Example 10

If $f(n) = 2n^2$, $g(n) = n^2$ Prove that $f(n) \neq o(g(n))$

$$\Rightarrow \lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0$$

$$\Rightarrow \lim_{n \rightarrow \infty} \frac{2n^2}{n^2}$$

$$\Rightarrow \lim_{n \rightarrow \infty} 2$$

$$\Rightarrow 2 \neq 0$$

Which is True, Hence $f(n) \neq o(g(n))$

Asymptotic notation (Little omega)

ω -notation

$\omega(g(n)) = \{f(n) : \text{for all constants } c > 0, \text{ there exists a constant } n_0 > 0 \text{ such that } 0 \leq cg(n) < f(n) \text{ for all } n \geq n_0\}.$

Another view, again, probably easier to use: $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \infty.$

$$n^{2.0001} = \omega(n^2)$$

$$n^2 \lg n = \omega(n^2)$$

$$n^2 \neq \omega(n^2)$$

Asymptotic notation (Little omega)

Example 11

If $f(n) = 2n^2 + 16$ and $g(n) = n^2$ show that $f(n) \neq \omega(g(n))$

Asymptotic notation (Little omega)

Example 11

If $f(n) = 2n^2 + 16$ and $g(n) = n^2$ show that $f(n) \neq \omega(g(n))$

$$\Rightarrow \lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \infty$$

$$\Rightarrow \lim_{n \rightarrow \infty} \frac{n^2 \left(2 + \frac{16}{n^2}\right)}{n^2}$$

$$\Rightarrow \lim_{n \rightarrow \infty} \left(2 + \frac{16}{n^2}\right)$$

$$\Rightarrow \lim_{n \rightarrow \infty} (2 + 0)$$

$$\Rightarrow \lim_{n \rightarrow \infty} 2$$

So $2 \neq \infty$ is true , Hence $f(n) \neq \omega(g(n))$

Asymptotic notation (Little Oh omega)

Example 12

If $f(n) = n^2$ and $g(n) = \log n$ show that $f(n) \in \omega(g(n))$

Asymptotic notation (Little Oh omega)

Example 12

If $f(n) = n^2$ and $g(n) = \log n$ show that $f(n) \in \omega(g(n))$

$$\Rightarrow \lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \infty$$

$$\Rightarrow \lim_{n \rightarrow \infty} \frac{n^2}{\log n}$$

Apply L Hospital Rule

$$\Rightarrow \lim_{n \rightarrow \infty} \frac{2n}{1/n}$$

$$\Rightarrow \lim_{n \rightarrow \infty} 2n^2$$

$$\Rightarrow \lim_{n \rightarrow \infty} \infty$$

Which is true as per ω – notation, Hence $f(n) \in \omega(g(n))$

Comparisons of functions

Transitivity:

$f(n) = \Theta(g(n))$ and $g(n) = \Theta(h(n)) \Rightarrow f(n) = \Theta(h(n))$.
Same for O , Ω , o , and ω .

Reflexivity:

$f(n) = \Theta(f(n))$.
Same for O and Ω .

Symmetry:

$f(n) = \Theta(g(n))$ if and only if $g(n) = \Theta(f(n))$.

Transpose symmetry:

$f(n) = O(g(n))$ if and only if $g(n) = \Omega(f(n))$.
 $f(n) = o(g(n))$ if and only if $g(n) = \omega(f(n))$.

Comparisons:

- $f(n)$ is *asymptotically smaller* than $g(n)$ if $f(n) = o(g(n))$.
- $f(n)$ is *asymptotically larger* than $g(n)$ if $f(n) = \omega(g(n))$.

No trichotomy. Although intuitively, we can liken O to \leq , Ω to \geq , etc., unlike real numbers, where $a < b$, $a = b$, or $a > b$, we might not be able to compare functions.

Example: $n^{1+\sin n}$ and n , since $1 + \sin n$ oscillates between 0 and 2.

Standard notations and common functions

Monotonicity

- $f(n)$ is *monotonically increasing* if $m \leq n \Rightarrow f(m) \leq f(n)$.
- $f(n)$ is *monotonically decreasing* if $m \geq n \Rightarrow f(m) \geq f(n)$.
- $f(n)$ is *strictly increasing* if $m < n \Rightarrow f(m) < f(n)$.
- $f(n)$ is *strictly decreasing* if $m > n \Rightarrow f(m) > f(n)$.

Exponentials

Useful identities:

$$a^{-1} = 1/a ,$$

$$(a^m)^n = a^{mn} ,$$

$$a^m a^n = a^{m+n} .$$

Can relate rates of growth of polynomials and exponentials: for all real constants a and b such that $a > 1$,

$$\lim_{n \rightarrow \infty} \frac{n^b}{a^n} = 0 ,$$

which implies that $n^b = o(a^n)$.

A suprisingly useful inequality: for all real x ,

$$e^x \geq 1 + x .$$

As x gets closer to 0, e^x gets closer to $1 + x$.

Logarithms

Notations:

$$\lg n = \log_2 n \quad (\text{binary logarithm}) ,$$

$$\ln n = \log_e n \quad (\text{natural logarithm}) ,$$

$$\lg^k n = (\lg n)^k \quad (\text{exponentiation}) ,$$

$$\lg \lg n = \lg(\lg n) \quad (\text{composition}) .$$

Logarithm functions apply only to the next term in the formula, so that $\lg n + k$ means $(\lg n) + k$, and *not* $\lg(n + k)$.

In the expression $\log_b a$:

- If we hold b constant, then the expression is strictly increasing as a increases.
- If we hold a constant, then the expression is strictly decreasing as b increases.

Useful identities for all real $a > 0$, $b > 0$, $c > 0$, and n , and where logarithm bases are not 1:

$$a = b^{\log_b a},$$

$$\log_c(ab) = \log_c a + \log_c b,$$

$$\log_b a^n = n \log_b a,$$

$$\log_b a = \frac{\log_c a}{\log_c b},$$

$$\log_b(1/a) = -\log_b a,$$

$$\log_b a = \frac{1}{\log_a b},$$

$$a^{\log_b c} = c^{\log_b a}.$$

Factorials

$n! = 1 \cdot 2 \cdot 3 \cdot \dots \cdot n$. Special case: $0! = 1$.

Can use *Stirling's approximation*,

$$n! = \sqrt{2\pi n} \left(\frac{n}{e}\right)^n \left(1 + \Theta\left(\frac{1}{n}\right)\right),$$

to derive that $\lg(n!) = \Theta(n \lg n)$.

Thank u