

## AVL (ADELSON - VELSKII AND LANDIS)

u

Def<sup>n</sup>:

An empty binary tree is an AVL tree.

→ An non empty binary tree  $T$  is an AVL tree iff given  $T^L$  &  $T^R$  to be the left & right subtree of  $T$  and  $h(T^L)$  and  $h(T^R)$  to be the heights of subtree  $T^L$  &  $T^R$  resp,  $T^L$  &  $T^R$  are AVL trees &

$$|h(T^L) - h(T^R)| \leq 1$$

→  $|h(T^L) - h(T^R)|$  is known as balance factor.

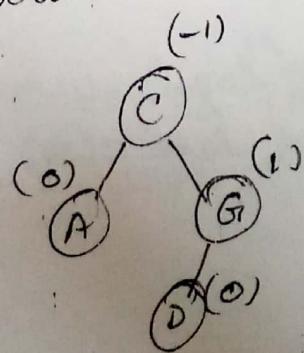
→ For AVL tree the balance factor of a node can be either 0, 1 or -1

→ An AVL search tree is a binary search tree & which is an AVL tree.

Representation of an AVL tree

→ It is represented using link list representation.

→ The number against each node represents its balance factor.



## INSERTION IN AN AVL SEARCH TREE

- Insertion in AVL tree is similar to inserting an element into Binary search tree.
- After insertion balance factor is checked
- Rotation technique is used to restore balance of the search tree.

W<sub>o</sub> edge we need to rotate that's balance factor is changed.

## Rebalancing of AVL

① LL Rotations

② RR "

③ RL Rotation → ~~RR++~~ LL + RR,

④ LR Rotation → RR + LL

## ADVANTAGE OF AVL

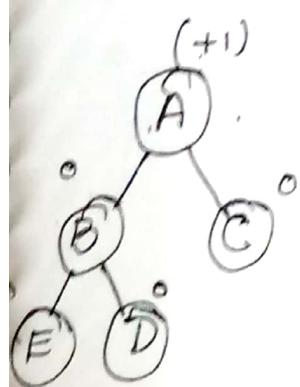
- Insertions of element in Binary search tree may turn tree into right skewed or left skewed binary search tree. The skewed tree take time  $O(n)$ . ∵ it is require to maintain binary search tree to be of balanced height, whose time complexity is  $O(\log n)$ .
- It means AVL tree take  $O(\log n)$  whereas as left skewed & right skewed take  $O(n)$

Rebalancing rotations are classified as follows based on insertion of node:-

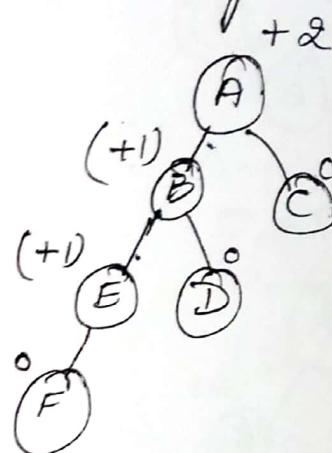
### LL ROTATION:

→ Inserted node is in the left subtree of left subtree of node A.

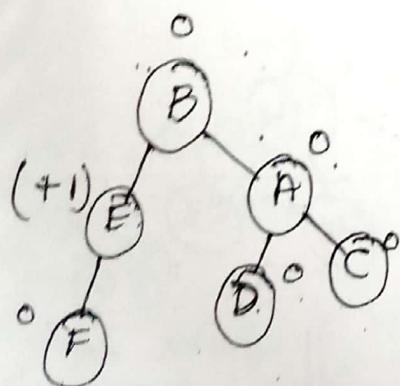
→ Balancing of LL rotation is as follows:



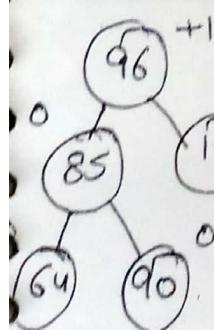
NOW  
INSERT NODE  
F AT LEFT OF  
E



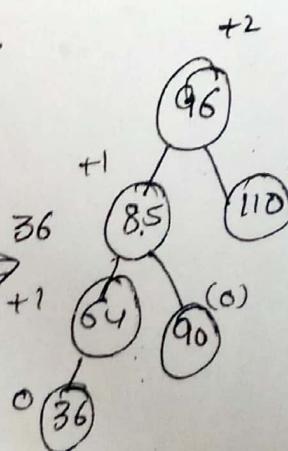
after LL Rotation



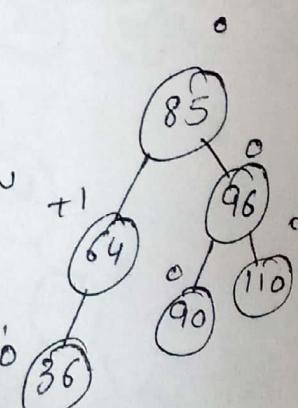
EXAMPLE



INSERT 36



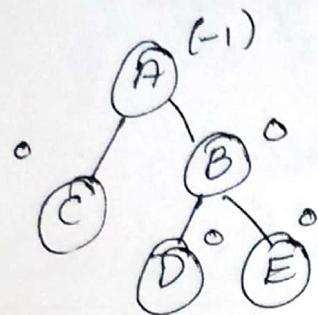
LL ROTATION  
=>



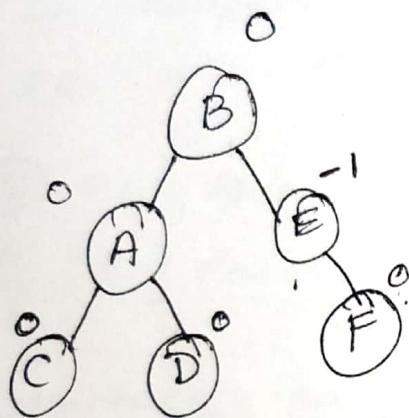
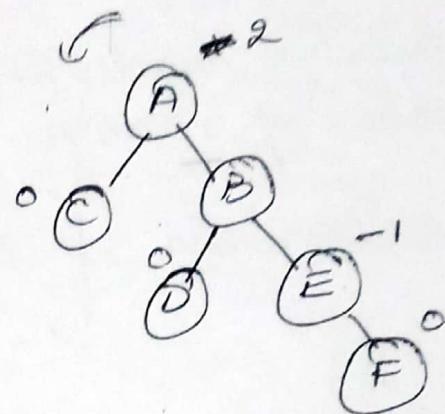
## 2) (RR ROTATION)

→ Inserted node is in the right subtree of right subtree of node A.

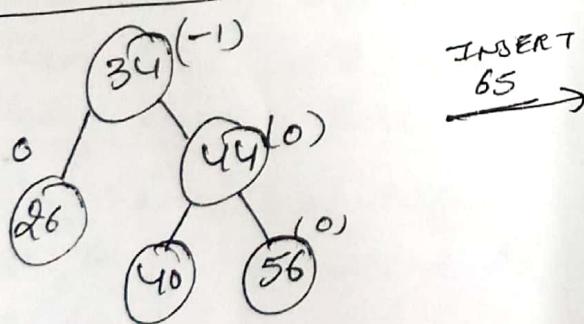
→ It is done in full way:



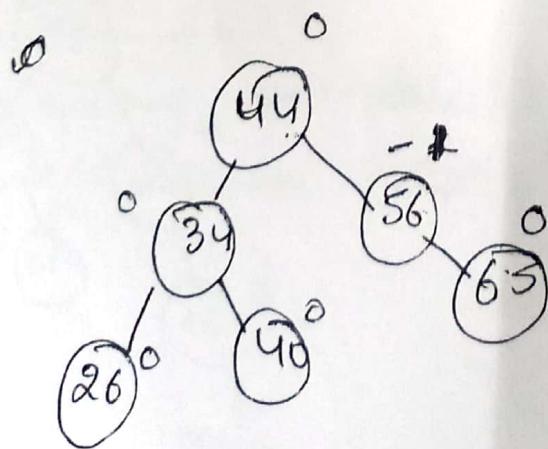
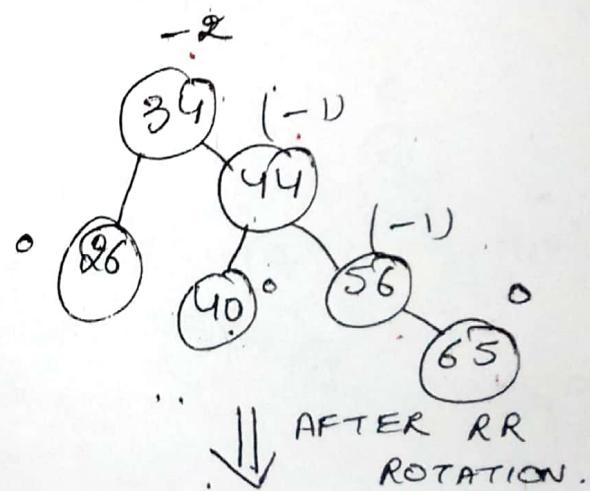
INSERT F AT RIGHT OF E



EXAMPLE :



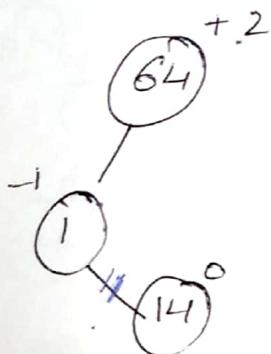
INSERT 65



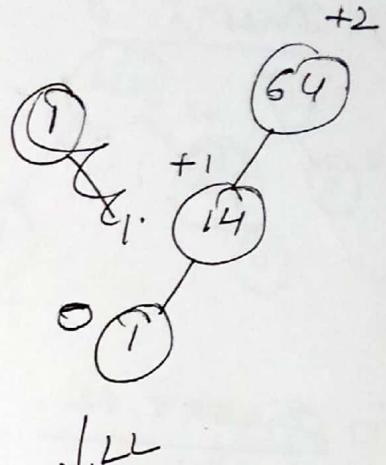
### ③ LR Rotations

- Inserted node is in the right subtree of left subtree of node A.
- It is double rotation i.e. RR followed by LL

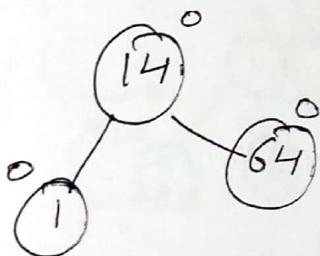
EXAMPLE  
+2  
LR



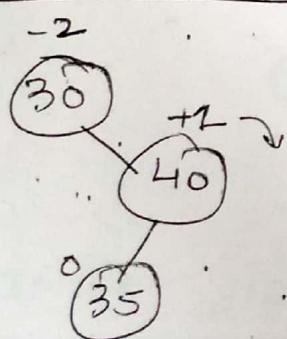
(i)  $\xrightarrow{\text{RR}}$



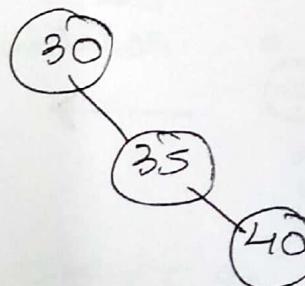
$\downarrow \text{LL}$



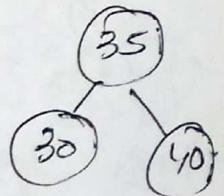
### ④ RL Rotation



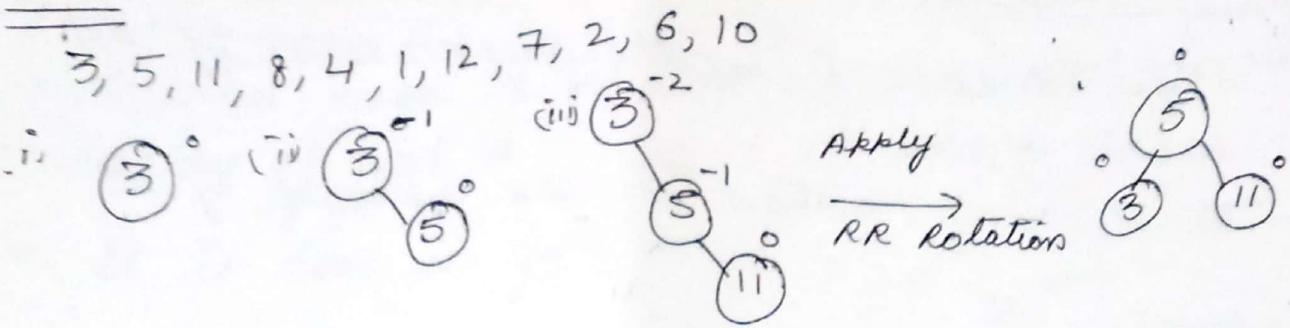
$\xrightarrow{\text{LL}}$



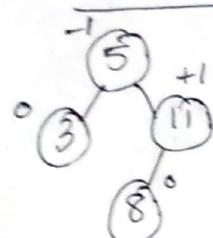
$\xrightarrow{\text{RR}}$



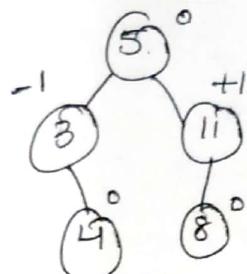
Ans:



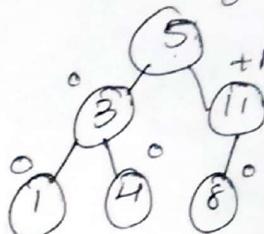
(iv) Insert 8



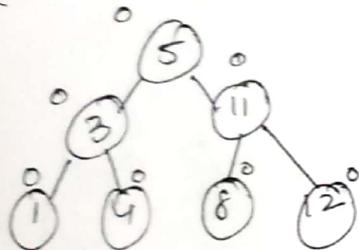
(v) Insert 4



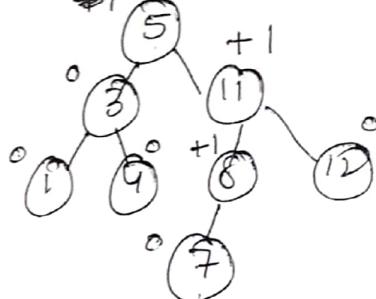
(vi) INSERT 1



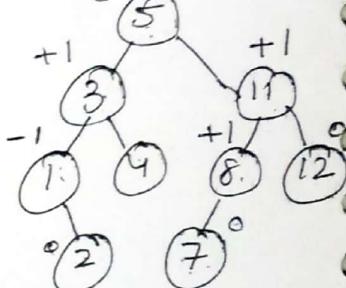
(vii) INSERT 12



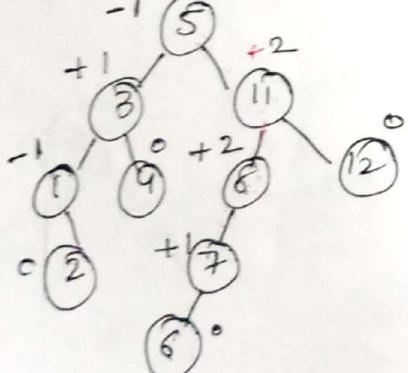
(viii) INSERT 7



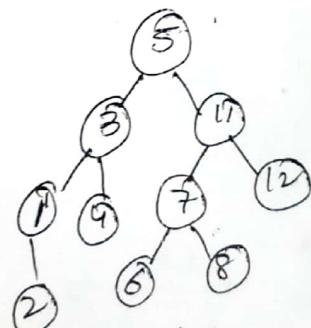
(ix) INSERT 2



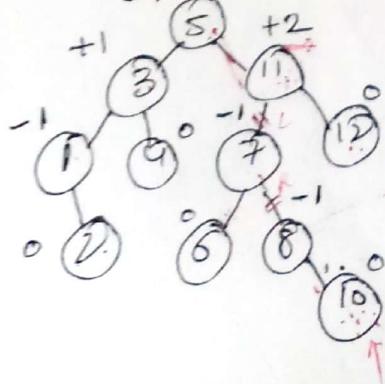
(x) INSERT 6



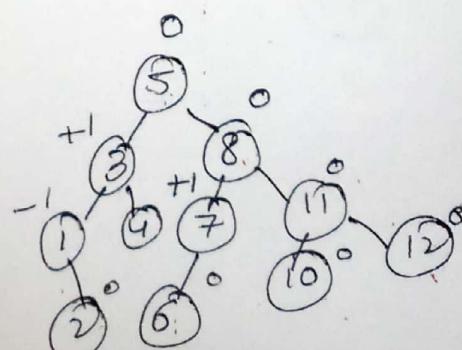
LL rotation →



(xi) INSERT 10

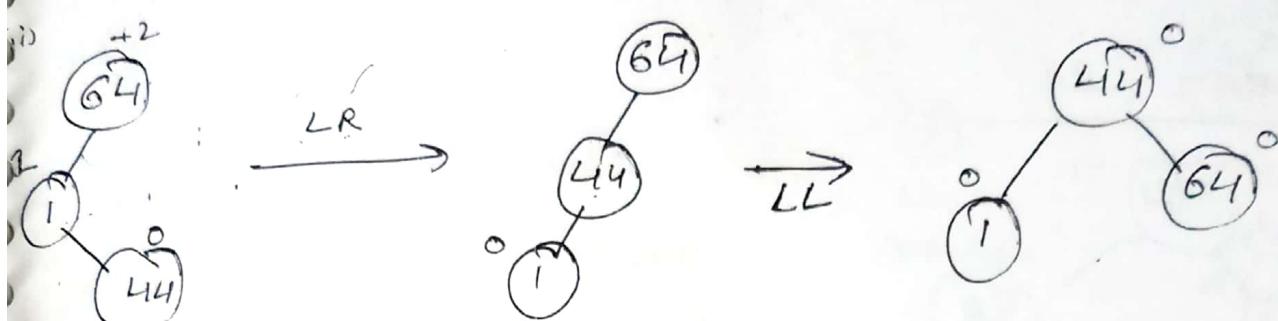
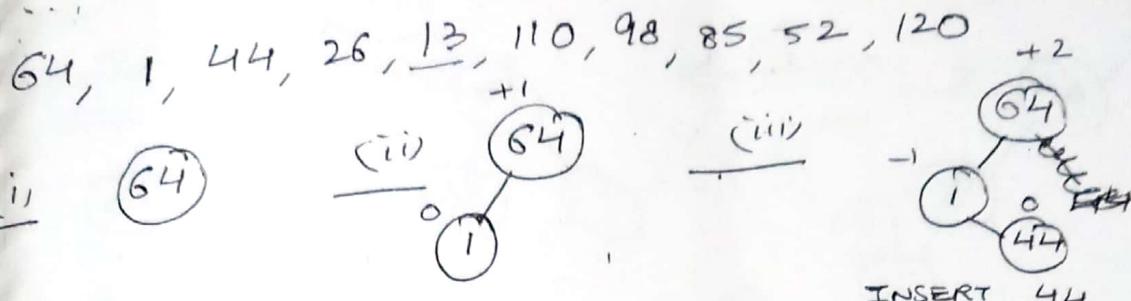


LR  
Rotation  
[ Apply  
LL + RR  
RR + LL  
(i) (ii) ]

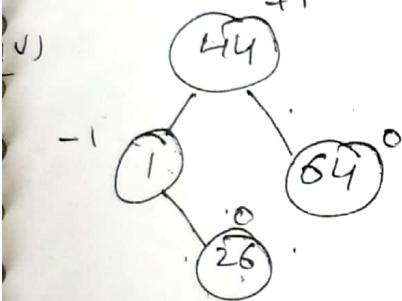


Ans

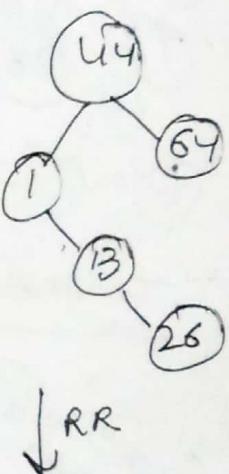
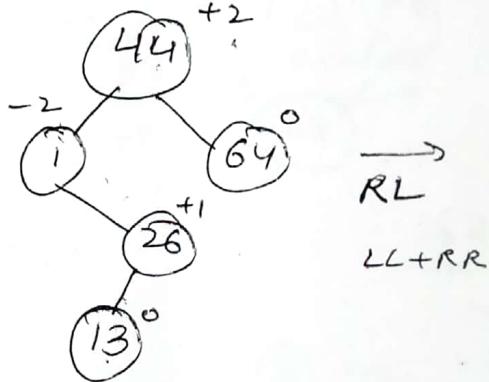
# Question



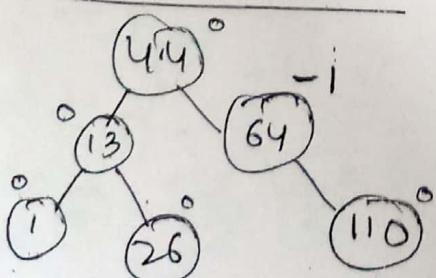
INSERT 26



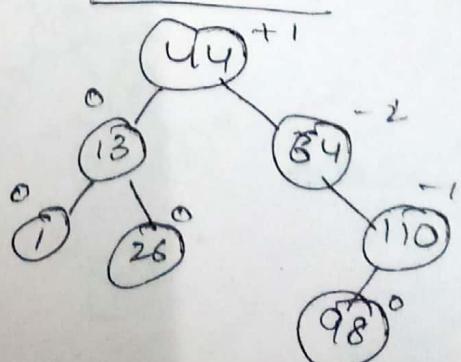
INSERT 13



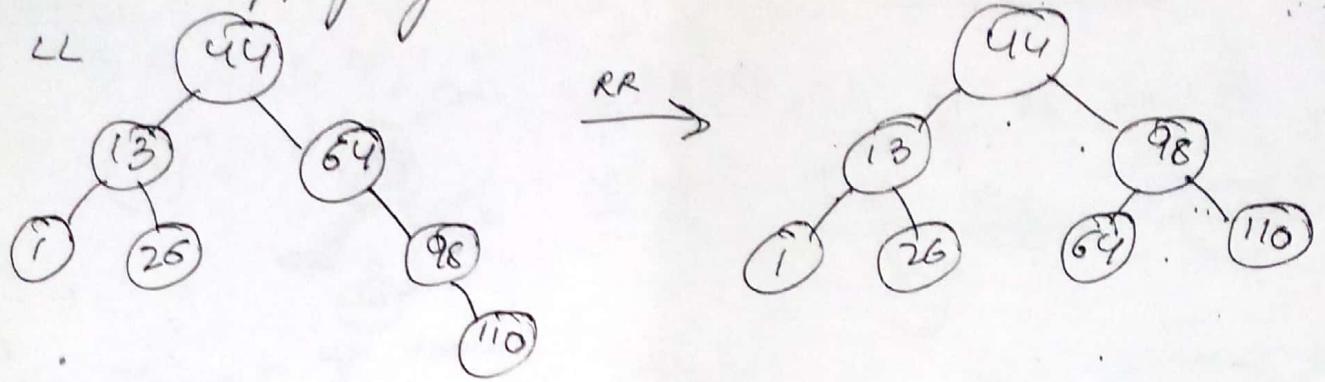
INSERT 110



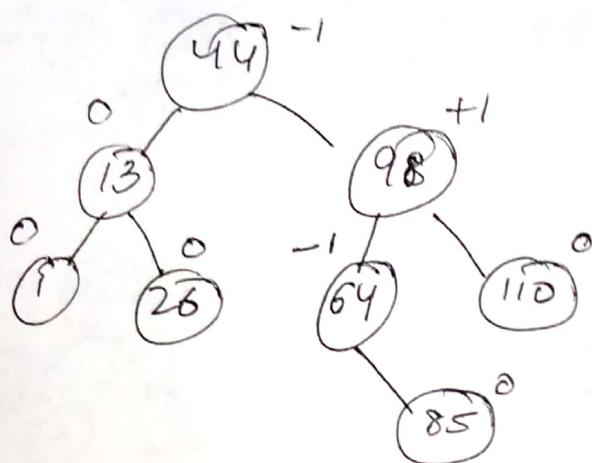
INSERT 98



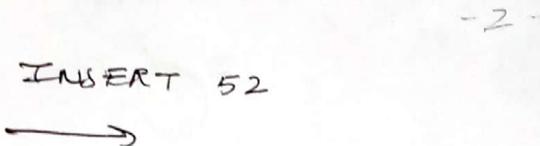
Now applying RL rotation



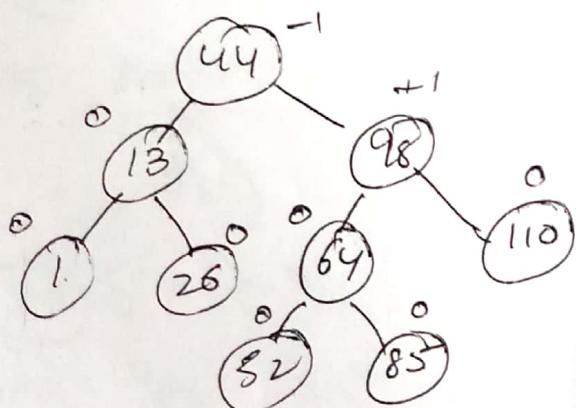
INSERT 85



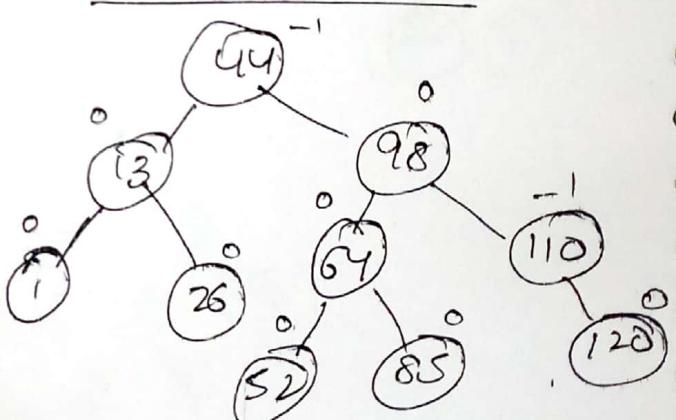
INSERT 52



INSERT 52



INSERT 120

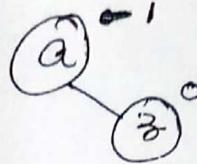


Ques: a, z, b, y, c, x, d, w, e, v, f

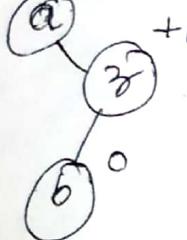
Solution (i) INSERT a



(ii) INSERT z

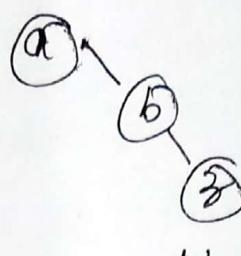


(iii) INSERT b

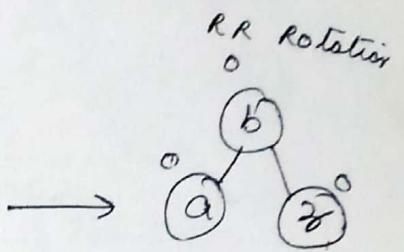


Apply  
RL Rotation

[i.e. LL + RR]  
(i) (ii)

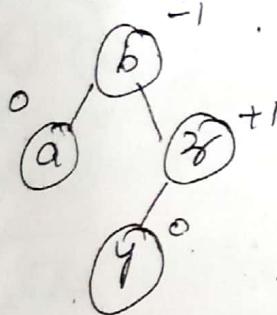


LL  
rotation

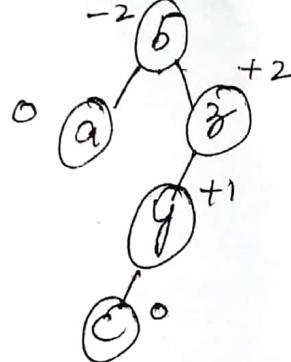


[Tree after  
apply  
RL rotation]

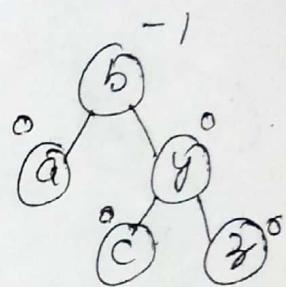
(iv) INSERT y



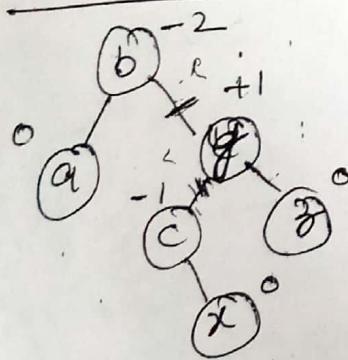
(v) INSERT c



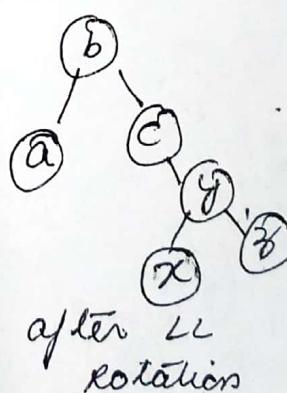
LL  
rotation



(vi) INSERT x

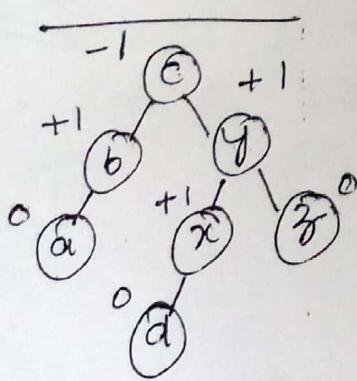


RL  
rotation  
[LL + RR]

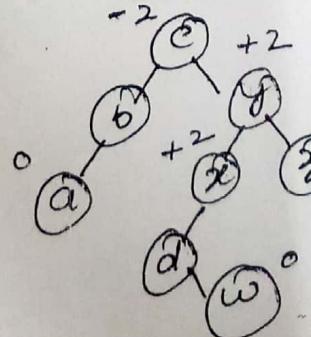


RR  
rotation  
[Tree  
after  
RL rotat]

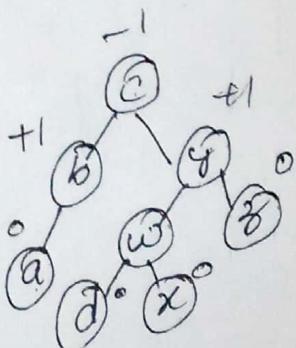
(vii) INSERT d



(viii) INSERT w

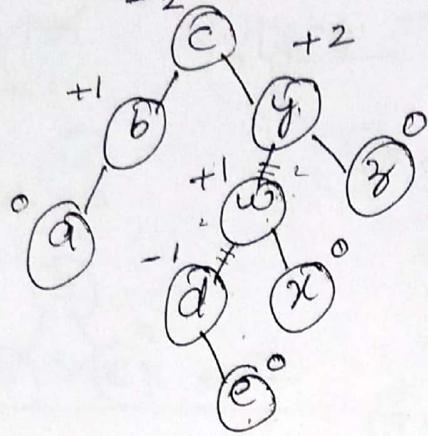


LR  
rotation

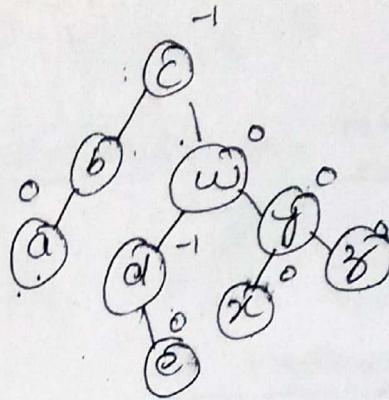


~~Take~~

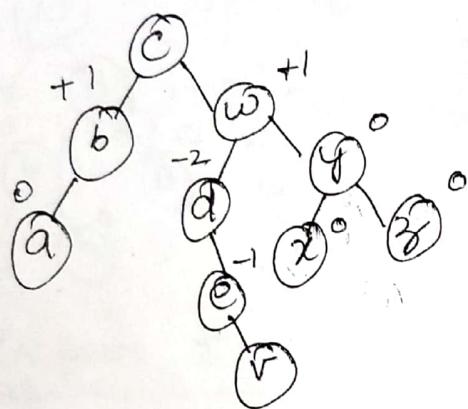
(Viii) INSERT e



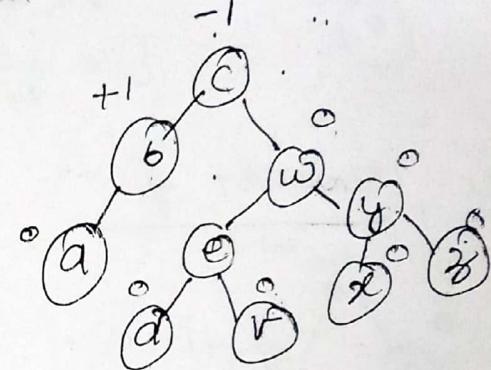
LL rotation



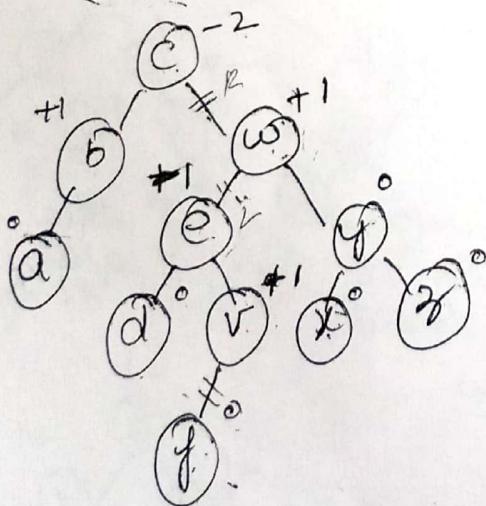
(ix) INSERT v



RR rotation



(x) INSERT f



RL

LL

RR



## Binary Search tree

(2.7)

- Average running time  $f(n) = O(\log n)$
- It is easy to delete & insert items.
- BST ~~have advantage~~ is preferred over foll:

### Sorted linear arrays:

In this searching requires  $f(n) = O(\log_2 n)$  time  
but it is expensive to insert & delete items.

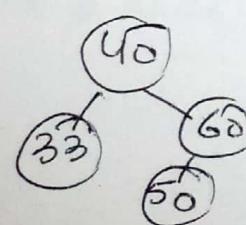
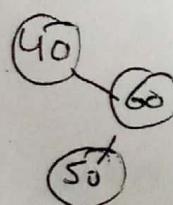
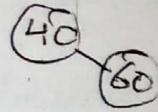
- ③ linked list → It is easy to insert & delete items, but expensive to search as it takes  $f(n) = O(n)$  time.

BST which is either empty or satisfies the foll. rule:

- i) The value of the key in the left child or left subtree is less than the value of root.
- ii) The value of the key in the right child or right subtree is more than or equal to the value of the root.
- iii) All the sub-trees of the left & right children observe the two rules.

Ans: Insert foll elements in BST

40, 60, 50, 33



## Help

### Find location of item

FIND (INFO, LEFT, RIGHT, ROOT, ITEM, LOC, PAR)

Take cases are:

- i) LOC = NULL & PAR = NULL  $\rightarrow$  tree empty
- ii) LOC ≠ NULL & PAR = NULL  $\rightarrow$  item is at root of T
- iii) LOC = NULL & PAR ≠ NULL  $\rightarrow$  item not in T & can be added in T as a child.

[Tree empty]

If ROOT = NULL

then

Set LOC = NULL & PAR = NULL

return

[Item at root]  $\quad \text{ROOT} \rightarrow \text{INFO}$

If ITEM = INFO[ROOT]  $\quad \text{ROOT} \rightarrow \text{INFO}$

Set LOC = ROOT & PAR = NULL

return

[Initialize pointer PTR & SAVE]

If ITEM < INFO[ROOT] then:

Set PTR = LEFT[ROOT] & SAVE = ROOT

else:  
Set PTR = RIGHT[ROOT] & SAVE = ROOT

[End of if structure]

① Repeat steps 5 & 6 while PTR ≠ NULL.

② [ITEM found?]

If ITEM = INFO[PTR] then

Set LOC = PTR & PAR = SAVE

Return.

⑧ If  $ITEM < INFO[PTR]$  then  
    Set  $SAVE = PTR$     $& PTR = LEFT[PTR]$   
else:  
    Set  $SAVE = PTR$     $& PTR = RIGHT[PTR]$

[end of if]

[end of step 4 loop]

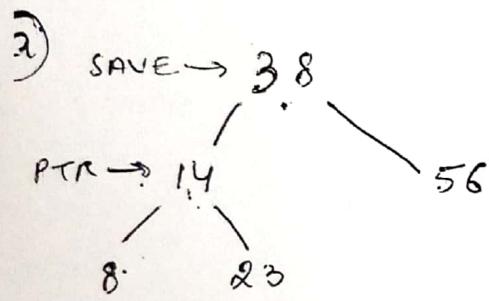
⑦ [search unsuccessful] ✓  
    set  $LOC = \text{NULL}$     $& PAR = SAVE$  ✓

⑧ Exit.

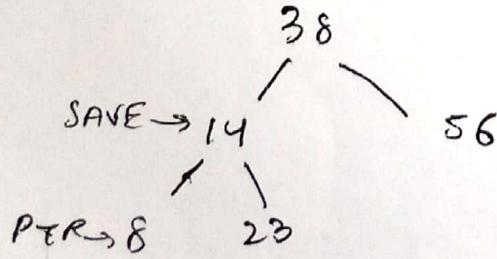
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EXAMPLE:

ITEM = 8



③  $ITEM < INFO[PTR]$



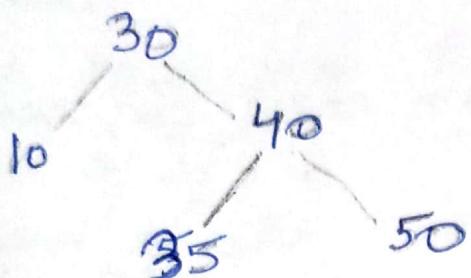
## Algo

### Insertion algorithm:

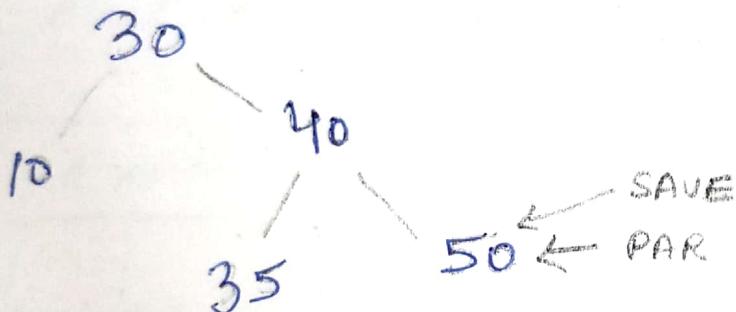
- ① Call FIND (INFO, LEFT, RIGHT, ROOT, ITEM, LOC, PAR)  
if LOC ≠ NULL, Then Exit.
- ② [Copy ITEM into new node in AVAIL list]  
if AVAIL = NULL, Then write: overflow & Exit
- ③ set NEW = AVAIL, AVAIL = LEFT[AVAIL] &  
INFO[NEW] = ITEM
- ④ set LOC = NEW, LEFT[NEW] = NULL &  
RIGHT[NEW] = NULL
- ⑤ [Add ITEM to tree]  
if PAR = NULL then:  
set ROOT = NEW  
else if ITEM < INFO[PAR] then:  
set LEFT(PAR) = NEW  
else:  
set RIGHT(PAR) = NEW  
{end of if structure}
- ⑥ Exit.

EXAMPLE

INSERT - 55



→ call find algo



## Deletion

case 1:  $N$  has no children, then  $N$  is deleted from  $T$  by simply replacing the location of  $N$  in the parent node  $p(N)$  by the null pointer.

case 2:  $N$  has exactly one child. Then  $N$  is deleted from  $T$  by simply replacing the location of  $N$  in  $p(N)$  by the location of the only child of  $N$ . (RIGHT)

case 3:  $N$  has two children. Let  $s(N)$  denote the inorder successor of  $N$ . The  $N$  is deleted from  $T$  by first deleting  $s(N)$  from  $T$  (by using case 1 & case 2) & then replacing node  $N$  in  $T$  by the node  $s(N)$ .

## In Order Successor

BE  
U

## ALGO OF DELETION

→ When there is no child of a node.

→ " " " single CASE A (INFO, LEFT, RIGHT, ROOT, LOC, PAR)

① [Initialize Child]

if LEFT[LOC] = NULL & RIGHT[LOC] = NULL then:

    set CHILD = NULL

else if LEFT[LOC] ≠ NULL then:

    set CHILD = LEFT[LOC]

else

    set CHILD = RIGHT[LOC]

[end of if structure]

② if PAR ≠ NULL then

    if LOC = LEFT[PAR] then

        set LEFT[PAR] = CHILD

    else:

        set RIGHT[PAR] = CHILD

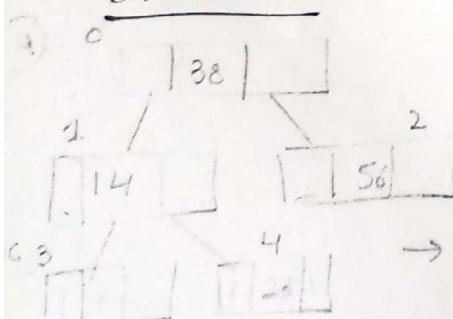
[end of if]

else

    set ROOT = CHILD

③ Return

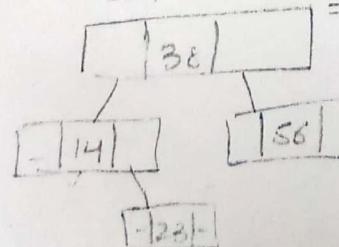
EXAMPLE



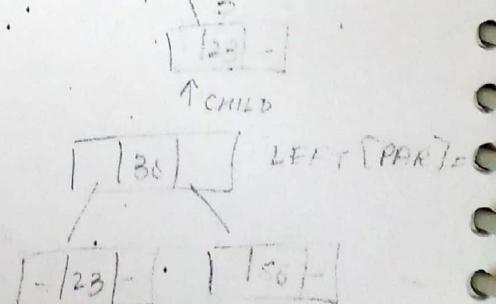
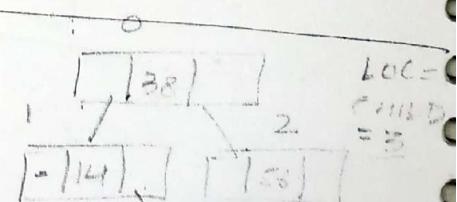
POINTERS → CHILD  
→ PAR

LOC = 3, ITEM = 8  
CHILD IS NULL

SET LEFT[PAR] = CHILD



④



## ALGO OF DELETION

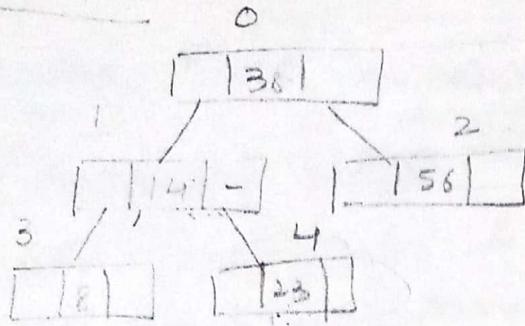
(2)

when node have ~~one~~ two children

Case B (INFO, LEFT, RIGHT, ROOT, LOC, PAR)

- ① [find SUC & PAR SUC]
- ② Set PTR = RIGHT[LOC] & SAVE = LOC
- ③ repeat while LEFT[PTR] ≠ NULL  
Set SAVE = PTR & PTR = LEFT[PTR]
- [End of Loop]
- ④ Set SUC = PTR & PAR SUC = SAVE
- ⑤ [Delete inorder successor]
- Call CASE A (INFO, LEFT, RIGHT, ROOT, SUC, PAR SUC)
- ⑥ [Replace node N by its inorder successor]
  - a) if PAR ≠ NULL then:
    - if LOC = LEFT[PAR] then:  
Set LEFT[PAR] = SUC
    - else  
Set RIGHT[PAR] = SUC
  - [End of if structure]
- else
  - Set ROOT = SUC
- [End of if structure]
- ⑦ Set LEFT[SUC] = LEFT[LOC] &  
RIGHT[SUC] = RIGHT[LOC]
- ⑧ Return

To Example.



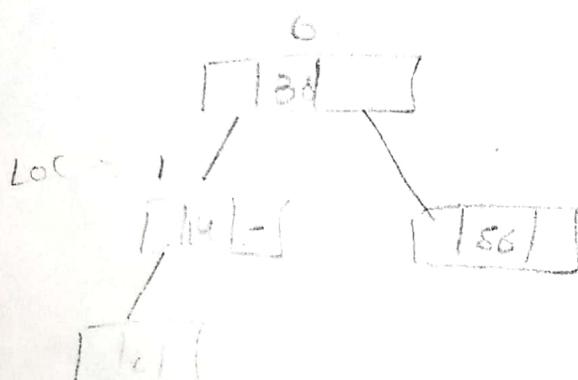
suppose delete

item = 14, loc = 1.

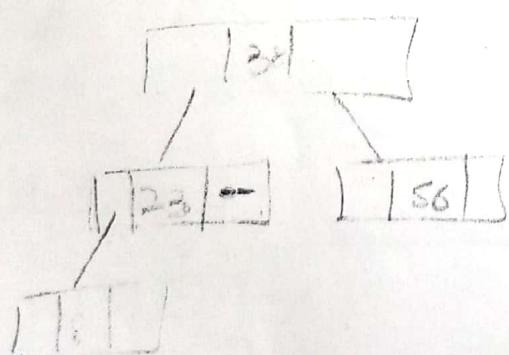
(i) PTR = 4, SAVE = 1

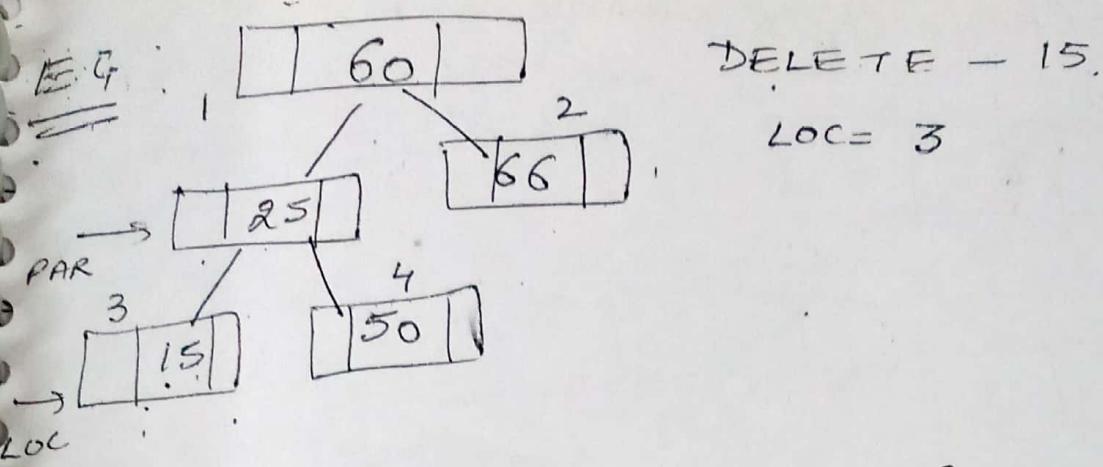
(ii) SUC = 4 & PARSUC = 1

CASE A: (14, 3, 4, 0, 4, 1)  $\Rightarrow$  LOC = 4, PARET  
CHILD = NULL,



LEFT(PAR) = SUC

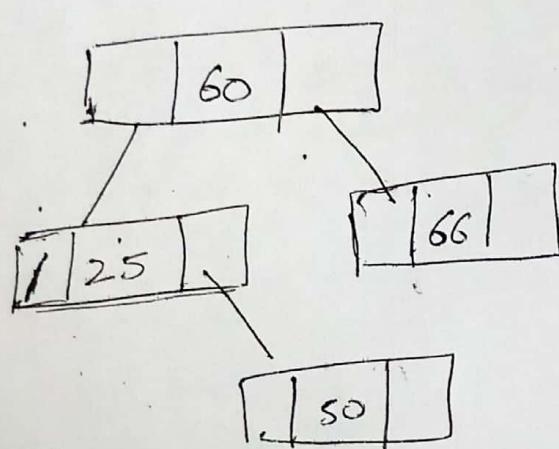




i) In this left of  $\text{LOC} = \text{NULL}$  & right [ $\text{Loc}] = \text{null}$

$\therefore \text{CHILD} = \text{NULL}$

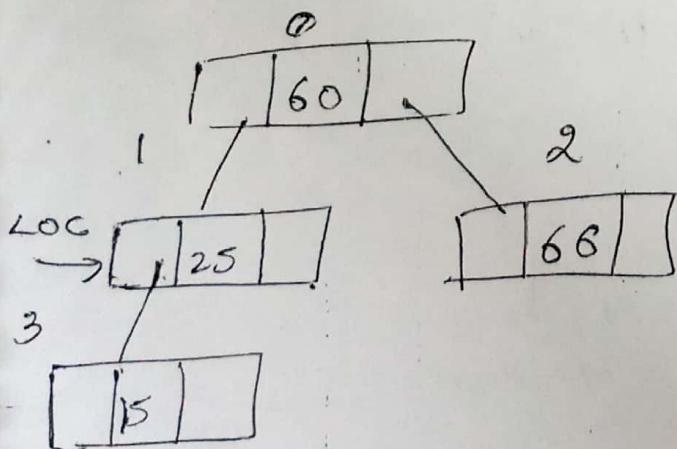
ii)  $\text{LOC} = \text{LEFT}[\text{PAR}]$ , so, <sup>set</sup>  $\text{Left}[\text{PAR}] = \text{CHILD}$



E.G. when one child

Delete - 25

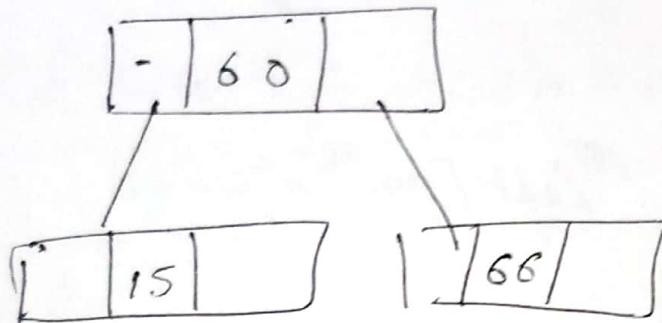
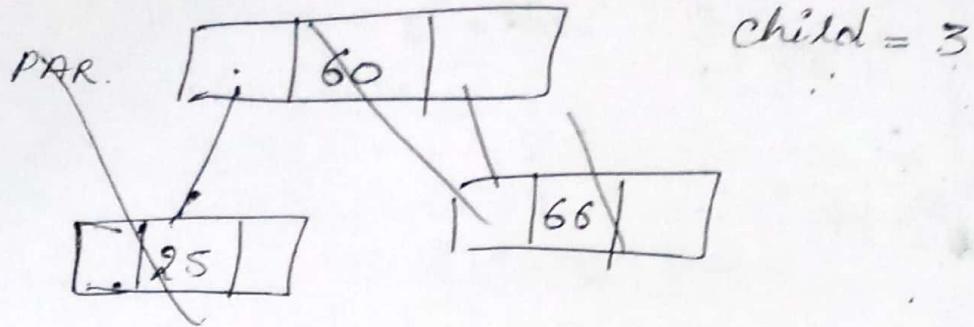
$\text{LOC} = 1$



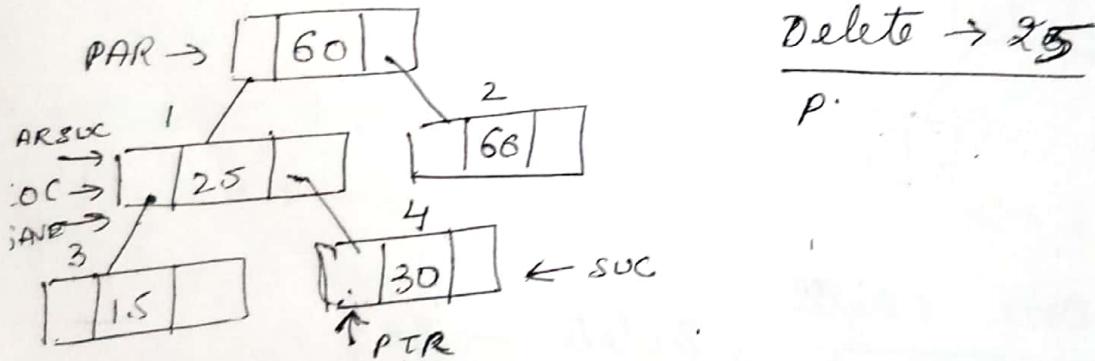
→ In this  $\text{left}[\text{Loc}] \neq \text{NULL}$

$\therefore$  set  $\text{child} = \text{left}[\text{Loc}]$   
 $\text{child} = 3$ .

→ set  $\text{left}[\text{PAR}] = \text{child}$



Case B Example when 2 children.



①  $\text{PTR} = \text{right}[\text{loc}]$ ,  $\text{PTR} = 4$ ,  $\text{SAVE} = 1$ ,  $\text{LOC} = 1$

②

③  $\text{suc} = 4$ ,  $\text{PARSUC} = 1$

④ call caseA(25, 3, 4, 0, 4, 1)

Info, = 25, left = 3, right = 4, Root = 0,  
Loc = 4, PAR = 1.

→ child = null

→ if  $\text{LOC} = \text{left}[\text{PAR}]$

set  $\text{right}[\text{PAR}] = \text{child}$

