

MUD – RFC 8520

Conformance Test Specifications

Technical Document

Revision 0.96

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Test Selection Table

| Testcase | RFC8520 Clause | Description | Mandatory/Optional |
|-----------------|---------------------------|--|--------------------|
| 1.1.1 – A, B, C | Clause 1.5, 10, 11, 12 | The DUT MAY emit MUD URL via any or all the three main means: DHCP (v4 or/and v6), LLDP Frame and Certificate. | Mandatory |
| 1.1.2 - A | Clause 10.1 | The emitted MUD URL MUST be consistency across all methods used by the DUT. | Mandatory |
| 1.1.3 – A | Clause 1.5, 6, 10, 11, 12 | The length of emitted MUD URL by the DUT MUST have a valid length. The MUD URL string MUST starts with “https” and have a valid structure as described in [RFC3986]. | Mandatory |
| 1.1.4 - A | Clause 1.6 | The GET requests to retrieve the MUD file MUST be successful from the emitted MUD URL. | Mandatory |
| 1.1.5 - A | Clause 13.1, 13.2 | The MUD file MUST be signed using DER-encoded CMS with the signature file type as “.p7s” and the signature file could be retrieved . | Mandatory |
| 1.1.6 - A | Clause 13.1, 13.2 | The certificates within the retrieved signature must be verified: The bit “digitalSignature” MUST be set to 0 in the Key Usage Extension pattern; The content of subject in the signing certificate MUST matches the content of the “id-pe-mudsigner” extension in the retrieved X.509 certificate | Mandatory |
| 1.1.6 - B | Clause 11 | The content-type of the signature is expected to have the object identifier “id-ct” equal to 41. | Mandatory |
| 1.1.7 - A | Clause 11, 13.1, 13.2 | The integrity of the digital signature MUST be verified to ensure it is not tampered with. | Mandatory |
| 1.1.7 - B | Clause 11, 13.1, 13.2 | The integrity of the MUD content in the digital signature MUST be verified to ensure it is not tampered with. | Mandatory |
| 1.1.8 - A | Clause 1.6, 13.1 | The intermediate certificate retrieved from the signature of the MUD file MUST be validated. | Mandatory |
| 1.2.1 - A | Clause 1.6, 2. 17 | The retrieved MUD file SHOULD be in JSON format. | Mandatory |
| 1.2.2 - A | Clause 17.5 | The encoding method used by the retrieved MUD file MUST be UTF-8. | Mandatory |
| 1.2.3 - A | Clause 2, 2.1, 3.9 | The nodes used in the retrieved MUD file except the optional nodes defined by the extensions MUST be limited to the list of nodes described in Section 2.1 of [RFC8520]. | Mandatory |
| 1.2.4 - A | Clause 14, 17.8 | All extensions used in the MUD file MUST be declared in the “extension” node list. | Mandatory |

| | | | |
|-----------|------------------|--|-----------|
| 1.2.4 - B | Clause 3.9 | The undeclared extensions MUST NOT be used in the retrieved MUD file. | Mandatory |
| 1.2.5 - A | Clause 14 | All extensions used in the retrieved MUD file SHALL be standardized through the IETF process, i.e. All extensions MUST be referred to published RFC documents. | Mandatory |
| 1.3.1 - A | Clause 3.3, 7 | The retrieved MUD file MUST explicitly declares the “from-device-policy” and “to-device-policy” nodes. | Mandatory |
| 1.3.1 - B | Clause 3.3, 7 | The ACLs in the retrieved MUD file MUST include the correct policy positions, i.e. The ACLs within the “from-device-policy” node must specify communications originated from the DUT and the ACLs within the “to-device-policy” node must specify communications destined to the DUT. | Mandatory |
| 1.3.2 - A | Clause 3.5, 7 | The value of the “cache-validity” node in the retrieved MUD file MUST NOT exceed 168, RECOMMENDED to be no less than 24 and SHOULD be no shorter than the value of HTTP caching directives. | Mandatory |
| 1.3.3 - A | Clause 3.7, 7 | The value of the “systeminfo” node in the retrieved MUD file is in the form of UTF-8 and SHOULD NOT exceed 60 characters worth of display space. | Mandatory |
| 1.3.4 - A | Clause 3.6, 3.8 | The optional field “firmware-rev” and “software-rev” MUST NOT be included in the retrieved MUD file if the Boolean value of the node “is-support” to be True. | Mandatory |
| 1.4.1 - A | Clause 7 | The formats of local addresses used in the ACEs MAY be specified with valid prefixes and masks in the retrieved MUD file. | Optional |
| 1.4.2 - A | Clause 4.6, 17.7 | The URL of the node “controller” MAY take the format as MUD well-known URN or a valid URL form. | Optional |
| 1.5.1 - A | Clause 2 | Each ACL used in the retrieved MUD file SHOULD include the required attributes, which are “name” of the ACL, “type”, “name” of the ACEs, and TCP and UDP source and destination port information. | Mandatory |
| 1.5.2 - A | Clause 7 | Each ACE in the retrieved MUD file SHOULD explicitly specify rules for either inbound or outbound traffic relative to the DUT in its “name” attribute, i.e. the value of the “name” attribute contains either “from” or “to”. | Mandatory |

| | | | |
|-----------|-----------------|---|-----------|
| 1.5.3 - A | Clause 2, 8 | Each ACE that utilizes the DNS extensions in the retrieved MUD file SHOULD specify the endpoint address using domain name, not IP address. | Mandatory |
| 1.5.4 - A | Clause 8.1, 8.2 | All “from-device” ACEs MUST utilize only allowed attributes: “dst-dnsname”, “destination-ipv4-network” or “destination-ipv6-network” for endpoints. | Mandatory |
| 1.5.4 - B | Clause 8.1, 8.2 | All “to-device” ACEs MUST only utilize “src-dnsname”, “source-ipv4-network” or “source-ipv6-network” for endpoints. | Mandatory |
| 1.5.5 - A | Clause 4.8, 9 | The “direction-initiate” MUST only apply to TCP communications, i.e. protocol number=6, in each ACE from the retrieved MUD file. | Mandatory |
| 1.5.6 - A | Clause 2 | Each ACE in the retrieved MUD file SHOULD only specify “accept” or “drop” for the “actions” attribute. | Mandatory |
| 1.5.7 - A | Clause 16 | The number of ACEs in the retrieved MUD file SHOULD be relatively small. | Mandatory |

Definitions

| | |
|-------|-------------------------------------|
| DUT | Device Under Testing |
| MUD | Manufacture Usage Description |
| TLV | Type Length Value |
| ACE | Access Control Entry |
| ACL | Access Control List |
| CA | Certification Authority |
| URN | Universal Resource Name |
| URL | Universal Resource Locator |
| HTTP | Hyper Text Transfer Protocol |
| HTTPS | Hyper Text Transfer Protocol Secure |
| DHCP | Dynamic Host Configuration Protocol |
| TLS | Transport Layer Security |
| LLDP | Link Layer Discovery Protocol |
| UTF | Unicode Text Format |

Common Topology

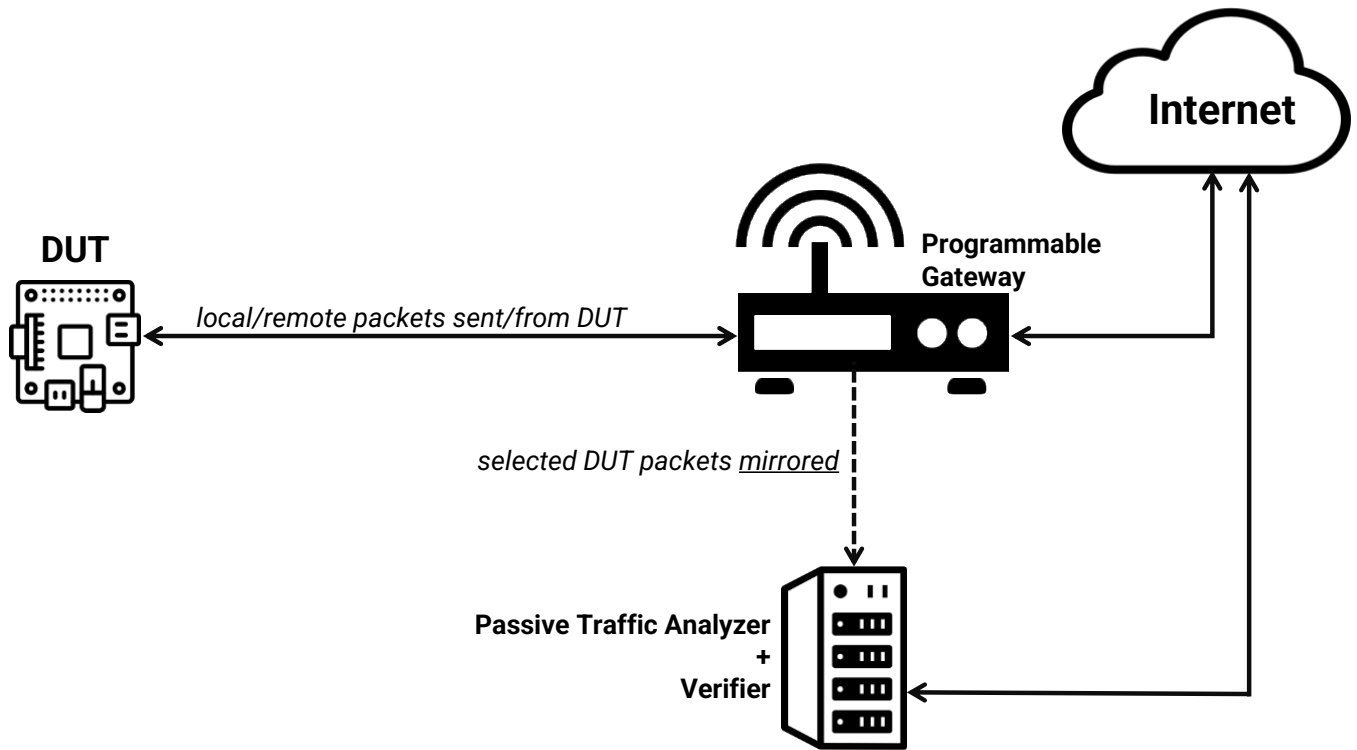


Figure 1: Testbed Setup

General Requirements

- A server with abilities to (a) capture and analyze packets to and from DUT, and (b) verify properties of cloud servers (e.g., storing the MUD file) associated with DUT.
- Network switch/gateway with Wi-Fi and Ethernet interfaces, configured to mirror DUT packets to the Analyzer server.
- DUT has the capability to emit a MUD URL.

Test MUD.1.1.1: Seeking MUD URL String.

Purpose: To verify the ability of DUT to emit a MUD URL string.

References:

- [MUD] – Section 1.5, Section 10, Section 11 and Section 12
- [Internet X.509 Public Key Infrastructure Certificate and Certificate Revocation List (CRL) Profile (RFC5280)] – Section 4.2.2.2

Test Setup: Test setup is performed as per [Common Topology](#)

Procedure:

Part A: DHCP requests

1. Capture DHCPv4 or DHCPv6 packets sent by DUT and seek a MUD URL for option code 161 in DHCPv4 requests or option code 112 in DHCPv6 requests.

Part B: LLDP frames

1. Capture LLDP frames sent by DUT and verify they contain the TLV Type=127, OUI=00 00 5E, subtype=1 and the MUD string as a list of octets.

Part C: X.509 Certificates

1. Capture a certificate presented by DUT (*e.g.*, via TLS protocol), look for an X.509 non-critical extension, and verify if it contains a URL.

Expected Behaviors:

- Part A
Step 1: The MUD URL string can be extracted from DHCPv4 or DHCPv6 requests given the corresponding DHCP option code.
- Part B
Step 1: The MUD URL string can be decoded by extracting octet values in the LLDP frames from DUT. The expected format of LLDP packets is as follows.

| | | | | |
|-------------------------------|-----------------|---------------------------------|-----------------------------|---------------------------|
| TLV Type = 127 (7 bits) | Len (9 bits) | OUI = 00 00 5E (3 octets) | Subtype = 1 (1 octet) | MUD URL (1-255 octets) |
|-------------------------------|-----------------|---------------------------------|-----------------------------|---------------------------|
- Part C
Step 1: The MUD URL string can be extracted from the X.509 non-critical extension of the retrieved certificate.

Possible Problems:

- A manufacturer may choose other means to emit MUD URL. In this case, the usage of protocols and methodology must be declared.

Test MUD.1.1.2: Checking Consistency of Emitted MUD URL Strings.

Purpose: Verify that the MUD URL strings emitted by DUT are identical.

References:

- [MUD] – Section 10.1

Test Setup: Test setup is performed as per [Common Topology](#)

Procedure:

Part A: Compare the extracted MUD URL strings.

1. Capture the generated DHCP requests (v4 and/or v6), LLDP frames, and X.509 certificates to check the emitted MUD URLs.

Expected Behaviors:

- *Part A*
Step 1: The MUD URL strings extracted from various packet types must be identical.

Possible Problems:

- None

Test MUD.1.1.3: Validating MUD URL String.

Purpose: Verify whether the emitted MUD URL is in a valid format.

References:

- [MUD] – Section 1.5, Section 6, Section 10, Section 11, and Section 12
- [Uniform Resource Identifier (URI): Generic Syntax (RFC3986)]
- [Internationalized Resource Identifiers (IRIs) (RFC3987)] – Section 3.1
- [Internet X.509 Public Key Infrastructure Certificate and Certificate Revocation List (CRL) Profile (RFC5280)] – Section 7.4

Test Setup: Test setup is performed as per [Common Topology](#).

Procedure:

Part A: Verify the MUD URL string is valid.

1. Test whether the MUD URL string starts with “https” instead of “http”.
2. Check the length of the MUD URL string is less than a threshold.
3. Check whether the URL string has the following structure:

https://domainName/<string>/IRIs

where the string only contains legal characters and the domainName should be a valid format with the end such as “.com”, the IRIs represents the descriptor of resource, i.e. the name of the MUD file.

Expected Behaviors:

- *Part A*
 - Step 1:** The MUD URL string must start with “https”.
 - Step 2:** The length of the MUD URL string must not exceed 255 octets for both DHCP (v4 and/or v6) requests and certificates. Additionally, the length of the MUD URL string must not exceed 253 octets for LLDP frames.
 - Step 3:** The MUD URL string is expected to adhere to the standard structure.

Possible Problems:

- None

Test MUD.1.1.4: Verifying if a MUD File Can be Retrieved.

Purpose: Verify if a MUD file can be retrieved from the specified HTTPS server using the emitted MUD URL.

References:

- [MUD] – Section 1.6

Test Setup: Test setup is performed as per [Common Topology](#).

Procedure:

Part A: Check whether the MUD file can be retrieved.

1. Using the GET method, verify whether the MUD file can be retrieved from the HTTPS server specified by the MUD URL.

Expected Behaviors:

- *Part A*
Step 1: The GET request for the MUD URL must be successful.

Possible Problems:

- None

Test MUD.1.1.5: Verifying Presence and Structure of Digital Signature of MUD File.

Purpose: Verify that the retrieved MUD file contains a digital signature and is correctly signed using Cryptographic Message Syntax (CMS).

References:

- [MUD] – Section 13.1 and Section 13.2
- [Cryptographic Message Syntax (CMS) (RFC 5652)]

Test Setup: Test setup is performed as per [Common Topology](#).

Procedure:

Part A: Verify the signature method of the MUD file.

1. Retrieve the digital signature by obtaining the value of “mud-signature” within the MUD file.
2. Check whether the MUD file is signed using DER-encoded CMS, a standard way to digitally sign and encrypt data.

Expected Behaviors:

- *Part A*
 - Step 1:** The retrieved MUD file should have the node “mud-signature” and the signature file can be retrieved from its value.
 - Step 2:** The signature file is a binary object with file type as “.p7s” and in DER-encoded format.

Possible Problems:

- None

Test MUD.1.1.6: Verifying Content Type and Certificates in Digital Signature of MUD File.

Purpose: Verify that content type and certificates within the digital signature of the retrieved MUD file are correct and valid.

References:

- [MUD] – Section 11

Test Setup: Test setup is performed as per [Common Topology](#).

Procedure:

Part A: Verify the certificates within the signature.

1. Extract the signing certificates in the signature file.
2. Examine the Key Usage Extension pattern within the signing certificate to confirm whether the bit “digitalSignature” is set to 0.
3. Check whether the “id-pe-mudsigner” extension is present in the X.509 section, and verify if its content matches the information within the subject field of the certificate.

Part B: Verify the content type of the signature.

1. Verify if the content-type “id-ct-mud” is used as the content type for the signed data.

Expected Behaviors:

- *Part A*
 - Step 1:** Certificates must exist within the signature file.
 - Step 2:** The bit “digitalSignature” must be set to 0 in the Key Usage Extension pattern.
 - Step 3:** The content of subject in the certificate of the signature must match the content of the “id-pe-mudsigner” extension in the retrieved X.509 certificate.
- *Part B*
 - Step 1:** The content-type is expected to have the object identifier “id-ct” equal to 41.

Possible Problems:

- None

Test MUD.1.1.7: Verifying Integrity of Digital Signature for MUD File.

Purpose: Verify the validity of the digital signature associated with the retrieved MUD file to ensure its integrity and authenticity, thereby confirming that the file has not been tampered with.

References:

- [MUD] – Section 13.1, Section 13.2 and Section 11
- [Cryptographic Message Syntax (CMS) (RFC 5652)]

Test Setup: Test setup is performed as per [Common Topology](#).

Procedure:

Part A: Verify the integrity of digital signature.

1. Extract the encrypted digital signature and the signer's information, including a public key, a hash function, and a message digest (MD).
2. Use the signer's public key and decrypt the digital signature to retrieve the unencrypted hash value (H1).
3. Compare the unencrypted hash value with the message digest.

Part B: Verify the integrity of MUD file.

1. Calculate the hash value (H0) of the retrieved MUD file using the signer's hash function.
2. Compare the calculated hash value (H0) with the signer's message digest (MD).

Expected Behaviors:

- *Part A*
Step 3: The unencrypted hash value (H1) must match the signer's message digest (MD).
- *Part B*
Step 2: The hash value of the MUD file must match the signer's message digest (MD).

Possible Problems:

- None

Test MUD.1.1.8: Verifying Certificate Validity of MUD File Signature.

Purpose: Verify the validity of the certificate from the retrieved MUD signature.

References:

- [MUD] – Section 1.6, Section 13.1
- [HTTP Over TLS (RFC 2818)] – Section 3.1
- [Internet X.509 Public Key Infrastructure Certificate and CRL Profile (RFC 2459)]

Test Setup: Test setup is performed as per [Common Topology](#).

| X.509 Certificate | |
|---|--|
| Patterns to be verified | Expected Results |
| The digital signature applied by the Certificate Authority (CA) using its own private key on the certificate needs to be verified using the CA's corresponding public key | When the certificate data, excluding the signature, is encrypted using the algorithm specified in the certificate, the result should match the value obtained by decrypting the digital signature with the CA's public key |
| The validity period of the X.509 certificate does not expire | The current system time should not before the start date or after the end date shown on the certificate. |
| The revocation information of the certificate needs to be checked to ensure the certificate is not revoked | The certificate is still active and not revoked |
| The validity of each certificate along the chain of trust associated with the Certificate Authority (CA) responsible for issuing the certificate need to be checked | The verification process is successfully completed when it reaches the root of the certificate chain without encountering any self-signed certificates, and all certificates in the chain are confirmed as valid |

Procedure:

Part A: Check the certificate associated with MUD URL

1. Obtain the intermediate certificate from the retrieved signature.
2. Verify the obtained certificate is valid using the matching rules listed above.

Expected Behaviors:

- *Part A*
 - Step 1:** There should include an intermediate X.509 certificate from the retrieved signature.
 - Step 2:** The obtained X.509 certificate must be valid.

Possible Problems:

- None

Test MUD.1.2.1: Verifying MUD File Format.

Purpose: Verify whether the content of the retrieved MUD file is in JSON format.

References:

- [MUD] – Section 1.6, Section 2 and Section 17
- [The JavaScript Object Notation (JSON) Data Interchange Format (RFC8259)]
- [JSON Encoding of Data Modeled with YANG (RFC7951)]

Test Setup: Test setup is performed as per [Common Topology](#)

Procedure:

Part A: Checking the file format used by the MUD file.

1. Examine the syntax and structure of the retrieved MUD file.

Expected Behaviors:

- *Part A*
Step 1: The content of the retrieved MUD file is expected to be in JSON format.

Possible Problems:

- None

Test MUD.1.2.2: Verifying Encoding Method of MUD File.

Purpose: Verify that the retrieved MUD file is encoded in UTF-8.

References:

- [MUD] – Section 17.5
- [UTF-8, a transformation format of ISO 10646 (RFC3629)]

Test Setup: Test setup is performed as per [Common Topology](#)

Procedure:

Part A: Checking the encoding method used by the MUD file.

2. Examine the encoding method applied to the retrieved MUD file.

Expected Behaviors:

- *Part A*
Step 1: The retrieved MUD file must be encoded in UTF-8.

Possible Problems:

- None

Test MUD.1.2.3: Verifying Usage of Nodes in MUD File.

Purpose: Verify that the retrieved MUD file includes only certain specified nodes, except optional nodes defined by extensions.

References:

- [MUD] – Section 2, Section 2.1 and Section 3.9

Test Setup: Test setup is performed as per [Common Topology](#).

Procedure:

Part A: Check whether the MUD file only includes certain specified nodes

1. Retrieve all node names from the retrieved MUD file.
2. Compare all node names with the table below.

| MUD file structure | Specified Node Names |
|-----------------------|---|
| “mud” container | mud-version, mud-url, last-update, mud-signature, cache-validity, is-supported, systeminfo, mfg-name, model-name, firmware-rev, software-rev, documentation, extensions, from-device-policy, to-device-policy |
| Augmentation to “acl” | manufacturer, same-manufacturer, model, local-networks, controller, my-controller, direction-initiated |

Expected Behaviors:

- *Part A*
Step 1: The nodes utilized in the retrieved MUD file must be restricted to those listed in the table above.

Possible Problems:

- None.

Test MUD.1.2.4: Verifying Extension Declaration in MUD File.

Purpose: Verify whether extensions used in the retrieved MUD file are explicitly mentioned in the “extension” list. Additionally, ensure that undeclared MUD extensions are not utilized in the retrieved MUD file.

References:

- [MUD] – Section 3.9, Section 14, Section 17.8

Test Setup: Test setup is performed as per [Common Topology](#).

Procedure:

Part A: Verify that all the extensions used in the MUD file are explicitly named in the “extension” list.

1. Compare each extension with those listed in the “extension” list.

Part B: Verify the usage of undeclared MUD extensions in the MUD file.

1. Obtain the nodes of the MUD file.
2. Verify the existence of undeclared MUD extensions within the nodes of the retrieved MUD file.

Expected Behaviors:

- *Part A*
Step 1: The extensions used must match those listed in the “extension” list.
- *Part B*
Step 1: The retrieved MUD file must not use undeclared MUD extensions in its nodes.

Possible Problems:

- None.

Test MUD.1.2.5: Verifying Extensions are Standardized by IETF.

Purpose: Verify if all extensions declared and used in the MUD file have undergone the IETF standardization process and are registered with the IANA.

References:

- [MUD] – Section 14

Test Setup: Test setup is performed as per [Common Topology](#).

Procedure:

Part A: Check whether all the extensions used in the MUD file are standardized by IETF.

1. Obtain all the extensions declared and used.
2. Verify if the structure of each extension conforms to the format specified as follows.

Extension name: UTF-8-encoded string, not to exceed 40 characters.
Each Extension must refer to a certain RFC documentation

Expected Behaviors:

- *Part A*
Step 2: All extensions used in the retrieved MUD file must be referenced to a published RFC document.

Possible Problems:

- None.

Test MUD.1.3.1: Verifying Direction of Individual Policies in MUD File.

Purpose: Verify that the MUD file explicitly delineates communication directions in each policy, indicating what is to be permitted or denied in either direction of communication, *i.e.*, to-device and from-device. Additionally, verify the accurate specification of policies for their respective communication directions.

References:

- [MUD] – Section 3.3, Section 7

Test Setup: Test setup is performed as per Common Topology.

Procedure:

Part A: Validate directional policies.

1. Verify the retrieved MUD file explicitly declares the “from-device-policy” and “to-device-policy” nodes.

Part B: Validate the correct policy positions.

1. Examine individual ACLs within the “from-device-policy” and “to-device-policy” to ensure that the direction of each ACL aligns with the corresponding policy.

Expected Behaviors:

- *Part A*
Step 1: The “from-device-policy” node and “to-device-policy” node must be declared.
- *Part B*
Step 1: The ACLs within the “from-device-policy” are expected to specify communications originated from the DUT and the ACLs within the “to-device-policy” are expected to specify communications destined to the DUT.

Possible Problems:

- None

Test MUD.1.3.2: Verifying Node cache-validity in MUD File.

Purpose: Verify that the “cache-validity” value in the retrieved MUD file is within the valid range.

References:

- [MUD] – Section 3.5, Section 7

Test Setup: Test setup is performed as per [Common Topology](#).

| cache-validity |
|--|
| Type: uint8 Value: must not exceed 168, recommended to be no less than 24, should be no shorter than any period determined through HTTP caching directives. |

| HTTP caching directives | |
|-------------------------|--|
| Headers in GET response | How to derive the value of HTTP caching-directives |
| Expires | = the value of “Expires” header – the system time that the response is received |
| Cache-Control | = the value of “maxage” attribute – the value of “age” attribute |
| | = if the attribute “s-maxage” exists, it takes the highest priority. In this case, the values specified by the max-age or Expires are ignored, and the value of “s-maxage” is used |

Procedure:

Part A: Verify the value of the cache validity specified in the MUD file.

1. Extract the value of cache-validity.
2. Obtain the value the HTTP caching directives using the table above.
3. Compare the value of cache-validity with the valid range [24, 168] against the values obtained from the HTTP caching directives.

Expected Behaviors:

- *Part A*
 - Step 2:** The value of the HTTP caching directives can be retrieved.
 - Step 3:** The value of the cache-validity must be equal to or smaller than 168 and is recommended to be greater than 24. Additionally, the value of the cache-validity should be greater than the value of the retrieved HTTP caching directives.

Possible Problems:

- None

Test MUD.1.3.3: Verifying Node systeminfo in MUD File.

Purpose: Verify that the values of the “systeminfo” node in the retrieved MUD file, describing the DUT, are appropriately set.

References:

- [MUD] – Section 3.7, Section 7
- [UTF-8, a transformation format of ISO 10646 (RFC3629)]

Test Setup: Test setup is performed as per [Common Topology](#).

| systeminfo |
|--|
| Type: UTF-8 Length: should not exceed 60 characters worth of display space. |

Procedure:

Part A: Verify the type and length of the “systeminfo” values.

1. Obtain the values of the “systeminfo” node.
2. Check the Type (encoding method).
3. Check the Length of description.

Expected Behaviors:

- *Part A*
 - Step 2:** The encoding method used is UTF-8.
 - Step 3:** The length of the “systeminfo” value should not exceed 60 characters, excluding white spaces.

Possible Problems:

- None

Test MUD.1.3.4: Verifying Optional Fields in MUD File.

Purpose: Verify that the “firmware-rev” and “software-rev” fields are not included in the MUD file if the device can be upgraded, but the MUD URL cannot be upgraded.

References:

- [MUD] – Section 3.6, Section 3.8
- [A YANG Data Model for Hardware Management (RFC8348)]

Test Setup: Test setup is performed as per [Common Topology](#).

Procedure:

Part A: Check the optional fields of the MUD file.

1. Obtain the Boolean value of the node “is-supported” in the MUD file.
2. Check whether the optional fields “firmware-rev” and “software-rev” are present in the MUD file.

Expected Behaviors:

- *Part A*
Step 2: if the Boolean value of “is-support” is false, the optional fields “firmware-rev” and “software-rev” must not exist in the MUD file.

Possible Problems:

- None

Test MUD.1.4.1: Verifying Format of Local Addresses in MUD File.

Purpose: To ensure that local addresses in the “local-network” attribute in the ACEs from the retrieved MUD file conform to the acceptable formats, including IPv4/v6 addresses with prefixes and masks (*e.g.*, 255.255.255.255/32).

References:

- [MUD] – Section 7

Test Setup: Test setup is performed as per [Common Topology](#).

| Local Addresses | |
|-----------------------------|---|
| Valid IPv4 Addresses format | Prefix/mask: xxx.xxx.xxx.xxx/yy where xxx is a decimal number within the range [0,255], separated by the dots, followed by a slash and yy, which can be either the CIDR (Classless Inter-Domain Routing) notation that is a decimal number within the range [0, 32] or the Traditional Subnet Mask Format that is the same format as the prefix pattern. |
| Valid IPv6 Addresses format | Prefix/mask: xxxx:xxxx:xxxx:xxxx:xxxx:xxxx:xxxx:xxxx/yyy where xxxx is hexadecimal number ranging from 0 to FFFF, separated by the colons, followed by a slash and yyy, which is the CIDR (Classless Inter-Domain Routing) notation that is a decimal number within the range [0, 128]. |

Procedure:

Part A: Verify local address formats

1. Retrieve the ACEs from the MUD file that reference “local-network”.
2. Examine the IP addresses within those ACEs to confirm they include valid formats with prefixes and masks.

Expected Behaviors:

- *Part A*
Step 2: Local addresses in the “local-network” attribute in the ACEs may be specified with valid prefixes and masks, as indicated in the table above.

Possible Problems:

- None.

Test MUD.1.4.2: Verifying URLs of Controllers in MUD File.

Purpose: Examine the controller URLs to ensure they are valid or in the form of URNs.

References:

- [MUD] – Section 4.6, Section 17.7
- [Requirements for Internet Hosts -- Application and Support (RFC1123)]
- [Network Time Protocol Version 4: Protocol and Algorithms Specification (RFC5905)]

Test Setup: Test setup is performed as per [Common Topology](#).

| controller | |
|---|---|
| MUD Well-Known Universal Resource Name (URNs) | “urn:ietf:params:mud:dns”, “urn:ietf:params:mud:ntp” |
| Valid URL form | “http[s]:// domainName / <string> ”, where the domainName is in a valid format with endings, such as “.com” |

Procedure:

Part A: Check the URL of the node “controller”

1. Obtain the URL of the “controller” nodes in the ACLs.
2. Compare these URL values with the MUD well-known URNs.
3. Verify the validity of values if they are in the form of URLs.

Expected Behaviors:

- *Part A*
Step 2: The controller URL may take the form of MUD well-known URNs.
Step 3: The controller URL may take the form of a valid URL.

Possible Problems:

- None.

Test MUD.1.5.1: Verifying Usage of ACL Leaf Nodes in MUD File.

Purpose: Verify that the ACL leaf nodes include the required attributes, specifically: “name” of the ACL, “type”, “name” of the ACEs, and TCP and UDP source and destination port information from the retrieved MUD file.

References:

- [MUD] – Section 2

Test Setup: Test Setup is performed as per [Common Topology](#).

Procedure:

Part A: Verify inclusion of ACL attributes in the MUD File

1. Examine all ACL entries within the retrieved MUD file to ensure they include the following attributes: “name” of the ACL, “type”, “name” of the ACEs, and source and destination port information for ACEs based on TCP or UDP.

Expected Behaviors:

- *Part A*
Step 1: Each ACL should contain the specified attributes: “name” of the ACL, “type”, “name” of the ACEs, and source and destination port information for ACEs based on TCP/UDP.

Possible Problems:

- None.

Test MUD.1.5.2: Verifying ACL Policies in MUD File.

Purpose: Verify that each ACE policy in the MUD file explicitly defines rules for either inbound or outbound traffic relative to the DUT.

References:

- [MUD] – Section 7

Test Setup: Test Setup is performed as per [Common Topology](#).

Procedure:

Part A: Verify Inbound and/or Outbound Traffic Policies in ACLs

1. Examine each ACE in the retrieved MUD file to verify that there are policies governing either inbound (to-device) or outbound (from-device) traffic in relation to the DUT by inspecting the “name” attribute of the each ACL.

Expected Behaviors:

- *Part A*
Step 1: Each ACL should clearly specify policies for either inbound or outbound traffic with respect to the DUT in their “name” attribute which contains either “from” or “to”.

Possible Problems:

- None.

Test MUD.1.5.3: Verifying Usage of Domain Names in ACEs.

Purpose: Verify that ACEs with “ietf-acldns:src-dnsname” and “ietf-acldns:dst-dnsname” for endpoints are configured by valid domain names.

References:

- [MUD] – Section 2, Section 8

Test Setup: Test setup is performed as per [Common Topology](#).

Procedure:

Part A: Verify dnsname extensions for endpoints in ACEs are configured by domain names.

1. Retrieve those ACEs in the MUD file that specify the endpoints using DNS attributes: “ietf-acldns:src-dnsname” or “ietf-acldns:dst-dnsname”.
2. Confirm the values of these attributes are set by valid domain names, not IP addresses.

Expected Behaviors:

- *Part A*
Step 1: Each ACE that utilizes the DNS extensions should specify the endpoint address using a domain name, not an IP address.

Possible Problems:

- None.

Test MUD.1.5.4: Verifying Consistency of Policies in ACLs.

Purpose: Verify that attributes of the policy specified by each ACE is consistent with their intent in the MUD file.

References:

- [MUD] – Section 8.1 and Section 8.2

Test Setup: Test setup is performed as per [Common Topology](#).

Procedure:

| Device policies | Allowed attributes | Disallowed attributes |
|-----------------|---|---|
| from-device | dst-dnsname, destination-ipv4-network, destination-ipv6-network | src-dnsname, source-ipv4-network, source-ipv6-network |
| to-device | src-dnsname, source-ipv4-network, source-ipv6-network | dst-dnsname, destination-ipv4-network, destination-ipv6-network |

Part A: Verify the policy criteria used for “from-device” policies.

1. Examine all ACEs within the ACL container in the MUD file where the policy is defined as “from-device”.
2. Verify each ACE using “from-device” employs only allowed attributes: “dst-dnsname”, “destination-ipv4-network” or “destination-ipv6-network” to signify the destination endpoint and does not utilize any of the disallowed attributes listed in table above.

Part B: Verify the policy criteria used for “to-device” policies.

1. Examine all ACEs within the ACL container in the MUD file where the policy is defined as “to-device”.
2. Verify each ACE using “to-device” employs only allowed attributes: “src-dnsname”, “source-ipv4-network” or “source-ipv6-network” to signify the destination endpoint and does not utilize any of the disallowed attributes listed in table above.

Expected Behaviors:

- *Part A*
Step 1: All “from-device” ACEs MUST utilize allowed attributes: “dst-dnsname”, “destination-ipv4-network” or “destination-ipv6-network” for endpoints, and there is no instance of disallowed attributes in this context.
- *Part B*
Step 1: All “to-device” ACEs MUST utilize “src-dnsname”, “source-ipv4-network” or “source-ipv6-network” for endpoints, and there is no instance of disallowed attributes in this context.

Possible Problems:

- None.

Test MUD.1.5.5: Verifying Usage of “direction-initiated” for ACEs in MUD File.

Purpose: Verify that the “direction-initiated” attribute is exclusively applied to TCP-based ACEs in the retrieved MUD file.

References:

- [MUD] – Section 4.8, Section 9

Test Setup: Test setup is performed as per [Common Topology](#).

Procedure:

Part A: Verify “direction-initiated” is applied TCP connections.

1. Ensure that the attribute “direction-initiated” is only associated with TCP-based ACEs in the retrieved MUD file.

Expected Behaviors:

- *Part A*
Step 1: The “direction-initiate” must only apply to TCP communications (*i.e.*, ACEs with protocol=6).

Possible Problems:

- None.

Test MUD.1.5.6: Verifying Actions of ACEs in MUD File.

Purpose: Verify that each ACE in the retrieved MUD file specifies only “accept” or “drop” as actions without additional information.

References:

- [MUD] – Section 2

Test Setup: Test setup is performed as per [Common Topology](#).

Procedure:

Part A: Verify the “actions” attribute of ACEs.

1. Extract all ACEs from the MUD file.
2. Verify that the “actions” attribute of each ACE in the MUD file specifies only “accept” or “drop”.

Expected Behaviors:

- *Part A*
Step 1: Each ACE in the retrieved MUD file should only specify “accept” or “drop” for the “actions” attribute.

Possible Problems:

- None.

Test MUD.1.5.7: Verifying Count of ACEs in MUD File.

Purpose: Verify that the number of ACEs in the retrieved MUD file is “relatively” small.

References:

- [MUD] – Section 16

Test Setup: Test setup is performed as per [Common Topology](#).

Procedure:

Part A: Check the number of ACEs in the MUD file.

1. Obtain all the ACEs in the retrieved MUD file.
2. Count the number of ACEs in the retrieved MUD file.

Expected Behaviors:

- *Part A*
Step 2: The number of ACEs in the retrieved MUD file should be relatively small (*e.g.*, less than 50).

Possible Problems:

- None.