

CS18 BTECH11042  
Shreyas Jayant Hawaldar

## Assignment - 6

Q. To prove that every three-uniform hypergraph with  $n$  vertices and  $m \geq n/3$  edges contains an independent set of size at least -

$$\frac{2n^{3/2}}{3\sqrt{3} \cdot \sqrt{m}}$$

Ans) Let the 3-uniform hypergraph be  $H=(V, E)$  where  $|V|=n$  and  $|E|=m$ .

Creating a set  $S$ , and choosing vertices from  $V$ , independently and at random to put them into set  $S$ , with probability  $p$ . ( $\Pr(v \in S) = p$ ) ( $\forall v \in V$ )

$$X_v = \begin{cases} 1, & \text{if } v \text{ is chosen into } S \\ 0, & \text{otherwise} \end{cases}$$

$$\Pr[X_v] = p, \quad E[X_v] = p \cdot 1 + 0 = p. \quad -i)$$

( $X_v$  is a random variable defined for every vertex  $v$  in  $V$ , to indicate whether  $v \in S$  or not).

Defining another random variable  $X$ , to denote the size of  $S$ . (number of vertices in  $S$ )



$$X = |S| = \sum_{v \in V} X_v, \quad E[X] = E\left[\sum_{v \in V} X_v\right]$$

$$E[X] = \sum_{v \in V} E[X_v], \quad \text{using by linearity of expectation.}$$

$$\therefore E[X] = \sum_{v \in V} p \quad (\text{from i})$$

$$\therefore E[X] = np \quad (\text{as } |V| = n) \quad - \text{ii}$$

Therefore the expected size of set  $S = np$ .

Defining another random variable  $Y_e$ , for every edge  $e \in E$ , such that

$$Y_e = \begin{cases} 1, & \text{if all 3 vertices of edge } e \text{ are chosen into } S. \\ 0, & \text{otherwise.} \end{cases}$$

$\therefore$  If  $Y_e = 1$ , (for any  $e$ ) an edge  $e = \{u, v, w\}$  exists in the <sup>edge</sup> set of  $S$  and it can't be an independent set.

$\text{Pr}[\text{all 3 vertices of edge } e \text{ chosen into } S]$

$$= \text{Pr}(u \in S) \cdot \text{Pr}(v \in S) \cdot \text{Pr}(w \in S)$$

$$= p \cdot p \cdot p = p^3.$$

$$E[Y_e] = p^3 \cdot 1 + 0 = p^3 \quad - \text{iii}$$



Defining another random variable  $Y$ , to denote the number of edges present in  $S$ .

$$Y = \sum_{e \in E} Y_e, \quad E[Y] = E\left[\sum_{e \in E} Y_e\right]$$

$$E[Y] = \sum_{e \in E} E[Y_e], \quad \text{By using linearity of expectation.}$$

$$E[Y] = \sum_{e \in E} p^3 \quad (\text{from iii})$$

$$E[Y] = mp^3 \quad (\text{as } |E| = m) \quad \text{--- iv}$$

Now we know  $S$  contains non-zero number of edges (for non-empty  $S, H$ ), and thus  $S$  is not an independent  $S$ . If we remove one vertex each from all the edges present in  $S$ , no three vertices constituting an edge would still be present in  $S$ , thus we would create an independent set.

Defining another random variable  $Z$ , to denote the size of the independent set formed after the above <sup>alteration</sup> operation.

$$Z = X - Y \rightarrow \text{no. of vertices in } S \quad \text{no. of edges in } S$$

$$E[Z] = E[X - Y]$$

$$\Rightarrow E[Z] = E[X] - E[Y], \quad \text{using linearity of expectation}$$



$$E[Z] = np - mp^3 \text{ (from ii) \& iv)} - v)$$

To find the value of 'p' for which,  $E[Z]$ , that is the size of the independent set is maximised, differentiating  $v)$  w.r.t  $p$ , and equating to 0.

$$\Rightarrow n - 3mp^2 = 0$$

$$\Rightarrow n = 3mp^2 \Rightarrow$$

$$p^2 = \frac{n}{3m}$$

As we know  $m \geq n/3$ ,

( $p^2 \leq 1$ )

So no contradiction

$$\Rightarrow p = \sqrt{\frac{n}{3m}}$$

(Only positive square root possible as probability value is necessarily positive)

(Maxima at  $p = \sqrt{n/3m}$ )

as 2<sup>nd</sup> derivative negative at this value.

$$\text{Substituting in } v), E[Z] = n \sqrt{\frac{n}{3m}} - m \left( \sqrt{\frac{n}{3m}} \right)^3$$

$$E[Z] = \frac{n^{3/2}}{\sqrt{3} \cdot \sqrt{m}} - \frac{m \cdot n^{3/2}}{3^{3/2} \cdot m^{3/2}} = \frac{n^{3/2}}{\sqrt{3} \cdot \sqrt{m}} \left( 1 - \frac{1}{3} \right)$$

$$E[Z] = \frac{2n^{3/2}}{\sqrt{3} \cdot \sqrt{m}} \text{ — } vi)$$

As expected value of size of independent set in  $H$  is  $\frac{2n^{3/2}}{\sqrt{3} \cdot \sqrt{m}}$ , there exists some independent set of size at least  $\frac{2n^{3/2}}{\sqrt{3} \cdot \sqrt{m}}$  under the given conditions (as otherwise expected value would have been smaller)

Hence Proved!