



CA ANURAG JAIN (HE/HIM)

VICE PRESIDENT-GLOBAL ACCOUNTING & ADVISORY OPERATIONS

PROFILE SUMMARY

Experienced Chartered Accountant and Company Secretary with over 15 years in finance, specializing in financial planning & analysis, financial reporting, operational accounting, budgetary control, corporate governance, and due diligence. Proficient in IFRS, US-GAAP, and I-GAAP. Expert in process improvements, automation, and harmonization of R2R processes globally. Strong track record in setting up new processes adhering to risk and compliance, and leading finance & accounting activities such as month-end closure, supporting statutory audits, financial project planning and its execution.

Highly skilled in managing and motivating teams to achieve maximum productivity and fostering excellent client relationships across multi-geography teams. Played a pivotal role in executing financial modules and digital transformation strategies. Recognized with multiple awards for outstanding performance and problem-solving abilities. Actively involved in technical training, corporate events, and learning & development initiatives, contributing significantly to the team's profile and knowledge base.

CONTACT

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EDUCATION

- BACHELORS IN COMMERCE (2006)
UNIVERSITY OF RAJASTHAN
- CHARTERED ACCOUNTANT (2008)
INSTITUTE OF CHARTERED ACCOUNTANTS OF INDIA
- COMPANY SECRETARY (2010)
INSTITUTE OF COMPANY SECRETARIES OF INDIA
- CERTIFICATION IN IFRS (2014)
INSTITUTE OF CHARTERED ACCOUNTANTS OF INDIA
- PURSUING US CPA
AMERICAN INSTITUTE OF CERTIFIED PUBLIC ACCOUNTANT
- PURSUING FINRA SERIES -27
US SECURITIES AND EXCHANGE COMMISSION

WORK EXPERIENCE

State Street Corporation

DEC'22 - PRESENT

Vice President- Global Accounting & Advisory Operations

- Responsible for execution of accounting process for recording, preparing and enabling Statutory, Regulatory, SEC, Tax, and Management Reporting.
- Collaborated closely with various Finance teams (Controllers, FP&A, LFO) to understand expectations and deliverable timelines and ensure that deliverables of the team are load balanced as compared to team capacity.
- Led the day-to-day activities of an accounting and reporting team of 15+ professionals that is performing Accounting & Reporting for Global Advisors & Broker Dealer Functions and coordinated month end close with corporate accounting and other business unit teams
- Demonstrated strong accounting knowledge of Balance Sheet & P&L alongside good understanding of the Balance Sheet substantiation and reconciliation, exception management, Legal Entity management & hierarchy structure at global organization
- Assisted in annual audit collaboration (Internal and External)
- Provided support to key stakeholders of the team to present analytical results, findings & month-end variance explanations with complete analytics
- Supported and participated in various Governance meetings to manage SOX Compliance, SLA, KPI & other process metrics.
- Played a critical role in standardization of oracle cloud solution by simplifying ERP landscape, rationalization of Edge application, replacement of old legacy process and technology with more improved and sustainable process having advanced cutting-edge technology.
- Managed and supported process re-engineering, automation and change management across R2R Processes (partnering with in-house project, IT, team and well collaborated with, External consultants.)

Macquarie Global Services

OCT'18 - AUG'22

Assistant Vice President- APAG and Group Financial Reporting

- Managed group accounts (Bank and Non-Bank), produced utmost quality financial and regulatory deliverables, and ensured their timely delivery to various stakeholders
- Responsible for preparation, design and review of significant accounting policies basis IFRS and other topical issues having impact globally, key ones being going concern, business combination vs. asset purchase, dividends, credit impairment, consolidation, etc.
- Consulted and advised on various transaction level queries and financial reporting solutions on complex accounting matters across regions (ANZ, US, Asia) and collaborated with business finance teams for pragmatic solutions, prominent being Green Shoe option, Offset Mortgage, Business combination, restructuring reserve, cash and cash equivalent, related party matters etc.
- Ensured seamless implementation of various accounting and technical projects e.g., Cash and Cash Equivalent, Functional currency etc., adhering to their timelines, and contributed towards upskilling of different team members
- Abreast with accounting developments taking place in IASB/AASB/ASIC world and proactively communicating insights, which were leveraged for preparing Board Audit Committee and Accounting Judgement technical document
- Showcased intellectual curiosity and ability to grasp complex accounting matters quickly coupled with ability to 'Knit' technical requirements of the accounting concepts and standards together with the commercial reality of the issue
- Executed and Managed group financial reporting from start to end, involved in comprehensive review of high risk Australian and Asian Legal entities along with robust review of various equity and debt funds falling under the purview of Macquarie.
- Drafted Technical papers and Memo's by performing 'in-depth' analysis on key accounting matters and judgements, such as EPS, Liquidity Risk, material v/s significant policies, ECL, primary financial statement etc.



HSBC – HDPI

OCT'14-SEP'18

Manager – FP&A and External Reporting

- Lead change analyst overseeing finance business requirements, IT solution/architecture for IFRS 9 reporting, calculation, and disclosures
- Played pivotal role in transitioning and change management of various processes impacted by implementation of IFRS 9 and new ECL model.
- Assigned secondment for 6 months to London which has helped me appreciate different cultures and improved ways of working
- Designed simple, standardized, and robust operating model by working in partnership with key stakeholders & support functions like Business Partners, Business Intelligence & Enterprise Solutions team
- Supported Implementation of credit risk process, Impairment calculation (involving PD, LGD, and EAD) and data management infrastructure by translating large amounts of data into strategic and actionable insights basis technical research and interactions with investor relations, strategy, senior risk committees
- Created financial performance metrics of various projects and drove improvements through regular interaction with the project and finance teams key ones being FINREP, GSIB, IFRS 15 project.
- Responsible for variance analysis and writing/reporting commentaries to senior management for BS and P&L movements and at the same time highlighting major business drivers/KPI's contributing towards variance.
- Responsible for providing assurance on group numbers, performed reconciliation and cross checked them with independent source for accuracy and completeness of BS and P&L disclosures and other notes to accounts.

McGladrey Delivery Center

SEP '12-SEP'14

Senior Consultant (M&A and Business Valuation)

- Prepared valuation models, supported negotiations and structured transactions involving regulatory, tax and legal issues predominantly for US entities
- Presented due diligence reports to CXO's covering key insights on business performance and strategic analysis
- Analysis of operating performance and financial health of the company by analyzing revenues and cost covering product/segments/geographies/ customers and the margins earned from different streams
- Recommended valuation adjustments for normalisation of revenue and potential adjustments to the operating profit of the company
- Responsible for assessing share sale agreement and equity/debt structure and presenting valuation drivers such as revenue, operating profit, net debt and trade receivables etc.
- Responsible for work streams involving financial and operational due diligence, working capital adjustments, earning adjustments, financial projections and cash flow modeling, distressed i.e., bankruptcy, carve-outs, add-on acquisitions, profitability enhancements, reviews of business processes and internal control structures

Price Waterhouse & Coopers

AUG'09-SEP'12

Senior Associate (Assurance and Business Advisory Services)

- Audited and advised large corporates, key ones being Volkswagen AG, Welspun India, HUL, Maruti Udyog, UB Group, etc.
- Preparation of Financials, Notes to Accounts and Cash Flow Statement up to finalisation stage
- Responsible for Financial Statements audits, reviews, and consolidations as per I-GAAP and IAS
- Exposed to various certification assignments like CENVAT refund, ECB & RBI Certification, etc
- Involved into various company legal secretarial matters, Stock exchange, SEBI guidelines & regulations.

S. R. Goyal & Co

JUN '06-JUN'09

Audit Assistant (Statutory, Tax and Internal Audit)

- Performed statutory audits in accordance with I-GAAP and company law matters
- Tracked changes as per various Tax Assessments and suggested efficient tax planning frameworks to clients
- Internal Audit, Perpetual Asset Quality Tracking, Stock Audit &, SOX audit
- Ranked amongst top 20% of my peer set for two consecutive years
- Prepared a Fraud Risk Matrix, which was incorporated in Firm's suggested methodologies, highlighting vulnerabilities in Risk Assessment Review for a leading Bank

CORE COMPETENCIES

- Group Financial Control & Reporting
- Finance Planning & Analysis
- Budgeting & Forecasting
- IFRS, US-GAAP, I-GAAP
- System Architecture & Design
- Team Management & Leadership
- Controllership & Governance
- Business Transformation & Intelligence
- Project Management

LANGUAGES

- English (Fluent)
- Hindi (Fluent)

HOBBIES

Playing outdoor sports, travelling and exploring new places.