### **Database System Applications**

- DBMS contains information about a particular enterprise
  - Collection of interrelated data
  - Set of programs to access the data
  - An environment that is both *convenient* and *efficient* to use
- Database Applications:
  - Banking: all transactions
  - Airlines: reservations, schedules
  - Universities: registration, grades
  - Sales: customers, products, purchases
  - Online retailers: order tracking, customized recommendations
  - Manufacturing: production, inventory, orders, supply chain
  - Human resources: employee records, salaries, tax deductions
- Databases touch all aspects of our lives



StuGather

### What Is a DBMS?

- A very large, integrated collection of data.
- Models real-world <u>enterprise</u>
  - Entities (e.g., students, courses)
  - Relationships (e.g., Madonna is taking CS564)
- A <u>Database</u> <u>Management</u> <u>System</u> (<u>DBMS</u>) is a software package designed to store and manage databases.



### Why Use a DBMS?

- Data independence and efficient access.
- Reduced application development time.
- Data integrity and security.
- Uniform data administration.
- Concurrent access, recovery from crashes.



## Why Study Databases??

- Shift from *computation* to *information* 
  - at the "low end": scramble to webspace (a mess!)
  - at the "high end": scientific applications
- Datasets increasing in diversity and volume.
  - Digital libraries, interactive video, Human Genome project, EOS project
  - ... need for DBMS exploding
- DBMS encompasses most of CS
  - OS, languages, theory, AI, multimedia, logic



### Files vs. DBMS

- Application must stage large datasets between main memory and secondary storage (e.g., buffering, page-oriented access, 32-bit addressing, etc.)
- Special code for different queries
- Must protect data from inconsistency due to multiple concurrent users
- Crash recovery
- Security and access control



### **Purpose of Database Systems**

- In the early days, database applications were built directly on top of file systems
- Drawbacks of using file systems to store data:
  - Data redundancy and inconsistency
    - Multiple file formats, duplication of information in different files
  - Difficulty in accessing data
    - Need to write a new program to carry out each new task
  - Data isolation multiple files and formats
  - Integrity problems
    - Integrity constraints (e.g. account balance > 0) become "buried" in program code rather than being stated explicitly
    - Hard to add new constraints or change existing ones



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## Purpose of Database Systems (Cont.)

- Drawbacks of using file systems (cont.)
  - Atomicity of updates
    - Failures may leave database in an inconsistent state with partial updates carried out
    - Example: Transfer of funds from one account to another should either complete or not happen at all
  - Concurrent access by multiple users
    - Concurrent accessed needed for performance
    - Uncontrolled concurrent accesses can lead to inconsistencies
      - Example: Two people reading a balance and updating it at the same time
  - Security problems
    - Hard to provide user access to some, but not all, data
- Database systems offer solutions to all the above problems



### **Levels of Abstraction**

- **Physical level:** describes how a record (e.g., customer) is stored.
- **Logical level:** describes data stored in database, and the relationships among the data.

**type** *customer* = **record** 

customer\_id : string; customer\_name : string; customer\_street : string; customer\_city : string;

#### end;

• **View level:** application programs hide details of data types. Views can also hide information (such as an employee's salary) for security purposes.



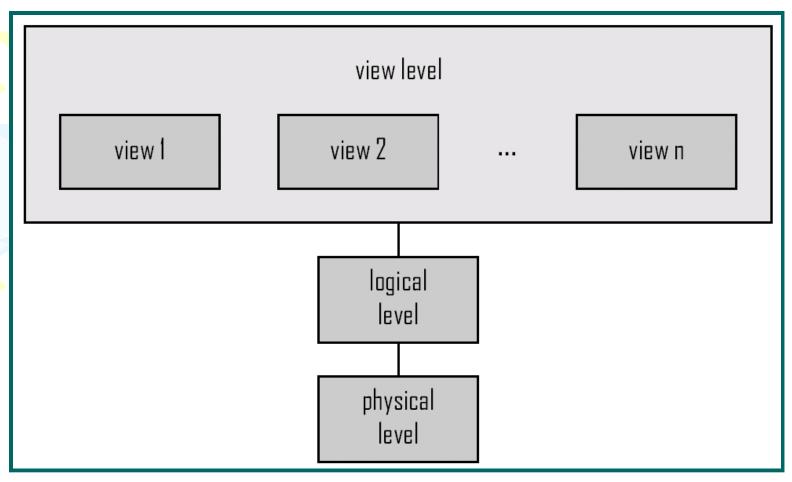
## Summary

- DBMS used to maintain, query large datasets.
- Benefits include recovery from system crashes, concurrent access, quick application development, data integrity and security.
- Levels of abstraction give data independence.
- A DBMS typically has a layered architecture.
- DBAs hold responsible jobs and are well-paid! ©
- DBMS R&D is one of the broadest, most exciting areas in CS.



### View of Data

An architecture for a database system



### **Instances and Schemas**

- Similar to types and variables in programming languages
- Schema the logical structure of the database
  - Example: The database consists of information about a set of customers and accounts and the relationship between them)
  - Analogous to type information of a variable in a program
  - Physical schema: database design at the physical level
  - Logical schema: database design at the logical level

### **Instances and Schemas**

- Instance the actual content of the database at a particular point in time
  - Analogous to the value of a variable
- Physical Data Independence the ability to modify the physical schema without changing the logical schema
  - Applications depend on the logical schema
  - In general, the interfaces between the various levels and components should be well defined so that changes in some parts do not seriously influence others.

### **Data Models**

- A collection of tools for describing
  - Data
  - Data relationships
  - Data semantics
  - Data constraints
- Relational model
- Entity-Relationship data model (mainly for database design)
- Object-based data models (Object-oriented and Object-relational)
- Semi structured data model (XML)
- Other older models:
  - Network model
  - Hierarchical model

### **Data Models**

- A <u>data model</u> is a collection of concepts for describing data.
- A <u>schema</u> is a description of a particular collection of data, using the a given data model.
- The <u>relational model of data</u> is the most widely used model today.
  - Main concept: <u>relation</u>, basically a table with rows and columns.
  - Every relation has a <u>schema</u>, which describes the columns, or fields.

## **Example: University Database**

- Conceptual schema:
  - Students(sid: string, name: string, login: string,

age: integer, gpa:real)

- Courses(cid: string, cname:string, credits:integer)
- Enrolled(sid:string, cid:string, grade:string)
- Physical schema:
  - Relations stored as unordered files.
  - Index on first column of Students.
- External Schema (View):
  - Course\_info(cid:string,enrollment:integer)

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## Data Independence

- Applications insulated from how data is structured and stored.
- <u>Logical data independence</u>: Protection from changes in *logical* structure of data.
- <u>Physical data independence</u>: Protection from changes in *physical* structure of data.
- One of the most important benefits of using a DBMS!

# DATA BASE LANGUAGE Data Manipulation Language (DML)

- Language for accessing and manipulating the data organized by the appropriate data model
  - DML also known as query language
- Two classes of languages
  - Procedural user specifies what data is required and how to get those data
  - Declarative (nonprocedural) user specifies what data is required without specifying how to get those data
- SQL is the most widely used query language

## Data Definition Language (DDL)

• Specification notation for defining the database schema Example: **create table** *account* (

account\_number char(10),
branch\_name char(10),
balance integer)

- DDL compiler generates a set of tables stored in a *data* dictionary
- Data dictionary contains metadata (i.e., data about data)
  - Database schema
  - Data storage and definition language
    - Specifies the storage structure and access methods used
  - Integrity constraints
    - Domain constraints
    - Referential integrity (e.g. *branch\_name* must correspond to a valid branch in the *branch* table)
  - Authorization

### **Relational Model**

Attributes

Example of tabular data in the relational model

customer_id	customer_name	customer_street	customer_city	account_number
192-83-7465	Johnson	12 Alma St.	Palo Alto	A-101
192-83-7465	Johnson	12 Alma St.	Palo Alto	A-201
677-89-9011	Hayes	3 Main St.	Harrison	A-102
182-73-6091	Turner	123 Putnam St.	Stamford	A-305
321-12-3123	Jones	100 Main St.	Harrison	A-217
336-66-9999	Lindsay	175 Park Ave.	Pittsfield	A-222
019-28-3746	Smith	72 North St.	Rye	A-201

## **A Sample Relational Database**

customer_id	customer_name	customer_street	customer_city	
192-83-7465	Johnson	12 Alma St.	Palo Alto	
677-89-9011	Hayes	3 Main St.	Harrison	
182-73-6091	Turner	123 Putnam Ave.	Stamford	
321-12-3123	Jones	100 Main St.	Harrison	
336-66-9999	Lindsay	175 Park Ave.	Pittsfield	
019-28-3746	Smith	72 North St.	Rye	
(a) The quetomentable				

(a) The customer table

account_number	balance
A-101	500
A-215	700
A-102	400
A-305	350
A-201	900
A-217	<i>7</i> 50
A-222	700

(b) The account table

customer_id	account_number	
192-83-7465	A-101	
192-83-7465	A-201	
019-28-3746	A-215	
677-89-9011	A-102	
182-73-6091	A-305	
321-12-3123	A-217	
336-66-9999	A-222	
019-28-3746	A-201	
(a) The demosites table		

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## SQL

- **SQL**: widely used non-procedural language
  - Example: Find the name of the customer with customer-id 192-83-7465
    - **select** customer.customer\_name
    - **from** customer
    - where  $customer.customer\_id = '192-83-7465'$
  - Example: Find the balances of all accounts held by the customer with customer-id 192-83-7465

select account.balance

**from** depositor, account

**where** *depositor.customer\_id* = '192-83-7465'

and

depositor.account\_number =
account.account\_number



- Application programs generally access databases through one of
  - Language extensions to allow embedded SQL
  - Application program interface (e.g., ODBC/JDBC)
     which allow SQL queries to be sent to a database

### **Database Users**

**Users** are differentiated by the way they expect to interact with the system

- **Application programmers** interact with system through DML calls
- Sophisticated users form requests in a database query language
- **Specialized users** write specialized database applications that do not fit into the traditional data processing framework
- **Naïve users** invoke one of the permanent application programs that have been written previously
  - Examples, people accessing database over the web, bank tellers, clerical staff

### **Database Administrator**

- Coordinates all the activities of the database system
  - has a good understanding of the enterprise's information resources and needs.
- Database administrator's duties include:
  - Storage structure and access method definition
  - Schema and physical organization modification
  - Granting users authority to access the database
  - Backing up data
  - Monitoring performance and responding to changes
    - Database tuning

# Data storage and Querying

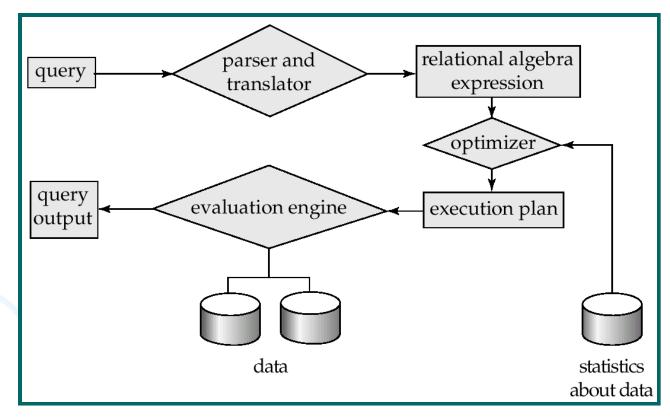
- Storage management
- Query processing
- Transaction processing

### Storage Management

- **Storage manager** is a program module that provides the interface between the low-level data stored in the database and the application programs and queries submitted to the system.
- The storage manager is responsible to the following tasks:
  - Interaction with the file manager
  - Efficient storing, retrieving and updating of data
- Issues:
  - Storage access
  - File organization
  - Indexing and hashing

## **Query Processing**

- 1.Parsing and translation
- 2. Optimization
- 3. Evaluation



## **Query Processing (Cont.)**

- Alternative ways of evaluating a given query
  - Equivalent expressions
  - Different algorithms for each operation
- Cost difference between a good and a bad way of evaluating a query can be enormous
- Need to estimate the cost of operations
  - Depends critically on statistical information about relations which the database must maintain
  - Need to estimate statistics for intermediate results to compute cost of complex expressions

## **Transaction Management**

- A **transaction** is a collection of operations that performs a single logical function in a database application
- Transaction-management component ensures that the database remains in a consistent (correct) state despite system failures (e.g., power failures and operating system crashes) and transaction failures.
- Concurrency-control manager controls the interaction among the concurrent transactions, to ensure the consistency of the database.

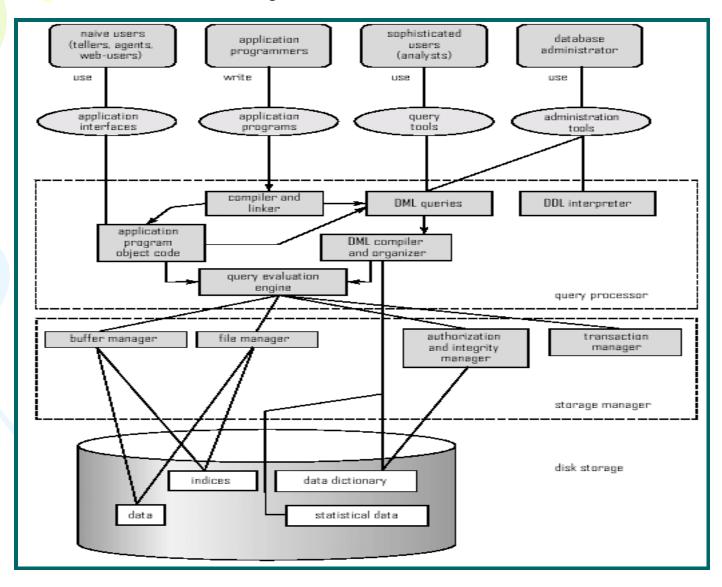
### **Database Architecture**

The architecture of a database systems is greatly influenced by

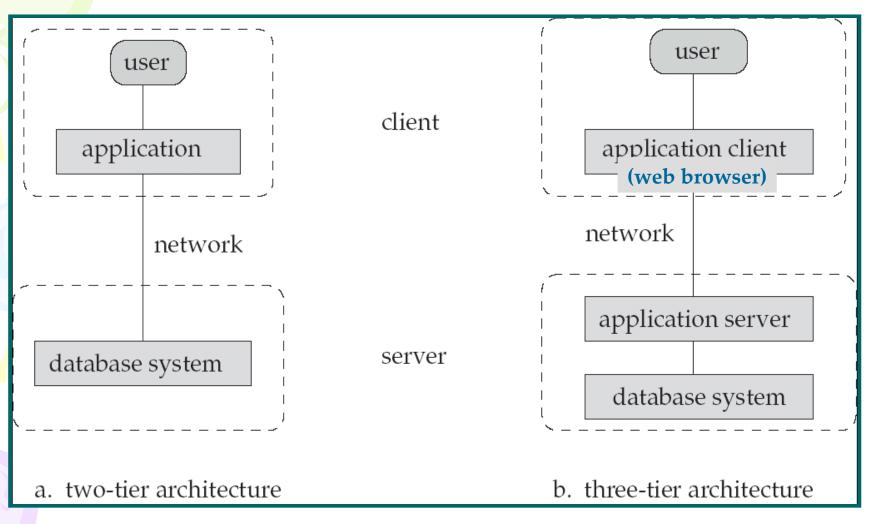
the underlying computer system on which the database is running:

- Centralized
- Client-server
- Parallel (multiple processors and disks)
- Distributed

## **Overall System Structure**



### **Database Application Architectures**



Old Modern

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